

# Diarrhoea and acute respiratory infections among under-five children in slums: Evidence from India

Background: In the wake of burgeoning slum population, a substantial reduction in the prevalence of diarrhea and acute respiratory infections (ARI) is necessary for to achieve necessary reduction in child mortality in urban India. To achieve this, we need evidence based public health interventions and programs. However, a review of previous studies indicate that national level studies focused on slum population are very few. Therefore, the present study aims to study differentials and determinants of diarrhea and ARI in urban slums of India. Methodology: Using data obtained from the third round of National Family Health Survey conducted in 2005-06, we analyzed information on 2687 under-5 children living in urban slums located in eight selected India cities. Apart from bivariate analysis, logistic regression analysis was performed to identify factor associated with diarrhea and ARI among slum children. Results: The prevalence diarrhea and ARI is about 8% and 8.5%, respectively. Age, birth weight, access to safe water and improved toilet and region emerge as main factors affecting prevalence of diarrhea among slum children. Safe drinking water reduces the likelihood of getting diarrhea by about 19% compared to unsafe water [CI=0.563-1.151]. Children with normal birth are about 51% less likely to suffer from diarrhea compared to those with unknown birth weight [CI=0.368-0.814]. Older children are about 63% less likely to suffer from diarrhea [CI=0.274-0.502]. Children from Southern cities are about half as likely to have diarrhea as children from slums in Northern cities. ARI is associated with age, birth weight, religion, caste, education, family type, safe water, improved toilet, mass-media exposure, region and separate kitchen. Older children and children with normal birth weight are less likely to suffer from ARI. Children from 'Other' religions and OBC are 39% [CI=1.000-1.924] and 49% [CI=1.008-2.190], respectively, more likely to suffer from ARI. Parents' education is strongly associated with prevalence of ARI. Exposure to mass media reduces the likelihood of ARI to 50% compared to the situation when mother of the child did not have any exposure to mass-media [CI=0.324-0.819]. Non-flush toilet and lack of separate kitchen

increase the likelihood of ARI. Children from slums located in Southern region are less likely to suffer from ARI. Conclusion: The findings call for dedicated programs and policies, in line with those already existing ones such as RAY, IHSDP, NUHM, ICDS and JNNURM, for the development of urban slums through provision of affordable housing, improved sanitation, safe water and clean fuel. Adequate nutrition to mothers and their children should be ensured and vulnerable groups identified in the analysis should be the focus of future public health intervention and strategies. The use of mass-media to change health behavior should also be considered.

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*Original Research*

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## **Diarrhoea and acute respiratory infections among under-five children in slums: Evidence from India**

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24 **Diarrhoea and acute respiratory infections among under-five**  
25 **children in slums: Evidence from India**

26 **Introduction**

27 Slums have long been part of cities in developing countries, and the basic features of life in these  
28 urban spaces have observed little change over time [Jorgenson and Rice 2012]. However, what  
29 has changed in recent decades is the size of slum population. As per the available estimates for  
30 2005, 31% of the world's urban dwellers lives in slums, a proportion that has not changed  
31 significantly since 1990. There are approximately 998 million slum dwellers in the world, and if  
32 the trends continue, the size of slum population is expected to cross 1.4 billion by 2020. [UN-  
33 Habitat 2006]. Slum population in India, being no exception to this worldwide trend, has also  
34 grown 5-6% during 1991-2001, which is double the average growth rate of urban population  
35 during the same period [Agarwal et al. 2007]. Although the estimates of slum population in India  
36 vary according to the source [Gupta et al. 2009], the Census of India, 2011 officially puts the  
37 figure around 65 million [Census of India 2011].

38 The growth of urban slums is often considered a reflection of the urbanization of poverty and  
39 misery [Jorgenson and Rice 2012]. Slum populations often suffer multiple deprivations such as  
40 inadequate water supply, poor environmental sanitation, poor air quality and lack of waste  
41 disposal system, inadequate housing causing overcrowding and poor ventilation, overburdened  
42 healthcare services and public transport systems, and erratic electricity supply [UNHABITAT  
43 2006]. Together these deprivations pose a significant threat to the health and well-being of  
44 people, especially of children, living in slums [Barlett 2003; Kundu and Kanitkar 2002; Karn et  
45 al. 2003]. For instance, higher under-5 mortality in slums compared to non-slum urban areas is  
46 mainly attributed to poor living conditions and hazardous environment prevalent in slums  
47 [Günther and Harttgen, 2012; Moore et al. 2003; Vaid et al. 2007]. Thus, slums may prove to be  
48 an important entry point in the effort to achieve Millennium Development Goals-4 (MDG-4) in  
49 cities [UNHABITAT 2006].

50 However, the achievement of targets such as MDG-4 and recently announced GAPPD (Global  
51 Action Plan for Pneumonia and Diarrhoea) will require sizeable decreases in child mortality  
52 particularly from acute respiratory infections (ARI) and diarrhoea – the top two major killers of  
53 under-5 children [WHO/UNICEF 2013; Walker et al. 2013]. Together, they account for 30% of  
54 all under-5 deaths, which amounts to roughly 2.2 million deaths each year [UN IGME 2012;  
55 Lopez et al. 2006; Parashar et al. 2003]. Africa and South Asia together are home to about 90% of  
56 all deaths due to pneumonia and diarrhoea and India tops the list with about 609,000 child deaths,  
57 i.e. about 28% of all deaths due to pneumonia and diarrhoea in 2010 [Black et al. 2010].  
58 Although India has succeeded in reducing child mortality to a considerable extent [Gupta et al  
59 2009], a considerable number of children are still dying of these two diseases because of  
60 piecemeal approach to service provision and those at greatest risk are not being identified and  
61 reached [WHO/UNICEF 2013]. Identifying those at greatest risk, hardest to reach and most  
62 neglected, and targeting them with effective interventions will help to close the gap, eventually

63 ending the heavy toll of preventable child deaths [Awasthi and Agarwal 2003; Agarwal and  
64 Taneja 2005].

65 Many studies in India and abroad have already studied prevalence and determinants of diarrhoea  
66 [Sarkar et al. 2013; Khan et al. 2013; Luke and McPike 2012; Gladstone 2010; Jadhav 2009;  
67 Sakdapolrak et al. 2011; Melo et al 2008] and ARI [Islam 2013; Srivastava et al. 2012; Wadgave  
68 and Godale 2011; Prajapati 2011; Prietsch 2008; Savitha 2007; Gupta et al. 2007; Acharya 2003;  
69 Broor 2001; Biswas 1999]. However, the scope of most of the previous studies is geographically  
70 restricted. In other words, previous studies have examined only a small population located in a  
71 particular city. Hence, the results are often relevant for a small population and a particular place,  
72 making generalization at national level a difficult task. Therefore, using data from a large  
73 nationally representative survey, the present study takes into account eight large cities of India  
74 including four second-order metros (regional metros), which unlike Mumbai, Delhi, Kolkata, and  
75 Chennai generally do not get a great deal of attention from policymakers, planners, and  
76 researchers [Gupta et al. 2009]. Thus, the study has two objectives: (1) to investigate differentials  
77 in the prevalence of diarrhoea and ARI; (2) to tests the association between socio-demographic,  
78 economic, household environment, and behavioural factors and prevalence of diarrhoea and ARI  
79 among under-5 children from urban slums of these selected Indian cities.

## 80 **Data and Methods**

### 81 ***Data***

82 The study is a cross-sectional study that uses data from the third round of National Family Health  
83 Survey (NFHS III) conducted in 2005-06 covering 29 states of India i.e., about 99% of total  
84 population. The NFHS III survey is suitably designed to provide estimates of important indicators  
85 on the family welfare, fertility, mortality, child health and nutrition. For the first time in 2005-06,  
86 NFHS has provided separate estimates of population, health, and nutrition indicators for slum and  
87 non-slum population of eight mega cities namely, Delhi, Mumbai, Kolkata, Chennai, Meerut,  
88 Indore, Hyderabad, and Nagpur [IIPS and ORC Macro 2007].

89 International Institute for Population Sciences (IIPS), Mumbai was designated as the nodal  
90 agency for carrying out the survey. Technical assistance for NFHS-3 was provided by ICF Macro,  
91 Calverton, Maryland, USA. The survey used a uniform sample design, questionnaires, and field  
92 procedures in all the cities to facilitate comparability and to ensure the highest possible data  
93 quality. Fieldwork for NFHS-3 was conducted in two phases from November 2005 to August  
94 2006 [IIPS and ORC Macro 2007].

95 The survey used two-stage sampling design to select its sample households in the cities. Census  
96 of India 2001, which provides information on Census Enumeration Blocks (CEB) – [*A ward in a*  
97 *township is a large area comprising a large number of households. Each ward comprises several*  
98 *enumeration blocks (CEB) created for the census*], provided the necessary sampling frame. In  
99 each city, slum and non-slum CEBs were selected independently from the respective lists of slum  
100 and non-slum CEBs. The house listing carried out in each of the selected CEBs served as the  
101 sampling frame for the selection of households. The response rate was 95.5% [IIPS and ORC  
102 Macro 2007]. More on sampling of this survey can be found at [www.rchiips.org](http://www.rchiips.org).

103 The survey provides the information about those children under age five years who suffered from  
104 diarrhoea and ARI during two weeks preceding the survey date. Out of total 6680 children in the  
105 sample of these eight cities, 2803 lived in slums. Since information on diarrhoea and ARI was  
106 missing for some children, only 2687 under-five children have been included in the analysis.

107 **Ethics statement**

108 This study uses data with no identifiable information on the survey participants. Data collection  
109 procedures were approved by the ORC Macro institutional review board. A formal written  
110 consent was obtained and ethical issues were taken care of before interviewing respondents [IIPS  
111 and ORC Macro 2007]. The data set is available for academic use to all and hence any ethical  
112 approval is not required. The survey data used in this study can be obtained by making a formal  
113 request on the official website (<http://www.rchiips.org/>) of the IIPS.

114 **Dependent variables**

115 *Acute respiratory infection:* The prevalence of ARI is estimated based on its symptoms as  
116 reported by mothers. In the survey, mothers were asked whether their children under age five  
117 years had been ill with a cough accompanied by short, rapid breathing which was chest related in  
118 the two weeks preceding the survey [IIPS and ORC Macro 2007]. These symptoms are  
119 compatible with ARI. It is a binary variable coded '1' if the child suffered from ARI and '0'  
120 otherwise.

121 *Diarrhoea:* It is defined as having loose or watery stools at least three times per day, or more  
122 frequently than normal for a child in the two weeks before the survey. Though most episodes of  
123 childhood diarrhoea are mild, acute cases can lead to significant fluid loss and dehydration, which  
124 may result in death or other severe consequences if fluids are not replaced at the first sign of  
125 diarrhoea [IIPS and ORC Macro 2007]. It is also a binary variable coded '1' if the child suffered  
126 from diarrhoea and '0' otherwise.

127 **Independent variables**

128 Using Mosley and Chen's (1984) analytical framework for examining child health, we have  
129 chosen a variety of maternal, socioeconomic, demographic and environmental indicators as key  
130 determinants of childhood diarrhoea and ARI (Mosley and Chen 1984). Many of these variables  
131 have been found to be associated with these two childhood illnesses in previous studies  
132 conducted in India and abroad as well [Sarkar et al. 2013; Khan et al. 2013; Luke and McPike  
133 2012; Gladstone 2010; Jadhav 2009; Wadgave and Godale 2011; Prajapati 2011; Prietsch 2008;  
134 Savitha 2007; Gupta et al. 2007; Acharya 2003; Broor 2001].

135 The variables used in the analysis are – child's age (0-11 months, 12-23 months, 24-35 months,  
136 36-47 months, 48-59 months); birth order (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup> and above); place of residence (urban,  
137 rural); mother's age at birth (15-24 years, 25-29 years, and ≥30 years); child's weight at birth (up  
138 to 2500 grams, >2500 grams, not reported/do not know); wealth index (poor middle, rich);  
139 parents education (both literate, one literate, both illiterate); mother's exposure to media (no  
140 exposure, partial exposure, full exposure); working status of mother (not worked, worked);  
141 caste/tribe (SC/ST – Scheduled Castes and Scheduled Tribes, OBC – Other Backward Castes,  
142 Others); religion (Hindu, Others – includes Muslims, Sikhs, Christians, Buddhists, Jains,  
143 Zoroastrians and others); family type (nuclear and non-nuclear); cooking place (separate kitchen,  
144 no separate kitchen, cooking outside house); fuel used for cooking (safe, semi-safe, unsafe);  
145 availability of mattress (yes, no); availability of glass window (yes, no); type of toilet (flush, non-  
146 flush, no toilet facility); drinking water (safe, unsafe); stool disposal (latrine/diaper, others);  
147 substance/tobacco smoking use (yes, no); family member with tuberculosis/asthma (yes, no) and  
148 geographic region of residence (the cities were combined into three regions – North region-

149 Delhi, Meerut and Kolkata, West region- Indore, Mumbai and Nagpur, South region - Chennai  
150 and Hyderabad). Region as a variable has been included to adjust regional variations in  
151 achievement of health programs and development. Wealth Index is generally used as a proxy for  
152 the economic status of the household [Montgomery 2000, Filmer and Pritchett 2001]. It is a  
153 composite index of household amenities and assets having three categories – poor, middle and  
154 rich.

155 The mainstream social system in India is characterised by numerous castes. The castes that were  
156 deemed elite by the Indian society in the past are now officially classified as “General” or  
157 “Others”. Other socioeconomically deprived communities are collectively termed as ‘lower  
158 castes’. They are further divided into two – OBC and SC. SCs are at the lowest rung of caste  
159 hierarchy, often known as ‘untouchables’ while OBCs can be placed somewhere in between the  
160 two extremes - Others and SCs, as mentioned above. STs are those groups that do not practice  
161 caste system and in the past, primarily lived in hilly, forested and remote areas secluded from  
162 mainstream society of India [Nandan et al. 2007]. STs and SCs make up around 7% and 16% of  
163 the total population of India, respectively. The estimates of OBC population vary according to the  
164 source. The Government of India follows the report submitted in 1980 by the Second Backward  
165 Classes Commission, which puts the figure as high as 52% of the total population. Rest of the  
166 people of India, who are officially categorised as ‘General’ (we call them here ‘Others’), make up  
167 around 25% of the total population of India [Jha et al. 2013].

## 168 *Statistical Analysis*

169 We have generated the proportion of children who suffered from diarrhoea and ARI by selected  
170 background characteristics and other probable risk factors. Simple binary logistic regression has  
171 been applied to understand the net effect of predictor variables on two selected outcomes.  
172 Logistic regression is applied when the response variable is dichotomous (i.e., binary or 0–1). We  
173 also examine for evidence of multicollinearity by the means of calculating variance inflation  
174 factor (VIF) associated with individual variables in statistical software Stata 12. Low VIFs  
175 confirms that there exists no significant multicollinearity existing in final models. The results of  
176 logistic regression have been presented in the form of odds ratios with p-values and 95%  
177 confidence intervals (CI). We use SPSS 20 and Stata 12 for data analysis.

## 178 **Results**

### 179 *Sample characteristics*

180 Table 1 presents the descriptive statistics of the sample characteristics of children considered in  
181 the study. Around 53.9% of children in the study sample are male and 38.1% belong to mothers  
182 aged less than 25 years. About two thirds of all children are Hindus and one fourth belong to  
183 SC/ST. About 48% and 47.8% slum children do not have access to water and flush toilets,  
184 respectively. Mothers of about 9.9% children are not at all exposed to mass media. Only 20%  
185 children belong to working mothers. About 3-6% children lived in those households where at  
186 least one of its members smoke tobacco or have tuberculosis/asthma.

### 187 *Diarrhoea*

188 The results reveal that about 8.3% of under-five slum children suffer from diarrhoea during two  
189 weeks preceding the survey (Table 2). About 14.6% infants suffer from diarrhoea compared to  
190 12% among those who are aged 12-23 months. About 10.5% of children with unknown birth

191 weight suffer from diarrhoea. There is very little difference in the prevalence of diarrhoea across  
192 different categories of birth order, sex of the child, parents' education, caste, mother's age, wealth  
193 index, family type and religion. Only 6.7% children of those mothers who had full mass media  
194 exposure suffered from diarrhoea compared to 8.9% children of mothers who had only partial  
195 exposure. The prevalence of diarrhoea also varies according to the type of toilet used. Only 6%  
196 children suffered diarrhoea where flush toilets are used compared to 11.2% in the households  
197 where some other type of toilet is used. Similarly, proportion of children from households that  
198 use latrine or diaper for disposing the stools suffer less from diarrhoea compared to those that do  
199 not. The prevalence of diarrhoea is comparatively higher (13.3%) in those families where a  
200 member of the household smokes tobacco. The prevalence of diarrhoea among cities from  
201 Southern region is comparatively lower (See Fig.1).

202 Table 3 presents the results of logistic regression results in the form of odds ratios. Current age of  
203 the child, birth weight, type of toilets, quality of water, and region of slum emerge out to be  
204 significant predictors of diarrhoea. Children aged two or more years are about 63% less likely to  
205 suffer from diarrhoea compared to the children aged less than two years [95% CI=0.274-0.502].  
206 Children, whose birth weight is 2500 grams or more, are about 51% less likely to suffer from  
207 diarrhoea compared to those whose birth weight is either unknown to the mother or it is not  
208 reported [95% CI=0.368-0.814]. Safe drinking water reduces the likelihood of getting diarrhoea  
209 by about 19% compared to unsafe water [95% CI=0.563-1.151]. Another indicator of household  
210 environment, type of toilet, shows that in the houses with non-flush toilets, the likelihood of  
211 suffering from diarrhoea is twice compared to those houses with flush toilet [OR=2.045, 95%  
212 CI=1.443-2.898]. Children from the slums of Southern cities are about half as likely to have  
213 diarrhoea as children from slums in Northern cities [OR=0.504, 95% CI=0.320-0.796].

#### 214 *Acute Respiratory Infections*

215 The prevalence of ARI among children aged below five years is estimated to be 8.5%. Table 2  
216 shows that there are only marginal differences in the prevalence of ARI by most of the  
217 background characteristics included in the table. ARI is somewhat less prevalent among older  
218 children, children in households belonging to the highest wealth quintile, Hindu children, and  
219 children of mothers with full mass media exposure. ARI is also found to be lower among children  
220 from the households with safe drinking water, flush toilets, safe practices for stool disposal, clean  
221 fuel for cooking, no tobacco among members, and separate kitchen for cooking. The small  
222 variation in the prevalence of ARI by most socioeconomic characteristics indicates that, in India,  
223 ARI affects children from all strata, irrespective of their socioeconomic background. However,  
224 the prevalence of ARI among slums in Southern cities is considerably lower (See Fig.1).

225 Table 4 reports the results of logistic regression in the form of odds ratios. Children aged two or  
226 more years are about 48% less likely to suffer from ARI compared to the children aged less than  
227 two years [95% CI=0.382-0.696]. Children, whose birth weight is 2500 grams or more, are about  
228 51% less likely to suffer from ARI compared to those whose birth weight is either unknown to  
229 the mother or it is not reported [95% CI=0.372-0.868]. Children from 'Other' religious category  
230 and OBC castes are 39% [95% CI=1.000-1.924] and 49% [95% CI=1.008-2.190], respectively,  
231 more likely to suffer from ARI compared to Hindu and SC/ST children. Parents' education is  
232 strongly associated with prevalence of ARI. If one of the parents is illiterate, the odds ARI is  
233 about twice compared to the situation where both parents are literate [OR=2.198, 95% CI=1.230-  
234 3.926]. Even partial exposure to mass media reduces the likelihood of suffering from ARI to 50%  
235 compared to the situation when mother of the child did not have any exposure to mass-media



236 [95% CI=0.324-0.819]. Children from the households with non-flush toilet are 54% more likely  
237 to get infected with ARI [95% CI=1.088-2.190]. Cooking inside the house because of  
238 unavailability of separate kitchen also increases the likelihood of a children getting infected with  
239 ARI by 40%. Children from slums located in Southern region are about 76% less likely to suffer  
240 from ARI [95% CI=0.146-0.395].

## 241 Discussion

242 Using data from a nationally representative survey, we find that about 8.3% and 8.5% of under-5  
243 children in urban slums of India suffer from diarrhoea and ARI, respectively. Results from  
244 logistic regression analysis suggest that the odds of diarrhoea among children aged more than two  
245 years are significantly lower than the odds of children aged two years or less. The result is in line  
246 with many previous studies conducted in developing countries including India [Calistus and  
247 Alessio, 2009; Mohammed et al. 2013; Lal 1994; Melo et al. 2008]. This pattern could be due to  
248 exogenous factors such as an increased exposure to contaminated weaning and food in the first or  
249 second year of life, an age when the immune system is weaker in younger children than in older  
250 children. Results also reveal that children with normal birth weight are significantly less at the  
251 risk of diarrhoea [Sur et al. 2001].

252 Birth weight and age of the child are also significantly associated with the prevalence of ARI.  
253 Many other studies have also observed similar results [Prajapati et al. 2012; Islam 2013; Acharya  
254 2003]. Low birth weight is a surrogate marker of intrauterine growth restriction, which causes  
255 impaired immunocompetence and poor lung anatomy and function among infants [Roth et al.  
256 2008; Rice et al. 2000]. Nutritional interventions for children and pregnant mothers can  
257 significantly reduce the incidence of low birth weight [Roth et al. 2008; Victoria et al. 1999].  
258 Hence, a coordinated action under the Integrated Child Development Scheme (ICDS) focusing on  
259 children below one year in general and pregnant women in particular is the need of hour.  
260 According to the Department of Women and Child Development, Government of India (2005),  
261 there are only 360 urban ICDS projects catering to a huge population of about 90 million urban  
262 poor. ICDS, therefore, needs rapid expansion of its coverage [NIPCCD 2009]. However, its  
263 expansion alone is not adequate to bring about any significant change as smooth functioning of  
264 the scheme is seriously crippled by widespread corruption (Gill and Taylor 2013).

265 About 88% of diarrheal deaths worldwide are attributable to unsafe water, inadequate sanitation,  
266 and poor hygiene (WHO/UNICEF 2004). Our study, confirming the same, finds that type of toilet  
267 facility and quality of drinking water are significantly associated with diarrhoea (Palit et al.  
268 2012). Children belonging to households equipped with separate flush toilet are about 50% less  
269 likely to suffer from diarrhoea than the children with access to 'Other' type of toilets (includes pit  
270 latrine, dry toilet and toilet shared by other households). Toilets covered under 'Other' type are  
271 generally unhygienic and pose a higher risk of getting diarrhoea to the children. The quality of  
272 drinking water is also significantly associated with the odds of suffering from diarrhoea (Jalan et  
273 al. 2003; Sarkar et al. 2007; Alam 2007; Jadhav 2009). In our study, the children with access to  
274 safe drinking water are almost 20% less likely to suffer from diarrhoea than those who use water  
275 from unsafe sources such as unprotected dug well or springs, tanker truck/cart and surface water.  
276 It indicates that water and sanitation interventions in urban slums can play an important role in  
277 combating the incidence of this disease among children (Palit et al. 2012; Roushdy et al. 2012;  
278 Waddington et al. 2009).

279 The positive association between parental schooling and child health is largely undisputed.  
280 Similar to many previous studies, we find that parental schooling is associated with ARI among  
281 slum children (Siziya et al 2009; Kristensen and Olson 2006; Kandalaa et al 2009; Etiler et al.  
282 2002; Ghosh 2005). Mother's schooling is argued to be an effective means of achieving greater  
283 autonomy in the family and getting an employment, thereby achieving economic independence  
284 [Celik 2000]. Education also makes mothers confident; brings a feeling of self-worth and self-  
285 confidence; enhances communication with their husbands and other family members on different  
286 issues including her child's health [Chanana 1999]. The resultant improved decision making  
287 power helps a mother achieve better health for her children through day-to-day health enhancing  
288 behaviour, child-centered intra-household resource allocation and access to emergency care  
289 [Desai and Johnson 2005]. Husband's education is often argued to contribute to child's health  
290 through his ability to gather financial resources for his children's nutrition and healthcare [Singh  
291 and Ram 2007].

292 It is argued that exposure to mass media results in to higher awareness and dissemination of  
293 knowledge about the existing program and policies related to health care which may in turn result  
294 into behavioural change [Wakefield et al. 2010]. Similar to many previous studies, the result from  
295 this study confirms that children of mothers with even partial mass-media exposure are less likely  
296 to suffer from ARI compared to children of mothers with no mass-media exposure.

297 Caste and religion emerge as important variables associated with the prevalence of ARI among  
298 slum children. Results show that children belonging to 'Other' religions and OBC are more likely  
299 to suffer from ARI. In this regard, it must be noted that majority of OBCs and Muslim households  
300 run their business and small-scale industries within the four walls of their slum houses [NSSO,  
301 2002]. Due to lack of space in high-density areas such as these slums, they are bound to run their  
302 small-scale household industries in filthy, small, congested and non-ventilated environment [GOI  
303 2009] that may have severe health hazards not only for adults but also for children [Kjellstrom et  
304 al. 2007]. Muslims also 'exhibit deficits and deprivation in practically all dimensions of  
305 development' and 'the deficits are particularly salient in the areas of female schooling and  
306 economic status' [Sachar et al. 2006]. As of 2012, about 22.7% Muslim households in urban areas  
307 are below poverty line compared to meagre 12.1% Hindu households [Pangariya and More  
308 2013]. A study conducted in the city of Lucknow have found that a Hindu dominated urban slum  
309 has better quality roads, drainage system, sanitation, water supply and sewage disposal compared  
310 to another slum inhabited mainly by Muslims [Sachar et al. 2006]. Therefore, the fact that  
311 Muslim children are more prone to ARI than their Hindu counterparts should not come as a  
312 surprise.

313 It is often argued that the children who belong to a non-nuclear family are healthier than those  
314 who belong to nuclear families [McLanahan & Booth, 1989; Dawson, 1991; McLanahan &  
315 Sandefur, 1994; Gage 1997]. In this study too, children belonging to nuclear households have  
316 higher odds of ARI than children belonging to non-nuclear households. The findings are in line  
317 with a recent study in India (Kumar and Ram 2013). This could possibly be due to the fact that  
318 mothers in nuclear families often have to work to avoid financial difficulties and do not have  
319 enough time for proper child care [McLanahan & Sandefur, 1994]. On the contrary, in the  
320 extended families, many adult caregivers are available for a child when parents are engaged in  
321 some other activities (Griffiths et al. 2002). In the absence of any additional caretaker, mothers in  
322 nuclear families have to carry their children to the hazardous sites such as cooking, washing and  
323 disposal of garbage and outside work. To minimize the exposure to such hazardous conditions,  
324 municipal bodies may promote subsidized crèches at a relatively safer place and motivate parents

325 to send their children during day hours. In 2011, the Government of India through budgetary  
326 allocation has increased subsidies significantly to run crèches (GOI 2006). Scaling up such simple  
327 interventions could overcome some very common obstacles to increasing child survival in urban  
328 India and help provide every child a fair chance to live, grow and thrive.

329 Similar to many previous studies, in this study as well [Sikolia et al. 2002; Mishra et al. 2005;  
330 Kilabuko and Nakai 2007]. A separate kitchen provides an opportunity to keep children away  
331 from harmful exposure of household air pollution (HAP). HAP increases the incidence of  
332 intrauterine growth retardation, preterm birth (births before 37 weeks of gestation), and  
333 low birth weight (an infant born weighing <2500 grams at any gestational age), the  
334 conditions associated with increased risk of ARI among children [Stillerman et al., 2008; Currie  
335 and Schmieder, 2009].

336 Although use of unsafe fuels do not emerge out to be significant in this study unlike many other  
337 studies [Rehfuess et al. 2009; Ezzati and Kammen 2001; Smith et al. 2000], it must be noted that  
338 in slums, a significant proportion of households still use unclean fuels which release smoke  
339 containing harmful particles that adversely affect the functioning of lungs (Gupta et al. 2009;  
340 Bassani et al. 2010). It is a daunting task for the government to provide access to clean fuel to  
341 every household in slums. Although subsidy on clean fuels could help poor people access the  
342 clean fuels, there have been studies showing that it is not the only way out. A study in Hyderabad  
343 showed that collective action (self-help) from the community through household resource pooling  
344 itself can bring significant changes the situation without putting any further financial burden on  
345 government exchequer (Prasad et. al 2012). There are other policy issues as well for example the  
346 Jawaharlal Nehru National Urban Renewal Mission (JNNURM), a mega program for urban  
347 renewal and development of urban infrastructure, does not embrace energy as one of its thrust  
348 areas (TERI 2008).

349 This study shows that region has a significant impact on the odds of children suffering from  
350 diarrhoea. Children from the southern region are less likely to suffer from diarrhoea and ARI. It  
351 may be due to improved water, sanitation facilities, greater access to quality public healthcare and  
352 overall better socio-economic and living conditions prevailing in urban slums of Southern cities  
353 (Sundar and Sharma 2002; Gupta et al. 2009). According to NFHS-3 (2005-06), about 20% of  
354 slums dwellers in Northern cities such as Delhi and Meerut do not own any toilet facility  
355 compared to Southern cities such Chennai and Hyderabad where the proportion of such  
356 households range from 2% to 3%. Similarly, the proportion of households with a separate kitchen  
357 is only 31%, 34% and 43% in Delhi, Kolkata and Meerut, respectively, compared to 56% and  
358 62% in Chennai and Hyderabad. These facts from NFHS-3 report clearly show the advantage that  
359 these Southern cities have in terms of basic amenities [Gupta et al. 2009]. It also reflects in the  
360 levels of ARI and diarrhoea prevalence in slums of Southern cities.

361 In addition to factors above discussed, living with a family member who either smokes or suffers  
362 from tuberculosis/asthma is also anticipated to have influence on child's ARI status (Armstrong  
363 1991; Sikolia et al. 2002; Agnihotram 2005; Savitha et al. 2007). However, studies in India and  
364 abroad have also noted that passive smoking or parental smoking habit does not have any  
365 statistically significant association with the prevalence of ARI (Durate and Botelho 2000;  
366 Kristensen and Olson 2006; Prajapati et al. 2011). Similarly in this study, we fail to find any  
367 association between prevalence of ARI among children and above mentioned characteristics of  
368 family members.  
369

## 370 **Conclusions**

371 Using data from third wave of NFHS conducted in 2005-06, this study makes an attempt to  
372 examine the factors affecting diarrhoea and ARI among children from urban slum in selected  
373 cities of India. The prevalence of diarrhoea and ARI is about 8.3% and 8.5%, respectively. Age,  
374 birth weight, safe water, improved toilet and region of residence emerge as main factors  
375 associated with prevalence of diarrhoea among slum children. On the other hand, the prevalence  
376 of ARI is associated with age, birth weight, religion, caste, education of parents, type of family,  
377 safe water, improved toilet, mass media exposure, region of residence, and cooking place.

378 Low birth weight is an important public health problem in India. Undernutrition amongst women  
379 is one of the prime reasons behind low birth-weight babies. In this regard, it can be suggested that  
380 health department should ensure proper implementation of ICDS scheme and devise specific  
381 strategies to improving antenatal care and diet for pregnant women in slums. Public education  
382 campaigns, intended to promote behaviours conducive to the prevention and appropriate  
383 treatment of Diarrhoea and ARI, should consider using mass media as an effective health  
384 communication strategy [Banerjee et al. 2004]. Apart from woman's education, the education  
385 among male partners should also be encouraged as in a traditional society like India, it is the male  
386 in the family who generally has an upper hand in decision-making. It may lead to their greater  
387 involvement in child care [Chattopadhyay 2013]. However, the focus of policy should also be on  
388 vulnerable groups in slums such as children aged less than two years or non-Hindus and OBC  
389 children need special attention. Apart from that, policymakers also needs to devise strategies to  
390 reduce regional disparities in Diarrhoea and ARI in Indian slums.

391 Improvements in water quality and sanitation are vital for the reduction of diarrhoeal diseases,  
392 and therefore, municipal bodies in cities should ensure that these basic services are easily  
393 available and accessible to all. Running hazardous industries within the house and not having a  
394 separate room for kitchen makes children even more vulnerable. Therefore, the parents and  
395 caretakers must be informed and educated about the possible health hazards due to children's  
396 exposure to such living conditions. Although the Government of India provides financial  
397 assistance to the States through programs such as Rajiv Awas Yojana (Rajiv Housing Program),  
398 Integrated Housing & Slum Development Programme (IHSDP) and JNNURM for development  
399 of urban slums, it has been observed that the lack of effective coordination among different  
400 departments leads to inefficiency and poor delivery of services [Mohanty and Mohanty 2005]. It  
401 is hoped that recently launched National Urban Health Mission, which aspires to work towards a  
402 public health bill that sets standards for basic entitlements such as safe water, improved  
403 sanitation, housing and healthcare, will be able to facilitate effective coordination among  
404 different departments and municipal bodies in order to improve health and living conditions of  
405 slum dwellers in India [GOI 2013].

## 406 *Limitations of the study*

407 The study although provides a bigger picture of factors affecting diarrhoea and ARI in urban  
408 slums of selected cities in India, the results must be read/used with a caution. The information  
409 collected in NFHS-3 on diarrhoea and ARI is based on mothers' perceptions of illness and is not  
410 validated by any qualified medical personnel. The accuracy of these measures is also affected by  
411 the reliability of the mother's recall of when the disease episode occurred. However, two weeks  
412 recall period, as also followed in NFHS-3, is generally considered the most suitable.

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## **Table 1** (on next page)

Percentage distribution of under-5 children by background characteristics in slums of selected Indian cities

**Table 1: Percentage distribution of under-5 children by background characteristics in slums of selected Indian cities, 2005–06.**

Background Characteristics	n	%	Background Characteristics	n	%
<b>Child's age (in months)</b>			<b>Mass media exposure</b>		
0-11	491	18.2	No exposure	266	9.90
12-23	530	19.7	Partial exposure	1,804	67.14
24-35	561	20.8	Full exposure	617	22.96
36-47	560	20.8			
48-59	545	20.2			
			<b>Family type</b>		
<b>Birth order</b>			Nuclear	1,316	48.98
1	914	34.0	Non-nuclear	1,371	51.02
2	843	31.3			
3+	930	34.6	<b>Cooking place</b>		
			Kitchen only	696	25.90
<b>Sex of the child</b>			No kitchen	1,595	59.36
Male	1,449	53.9	Outside house	396	14.74
Female	1,238	46.0			
			<b>Fuel used for cooking</b>		
<b>Mother's age (in years)</b>			Safe	1,244	46.30
15-24	1,026	38.1	Semi safe	424	15.78
25-29	1,065	39.6	Unsafe	1,019	37.92
30+	596	22.1	<b>Availability of mattress</b>		

		8			
				1,74	
			No	8	65.05
Birth weight			Yes	939	34.95
Do not know®		26.6			
	715	1			
Up to 2500 grams		36.9			
	993	6	<b>Availability of glass window</b>		
≥2500 grams		36.4	No	431	16.04
	979	3		2,25	
			Yes	6	83.96
Parents' education					
		13.0			
Both literate	350	3	<b>Type of toilet</b>		
		25.2		1,28	
One literate	678	3	Flush	5	47.82
	1,65	61.7		1,15	
Both illiterate	9	4	Non-flush	8	43.1
			No toilet facility	244	9.08
Caste/Tribe					
		25.7			
SC/ST	693	9	<b>Quality of drinking water</b>		
		30.2		1,29	
OBC	814	9	Unsafe	1	48.05
	1,18	43.9		1,39	
Others	0	2	Safe	6	51.95
Religion			<b>Stool disposal</b>		
	1,73	64.5		1,28	
Hindu	4	3	Latrine/Diaper	8	47.93
		35.4		1,39	
Others	953	7	Others	9	52.07
Wealth index			<b>Use of tobacco</b>		
				2,53	
Poor	198	7.37	No	7	94.42

Middle	557	20.7 3	Yes	150	5.58
Rich	1,932	71.9 0			
<b>Tuberculosis/Asthma</b>					
Mother's work status			No	2,501	96.90
Not worked	2,154	80.1 6	Yes	80	3.10
Worked	533	19.8 4	<b>Total</b>	<b>2687</b>	<b>100.0</b>

Notes: In 'Others' category of variable 'Stool Disposal' includes putting/rinsing into drain or ditch, throwing into garbage, burying/disposing/leaving it in the open and any other way of disposing it. In 'Cooking fuel', category 'Safe' includes the use of electricity, Liquefied Petroleum Gas, Natural Gas, Bio Gas; 'Unsafe' includes the use of Kerosene, Coal, Charcoal, straw/shrubs/grass, agricultural crop, animal dung and others.



## **Table 2**(on next page)

Prevalence of diarrhoea and acute respiratory infections among under-five children by background characteristics in urban slums of selected Indian cities

**Table 2: Prevalence of diarrhoea and acute respiratory infections among under-five children by background characteristics in urban slums of selected Indian cities, 2005-06.**

Background	Diarrhoea	ARI	Background	Diarrhoea	ARI
Characteristics	(in %)	(in %)	Characteristics	(in %)	(in %)
Child's age (in months)			<b>Mass media exposure</b>		
0-11	14.6	12.4	No exposure	8.4	11.8
12-23	12.1	11.9	Partial exposure	8.9	7.6
24-35	6.4	8.6	Full exposure	6.7	9.9
36-47	4.5	6.7			
48-59	5.3	3.8	<b>Family type</b>		
			Nuclear	8.2	9.3
Birth order			Non-nuclear	8.5	7.7
1	9.2	9.5			
2	7.3	8.3	<b>Cooking place</b>		
3+	8.4	7.9	Kitchen only		7.6
			No kitchen		8.1
Sex of the child			Outside house		11.8
Male	9.1	8.6			
Female	7.5	8.4	<b>Fuel used for cooking</b>		
			Safe		7.8
Mother's age			Semi safe		9.9
15-24 years	8.5	9.7	Unsafe		8.8
25-29 years	8.2	7.5			
30+ years	8.3	8.3	<b>Availability of mattress</b>		
			No		7.6
Birth weight			Yes		8.1
Do not know	10.5	9.1			
Up to 2500 grams	7.5	8.8	<b>Availability of glass window</b>		
≥2500 grams	7.6	7.9	No		8.8
			Yes		7.2
Parents' education					
Both literate	7.8	5.7	<b>Type of toilet</b>		
One literate	7.6	8.9	Flush	6.0	6.6

Both illiterate	8.8	9.0	Non-flush	11.2	10.7
			No toilet facility	8.2	9
<b>Caste/Tribe</b>					
SC/ST	8.7	7.8	<b>Drinking water quality</b>		
OBC	9.5	10.5	Unsafe	9.3	9.8
Others	7.3	7.6	Safe	7.5	7.5
<b>Religion</b>					
			<b>Stool disposal</b>		
Hindu	8.9	8	Latrine/Diaper	6.9	6.8
Others	7.2	9.4	Others	9.7	10.2
<b>Wealth index</b>					
			<b>Use of tobacco</b>		
Poor	8.7	9.7	No	8.0	8.5
Middle	9.3	10.1	Yes	13.3	9.1
Rich	8	8			
<b>Mother's work status</b>					
			<b>Tuberculosis/Asthma</b>		
Not worked	8.8	8.3	No	8.3	8.4
Worked	6.5	9.2	Yes	8.9	14
			<b>Total</b>	<b>8.3</b>	<b>8.5</b>

Notes: In 'Others' category of variable 'Stool Disposal' includes putting/rinsing into drain or ditch, throwing into garbage, burying/disposing/leaving it in the open and any other way of disposing it. In 'Cooking fuel', category 'Safe' includes the use of electricity, Liquefied Petroleum Gas, Natural Gas, Bio Gas; 'Unsafe' includes the use of Kerosene, Coal, Charcoal, straw/shrubs/grass, agricultural crop, animal dung and others.

### **Table 3**(on next page)

Factors associated with diarrhoea among under-five children in urban slums of selected Indian cities

**Table 3: Factors associated with diarrhoea among under-five children in urban slums of selected Indian cities, 2005-06**

Background characteristics	Unadjusted Odds (n=2680)		Adjusted Odds( n=2680)	
	<i>Exp (β)</i>	<i>CI 95%</i>	<i>Exp (β)</i>	<i>CI 95%</i>
Child's age				
Less than 2 years®	1.000		1.000	
2 or more years	0.392***	(0.368,0.417)	0.371***	(0.274, 0.502)
Birth weight				
Do not know®	1.000		1.000	
Up to 2500 grams	0.666**	(0.475, 0.932)	0.778	(0.543, 1.114)
≥2500 grams	0.731*	(0.525,1.019)	0.490**	(0.368, 0.814)
Type of toilet				
Flush®	1.000		1.000	
Non-flush	1.268***	(1.133,1.418)	2.045***	(1.443, 2.898)
No facility	1.265***	(1.129, 1.417)	1.207	(0.608, 2.163)
Quality of water				
Unsafe®	1.000		1.000	
Safe	1.004	(0.935,1.078)	0.810*	(0.563, 1.151)
Regions of slums				
North®	1.000		1.000	
West	0.910**	(0.838,0.988)	1.126	(0.761, 1.667)
South	0.866***	(0.797,0.940)	0.504***	(0.320, 0.796)

**Note:** p-values: \*<0.05; \*\*<0.01; \*\*\*<0.001; Confidence Intervals (CI) at 95% level of significance for Exp (β) are given in brackets; Variables such as caste religion, family type, parents' education, mother's age, exposure to mass media, household wealth index, mother's work status, family structure, child's stool disposal, any family member smokes tobacco, and any member suffer from tuberculosis were also controlled but did not appear statistically significant neither in unadjusted model nor in adjusted model. The exponential beta for these variables has not been shown in the table above.

**Table 4**(on next page)

Factors associated with acute respiratory infections among under-five children in slums of selected Indian cities

**Table 4: Factors associated with acute respiratory infections among under-five children in slums of selected Indian cities, 2005-06**

Background characteristics	Unadjusted Odds (n=2687)		Adjusted Odds (n=2687)	
	<i>Exp (β)</i>	<i>CI 95%</i>	<i>Exp (β)</i>	<i>CI 95%</i>
Child's age				
Less than 2 years®	1.000		1.000	
2 or more years	0.664***	(0.623, 0.707)	0.516***	(0.382, 0.696)
Birth weight				
Do not know®	1.000		1.000	
Up to 2500 grams	0.873***	(0.788, 0.967)	1.148	(0.800, 1.647)
≥2500 grams	0.969	(0.887, 1.059)	0.568**	(0.372, 0.868)
Caste/tribe				
SC/ST®	1.000		1.000	
OBC	1.087**	(1.004, 1.176)	1.485*	(1.008, 2.190)
Others	1.238***	(1.146, 1.337)	0.866	(0.575, 1.304)
Religion				
Hindu®	1.000		1.000	
Others	1.114***	(1.041, 1.191)	1.387*	(1.000, 1.924)
Family type				
Nuclear®	1.000		1.000	
Non-nuclear	0.999	(0.937, 1.065)	0.662**	(0.487, 0.902)
Parents' education				
Both literate®	1.000		1.000	
One literate	1.202***	(1.093, 1.322)	2.198*	(1.230, 3.926)
Both illiterate	1.026	(0.942, 1.118)	2.609**	(1.469, 4.635)
Mother's mass-media exposure				
No exposure®	1.000		1.000	
Partial exposure	0.854***	(0.772, 0.944)	0.515**	(0.324, 0.819)
Full exposure	0.964	(0.894, 1.039)	0.703	(0.410, 1.207)
Type of toilet				
Flush®	1.000		1.000	
Non-flush	1.328***	(1.181, 1.493)	1.544*	(1.088, 2.190)

No toilet	1.263***	(1.122, 1.421)	1.061	(0.570, 1.978)
Place of cooking				
Kitchen only <sup>®</sup>	1.000		1.000	
No kitchen	1.185	(1.091, 1.287)	1.401*	(0.992, 2.008)
Outside house	1.454	(1.331, 1.589)	1.201	(0.877, 2.233)
Regions of slums				
North <sup>®</sup>	1.000		1.000	
West	1.059	(0.974, 1.152)	0.706	(0.477, 1.044)
South	0.853***	(0.782, 0.930)	0.240***	(0.146, 0.395)

**Note:** p-values: \* $<0.05$ ; \*\* $<0.01$ ; \*\*\* $<0.001$ ; Confidence intervals (CI) at 95% level of significance for Exp ( $\beta$ ) are given in brackets; Mother's age, household wealth index, mother's work status, family structure, access to safe water, child's stool disposal, any family member using substance use, suffering from tuberculosis, fuel used for cooking, possession of mattress, and windows with glasses were also controlled but did not appear statistically significant neither in unadjusted model nor in adjusted model. The exponential beta for these variables has not been shown in the table above.



# Figure 1

Prevalence of diarrhoea and acute respiratory infections among under-five children by region of residence

