

# 1 Coral reef baselines: how much macroalgae is natural?

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## 14 15 Abstract

16 Identifying the baseline or natural state of an ecosystem is a critical step in effective conservation  
17 and restoration. Like most marine ecosystems, coral reefs are being degraded by human  
18 activities: corals and fish have declined in abundance and seaweeds, or macroalgae, have become  
19 more prevalent. The challenge for resource managers is to reverse these trends, but by how  
20 much? Based on surveys of Caribbean reefs in the 1970s, most reef scientists believe that the  
21 average cover of seaweed was very low in the natural state: perhaps less than 5%. On the other  
22 hand, evidence from remote Pacific reefs, ecological theory, and impacts of over-harvesting in  
23 other systems all suggest that, historically, macroalgal biomass may have been higher than  
24 assumed. Uncertainties about the natural state of coral reefs illustrate the difficulty of  
25 determining the baseline condition of even well studied systems.

## 26 Introduction

27 To restore and manage ecosystems properly, we need to know what they looked like and how  
28 they operated before humans began to deplete, alter, and otherwise degrade them (Dayton et al.  
29 1998). The pristine or natural state of a population or community is called the baseline in  
30 conservation biology, and it serves as a guide for setting conservation and restoration targets.  
31 Unfortunately, scientists rarely have reliable information on baselines because in most cases  
32 quantitative data are not collected until long after the resource has been modified (Pauly 1995,  
33 Dayton et al. 1998). This is particularly true for marine communities, which can be difficult and  
34 expensive to monitor.

35  
36 Ecologists use a variety of approaches and sources of information to estimate the baseline states  
37 of populations and communities: historical data such as ships' logs and naturalists' observations  
38 (Jackson 1997), fossil and archeological information (Wing and Wing 2001, Aronson et al.  
39 2002), molecular-genetic techniques (Roman and Palumbi 2003), and even relationships between  
40 abundance and body mass (Levitan 1992, Jennings and Blanchard 2004). We have not, however,  
41 constructed a logical framework for choosing the target baseline for situations in which different  
42 techniques provide conflicting portraits of the pristine condition.

44 Here we illustrate this general problem by evaluating evidence from different methods of  
45 estimating the baseline state of coral reef communities, particularly in terms of the abundance of  
46 seaweeds, or macroalgae. We use macroalgal cover as a key indicator of reef state, based on a  
47 broad consensus of coral reef scientists (Steneck 1988, Liddell and Ohlhorst 1992, Steneck and  
48 Dethier 1994, Steneck and Sala 2005) we define macroalgae as large, anatomically complex  
49 algal forms, including erect calcifying species but not filamentous algal turfs; even erect,  
50 calcifying green algae, such as species of *Halimeda*, have increased on many reefs around the  
51 world over the last several decades and are thought to have a negative impact on coral  
52 populations (Szmant 2001, Nugues et al. 2004, Smith et al. 2006, Birrell et al. 2008). Conceptual  
53 models of coral reef ecology frequently pool algae in this way (Hughes et al. 2010), rather than  
54 attempting to predict or depict the specific effect and dynamic of each coral-algal species pair.  
55 Algal turfs are not included in this category because far less is known about their effects on adult  
56 and juvenile corals, and because their abundance and cover are rarely quantified.

### 57 **Coral reef degradation and the missing baseline**

58 Coral populations around the world began to decline several decades ago from a variety of  
59 causes including oceanic warming, storms, outbreaks of predators and diseases, and poor land-  
60 use practices that cause nutrient and sediment pollution. The loss of once-dominant corals,  
61 combined with the over-harvesting and die off of key grazers, has enabled seaweeds to increase  
62 in abundance on some reefs (McManus and Polsenberg 2004). Seaweeds are perceived as  
63 harmful invaders because they can reduce coral recruitment (Box and Mumby 2007, Idjadi et al.  
64 2010, Rasher and Hay 2010), potentially slowing the recovery of coral populations from natural  
65 and anthropogenic disturbances. Managers are thus charged with maintaining “reef resilience” by  
66 promoting grazing and minimizing the proportion of the substrate covered by macroalgae  
67 (Hughes et al. 2005, Mumby et al. 2007). But what quantity of seaweed is natural on a coral  
68 reef, and how much is too much – or too little?

### 69 **Estimating the seaweed baseline: The Jamaican prototype**

70 One answer is based on historical surveys of a handful of reefs off Jamaica and St. Croix, U.S.  
71 Virgin Islands in the late 1970s and early 1980s (Adey and Steneck 1985, Liddell and Ohlhorst  
72 1992, Hughes 1994) from which average macroalgal cover was estimated to be approximately  
73 2% (Côté et al. 2005, Schutte et al. 2010). These studies preceded the impacts of strong  
74 hurricanes on both islands in the 1980s and the regional mass mortality in 1983–1984 of the  
75 echinoid *Diadema antillarum*, an important herbivore. Descriptive accounts (Van den Hoek et  
76 al. 1975, Adey et al. 1977, Littler et al. 1987) support the view that very low (<3%) seaweed  
77 cover was typical of some Caribbean reefs at that time; however, given the very small number of  
78 reefs that were sampled and the potential for biases in the selection of sites, the generality of this  
79 finding is unclear.

80  
81 It is also possible that the high coral cover of the Caribbean “baseline” reefs led to an  
82 underestimation of macroalgal cover. Algal lawns cultivated by the territorial threespot  
83 damselfish, *Stegastes planifrons*, have historically been abundant on Caribbean reefs (Precht et  
84 al. 2010) and were reported to be so in St. Croix and Jamaica at the time of the early surveys  
85 (Fig. 1)(Kaufman 1977, Brawley and Adey 1981). Kaufman (1977) reported that 10-40% of the  
86 surface area of the forereef at Discovery Bay, Jamaica was covered by the algal lawns of  
87 damselfish in the 1970s, and that he had observed similar “processes” elsewhere in the

88 Caribbean at that time. Such lawns of dense turfs and macroalgae dominate the bases of many  
89 colonies of branching species of *Acropora* today, even on some of the world's most isolated and  
90 pristine reefs (Fig. 1).



91  
92 Figure 1. Association between acroporid corals and macroalgae. (left) *Acropora cervicornis*  
93 thicket from Discovery Bay, Jamaica in 1978. Note thick understory of *Dictyota* and *Amphiroa*  
94 adjacent to a territory of the threespot damselfish, *Stegastes planifrons*. Photo credit: William  
95 Precht. (center) *Stegastes*-occupied branching-*Acropora* thicket from Ningaloo Reef, Western  
96 Australia, 2010. Photo credit: John Bruno. (right) High biomass of macroalgae underneath a  
97 plating acroporid coral from Ningaloo Reef, Western Australia, 2010. Photo credit: John Bruno.  
98 A jpg file of the image can be downloaded here: [http://figshare.com/articles/Figure\\_1/697526](http://figshare.com/articles/Figure_1/697526)  
99

100 How could macroalgal cover have been as low as 0–3% on reefs with high densities of *Stegastes*  
101 territories? One plausible answer is that macroalgae were undercounted when obscured by  
102 canopy-forming acroporid corals (Goatley and Bellwood 2011). Plating, Indo-Pacific acroporid  
103 corals can facilitate an understory of high macroalgal biomass by providing a refuge from most  
104 herbivores (Fig. 1). Divers performing benthic surveys have a diminished ability to detect such  
105 macroalgae hidden from above. Macroalgal abundance, therefore, could be routinely  
106 underestimated on reefs with high coral cover, at least on reefs dominated by branching and  
107 plating acroporids. Underestimates of macroalgal cover in the coral-dominated state could be  
108 skewing our perception about spatio-temporal dynamics of coral and macroalgal cover,  
109 especially on reefs from which acroporids have now been lost (Aronson and Precht 2001). In  
110 fact, Goatley and Bellwood (Goatley and Bellwood 2011) argued, “While phase-shifts to algal  
111 dominated states are among the most reported effects following disturbances on coral reefs our  
112 results suggest that in some cases, apparent shifts could simply be due to the canopy effect, with  
113 the removal of the coral canopy unveiling a pre-existing algal-dominated state.”

#### 114 **Shifting Caribbean baselines**

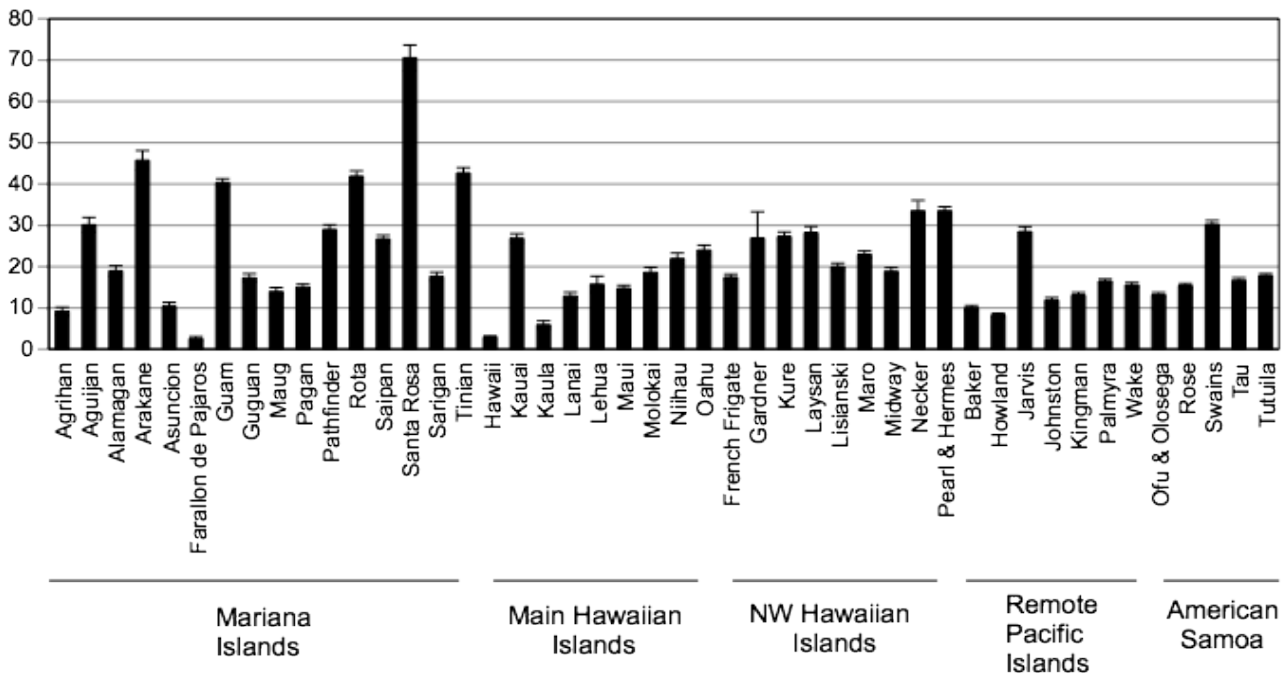
115 Because many reef scientists began their careers in the Caribbean during the 1970s, the field in  
116 general has largely adopted the condition of Caribbean reefs of this era – particularly Jamaican  
117 reefs – as the archetypal natural state. Caribbean reefs of the 1970s, however, were probably not  
118 representative of pre-human, pristine reefs. By the time scientists began studying coral reefs,  
119 people had been harvesting plants and animals from them for centuries (Wing and Wing 2001,  
120 Pandolfi et al. 2003) and had significantly altered several aspects of community structure  
121 (Knowlton and Jackson 2008).

122  
123 Regardless of what the true cover of macroalgae was in the 1970s, deriving the Caribbean (or  
124 global) baseline from the results of early Caribbean surveys assumes grazing intensity was close  
125 to natural levels. We doubt this assumption is valid. Overfishing has caused the loss of large  
126 piscivores, particularly sharks, barracudas and groupers from most of the world's reefs (Sandin  
127 et al. 2008, Stallings 2009). This wholesale removal of top predators probably increased grazing  
128 and grazer populations (McClanahan 1990, Sale et al. 2005, Valentine and Heck 2005, Madin et  
129 al. 2010), at least initially before herbivores like parrotfishes were overfished as well. Inflated  
130 benthic grazing could have artificially suppressed seaweed cover on what we – perhaps  
131 erroneously – consider our archetypal reefs.  
132

133 For example, there is evidence that densities of *Diadema* were unnaturally high on some  
134 Caribbean reefs during the 1970s because their predators, including triggerfish and hogfish, had  
135 been removed by fishing (Hay 1984, Aronson 1990, Levitan 1992, Hughes 1994, Knowlton and  
136 Jackson 2001)(but see Jackson 1997, Precht and Aronson 2006 for the argument that *Diadema*  
137 were historically abundant). Similar dynamics have been documented in the western Indian  
138 Ocean, where overfishing facilitated the growth of sea-urchin populations, increasing grazing to  
139 the point that it was detrimental to corals (McClanahan 1990). Thus, what is regarded as the  
140 coral-reef prototype may actually represent a highly shifted baseline due to historical fishing.  
141 Other aspects of the disturbance regime were also anomalous when the initial surveys of  
142 Caribbean reefs took place. For example, Jamaican reefs had not been impacted by a hurricane  
143 in nearly four decades (Woodley 1992). Woodley (1992) estimated the average return-time of  
144 hurricanes to Jamaica to be 6.5 years, leading him to suggest, "The luxuriant *Acropora* stands of  
145 the classic descriptions may therefore be atypical; one extreme of a variable condition."  
146 Although most scientists worked on reefs with high coral cover during the 1970s, some reefs at  
147 that time had considerable quantities of seaweed as a result of natural disturbances. For example,  
148 Belizean reefs damaged in 1961 by Hurricane Hattie remained dominated by macroalgae for  
149 more than a decade (Stoddart 1974). Bak (1977) reported, "Large parts of the reef terrace on the  
150 exposed coast [in the Lesser Antilles] are densely covered with the brown alga *Sargassum*  
151 *platycarpum*... In places the algal fields stretch over the drop-off down to the sediment plain at  
152 40 m." Even some reefs off Jamaica and St. Croix had macroalgal cover greater than 10% (Adey  
153 and Steneck 1985, Liddell and Ohlhorst 1992).

#### 154 **Estimating the seaweed baseline: Quasi-pristine Pacific reefs**

155 A second approach to understanding natural levels of seaweed abundance, which largely avoids  
156 this problem of shifting baselines, is to document the state of currently pristine or nearly pristine  
157 reefs (Vroom et al. 2006, Knowlton and Jackson 2008, Sandin et al. 2008). As a consequence of  
158 their remote locations, and in some cases due to legal protection, fishing and other direct human  
159 impacts are minimal on certain reefs in the central Pacific (Knowlton and Jackson 2008). The  
160 National Oceanic and Atmospheric Administration–Coral Reef Ecosystem Division  
161 (NOAA–CREED) surveys of 46 remote Pacific reefs (Vroom and Braun 2010, Vroom et al. 2010)  
162 found that macroalgal cover ranged from 10% to 30% and averaged 22% on atolls as remote and  
163 pristine as Johnston, Wake, Kingman, Palmyra, and the Northwest Hawaiian Islands (Fig. 2).  
164 The current state of these quasi-pristine reefs clearly suggests that natural levels of seaweed  
165 cover could be higher than the 2% estimate based on the early Caribbean surveys.  
166



167 Figure 2. Percentage of the seafloor covered by macroalgae on 46 Pacific reefs based on  
 168 NOAA–CREED towed-diver surveys performed between 2000 and 2009. Redrawn from Vroom  
 169 2011 (Fig. 2C). Values are site-means  $\pm$  1 SE. An eps file of the image can be downloaded here:  
 170 <http://dx.doi.org/10.6084/m9.figshare.697550>  
 171

172  
 173 Unfortunately, using remote Pacific reefs as regional or global baselines also has several  
 174 limitations. For example, they are clearly being impacted by global stressors, particularly ocean  
 175warming (Alling et al. 2007, Halpern et al. 2008, Selkoe et al. 2009). Additionally, they are  
 176probably not representative of reefs in other regions, specifically those closer to continents or  
 177large islands, which are generally less nutrient-limited and might be expected to have even  
 178higher seaweed baselines.

179 **Baseline distributions**

180 Reefs, like all communities, have always been disturbed. Even long ago in the pre-human past,  
 181reefs were dynamic, non-equilibrial systems and were not fixed in climax states of coral  
 182dominance (Woodley 1992, Connell et al. 1997, Vroom et al. 2006). In his long-term monitoring  
 183study of shallow reefs on the Great Barrier Reef, Connell documented repeated fluctuations of  
 184macroalgal cover between 15% and 85% due to natural disturbances and community succession  
 185(Connell et al. 2004). Because coral and seaweed cover fluctuate naturally (and quasi-  
 186independently; (Bruno et al. 2009, Colvard and Edmunds 2011, McClanahan et al. 2011a,  
 1872011b)), there is no single baseline for a healthy reef. There is instead a range of state values  
 188and a regional-historical average, which is not the same as a maximum or minimum value  
 189measured on a pristine reef in a late stage of succession. At any time, a proportion of reefs in  
 190any given region will be in various states of recovery from natural disturbances (Emslie et al.  
 1912008). Those reefs exhibit reduced coral cover and sometimes increased macroalgal cover,  
 192shifting the mean benthic composition away from the state of fully recovered reefs (Bruno and  
 193Selig 2007).

194  
195 The natural state of reefs is also highly context-specific. The abundances in space and time of  
196 different functional groups of algae are related to a combination of biotic and abiotic factors,  
197 including competition, grazing, nutrient availability, wave action, temperature, and irradiance  
198 (Steneck and Dethier 1994). Baselines, therefore, necessarily vary along environmental gradients  
199 (Vroom and Braun 2010). For example, cyclones are rare within 5° of latitude of the equator  
200 because of the weakness of the Coriolis effect. Equatorial reefs, therefore, might be expected to  
201 have higher coral and lower seaweed baselines. On the other hand, reefs growing at their  
202 latitudinal extremes, such as those in Florida, show marked seasonal dynamics, with as much as  
203 30% variation in the absolute cover of macroalgae between winter and summer (Lirman and  
204 Biber 2000). Coral reefs also exist across a range of nutrient availability and benthic primary  
205 productivity, so seaweed biomass should vary significantly even in the absence of anthropogenic  
206 disturbances (Vroom and Braun 2010). Dayton et al. (1998) described a similar phenomenon in  
207 kelp forests of southern California, which are strongly influenced by oceanographic cycles such  
208 as the El Niño–Southern Oscillation (ENSO), resulting in striking temporal variability in what is  
209 natural. ENSO drives similarly coupled physical–biological fluctuations in the tropical eastern  
210 Pacific, where the meaning of “natural” in terms of temperature, productivity, species  
211 interactions and community structure can vary enormously on annual to millennial time scales  
212 (Glynn and Colgan 1992, Toth et al. 2012).

213  
214 The message is that the distributions of baseline states for coral reefs and other habitat types are  
215 region-specific. Great care should be taken when using information about the baseline-range in  
216 one location to make inferences about the degree of degradation in another. Ideally, we would  
217 have science-based baseline distributions for each region and even for different habitats (i.e.,  
218 different reef zones). Unfortunately, the necessary data do not exist, leaving substantial  
219 uncertainties about the natural state of coral reefs.

## 220 **Lessons from other systems**

221 To investigate trophic cascades, ecologists experimentally remove carnivorous predators from  
222 model communities. Humans have effectively replicated this work in an uncontrolled fashion at  
223 far larger scales by removing carnivorous vertebrates for sustenance, profit, and sport from  
224 nearly every ecosystem on the planet. In most cases, the depletion of such predators weakens  
225 trophic cascades and reduces plant biomass. Marine examples include kelp forests, salt marshes  
226 and rocky subtidal habitats (Silliman et al. 2005, Steneck and Sala 2005). For rocky subtidal  
227 communities at temperate latitudes, Steneck and Sala (2005) observed, “Where large predators  
228 remained, lobsters, crabs, and herbivorous sea urchins were rare [and] kelp was abundant.” There  
229 are also many terrestrial examples, such as tropical rainforests in which losses of carnivores lead  
230 to the rapid depletion of plant biomass and greatly reduced seedling survival (Terborgh et al.  
231 2001). The explosion of deer and elk in North America due primarily to the removal of their  
232 predators and the subsequent overgrazing of herbaceous plants, is another salient example  
233 (Ripple and Beschta 2006, 2011).

234  
235 Considering ecological theory and experiences in other systems, it should not be surprising that  
236 some pristine reefs have more seaweed than some fished reefs. Trophic cascades, mediated by  
237 both consumptive and non-consumptive effects of predators on herbivores, could explain the  
238 higher than expected cover of seaweeds observed on remote, pristine reefs. Unfished or lightly

239 fished reefs are typically dominated by large predators such as sharks, snappers, and groupers  
240 (Steneck and Sala 2005, Sandin et al. 2008). As a result, herbivores are scarce and spend most of  
241 their time hiding rather than foraging (Sandin et al. 2008, Madin et al. 2010), thereby inducing a  
242 numerically and behaviorally mediated trophic cascade in favor of increased macroalgal cover  
243 and biomass.

## 244 **Conclusions**

245 Different approaches to assessing the macroalgal baseline for the world's coral reefs lead to  
246 different baseline estimates – an unfortunate reality that leads to considerable ambiguity for  
247 managers and causes confusion in the literature. Most reef scientists would agree that a reef with  
248 > 50% seaweed coverage has been substantially degraded or has at least shifted to a macroalgal-  
249 dominated phase (McManus and Polsenberg 2004). But what about a Caribbean reef with 10%  
250 seaweed cover or a central Pacific reef with 15% cover? Should managers consider such reefs  
251 healthy, at least in terms of macroalgae, or should they intervene by promoting herbivory or  
252 limiting (to the degree possible) human activities that promote the growth of seaweeds? These  
253 are not merely hypothetical questions since such values of seaweed coverage in the  
254 neighborhood of 10–15% represent recent averages for much of the world (Bruno et al. 2009).  
255 We can all recognize near-pristine and highly degraded reefs, yet most the world's reefs fall  
256 along a continuum between these extremes. This matters for reef management because having  
257 too little algae on a reef could be as disruptive as having too much. Macroalgae and other  
258 primary producers are, after all, a critical component of coral reef food webs and the targets of  
259 conservation and restoration in many other types of nearshore marine environments. Recent  
260 work indicates that seaweeds are at least as threatened by anthropogenic climate change as reef-  
261 building corals (Wernberg et al. 2011). Furthermore, over-promoting herbivory could cause  
262 rapid bioerosion and structural homogenization (McClanahan 1990).

263  
264 We conclude with four recommendations:

- 265  
266 1) Scientists and managers should be aware that there is no single baseline; rather, there is a  
267 baseline distribution. This may seem obvious, but it is often forgotten when the state of a  
268 given reef or the effectiveness of a local management plan is evaluated.  
269
- 270 2) Some reefs in any given region will inevitably have high seaweed coverage and low coral  
271 coverage. This is a simple result of the natural disturbance regimes that reefs have  
272 always experienced, and no form of local management can avoid this reality (Emslie et  
273 al. 2008).  
274
- 275 3) The natural coverage of seaweed varies along bathymetric and environmental gradients  
276 and among regions. Scientists should recognize that it is unrealistic to expect nearshore,  
277 continental reefs and those in equatorial upwelling regions, both with high natural levels  
278 of nutrient availability, to look exactly like remote, oligotrophic atolls.  
279
- 280 4) Macroalgal cover is just one of several key metrics of reef state (McClanahan et al.  
281 2011a). Developing context-specific baseline distributions of seaweed for management  
282 and restoration is an important goal, but we also need to know the natural range of other  
283 important characteristics of reef ecosystems.  
284

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