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# Reef manta ray life history and demography: is it really all about survival?

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**Background.** The trade in gill plates of devil and manta rays has increased greatly over the last two decades. The resulting increased mortality, in addition to mortality caused by by-catch, means that many ray populations are declining in size. The aim of this study was to ascertain the main demographic drivers of population change in reef manta rays (*Manta alfredi*) to increase our understanding of their demography and hence provide insight into potential conservation measures.

**Methods.** We developed a population projection model for reef manta rays and used published life history data to parameterise the model and also used these data as points of reference to compare our model output to. Because little is known about yearling and juvenile survival of reef manta rays, we conducted our analyses across a range of plausible survival rate values of yearlings, juveniles, and also adults.

**Results.** The model accurately captured observed patterns of variation in population growth rate, lifetime reproductive success and cohort generation time for different reef manta ray populations around the world. Varying the survival rates of the different life stages revealed that increasing adult annual survival rate always positively and additively affected population growth rate, lifetime reproductive success and cohort generation time. Variation in yearling and juvenile annual survival rate, however, had different and varying effects on the latter three population descriptors, highlighting the importance of obtaining accurate estimates of these survival rates from natural populations. Our elasticity analysis revealed that for both declining and stable populations, the population growth rate is most sensitive to changes in either juvenile or adult survival rate, depending on yearling and adult annual survival rate values.

**Discussion.** Many reef manta ray populations are declining, resulting in local extinction unless effective conservation measures are taken. Based on our detailed demographic analysis, we suggest that reef manta ray conservation would particularly benefit from focusing on increasing juvenile and adult survival.

1           **Reef manta ray life history and demography: is it really all about survival?**

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15 **ABSTRACT**

16 **Background.** The trade in gill plates of devil and manta rays has increased greatly over the last  
17 two decades. The resulting increased mortality, in addition to mortality caused by by-catch,  
18 means that many ray populations are declining in size. The aim of this study was to ascertain the  
19 main demographic drivers of population change in reef manta rays (*Manta alfredi*) to increase  
20 our understanding of their demography and hence provide insight into potential conservation  
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23 life history data to parameterise the model and also used these data as points of reference to  
24 compare our model output to. Because little is known about yearling and juvenile survival of reef  
25 manta rays, we conducted our analyses across a range of plausible survival rate values of  
26 yearlings, juveniles, and also adults.

27 **Results.** The model accurately captured observed patterns of variation in population growth rate,  
28 lifetime reproductive success and cohort generation time for different reef manta ray populations  
29 around the world. Varying the survival rates of the different life stages revealed that increasing  
30 adult annual survival rate always positively and additively affected population growth rate,  
31 lifetime reproductive success and cohort generation time. Variation in yearling and juvenile  
32 annual survival rate, however, had different and varying effects on the latter three population  
33 descriptors, highlighting the importance of obtaining accurate estimates of these survival rates  
34 from natural populations. Our elasticity analysis revealed that for both declining and stable  
35 populations, the population growth rate is most sensitive to changes in either juvenile or adult  
36 survival rate, depending on yearling and adult annual survival rate values.

37 **Discussion.** Many reef manta ray populations are declining, resulting in local extinction unless  
38 effective conservation measures are taken. Based on our detailed demographic analysis, we  
39 suggest that reef manta ray conservation would particularly benefit from focusing on increasing  
40 juvenile and adult survival.

## 41 INTRODUCTION

42 The global demand and resulting trade in plant and animal products is causing unsustainable use  
43 of biological resources (Berkes et al., 2006; Lenzen et al., 2012). For aquatic species we are now  
44 only beginning to understand the great scale at which trading occurs in, for example, shark fins  
45 (Clarke et al. 2006), fish swim bladders (Clark 2004; Sadovy & Cheung 2003), sea cucumbers  
46 (Anderson et al., 2011) and seahorses (Foster & Vincent 2004). Since 1998, the trade of products  
47 derived from manta and devil rays has increased exponentially (Ward-Page, Davis & Worm  
48 2013). The gill plates form the key ingredient in traditional Chinese medicine, whereas the  
49 cartilage serves as a filler in shark fin soup (White et al., 2006; Ward-Paige, Davis & Worm,  
50 2013). The exploitation of these ray species has resulted in population declines (Marshall et al.,  
51 2011a; Couturier et al., 2012) and increases their risk of extinction. As a result, some rays,  
52 including the reef manta ray *Manta alfredi* and giant manta ray *M. birostris*, are now listed as  
53 ‘Vulnerable’ on the IUCN Red List of Threatened Species (Marshall et al. 2011a). Reef manta  
54 rays have a slow life-history, e.g. late maturity, a long gestation period and a low mean lifetime  
55 reproductive success (Marshall et al. 2011a). Therefore, once a reef manta ray population starts  
56 to decrease or reaches critically low numbers, e.g. due to overfishing, it will be very difficult for  
57 the population to recover (Kashiwagi, 2014). This means that understanding how manta ray  
58 population growth rates are affected by variation in demographic rates such as growth, survival  
59 and fertility rates, is particularly important (Couturier et al. 2014; Kashiwagi, 2014).

60 Recently, *M. alfredi* and *M. birostris* were listed on Appendix II of the Convention on  
61 International Trade in Endangered Species of Wild Fauna and Flora (CITES). This listing  
62 implies that any international trade of manta rays from September 2014 onward must be  
63 regulated. However, in many countries, particularly developing ones (e.g. Sri Lanka and

64 countries in east Africa such as Mozambique), manta ray populations are decreasing at an  
65 alarming rate (Marshall et al. 2011a; Ward-Paige, Davis & Worm, 2013). Although manta ray  
66 ecotourism occurs in many of these regions, in only 32% of these are manta rays considered  
67 protected (Ward-Paige, Davis & Worm, 2013). For example, despite their importance for  
68 ecotourism (Tibiriçá et al., 2011), their large size and frequent inshore occurrence, manta rays  
69 are not protected under Mozambique law, even though there has been a 88% decrease in reef  
70 manta ray sightings off Praia do Tofo, Mozambique (Rohner et al., 2013). What is more, the  
71 main aggregation areas of reef manta rays off the coast of southern Mozambique are not inside  
72 marine protected areas (Pereira et al., 2014). At the same time, there has been a rapid increase in  
73 the use of gill nets by artisanal fisheries within offshore regions that are frequented by these rays,  
74 which has significantly increased reef manta ray by-catch (Marshall, Dudgeon & Bennett, 2011b;  
75 Pereira et al., 2014). In depth understanding of the demography of reef manta rays and their  
76 response to different mortality regimes is therefore urgently needed for improved conservation  
77 efforts and management policies (Ward-Paige, Davis & Worm, 2013).

78         Although manta rays are often easy to approach, the paucity of data hampers an in-depth  
79 understanding of their population dynamics (Ward-Paige, Davis & Worm, 2013). If conservation  
80 management policies are to be effective, knowledge regarding a population's sensitivity to  
81 disturbance is essential. For example, demographic analyses of the population dynamics of other  
82 long-lived organisms such as turtles have revealed that population persistence is most sensitive  
83 to adult survival, whereas the protection of young (e.g. through protective rearing schemes) has a  
84 much smaller impact on population persistence (Heppell, Crowder & Crouse, 1996). Therefore, a  
85 very small decrease in the annual survival rate of (sub)adults can have serious repercussions for

86 the persistence of populations of long-lived species, including manta rays (Ward-Paige, Davis &  
87 Worm, 2013; Kashiwagi, 2014).

88         The aim of this study was to ascertain the demographic drivers of population change in  
89 reef manta rays (*Manta alfredi*). To this end we developed a stage-structured population  
90 projection model (PPM) (Caswell, 2001) and parameterised this model using published life  
91 history data from a population off the coast of southern Mozambique (Marshall, Dudgeon, &  
92 Bennett, 2011b) and off Yaeyama Islands, Japan (Kashiwagi, 2014) as points of reference for our  
93 demographic analyses. Detailed information on the survival of yearling and juvenile reef manta  
94 rays is scarce (Marshall et al. 2011a; Dulvy et al. 2014). We therefore used the model to  
95 investigate how different values of annual survival rates of yearlings, juveniles and adults affect  
96 *M. alfredi* population growth rate, mean lifetime reproductive success and cohort generation  
97 time. We assessed the performance of this model by comparing predicted values of the latter  
98 three population biology descriptors against empirical observations. We next conducted elasticity  
99 analyses for all combinations of yearling, juvenile and adult survival rates to investigate which  
100 demographic rate (i.e. growth, survival or fertility rate) has the greatest influence on the  
101 population growth rate. Elasticity analysis is widely applied by conservation biologists to aid in  
102 developing management strategies (Benton & Grant, 1999; Carslake, Townley & Hodgson,  
103 2009). Because much less is known about yearling and juvenile survival rates than about adults  
104 survival rates (Marshall et al., 2011a; Dulvy et al. 2014), exploring a range of yearling and  
105 juvenile survival rates will provide insight into if and how reef manta ray population responses  
106 vary with variation in survival rates. Finally, for all these combinations of yearling, juvenile and  
107 adult survival rates, we used the calculated population growth rates to project a population of 500



108 individuals forward over a period of ten years to explore the population consequences of the  
109 different yearling, juvenile and adult mortality regimes.

110

## 111 **METHODS**

112

### 113 **Life cycle**

114 The life cycle of reef manta rays is generally divided into three life stages: yearlings, non-  
115 reproducing juveniles and reproducing adults (Fig. 1) (Marshall et al., 2011a; Kashiwagi, 2014).  
116 Male manta rays reach maturity after six years and females are thought to mature at 8–10 years  
117 of age; longevity is estimated to be at least 40 years (Marshall et al., 2011a). On average, adult  
118 females produce one pup every two years, but fertility rates can range from one pup every 1-5  
119 years (Marshall et al., 2011a). Reef manta ray life history data are being collected from different  
120 populations, including ones off the coast of Mozambique and off the coast of Yaeyama Islands,  
121 Japan (Table 1). These latter two populations differ remarkably in estimated annual survival rates  
122 and population growth rates: the population off the coast of Japan is stable and juveniles and  
123 adults display high survival rates (0.95 per year) (Kashiwagi, 2014), whereas the population off  
124 the coast of Mozambique is declining and the survival rate of adults is estimated to be as low as  
125  $0.68 \pm 0.147$  SE (standard error) per year (Marshall, Dudgeon, & Bennett, 2011b) (Table 1).  
126 Here we used the life history data of these two populations to serve as reference points for our  
127 demographic analyses.

128

### 129 **Population model**

130 The population model was constructed using the three stage life cycle (Fig. 1). The addition of  
 131 further life stages may have increased model accuracy, but these are the only currently  
 132 distinguishable stages in *M. alfredi*. The rate at which individuals survive and remain in the same  
 133 life stage (as opposed to e.g. growing into the next life stage) equals  $P_i$ , where  $i$  indicates Y  
 134 (yearling), J (juvenile) or A (adult), and was calculated as (Caswell, 2001):

135

$$136 \quad P_i = \sigma_i(1 - \gamma_i) \quad \text{(Equation 1)}$$

137

138 where  $\sigma_i$  ( $i = Y, J, A$ ) is the estimated survival rate for each life stage (Table 1). The parameter  $\gamma_i$   
 139 is the transition rate from one life stage to the next (expressed per year); in this case from  
 140 yearling to juvenile ( $\gamma_Y$ ) or from juvenile to adult ( $\gamma_J$ ). Each transition rate  $\gamma_i$  was calculated as  $\gamma_i$   
 141  $= 1/D_i$ , where  $D_i$  is the duration (in years) of either the yearling ( $i = Y$ ) or juvenile life stage ( $i =$   
 142  $J$ ) (Table 1). The rate (per year) at which individuals survive and grow into the next life stage is  
 143 defined as:

144

$$145 \quad G_i = \sigma_i \gamma_i \quad \text{(Equation 2)}$$

146

147 where  $i$  indicates Y (yearling) or J (juvenile). The number of offspring produced each year equals  
 148  $F_A$ . Putting it all together results in the following population projection matrix (with a projection  
 149 interval of one year):

150

$$151 \quad \mathbf{A} = \begin{bmatrix} P_Y & 0 & F_A \\ G_Y & P_J & 0 \\ 0 & G_J & P_A \end{bmatrix} \quad \text{(Equation 3)}$$

152

**153 Parameterisation and model performance**

154 As is common practice (Caswell, 2001), the population model is parameterised for females under  
155 the assumption that their growth and survival rates are not too dissimilar to those of male reef  
156 manta rays. We set the stage transitions rates  $\gamma_i$  in Equation 1 and 2 constant at  $\gamma_Y = 1/D_Y =$   
157  $1/1 = 1$  and  $\gamma_J = 1/D_J = 1/8 = 0.125$  (Table 1), and we assumed that females produce one pup  
158 every two years so that  $F_A = 0.5$  per year. Because little is known about yearling and juvenile  
159 survival rates (Marshall et al., 2011a; but see Kashiwagi, 2014), we conducted each demographic  
160 analysis (explained in the next section) for all combinations of values of yearling annual survival  
161 rate,  $\sigma_Y$ , and juvenile annual survival rate,  $\sigma_J$ , within the interval  $[0.5, 1]$  (in increments of 0.005)  
162 (Table 1). We conducted each analysis using the observed adult annual survival rate of reef  
163 manta rays off the coast of Mozambique of  $\sigma_A = 0.68$  (Marshall, Dudgeon, & Bennett, 2011b);  
164 but also for a 20% reduced adult annual survival rate of  $\sigma_A = 0.54$ , and for a 20% and 40%  
165 increased adult annual survival rate of  $\sigma_A = 0.82$  and  $\sigma_A = 0.95$  respectively (Table 1). Note that  
166 the latter value of  $\sigma_A = 0.95$  is equal to the observed non-yearling annual survival rate of reef  
167 manta rays in the stable population off the coast of Japan (Kashiwagi, 2014) (Table 1). To assess  
168 the performance of our population model, we compared our predictions on population growth  
169 rate, lifetime reproductive success and cohort generation time against empirical observations.

170

**171 Demographic analyses**

172 We first calculated the population growth rate  $\lambda$  from the dominant eigenvalue of matrix **A**  
173 (Equation 3) for each of the above mentioned combinations of yearling, juvenile and adult  
174 annual survival rate. Secondly, for each of these survival rate combinations, we performed an

175 elasticity analysis to investigate how sensitive the population growth rate  $\lambda$  is to perturbation of  
 176 each of the different growth, survival and fertility rates in the population projection matrix  $\mathbf{A}$   
 177 (Equation 3). To this end, we calculated the elasticity matrix  $\mathbf{E}$ , where each element on row  $m$   
 178 and column  $n$  of matrix  $\mathbf{E}$ ,  $e_{mn}$ , represents the proportional contribution of each associated  
 179 demographic rate  $P_i$ ,  $G_i$ , and  $F_A$  in the population projection matrix  $\mathbf{A}$  (Equation 3) to the  
 180 population growth rate  $\lambda$ . The elasticities were calculated as follows (Caswell, 2001):

181

$$182 \quad e_{mn} = \frac{a_{mn}}{\lambda} \frac{\partial \lambda}{\partial a_{mn}} \quad (\text{Equation 4})$$

183

184 where  $a_{mn}$  are the elements of  $\mathbf{A}$ , and the second part of the equation are the sensitivities of  $\lambda$  to  
 185 changes in the elements  $a_{mn}$  of  $\mathbf{A}$  (Caswell, 2011). The elasticities sum to 1 and give the  
 186 proportional contributions of the matrix elements to the population growth rate  $\lambda$ . Therefore, the  
 187 higher an elasticity value is relative to other elasticity values, the greater is the effect of the  
 188 associated demographic rate on the population growth rate.

189 Thirdly, for each combination of yearling, juvenile and adult annual survival rate we also  
 190 calculated mean lifetime reproductive success,  $R_0$ , by taking the dominant eigenvalue of the  
 191 matrix  $\mathbf{R} = \mathbf{FN}$ . The matrix  $\mathbf{F}$  is a fertility matrix that describes the production of new  
 192 individuals:

193

$$194 \quad \mathbf{F} = \begin{bmatrix} 0 & 0 & F_A \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad (\text{Equation 5})$$

195

196 The matrix  $\mathbf{N}$  is calculated as  $\mathbf{N} = (\mathbf{I} - \mathbf{U})^{-1}$ , where  $\mathbf{I}$  is the identity matrix and  $\mathbf{U}$  the transient  
197 matrix that describes the growth and survival rates of the different stages:

198

$$199 \quad \mathbf{U} = \begin{bmatrix} P_Y & 0 & 0 \\ G_Y & P_J & 0 \\ 0 & G_J & P_A \end{bmatrix} \quad (\text{Equation 6})$$

200

201 Fourthly, for each combination of yearling, juvenile and adult annual survival rate we calculated  
202 cohort generation time as the mean age of production of offspring in a cohort of yearlings  
203 (Caswell 2009):

204

$$205 \quad T_c = \text{diag}(\mathbf{F}\mathbf{N}\mathbf{e}_Y)^{-1} \mathbf{F}\mathbf{N}\mathbf{U}\mathbf{N}\mathbf{e}_Y, \quad (\text{Equation 7})$$

206

207 where the vector  $\mathbf{e}_Y$  is a vector with 1 in the first entry (for yearlings) and zeros in the second and  
208 third entry for juveniles and adults respectively. Fifth and finally, we used the population growth  
209 rates calculated at step one to project a population of 500 individuals forward over a period of  
210 ten years to explore the population consequences of variation in yearling, juvenile and adult  
211 survival rates. All demographic analyses were conducted in MATLAB<sup>®</sup> R2014b (MathWorks<sup>®</sup>,  
212 MA, USA).

213

## 214 RESULTS

215

### 216 Model performance

217 Overall, predictions from our population projection model matched empirical observations well.  
218 Firstly, predicted values for the population growth rate  $\lambda$  ranged from 0.64 to 1.13, depending on  
219 the values of yearling, juvenile and adult survival rate (Fig. 2; Table 2). This range includes the  
220 range of observed population growth rate values, but also slightly exceeds the range of observed  
221 values (Table 2). The latter is likely due to the fact that we also explored the population  
222 consequences of annual survival rates of yearlings, juveniles and adults that are lower and higher  
223 than observed survival rates (Table 1). Similarly, the range of predicted values of lifetime  
224 reproductive success  $R_0$  (0.06 – 6.20) (Fig. 3; Table 2) includes the range of observed values of  
225  $R_0$ , but the highest predicted value of  $R_0$  exceeds the highest observed value of  $R_0$  (Table 2).  
226 Again, this is likely due to the fact that we explored the population consequences of  
227 unrealistically high annual survival rates of yearlings, juveniles and adults, close to unity (Table  
228 1). Predicted values for cohort generation time were very low (Fig. 4; Table 2), and mostly much  
229 lower than observed cohort generation times (Table 2). Only when high adult annual survival  
230 rate is at its highest ( $\sigma_A = 0.95$ ) (Fig. 4D) did predicted cohort generation time match observed  
231 values (Table 2).

232

### 233 **Demographic analyses**

234 We first calculated the population growth rate  $\lambda$  for all different values of yearling, juvenile and  
235 adult annual survival rate. This showed that for the observed, adult annual survival rate of  $\sigma_A =$   
236 0.68 (Marshall, Dudgeon, & Bennett, 2011b), populations can only persist if both yearling and  
237 juvenile annual survival rate are high ( $\sigma_Y > 0.7$  and  $\sigma_J > 0.95$ ) (Fig. 2B: populations persist to the  
238 right of the blue line indicating population stability at  $\lambda = 1$ ). At the lower value of adult annual  
239 survival rate  $\sigma_A = 0.54$ , populations can only persist if both yearling and juvenile annual survival

240 rate are almost unity (Fig. 2A: populations persist to the right of the blue line indicating  
241 population stability at  $\lambda = 1$ ). At the higher values of  $\sigma_A$  ( $\sigma_A = 0.82$  and  $\sigma_A = 0.95$ ) populations  
242 can persist at much lower values of yearling and juvenile annual survival rate (Fig. 2C, D:  
243 populations persist to the right of the blue line indicating population stability at  $\lambda = 1$ ); e.g. if  $\sigma_A$   
244 = 0.95, yearling survival rate,  $\sigma_Y$ , can be as low as 0.5 as long as juvenile survival rate  $\sigma_J = 0.8$   
245 (Fig. 2D). From the fact that the isoclines in each panel are neither horizontal nor vertical, we  
246 can furthermore infer that for a constant value of  $\sigma_Y$  (or  $\sigma_J$ ), the population growth rate depends  
247 on what the value of  $\sigma_J$  (or  $\sigma_Y$ ) is. However, because the isoclines in each plot are parallel, we  
248 can infer that these effects are additive and there is therefore no interactive effect between  $\sigma_Y$   
249 and  $\sigma_J$  on  $\lambda$  (i.e. the magnitude of an effect of  $\sigma_Y$  on  $\lambda$  does not depend on the value of  $\sigma_J$  and  
250 vice versa).

251         Secondly, we checked how variation in yearling, juvenile and adult survival rate affected  
252 the elasticity of the population growth rate  $\lambda$  to each of the demographic rates in the population  
253 projection model (Equation 3). This revealed that, depending on the survival rate values,  $\lambda$  was  
254 either most sensitive to  $P_A$  or  $P_J$ , the rate at which adults, respectively juveniles, survive and  
255 remain in the adult, respectively juvenile life stage (Fig 2: white areas in each panel denote  
256 survival rate values where  $\lambda$  is most sensitive to  $P_A$ ; grey areas denote survival rate values for  
257 which  $\lambda$  is most sensitive to  $P_J$ ). What is noticeable is that with increasing values of adult annual  
258 survival rate  $\sigma_A$  (going from Fig. 2A to Fig. 2D), the region of yearling survival rate ( $\sigma_Y$ ) values  
259 for which  $\lambda$  is most sensitive to  $P_A$  decreases whereas, at the same time, the region of yearling  
260 survival rate values for which  $\lambda$  is most sensitive to  $P_J$ , increases. These shifts also highlight the  
261 fact that the elasticity results are independent of juvenile annual survival rate ( $\sigma_J$ ); instead,

262 whether or not  $\lambda$  is most sensitive to perturbation of  $P_J$  or  $P_A$  depended critically on the values of  
263  $\sigma_Y$  and  $\sigma_A$  (Fig. 2).

264 Thirdly, we investigated the effect of variation in yearling, juvenile and adult survival  
265 rate on mean lifetime reproductive success. The results are qualitatively similar to those observed  
266 for the population growth rate: with increasing values of adult annual survival rate  $\sigma_A$ ,  
267 populations can persist at ever lower values of yearling and juvenile annual survival rates (Fig. 3:  
268 populations persist to the right of the blue line indicating population stability at  $R_0 = 1$ ). In  
269 contrast to the results for population growth rate, the isoclines in each panel are non-parallel and  
270 unevenly spaced (Fig. 3), which indicates that yearling and juvenile annual survival rate  $\sigma_Y$  and  
271  $\sigma_J$  have an interactive effect on lifetime reproductive success. That is, the magnitude of an effect  
272 of  $\sigma_Y$  on lifetime reproductive success depends on the value of  $\sigma_J$  and vice versa. The uneven  
273 spacing of the isoclines for each value of adult annual survival rate (Fig. 3) furthermore indicates  
274 that, with decreasing values of yearling and juvenile annual survival rates, lifetime reproductive  
275 success decreases at an ever slower rate.

276 Fourthly, we examined the effect of variation in yearling, juvenile and adult survival rate  
277 on cohort generation time. For each value of adult annual survival rate ( $\sigma_A$ ), cohort generation  
278 time increases with increasing values of yearling annual survival rate ( $\sigma_Y$ ). At the same time,  
279 however, there is no effect of juvenile annual survival rate ( $\sigma_J$ ) as the increase in cohort  
280 generation time with increasing values of  $\sigma_Y$  is the same for each value of  $\sigma_J$  (Fig. 4). Overall,  
281 cohort generation time increased with increasing values of adult annual survival rate ( $\sigma_A$ ) (Fig.  
282 4).

283 Fifthly and finally, we used the predicted population growth rates (Fig. 2) to project a  
284 starting population of 500 individuals forward over ten years to investigate the population



285 consequences of variation in yearling, juvenile and adult survival rate. The combinations of  
286 yearling, juvenile and adult survival rate values at which populations are stable and the projected  
287 population size remains 500 individuals after ten years (indicated by the green lines in Fig. 5) are  
288 the same as those observed in our analyses of population growth rate (Fig. 2) and lifetime  
289 reproductive success (Fig. 3). Values of yearling annual survival rate ( $\sigma_Y$ ) and juvenile annual  
290 survival rate ( $\sigma_J$ ) values for which the projected population size equals the lowest observed  
291 population size of reef manta rays off the coast of Mozambique [149 (Marshall, Dudgeon, &  
292 Bennett, 2011b); indicated by the red lines in Fig. 5) both decrease with increasing values of  
293 adult annual survival rate ( $\sigma_A$ ). This implies that the decline in population size over ten years is  
294 less at higher values of adult annual survival rate than at lower values of adult annual survival  
295 rate. Vice versa, for combinations of values of  $\sigma_J$  and  $\sigma_Y$  for which populations increase in size,  
296 the increase over ten years is higher at higher values of adult annual survival rate than at lower  
297 values of adult annual survival rate (Fig. 5).

298

## 299 **DISCUSSION**

300

### 301 **Model performance**

302 Here, we present a population model for reef manta rays, which we used to conduct a detailed  
303 analysis of reef manta ray demography. With this analysis we aim to contribute to an increased  
304 understanding of the drivers of population change in reef manta rays and how perturbations to  
305 demographic rates, such as a decrease in survival due to targeted fishing and by-catch, affect  
306 their population fluctuations. We started out by constructing a population projection matrix  
307 comprising the three life stages that can currently be distinguished in reef manta rays: yearlings,

308 juveniles and adults (Marshall et al., 2011a). The performance of this model was satisfactory:  
309 mean lifetime reproductive success and population growth rates observed for different reef manta  
310 ray populations across the world were all within the range of population growth rates that we  
311 predicted from our population model. For the reef manta ray population off the coast of Yaeyama  
312 Islands, Japan, annual survival rates of all three life stages as well as the population growth rate  
313 have been estimated: yearling annual survival rate is estimated to be 0.63 and juvenile and adult  
314 annual survival rates are both estimated at 0.95 (Kashiwagi, 2014). The population growth rate  
315 predicted by our population model associated with these values is  $\sim 1.01$  (Fig. 2D:  $\sigma_Y = 0.63$ ;  $\sigma_J =$   
316  $\sigma_A = 0.95$ ), which is very close to the actual population growth rate of the Yaeyama Islands reef  
317 manta ray population, which is estimated at 1.02 per year (Kashiwagi, 2014). The one  
318 discrepancy between prediction and observation was in case of predicted cohort generation time  
319 at lower adult annual survival rates of  $0.54 \leq \sigma_A \leq 0.82$  (Fig. 4A-C). At these low survival rates,  
320 adults do not attain a high age, which lowers the average age at which adults reproduce and  
321 hence results in a low cohort generation time. Observations on cohort generation time are likely  
322 taken from stable populations (Marshall et al. 2011a; Ward-Paige, Davis & Worm, 2013), where  
323 annual adult survival rate is much higher; for example, in the stable reef manta ray population off  
324 the coast of Yaeyama Islands, Japan, adult annual survival rate ( $\sigma_A$ ) equals 0.95 (Kashiwagi,  
325 2014). Indeed, at  $\sigma_A = 0.95$ , predicted cohort generation times do match observed generation  
326 times. Overall, it is therefore rewarding that predictions from our population model match  
327 observations on the key population descriptors of lifetime reproductive success, population  
328 growth rate and cohort generation time.

329

### 330 **Demographic analyses**

331 Because little is known about survival rates of yearling and juvenile reef manta rays, we explored  
332 the effects of a range of values of yearling and juvenile annual survival rates on the three  
333 population descriptors lifetime reproductive success, population growth rate and cohort  
334 generation time. At the same time, we also varied adult annual survival rate from as low as 0.54,  
335 which is 20% lower than the observed annual survival rates of adults of 0.68 per year off the  
336 coast of Mozambique (Marshall, Dudgeon, & Bennett, 2011b), to as high as 0.95 per year, which  
337 equals the observed adult annual survival rate in the stable population off the coast of Yaeyama  
338 Islands, Japan (Kashiwagi, 2014). The effects of an increase in adult annual survival rate across  
339 this range of values was straightforward: with increasing adult annual survival rate, values of all  
340 population descriptors increased as well. However, variation in yearling and juvenile annual  
341 survival rate had different and varying effects on the population descriptors that we investigated.  
342 In case of population growth rate, changes in these two survival rates had additive effects on the  
343 population growth rate, but interactive (multiplicative) effects on mean lifetime reproductive  
344 success, whereas cohort generation time was unaffected by variation in juvenile annual survival  
345 rate. Also, the effect of an increase in juvenile annual survival rate was of a far greater  
346 magnitude on mean lifetime reproductive success and population growth rate than the effect that  
347 the same increase in yearling annual survival rate had on these population descriptors. All in all,  
348 this means that effects of variation in yearling and juvenile survival rates on population growth  
349 rate, mean lifetime reproductive success and cohort generation time are not necessarily  
350 straightforward. To obtain accurate insight into the dynamics of reef manta ray populations, our  
351 results therefore emphasize the importance of obtaining accurate estimates of yearling and  
352 juvenile survival rates from natural populations.

353 One way of gaining general insight into the population consequences of differences in  
354 demographic rates is by using the population model to project a population forward in time and  
355 examine its future size relative to its original size. We did so for a period of ten years for all  
356 combinations of yearling, juvenile and adult annual survival rates. The reef manta ray population  
357 off the coast of Mozambique has declined by 88% between 2005 – 2011 due to variation in the  
358 local environment, anthropogenic pressures and larger-scale oceanographic influences (Rohner et  
359 al., 2013). Our population projections confirm that the low, observed adult annual survival rate  
360 of adult reef manta rays off the coast of Mozambique of 0.68 per year (Marshall, Dudgeon, &  
361 Bennett, 2011b) indeed nearly always results in population decline, unless yearling and juvenile  
362 annual survival rate are near unity. However, given that fact that reef manta ray by-catch has  
363 recently significantly increased in this region (Marshall, Dudgeon & Bennett, 2011b; Pereira et  
364 al., 2014), it is unlikely that juvenile survival rates are close to unity. What is more, in a stable a  
365 reef manta ray population off the coast of Yaeyama Islands, Japan, yearling survival rate was  
366 estimated to be 0.63 (Kashiwagi, 2014). Hence, unless survival rates of reef manta rays in  
367 populations off the coast of Mozambique increase, e.g. by reducing direct and by-catch of manta  
368 rays, the prospects of these reef manta ray populations are dire.

369

### 370 **Conservation**

371 Many manta ray populations across the globe are declining according to the IUCN Red List for  
372 Threatened Species (Marshall et al., 2011a; but see Kashiwagi (2014) for an exception). One  
373 way of increasing our understanding of how such declines can be reduced or even halted is by  
374 conducting an elasticity analysis of a demographic model as the results can be used to develop  
375 adequate management strategies (Benton & Grant, 1999; Carslake, Townley & Hodgson, 2009).

376 Our elasticity analysis revealed that the population growth rate was either most sensitive to adult  
377 or juvenile annual survival rate. Which of these two rates was most influential depended on the  
378 values of yearling annual survival rate and adult annual survival rate. For example, in case of the  
379 reef manta rays off the coast of Mozambique, adult annual survival rate equals 0.68 (Marshall,  
380 Dudgeon, & Bennett, 2011b) and observed population growth rate is estimated at 0.77 per year  
381 (Rohner et al., 2013). At these values, the population growth rate is most sensitive to change in  
382 the adult annual survival rate according to our elasticity analysis (Fig. 2B). At higher values of  
383 adult annual survival rate, the range of values of yearling survival rate under which the  
384 population growth rate is most sensitive to perturbation of adult annual survival rate increases  
385 until the population growth rate is always most sensitive to perturbation of adult annual survival  
386 rate. For example, in the stable population off the coast of Yaeyama Islands, Japan, adult annual  
387 survival rate equals 0.95, and, according to our elasticity analysis, this population would also be  
388 most sensitive to a change in adult annual survival rate. Although currently this population does  
389 not suffer from direct fishing pressure (Kashiwagi, 2014), any exploitation or change in adult  
390 survival is likely to greatly affect this population. A previous demographic analysis based on a  
391 generic reef manta ray life cycle (hence not of a specific manta ray population) found that the  
392 intrinsic population growth rate  $r$  was most sensitive to change in offspring production rate (and  
393 not mortality rate) (Dulvy et al., 2014). However, unlike our elasticity analysis, this sensitivity  
394 analysis investigated how *additive* perturbations in life history parameters affected the intrinsic  
395 population growth rate, whereas we investigated how *proportional* perturbations in demographic  
396 rates affected the long-term population growth rate; hence no direct comparison can be made.

397 The demographic rates that comprise our population matrix are determined by the  
398 underlying parameters  $\sigma_i$  (survival rate) and  $\gamma_i$  (stage-specific transition rate). However, because

399 the adult annual survival rate  $P_A$  equals  $\sigma_A$  and is independent of  $\lambda$ , population growth rate is  
400 indeed most sensitive to perturbation in adult survival at high adult survival rates, which is  
401 typical for long-lived animals. For example, there is a minimal impact of so-called  
402 “headstarting” of turtle hatchlings on the population growth rate. Elasticity analyses have  
403 revealed that targeting sub-adult and adult turtle survival would yield a higher rate of population  
404 growth, and thus form a more effective management strategy than the protective rearing of  
405 newborns (Crouse, Crowder & Caswell, 1987; Heppell, Crowder & Crouse, 1996). The  
406 importance of adult survivorship is also evident in northern fur seals *Callorhinus ursinus* (Trites  
407 & Larkin, 1989), marbled murrelets *Brachyramphus marmoratus* (Beissinger, 1995) and  
408 cheetahs *Acinonyx jubatus* (Crooks, Sanjayan & Doak, 1998). In the case of the reef manta rays  
409 off the coast of Mozambique, effective management and legislation is urgently needed to avoid  
410 its local extinction. Two main approaches should be taken: (1) the species should be protected at  
411 the national level against fishing, including accidental catch; (2) aggregation areas should be  
412 protected. The behaviour of reef manta rays at cleaning stations makes targeted fishing a  
413 potential threat, but also creates an opportunity for site-specific protection. By protecting  
414 aggregation sites, both juveniles and adults could profit from increased survival, resulting in a  
415 higher population growth rate. The importance of adult survival makes manta rays an  
416 unsustainable fishing resource. Their socio-economic value has yet to be realised to its full  
417 potential, but one thing is clear: manta rays are worth more alive than dead (O’Malley, Lee-  
418 Brooks & Medd, 2013; Ward-Paige, Davis & Worm, 2013).

419

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423

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**Table 1. Life history data of different reef manta ray populations.** Shown are annual survival rates,  $\sigma_i$ , duration of different life stages,  $D_i$ , where  $i = Y$  (yearlings),  $i = J$  (juveniles) and  $i = A$  (adults), and fertility rate of adults,  $F_A$ . Indicated are values estimated from data collected from populations off the coast of southern Mozambique and off the coast of Yaeyama Islands, Japan. Also shown are the values that were used in our demographic analyses.

	Explanation	Value in analyses	Observed value	Location of observation	Reference for observed value
$\sigma_Y$	Annual survival rate of yearlings	0.5 - 1.0	0.63	Japan	Kashiwagi, 2014
$\sigma_J$	Annual survival rate of juveniles	0.5 - 1.0	0.95	Japan	Kashiwagi, 2014
$\sigma_A$	Annual survival rate of adults	{0.54, 0.68, 0.82, 0.95}	0.68 0.95	Mozambique Japan	Marshall, Dudgeon, & Bennett, 2011b Kashiwagi, 2014
$D_Y$	Duration of yearling stage (years)	1	1	not specified	Marshall et al. 2011a
$D_J$	Average duration of (female) juvenile stage (years)	9	8-10	not specified	Marshall et al. 2011a
$D_A$	Duration of adult stage (years)	31	31	not specified	Marshall et al. 2011a
$F_A$	Average number of pups per year	0.5	0.5	Mozambique	Marshall & Bennett 2010

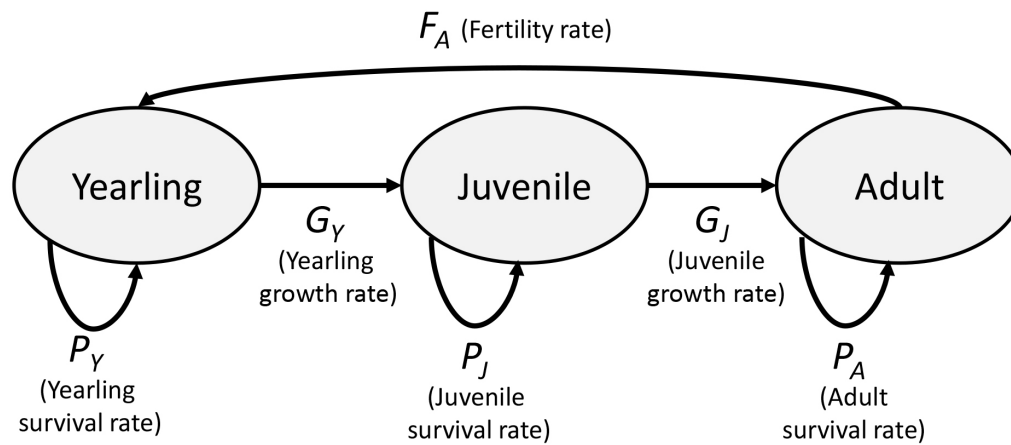
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**Table 2. Predicted and observed population descriptors for different reef manta ray populations.** The population descriptors are: population growth rate ( $\lambda$ , expressed per year), mean lifetime reproductive success ( $R_0$ ), and cohort generation time ( $T_c$ , years). Predicted values given are the minimum and maximum values from our demographic analyses (Fig. 2-4); observed values are taken from different locations around the world (locations are indicated).

	Predicted range	Observed value	Explanation of observed value	Location of observation	Reference for observed value
$\lambda$	0.64 - 1.13	0.77	Calculated from the observation of 88% decline between 2005 – 2011	Mozambique	Rohner et al., 2013
		0.98	Calculated from the observation of 80% decline over 75 years	not specified	Marshall et al., 2011a
		1.02	Estimated using POPAN models covering 1987 – 2009	Japan	Kashiwagi, 2014
$R_0^{(1)}$	0.06 – 6.20	0.72	Calculated using IUCN data (Marshall et al. 2011a) <sup>(1)</sup> : $T_c = 15$ and $\lambda = 0.98$	not specified	Marshall et al., 2011a
		0.02	Worst-case scenario calculated using slowest life history values <sup>(1)</sup> : $T_c = 19.4$ and $\lambda = 0.77$	not specified	Marshall et al., 2011a; Rohner et al., 2013
$T_c$	3.89 – 20.40	19.4	Mean of minimum (6.75 years) and maximum (32 years) age of adults	Tropical Easter Pacific & Atlantic; Hawaii	Ward-Paige, Ward-Paige, Davis & Worm, 2013
		15	Mean of minimum (10 years) and maximum (40 years) age of adults	not specified	Marshall et al., 2011a

<sup>(1)</sup>  $R_0$  was calculated by taking the exponent of  $T_c \times \log(\lambda)$  (Caswell 2001)

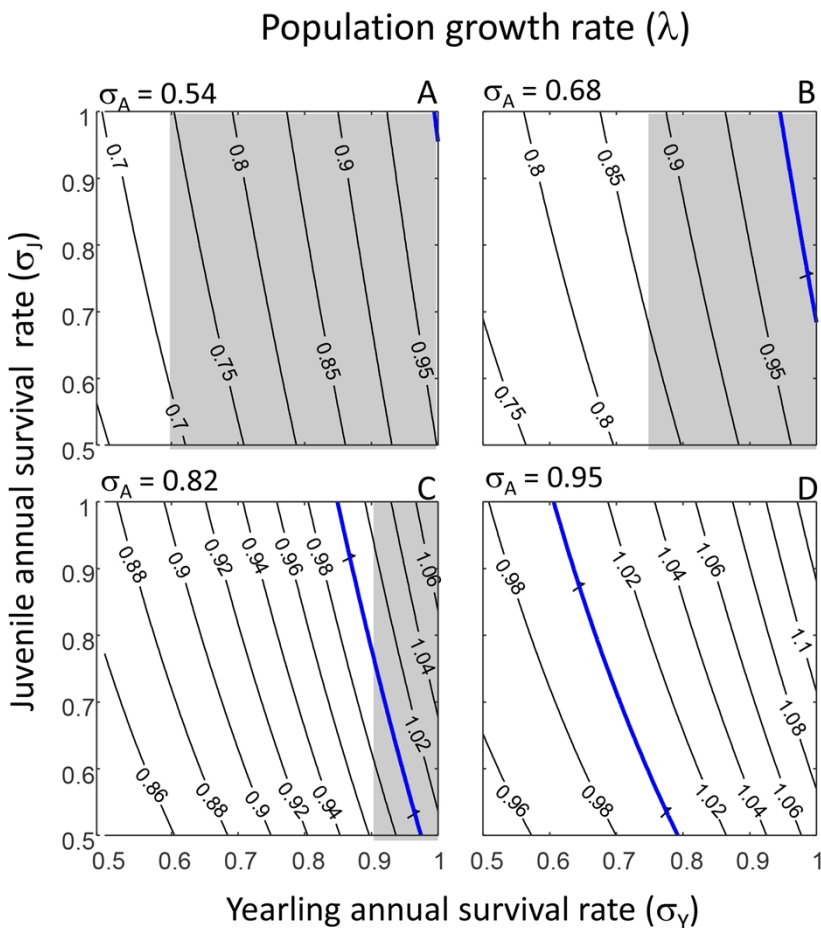
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503

504 **Figure 1** Life cycle of *Manta alfredi*. We distinguished three life stages: yearlings (Y), juveniles  
 505 (J) and adults (A). The rate at which individuals survive and remain in the same life stage equals  
 506  $P_i$ , where  $i$  indicates Y (yearling), J (juvenile) or A (adult); the rate at which individuals survive  
 507 and grow to the next life stage equals  $G_i$ , where  $i$  indicates Y (yearling) or J (juvenile); the rate at  
 508 which adults produce yearlings equals  $F_A$ . See also Equations 1–3.

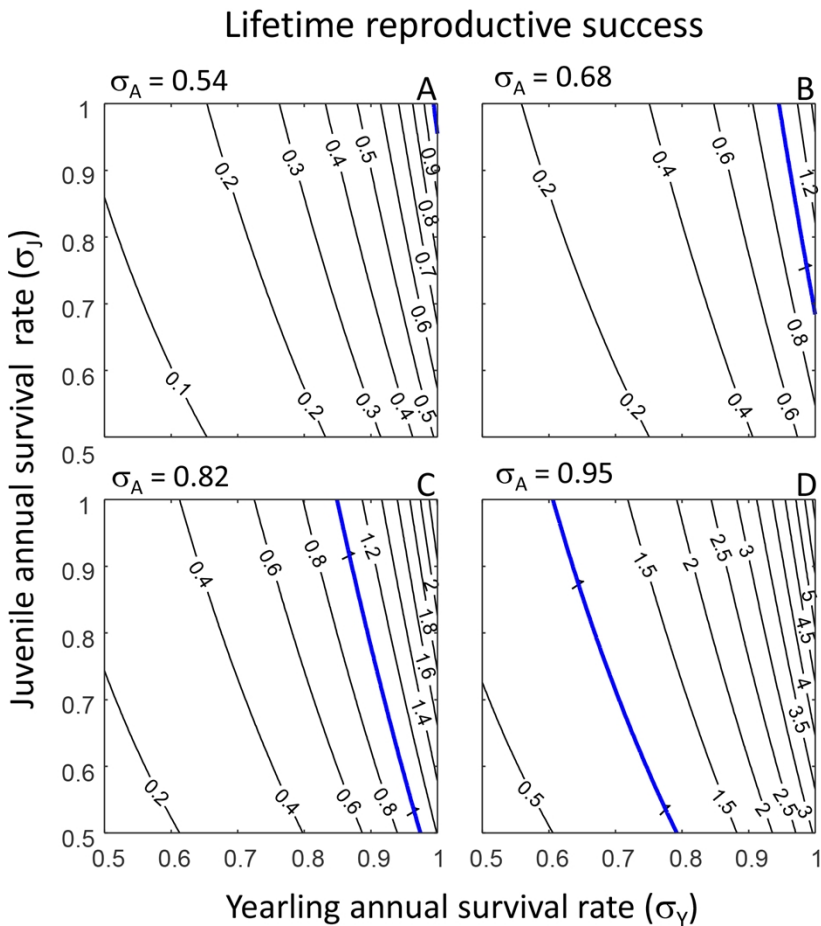
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510

511 **Figure 2 Population growth rate and elasticity results.** Predicted population growth rate  $\lambda$  in  
 512 relation to yearling annual survival rate ( $\sigma_Y$ ) and juvenile annual survival rate ( $\sigma_J$ ) shown for  
 513 each of four values of adult annual survival rate ( $\sigma_A$ ):  $\sigma_A = 0.54$  (80% of observed rate) (A);  $\sigma_A$   
 514 = 0.68 (observed rate) (B);  $\sigma_A = 0.82$  (120% of observed rate) (C); and  $\sigma_A = 0.95$  (140% of  
 515 observed rate) (D). In each panel, isoclines denote equal values of the population growth rate  $\lambda$ .  
 516 The blue line in each panel denotes population stability at  $\lambda = 1$ ; values higher than  $\lambda = 1$  denote  
 517 increasing populations and value lower than  $\lambda = 1$  denote declining populations. The grey and  
 518 white areas in panels denote the elasticity results: white areas (panel D is all white) denote  
 519 parameter combinations where the population growth rate is most sensitive to  $P_A$ , the rate at

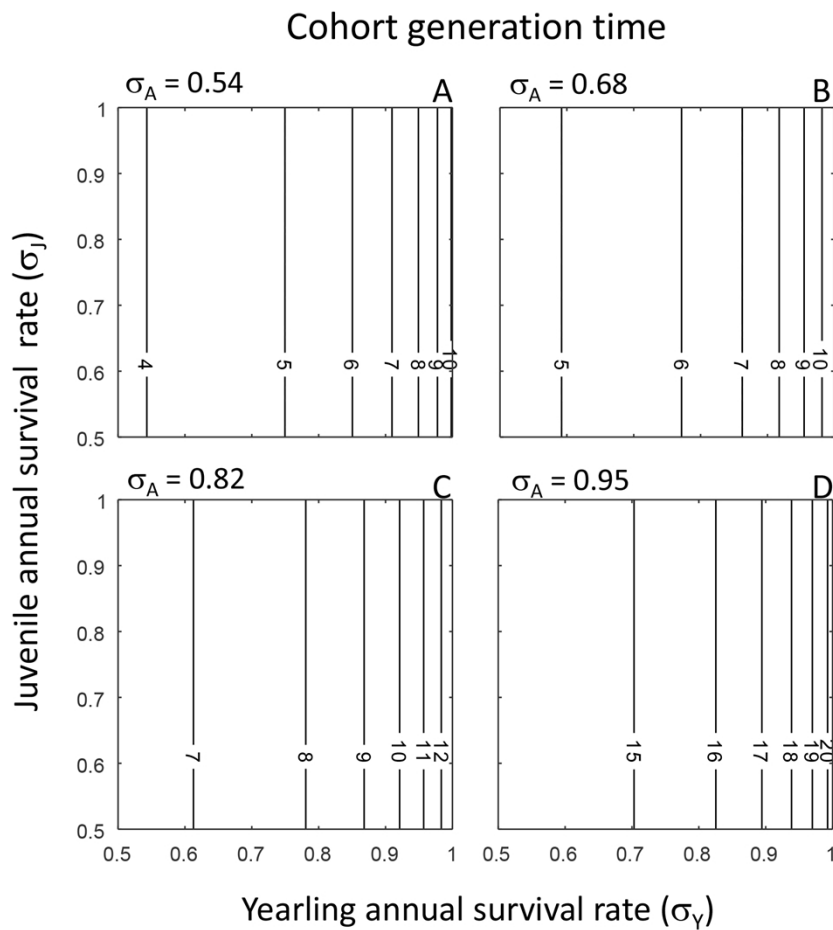
520 which adults survive and remain in the adult stage (Equation 3); grey areas denote parameter  
521 combinations where the population growth rate is most sensitive to  $P_J$ , the rate at which juveniles  
522 survive and remain in the juvenile life stage (Equation 3).



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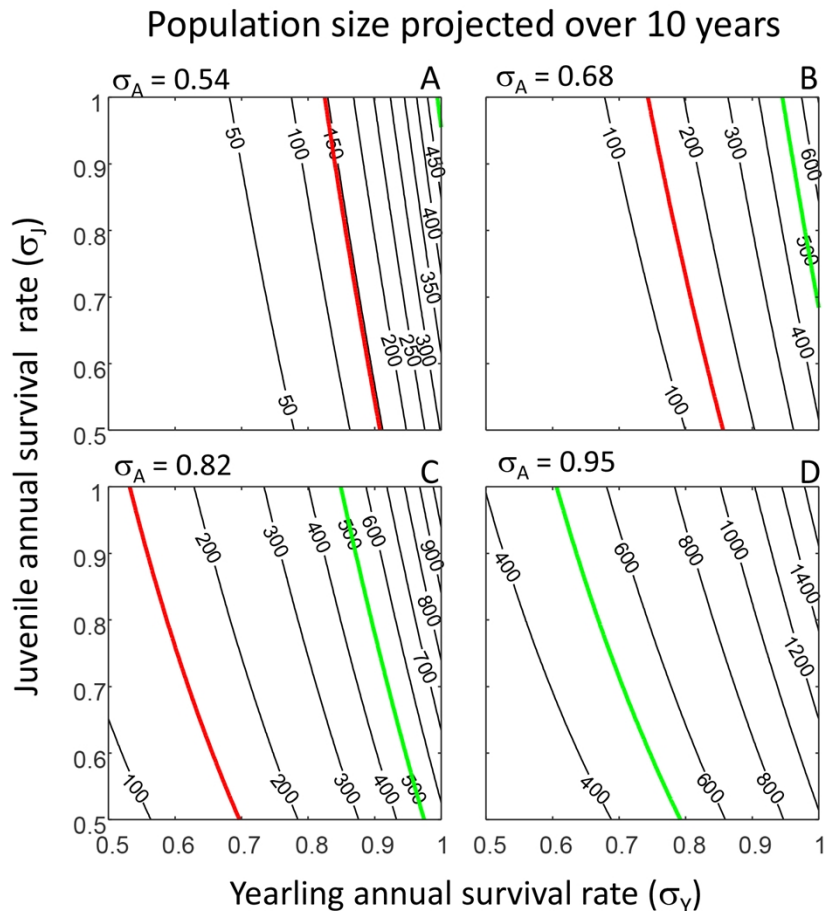
524 **Figure 3 Mean lifetime reproductive success.** Predicted lifetime reproductive success ( $R_0$ ) in  
 525 relation to yearling annual survival rate ( $\sigma_Y$ ) and juvenile annual survival rate ( $\sigma_J$ ) shown for  
 526 each of four values of adult annual survival rate ( $\sigma_A$ ):  $\sigma_A = 0.54$  (80% of observed rate) (A);  $\sigma_A$   
 527 = 0.68 (observed rate) (B);  $\sigma_A = 0.82$  (120% of observed rate) (C); and  $\sigma_A = 0.95$  (140% of  
 528 observed rate) (D). In each panel, isoclines denote equal values of lifetime reproductive success,  
 529  $R_0$ . The blue line in each panel denotes population stability at  $R_0 = 1$ ; values higher than  $R_0 = 1$   
 530 denote increasing populations and value lower than  $R_0 = 1$  denote declining populations.





531

532 **Figure 4 Cohort generation time.** Predicted cohort generation time ( $T_c$ ) in relation to yearling  
 533 annual survival rate ( $\sigma_Y$ ) and juvenile annual survival rate ( $\sigma_J$ ) shown for each of four values of  
 534 adult annual survival rate ( $\sigma_A$ ):  $\sigma_A = 0.54$  (80% of observed rate) (A);  $\sigma_A = 0.68$  (observed rate)  
 535 (B);  $\sigma_A = 0.82$  (120% of observed rate) (C); and  $\sigma_A = 0.95$  (140% of observed rate) (D). In each  
 536 panel, isoclines denote equal values of cohort generation time.



537

538 **Figure 5 Population size projected over ten years.** A population of 500 individuals is  
 539 projected over ten years using the predicted population growth rate  $\lambda$  (Fig. 2). Projected  
 540 population sizes are shown in relation to yearling annual survival rate ( $\sigma_Y$ ) and juvenile annual  
 541 survival rate ( $\sigma_J$ ) for each of four values of adult annual survival rate ( $\sigma_A$ ):  $\sigma_A = 0.54$  (80% of  
 542 observed rate) (A);  $\sigma_A = 0.68$  (observed rate) (B);  $\sigma_A = 0.82$  (120% of observed rate) (C); and  $\sigma_A$   
 543  $= 0.95$  (140% of observed rate) (D). In each panel, isoclines denote equal values of projected  
 544 population size. The green line in each panel denotes population stability where the projected  
 545 population size is equal to the initial size of 500 individuals; above and below this line,  
 546 populations are projected to increase or decrease respectively. The red line in each panel depicts

547 a population size of 149 individuals, which is equal to the lowest observed population size of  
548 reef manta rays off the coast of Mozambique (Marshall, Dudgeon, & Bennett, 2011b).