

# Characterisation of genomic alterations in proximal and distal colorectal cancer patients

Ryia-Illani Mohd Yunos<sup>Corresp. 1</sup>, Nurul-Syakima Ab Mutalib<sup>1</sup>, Sheau Sean Khor<sup>2</sup>, Sazuita Saidin<sup>1</sup>, Norshahidah Mahamad Nadzir<sup>1</sup>, Zuraini Abd. Razak<sup>1</sup>, Isa Md. Rose<sup>3</sup>, Ismail Sagap<sup>4</sup>, Luqman Mazlan<sup>4</sup>, Norfilza Mohd Mokhtar<sup>5</sup>, Rahman Jamal<sup>Corresp. 1</sup>

<sup>1</sup> UKM Medical Molecular Biology Institute, Cheras, Kuala Lumpur, Malaysia

<sup>2</sup> Life Sciences Solutions, Thermo Fisher Scientific Incorporation, Singapore, Singapore

<sup>3</sup> Department of Clinical Oral Biology, Faculty of Dentistry, Universiti Kebangsaan Malaysia, Kuala Lumpur, Kuala Lumpur, Malaysia

<sup>4</sup> Department of Surgery, Faculty of Medicine, Universiti Kebangsaan Malaysia, Cheras, Kuala Lumpur, Malaysia

<sup>5</sup> Department of Physiology, Faculty of Medicine, Universiti Kebangsaan Malaysia, Cheras, Kuala Lumpur, Malaysia

Corresponding Authors: Ryia-Illani Mohd Yunos, Rahman Jamal

Email address: ryia.yunos@ppukm.ukm.edu.my, rahmanj@ppukm.ukm.edu.my

**Background:** Colorectal cancer (CRC) is one of the commonest cancers in Malaysia and majority of the patients will present with advanced disease at diagnosis particularly in cases of proximal CRCs. Little is known about the relationship between the genetic landscape and the anatomical location of the tumour as well as the prognostication in CRC patients. **Objectives:** The objectives of this study were to determine the somatic single nucleotide variants (SNV) and the cellular pathways between the proximal and distal CRCs. **Methods:** Whole exome sequencing was performed using the Ion Proton platform on 10 pairs of normal and CRC samples. The sequencing results were analysed using the Torrent Suite Software and the variants were annotated using ANNOVAR followed by validation with Sanger sequencing. **Results:** The commonly altered genes in CRCs are KRAS, APC, TP53 and ATM. APC is the most frequently altered gene in both proximal and distal CRCs. KRAS and ATM genes were exclusively altered in the proximal CRCs with a frequency of 60% and 40%, respectively. On the other hand, TP53 mutations did not show any CRC anatomical predominance. There were five recurrent novel variants in proximal CRCs and one recurrent novel variant in distal CRC. Wnt signalling pathway was the most frequently altered pathway in both proximal and distal CRCs whereas TGF- $\beta$  and PI3K signalling pathways were predominantly altered in the proximal CRCs. **Conclusion:** We found that proximal CRCs presented with more variants and altered pathways as compared to distal CRCs. We also discovered that the TGF-Beta signalling (four mutations) and PI3K signalling (two mutations) pathways were exclusively altered in proximal CRCs. However, further study in larger series of samples coupled with functional studies will be needed to confirm the identified variants and determine their role in the genesis of proximal and distal CRCs.

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6 <sup>1</sup> UKM Medical Molecular Biology Institute (UMBI), <sup>2</sup>Life Sciences Solutions,  
7 Thermo Fisher Scientific Inc., <sup>3</sup>Department of Clinical Oral Biology, Faculty of Dentistry, <sup>4</sup>Department of  
8 Surgery, <sup>5</sup>Department of Physiology, Faculty of Medicine, Universiti Kebangsaan Malaysia, Kuala  
9 Lumpur, Malaysia.

## 10 Abstract

11 **Background:** Colorectal cancer (CRC) is one of the common cancers in Malaysia. Majority of the  
12 patients will present with advanced disease at diagnosis particularly in cases of proximal CRCs. Little are  
13 known about the relationship between the genetic landscape and the anatomical location of the tumour; as  
14 well as the prognostication in CRC patients.

15 **Objectives:** The objectives of this study were to determine the somatic single nucleotide variants (SNV)  
16 and the cellular pathways between the proximal and distal CRCs.

17 **Methods:** Whole exome sequencing was performed on the Ion Proton platform on 10 pairs of normal and  
18 CRC samples. The sequencing results were analysed using the Torrent Suite Software and the variants  
19 were annotated using ANNOVAR; followed by validation with Sanger sequencing.

20 **Results:** The common altered genes in CRCs are KRAS, APC, TP53 and ATM. APC is the most  
21 frequently altered gene in both proximal and distal CRCs. KRAS and ATM genes were particularly  
22 altered in the proximal CRCs with a frequency of 60% and 40%, respectively. On the other hand, *TP53*  
23 mutations did not show any CRC anatomical predominance. There were five recurrent novel variants in

24 proximal CRCs and one recurrent novel variant in distal CRC. Wnt signalling pathway was the most  
25 frequently altered pathway in both proximal and distal CRCs whereas TGF- $\beta$  and PI3K signalling  
26 pathways were predominantly altered in the proximal CRCs.

27 **Conclusion:** We found that proximal CRCs presented with more variants and different altered pathways  
28 as compared to distal CRCs. We also discovered that the TGF-Beta signalling (four mutations) and PI3K  
29 signalling (two mutations) pathways were exclusively altered in proximal CRCs. However, further study  
30 in larger series of samples coupled with functional studies will be required to confirm the identified  
31 variants and determine their roles in the genesis of proximal and distal CRCs.

32

### 33 **Introduction**

34 Colorectal cancer (CRC) is the third most common diagnosed cancer worldwide (Ferlay *et al.*,  
35 2015) and is ranked as the second most common cancer in Malaysia (Zainal Arrifin and Nor Salehah,  
36 2011). According to the National Cancer Registry Report, CRC is the most common cancer among men  
37 and the third most common among women in Malaysia (Lim *et al.*, 2008). In year 2012 there were  
38 1,360,602 new cases and 693,933 deaths from CRC worldwide (Ferlay *et al.*, 2013).

39 Anatomically, CRCs are classified into three subsets named, proximal, distal and rectum.  
40 Proximal CRC is located on the right side of the colon, which includes cecum, ascending colon, hepatic  
41 flexure, transverse colon and splenic flexure; while distal CRC is located on the left side of the colon,  
42 including sigmoid and descending colon (Gonzalez *et al.*, 2001). It is postulated that both the proximal  
43 and distal CRCs are anatomically differed. Thus, these arise different biological pathways, suggesting  
44 different molecular mechanisms involved (Bufill, 1990). Biological differences between the normal  
45 proximal and distal colon suggest that the carcinogenesis in these locations may be mediated via different  
46 molecular pathways (Minoo *et al.*, 2010; Missiaglia *et al.*, 2014). This may have profound prognostic and  
47 therapeutic implications. Differences in gene expression between adenocarcinoma of the proximal and

48 distal CRC do exist and these information are ought to be taken into consideration when investigating  
49 new predictive and prognostic biomarkers (Maus *et al.*, 2015). Among the molecular characteristics that  
50 distinguishing between proximal and distal CRCs are the low frequency of TP53 and KRAS gene  
51 mutations, lower c-MYC expression and DNA mismatch repair (MMR) deficiency in proximal CRC  
52 (Bogaert and Prenen, 2014). Distal CRC, on the other hand, shows higher frequency of TP53 and KRAS  
53 gene mutations and c-MYC expression (Bogaert and Prenen, 2014).

54         Several large cohort studies in the last 20 years have demonstrated that the proximal and distal  
55 CRCs differed in their susceptibility to screening tests, the stage at which they were diagnosed, patient  
56 characteristics, pathology and prognosis (Benedix *et al.*, 2010; Myer *et al.*, 2012). A few studies find that  
57 the distribution of distal and proximal CRCs varies according to ethnicity (Li and Lai., 2009). Individuals  
58 with African ancestry are more likely to develop proximal cancer rather than distal CRCs (Wu *et al.*,  
59 2004; Irby, *et al.*, 2006); whereas Asians and Pacific Islanders are more prone to distal colon and rectal  
60 cancers (Wu *et al.*, 2004). A study by Goh and colleagues supported this fact, whereby 77% of CRC cases  
61 in Malaysia were diagnosed as distal CRCs (Goh *et al.*, 2005). However, despite the different  
62 distributions, the actual causative molecular events that lead to the different prognosis between proximal  
63 and distal CRCs remains poorly understood.

64         Next generation sequencing technologies have revolutionized cancer research and management,  
65 particularly in diagnostic and treatment strategies (Meldrum *et. al.*, 2011; Roychowdury *et. al.*, 2011).  
66 Using the whole exome sequencing approach, we examined the exomes of Malaysian patients diagnosed  
67 with proximal and distal CRCs in order to identify the differences and the distinct signatures based on  
68 their anatomical distribution. We hope that this can contribute to a better management and treatment of  
69 CRC patients in the future.

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**74 Methods and Materials****75 Clinical material**

76 A total of ten paired colorectal carcinoma and their corresponding adjacent normal tissues (five  
77 proximal and five distal), were collected from patients at Universiti Kebangsaan Malaysia Medical  
78 Centre, Kuala Lumpur. The written informed consents were provided by patients to participate in the  
79 study. This study was approved by the UKM Research Ethics Committee (Reference no: UKM  
80 1.5.3.5/244/UMBI-004-2012). The tissues were subjected to cryosectioning; followed by haematoxylin  
81 and eosin (H&E) staining. Our pathologist confirmed the presence of at least 80% cancer cells in the  
82 tumour specimens and less than 20% necrosis in paired unaffected colorectal tissue adjacent to the tumour  
83 site. DNA extraction was performed using the QIAamp DNA mini kit (QIAGEN, Valencia, CA)  
84 according to the manufacturer's protocol. Quality and quantity of the extracted DNA were assessed using  
85 Qubit Fluorometer (Invitrogen, Carlsbad, CA, USA), NanoDrop 2000 spectrophotometer (NanoDrop  
86 Technologies, Wilmington, DE); as well as agarose gel electrophoresis. To confirm the identity of each  
87 tumour and normal paired samples, genotyping was performed by multiplex PCR based on microsatellite  
88 polymorphisms using Coriell Identity Mapping Kit (Coriell Institute, New Jersey, USA).

89

**90 Exome capture, library construction and next generation sequencing**

91 One microgram (1 µg) of genomic DNA from each pair of tumour and normal sample was  
92 mechanically sheared by ultrasonic fragmentation using the Covaris® System to achieve fragments of  
93 about 50 – 500bp. The fragmentation profile was assessed by the Bioanalyzer High Sensitivity DNA  
94 Analysis Kit (Agilent Technologies, Carlsbad, CA, USA). The fragmented DNA was used to construct a

95 fragment library using the Ion Plus Fragment Library Kit (Life Technologies, Guilford, CT) according to  
96 the manufacturer's instructions for ligation, end repair, purification, size selection and final amplification  
97 prior to exome capture. For multiplexing the samples, adapters with short stretches of index sequences  
98 from Ion Xpress™ Barcode Adapters 1 – 16 kit was used and thus allowing the sequencing of two  
99 samples in a single Ion PI™ chip run. Five hundred nanograms (500 ng) of the amplified, size selected  
100 library (~285 bp) from each sample was subjected to exome capture procedure using the Ion TargetSeq™  
101 Exome kit (Life Technologies, Guilford, CT) according to manufacturer's protocol. Exomes were  
102 captured using ~2 million TargetSeq™ capture probes with biotinylated oligos that range from ~50 bases  
103 to ~120 bases. The captured DNA fragments were isolated using streptavidin-coated Dynabeads  
104 paramagnetic beads, and then were amplified and purified. Finally, the samples were quantitated and  
105 qualitatively assessed on the Bioanalyzer High Sensitivity DNA chip (Agilent Technologies, Carlsbad,  
106 CA, USA). The purified, 10 pM of exome-enriched libraries were used for template preparation on Ion  
107 PI™ Ion Sphere™ Particles (ISPs) for sequencing on an Ion PI™ Chip using Ion Proton sequencer (Life  
108 Technologies, Guilford, CT).

109

## 110 **Bioinformatics analyses**

111 The data was processed using the Torrent Suite software v4.2.1. The Torrent Suite Software  
112 automated the generation of sequence reads, trimming of adapter sequences, removal of poor quality  
113 reads; as well as sequence alignment to the hg19 human genome reference. Variants were called using the  
114 Torrent Variant Caller plugin, configured for somatic mutation detection with low stringency setting.  
115 Variant filtering and calculation of transition to transversion ratio (Ti/Tv) was performed using SnpSift  
116 tool in SnpEff (Cingolani *et al.*, 2012). The variants were then subjected to annotation using ANNOVAR  
117 (Wang and Hakonarson, 2010). Gene based annotation was performed against RefSeq Gene, UCSC  
118 Known Gene and ENSEMBL Gene. The variants were further annotated against the conserved region

119 (phastConsElements46way), segmental duplication region (genomicSuperDups), alternative allele  
120 frequency in all subjects in the National Heart Lung and Blood Institute Exome Sequencing Project  
121 (NHLBI-ESP) project with 6500 exomes (esp6500si\_all), alternative allele frequency data in 1000  
122 Genomes Project (1000g2014oct\_all), the Exome Aggregation Consortium (ExAC 01), dbSNP version  
123 138 (snp138), CLINVAR (clinvar\_20140929) and COSMIC version 70 (cosmic70). Protein impact  
124 prediction was also performed on ANNOVAR using SIFT, PolyPhen2 HDIV, PolyPhen2 HVAR, LRT,  
125 MutationTaster, MutationAssessor, FATHMM, GERP++, PhyloP and SiPhy (using command ljb26\_all).  
126 In order to identify potential druggable variants, the drug gene interaction database, DGIdb 2.0 was  
127 utilized to annotate the variants against drugs genes interaction dataset (<http://dgidb.genome.wustl.edu/>).

128

### 129 **Variants prioritization**

130 Variants exclusion criterias included those with base quality less than Q30, not resulting in  
131 amino acid changes, identified in unannotated genes (unknown), called in both tumour and normal  
132 exomes, representing probable mapping ambiguities, and have minimal allele frequency (MAF) of more  
133 than 5% in the 1000 Genomes Project, ExAC and ESP6500 database. A variant in a tumour was  
134 considered to be a novel true candidate somatic mutation if the corresponding normal sample has at least  
135 10 reads covering this position, zero variant reads and has not been reported in dbSNP138 or the 1000  
136 Genomes data set (October 2014). For the resulting candidate of somatic mutations, the alignment of each  
137 sample was manually examined to check for sequencing artefacts and alignment errors using the  
138 Integrated Genomic Viewer (IGV) (Robinson *et. al.*, 2011; Thorvaldsdóttir *et. al.*, 2013).

139

### 140 **Gene pathway analysis**

141 The Ingenuity Pathway Analysis (IPA) (Qiagen, Valencia, CA) was used for core analysis to  
142 identify the affected canonical pathways in proximal and distal CRCs.

143

144

#### 145 **Variant confirmation**

146 Variants were validated using the Sanger sequencing method. Primers corresponding to the  
147 selected locations were designed using IDT-DNA Primer Quest (Coralville, IA). The primer sequences  
148 were included in Supplementary Table 1. PCR products were generated and cycle sequencing was  
149 performed using the Big Dye Terminator V3.1 reagent (Life Technologies, Guilford, CT). The cycle  
150 sequencing products were then processed using ethanol precipitation and sequencing was carried out  
151 using the ABI 3130xl capillary electrophoresis (Life Technologies, Guilford, CT). The results were  
152 analysed using the Basic Local Alignment System Tool (BLAST) and Sequence Scanner (Applied  
153 Biosystem, Foster City, CA).

154

#### 155 **Results**

##### 156 **Clinicopathological characteristic of patients**

157 The characteristics of all ten patients were listed in Table 1. With regards to cancer stage, 20%  
158 (n=2) of the patients were of Dukes' A, 40% (n=4) were Dukes' B and the remaining 40% (n=4) were  
159 Dukes' C (Dukes 1932). The average age of patients was approximately 69 years old (range 58–75 years).  
160 The samples comprised of three well differentiated adenocarcinoma, three moderate differentiated  
161 adenocarcinoma and four poorly differentiated adenocarcinoma. From these ten samples, four patients  
162 were positives for lymph nodes metastasis and six were negative.



163

**164 Exome sequencing analysis and coverage**

165           The capture regions covered by Ion TargetSeq was about 37.3 Mb and we managed to obtain an  
166 average of 39.6 million reads. An average coverage of about 70X for each sample was obtained and the  
167 coverage of the target region at 20X was more than 85%. This was comparatively higher than what were  
168 obtained by a study on pancreatic cancer (Wang *et al.*, 2012) and a study on colon and rectal cancers (The  
169 Cancer Genome Atlas 2012). On average, the number of variants detected at Q30 for each sample was  
170 35, 713 (32, 804 - 37,487 variants) (Table 2). To assess the quality of variants, the ratio of the number of  
171 transitions to the number of transversions was determined. The expected Ti/Tv ratio for exome target  
172 regions is 2.8 (DePristo *et al.*, 2011). However, the target regions of exome capture kits often covered  
173 more than just exons. For SNPs resided in these target regions, Ti/Tv ratios between 2.0 and 3.0 were  
174 observed (Guo *et al.*, 2014). The target regions of Ion TargetSeq kit covered both exonic and non exonic  
175 regions, such as 3' UTR and 5' UTR, therefore, we obtained an average Ti/Tv ratio of 2.7.

176           Upon variants prioritizations, we obtained a total of 4,737 and 4,178 variants in proximal and  
177 distal CRC, respectively. Among all the variants found in proximal CRC, 539 were protein-altering  
178 mutations in 508 genes located in the conserved regions. On the other hand, the distal CRCs had 246  
179 protein-altering mutations in 180 genes located in the conserved regions.

180

**181 Profile of mutated CRC-related genes and altered pathways**

182           Thirty three (33) genes that have been reported in the tumorigenesis of CRCs; compiled from a  
183 list of ten publications (Perreault *et al.*, 2001; Moreno-Bueno *et al.*, 2003; Segditsas *et al.*, 2003; Reya *et al.*,  
184 *et al.*, 2005; Van es *et al.*, 2005; Femia *et al.*, 2007; Nagel *et al.*, 2008; Clevers *et al.*, 2012; Diaz *et al.*,  
185 2012; Vogelstein *et al.*, 2013). We plotted each altered gene which detected in our patients in figure 1A.  
186 Altered genes were defined as any gene that has at least one or more protein-altering mutations. Fifteen  
187 (15) out of the 33 CRC-related genes were altered in proximal cancers (29 mutations) and five CRC-

188 related genes were altered in distal cancers (eight mutations) as listed in Table 3. To explore the affected  
189 pathways and the differences between the two subsites of CRC, we focused on major pathways involved  
190 in the CRC tumorigenesis (Moreno-Bueno *et al.*, 2003; Segditsas *et al.*, 2003; Femia *et al.*, 2007; Nagel *et*  
191 *al.*, 2008; Clevers *et al.*, 2012; Diaz *et al.*, 2012; Vogelstein *et al.*, 2013). This approach was adapted from  
192 Ashktorab *et al.* (2014). An affected pathway is defined when at least one or more genes are altered in  
193 any pathway (Figure 1B). We observed that 90% (n=9) of the CRC patients shared an affected Wnt  
194 signalling pathway with five genes being altered (12 mutations). RTK-RAS and TP53 signalling  
195 pathways were also found to be altered in both proximal and distal CRCs with six mutations in both  
196 pathways. We also discovered that the TGF-Beta signalling (four mutations) and PI3K signalling (two  
197 mutations) pathways were exclusively altered in proximal CRCs. Overall, there were more altered  
198 pathways in proximal as compared to distal CRCs. Analysis using Ingenuity Pathway Analysis (IPA)  
199 software identified the Wnt signalling and growth factor signalling pathways as the most commonly  
200 affected (Figure 3).

201

### 202 **Most frequently altered genes in proximal and distal CRCs**

203 We discovered that the APC gene (six mutations) was the most frequently mutated gene with a  
204 frequency of 60% (n=3) in both proximal and distal CRCs. The second most frequently mutated gene in  
205 both proximal and distal CRC was TP53 gene (six mutations) with the frequency of 40% (n=2). This  
206 suggested that *APC* and *TP53* did not have predominance for either side of the colon. Interestingly, we  
207 found that the KRAS gene (three mutations) and ATM gene (two mutations) were uniquely altered in  
208 proximal CRCs with the frequency of 60% and 40% respectively (Figure 2). Among all of the frequent  
209 altered genes in this set of analysis, we identified three novel mutations in APC gene, namely, a  
210 nonframeshift substitution (APC g.112176559TT>GC) in tumour R5T, stopgain (APC g.112162896T>G)  
211 in tumour L1T, stopgain (APC g. 112175322C>G) in tumour L4T and two novel mutations in ATM gene  
212 of tumour R3T (ATM g.108141828A>G) and R5T (ATM g.108106477G>T)(Table 4). Sanger  
213 sequencing successfully confirmed each of the 17 somatic mutations we had detected in these four genes.

214

**215 Recurrent variants and mutated genes**

216 In this discovery set of ten Malaysian CRC patients, five genes with one mutation were  
217 demonstrated in at least two individuals of proximal CRC patients. On the other hand, only one gene,  
218 with one mutation, was identified to be recurrent in at least two individuals of distal CRCs. Four novel  
219 variants in C9orf50, ZNF337, ZNF783 and CFAP74 genes were found; which have not been reported in  
220 dbSNP and COSMIC with minimum allele frequency less than 5% in three databases (1000 genome,  
221 ExAC and ESP6500) (Table 5).

222 Detailed analysis revealed that the minimum reads covering the mutated allele was 35 reads with  
223 a minimum of 1% of the total reads containing the alternate base. This was found in the variant presented  
224 in C9orf50 gene. The variant in the KRAS gene (KRAS g.25398284C>T) has the highest variant  
225 coverage with 121 reads and 52 reads (63%) contained the variant in sample R2T. The same variant was  
226 detected in sample R5T with 96 reads and 48 of the reads (50%) contained the alternate base.

227

**228 Actionable alterations in proximal and distal CRC**

229 We looked at the drug-gene interaction by annotating against the Drug Gene Interaction Database  
230 (DGIdb) and identified ten genes implicated in CRC tumorigenesis that are clinically relevant, including  
231 targets of new and existing therapies and genes. Twenty one (21) variants in ten genes and eight variants  
232 in three genes were identified in proximal and distal CRCs, respectively. Notably, 80% (8/10) of CRC  
233 patients harboured at least one actionable alteration (range one to seven alterations) that has been linked  
234 to a clinical treatment option or is currently being investigated in clinical trials for novel targeted  
235 therapies. For example, in the present study, 5FU-based chemotherapy is considered to target patients  
236 with wild type *TP53*, which includes patients R2, R3, R5, L1, L2 and L4 (Figure 1A).

237

238 **Discussions**

239 CRC is a heterogeneous disease with the genetic landscape and clinical outcomes dependent on  
240 the anatomic location of the cancer. Many efforts have been made to unveil the genetic alterations and  
241 molecular features of colorectal cancer (The Cancer Genome Atlas 2012; Ashktorab *et al.*, 2014). Studies  
242 shows increased evidences on these alterations would determine the prognosis and response to treatment  
243 (Nikhil *et al.*, 2012; Fang *et al.*, 2014; Maus *et.*, al 2015). Nevertheless, how the anatomic subsite has an  
244 impact on the molecular features and prognosis remained not well-studied.

245 In this study we analysed somatic alterations between proximal and distal CRC in the Malaysian  
246 patients. It has provided an insight into the identification of known and novel somatic mutations that  
247 suggest relationship between the genomic alterations, cellular pathways, actionable genes and anatomical  
248 location of the tumour. Overall, we discovered that proximal CRCs exhibited more somatic mutations and  
249 altered pathways as opposed to distal CRCs. This suggested that these may account for the poor prognosis  
250 of proximal CRC patients.

251 The Wnt signalling and EGFR pathways are among the leading pathways in colorectal cancer  
252 tumorigenesis (Kandoth *et al.*, 2013; Vogelstein *et al.*, 2013). To identify possible pathway differences in  
253 proximal and distal, we performed pathway analysis using IPA. We discovered that the most enriched  
254 pathways are the Wnt and the growth factor signalling. Ninety percent (90%) of our patients in this  
255 discovery set, irrespective of their anatomical location, had a mutation in one or more members of the  
256 Wnt signalling pathway, predominantly in *APC*. The frequency of mutated *APC* gene across proximal and  
257 distal CRC patients in our study is 60% (Figure 2). This is in concordance with recent findings where they  
258 discovered over 50% of the recruited patients in the study exhibited altered Wnt signalling pathway with  
259 *APC* being the most significantly mutated gene (Pamplona *et al.*, 2015; The Cancer Genome Atlas 2012).

260 Wnt signalling pathway activation is required for maintenance of colorectal tumours  
261 harbouring *APC* mutations (Scholer-Dahirel *et al.*, 2011). Inactivating *APC* mutations occur in about 85%  
262 of CRCs, resulting in  $\beta$ -catenin stabilization and increased signalling through the Tcf/Lef transcription  
263 factors. Mutant  $\beta$ -catenin is free to enter the nucleus and constitutively activates transcription through  
264 Tcfs (Gregorieff and Clevers 2005).  $\beta$ -catenin inhibition *in vivo* strongly inhibited the growth of  
265 established *APC*-mutant colorectal tumour xenografts (Scholer-Dahirel *et al.*, 2011). In our small set of  
266 patients, those with wild type *APC* gene (R3T and L5T) harboured at least one mutation in *CTNNB1*  
267 gene (Figure 1A), resulting in altered Wnt signalling pathway. It has been shown that, up to 50% of CRC  
268 with wild type *APC* gene were found to have *CTNNB1* mutations (Sparks *et al.* 1998).

269 From our analyses, we also found that the TP53 signalling pathway was altered in both proximal  
270 and distal CRCs. With a frequency of 40%, *TP53* is the second commonest altered gene in our study.  
271 *TP53* gene mutations in an Iranian cohort of CRC patients occurred as frequent as in other studies  
272 (Mahdavinia *et al.*, 2009) with equal distribution across different anatomic location. However, different  
273 mutation spectra of *TP53* was observed depending on proximal or distally located tumour (Malekzadeh *et*  
274 *al.*, 2009). Alteration of *TP53* may have different prognostic significance depending on the ethnic group  
275 (Manne *et al.*, 1998), anatomic subsite of the colon (Manne *et al.*, 1998; Samowitz *et al.*, 2002), and stage  
276 of disease (Soong *et al.*, 1997; Adrover *et al.*, 1999). There is a convincing evidence that patients with  
277 wild-type *TP53* gained a survival benefit from the use of 5-fluorouracil (5FU)-based chemotherapy  
278 (Iacopetta 2003). Patients with mutant p53 do not gain this survival benefit (Daniel *et al.*, 2003). We  
279 identified six patients with wild type *TP53* and these patients could potentially benefit from 5FU based  
280 chemotherapy. However, we postulated that four *TP53* mutated patients, in our study, might not gain  
281 survival benefit from 5FU treatment. In addition, the ATM gene that plays a significant role in the TP53  
282 signalling pathway, is found mutated in 40% of the proximal CRC patients in this present study. For these  
283 patients with mutated ATM, they have the additional option of the ATM/ATR Kinase inhibitor as the  
284 alternative treatment.

285           The tumour progression and response towards treatment are believed to be dependent on both  
286 *ATM* and *TP53* status (Jiang *et al.*, 2009; Song *et al.*, 2007). For instance, *ATM* signalling is necessary  
287 for the survival of *TP53*-deficient cells after DNA damage (Reinhardt *et al.*, 2007); whereas in cancers  
288 with wild type *TP53*, inactivation of *ATM* allows the survival of genomically unstable cells and induces  
289 chemoresistance (Jiang *et al.*, 2009; Reinhardt *et al.*, 2007). In *TP53*-deficient settings, inhibition of *ATM*  
290 dramatically sensitizes tumours to DNA-damaging chemotherapy, whereas, conversely, in the presence of  
291 functional *TP53*, inhibition of *ATM* actually promotes resistance effect towards chemotherapy (Jiang *et*  
292 *al.*, 2009). Thus, the specific set of alterations induced during tumour development play an important role  
293 in determining both the tumour response towards chemotherapy and specific susceptibilities to targeted  
294 therapies in a given cancer type.

295           Other than the genes implicated in CRC tumorigenesis, we highlighted here six genes which were  
296 of particular interest due to recurrently mutated exclusively in both proximal and distal CRCs. Out of this  
297 six recurrently mutated genes, *KRAS* and *GPR6* are known to be involved in cancer, as reported in  
298 COSMIC. The *GPR6* gene was mutated uniquely in two patients with proximal CRC. *GPR6* protein plays  
299 an important role in signal transmission and regulates many cellular functions. There are evidences  
300 implicating the *GPR6* protein and its downstream signalling targets in cancer initiation and progression,  
301 where it can influence cell growth and survival through activation of *AKT/mTOR* and *MAPK* pathway  
302 (Morgan *et al.*, 2014). Cancer cells may exploit this pathway resulting in promotion of tumour growth,  
303 angiogenesis and metastasis to distant sites (Gaorav and Joan 2006). Findings of recurrently altered *GPR6*  
304 gene unique to the proximal CRC patients may explain the poor prognosis and low survival rate in these  
305 patients. By directly targeting *GPR6* or its downstream signalling components, it may help to identify  
306 novel therapeutic opportunities for cancer prevention and treatment. However, further investigations will  
307 be warranted to examine the potential impact of this mutation.

308           Exome sequencing may lead to the discovery of novel targets, driver mutations as well as novel  
309 colorectal cancer predisposing mutations. This application is getting more common in clinical practice

310 and represents a cost-effective approach to characterize somatic mutations. This discovery study in our  
311 own local CRC patients provides a number of insights into the differences in genetic landscape of  
312 proximal and distal and identifies potential therapeutic targets in particular to the anatomic subsites of  
313 CRC, specifically in Malaysian patients. Nevertheless, further study in larger series of samples coupled  
314 with functional studies will be needed to confirm the identified variants and determine their role in the  
315 genesis of proximal and distal CRCs.

316

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319 identified variants.

320

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**Table 1** (on next page)

Clinicopathological Characteristics of CRC Patients

1  
2 Table 1. Clinicopathological Characteristics of CRC Patients  
3

Characteristics	Number of patients, n (%)
Age	
> 50	1 (10)
> 60	9 (90)
Gender	
Male	7 (70)
Female	3 (30)
Race/Ethnicity	
Malay	4 (40)
Chinese	6 (60)
Site	
Distal	5 (50)
Proximal	5 (50)
Stage	
Dukes' A	2 (20)
Dukes' B	4 (40)
Dukes' C	4 (40)
Differentiation	
Moderately Differentiated	3 (30)
Well Differentiated	3 (30)
Poorly Differentiated	4 (40)

4  
5

**Table 2** (on next page)

Exome Sequencing Coverage for Normal and Tumour Samples

1 Table 2. Exome Sequencing Coverage for Normal and Tumour Samples

2

Sample	Total Aligned Output (G)	# of mapped reads	% Reads On Target	Average Coverage	Uniformity (%)	Target Base Coverage at 1x (%)	Target Base Coverage at 20x (%)	Target Base Coverage at 100x (%)	Target Base Coverage at 500x (%)	Variants
L1N	13.7	38,327,122	80.75	71.39	93.48	98.03	90.72	20.66	0.11	34,706
L1T	13.7	43,581,666	84.79	84.68	93.78	98.17	92.64	29.79	0.21	35,675
L2N	13.6	37,248,504	79.6	67.21	94.07	98.5	90.28	16.84	0.14	37,472
L2T	13.6	50,527,425	76.29	88.39	94.40	98.71	93.55	30.66	0.35	37,487
L3N	14.1	45,586,546	83.14	86.35	93.33	98.08	92.29	31.86	0.21	36,077
L3T	14.1	41,217,844	82.66	77.71	93.21	98.01	91.12	25.17	0.19	35,358
L4N	10.7	38,273,507	77.96	62.24	92.97	97.85	88.15	14.38	0.1	34,197
L4T	10.7	33,604,520	78.61	55.4	92.77	97.79	85.53	10.65	0.07	33,519
L5N	12.3	41,524,901	78.49	71.81	93.48	98.04	90.67	20.48	0.16	35,113
L5T	12.3	37,647,763	79.99	66.22	93.04	97.98	88.90	17.12	0.13	34,563
R1N	12.5	40,760,456	81.92	79.26	93.65	97.95	92.03	26.01	0.17	35,213
R1T	12.5	32,407,582	82.14	62.67	92.85	97.76	87.69	15.10	0.12	32,804
R2N	12.7	36,590,333	86.11	73.47	93.83	98.12	91.43	21.70	0.15	34,752
R2T	12.7	40,262,039	86.99	80.74	93.87	98.22	92.34	26.17	0.21	34,862
R3N	11.9	38,279,157	73.01	65.69	93.76	98.06	90.18	16.24	0.09	34,870
R3T	11.9	31,589,214	79.78	58.71	93.51	97.91	88.09	11.93	0.07	35,274
R4N	14.6	40,855,511	77.97	72.79	93.01	98.02	90.25	22.26	0.12	34,516
R4T	14.6	47,748,666	78.07	85.35	93.35	98.11	92.27	31.51	0.18	39,337
R5N	11.6	37,243,895	75.27	60.64	93.54	98.04	88.53	13.48	0.06	34,497
R5T	11.6	37,455,362	75.79	62.11	92.59	97.82	87.48	15.11	0.07	33,175
<b>Mean</b>				<b>71.64</b>	<b>93.42</b>	<b>98.06</b>	<b>90.21</b>	<b>20.86</b>	<b>0.15</b>	<b>35,173</b>

3 L= Left (Distal), R= Right (Proximal), N= Normal, T= Tumour

4

**Table 3** (on next page)

Novel and Known Mutations in CRC-Related Genes in Proximal and Distal CRCs



1 Table 3. Novel and Known Mutations in CRC-Related Genes in Proximal and Distal CRCs

Sample ID	Gene	Start	End	Ref	Alt	Protein Change	Exonic Function	dbSNP ID	COSMIC ID	Mutation Type
<b>R1T</b>	APC	112175255	112175255	G	T	E1304X,E1322X	Stopgain	NA	COSM18702	Known
	TP53	7578550	7578550	G	A	S88F,S127F	Nonsynonymous	NA	COSM216414, COSM3378368, COSM44226, COSM216412, COSM216413, COSM1637542	Known
	TP53	7577022	7577022	G	A	R174X,R267X, R306X	Stopgain	rs121913344	COSM3388168, COSM10663, COSM1640820, COSM99947	Known
	KRAS	25398284	25398284	C	T	G12D	Nonsynonymous	rs121913529	COSM521, COSM1135366	Known
<b>R2T</b>	KRAS	25398284	25398284	C	T	G12D	Nonsynonymous	rs121913529	COSM521, COSM1135366	Known
	ACVR2A	148683686	148683686	A	-	K327fs,K435fs	Frameshift deletion	NA	COSM252949	Known
	APC	112175639	112175639	C	T	R1432X,R1450X	Stopgain	rs121913332	COSM13127	Known
<b>R3T</b>	ATM	108141828	108141828	A	G	Y959C	Nonsynonymous	NA	NA	Novel
	CTNNB1	41266136	41266136	T	C	S45P	Nonsynonymous	rs121913407	COSM5663	Known
	CTNNB1	41277302	41277302	A	G	R591G	Nonsynonymous	NA	NA	Novel
	IGF2-AS	2167618	2167618	A	C	T150P	Nonsynonymous	NA	NA	Novel
	KRAS	25380283	25380283	C	T	A59T	Nonsynonymous	rs121913528	COSM546, COSM1562187	Known
<b>R4T</b>	ACVR1B	52387841	52387841	C	T	R489C,R437C,R530C	Nonsynonymous	NA	NA	Novel
	ACVR1B	52379132	52379132	G	A	R379Q,R327Q,R420Q	Nonsynonymous	NA	NA	Novel
	ACVR2A	148683718	148683718	G	A	W337X,W445X	Stopgain	NA	NA	Novel
	ERBB2	37879658	37879658	G	A	R678Q,R648Q,R663Q	Nonsynonymous	NA	COSM436498	Known
	ERBB2	37864598	37864598	G	A	V84M,V54M,V69M	Nonsynonymous	rs376524324	NA	Known
	ERBB3	56495023	56495023	G	A	R1127H	Nonsynonymous	rs2271188	COSM1363018, COSM1363017	Known
	ERBB3	56478854	56478854	G	A	V104M	Nonsynonymous	NA	COSM172423, COSM20710, COSM1152549	Known
	FBXW7	153249456	153249456	C	T	R323Q,R361Q, R441Q	Nonsynonymous	NA	COSM1052092, COSM1052093, COSM1052091, COSM1052094	Known
	LRP5	68201247	68201247	G	A	R733H,R1314H	Nonsynonymous	NA	NA	Novel
	PTEN	89720812	89720812	A	-	T321fs	Frameshift deletion	NA	COSM5823	Known
	TCF7L2	114925436	114925436	G	A	R482Q,R505Q,R499Q	Nonsynonymous	NA	NA	Known
	TP53	7577138	7577138	C	T	R135Q,R108Q,R228Q, R267Q	Nonsynonymous	NA	COSM43923, COSM3691863, COSM1290766, COSM3691864	Known
TP53	7578458	7578458	G	A	R26C,R119C,R158C	Nonsynonymous	NA	COSM1750371, COSM984954, COSM984957, COSM3932746, COSM984958, COSM984956, COSM43848	Known	
<b>R5T</b>	APC	112176559	112176559	TT	GC	5214_5215GC, 5268_5269GC, 5268_5269GC	Nonframeshift Substitution	NA	NA	Novel
	ATM	108106477	108106477	G	T	G138X	Stopgain	NA	NA	Novel
	SMAD4	48573529	48573536	GAGCA ATT	-	c.113_120del:p.38_40del	Frameshift deletion	NA	NA	Novel
<b>L1T</b>	APC	112162896	112162896	T	G	Y482X,Y500X	Stopgain	NA	NA	Novel
<b>L2T</b>	APC	112175212	112175216	AAAAG	-	1289_1291del,	Frameshift deletion	NA	COSM18764	Known

	ERBB3	56478854	56478854	G	C	1307_1309del V104L	Nonsynonymous	NA	COSM160824	Known
<b>L3T</b>	TP53	7577121	7577121	G	A	R141C,R114C, R234C,R273C	Nonsynonymous	rs121913343	COSM3355991, COSM10659, COSM1645518, COSM99933	Known
<b>L4T</b>	APC	112175322	112175322	C	G	S1326X,S1344X	Stopgain	NA	NA	Novel
	FBXW7	153249385	153249385	G	A	R347C,R385C,R465C	Nonsynonymous	NA	COSM1154293, COSM170727, COSM170726, COSM170725, COSM22932	Known
<b>L5T</b>	CTNNB1	41266071	41266100	GTCACT GGCAG CAACA GTCTTA CCTGGA CT	-	23_33del	Nonframeshift Deletion	NA	NA	Novel
	TP53	7578263	7578263	G	A	R64X,R37X, R157X,R196X	Stopgain	NA	COSM1640847, COSM99667, COSM99666, COSM99668, COSM3378446, COSM99665, COSM10705	Known

2 NA = Not Available

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**Table 4** (on next page)

Novel and Known Mutations in Most Frequently Mutated Genes in Proximal and Distal

1 Table 4. Novel and Known Mutations in Most Frequently Mutated Genes in Proximal and Distal

Gene	Sample ID	Start	End	Ref	Alt	Protein Change	Exonic Function	dbSNP ID	COSMIC ID	Mutation Type
APC	R1T	112175255	112175255	G	T	R1432X R1450X	Stopgain	NA	COSM18702	Known
	R2T	112175639	112175639	C	T	5214_5215GC 5268_5269GC	Stopgain	rs121913332	COSM13127	Known
	R5T	112176559	112176560	TT	GC	E1304X E1322X	Nonframeshift substitution	NA	NA	Novel
	L1T	112162896	112162896	T	G	Y482X Y500X	Stopgain	NA	NA	Novel
	L2T	112175212	112175216	AAAAG	-	1289_1291del 1307_1309del	Frameshift Deletion	NA	COSM18764	Known
	L4T	112175322	112175322	C	G	S1326X S1344X	Stopgain	NA	NA	Novel
TP53	R1T	7578550	7578550	G	A	S127F S88F	Nonsynonymous	NA	COSM216414, COSM3378368, COSM44226, COSM216412, COSM216413, COSM1637542	Known
	R1T	7577022	7577022	G	A	R174X R147X R306X R267X	Stopgain	rs121913344	COSM3388168, COSM10663, COSM1640820, COSM99947	Known
	R4T	7577138	7577138	C	T	R135Q G323A R108Q R267Q R228Q	Nonsynonymous	NA	COSM43923, COSM3691863, COSM1290766, COSM3691864	Known
	R4T	7578458	7578458	G	A	R26C R158C R119C	Nonsynonymous	NA	COSM1750371, COSM984954, COSM984957, COSM3932746, COSM984958, COSM984956, COSM43848	Known
	L3T	7577121	7577121	G	A	R141C R273C R234C	Nonsynonymous	rs121913343	COSM3355991, COSM10659, COSM1645518, COSM99933	Known
	L5T	7578263	7578263	G	A	R64X R37X R196X R157X	Stopgain	NA	COSM1640847, COSM99667, COSM99666, COSM99668, COSM3378446, COSM99665, COSM99668, COSM3378446, COSM99665	Known
KRAS	R1T	25398284	25398284	C	T	G12D	Non synonymous	rs121913529	COSM521, COSM1135366	Known
	R2T	25398284	25398284	C	T	G12D	Non synonymous	rs121913529	COSM521, COSM1135366	Known
	R3T	25380283	25380283	C	T	A59T	Non synonymous	rs121913528	COSM546, COSM1562187	Known
ATM	R3T	108141828	108141828	A	G	Y959C	Nonsynonymous	NA	NA	Novel
	R5T	108106477	108106477	G	T	G138X	Stopgain	NA	NA	Novel

**Table 5** (on next page)

Recurrent variants and Mutated Genes in Proximal and Distal CRC

1 Table 5. Recurrent variants and Mutated Genes in Proximal and Distal CRC

Sample ID	Gene	Start	End	Ref	Alt	Protein Change	Exonic Function	dbSNP ID	COSMIC ID	Mutation Type
R2T, R5T	C9orf50	13237790 0	132377900	C	-	R248fs	Frameshift Deletion	NA	NA	Novel
R1T, R4T	GPR6	11030108 1	110301081	G	A	A256T, A271T	Nonsynonymous	NA	COSM3429854 COSM3429853	Known
R1T, R2T	KRAS	25398284	25398284	C	T	G12D	Nonsynonymous	rs12191352 9	COSM521 COSM1135366	Known
R3T, R4T	ZNF337	25657029	25657029	G	A	R299X	Stopgain	NA	NA	Novel
R3T, R4T	ZNF783	14896376 3	148963763	G	A	R121H	Nonsynonymous	NA	NA	Novel
L3T, L5T	CFAP74	1887018	1887019	TA	TG	2287_2288CA	Nonframeshift Substitution	NA	NA	Novel

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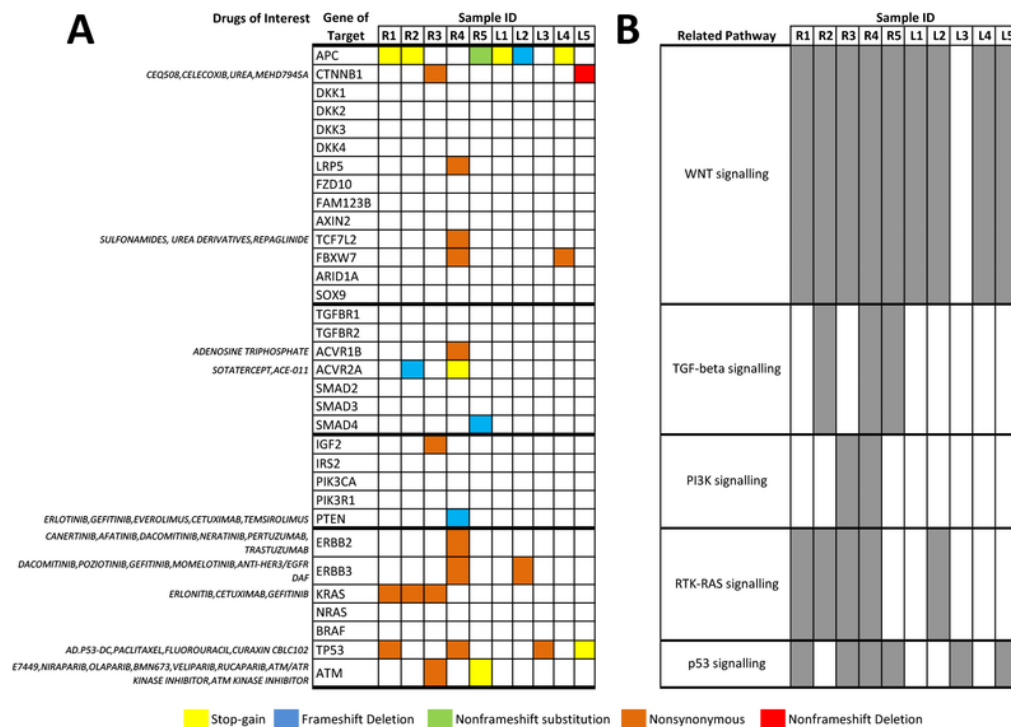
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Altered genes and pathway implicated in ten CRC patients (five patients of each proximal and distal CRCs).

The genes were sorted according to the related pathway, separated by dark horizontal lines. The genes were associated with clinical treatment option or currently being investigated in clinical trials of novel targeted therapies.

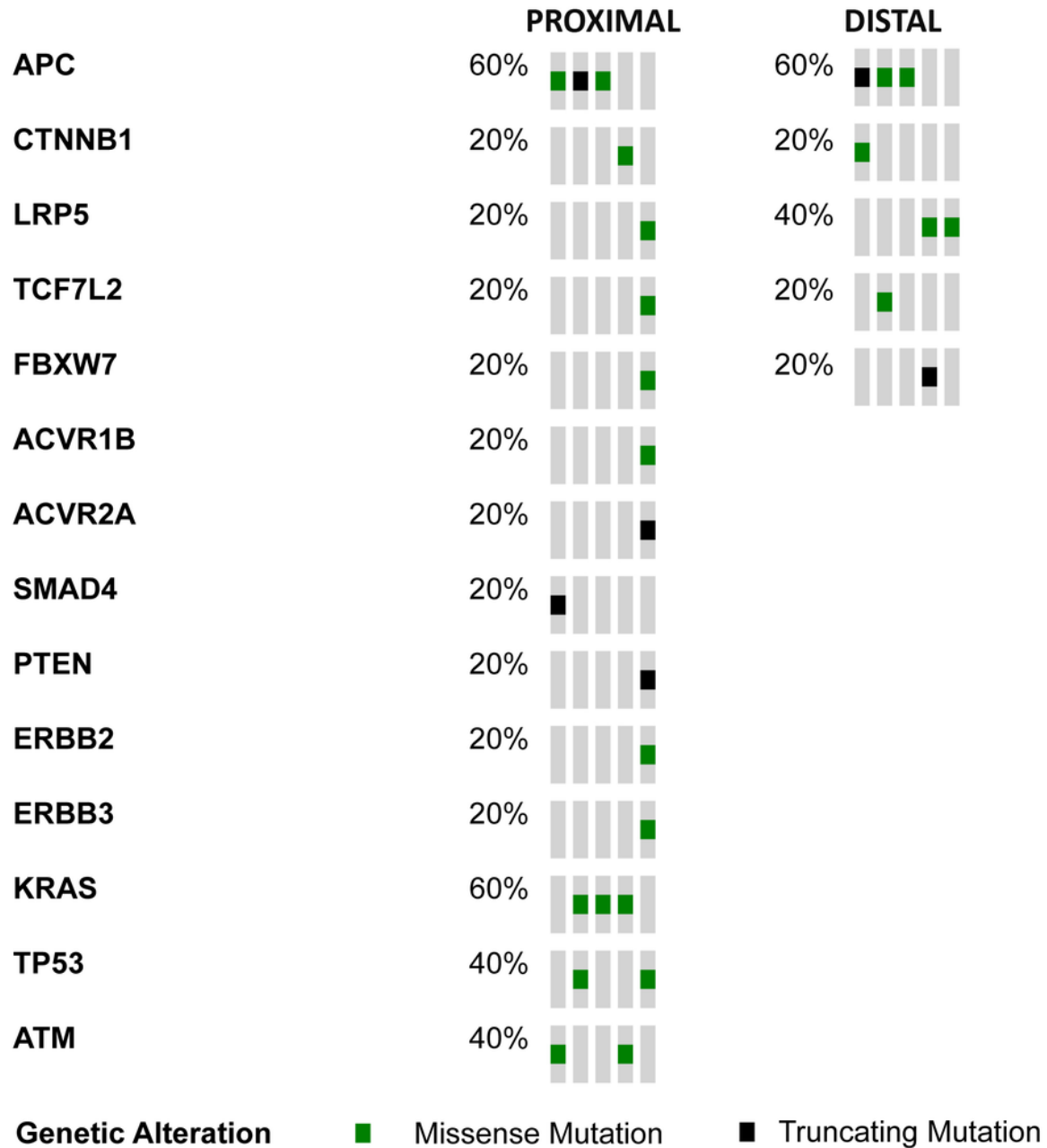


## 2

Altered genes and pathway implicated in ten CRC patients (five patients of each proximal and distal CRCs). .

The genes were sorted according to the related pathway, separated by dark horizontal lines. The genes were associated with clinical treatment option or currently being investigated in clinical trials of novel targeted therapies





## 3

The most commonly mutated canonical pathway.

In present study, the key mutated genes detected in proximal CRC are highlighted in pink while mutated genes detected in distal CRCs are highlighted in blue.

