

# Sex ratio elasticity influences the selection of sex ratio strategy

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There are three *sex ratio strategies* (SRS) in nature—male-biased sex ratio, female-biased sex ratio and, equal sex ratio depending on the proportion of male offspring being greater than, less than, or equal to  $\frac{1}{2}$ . The problem was already noted in Darwin's (1859) "*Origin of Species*," and it was R. A. Fisher (1930) who first explained why most species in nature display a sex ratio of  $\frac{1}{2}$ . Consequent SRS theories such as Hamilton's (1967) *local mate competition* (LMC) and Clark's (1978) *local resource competition* (LRC) separately explained the observed deviations from the seemingly universal 1:1 ratio. However, to the best of our knowledge, there is not yet a unified theory that accounts for the mechanisms of the three SRS. Here, we introduce the *price elasticity theory* in economics to define *sex ratio elasticity* (SRE), and present an analytical model that derives three SRSs based on the following assumption: simultaneously existing competitions for both resources and mates influence the level of SRE in both sexes differently. Consequently, it is the difference (between two sexes) in the level of their sex ratio elasticity that leads to three different SRS. Our analytical results demonstrate that the elasticity-based model not only reveals a highly plausible mechanism that explains the evolution of SRS in nature, but also offers a novel framework for unifying two major classical theories (*i.e.*, LMC & LRC) in the field of SRS research.

## Sex Ratio Elasticity Influences the Selection of Sex Ratio Strategy

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4 than, less than, or equal to  $\frac{1}{2}$ . The problem was already noted in Darwin's (1859) "*Origin*  
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6 display a sex ratio of  $\frac{1}{2}$ . Consequent SRS theories such as Hamilton's (1967) *local mate*  
7 *competition* (LMC) and Clark's (1978) *local resource competition* (LRC) separately  
8 explained the observed deviations from the seemingly universal 1:1 ratio. However, to the  
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10 the three SRS. Here, we introduce the *price elasticity theory* in economics to define *sex*  
11 *ratio elasticity* (SRE), and present an analytical model that derives three SRSs based on the  
12 following assumption: simultaneously existing competitions for both resources and mates  
13 influence the level of SRE in both sexes differently. Consequently, it is the difference  
14 (between two sexes) in the level of their sex ratio elasticity that leads to three different SRS.  
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16 plausible mechanism that explains the evolution of SRS in nature, but also offers a novel  
17 framework for unifying two major classical theories (*i.e.*, LMC & LRC) in the field of SRS  
18 research.

19

20 **Keywords:** Sex ratio strategy (SRS), Sex ratio elasticity (SRE), Local mate competition  
21 (LMC), Local resource competition (LRC), Evolutionary stable strategies (ESS).

22

23

## Introduction

24 The *sex ratio* is usually defined as the proportion of males in a population, and it can  
25 further be classified as *the primary, secondary, and tertiary sex ratio*. We are concerned  
26 with the first one, which refers to the ratio of at time of conception (Coney and Mackey,  
27 1998). The *sex ratio strategy* (SRS) is the sex ratio pattern that is exhibited by a species in  
28 nature, and its variation can directly affect the structure of population and its mating system  
29 (Charnov, 1982; Mabry et al., 2013; West, 2009). In nature, different species choose three  
30 different sex ratio strategies: male-biased sex ratio, female-biased sex ratio and, equal sex  
31 ratio, depending on sex ratio being greater than, equal, or less than  $\frac{1}{2}$ , in terms of the

1 proportion of male offspring in the whole population. In spite of the extensive studies in the  
2 field since Darwin (1859) and Fisher (1930), the evolution of SRS is still a hotly debated  
3 topic in evolutionary biology (e.g., Charnov, 1982; West, 2009).

4

5 In 1930, Fisher assumed that males and females are equally costly to produce, equal  
6 numbers of both sexes should be produced, leading to the sex ratio of  $\frac{1}{2}$  (Fisher, 1930;  
7 Charnov 1982; West, 2009). Although it has recently been discovered that this theory was  
8 actually first put forward in the 19th century by the German biologist Carl Düsing in his  
9 dissertation, who was among the first who resorted to mathematical modeling for solving  
10 evolutionary biology problems (Edwards, 2000; West, 2009), we propose the equal  
11 investment theory in this paper as presented by Fisher. An implicit assumption in Fisher's  
12 *equal investment theory* is that there are no competitive or cooperative interactions among  
13 relatives. Obviously, when populations are structured, competitive interactions between  
14 siblings could occur in each patch, such as, mate competition among male offspring, and  
15 resource competition among female offspring (Charnov, 1982, Clark 1978, Hamilton 1967,  
16 West Stuart 2009).

17

18 Mate competition among male offspring in a structured population is termed as local mate  
19 competition (LMC), it was first introduced by W. D. Hamilton to explain extraordinary  
20 female-biased sex ratios observed in a variety of insects and mites (Hamilton 1967, West  
21 Stuart 2009). Hamilton considered the mating system of diploid organisms, and showed  
22 that the evolutionary stable strategy (ESS) sex ratio ( $s^*$ ), or what he termed 'unbeatable'  
23 sex ratio, can be represented as:  $s^* = (n-1)/(2n)$ , where  $n$  is the number of foundress per  
24 patch (Hamilton 1967, West Stuart 2009). In 1979, Hamilton extended his original LMC  
25 theory for diploid (Hamilton 1967) to the case of haplo-diploid organism, and noted that  
26 inbreeding causes mothers to be relatively more related to their daughters than to their sons,  
27 which leads to a slightly more female biased sex ratio being favored. LMC theory predicts  
28 female-biased sex ratio is an ESS when mating takes place locally and related male  
29 offspring compete for mates. However, W. D. Hamilton (1967, 1979) only explored the  
30 effect of competition among male offspring on the SRS, and resource competition among  
31 female offspring could also influence the SRS.

2 In the study of African bush baby (*Galago crassicaudus*), Clark (1978) found that during  
3 breeding season, female's movement is restricted by her 'responsibility' for raising  
4 offspring. If male offspring (instead of female offspring) disperse from the natal site while  
5 female offspring stay local and compete with each other for resources (such as space, food),  
6 then local resource competition (LRC) among females can occur. From the observation,  
7 Clark (1978) proposed that female offspring compete for resources (such as space, food),  
8 but male offspring leave their birthplace to find new mates. Clark (1978) further postulated  
9 with mathematical modeling that female competition for resources can lead to male-biased  
10 SRS (Clark 1978, West Stuart 2009).

11

12 In summary, existing LMC and LRC models, including their extensions addressed either  
13 the effect of competition among male offspring or that among female offspring on the ESS  
14 of sex ratio, *respectively*. However, many field observations have discovered that mate  
15 competition among male offspring and resource competition (such as nest) among female  
16 offspring often occur simultaneously in nature (West Stuart 2009, West Stuart A et al.  
17 2005). Obviously, *mates* can also be considered as a *resource* different from food and  
18 shelters.

19

20 The simultaneous of these competitions might lead to the difference intensity between male  
21 competition and female competition. However, the difference intensity between male  
22 competition and female competition could lead to the difference of the sex ratio elasticity  
23 of male offspring survival rate and the sex ratio elasticity of female offspring survival rate,  
24 which may affect the selection of sex ratio strategy. The concept of elasticity (famous in  
25 economics) was first introduced by Wang et al to measure the responsiveness of offspring  
26 survival rate to a change in reproductive allocation (Wang et al. 2013). The sex ratio  
27 elasticity of male (female) survival rate is a measure used to show the responsiveness of  
28 male (female) survival rate to a change in sex ratio. It could be defined as the percentage  
29 change in male (female) survival rate divided by the percentage change in sex ratio, and  
30 this similar to elasticity concept in economics (Taylor and Weerapana 2011).

31

1 Although the existing model do already incorporate the simultaneous mate competition  
2 among male offspring and resource competition among female offspring into a single  
3 framework (Rodrigues and Gardner 2015), to the best of our knowledge, in the existing  
4 literature, how the sex ratio elasticity of male (female) survival rate affects the SRS, which  
5 has never been studied before. Therefore, it is still a challenge to incorporate the LMC and  
6 LRC into a single framework based on the sex ratio elasticity, and to explore the effect of  
7 the sex ratio elasticity of male (female) survival rate on SRS.

8

9 In the present study, we construct a new sex ratio model that assumes both the competitions  
10 for mates among males and competition for resources among females occur simultaneously  
11 in the mating system. Applying MacArthur's product rules (MacArthur 1965). Our analysis  
12 reveals that the ESS sex ratio depends on the *sex ratio elasticity* of the male offspring's  
13 survival rate (SRE-MSR) and the *sex ratio elasticity* of the female offspring's survival rate  
14 (SRE-FSR). Furthermore, we found that both the simultaneous existing competitions could  
15 create asymmetry between males and females in their intensities of competitions.  
16 Moreover, the asymmetry in the intensity can lead to the difference between the *sex*  
17 *ratio elasticity* of the SRE-MSR and SRE-FSR. Then, it is the difference in the sex ratio  
18 elasticity that influences the evolution of sex ratio strategy.

19

20

21

## The model

22 Considering a sexual species, which has discrete generations and their offspring remain  
23 their natal site. Assuming that male offspring compete for mates and female offspring  
24 compete for resources such as nest site and food, there are two competitions occurring  
25 simultaneously.

26

27 Let  $m$  and  $f$  be the number of male offspring and the number of female offspring  
28 respectively. We further assume that an adult individual can produce  $N$  offspring,  $r$  is  
29 the proportion of male offspring, *i.e.*, the sex ratio, in a clutch,  $1-r$  is the proportion of  
30 female offspring in the same clutch.  $S_m$  is the survival rate of male offspring, and  $S_f$  is

1 the survival rate of female offspring.

2

3 Based on the above assumptions, the number of male offspring is

$$4 \quad m = N \cdot r \cdot S_m, \quad (1)$$

5 and the number of female offspring is

$$6 \quad f = N \cdot (1-r) \cdot S_f, \quad (2)$$

7

8 We further assume that the population is effectively infinite, and the brood's sex ratio is  
9 determined by the maternal genotype. According to the *de facto* standard treatment in  
10 sex-ratio theory, the evolutionary stable strategy (ESS) maximizes the product of  $m \times f$ ,  
11 which is known as "MacArthur product rule" in the literature (Charnov Eric L 1982,  
12 MacArthur 1965, West Stuart 2009).

13

14 From Equations (1) and (2), the product of  $m$  and  $f$  is given by

$$15 \quad m \times f = N^2 r(1-r)S_m(r)S_f(r). \quad (3)$$

16 Since male offspring compete for mates, the increase of their number should result in the  
17 decrease of their survival rate. Similarly, the competition for resources among female  
18 offspring should lead to the decrease of their survival rate. In other words,  $S_m$  and  $S_f$   
19 should be the function of  $r$ , *i.e.*,  $S_m = S_m(r)$  and  $S_f = S_f(r)$ , and their derivatives  
20 should satisfy the following conditions:  $dS_m/dr < 0$  and  $dS_f/dr > 0$ .

21

22 From (3), the product  $m \times f$  is a function of the sex ratio  $r$ , and it achieves its maximal  
23 value with respect to  $r$  when  $r = r^*$ , where  $r^*$  is the ESS sex ratio. According to the  
24 theory of EES, there are:

$$25 \quad \left. \frac{d(m \times f)}{dr} \right|_{r=r^*} = 0, \quad (4)$$

26 and

$$\frac{d^2(m \times f)}{dr^2} \Big|_{r=r^*} \leq 0. \quad (5)$$

2 Applying Equation (4) to Equation (3), we obtain the following ESS sex ratio as

$$3 \quad r^* = \frac{1}{1 + \frac{1}{1 + e_{S_m} + e_{S_f}}}, \quad (6)$$

4 where  $e_{S_m} = (dS_m/S_m)/(dr/r)$  is the percentage change in male survival rate divided by  
 5 the percentage change in sex ratio and  $e_{S_f} = (dS_f/S_f)/(dr/r)$  is the percentage change in  
 6 female survival rate divided by the percentage change in sex ratio. They are similar to the  
 7 elasticity concepts of economics, corresponding to the well-known *price elasticity of*  
 8 *demand* and *price elasticity of supply* (Frank and Bernanke 2007). We therefore define  
 9  $e_{S_m} = (dS_m/S_m)/(dr/r)$  and  $e_{S_f} = (dS_f/S_f)/(dr/r)$  as the *sex ratio elasticity of male*  
 10 *survival rate* (SRE-MSR) and the *sex ratio elasticity of female survival rate* (SRE-FSR),  
 11 respectively.

12

13 From male's perspective, the SRE-MSR is a measure of the responsiveness of male  
 14 survival rate to a change in sex ratio. Similarly, from female's perspective, the SRE-FSR  
 15 measures the responsiveness of female survival rate to a change in sex ratio.

16 Obviously, from the definitions of SRE-MSR and SRE-FSR, the value of the  
 17 SRE-MSR should be negative because male survival rate decreases with the increase of sex  
 18 ratio, and the value of the SRE-FSR should be positive because female survival rate  
 19 increases with the increase of sex ratio. The negative or positive sign only represents the  
 20 direction of variation and the value represents the sensitive degree of survival rate to sex  
 21 ratio (Taylor and Weerapana, 2011; Wang et al., 2013).

22 Furthermore, from the definition of sex ratio, the ESS sex ratio must also satisfy  
 23  $0 < r^* < 1$ , (we only consider sexual organisms in this study). From Equation (6)  
 24 and  $0 < r^* < 1$ , we have  $1 + e_{S_m} + e_{S_f} > 0$ ; in the following, this constraint is maintained.

25

26

27



2 From the above model constructions, we conclude the following results:

3 (i) If  $e_{S_m} < -1$ , that is, the male survival rate is elastic, then

4 1) When  $0 \leq e_{S_f} < 1$  (the female survival rate is inelastic) and  $e_{S_f} = 1$  (the female  
5 survival rate is unitary elastic), the  $1 + e_{S_m} + e_{S_f}$  is less than 0, therefore, these cases is  
6 meaningless in our model.

7 2) When  $e_{S_f} > 1$  (the female survival rate also is elastic), i) If  $|e_{S_m}| > e_{S_f}$ , which  
8 means the sensitive degree of the male survival rate to sex ratio is greater than that of  
9 female, from Equation (6), we have  $r^* < 1/2$  as an ESS sex ratio, i.e., the female-biased  
10 sex ratio is an ESS (Figure 1A, blue line); ii) If  $|e_{S_m}| = e_{S_f}$ , which means the sensitive  
11 degree of the male survival rate to sex ratio is equal to that of female, from Equation (6),  
12 we have  $r^* = 1/2$  as an ESS sex ratio, i.e., the unbiased sex ratio is an ESS (see the red  
13 star point of the Figure 1A and 1B); iii) If  $|e_{S_m}| < e_{S_f}$ , which means the sensitive degree of  
14 the male survival rate to sex ratio is less than the female, from Equation (6), we have  
15  $r^* > 1/2$  as an ESS sex ratio, i.e., the male-biased sex ratio is an ESS (Figure 1B, green  
16 line).

#### 19 Location for Figure 1

20 **Figure 1.** When the male survival rate is elastic, the relationship between the SRE-FSR and  
21 the ESS sex ratio

22  
23  
24 (ii) If  $e_{S_m} = -1$ , that is, the male survival rate is unitary elastic, then

25 1) When  $0 \leq e_{S_f} < 1$  (the female survival rate is inelastic), i.e.,  $|e_{S_m}| > e_{S_f}$ , which means  
26 the sensitive degree of the male survival rate to sex ratio is greater than that of female, from  
27 Equation (6), we have  $r^* < 1/2$  as an ESS sex ratio, i.e., the female-biased sex ratio is an

1 ESS (Figure 2A, magenta line);

2 2) When  $e_{S_f} = 1$  (the male survival rate is unitary elastic), i.e.,  $|e_{S_m}| = e_{S_f}$  which  
 3 means the sensitive degree of the male survival rate to sex ratio is equal to that of female,  
 4 from Equation (6), we have  $r^* = 1/2$  as an ESS sex ratio, i.e., the unbiased sex ratio is an  
 5 ESS (see the red star point of the Figure 2A and 2B);

6 3) When  $e_{S_f} > 1$  (the female survival rate is elastic), i.e.,  $|e_{S_m}| < e_{S_f}$ , which means  
 7 the sensitive degree of the male survival rate to sex ratio is less than the female, from  
 8 Equation (6), we have  $r^* > 1/2$  as an ESS sex ratio, i.e., the male-biased sex ratio is an  
 9 ESS (Figure 2, black line).

10

11 **Location for Figure 2**

12 **Figure 2. When the male survival rate is unitary elastic, the relationship between the**  
 13 **SRE-FSR and the ESS sex ratio**

14

15 (iii) If  $-1 < e_{S_m} \leq 0$ , that is, the male survival rate is inelastic, then

16 1) When  $0 \leq e_{S_f} < 1$  (the female survival rate is inelastic), i) If  $|e_{S_m}| > e_{S_f}$ , which  
 17 means the sensitive degree of the male survival rate to sex ratio is greater than that of  
 18 female, from Equation (6), we have  $r^* < 1/2$  as an ESS sex ratio, i.e., the female-biased  
 19 sex ratio is an ESS (Figure 3A, black line); ii) If  $|e_{S_m}| = e_{S_f}$ , which means the sensitive  
 20 degree of the male survival rate to sex ratio is equal to that of female, from Equation (6),  
 21 we have  $r^* = 1/2$  as an ESS sex ratio, i.e., the unbiased sex ratio is an ESS (see the red  
 22 star point of the Figure 3A and 3B); iii) If  $|e_{S_m}| < e_{S_f}$ , which means the sensitive degree of  
 23 the male survival rate to sex ratio is less than the female, from Equation (6), we have  
 24  $r^* > 1/2$  as an ESS sex ratio, i.e., the male-biased sex ratio is an ESS (Figure 3B, magenta  
 25 line).

26 2) When  $e_{S_f} = 1$  (the male survival rate is unitary elastic) and  $e_{S_f} > 1$  (the female  
 27 survival rate is elastic), i.e.,  $|e_{S_m}| < e_{S_f}$ , which means the sensitive degree of the male

1 survival rate to sex ratio is less than the female, from Equation (6), we have  $r^* > 1/2$  as  
2 an ESS sex ratio, *i.e.*, the male-biased sex ratio is an ESS (Figure 3B, magenta line).

3

4 **Location for Figure 3**

5 **Figure 3. When the male survival rate is inelastic, the relationship between the SRE-FSR**  
6 **and the ESS sex ratio**

7

## 8 **Discussion**

9 To study the evolution of sex ratio, previous models have separately dealt with how  
10 the mate competition among male offspring affects the ESS sex ratio and how the resource  
11 competition among female offspring affects the ESS sex ratio (Charnov, 1982; Clark, 1978;  
12 Fisher, 1930 ; Hamilton, 1967, 1979; West, 2009). Mate competition among male offspring  
13 and resource competition among female offspring may occur simultaneous in a same patch,  
14 and these competitions could lead to the difference of the SRE-MSR and SRE-FSR (West,  
15 2009; West et al., 2005). However, as to our knowledge, how the SRE-MSR and SRE-FSR  
16 affect the ESS sex ratio, which have never been addressed before (West, 2009). The model  
17 described in this paper shows that if we assume that mate competition among male  
18 offspring and resource competition among female offspring occur simultaneous, the ESS  
19 sex ratio depends on the SRE-MSR and SRE-FSR.

20

21 Our model firstly shows that if the intensity of the competition among male offspring  
22 for mates equals to the intensity of the competition among female offspring for resources,  
23 *i.e.* the sensitive degree of the male survival rate to sex ratio is equal to the female's, the  
24 unbiased sex ratio is an ESS. In fact, when the mating is random in a large population and  
25 the resource competition among female is random (*i.e.* there are no competitive interactions  
26 between siblings), the intensity of the male competition is equal to the intensity of the  
27 female competition, in our model we predict that the ESS sex ratio is  $1/2$ . This conclusion is  
28 similar to Fisher's equal investment theory, *i.e.*, when mating is random, mothers favors  
29 equal investment into the two sexes, therefore, the ESS sex ratio is  $1/2$  (Fisher, 1930 ; West,  
30 2009).

31

1 In addition, our model shows that if the competition among male offspring for mates  
2 is more intense than the competition among female offspring for resources, i.e., the  
3 sensitive degree of the male survival rate to sex ratio is greater than the female's, the ESS  
4 sex ratio is the female-biased. This result is consistent with many empirical studies (West  
5 Stuart 2009). For example, for some *Arthropods* (such as, beetles, mites), in this species, a  
6 female and her brood occupy a gallery under bark, mating usually occurs before dispersal  
7 from the larval host. Therefore, the competition among male offspring for mates is more  
8 intense than the competition among female offspring for resources, and strongly female  
9 biased sex ratio is observed (Charnov, 1982; Jordal et al., 2002; West, 2009; West et al.,  
10 2005). To be noted that when  $e_{S_m} = -1/(n+1)$  and  $e_{S_f} = 0$ , i.e.,  $|e_{S_m}| > e_{S_f}$ , this result will  
11 became to the result of LMC (Hamilton, 1967).

12

13 On the contrary, if the competition among male offspring for mates is less intense  
14 than the competition among female offspring for resources, we predict that the ESS sex  
15 ratio is the male-biased. Moreover, the result of LRC is special case of our results, i.e., if  
16  $e_{S_f} = 1/(n-1)$  and  $e_{S_m} = 0$  (Charnov, 1982; Clark, 1978; West, 2009). Moreover, this  
17 prediction is consistent with some empirical tests (West, 2009). For example, in the African  
18 bush baby *Galago Crassicaudaus*, during the breeding season, female's movement are  
19 restricted by the burden of raising offspring, consequently, the competition among female  
20 offspring for resources is more intense than the competition among male offspring for  
21 mates, and so favors a male biased sex ratio reduce the competition among female  
22 offspring for resources (Clark, 1978; West, 2009).

23

24 Although using a simple sex ratio model and this study achieves several conclusions,  
25 there are still some limitations of the model used in this study. The model has disregarded a  
26 number of complicating factors, such as density dependence, disperse rate, the spatial  
27 structure. To some extent, adding these factors to the model may modify the conclusions  
28 reached in this study. We raise these issues to provoke further studies, not to mean that they  
29 are of secondary importance to a comprehensive theory of plant reproductive ecology.

30

31

2 Elasticity is one of the most basic concepts in economics. Here, let's use the price elasticity  
3 of demand to illustrate this concept used in economics (Taylor and Weerapana 2011).  
4 Price elasticity of demand is a measure used to show the responsiveness, or elasticity, of  
5 the quantity demanded of a good or service to a change in its price. More precisely, it gives  
6 the percentage change in quantity demanded in response to a one percent change in price  
7 (holding constant all the other determinants of demand, such as income. It can be described  
8 as:

9

10 Price elasticity ( $E_p$ )= 
$$\frac{\text{percentage change in quantity demanded}}{\text{percentage change in price}}.$$

11 Since price and quantity demanded always move in opposite directions,  $E_p$  is a negative  
12 value. For convenience, however, the absolute value of  $E_p$  is used.

13 If  $E_p$  is larger than 1, we say demand is elastic: Consumer response is large relative to  
14 the change in price.

15 If  $E_p$  is less than 1, we say demand is inelastic: Consumers are not very responsive to  
16 price changes.

17 If  $E_p$  is equal to 1, demand is unitary elastic. In this case, the percentage change in  
18 quantity demanded is exactly equal to the percentage change in price.

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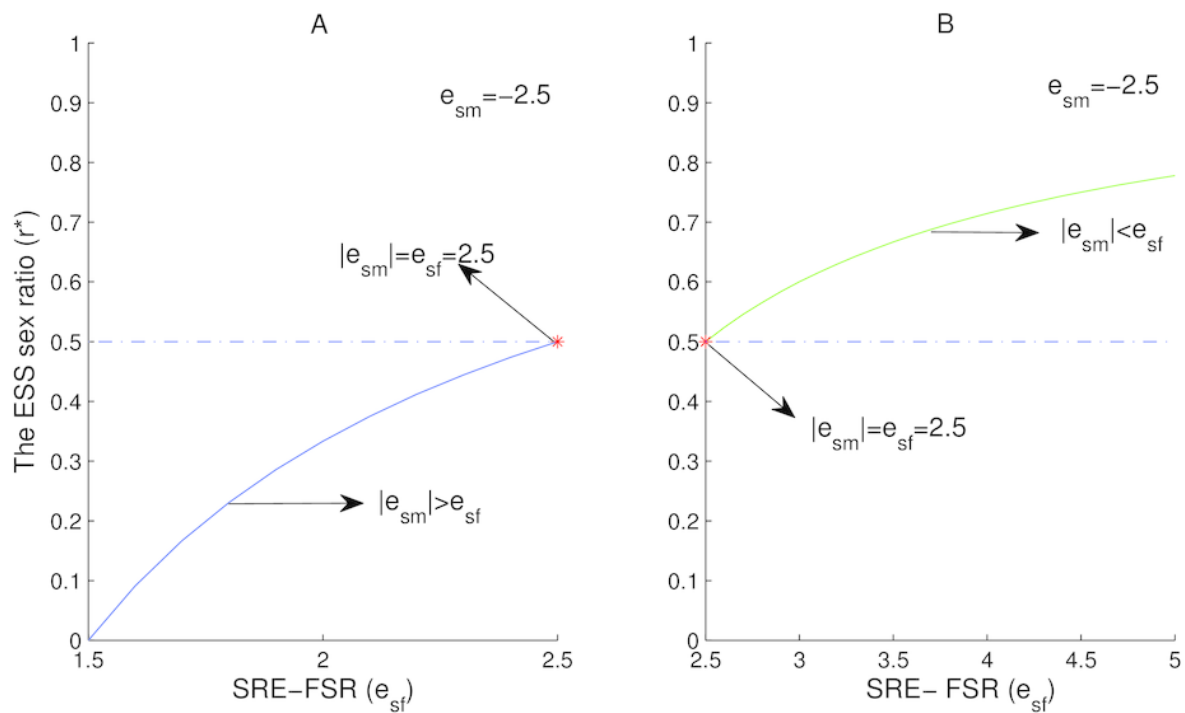
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# Figure 1

Figure 1

Sex ratio elasticity influences the selection of sex ratio strategy Figure 1. The relationship between the SRE-FSR and ESS sex ratio when the male survival rate is elastic

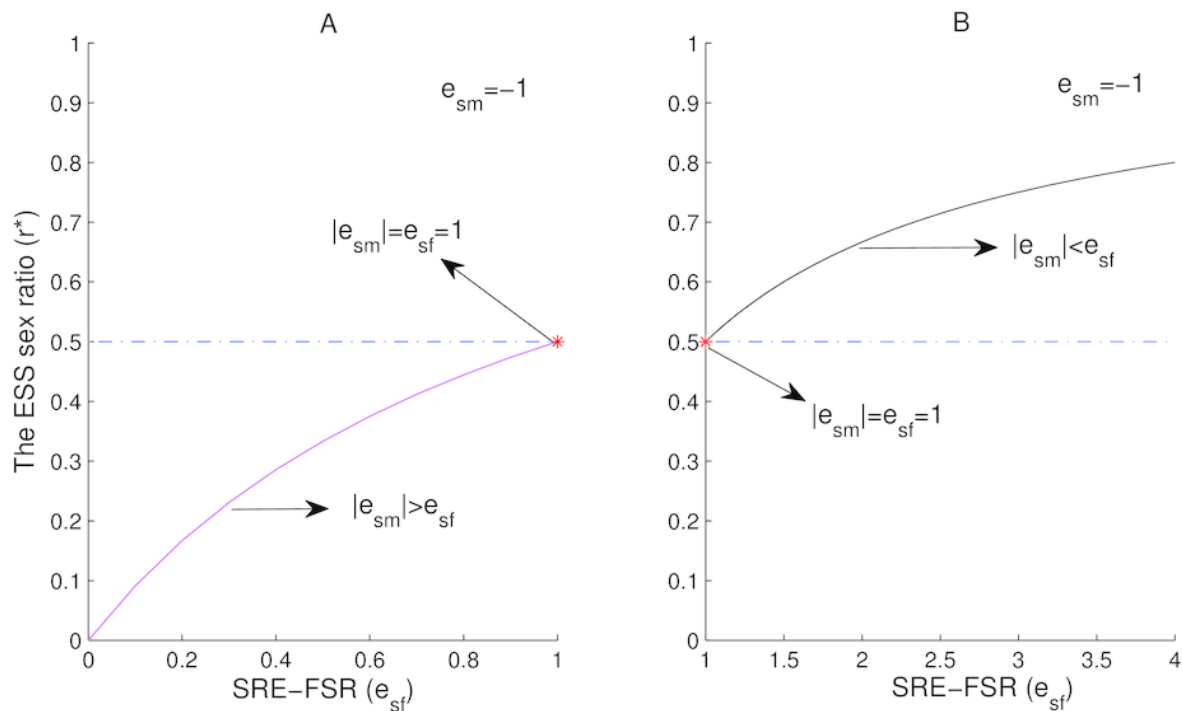




## Figure 2

Figure 2

Sex ratio elasticity influences the selection of sex ratio strategy The relationship between the SRE-FSR and ESS sex ratio when the male survival rate is unitary elastic.



# Figure 3

Figure 3

Figure 3. The relationship between the SRE-FSR and the ESS sex ratio when the male survival rate is inelastic.

