

# Directional and balancing selection on proprotein convertase subtilisin/kexin type 6 (PCSK6)

Sonja Kuderer <sup>Corresp.</sup> <sup>1</sup>, Martin Fieder <sup>1</sup>, Sylvia Kirchengast <sup>1</sup>

<sup>1</sup> Department of Anthropology, Universität Vienna, Vienna, Austria

Corresponding Author: Sonja Kuderer  
Email address: sonja.kuderer@gmail.com

The selective pressures challenging the human population leave genetic signatures. This study was designed to detect positive and balancing selection on the proprotein convertase subtilisin/kexin type 6 (PCSK6) gene by four different approaches (FDIST, BayeScan, EHH, iHS). The outlier programs FDIST and BayeScan found 27 overlapping single nucleotide polymorphisms (SNPs) under selection, of which twelve are under strong positive directional selection and 15 under balancing selection. In the EHH analysis, all SNPs detected under positive selection show a slow decay of the derived extended haplotype. The integrated haplotype scores (iHS) for eight loci under positive selection were found to be larger than one. Based on these results, we provide a short overview of PCSK6-related mechanisms potentially associated with the detected positive or balancing selection patterns. Positive selection possibly reflects the key role of PCSK6 in tumorigenesis, in nociception, in rheumatoid arthritis and osteoarthritis, in the cardiovascular circulatory as well as in blood pressure regulation. Balancing selection acting on the PCSK6 locus might be explained by its involvement in vertebral left-right asymmetry and therefore in human handedness. Whereas until now the exact nature of the selective pressures acting on PCSK6 remained incompletely understood, the results of this study provide for the first time insights into the enzyme's evolutionary significance owing to its functional manifoldness.

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type 6 (PCSK6)**

Sonja Kuderer<sup>1</sup>, Sylvia Kirchengast<sup>1</sup>, Martin Fieder<sup>1</sup>

<sup>1</sup>Department of Anthropology, University of Vienna, Vienna, Austria

**Corresponding author**

Sonja Kuderer<sup>1</sup>

Althanstrasse 14, 1090 Vienna, AUSTRIA

Email address: [sonja.kuderer@gmail.com](mailto:sonja.kuderer@gmail.com)



**1 Abstract**

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3 This study was designed to detect positive and balancing selection on the proprotein  
4 convertase subtilisin/kexin type 6 (PCSK6) gene by four different approaches  
5 (FDIST, BayeScan, EHH, iHS). The outlier programs FDIST and BayeScan found 27  
6 overlapping single nucleotide polymorphisms (SNPs) under selection, of which  
7 twelve are under strong positive directional selection and 15 under balancing  
8 selection. In the EHH analysis, all SNPs detected under positive selection show a  
9 slow decay of the derived extended haplotype. The integrated haplotype scores  
10 (iHS) for eight loci under positive selection were found to be larger than one. Based  
11 on these results, we provide a short overview of PCSK6-related mechanisms  
12 potentially associated with the detected positive or balancing selection patterns.  
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14 nociception, in rheumatoid arthritis and osteoarthritis, in the cardiovascular  
15 circulatory as well as in blood pressure regulation. Balancing selection acting on the  
16 PCSK6 locus might be explained by its involvement in vertebral left-right asymmetry  
17 and therefore in human handedness. Whereas until now the exact nature of the  
18 selective pressures acting on PCSK6 remained incompletely understood, the results  
19 of this study provide for the first time insights into the enzyme's evolutionary  
20 significance owing to its functional manifoldness.

**21 Introduction**

22 Human-specific phenotypic and behavioural variability can be at least partly  
23 explained by natural selection. Selective pressures varying concerning time, strength  
24 and mode of selection have challenged human populations (Karlsson et al., 2014).  
25 An investigation of these natural selection processes acting on particular genetic loci  
26 is therefore suited to provide insights into the functional relevance of genes.

27

28 Natural selection describes the tendency of beneficial traits to increase their  
29 frequency in populations over time and affects specific functionally important sites in  
30 the genomes (Darwin & Wallace, 1858). Positive selection leads to an increase in  
31 the frequency of genetic variants, which provide improved survival skills and/or a  
32 higher fertility rate. A frequent substrate of positive selection, as revealed by  
33 numerous studies in different taxa, are genes for reproduction, dietary adaption,  
34 physical appearance, nociception, brain development or behavioural traits (Vallender  
35 & Lahn, 2004). Whilst negative selection eliminates existing disadvantageous  
36 variants within populations, balancing or diversified selection favours genetic  
37 diversity by maintaining multiple alleles at particular loci (Karlsson et al., 2014).  
38 Common mechanisms of balancing selection are negative frequency-dependent  
39 selection (e.g. host-pathogen interaction, Leffler et al., 2013), spatial or temporal  
40 habitat heterogeneity, sexual antagonism (Delph & Kelley, 2014) or heterozygote  
41 advantage.

42

43 Human handedness, the most prominent laterality phenomenon along the human  
44 body, shows a genetic heritability and a worldwide variation across populations  
45 (Raymond & Pontier, 2004). From an evolutionary point of view, the existence of a

46 handedness polymorphism cannot simply be explained by a neutral handedness trait  
47 (Faurie & Raymond, 2013). Hence, due to the genetic basis of handedness,  
48 proposed candidate genes might be regarded being subjected to natural selection.

49

50 One candidate gene for handedness is the proprotein convertase subtilisin/kexin  
51 type 6 (PCSK6), which is located on the long arm of the human chromosome 15  
52 (15q26; Kiefer et al., 1991). Brandler et al. (2013) proved a relation of PCSK6  
53 *rs7182874* with relative hand skill at genome-wide significance among people with  
54 reading disability. In addition, Scerri et al. (2011) found a connection between two  
55 intronic single point mutations in PCSK6 (*rs9806256*, *rs11855415*) and relative hand  
56 skill in individuals with reading disability in a genome-wide association study  
57 (genome-wide significance level with a p-value of  $1.99e^{-8}$ ). Amongst these  
58 individuals, carriers of the minor PCSK6 *rs11855415* allele revealed a significantly  
59 greater relative right-hand skill compared to the more frequent wild-type allele.  
60 However, *rs11855415* and *rs7182874* were not associated with hand skill in a  
61 general population unaffected by reading disability (Brandler et al., 2013; Scerri et  
62 al., 2011). Furthermore, a significant link between the hand preference and an intron  
63 33bp variable number of tandem repeat (VNTR) polymorphism in PCSK6  
64 (*rs10523972*) was discovered (Arning et al., 2013). Therefore it is assumed, that  
65 PCSK6 rather than determining the direction of handedness is involved in the  
66 manifestation of a certain degree of handedness (Arning et al., 2013).

67

68 PCSK6 belongs to the serine endoprotease superfamily, which catalyse the  
69 cleavage of a wide range of substrates including secreted growth factors and  
70 extracellular pathogens (Seidah & Prat, 2012). The PCSK6 locus consists of at least

71 250 kb (bp 101,840,818 to bp 102,065,405), contains 25 exons and is therefore the  
72 largest mammalian kexin-like proprotein convertase known to date (Tsuji et al.,  
73 1997). The enzyme has 20 splice variants (Tsuji et al., 1997) and seven isoforms  
74 which vary in size and the 3' coding sequence (Tsuji et al., 1994).

75

76 The PCSK6 protein exhibits a general organization starting from the N-terminus with  
77 a signal peptide, a propeptide, a subtilisin-like catalytic domain with active site  
78 residues and a  $\beta$ -barrel P or homo B domain; the latter is thereby essential for the  
79 enzyme's proteolytic activity (Li et al., 2004). In addition, PCSK6 has a long cysteine-  
80 rich region at the carboxy-terminus, which varies among the particular isoforms and  
81 provides an explanatory approach for their differing intracellular localizations and  
82 functions (Tsuji et al., 1997). This C-terminal cysteine-rich domain confers properties  
83 to PCSK6 to attach to tissue inhibitors of metalloproteinases as well as to the cell  
84 surface (Nour et al., 2005). Thus, PCSK6 is a secreted, heparin-binding and  
85 extracellular matrix-anchored protein that is localized at the cell surface (Seidah &  
86 Prat, 2012).

87

88 The PCSK6 convertase is initially synthesized as an inactive zymogen. After the  
89 primary autocatalytic processing event in the endoplasmic reticulum, a heterodimer  
90 is formed from the inhibitory prosegment and the rest of the molecule. This  
91 heterodimer is subsequently transported to the trans-Golgi network, where the  
92 catalytic activity of the enzyme is acquired after a second autocatalytic cleavage  
93 event (Nour et al., 2004; Seidah & Prat, 2007). Hence, PCSK6 is synthesized as a  
94 proenzyme which undergoes a proteolytic removal of the N-terminal propeptide  
95 (Nagahama et al., 1998).

96

97 PCSK6 exhibits a unique cellular distribution in various tissues such as the liver,  
98 lung, uterine endometrium, gut, neuroendocrine tissue or the epidermis. An  
99 especially high level of PCSK6 is present in the corpus callosum (Diaz et al., 2008)  
100 and the nervous as well as the pituitary system (Nagahama et al., 1998). At its target  
101 sites this calcium-dependent serine endoprotease processes precursor proteins into  
102 biologically active products. As PCSK6 cleaves substrates at a single or paired basic  
103 residue within the (K/R)-(X)<sub>n</sub>-(K/R) consensus site, with n being 0, 2, 4 or 6 and X  
104 any amino acid (Turpeinen et al., 2013) it is also known as paired basic amino acid  
105 cleaving enzyme 4 (PACE 4). However, to avoid confusion in the naming systems,  
106 we adhere to the PCSK6 naming convention.

107

108 Due to its localization to the cell membrane, PCSK6 is mainly responsible for  
109 processing membrane-associated substrates (Nour et al., 2005) and might be  
110 regulated by extracellular signals (Bassi et al., 2010). Transforming growth factor  
111 beta (TGFbeta)-related proteins (Constam & Robertson, 2000), proalbumin (Mori et  
112 al., 1999) and luteinizing hormone (Wang et al., 2014) are found among the well-  
113 documented interaction partners of PCSK6. Further suggested PCSK6 targets  
114 include the growth factors Nodal and Lefty as well as metalloproteinases such as  
115 ADAMTS4 (Tortorella et al., 2005).

116

117 PCSK6 is moreover responsible for the proteolytic maturation of many growth  
118 factors, hormones, neuropeptides and zymogens (Seidah et al., 2008). In general,  
119 the PCSK6 enzyme is involved in manifold biological processes which vary from  
120 tumour progression (D'Anjou et al., 2011), pathogenesis of osteoarthritis (Tortorella



121 et al., 2005) to the control of diastolic blood pressure (Li et al., 2004). PCSK6 also  
122 occupies a considerable role in biological mechanisms regulating the establishment  
123 of normal brain lateralization and handedness. It apparently has this control function  
124 during the anterior central nervous system patterning and the formation of left-right  
125 asymmetry in vertebrates (Constam & Robertson, 2000). More specifically, PCSK6  
126 acts upstream of Nodal, Lefty1 and Lefty2 and thereby influences the balance  
127 between Nodal and bone morphogenic protein (BMP) signalling in the lateral plate,  
128 which is critical for left-right axis formation (Constam & Robertson, 2000). Therefore,  
129 mice embryos with a lacking or a disrupted PCSK6 locus develop situs ambiguous, a  
130 rare congenital defect in which the major visceral organs are distributed abnormally  
131 within the chest and abdomen, and also show complex craniofacial malformations as  
132 well as asymmetry defects such as heterotaxia (Constam & Robertson, 2000). 25%  
133 of PCSK6 knock-out mice die at embryonic day 14 with severe cardiac malformation  
134 and bone morphogenic defects (Constam & Robertson, 2000).

135

136 By affecting the fitness of the organism, functionally important genomic loci usually  
137 show a high evolutionary selection pressure (Nielsen, 2005). This study therefore  
138 applies four different approaches to identify if there exist natural selection signatures  
139 acting on the PCSK6 locus. It is aimed to test if positive directional selection and/or  
140 balancing selection has been acting on PCSK6. To discover this locus-specific  
141 selection and adaptive divergence, two separate outlier analyses of DNA  
142 polymorphisms are conducted (Nielsen, 2005; Beaumont & Nichols, 1996; Foll &  
143 Gaggiotti, 2008). In addition, the “extended haplotypes” and the “integrated  
144 haplotypes” of these SNPs on the PCSK6 gene are calculated (Voight et al., 2006;  
145 Sabeti et al., 2002). Hence, these numerous applied statistical evaluations enable a

146 reduction of false-positive results and reveal whether the PCSK6 polymorphisms  
147 under evolutionary selection markedly differ from neutral expectations.

148

## 149 **Materials & Methods**

150 *Genomic data.* We tested the genetic material of 14 analysed human populations (n  
151 = 1092 individuals; Table 1) from the 1000 Genome Browser  
152 (<http://browser.1000genomes.org/index.html/>) to retrieve the coordinates of the  
153 PCSK6 gene. By computing the data with SPSmart engine v5.1.1  
154 (<http://spsmart.cesga.es/engines.php>; Amigo et al., 2008) we obtained the genotypes  
155 of SNPs on PCSK6 with a minor allele frequency (MAF) of 5% (N=735 SNPs). We  
156 converted the raw genotype of these to “convert format” and further translated it to i)  
157 “genpop format” (LOSITAN) and ii) “geste format” (BayeScan) using PGD-Spider  
158 (<http://www.cmpg.unibe.ch/software/PGDSpider/>; Lischer & Excoffier, 2012). The  
159 probability of false positives was reduced by applying two different programs to  
160 detect selection. Both, LOSITAN/FDIST (i) and BayeScan (ii) refer to  $F_{ST}$  outlier  
161 approaches and detect SNPs under selection by a pattern deviating from neutrality.  
162 In general, loci under balancing selection show unusually low levels of genetic  
163 differentiation (i.e.,  $F_{ST} < 0.05$ ), whereas loci under diversifying selection reveal  
164 unusually high levels of differentiation (i.e.,  $F_{ST} > 0.25$ ) among populations (Balloux &  
165 Lugon-Moulin, 2002).

166

167 *LOSITAN (FDIST) approach.* We conducted an  $F_{ST}$  outlier analysis using LOSITAN  
168 Selection Workbench (<http://popgen.net/soft/lositan/>; Beaumont & Nichols, 1996).  
169 This approach is based on the expected distribution of Wright's inbreeding coefficient  
170  $F_{ST}$  vs.  $H_E$  under an island model of migration with neutral markers. Outlier loci,

171 which show selection, can be assumed to have an excessively high or low  $F_{ST}$  value.  
172 We simulated the neutral distribution of  $F_{ST}$  with 100,000 iterations at a significance  
173 of  $P < 0.005$  ( $P$  (Simulation  $F_{ST} < \text{sample } F_{ST}$ ) to reduce the number of false  
174 positives (Antao et al., 2008). We performed the runs using the infinite allele model.  
175 To avoid overestimating the percentage of outlier loci, we computed a multiple  
176 testing correction based on false discovery rates (FDR) of LOSITAN.

177

178 *BayeScan approach.* The BayeScan 2.1 programme ([http://cmpg.unibe.ch/](http://cmpg.unibe.ch/software/bayescan/)  
179 [software/bayescan/](http://cmpg.unibe.ch/software/bayescan/); Foll & Gaggiotti, 2008) enables by the application of Bayesian  
180 statistics a second  $F_{ST}$ -based method to detect outlier loci under selection. As these  
181 show a genetic differentiation among populations in varying environments, the  
182 estimation of the posterior probability of a locus being under selection can be  
183 calculated by a reversible-jump Markov Chain Monte Carlo (MCMC) approach. This  
184 process is based on two alternative models, of which one includes the effect of  
185 selection and the other excludes this factor. By calculating a logistic regression, the  
186  $F_{ST}$ -coefficients of a selection process can be separated into a population-specific  
187 component ( $\beta_i$ ) shared by all loci, and a locus-specific component ( $\alpha_i$ ) shared by all  
188 populations. A diversifying selection is associated with significant positive  $\alpha_i$  values,  
189 whereas significant negative  $\alpha_i$  values indicate a balancing or purifying selection.  
190 When a locus is presumably subject to selection ( $P(\alpha_i \neq 0)$ ) the according posterior  
191 probability is estimated from the MCMC output by counting the number of times  $\alpha_i$  is  
192 included in the model. After 20 pilot runs of 10,000 iterations each and an initial burn-  
193 in of 1,000,000 steps each, we ran the chain for 100,000 updating steps. The  
194 programme defines a q-value, which is the FDR analogue to the P value. We chose  
195 a  $\log_{10}$  Bayes Factor (BF)  $\geq 1.5$  (i.e., Jeffreys' scale of evidence for BF = "very

196 strong”) as the main criterion, which corresponds to a range of q-values from 0.03-  
197 0.01. These values should provide very strong evidence that a locus is under  
198 selection (<http://cmpg.unibe.ch/software/bayescan/>). To control the FDR and to  
199 generate the graphical outputs the R-script by the BayeScan programme is utilised.

200

201 *Regression tree.* To identify the SNPs separating the 14 analysed populations, we  
202 generated a regressing tree using the R-library r-part (R version 3.0.1). We assessed  
203 information on the specific SNPs via Ensembl (<http://www.ensembl.org/>).

204

205 *Extended haplotype homozygosity.* We verified the detection of positive selection by  
206 LOSITAN and BayeScan with extended haplotype homozygosity (EHH). EHH is the  
207 probability that two chromosomes carrying the core haplotype of interest are identical  
208 by descent for the entire interval of the region under investigation (Sabeti et al.,  
209 2002). This method therefore detects the transmission of an extended haplotype  
210 without recombination events. EHH detects only “recent” selective sweeps,  
211 respectively incomplete selective sweeps (Voight et al., 2006) within a population.  
212 The approach was implemented in “selscan” ([https://github.com/szpiech/selscan/](https://github.com/szpiech/selscan/blob/master/manual/selscan-manual.pdf)  
213 [blob/master/manual/selscan-manual.pdf](https://github.com/szpiech/selscan/blob/master/manual/selscan-manual.pdf)) based on the haplotypes estimated by  
214 SHAPEIT ([https://mathgen.stats.ox.ac.uk/genetics\\_software/shapeit/shapeit.html](https://mathgen.stats.ox.ac.uk/genetics_software/shapeit/shapeit.html)).  
215 Due to the processing of very large amounts of data (according to the computational  
216 power available) we exemplarily calculated EHH only for the YRI, CEU, CHB and  
217 MXL populations. Although we are aware that due to population admixture in the  
218 MXL population results could be blurred, it was our prime interest to include  
219 exemplarily the data sets of four large populations located on different continents.

220

221 *Integrated haplotype scores.* We also included the iHS scores (integrated haplotype  
222 scores) for the YRI, CEU, CHB and MXL population provided by hapbin  
223 (<http://datashare.is.ed.ac.uk/handle/10283/714>) and the UCSC genome browser  
224 (<https://genome.ucsc.edu/>) for the SNPs under balancing and directional positive  
225 selection. iHS are a standardized measure that describe the size of extended  
226 haplotype homozygosity (EHH) at a genetic locus (SNP) along to the ancestral allele  
227 relative to the derived allele (Voight et al., 2006). Therefore analyses of iHS scores  
228 have the advantage that the signal strength of different SNPs is independent of the  
229 allele frequencies, which makes these values directly comparable (Voight et al.,  
230 2006).

231

## 232 **Results**

233 *PCSK6 regression tree.* In the regression tree, *rs9920839* is on the primary node  
234 (Fig. 1) and firstly separates the populations. *Rs9920839* was also found by both  
235 LOSITAN and BayeScan under directional positive selection (Fig. 2, Fig. 3 and Table  
236 2). The populations are further divided by *rs6598455* and *rs8035554* (both at the  
237 second nodal point layer) as well as *rs2412067* and *rs56278789* (both at the third  
238 nodal point layer). Besides *rs28641892*, *rs874940*, *rs61360191*, *rs3784496*,  
239 *rs2005063* and *rs11852754* (Fig. 1) additionally split the populations into several  
240 minor subgroups. Also, both LOSITAN and BayeScan identified *rs3784496* under  
241 directional positive selection, which separates the ASW population from European  
242 populations such as FIN and TSI (Fig. 2, Fig. 3 and Table 2).

243

244 *Outlier detection methods.* LOSITAN identified 18 SNPs under directional positive  
245 selection ( $p < 0.005$  – Fig. 2): *rs4965825*, *rs1871974*, *rs900414*, *rs3784519*,

246 *rs3784518*, *rs3784515*, *rs28360762*, *rs28469755*, *rs12592414*, *rs7167668*,  
247 *rs9920839*, *rs6598455*, *rs4965834*, *rs3784496*, *rs3825902*, *rs1000914*, *rs8043067*,  
248 *rs11247300* and 22 SNPs under balancing selection: *rs11247297*, *rs11634270*,  
249 *rs11853956*, *rs11854179*, *rs11855154*, *rs11855415*, *rs12915828*, *rs1495271*,  
250 *rs2047216*, *rs28529205*, *rs34548635*, *rs36010356*, *rs4965869*, *rs55794955*,  
251 *rs62027207*, *rs6598477*, *rs71414401*, *rs71416212*, *rs7166089*, *rs7168817*.  
252 BayeScan detected 18 SNPs under directional positive selection ( $\log_{10}(\text{BF}) > 1.5$ )  
253 and Baye-Alpha  $> 0.97$ ): *rs900414*, *rs3784515*, *rs28469755*, *rs56278789*,  
254 *rs3784519*, *rs9920839*, *rs12592414*, *rs963172*, *rs3784518*, *rs3784496*, *rs7167668*,  
255 *rs12594076*, *rs2412067*, *rs34039757*, *rs4965825*, *rs747539*, *rs10400816*, *rs1871974*  
256 and 18 SNPs under balancing selection ( $\log_{10}(\text{BF}) > 1.5$ ) and Baye-Alpha  $< -0.97$ ):  
257 *rs11634270*, *rs11853956*, *rs11854179*, *rs11855154*, *rs12915828*, *rs2047216*,  
258 *rs28529205*, *rs34548635*, *rs36010356*, *rs4965390*, *rs4965869*, *rs55794955*,  
259 *rs55990600*, *rs59480567*, *rs62027207*, *rs6598477*, *rs71414401*, *rs7166089*. Both,  
260 FDIST and BayeScan found 27 overlapping SNPs under selection processes, of  
261 which twelve are under strong positive directional selection (Table 2) and 15 under  
262 balancing selection (Table 3). All of these SNPs are intron variants.

263

264 *Haplotype approaches*. In the EHH analysis, all SNPs detected under positive  
265 selection by FDIST and BayeScan show a slow decay of the derived extended  
266 haplotype compared to the ancestral haplotype in the YRI, CEU, CHB and MXL  
267 populations (Supplementary Fig. S1). This decline, however, is comparatively rapid,  
268 so that we can assume a very old selective sweep. The *iHS* scores (Table 4)  
269 provided for chromosome 15 show signals higher than one, for *rs1871974* in the YRI  
270 and CHB populations, for *rs28469755* and *rs3784496* in the CHB population, for

271 *rs3784515*, *rs3784518* and *3784519* in the CHB and MXL population as well as for  
272 *rs900414* in the CHB population. Particularly *rs28469755* in the CHB population  
273 reveals a value close to two, which can be seen as a sign of a *genome wide iHS*  
274 *signal* (Voight et. al. 2006).

275

## 276 **Discussion**

277 The genome-wide analyses of selection processes acting on genetic loci have been  
278 attractive indirect strategies to gain insights into human evolution, have implications  
279 for human health (Karlsson et al., 2014) and are important for medical  
280 advancements (Vallender & Lahn, 2004). This study therefore investigated the  
281 natural selection on the PCSK6 gene. The enzyme's functional manifoldness is  
282 reflected by its involvement in various metabolic cycles and its participation in  
283 numerous signalling pathways. Thereby a great number of substrates is converted  
284 by PCSK6 into their active and effective form. On this basis a considerable  
285 significance of the proteolytic PCSK6 enzyme in humans can be assumed. This  
286 presumption might be confirmed as the PCSK6 selection scan yielded twelve intronic  
287 loci under positive and 15 under balancing selection. The discovered plurality of DNA  
288 polymorphisms under selection, however, gives us only a vague idea of the  
289 evolutionary relevance of this serine endoprotease.

290

291 The proposition that balancing and positive selection pressures are acting on PCSK6  
292 is not unreasonable, but remains to be functionally proven. Indeed, a literature  
293 research on the 27 polymorphisms under selection detected by LOSITAN and  
294 BayeScan reveals, with few exceptions, almost no functional information. However,  
295 the SNPs might provide interesting candidate loci for future functional studies.

296

297 As twelve loci show high  $F_{ST}$  values, which is in accordance with the finding of  
298 positive selection, a large genetic differentiation in the populations can be assumed  
299 (Jobling et al., 2013). These results of strong selective pressures acting on PCSK6,  
300 as well as the presence of numerous PCSK6 interaction partners, enable an  
301 extensive exploration, interpretation and speculation about the importance of this  
302 enzyme. In this discussion therefore a short overview of PCSK6-related mechanisms  
303 that might be associated with the detected selection patterns will be presented.  
304 Although the literature-based connections made in here depict possible but  
305 hypothetic models for the selective pressures acting on PCSK6, they are not claimed  
306 to be ultimate and exhaustive. Thus, these theoretic approximations remain  
307 unconfirmed without a practical examination and should mainly depict an impetus for  
308 further research in this field.

309

310 The identification of signals of very recent positive selection can provide information  
311 about the adaptation of modern humans to local conditions. The ancestral-  
312 susceptibility model (DiRienzo & Hudson, 2005) states in this regard that ancestral  
313 alleles, which conferred a selective advantage in earlier human populations,  
314 nowadays might increase the risk for diseases under the currently prevailing lifestyle  
315 and environment conditions and the advancing life expectancy. The model can be  
316 applied to numerous biological traits such as height, endurance, cognition and drug  
317 response, which seem to have been subjected to the changing selective pressures  
318 during the human evolution (DiRienzo & Hudson, 2005). Similarly, disorders such as  
319 near-sightedness, obesity and heart diseases might emerge from changes between  
320 the ancient and modern environmental conditions (Keller, 2008). When the



321 environment changes quickly, mismatches can occur where ancestral alleles are  
322 badly adapted to modern lifestyle. Thereby, considering the diversifying  
323 environmental context, alleles that were once neutral can be influenced by natural  
324 selection and thus become today's risk alleles (Keller, 2008). Analogous is the  
325 susceptibility to several common diseases, mainly shaped by selection processes.  
326 Hence, selection analyses can reveal assumptions on the enzyme's involvement in  
327 disease-related processes and pathways, which might be representatives of the  
328 ancestral-susceptibility model. The positive selection patterns of PCSK6 detected in  
329 this study are therefore more closely elaborated concerning its clinical relevance for  
330 tumour development, hypertension, osteo- and rheumatoid arthritis as well as pain  
331 perception.

332

333 Based on the ancestral-susceptibility model, the positive selection acting on the  
334 PCSK6 locus might mainly be associated with its function in **tumorigenesis**. When  
335 PCSK6 is overexpressed, it increases the susceptibility to carcinogenesis and thus  
336 leads to enhanced tumour cell proliferation (Bassi et al., 2010). In addition, the  
337 PCSK6 enzyme evidently plays an important role in the development of prostate  
338 cancer (D'Anjou et al., 2011), as it is highly expressed in all different clinical stages  
339 of prostate tumour tissues. The sustained cancer progression might occur as a result  
340 of upregulated or aberrant PCSK6-related processing of growth factors (D'Anjou et  
341 al., 2011) such as TGFbeta 1 (Dubois et al., 2001), TGFbeta-related BMPs  
342 (Constam & Robertson, 1999), IGF1 (Bassi et al., 2010) or the pro-apoptotic factor  
343 TRPS1 (D'Anjou et al., 2011). Furthermore, evidence has implicated the involvement  
344 of PCSK6 in skin cancer (Bassi et al., 2010), breast cancer (Cheng et al., 1997) and  
345 ovarian cancer (Page et al., 2007). Consequently, the potential role of PCSK6 in the

346 formation of several tumours and cancer makes the scenario of positive selection  
347 feasible. The somatic mutations within tumours that are subject to positive selection  
348 might be the basis for the adaptive role of cancer genes during evolution and  
349 substantially contribute to transformation, tumour maintenance, expansion and  
350 metastasis. Having uncovered the sites affected by positive selection can yield an  
351 information gain about PCSK6 in tumorigenesis. In this context, signatures of  
352 positive selection delimit those regions of the PCSK6 locus that might be functionally  
353 important for the development and progression of various cancer types for further  
354 research in the field.

355

356 Another function of PCSK6 lies in the production and perception of **pain signals**. A  
357 knock-out of PCSK6 in mice leads to a reduced pain sensitivity or protection against  
358 pain compared to the wild-type (Malfait et al., 2012). As many phenotypic traits  
359 associated with pain probably reflect strong positive selection, the detected positive  
360 selection of PCSK6 might be indicative for an assignment of this enzyme an  
361 evolutionary-relevant status within nociception. These assumptions would again be  
362 in accordance with the ancestral-susceptibility model (Di Rienzo & Hudson, 2005).  
363 Similarly, the Mas-related genes (MRG) protein was among the first examples of  
364 nociception-relation genes to be found under positive selection (Choi & Lahn, 2003).  
365 The evolutionary relevance for genes such as MRG or PCSK6 relies on the fact that  
366 perception of dangerous stimuli as being painful is crucial to survival. Varying  
367 evolutionary, environmental or social frameworks require an altered sensitivity and  
368 selectivity to stimuli, making the responsible gene loci (MRG, PCSK6) a substrate of  
369 selective pressure and support the ancestral-susceptibility model. Indeed, different  
370 human populations show drastically variable responses to identical pain stimulation.

371 Edwards et al. (2001) for example found statistically significant effects in  
372 dependence of ethnic group membership for perceived pain severity and pain-  
373 related disability.

374

375 PCSK6 is upregulated in the cartilage of patients with **osteoarthritis** (Byun et al.,  
376 2010). Its intrinsic SNP *rs900414* between the exons 22 and 23 is significantly  
377 associated with symptomatic osteoarthritis in the knee of Caucasians (Malfait et al.,  
378 2012). The specific location of this SNP indicates an important role in the variable  
379 splicing of the gene (Tsuji et al., 1994). This makes it plausible that positive selection  
380 has favoured the functional evolution of this PCSK6 variant. During the search for  
381 molecular adaption to selective forces within the PCSK6 locus, we found this  
382 polymorphism to be under positive selection by both algorithm programs LOSITAN  
383 and BayeScan. Furthermore, Wang et al. (2015) discovered a significantly increased  
384 expression of PCSK6 mRNA in the synovial tissues of individuals with rheumatoid  
385 arthritis compared to control tissues. Additionally, PCSK6 knock-down experiments  
386 reveal a reduced proliferation of rheumatoid arthritis synovial fibroblasts. Based on  
387 these observations, an association of PCSK6 and painful joint diseases is probable.  
388 These results point to the probability that positive selection on PCSK6 could also be  
389 directed towards its function in the development of arthritis.

390

391 A haplotype analysis tested the association of PCSK6 and **hypertension** because  
392 genetic factors have been estimated to contribute to 20-50% of inter-individual blood  
393 pressure variation (Perusse et al., 1991). Thereby Li et al. (2004) discovered a  
394 haplotype block within PCSK6 which is linked to diastolic high blood pressure (Li et  
395 al., 2004). We also detected a polymorphism within this block as being under

396 positive selection with the two outlier algorithms used. The SNP *rs1871974* in the  
397 PCSK6 gene could therefore be involved in the physiological mechanism of blood  
398 pressure regulation and might be again in accordance with the ancestral-  
399 susceptibility mode. Although limited data on the role of PCSK6 in blood pressure  
400 regulation are available, there may be a potential biological effect of PCSK as a  
401 serine protease on the pressure in the vascular system. Two segregation analyses  
402 by Xu et al. (1999) have provided evidence for an increased linkage of blood  
403 pressure in the genomic region close to the PCSK6 locus (Xu et al., 1999). The  
404 carboxy-terminal domain of PCSK6 seems to be essential to effectively process the  
405 endothelial lipase and the lipoprotein lipase (Seidah et al., 2008). Hence, these  
406 interaction partners might indicate the involvement of PCSK6 in cardiovascular  
407 diseases and in the pathological lipid profile. Moreover, Chen et al. (2015) showed  
408 that PCSK6 cleaves and stimulates corin, a serine protease that activates natriuretic  
409 peptides (Chen et al., 2015). Thereby PCSK6 knock-out mice and mutation studies  
410 indicate that PCSK6 functions as a corin activator and is important for sodium  
411 homeostasis to regulate blood pressure. This would help explain the positively-  
412 selected SNPs discovered by the selection scan performed in this study.

413

414 PCSK6 interacts in a wide range of pathways and cellular processes and thus  
415 various substrates of this enzyme are known. The enumeration of all PCSK6 targets  
416 can also provide an extensive basis to explain the detected balancing selection of 15  
417 gene loci. Balancing selection suggests that the minor alleles bear some fitness  
418 disadvantage, which might alter survival or reproduction of an organism. However, it  
419 has to be kept in mind that the method of selection detection forbids direct inferences

420 about a phenotype and conclusions drawn in this discussion are mainly speculative.

421 Therefore SNPs under selection provide mainly viable indicators for future research.

422

423 To name but one, PCSK6 plays a key role in activating NODAL and in TGFbeta  
424 signalling during the development of **left-right asymmetry** in vertebrates (Constam

425 & Robertson, 2000). The involvement of PCSK6 in axe formation and in the

426 establishment of a left-right asymmetry points to the influence of this locus on

427 laterality and handedness. The genetic association of PCSK6 and handedness has

428 already been demonstrated among persons with dyslexia (Brandler et al., 2013). The

429 current literature and epidemiological data on the distribution of handedness, in

430 which left-handers make up a constant-level minority (10%) in all human populations,

431 would agree with the balancing selection mechanism as detected in PCSK6. More

432 specifically, a negative frequency-dependent selection mechanism with regard to

433 handedness can be assumed (Raymond et al., 1996). Thereby, the less frequent

434 phenotype shows a fitness advantage *only* if it is held constant on a low level.

435 According to Faurie and Raymond (2005), the benefit of left-handers lies in their

436 advantages in fighting situations. The authors provide evidence for this fighting

437 hypothesis in the strong positive correlation they found between homicide rates and

438 left-handedness in traditional societies (Faurie & Raymond, 2005). Thus, negative

439 frequency-dependence might have played an important role in maintaining left-

440 handedness in human populations. Nevertheless, it is still possible that other, still-to-

441 be-determined advantages might be connected with left-handedness.

442

443 The function of PCSK6 in the development of lateralization and handedness might

444 be supported by the presence of many SNPs under balancing selection, as detected

445 in this study. To date, no evidence for the direct association of one of the 15  
446 discovered SNPs and handedness has been found. However, the *rs11855415*  
447 polymorphism was revealed in this study to be under balancing selection by a single  
448 LOSITAN analysis. This polymorphism is significantly more frequent among right-  
449 handed individuals with dyslexia (Scerri et al., 2011).

450

451 The EHH approach led to results for a temporal classification by confirming six of the  
452 SNPs under directional positive selection (*rs1871974*, *rs784519*, *rs28469755*,  
453 *rs3784515*, *rs4965825*, *rs900414*). Considering that EHH only detects relatively  
454 recent, respectively incomplete and on-going selective sweeps, those SNPs not  
455 verified by EHH may date back longer than 20,000 years (Voight et al., 2006) and  
456 could hence be detected only by the two other outlier approaches used.

457

458 The field of genomics enables the examination of human adaptation to diseases  
459 because natural selection leaves signatures in the genome. Hence, genomic variants  
460 might improve survival and reproduction or can have disadvantageous outcomes  
461 (Karlsson et al., 2014). The exact nature of the selective pressure on PCSK6 is not  
462 completely understood, but this study contributed by detecting and explaining  
463 positive and balancing selection patterns on the PCSK6 gene to show a way forward  
464 for future research in this field.

465

## 466 **Conclusions**

467 Positive as well as balancing selection was identified to act on the proprotein  
468 convertase subtilisin/kexin type 6 (PCSK6) gene by applying four different  
469 approaches (FDIST, BayeScan, EHH, iHS). These selective signatures can provide

470 an indirect approach to obtain information of the gene`s relevance. PCSK6 might  
471 have a considerable relevance as it is involved in numerous signalling pathways and  
472 converts many substrates into their active and effective form. This presumption can  
473 be confirmed as the PCSK6 selection scan yielded twelve intronic loci under positive  
474 and 15 under balancing selection. Based on this, we provide an overview of PCSK6-  
475 related mechanisms potentially associated with the detected selection patterns.  
476 Whilst positive selection possibly reflects the role of PCSK6 in tumorigenesis, in  
477 nociception, in rheumatoid arthritis as well as in blood pressure regulation, balancing  
478 selection might explain the involvement of PCSK6 in vertebral left-right asymmetry  
479 and in human handedness.

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481

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676 **Author Contributions**

677 S. Kuderer and M. Fieder designed and performed the analysis. S. Kuderer, M.  
678 Fieder and S. Kirchengast wrote the manuscript. All authors read and approved the  
679 final manuscript.

680

681 **Competing interests**

682 The authors declare no competing financial interests.

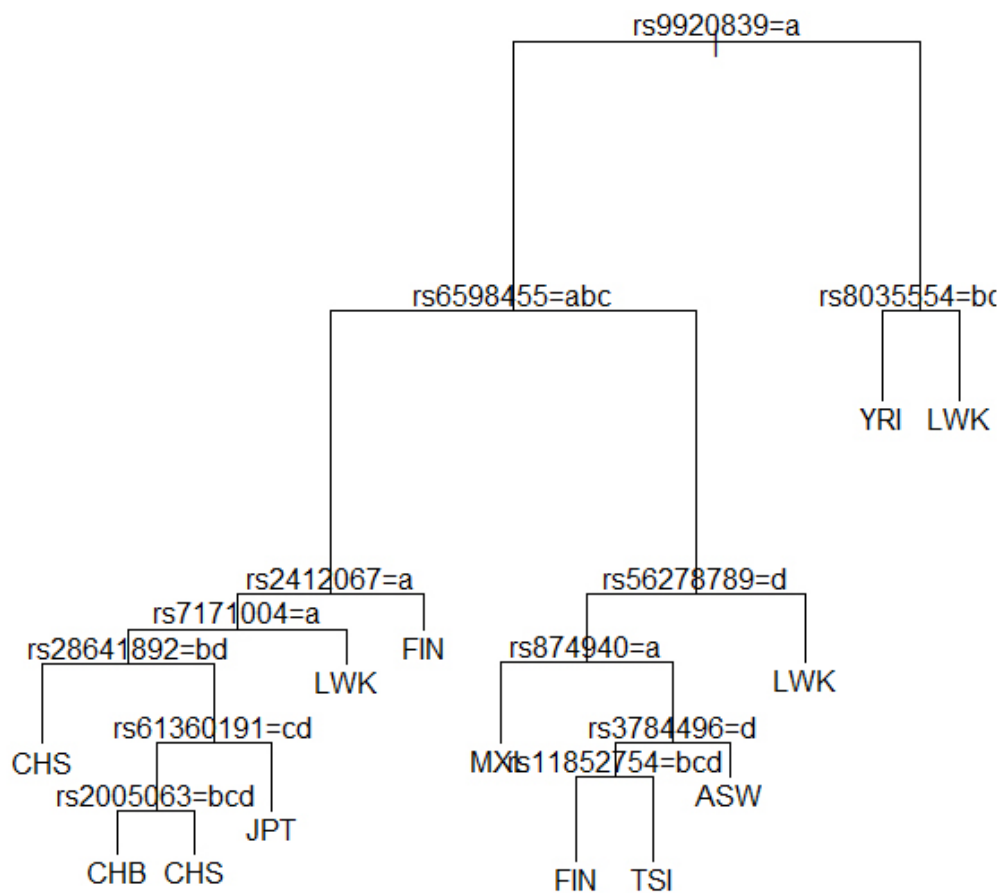


683 **Figures**

684 **Figure 1.** Regression tree of SNPs on PCSK6 regressing on 14 populations of the

685 1000 samples.

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720 **Tables**721 **Table 1.** Populations of the 1000 genome project.

<b>Superpopulation</b>	<b>Population</b>	<b>Number of individuals</b>
Africa (AFR)	African ancestry in Southwest USA (ASW)	61
	Luhya in webuye, Kenya (LWK)	97
	Yoruba in Ibadan, Nigeria (YRI)	88
Europe (EUR)	Utah residents (CEPH) with Northern and Western European ancestry (CEU)	85
	Finnish from Finland (FIN)	93
	British from England and Scotland (GBR)	89
	Iberian populations in Spain (IBS)	14
	Toscans in Italy (TSI)	98
East Asia (ASN)	Han Chinese in Beijing, China (CHB)	97
	Han Chinese South (CHS)	100
	Japanese in Tokyo, Japan (JPT)	89
America (AMR)	Colombians from Medellin, Colombia (CLM)	60
	Mexican ancestry from Los Angeles, USA (MXL)	66
	Puerto Ricans from Puerto Rico (PUR)	55

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730 **Table 2.** SNPs identified by both FDIST and BayeScan under strong directional  
731 positive selection.

FDIST				BayeScan		
Locus	Het	$F_{st}$ FDIST	P	log10(P0)	Baye-Alpha	$F_{st}$ BayeScan
rs1000914	0.444023	0.16524	0.001301	1.9537	0.98882	0.13865
rs12592414	0.285875	0.184773	0.001913	2.1044	1.0679	0.14779
rs1871974	0.433851	0.214186	0.000122	2.0617	0.97104	0.13649
rs28469755	0.502367	0.256752	0	1000	1.2833	0.17106
rs3784496	0.36837	0.243999	0.000155	1000	1.15	0.15619
rs3784515	0.487685	0.292646	0	1000	1.2912	0.17199
rs3784518	0.483786	0.269345	0	1000	1.1653	0.15734
rs3784519	0.483786	0.269345	0	3.3977	1.178	0.15879
rs4965825	0.489865	0.233589	0	2.442	1.0043	0.13965
rs7167668	0.287938	0.194089	0.001177	2.6187	1.0919	0.15008
rs900414	0.489763	0.289394	0	1000	1.3	0.17307
rs9920839	0.165675	0.325789	0.000445	1.5186	1.1707	0.16156

732 P values for FDIST are reasonably below a significance level of 0.00001. BayeScan  
733 log10(P0) values higher than 1.5 as well as Baye-Alpha values above 1 indicate  
734 very strong directional positive selection. *Note:  $F_{st}$  values between FDIST and*  
735 *BayeScan are different due to different  $F_{st}$  calculations.*

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744 **Table 3.** SNPs identified by both FDIST and BayeScan under balancing selection.

FDIST				BayeScan		
Locus	Het	P	F <sub>st</sub> FDIST	log10(PO)	Baye-Alpha	F <sub>st</sub> BayeScan
rs11634270	0.379966	0.001554	0.000608	1.9155	-1.6983	0.014125
rs11853956	0.313035	0.002338	0.005582	2.0516	-1.7187	0.013611
rs11854179	0.335685	0.001743	0.003056	1.6129	-1.4949	0.016672
rs11855154	0.320336	0.001539	0.0026	2.0417	-1.672	0.014221
rs12915828	0.280766	0.000736	0.00361	1.894	-1.6102	0.015082
rs2047216	0.419421	0.000579	-0.002719	3.0965	-2.2201	0.0088471
rs28529205	0.21031	0.000547	0.004491	2.1518	-1.6856	0.013895
rs34548635	0.411601	0.000703	-0.001854	3.0965	-2.017	0.010491
rs36010356	0.392268	0.000363	0.002007	1.632	-1.6148	0.015373
rs4965869	0.384999	0.00038	0.002138	1.9155	-1.6328	0.014836
rs55794955	0.318958	0.001452	0.002388	2.234	-1.662	0.014161
rs62027207	0.430008	0.000991	-0.000284	2.6187	-1.9322	0.01131
rs6598477	0.379372	0.001456	0.000283	1.9783	-1.7067	0.013972
rs71414401	0.480575	0.000325	-0.005042	3.3977	-2.2046	0.008878
rs7166089	0.400391	0.000469	0.002718	1.7672	-1.5909	0.015381

745 P values for FDIST are reasonably below a significance level of 0.00001. BayeScan  
 746 log10(PO) values higher than 1.5 as well as Baye-Alpha values below -1 indicate  
 747 balancing selection.

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754 **Table 4.** iHS scores for the YRI, CEU, CHB and MXL population for the SNPs under  
 755 strong directional positive selection (identified by FDIST and BayeScan).

Locus	iHS YRI	iHS CEU	iHS CHB	iHS MXL
rs1000914	0.605149	0.065135	0.109416	1.04689
rs12592414	0.0183482	NA	0.518972	0.248092
rs1871974	1.05102	0.193538	1.42936	1.03266
rs28469755	0.141949	0.866329	1.97453	1.04179
rs3784496	0.206046	1.30646	0.196389	0.804126
rs3784515	0.242875	0.674884	1.40654	1.048
rs3784518	0.235632	0.668706	1.45598	1.0787
rs3784519	0.235632	0.668706	1.45598	1.14199
rs4965825	0.59076	0.0487176	0.754521	0.959626
rs7167668	0.248535	NA	0.299185	0.2117
rs900414	0.00213491	0.648175	1.62501	0.248092
rs9920839	0.0838233	NA	NA	NA

756 NA indicates that the procedure was not applicable.