

1 Will 1,2-dihydro-1,2-azaborine-based drugs resist  
2 metabolism by cytochrome P450 compound I?

3 *Pedro J. Silva<sup>1\*</sup>*

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5 <sup>1</sup>FP-ENAS, Faculdade de Ciências da Saúde, Univ. Fernando Pessoa, Rua Carlos da Maia, 296, 4200-  
6 150 Porto-Portugal

7

8 \*pedros@ufp.edu.pt

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11 **Abstract:** 1,2-dihydro-1,2-azaborine is a structural and electronic analogue of benzene which is  
12 able to occupy benzene-binding pockets in T4 lysozyme and has been proposed as suitable arene-  
13 mimicking group for biological and pharmaceutical applications. Its applicability in a biological context  
14 requires it to be able to resist modification by xenobiotic-degrading enzymes like the P450 cytochromes.  
15 Quantum chemical computations described in this work show that 1,2-dihydro-1,2-azaborine is much  
16 more prone to modification by these enzymes than benzene, unless steric crowding of the ring prevents  
17 it from reaching the active site, or otherwise only allows reaction at the very sluggish C<sub>4</sub>-position. This  
18 novel heterocyclic compound is therefore expected to be of limited usefulness as an aryl bioisostere.

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22 **Introduction**

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24 1,2-dihydro-1,2-azaborine (abbreviated in this paper as “azaborine”) is a structural and electronic  
25 analogue of benzene which, like benzene, undergoes classical electrophilic aromatic substitution(Pan,  
26 Kampf & Ashe, 2007) but, in contrast to benzene, also readily undergoes nucleophilic aromatic  
27 substitution under mild reaction conditions(Lamm et al., 2011). Computational studies have shown  
28 azaborines to be generally much more reactive towards one-electron oxidation and electrophilic  
29 substitution than their corresponding benzene analogues(Silva & Ramos, 2009).Azaborines are  
30 generally stable in water and react sluggishly with oxygen when substituted on their boron atoms with  
31 electron-withdrawing substituents (Lamm & Liu, 2009). These benzene isosteres are able to occupy  
32 benzene-binding pockets in T4 lysozyme(Liu et al., 2009) and have been proposed as suitable arene-  
33 mimicking groups for biological and pharmaceutical applications(Marwitz et al., 2007). Their  
34 deployment as useful components of drug scaffolds requires, however, that they are stable in the  
35 presence of drug-metabolizing enzymes such as the P450 cytochromes which hydroxylate the related  
36 benzene ring (Guengerich, 2003, 2008).

37 The active oxidant species of cytochrome P450 (Compound I) is a thiolate-bound heme compound  
38 which possesses two unpaired electrons in its Fe=O moiety and one unpaired electron delocalized  
39 throughout the porphyrin ring and the thiolate ligand (Schöneboom et al., 2002 and references therein).  
40 Depending on the orientation of this lone spin relative to the Fe=O-localized spins, compound I may  
41 exist in a doublet ( $S=1/2$ ) or a quartet ( $S=3/2$ ) state, which have very similar energies(Rydberg,  
42 Sigfridsson & Ryde, 2004 and references therein). Extensive experimental and computational  
43 investigations on the reaction of compound I towards benzene and other aromatic compounds (Guroff et  
44 al., 1967; Jerina et al., 1968; Burka, Plucinski & Macdonald, 1983; Koop, Laethem & Schnier, 1989;  
45 Korzekwa, Swinney & Trager, 1989; Koerts et al., 1998; de Visser & Shaik, 2003; Bathelt et al., 2003;  
46 Bathelt, Mulholland & Harvey, 2008) have shown that the initial formation of a  $\sigma$ -adduct between

3

47 compound I and the aromatic compound is endergonic and that the subsequent formation of different  
48 products (arene oxides, phenols, or ketones) is ruled by a complex potential energy surface, which is  
49 sensitive to the reaction environment and to the mode of attack of the benzene (either perpendicular or  
50 parallel to the plane of the porphyrin ring). In this paper, we analyze the metabolic stability of 1,2-  
51 azaborines towards P450 enzymes through the computational investigation of their reactions with  
52 “compound I”.

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### 54 **Computational methods**

55 The geometries of every molecule described were optimized using B3LYP(Lee, Yang & Parr, 1988;  
56 Becke, 1993; Hertwig & Koch, 1995). Autogenerated delocalized coordinates(Baker, Kessi & Delley,  
57 1996) were used in geometry optimizations performed with 6-31G(d)(Ditchfield, Hehre & Pople, 1971;  
58 Hehre, Ditchfield & Pople, 1972) for all elements except for Fe, which used the SBKJ VDZ(Stevens et  
59 al., 1992) basis set in combination with the SBKJ pseudo-potential(Stevens et al., 1992) for the inner  
60 shells corresponding to the (1s2s2p) core of Fe. Single-point energies of the DFT-optimized geometries  
61 were then calculated using the same functional using the 6-311+G(2d,p)(Hariharan & Pople, 1973;  
62 Krishnan et al., 1980; Clark et al., 1983; Frisch, Pople & Binkley, 1984) basis set for all elements except  
63 Fe, which used the s6-31G\* basis set, specifically developed by Swart *et al.* to afford more reliable spin-  
64 state splittings (Swart et al., 2010). Zero-point vibrational effects (ZPVE) were computed using a  
65 scaling factor of 0.9804 for the computed frequencies. Atomic charge and spin density distribu-  
66 tions were calculated with a Mulliken population analysis(Mulliken, 1955) based on symmetrically  
67 orthogonalized orbitals(Löwdin, 1970). All energy values described in the text include solvation effects  
68 ( $\epsilon=10$ ) computed using the Polarizable Continuum Model (Tomasi & Persico, 1994; Mennucci &  
69 Tomasi, 1997; Cossi et al., 1998) implemented in Firefly. All computations were performed with the  
70 Firefly(Granovsky, 2013) quantum chemistry package, which is partially based on the GAMESS  
71 (US)(Schmidt et al., 1993) source code. Intra- and inter-molecular dispersion effects on the energies of

72 the optimized species were computed with the DFT-D3 formalism developed by Grimme *et al.*  
73 (Grimme *et al.*, 2010).

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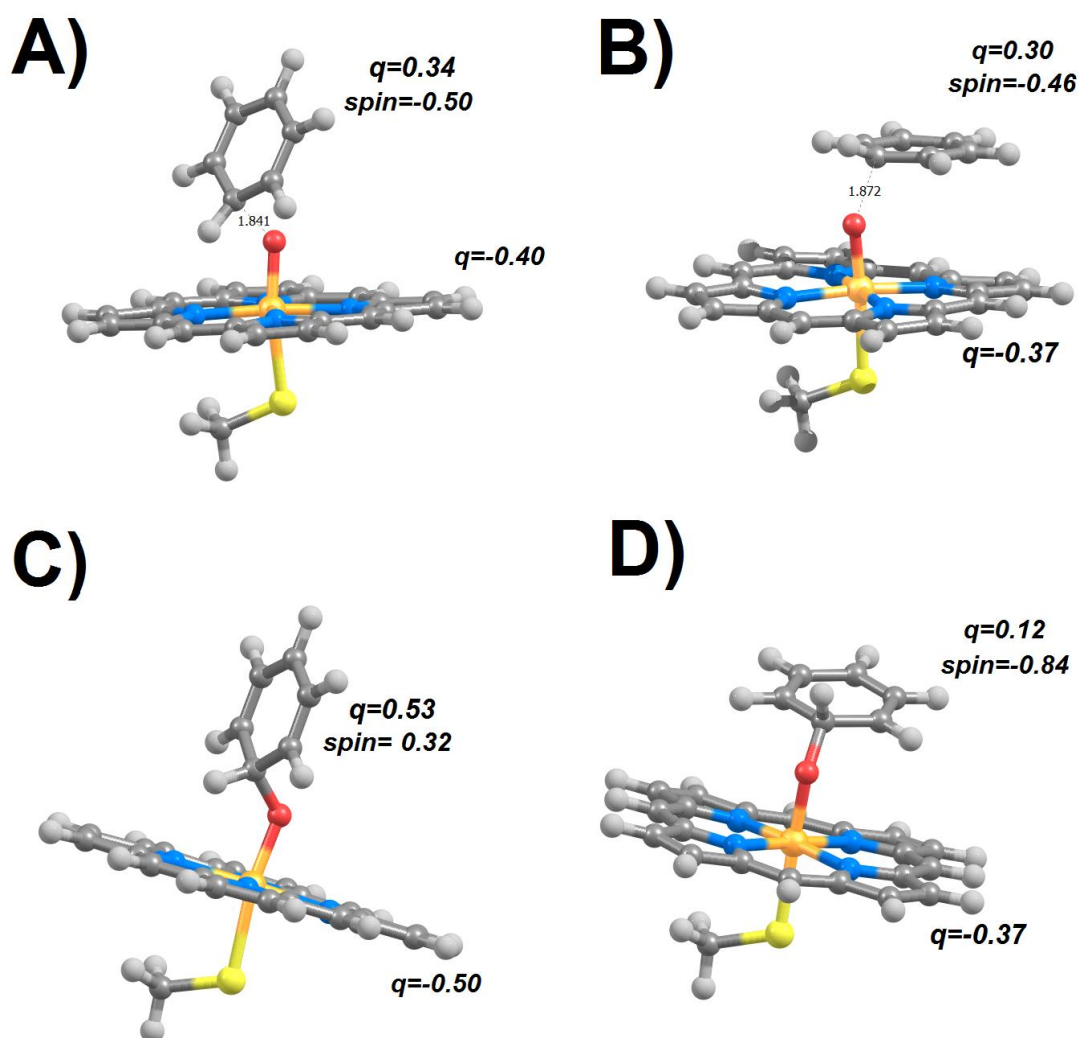
## 75 **Results**

76 The experimental rates of benzene hydroxylation by the thiolate-bound compound I present in  
77 cytochrome P450 and haloperoxydases range from 4.6 min<sup>-1</sup> (Koop *et al.*, 1989) to 8 s<sup>-1</sup> (Karich *et al.*,  
78 2013), which translate to activation free energies from 16.9 kcal·mol<sup>-1</sup> to 19.8 kcal·mol<sup>-1</sup>. The  
79 computationally-derived activation energies vary from 12 kcal·mol<sup>-1</sup> to 21 kcal·mol<sup>-1</sup>, depending on the  
80 theory level, model size, and inclusion (or not) of ZPVE, dispersion effects, or solvation (Table 1).  
81 Analysis of the susceptibility of 1,2-dihydro-1,2-azaborine to attack by compound I therefore required  
82 us to start our investigation by determining the influence of our theory level on the energetic barrier of  
83 the analogous reaction of benzene.

84 In the doublet potential energy surface (Figure 1), we observed that the electronic structure of the  
85 reaction product depends on the aryl mode of attack: when benzene approaches the doublet state of  
86 compound I perpendicularly to the porphyrin ring (“side-on” in the nomenclature of (Bathelt *et al.*,  
87 2004)), half an electron is transferred from the benzene to the Fe ligands (porphyrin and thiolate) with  
88 concomitant spin rearrangements, which lead to the loss of one spin from the Fe-O moiety, mostly to  
89 the thiolate ligand (0.52 spin) and substrate (0.32 spin). In contrast, a parallel mode of attack (“face-on”  
90 in the nomenclature of (Bathelt *et al.*, 2004)) yields the transfer of almost a full spin (0.86) (but no  
91 charge) from the thiolate and porphyrin to the benzene. These results are similar to the observation of a  
92 cation-like and a radical-like adduct by (Bathelt *et al.*, 2004), though these workers were able (unlike us)  
93 to find both adducts with either attack mode.

94 Without taking into account zero-point vibrational effects, the quartet state of compound I lies only 0.4  
95 kcal·mol<sup>-1</sup> above the doublet state, and the quartet potential energy surface is therefore very accessible.

96 In this spin state, no dramatic differences in electronic structure were found between both attack modes,  
97 which always yield a radical-like adduct on the benzene. In the perpendicular attack mode, the quartet  
98 state has the same energetic barrier has the doublet state, but produces a more stable product. Such a  
99 competitive benzene hydroxylation in the quartet state has not been found by earlier workers, whose  
100 studies on the subsequent rearrangement of the compound I/benzene adduct to yield phenol, ketone or  
101 epoxide (Bathelt et al., 2008) focused only on the doublet surface due to the higher activation energies  
102 they observed for the formation of the compound I/benzene adduct in the quartet state.



103

104 Figure 1: Transition states (A and B) and products (C and D) arising from perpendicular (A and C) or  
105 parallel (B and D) attack of benzene by compound I in the doublet ( $S=1/2$ ) state. Charges (and spins) on  
106 the substrate moiety and on the porphyrin ring are highlighted.

107 Table 1: Energies (in kcal·mol<sup>-1</sup>, vs. the reactant state) of the transition states (<sup>2</sup>TS and <sup>4</sup>TS) and products (<sup>2</sup>product and <sup>4</sup>product) of direct attack  
 108 benzene by compound I. Species preceded by <sup>2</sup> are in the doublet (S=1/2) state, whereas those preceded by <sup>4</sup> are in the quartet state (S=3/2). These  
 109 values cannot be directly compared to the experimental barriers due to the neglect of vibrational/rotational/translational contributions to entropy.  
 110 Inclusion of entropic effects increases barriers by 4-6.5 kcal·mol<sup>-1</sup> due to the loss of vibrational entropy in the transition state (see Supporting  
 111 Information).

| Level of theory   | <sup>2</sup> TS | <sup>2</sup> Product | <sup>4</sup> TS | <sup>4</sup> Product | Reference                             |
|---|-----------------|----------------------|-----------------|----------------------|---------------------------------------|
| B3LYP (ε=5.7)   | 17.5-18.1       | 12.3-13.5            | 20.6            | 14.0                 | (de Visser & Shaik, 2003)             |
| B3LYP (ε=4.0)   | 15.6-17.9       | 6.1-6.9              | n.d.            | n.d.                 | (Bathelt et al., 2004)                |
| B3LYP (gas phase only, including ZPVE)                            | 20.7            | n.d.                 | 21.1            | n.d.                 | (Rydberg, Ryde & Olsen, 2008)         |
| QM/MM B3LYP/CHARMM27  | 20.4            | n.d.                 | 20.4            | n.d.                 | (Lonsdale, Harvey & Mulholland, 2012) |
| QM/MM B3LYP-D2/CHARMM27   | 13.5            | n.d.                 | 11.9            | n.d.                 | (Lonsdale et al., 2012)               |
| PBE0 (gas phase only, no ZPVE)                                    | 18.8            | 8.8                  | 24.4            | n.d.                 | (Tomberg et al., 2015)                |
| B3LYP-D3//B3LYP (ε=10.0) (including ZPVE)<br>parallel attack      | <b>16.1</b>     | <b>7.6</b>           | <b>21.6</b>     | <b>7.9</b>           | This work                             |
| B3LYP-D3//B3LYP (ε=10.0) (including ZPVE)<br>perpendicular attack | <b>16.9</b>     | <b>9.4</b>           | <b>16.9</b>     | <b>5.9</b>           | This work                             |

112

113 The energy of the reactant state of compound I towards benzene is mostly independent of the spin  
114 state of compound I and of the parallel/perpendicular orientation of benzene. In contrast, the  
115 perpendicular orientation of 1,2-dihydro-1,2-azaborine is almost  $8 \text{ kcal}\cdot\text{mol}^{-1}$  more favorable than the  
116 parallel orientation, due to the stabilization provided by hydrogen binding between the nitrogen-bound  
117 hydrogen and the compound I oxygen in the perpendicular orientation. This difference is not, by any  
118 means, the most dramatic when comparing the reactivity of benzene towards that of azaborine, as a  
119 large variety of products, transition states and activation energies is observed when compound I is made  
120 to react with azaborine, as described in the next paragraphs.

121 Attack on the azaborine nitrogen atom (Figure 2) is kinetically viable only in the doublet state and  
122 with a perpendicular orientation, yielding an azaborine peroxide product (activation energy= $9 \text{ kcal}\cdot\text{mol}^{-1}$ ;  
123 reaction energy  $5 \text{ kcal}\cdot\text{mol}^{-1}$ ). With a parallel orientation, reaction is slow (activation energy= $33.4$   
124  $\text{kcal}\cdot\text{mol}^{-1}$ ) and yields a high energy intermediate bearing an unusual interaction between the boron  
125 moiety of the substrate and one of the porphyrin nitrogens. Surprisingly, reaction in the quartet state  
126 yields (like that in the doublet state) an azaborine peroxide product, though with a higher barrier  
127 activation energy ( $18.6 \text{ kcal}\cdot\text{mol}^{-1}$ ). In contrast, attack on the boron atom is extremely fast (with  
128 activation energies between  $5.5$  and  $7.7 \text{ kcal}\cdot\text{mol}^{-1}$ ), regardless of the spin state and initial orientation of  
129 the substrate (Table 2).

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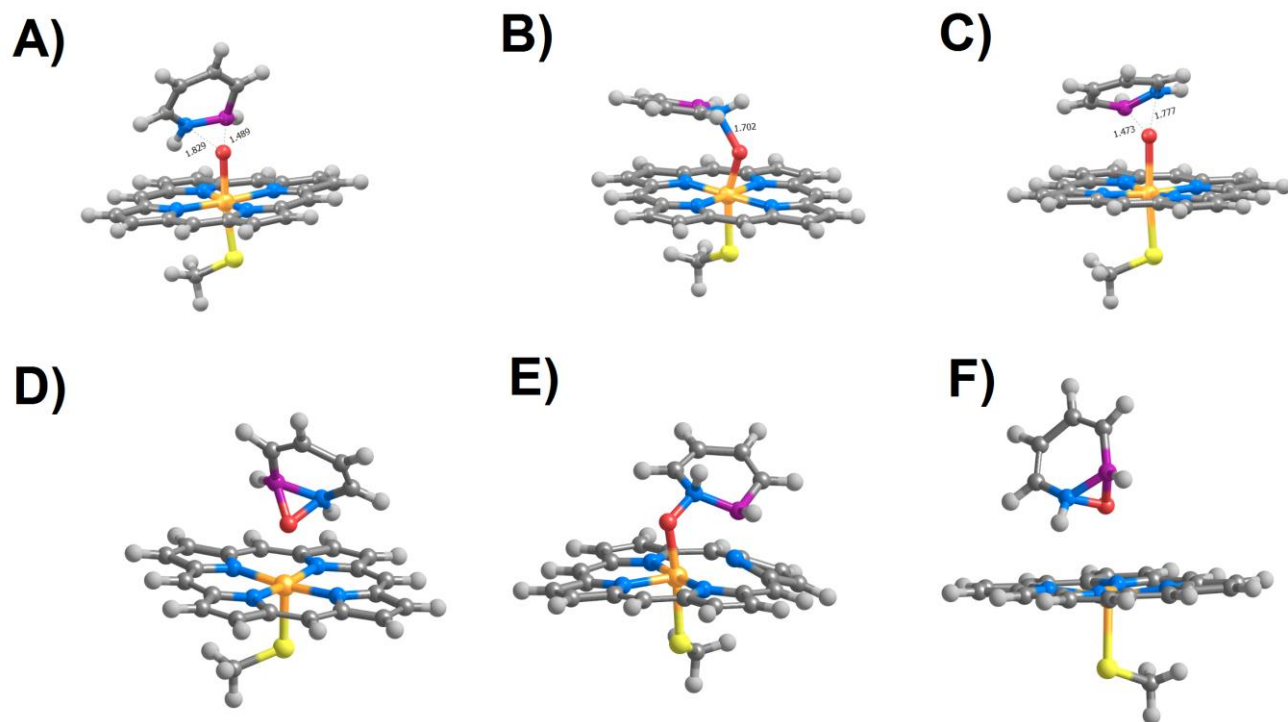
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132 Table 2: Energies (in kcal·mol<sup>-1</sup>, vs. the most stable reactant state) of the transition states (<sup>2</sup>TS and <sup>4</sup>TS)  
133 and products (<sup>2</sup>product and <sup>4</sup>product) for the direct attack of the heteroatoms in 1,2-dihydro-1,2-  
134 azaborine by compound I. Species preceded by <sup>2</sup> are in the doublet (S=1/2) state, whereas those  
135 preceded by <sup>4</sup> are in the quartet state (S=3/2). All energy values include solvation effects (ε=10.0), zero-  
136 point vibrational energy and dispersion effects. Transition states with activation energies above the  
137 activation energy of the reaction of compound I towards benzene are highlighted in bold. Unless  
138 otherwise noted, all products are σ-adducts of the substrate. <sup>a</sup>:peroxide product.

|                               | <sup>2</sup> TS | <sup>2</sup> Product | <sup>4</sup> TS | <sup>4</sup> Product |
|-------------------------------|-----------------|----------------------|-----------------|----------------------|
| N (parallel orientation)      | <b>33.4</b>     | 20.0                 | absent          | Absent               |
| N (perpendicular orientation) | 9.0             | 5.0 <sup>a</sup>     | <b>18.6</b>     | 11.0 <sup>a</sup>    |
| B (parallel orientation)      | 5.9             | -6.2                 | 5.5             | -1.8                 |
| B (perpendicular orientation) | 7.6             | -3.8                 | 6.9             | -16.2                |

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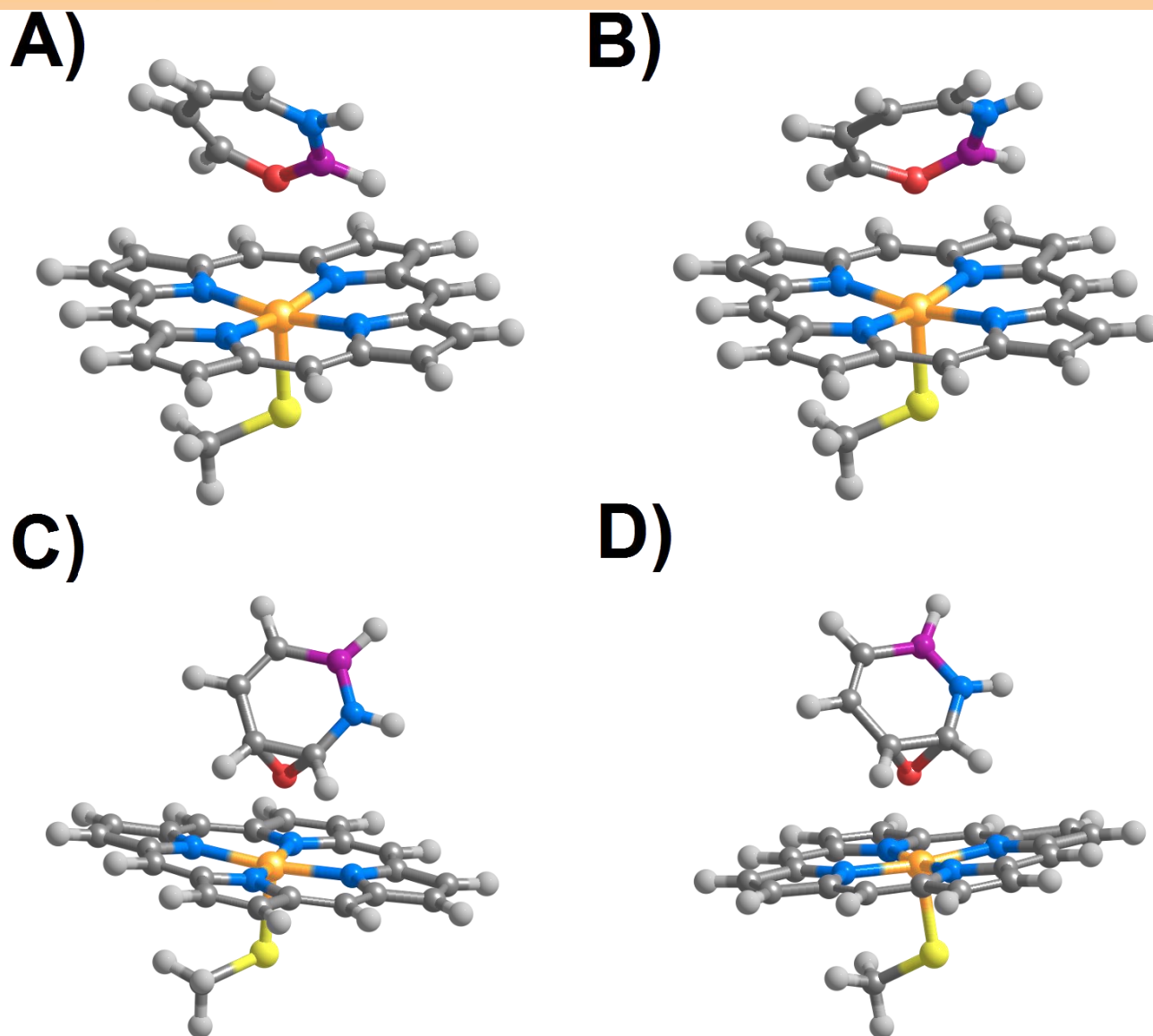
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143 Figure 2: Transition states (A-C) and products (D-F) arising from attack of the nitrogen atom in  
 144 azaborine by compound I. A and C:  $S=1/2$ , perpendicular attack; B and D:  $S=1/2$ , parallel attack; E and  
 145 F:  $S=3/2$ .

146

147 Previous computational (Silva & Ramos, 2009) and experimental studies (Pan et al., 2007)  
 148 ascertained that the most reactive carbon positions in azaborine towards classical electrophilic agents  
 149 are its  $C_3$  and  $C_5$  atoms. Our computations show that the same is true regarding its reaction with the  
 150 doublet state of compound I: the reaction is spontaneous by at least  $47.8 \text{ kcal}\cdot\text{mol}^{-1}$  at  $C_3$ , and by 19  
 151  $\text{kcal}\cdot\text{mol}^{-1}$  at  $C_5$ . The reaction products are, however, quite different in both instances: attack on  $C_3$ ,  
 152 yields a novel heptagonal ring (3*H*-1,3,2-Oxazaborepine) containing a N-B-O-C moiety, whereas  
 153 reaction in  $C_5$  must overcome a 13-15  $\text{kcal}\cdot\text{mol}^{-1}$  barrier and yields epoxides over the  $C_5$ - $C_6$  bond. Both  
 154 these products assume very similar conformations relative to the heme regardless of the initial  
 155 orientation of the substrate (parallel or perpendicular) relative to the porphyrin plane (Figure 3).

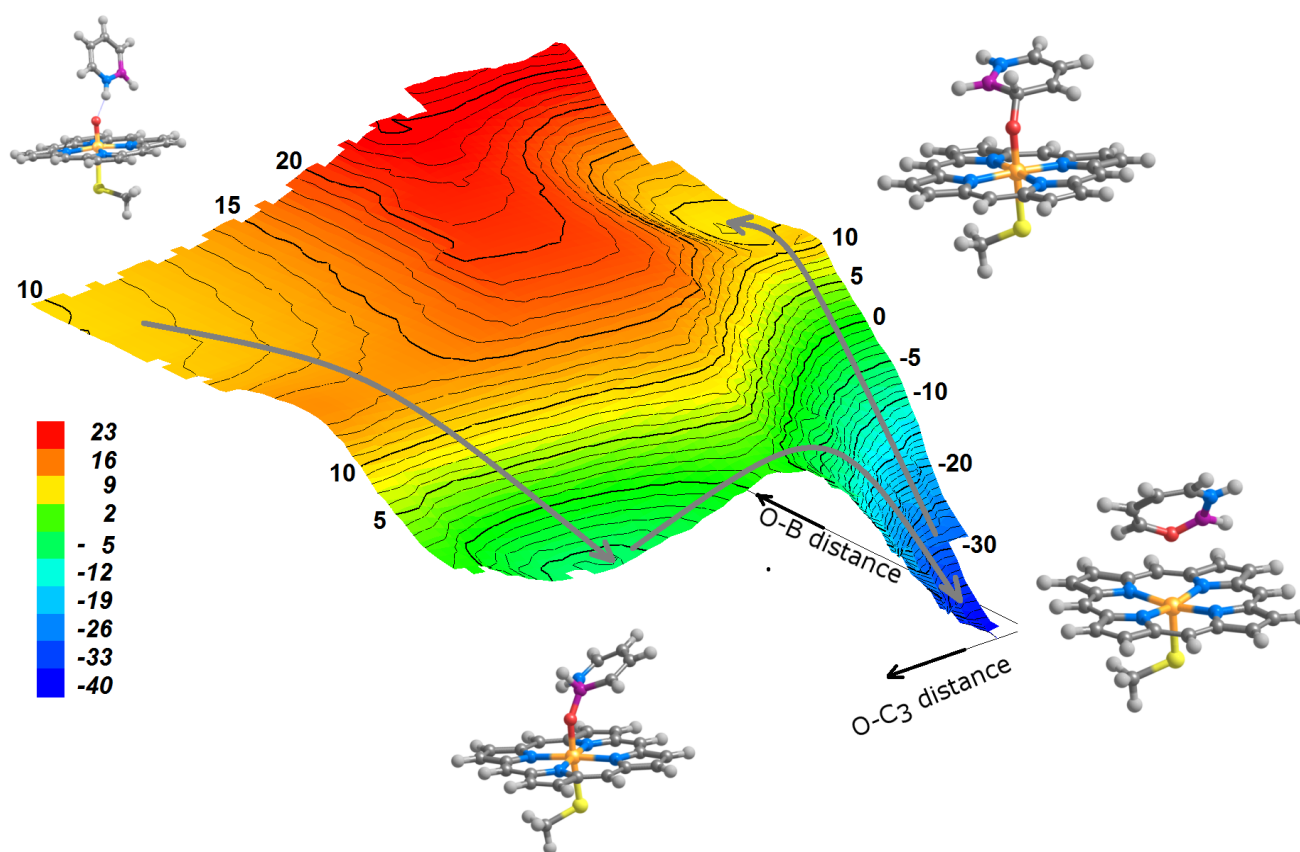
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157  
158 Figure 3: Products arising from perpendicular (A and C) or parallel (B and D) attack of positions  $C_3$  (A  
159 and B) and  $C_5$  (C and D) in 1,2-dihydro-1,2-azaborine by compound I in the doublet ( $S=1/2$ ) state.  
160

161 The search for a transition state for the attack on  $C_3$  showed that the formation of 3*H*-1,3,2-  
162 oxazaborepine cannot occur directly from the isolated reactants, as no transition state connects this  
163 product to the reactant state: instead, 3*H*-1,3,2-oxazaborepine is formed from the boron-bound  
164 azaborine-compound I adduct, after surmounting a small barrier (Figure 4). A second intermediate  
165 bearing a  $C_3$ -compound I bond was found to be thermodynamically stable (Figure 4, upper right),  
166 though kinetically inaccessible due to the absence of any transition state linking it to the isolated

167 reactants: it can only be formed (upon crossing an activation barrier above  $40 \text{ kcal}\cdot\text{mol}^{-1}$ ) through  
168 rearrangement of the extraordinarily stable oxazaborepine.



169  
170 Figure 4: Potential energy surface obtained as B<sub>2</sub> and C<sub>3</sub> approach the reactive oxygen in compound I,  
171 computed using B3LYP with the 6-31G(d) basis set for all elements except for Fe, which used the SBKJ  
172 VDZ basis set in combination with the SBKJ pseudo-potential for the inner shells corresponding to the  
173 (1s2s2p) core of Fe. No solvation or dispersion effects are included. Isoenergetic lines are depicted at 1  
174 kcal·mol<sup>-1</sup> intervals. Separated reactants with a perpendicular arrangement (corresponding to 0 kcal·mol<sup>-1</sup>)  
175 <sup>1</sup>) would lie far to the upper left corner of this depiction of the potential energy surface. Grey arrows  
176 show the sequence of transformations allowed as B<sub>2</sub>/C<sub>3</sub> atoms approach compound I. 3*H*-1,3,2-  
177 oxazaborepine (lower right) is only accessible after the boron-bound adduct (lower left) has been  
178 formed, and the C<sub>3</sub>-bound compound I intermediate (upper right) is shown to be kinetically inaccessible.

179 In the quartet state, attack on C<sub>5</sub> proceeds with a barrier of 17.1 (parallel) or 18.4 kcal·mol<sup>-1</sup>  
 180 (perpendicular) and yields epoxides (like the doublet state). In contrast to the doublet state, a parallel  
 181 attack of the quartet state on C<sub>3</sub> yields a σ-complex similar to that found with benzene. In the  
 182 perpendicular orientation, the reactivity of the quartet state towards C<sub>3</sub> is, however, identical to that  
 183 found for the doublet state.

184

185 Table 3: Energies (in kcal·mol<sup>-1</sup>, vs. the most stable reactant state) of the transition states (<sup>2</sup>TS and <sup>4</sup>TS)  
 186 and products (<sup>2</sup>product and <sup>4</sup>product) for the direct attack of carbon atoms in 1,2-dihydro-1,2-azaborine  
 187 by compound I. Species preceded by <sup>2</sup> are in the doublet (S=1/2) state, whereas those preceded by <sup>4</sup> are  
 188 in the quartet state (S=3/2). All energy values include solvation effects (ε=10.0), zero-point vibrational  
 189 energy and dispersion effects. Transition states with activation energies above the activation energy of  
 190 the reaction of compound I towards benzene are highlighted in bold. Unless otherwise noted, all  
 191 products are σ-adducts of the substrate. <sup>a</sup>: formation of 3*H*-1,3,2-oxazaborepine. <sup>b</sup>: formation of a  
 192 peroxide product.

|  | <sup>2</sup> TS | <sup>2</sup> Product     | <sup>4</sup> TS | <sup>4</sup> Product     |
|--|-----------------|--------------------------|-----------------|--------------------------|
| C <sub>3</sub> (parallel orientation)      | n.a.            | -49.2 <sup>a</sup> / 1.2 | 14.5            | 2.2                      |
| C <sub>3</sub> (perpendicular orientation) | n.a.            | -47.8 <sup>a</sup> / 1.3 | n.a.            | -40.2 <sup>a</sup> / 1.8 |
| C <sub>4</sub> (parallel orientation)      | <b>21.3</b>     | 23.0                     | <b>21.8</b>     | 11.1                     |
| C <sub>4</sub> (perpendicular orientation) | <b>19.5</b>     | 10.8                     | <b>20.5</b>     | 9.6                      |
| C <sub>5</sub> (parallel orientation)      | 14.8            | -19.1 <sup>b</sup>       | <b>18.4</b>     | -15.6 <sup>b</sup>       |
| C <sub>5</sub> (perpendicular orientation) | 13.2            | -18.9 <sup>b</sup>       | <b>17.1</b>     | -15.4 <sup>b</sup>       |
| C <sub>6</sub> (parallel orientation)      | 13.4            | 1.5                      | <b>21.6</b>     | -0.6                     |
| C <sub>6</sub> (perpendicular orientation) | 13.1            | -2.0                     | 15.2            | 7.5                      |

193

194 The activation energies for the reactions taking place at the C<sub>4</sub>-position are consistently >3 kcal·mol<sup>-1</sup>  
195 higher than the attacks on benzene, regardless of orientation and spin state. In contrast, attacks on C<sub>5</sub> by  
196 the doublet state of compound I must surmount a lower barrier than observed for benzene, and yield  
197 very stable epoxides over the C<sub>5</sub>-C<sub>6</sub> bond. The same products are observed upon attack at C<sub>5</sub> by the  
198 quartet state of compound I, though in this instance the activation barriers are 4 kcal·mol<sup>-1</sup> above those  
199 computed for the doublet state. In spite of its negligible reactivity towards classical electrophiles (Pan et  
200 al., 2007; Silva & Ramos, 2009), the C<sub>6</sub>-position in azaborine is more susceptible than benzene to attack  
201 by the doublet state of compound I in either a parallel or a perpendicular orientation. In the quartet state,  
202 the parallel orientation is noticeably less prone to react than the perpendicular orientation, in spite of  
203 yielding a more stable intermediate (Table 3).

204

## 205 Discussion

206

207 The computations described in this paper show that most ring positions in 1,2-dihydro-1,2-azaborine  
208 are much more reactive towards compound I than the benzene ring (for which they have been proposed  
209 as biosteres). It is therefore extremely likely that the proposed inclusion of 1,2-dihydro-1,2-azaborine in  
210 drug scaffolds will have a very detrimental effect on their ability to remain unscathed in the organism  
211 unless measures are taken to ensure that the reactive azaborine portion is sterically unable to reach the  
212 active site of P450 enzymes, or that only the very unreactive C<sub>4</sub>-position is able to approach compound  
213 I.

214

215 **Supporting Information** Geometries and energies of every intermediate. Input and output files have  
216 been deposited in Figshare (<https://dx.doi.org/10.6084/m9.figshare.1414338>)

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