

# A Low-Cost Auditory Multi-Class Brain Computer Interface based on Pitch, Spatial and Timbre Cues

P300-based brain-computer interfaces (BCIs) are especially useful for people with illnesses, which prevent them from communicating in a normal way (e.g. brain or spinal cord injury). However, most of the existing P300-based BCI systems use visual stimulation which may not be suitable for patients with sight deterioration (e.g. patients suffering from amyotrophic lateral sclerosis). Moreover, P300-based BCI systems rely on expensive equipment, which greatly limits their use outside the clinical environment. Therefore, we propose a multi-class BCI system based solely on auditory stimuli, which makes use of low-cost EEG technology. We explored different combinations of timbre, pitch and spatial auditory stimuli (TimPiSp: timbre-pitch-spatial, TimSp: timbre-spatial, and Timb: timbre-only) and three inter-stimulus intervals (150ms, 175ms and 300ms), and evaluated our system by conducting an oddball task on 7 healthy subjects. This is the first study in which these 3 auditory cues are compared. After averaging several repetitions in the 175ms inter-stimulus interval, we obtained average selection accuracies of 97.14%, 91.43%, and 88.57% for modalities TimPiSp, TimSp, and Timb, respectively. Best subject's accuracy was 100% in all modalities and inter-stimulus intervals. Average information transfer rate for the 150ms inter-stimulus interval in the TimPiSp modality was 14.85 bits/min. Best subject's information transfer rate was 39.96 bits/min for 175ms Timbre condition. Based on the TimPiSp modality, an auditory P300 speller was implemented and evaluated by asking users to type a 12-characters-long phrase. Six out of 7 users completed the task. The average spelling speed was 0.56 chars/min and best subject's performance was 0.84 chars/min. The obtained results show that the proposed auditory BCI is successful with healthy subjects and may constitute the basis for future implementations of more practical and affordable auditory P300-based BCI systems.

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## 4 1. Introduction

5

### 6 1.1. Motivation

7 Brain-computer interfaces (BCIs) aim to develop computer systems capable of decoding useful  
8 information directly from brain activity in real-time (see (Wolpaw, 2000) for a review). Their  
9 objective is to enable direct communication between the brain and computers, with potential  
10 applications ranging from medicine to general consumer electronics. Over the past two decades  
11 BCI research has explored a variety of approaches for collecting, analyzing, and interacting with  
12 brain activity data. In most cases, the information is encoded voluntarily by the user, either by  
13 performing some mental task producing a measurable signal to be used as a command, or by  
14 selectively attending to one of the presented stimuli to encode a choice. Selective attention is  
15 often detected by observing event related potentials (ERPs), in particular the P300 wave whose  
16 occurrence is related to the person's reaction to a particular stimulus, and not to the physical  
17 attributes of the stimulus. P300 potentials, when recorded by electroencephalography (EEG), can  
18 be observed as a positive deflection in voltage with a latency (i.e. delay between the stimulus  
19 and the response) of roughly 250-500 milliseconds. They are usually elicited using the oddball  
20 paradigm, in which low-probability target stimuli are randomly mixed with high-probability  
21 non-target ones.

22

23 One of the obvious applications of P300-based BCIs is as a communication system for people  
24 who suffer from severe motor disabilities (e.g. brain or spinal cord injury), which prevent them  
25 from communicating in a normal way. However, most of the existing P300-based BCI systems  
26 rely on visual stimulation, which may not be suitable for patients with sight deterioration, such  
27 as patients suffering from Amyotrophic Lateral Sclerosis (ALS). In the case of patients who are  
28 unable to direct their gaze, adjust their focus or blink, an auditory P300-based interface might be  
29 a better alternative [2-9]. Furthermore, the use of auditory P300-based interfaces for patients  
30 with residual vision could allow visual stimuli to be used only as a feedback channel, therefore  
31 preventing interaction stimulation and feedback.

32

33 A second issue, if one wishes to improve the accessibility to BCI systems, and P300-based BCI  
34 systems in particular, is to reduce their cost. A limitation of P300-based systems is that they  
35 typically rely on expensive equipment with prices in the order of 30,000 USD or more, and are  
36 confined to experimental laboratories, which can be intimidating to some patients such as  
37 children and adults with cognitive disorders. In addition, setting up the BCI system at the  
38 beginning of each session can take an experienced clinical professional up to an hour to place the  
39 electrodes on the patient's scalp, which results in long and tedious sessions. Furthermore,  
40 typically such P300-based systems require the application of conductive gel in order to create a  
41 reliable connection between each electrode and the patient's scalp. The gel attaches to the  
42 patient's hair and can only be properly removed by washing the entire head at the end of each  
43 session. Recently, a number of low-cost EEG systems have been commercialized [28, 29]. They  
44 are mainly marketed as gaming devices and provide a limited solution to the expensive  
45 equipment problems described above: they are wirelessly connected to an ordinary computer,

46 they require a short set-up time to adjust the electrodes to the user's scalp, and they do not  
47 require conductive gel. Recent research on evaluating the reliability of some of these low-cost  
48 EEG devices for research purposes has suggested that they are reliable for measuring visual and  
49 auditory evoked potentials [Duvinage, 2013; Debener, 2012; Badcock, 2013].

50

51 In this study, we propose a low-cost multi-class BCI system based solely on auditory stimuli. We  
52 explore different combinations of timbre, pitch and spatial auditory stimuli (TimPiSp: timbre-  
53 pitch-spatial, TimSp: timbre-spatial, and Timb: timbre-only) and three Inter-Stimulus intervals  
54 (150ms, 175ms and 300ms), and evaluate our system by conducting an oddball task on 7 healthy  
55 subjects. Additionally an auditory P300 speller is implemented and evaluated by asking users to  
56 type a phrase containing 12 characters.

57

## 58 1.2 Related work

59 P300 potentials can be observed as a positive deflection in voltage with a latency of roughly  
60 250-500 ms with respect to an event [16,17]. Normally, P300 potentials are triggered by an  
61 attended rare event, so they are typically elicited using the oddball paradigm, in which low-  
62 probability target stimuli are mixed with high-probability non-target ones. In the past, visual  
63 P300 responses have been widely investigated for implementing BCIs [e.g. 13,14], and in  
64 particular for creating speller applications [15,19–21]. Similarly, auditory P300 responses have  
65 been used for implementing speller applications, e.g. [22]. In this study, a matrix of characters is  
66 presented for reference purposes with its columns and rows marked by a spoken number that is  
67 presented to the subject. Subjects are instructed to attend to the spoken number, which identifies  
68 the character. When the spoken number corresponding to the row or column containing the  
69 character is produced, it elicits a P300 wave, which can be detected from the EEG. The selected  
70 letter is identified according to the row and column that give a P300 response. The evaluation of  
71 the system produced satisfactory results with performance reaching up to 100% for one subject.  
72 However, it is clear that auditory stimulation with spoken numbers is time consuming, reducing  
73 the information transfer rate (selection of a letter can take 3.6 minutes).

74 In a more recent study [6], the spoken numbers were replaced by 6 natural sounds, which were  
75 mapped to rows and columns in an intuitive way allowing subjects to learn the mapping within a  
76 couple of sessions. Subjects were divided into two groups: one group was given auditory and  
77 visual stimulations while the other received only auditory stimulation. Although at the beginning  
78 of the experiment the accuracy of the auditory-only group was lower than the accuracy of the  
79 auditory-visual group, after 11 sessions their accuracy increased comparable to the the one of the  
80 auditory-visual group. Inter-Stimulus interval was 500 ms and the reported average ITR for the  
81 auditory modality was 1.86 bits/min.

82 Most oddball experiments use acoustic cues such as pitch, amplitude or length. However, other  
83 sound properties, such as spatial location of the stimulus, have been investigated. Teder-Sälejärvi

84 et al. [12], conducted an oddball experiment in which an array of seven speakers (with a  
85 separation among them of 9 degrees) presented targets and non-targets in random order.  
86 Subject's attention to a particular direction elicited P300 responses. Another study [23], explored  
87 the use of virtual spatial localization to separate targets from non-targets through stereo  
88 headphones. Non-targets were produced from a straight direction (i.e. zero degrees) while targets  
89 were produced from a 30 and 90 degrees direction. The focus of this study was on early  
90 mismatch negativity potentials and not in P300 responses, engaging the subjects in passive  
91 listening while they were watching a film. A similar study [24] was conducted using free-field  
92 speakers with 10 degrees spatial separation.

93 In a more related study [7], a multi class BCI experiment, which used spatially distributed,  
94 auditory cues was conducted. The stimulus set consisted of 8 stimuli, different in pitch. The  
95 subjects were surrounded by 8 free field speakers, each of which was assigned to one of the  
96 stimuli. In the experiment, 10 subjects participated in an offline oddball task with the spatial  
97 location of the stimuli being a discriminating cue. The experiment was conducted in free field,  
98 with an individual speaker for each location. Different inter-stimulus intervals were investigated:  
99 1000, 300, and 175 ms. Average accuracies were over 90% for most conditions, with  
100 corresponding information transfer rates up to an average of 17.39 bits/minute for the 175 ms  
101 condition (best subject 25.20 bits/minute). Interestingly, when discarding the spatial cues by  
102 presenting the stimuli through a single speaker, selection accuracies dropped below 70% for  
103 most subjects.

104 In a later study [8], the same authors implemented an auditory speller using the same stimuli  
105 presentation design, but reducing the set to 6 sounds. In order to optimize the spelling speed, a  
106 dynamic stopping method was introduced. This method minimized the number of repetitions  
107 required for each trial. Sixteen out of 21 subjects managed to spell a sentence in the first session.  
108 These subjects were selected for a second session where they were asked to type two sentences.  
109 In the second session an average of 5.26bits/min (0.94char/min) ITR was achieved, which sets  
110 the current state of the art in auditory P300 spellers.

111 A very similar auditory BCI system using spatially distributed, auditory cues is proposed by  
112 Käthner et al. [9]. The set of free field speaker is replaced by stereo headphones. Different ISIs  
113 of 560, 400, 320, 240 and 160 ms were evaluated in a P300 auditory speller paradigm. An  
114 average of 2.76 bits/min was reported under the 400 ms ISI condition. Unfortunately the training  
115 of the classification process was performed only for the 560ms ISI. The acquired classifier was  
116 then used for all studied ISIs. This resulted to the conclusion that bigger ISIs give better  
117 selection accuracy. The opposite results were obtained by Schreuder et al. [7], when a separate  
118 classifier was trained for each condition.

119 Other researchers have investigated the feasibility of using the Emotiv EPOC device for  
120 detecting auditory ERPs. Badcock et al.. [1] simultaneously recorded, using research and Emotiv  
121 Epoc devices, the EEG of 21 subjects while they were presented with 566 standard (1000 Hz)

122 and 100 deviant (1200 Hz) tones under passive and active conditions. For each subject, they  
123 calculated auditory ERPs (P1, N1, P2, N2, and P300 peaks) as well as mismatch negativity  
124 (MMN) in both active and passive listening conditions. They restricted their analysis to frontal  
125 electrodes. Their results show that the morphology of the research and Emotiv Epoc EEG system  
126 late auditory ERP waveforms were similar across all participants, but that the research and  
127 gaming EEG system MMN waveforms were only similar for participants with non-noisy MMN  
128 waveforms. Peak amplitude and latency measures revealed no significant differences between  
129 the size or the timing of the auditory P1, N1, P2, N2, P3, and MMN peaks. Based on these  
130 results they conclude that the Emotiv Epoc EEG system may be a valid alternative to research  
131 EEG systems for recording reliable auditory ERPs.

132 In another study [31], Emotiv Epoc was combined with a standard infracerebral electrode cap  
133 with Ag/AgCl electrodes. The result was a low-cost portable EEG system that was tested in an  
134 auditory oddball paradigm under sitting and walking conditions. With an ISI of 1 second, the  
135 single trial accuracy was 77% for sitting and 69% for walking conditions. In a later study [32]  
136 -using the same EEG system-, the conclusion that a low-cost single trial portable EEG interface  
137 is feasible is enforced.

## 138 139 2. Materials and methods

### 140 141 2.1 Participants

142 All subjects taking part in the present study gave written informed consent to be involved in  
143 the research and agreed to their anonymized data to be analyzed. Procedures were positively  
144 evaluated by the Parc de Salut MAR - Clinical Research Ethics Committee, Barcelona, Spain,  
145 under the reference number: 2013/5459/I. Seven healthy adults (3 female, 4 male, mean age 42  
146 years) participated in a multi-class auditory oddball paradigm. Subjects reported to have normal  
147 hearing, and no difficulty with spatial localization of sounds in everyday situations.

### 148 149 2.2 Data Acquisition

150  
151 The Emotiv EPOC EEG system [28] was used for acquiring the EEG data. It consists of 16 wet  
152 saline electrodes, providing 14 EEG channels, and a wireless amplifier (with a sample rate of  
153 128 Hz). The 16 electrodes are aligned with positions in the 10-20 system: AF3, F7, F3, FC5,  
154 T7, P7, O1, O2, P8, T8, FC6, F4, F8, FC4, M1, and M2. The electrode positioned at M1 acts as  
155 reference electrode, while the electrode at M2 is used for reducing external electrical  
156 interferences. The EEG signals were sampled at 128 Hz, digitized with a resolution of 16 bits,  
157 and band-pass filter with a 4th order Butterworth 1-12Hz filter.

158 We collected and processed the data using the OpenViBE platform [10]. In order to trigger  
159 virtual instrument sounds through the OpenVibe platform, a VRPN to midi gateway was  
160 implemented and used along with LoopBe virtual MIDI port<sup>1</sup>. Sound stimulus was then played

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1 <sup>1</sup> "LoopBe1 - A Free Virtual MIDI Driver - Nerds.de." 2004. 11 Nov. 2013

2 <<http://www.nerds.de/en/loopbe1.html>>

161 back by Propellerhead Reason<sup>2</sup> virtual instrument host application. MBOX low-latency sound  
162 card was used, offering 17 ms output latency. The LoopBe MIDI port used introduced an  
163 additional latency of 1 to 3 ms. Both data acquisition and on-line scenario were performed on a  
164 laptop with an Intel Core i5 2,53 Ghz processor with 4 GB of RAM, running windows 7 64-bit  
165 Operating System.

166

## 167 2.3. Experiment Design

### 168 2.3.1 Auditory modality Experiment

169 In all sessions, subjects were asked to sit motionless in a comfortable chair facing two  
170 loudspeakers, Roland MA-150U placed at 45 and -45 degrees with respect to the subject's  
171 orientation. The speakers were placed 15cm below ear level and approximately at one meter  
172 from the subject (see Figure 1). The speakers were set to equal loudness intensity of  
173 approximately 60 dB for every stimulus. Subjects were initially exposed to each stimulus in  
174 isolation and then to the stimuli mix in order to familiarize them with the sounds. At the  
175 beginning of each experiment, subjects were asked to close their eyes, minimize their eye  
176 movements and avoid moving during the experiment. All the experiments were designed as an  
177 auditory oddball task. The room was not electromagnetically shielded, and no extensive sound  
178 attenuating precautions were taken.

179 Three different ISI were explored: 300 ms and 175 ms and 150 ms. For the 300 ms and 175 ms  
180 conditions three different stimuli discriminating cues were examined: timbre only (Timb), timbre  
181 and spatial (TimSp), and timbre, pitch and spatial (TimPiSp). For the 150ms condition only the  
182 TimPiSp modality was studied. In all conditions the stimulus set consisted of 6 short sounds (of  
183 a duration of 100ms). In total 7 different conditions were studied: TimPiSp-150ms ISI  
184 (TimPiSp150), TimPiSp-175ms ISI (TimPiSp175), TimPi-175ms ISI (TimPi175), Timb175  
185 (Timb175), TimPiSp-300ms ISI (TimPiSp300), TimPi-300ms ISI (TimPi300), Timb175  
186 (Timb300).

187 In the Timb conditions, all stimuli were generated with different timbre but with fixed pitch  
188 (130.81 Hz) and spatial location (center); in the TimSp conditions, stimuli were generated with  
189 different timbre and spatial location but fixed spatialization; and in TimPiSp conditions all  
190 timbre, pitch and spatialization were differentiated (see Table 1). Blocks of the different  
191 conditions were mixed to prevent time biases. For each condition, a training session was  
192 followed by an online session. This resulted in 14 sessions for every subject. The collected EEG  
193 data of each training session were used for acquiring a spatial filter and a Linear Discriminant  
194 Analysis Classifier, used in the on-line classification process. Both the training and the on-line  
195 sessions consisted of ten trials. In the 300ms condition each trial consisted of 90 sub-trials, 15  
196 for each stimuli, while in the 175 and 150ms conditions each trial consisted of 150 sub-trials, 25

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3 2 "Reason - Complete music making, music production ... - Propellerhead." 11 Nov. 2013

4 <<http://www.propellerheads.se/products/reason/>>

197 for each stimulus. This resulted in 900 sub-trials per session (150 of which target) in the 300ms  
198 condition and 1500 sub-trials per session (250 of which target) in the 175 and 150ms conditions.

199 Before each trial a random stimulus was selected as the target stimulus and was played back to  
200 the subject (see figure 2). A trial can be divided into N repetitions (where N is 15 for the 300ms  
201 conditions and 25 for the 175 and 150ms conditions). A repetition consists of a random sequence  
202 of all 6 stimuli. An example of a repetition's stimuli presentation for the TimPiSp175 condition  
203 is shown in figure 3. Stimuli were randomized in a way that the same stimulus never appeared  
204 consecutively. The subjects were instructed to tap on the desk every time the target stimulus  
205 appeared and mentally count its occurrences. In the on-line session, 1.9 seconds after each trial,  
206 the stimulus detected as target was played back to the subject followed by an interval of 3  
207 seconds before presenting the target stimulus of the next trial.

208

### 209 2.3.2 Speller Experiment

210 In the speller experiment the subjects were asked to spell a 12-characters phrase in Spanish  
211 ("HOLA QUE TAL"). The speller experiment was very similar to the BCI experiment: speakers  
212 were positioned in the same way, the random sequence stimuli presentation was identical, and  
213 during a trial the subject was asked to keep their eyes closed. However, in the speller experiment  
214 only the TimPiSp150 and TimPiSp175 conditions was examined (depending on the performance  
215 of each user for each condition). At the beginning of each experiment, subjects were asked to  
216 become familiar with the speller interface, i.e. the mapping of stimuli into letters in the alphabet  
217 (see figure 6). Then while stimuli were played in a random order, subjects were asked to switch  
218 their attention to each of the 6 stimuli. This process lasted until each subject could quickly  
219 switch his or her attention to all 6 stimuli (about 10 minutes). The reason for that task was that in  
220 the case of the speller the target sound is not played back to the users before each trial, so the  
221 task of focusing on the target stimulus becomes more difficult.

222 Before the spelling session, one more training session -identical to the one described in the  
223 auditory modality experiment- was conducted in order to acquire the spatial filter and LDA  
224 classifier to be used in the spelling session. In the spelling session, the speller interface was used  
225 to select letters in two selection steps. First a group of letters was selected by selecting a column  
226 in the speller interface, i.e. by focusing attention on the stimulus corresponding to the column to  
227 be selected. In the second step, a particular letter was selected from the groups of letters  
228 previously selected, by focusing attention on the stimulus corresponding to the row containing  
229 the letter. One stimulus was reserved to specify the "undo" action used to return the subject to  
230 the first selection step (organ sound). In the case of a misspelled character, the users had to  
231 select the backspace character in order to delete it. The speller interface, the text to be written,  
232 and the subject's progress were presented visually. After each trial the detected sound stimulus  
233 was played back to the user and the user's progress was updated. Between the trials, six of the  
234 users were instructed orally on what the next target sound should be. One user was sufficiently  
235 familiar with the interface in order to complete the task without any oral instructions.

## 236 2.4 Analysis

### 237 2.4.1. Training Session

238 The recording of the training sessions were analyzed in order to acquire a spatial filter and a two  
239 class (target, non-target) LDA classifier (see figure 5). First, the signal was preprocessed by  
240 applying a band pass filter in the range of 1 to 12 Hz, and down-sampled to 32 Hz. Given the  
241 noisy nature of the EEG signal, a xDawn spatial filter was applied in order to enhance the P300  
242 response. The xDAWN algorithm [26] allows the estimation of a set of spatial filters for  
243 optimizing the signal to signal-plus-noise (SSNR) ratio. The xDAWN method assumes that there  
244 exists a typical response synchronized with the target stimuli superimposed on an evoked  
245 response to all the stimuli, and that the evoked responses to target stimuli could be enhanced by  
246 spatial filtering. A window of 250 to 750 ms after the stimuli presentation was applied to train  
247 the xDAWN algorithm in order to acquire a 14 to 3 channels spatial filter. This resulted in a  
248 matrix of 48 features. No additional artifact rejection method was applied. All epochs were used  
249 in the training and classification process.

250 The features produced by the xDAWN filter were used to train a classifier of the form:

251

$$252 \quad f(Fs([t+250,t+750])) \rightarrow \{\text{target, non-target}\}$$

253

254 where  $t$  is the stimulus presentation time,  $Fs([t+250,t+750])$  is the feature set generated by the  
255 spatial filter, and target and non-target are the classes to be discriminated. Classification was  
256 performed by applying linear discriminant analysis (LDA) to the training data. LDA finds a  
257 linear combination of features, which separates two or more classes of objects or events. The  
258 resulting combination may be used as a linear classifier.

### 259 2.4.2 Online session

260 During the online session, the 48 features vector for each epoch were fed to the obtained LDA  
261 classifier (figure 6), whose output consisted of the vector distance to the hyper-plane (negative  
262 value for targets and positive for non-targets). These values were fed into a voting classifier.

263 When the corresponding number of repetitions is reached, the voting classifier sums up the  
264 hyper-plane distances for all the repetitions of each stimuli. The stimulus with minimum sum is  
265 selected as the predicted target for that trial.

### 266 2.4.3 Information Transfer Rate

267 The information transfer rate (ITR) [27], i.e. the amount of information carried by every  
268 selection, can be computed as follows:

$$ITR(bits / min) = S \cdot \left[ \log_2(N) + P \cdot \log_2(P) + (1 - P) \cdot \log_2\left(\frac{1 - P}{N - 1}\right) \right]$$

269 ,where ITR is the number of bits per minute, S represents the number of selections per minute, N  
 270 represents the number of possible targets, and P represents the probability that they are correctly  
 271 classified. Note that increasing S by decreasing the number of repetitions would not necessarily  
 272 increase the ITR because the accuracy of the classifier (i.e. P) will decrease. Thus, there is a  
 273 tradeoff between S and P, and the choice of which is more important depends on the type of BCI  
 274 application.

## 275 4. Results and Discussion

### 276 4.1. Auditory Modality Experiment

#### 277 4.1.1. Accuracy and ITR

278 We distinguish between two accuracy measures: classification and selection accuracy.  
 279 Classification accuracy refers to the percentage of sub-trials that is correctly identified as target  
 280 or non-target. Selection accuracy refers to the percentage of trials in which the target stimulus is  
 281 correctly identified. Given that we are interested in detecting target stimuli, in the following we  
 282 report on selection accuracy.

283 In order to investigate the system's accuracy for different number of repetitions, the voting  
 284 classifier object in OpenVibe platform was modified to keep a log of the hyper-plane distances'  
 285 sums of each stimulus for any number of repetitions.

286 Tables 2,3 and 4 provide the online accuracy of all subjects and conditions along with the  
 287 number of repetitions in the on-line sessions. Figure 7 shows the average accuracy and ITR  
 288 (among subjects) for different number of repetitions. The ITR is considered to be zero, if the  
 289 average accuracy is less than 70%.

290 The maximum accuracy is found in the TimPiSp175 condition (97.1%), followed by the  
 291 TimPiSp150 (92.86%), TimbSp 175 (91.4%), Timb175 (88.57%), TimPiSp300 (88.57%),  
 292 TimbSp300 (84.3%) and Timb300 condition (80%).

293 The average accuracy exceeds 70% in all conditions after 10 repetitions and 80% after 15  
 294 repetitions, while after around 18 repetitions the online accuracy does not improve significantly  
 295 in all conditions (see figure 7). For a given number of repetitions, the 300 ms condition does not  
 296 seem to provide better accuracy than the 175 ms and 150 ms conditions and as a result gives  
 297 lower ITR. The maximum average ITR is achieved with around 10-15 repetitions for all  
 298 conditions. In the TimPiSp175 condition the average accuracy is more than 90% after 19  
 299 repetitions.

300 The maximum average ITR is found In the TimPiSp150 condition (14.85 bits/min, with an  
 301 average of 9.43 iterations). The best subject's performance was in the Timb175 condition (39.96  
 302 bits/min, accuracy 80% with 2 repetitions).

#### 303 4.1.2 Physiological Response

304 For each condition and every subject, the training and on-line session EEG recordings were  
305 merged into one dataset and analyzed in Matlab using EEGLab [30] and ERP toolbox<sup>3</sup>. This  
306 resulted in 3000 sub-trials (500 targets) for the 300 ms modality and 1800 sub-trials (300 targets)  
307 for the 175 and 150 ms modalities, for each subject and condition. A window of 200 ms before  
308 the stimulus presentation was used for baseline removal. In all conditions a threshold of  $\pm 150\mu\text{V}$   
309 was used for rejecting epochs with artifacts. The percentage of rejected epochs for each  
310 condition is shown in tables 1, 2 and 3. Since during the experiment, subjects remained still and  
311 with their eyes closed, the high artifact rejection rate between sessions (ranging from 0% to  
312 74.4% for the same user) is due to noise introduced by the Emotiv Epoch. Although the signal  
313 was always checked before every session, some EEG channels became noisy in the middle of a  
314 session.

315 Initially a grand average for all 7 conditions was created for each subject, and its P300 peak  
316 amplitude in the interval 250 and 650 ms was computed for all EEG channels for the target  
317 epochs. For each subject, the EEG channel with the highest P300 peak values was selected for  
318 further analysis. Tables 2, 3 and 4 show the averaged P300 amplitude and latency for all  
319 conditions and users. figures 8 and 9 show the averaged target and non-target responses of each  
320 user's selected channel for all the 175 and 300 ms ISI conditions, respectively. In all plots, the  
321 red line corresponds to target epochs and the black line to non-target epochs. A periodicity of  
322 175 ms can be observed in the 175 ms condition and a periodicity of 300 ms in the case of 300  
323 ms condition. As expected, this periodicity aligns with the stimuli presentation periodicity (see  
324 figure 3).

325 Figures 10, 11 and 12 show the average of all users' target and non-target responses for all  
326 300ms ISI conditions of 10 EEG channels. When comparing the 3 modalities, it is observed that  
327 while the target ERP responses are equally strong in all modalities, the TimPiSp gives the  
328 weakest non-target ERP responses, followed by the TimPi and the Timb modalities. This results  
329 in a stronger mismatch negativity value. This is also reflected in the selection accuracies of each  
330 of these modalities: 88.5%, 84.3% and 80% for the TimPiSp, TimSp and Timb modality  
331 respectively.

#### 332 4.2. Speller Results

333 Table 5 shows the results of the speller experiment. Six out of seven subjects completed the task.  
334 Best subject's ITR is 4.37 bits per minute, while average ITR was 3.04 bits/min. This resulted in  
335 an average spelling speed of 0.56 chars/min (best performance 0.84 chars/min). The non-linear  
336 correlation between the ITR and spelling speed is due to the fact the subjects should delete and  
337 retype the misspelled characters. The average on-line selection accuracy for the subjects that  
338 successfully completed the task was 82.45%. As predicted by Kübler et al. [25], a selection  
339 accuracy of 70% is required for a useful BCI and all 6 subjects with an accuracy of more than  
340 70% managed to spell all 12 characters, while one subject with accuracy 63.41% managed to

5 <sup>3</sup> "ERPLAB Toolbox Home — ERP Info Home Page." 2008. 12 Nov. 2013 <<http://erpinfo.org/erplab>>

341 spell only 7 characters before abandoning the task after 44 minutes. Table 5 summarizes the  
342 results for all 6 subjects that completed the task.

### 343 4.3 Discussion

344 We propose a new experimental paradigm for a low-cost P300 based auditory BCI. For the first  
345 time the significance -in an auditory P300 paradigm- of the 3 most important perceptual auditory  
346 discriminating cues is studied: Timbre, Pitch and Spatialization, under three possible ISI  
347 conditions (300, 175 and 150 ms). The results of our study indicate that the best results are given  
348 when the stimuli are different in all three perceptual modalities, while shorter ISI results in  
349 higher ITR.

350 As seen in figures 8 and 9 all subjects have clear EPR responses in both the 175 and 300 ms  
351 conditions, although they vary in intensity and shape. The mean latency of the P300 peak for all  
352 7 conditions is 468 ms, while no significant differences in the P300 peak amplitude and latencies  
353 are observed between the different conditions (see tables 2, 3, 4). Although the signal quality  
354 was checked at the beginning of each session, high epoch rejection rate was observed in some  
355 sessions. This might be due to the unstable behavior of saline water electrodes.

356 The channels with the strongest average P300 peak for all conditions were located in the frontal  
357 area for all subjects. When looking at the occipital channels though (figures 10, 11, 12), we can  
358 see an early positive deflection about 220 ms after the target stimuli presentation. This aligns  
359 with the results of Schreuder et al. [7], where it is concluded that in the short 175 ms condition  
360 “class difference has shifted toward the frontal areas when compared to the longer 1000 ms ISI  
361 condition”.

362 Despite using a low-cost EEG device, the performance of the proposed system is comparable to  
363 state-of-the-art performance. In the TimPiSp150 condition the average selection accuracy  
364 obtained is 92.86% with 17.1 repetitions and the average ITR is 14.85 bits/min with 9.43  
365 repetitions. These results compare well with the state-of-the-art results reported by Schreuder et  
366 al. [7] (selection accuracy 94%, with 11.6 repetitions; maximum ITR of 17.39 bits/min, with  
367 5.6 repetitions, PitchSpatial 175ms ISI). As it is seen in table 6, the average ITR achieved in the  
368 spelling paradigm is just below the state-of-the-art results, reported by Schreuder et al. [8].  
369 However, Schreuder et al. use a dynamic stopping method used, which minimizes the number of  
370 repetitions per trial. The shorter ISI (150 ms), and the use of 3 auditory discriminating cues  
371 might have compensated the noisier signal acquired by a low-cost EEG system, resulting in a  
372 comparable ITR value.

373 The maximum average selection accuracy is found in the TimPiSp175 condition (97.1%),  
374 followed by the TimPiSp150 (92.86%), TimbSp175 (91.4%), Timb175 (88.57%), TimPiSp300  
375 (88.57%), TimbSp300 (84.3%) and Timb300 condition (80%). The 300ms ISI conditions though  
376 were studied for a maximum of 15 repetitions, while the 175 and 150 ms ISI conditions were

377 studied for a maximum of 25 repetitions. Looking at figure 7, we can see that for the same  
378 number of repetitions, the average accuracy is close for the 300 and 175 ms ISI conditions. The  
379 ITR though is much lower in the case of 300ms ISI conditions, as more time is required for the  
380 same number of repetitions. Thus, it is concluded that there is no reason for using long ISIs in  
381 auditory P300 based BCIs. In order to get a significantly stronger P300 response, When  
382 comparing the TimPiSp175 with TimPiSp150 conditions, we see that although the first one gives  
383 better selection accuracy (97.1% versus 92.86%), the second one achieves higher ITR (14.85  
384 versus 10.1 bits/min). In the future, the ISI's limits should be studied in order to determine the  
385 minimum ISI to maximize ITR.

386 In both 300 and 175 ms ISI conditions, the order of the conditions in terms of selection accuracy  
387 is: TimPiSp, TimSp, Timb. Thus, it is clear that the performance of the system improves as more  
388 discriminating cues are added. This is also concluded when observing the averaged ERP  
389 responses of these conditions (figure 12). Although the target stimuli responses have the same  
390 intensity in all conditions, the non-target stimuli responses become weaker as more modalities  
391 are added in the stimuli design. This results in higher mismatch negativity values and thus,  
392 higher selection accuracy.

393 Schreuder et al. emphasized the importance of sound spatialization in stimuli presentation.  
394 However, in their case stimuli differed only in pitch and spatialization. In their study, selection  
395 scores went down below 70% for most subjects when the spatialization modality was removed.  
396 Our results imply that when stimuli are different in timbre, the spatialization still affects the  
397 selection accuracy, but not so drastically. In the 300ms ISI conditions, the average accuracy of  
398 TimSp modality is 84.3% while in the Timbre modality the accuracy is 80%. In the 175 ms ISI  
399 conditions, the average accuracy of TimSp modality is 91.4% and the accuracy of the Timbre  
400 modality is 88.57%.

401 As seen in table 5, the online accuracy in the Speller experiment is 82.45%, while for the same  
402 conditions and subject the average in the auditory modality experiment was 96.67%. This lower  
403 performance of the speller, compared to the online performance, was also reported by Schreuder  
404 et al. [7, 8], where the average accuracy in the BCI experiment was 94%, while in the speller the  
405 average accuracy is 77.4%, resulting in a lower ITR. This difference can be explained by three  
406 reasons. Firstly, in the speller experiment, the target sound is not played back to the users, so the  
407 users have to memorize the sound stimulus. Secondly, the auditory speller consists of a much  
408 bigger amount of trials. This might lead to loss of concentration due to tiredness.

## 409 5. Conclusions

410 We have presented a multi-class BCI system based solely on auditory stimuli, which makes use  
411 of low-cost EEG technology. We have explored timbre-pitch-spatial, timbre-spatial, and timbre-  
412 only combinations of timbre, pitch and spatial auditory stimuli and three inter-stimuli intervals  
413 (150ms, 175ms and 300ms). We evaluated the system by conducting an oddball task on 7

414 healthy subjects. The maximum accuracy is found in the TimbPiSp175 condition (97.1%),  
415 followed by the TimPiSp150 condition (92.86%), TimbSp175 condition (91.4%), Timb175  
416 condition (88.57%), TimPiSp300 condition (88.57%), TimbSp300 condition (84.3%) and  
417 Timb300 condition (80%). The maximum average ITR is found in the 150ms ISI, TimPiSp  
418 condition (14.85 bits/min, with 9.43 iterations). Lower Inter-Stimulus Intervals lead to higher  
419 ITR, while as more discriminating cues are added the selection accuracy and ITR increases.  
420 Based on the TimPiSp modality, an auditory P300 speller was implemented and evaluated by  
421 asking users to type a 12-characters-long phrase. Six out of 7 users completed the task. The  
422 average spelling speed was 0.56 chars/min and best subject's performance was 0.84 chars/min.

423 In this study we made use of an EEG device which is valued at about 50-100 times less costly  
424 than medical/research quality devices. However, interestingly our results are comparable to those  
425 achieved by medical devices. The obtained results show that the proposed auditory BCI is  
426 successful with healthy subjects and may constitute the basis for future implementations of more  
427 practical and affordable P300-based BCI systems. However, the high amount of noise introduced  
428 during some of the sessions (high epoch rejection rate in off-line analysis) affects the accuracy of  
429 the system, and thus for crucial BCI applications a more robust and stable EEG device should be  
430 used.

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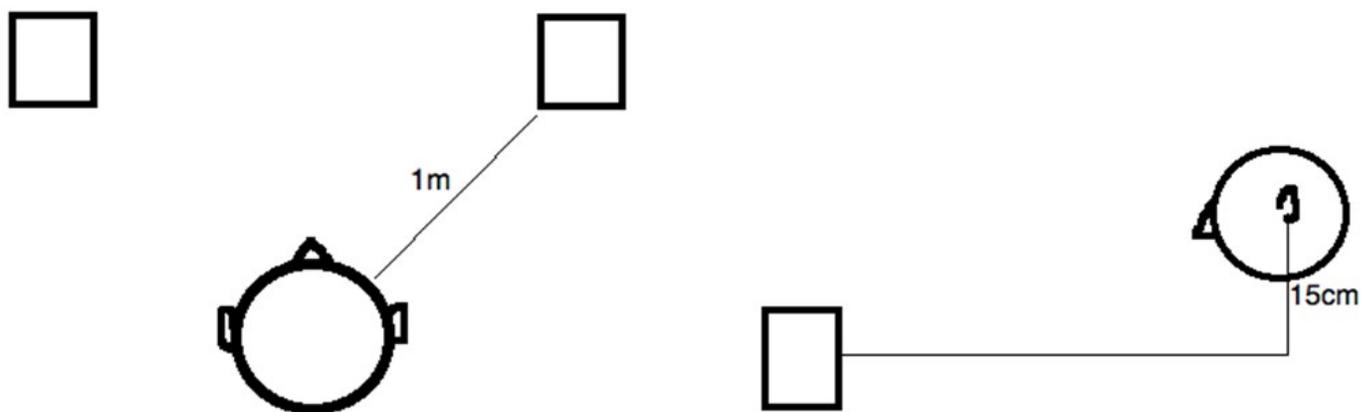
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# Figure 1

## Experiment setup

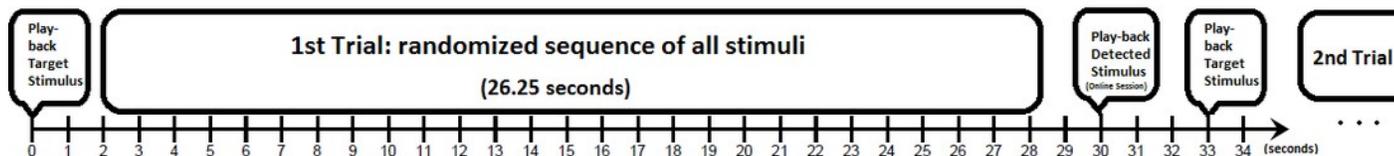
For all experiments two loudspeakers were used to spatialize the stimuli.



# Figure 2

A session of the 175 ms ISI condition

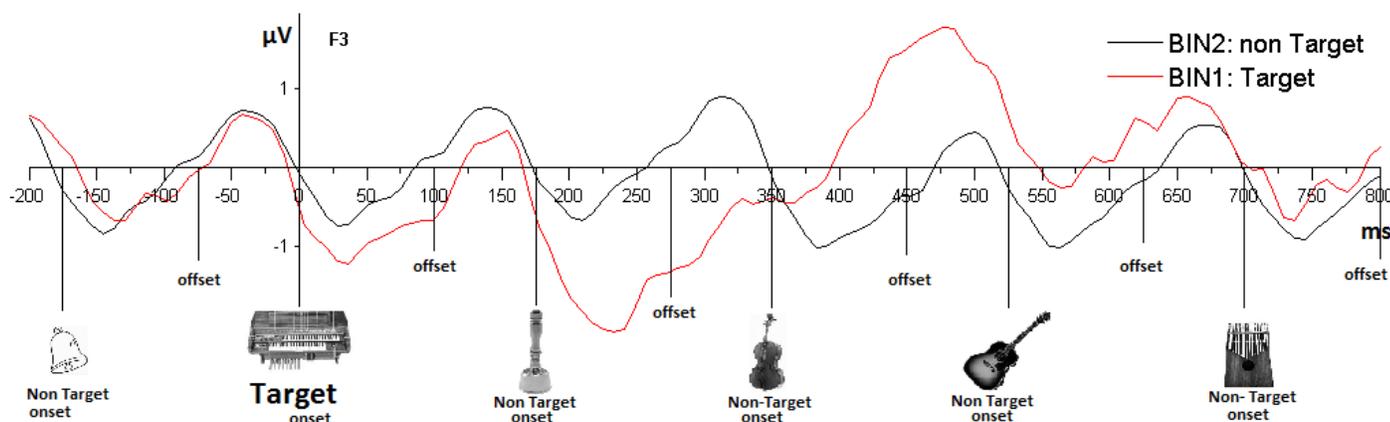
Each session consisted of 10 trials. Before each trial, a random stimulus was played back as the target stimulus. In the case of 175ms ISI conditions a trial consisted of 25 repetitions of all stimuli in a random order and lasted for 26.25secs. In the case of 300ms (15 repetitions) and 150ms (25 repetitions) ISI conditions each trial lasted 27 and 22.5 seconds, respectively. In the on-line sessions, the detected target stimulus was played-back after each trial.



# Figure 3

Stimuli presentation of a repetition for the TimPiSp175 condition and averaged ERP response.

The averaged ERP response shown is measured in the F3 channel of all users for the TimPiSp175 condition. The red line corresponds to the target epochs and the black line corresponds to the non-target epochs. The ERP responses follow the periodicity of the stimuli presentation.



# Figure 4

Mapping of stimuli into letters

For selecting a particular letter, first the column containing the letter is to be selected (by attending to the corresponding stimulus) and then the row containing the letter is to be selected.

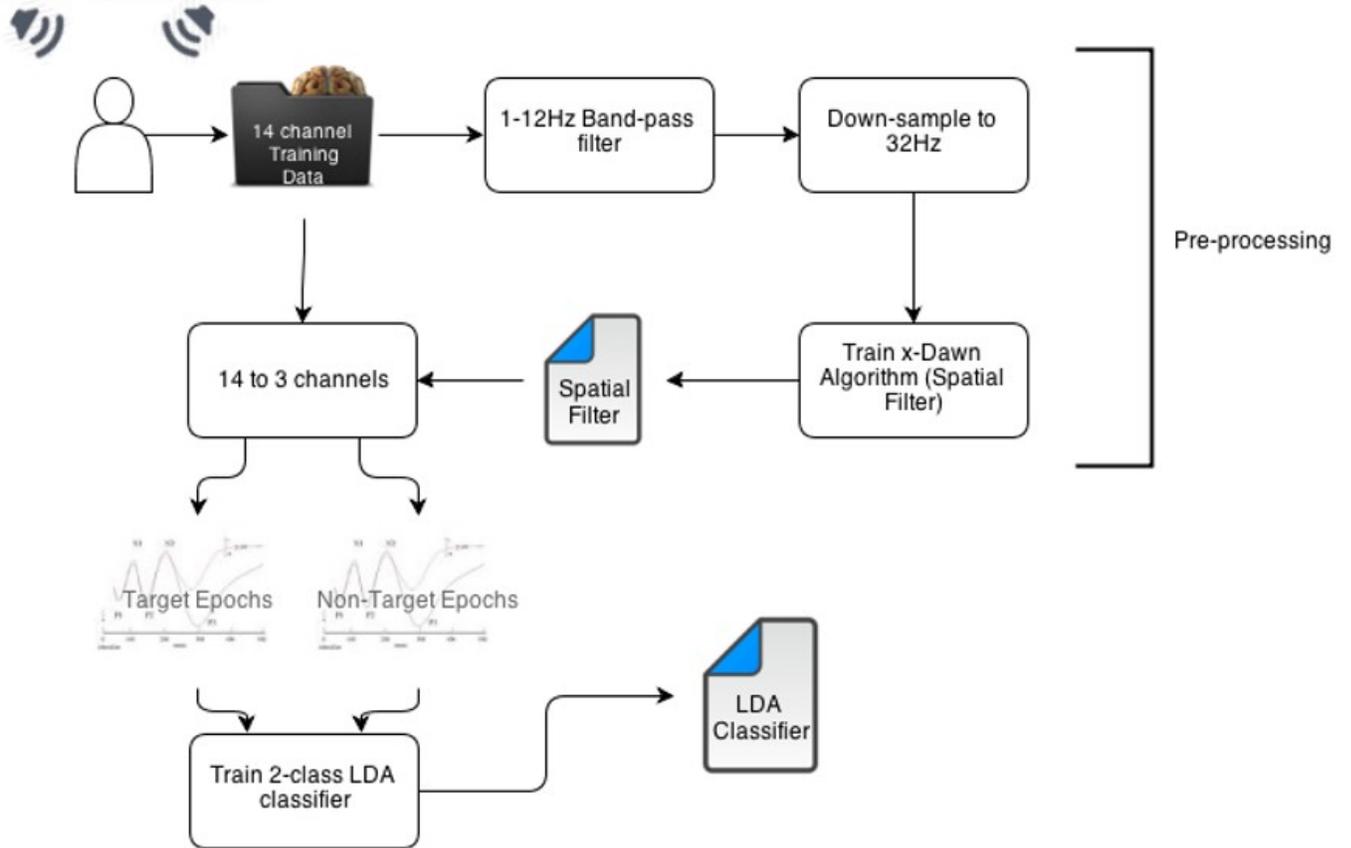
						
	A	B	C	D	E	Back
	F	G	H	I	J	
	K	L	M	N	O	
	P	Q	R	S	T	
	U	V	W	X	Y	
	Z	_	.	,	←	

# Figure 5

Acquiring a Spatial filter and a two class LDA Classifier.

**After band-pass filtering (1-12Hz) and down-sampling from 128 to 32Hz, a xDawn algorithm is used to obtain a 14 to 3 channels spatial filter. For each sub-trial a 250 to 750ms after stimulus presentation epoch was created in order to obtain a 48-features vector. The training data consisted of 900 sub-trials (150 target) in the 300ms condition and 1500 sub-trials (250 target) in the 175 and 150ms conditions. Using these data a 2 class LDA classifier was trained to discriminate target from non-target epochs.**

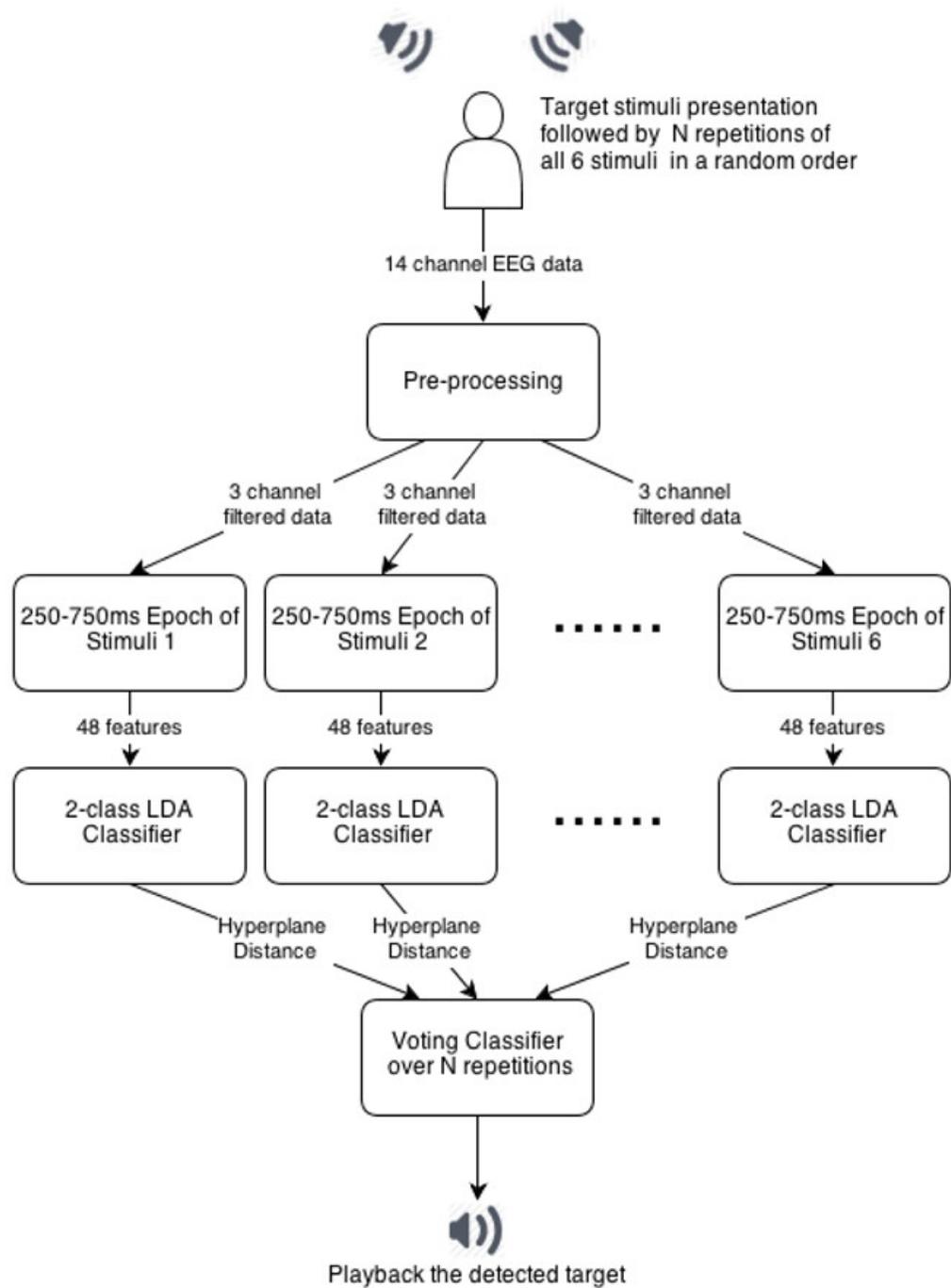
Target stimuli presentation followed by N repetitions of all 6 stimuli in a random order



# Figure 6

## Voting Classifier

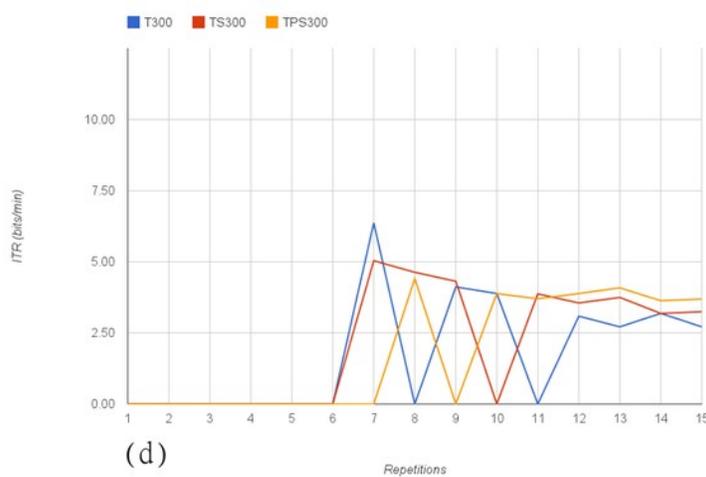
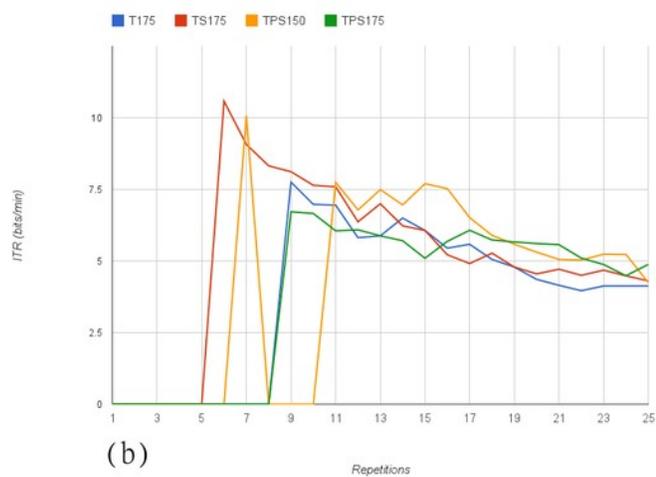
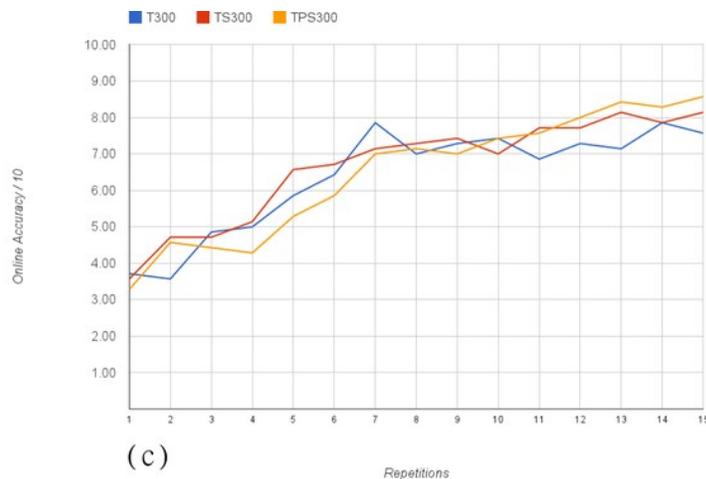
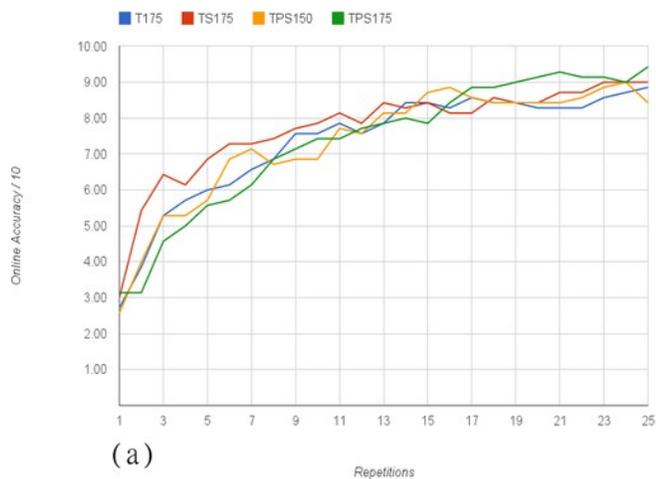
**Similarly to the training session, the online session consists of 10 trials. For every sub-trial, the obtained LDA classifier outputs a hyper-plane distance value. At the end of each trial, a Voting Classifier outputs as target the stimulus that has the minimum sum of Hyper-plane distances over the N number of sub-trials (where N is 15 for the 300ms condition and 25 for the 175 and 150ms conditions).**



# Figure 7

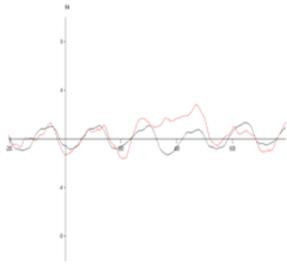
On-line performance and ITR for all number of repetitions

(a,b) Averaged on-line performance and ITR of all subjects for the 175 and 150ms conditions for different number of repetitions. (c,d) Averaged on-line performance and ITR of all subjects for the 300ms conditions for different number of repetitions.

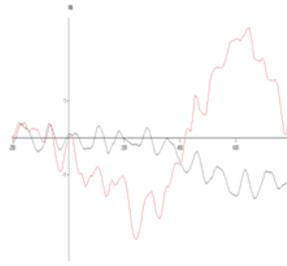


# Figure 8

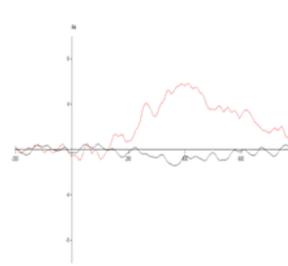
175ms ISI Gran Average



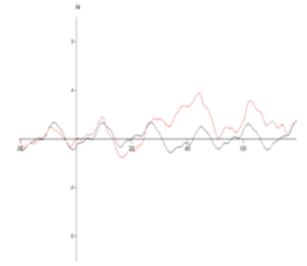
m46 F4



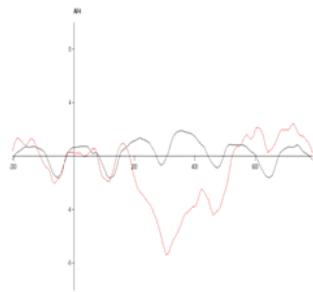
m30 F8



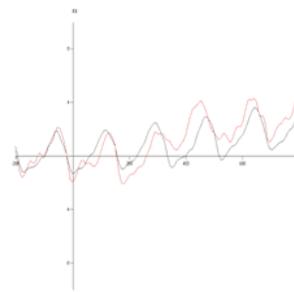
m36 F4



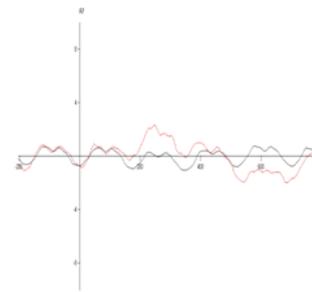
f28 F4



m28 AF4



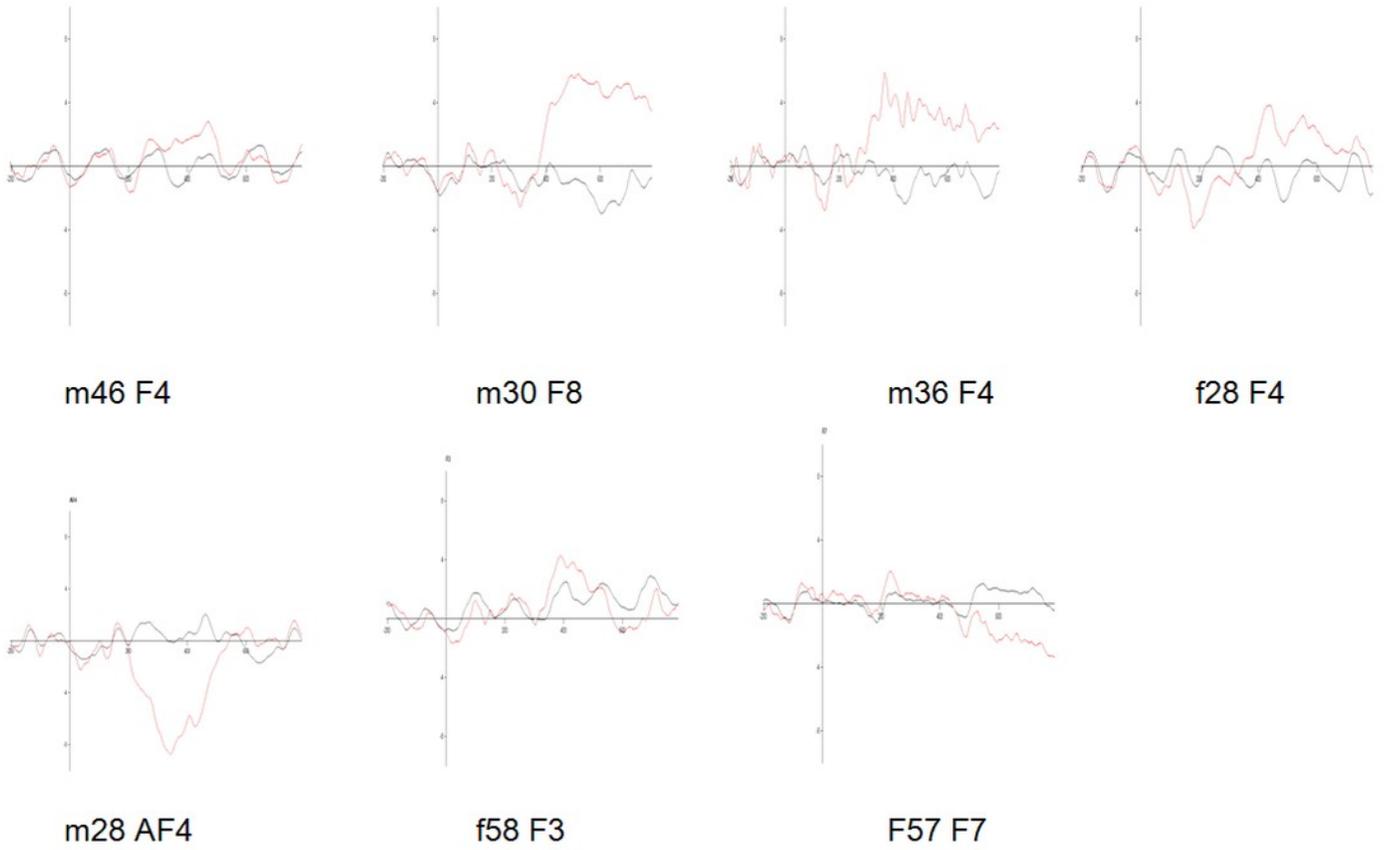
f58 F3



F57 F7

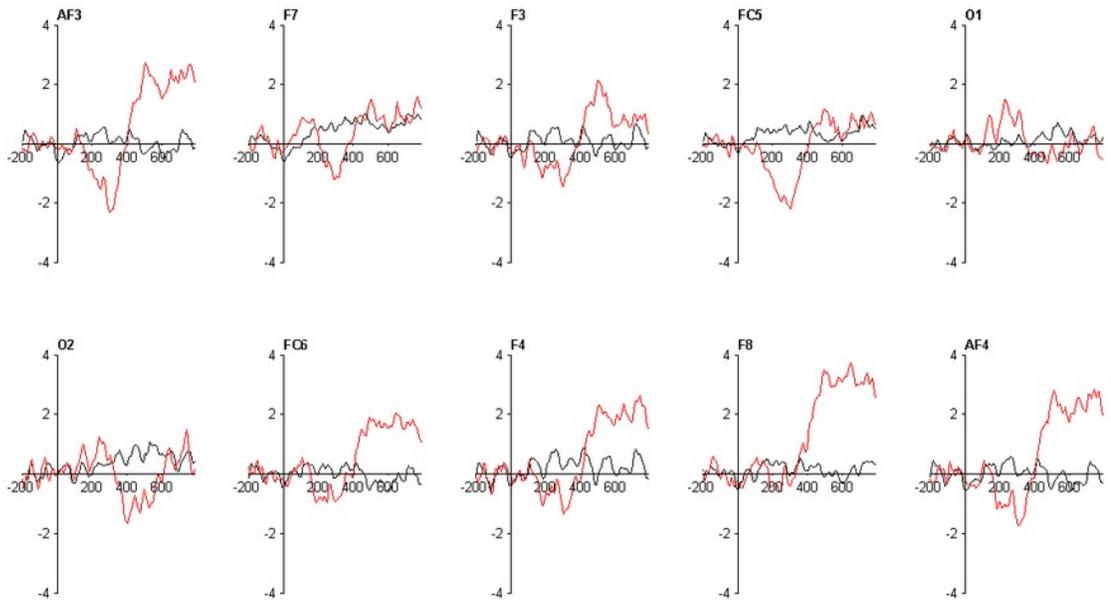
# Figure 9

300 ms ISI Gran Average



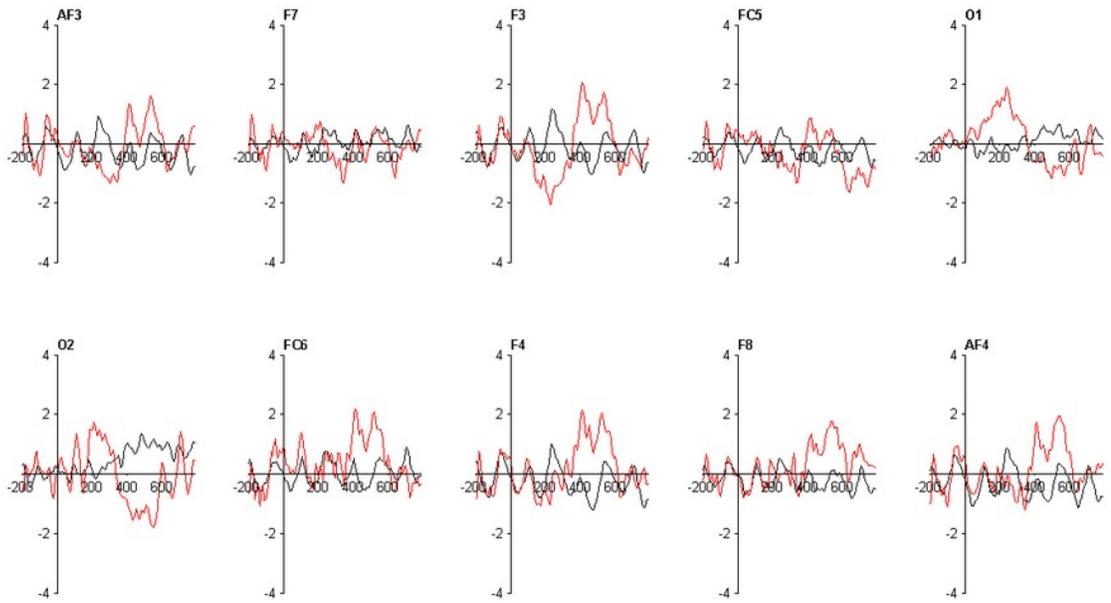
# Figure 10

300msTPS condition all subjects 10 electrodes average



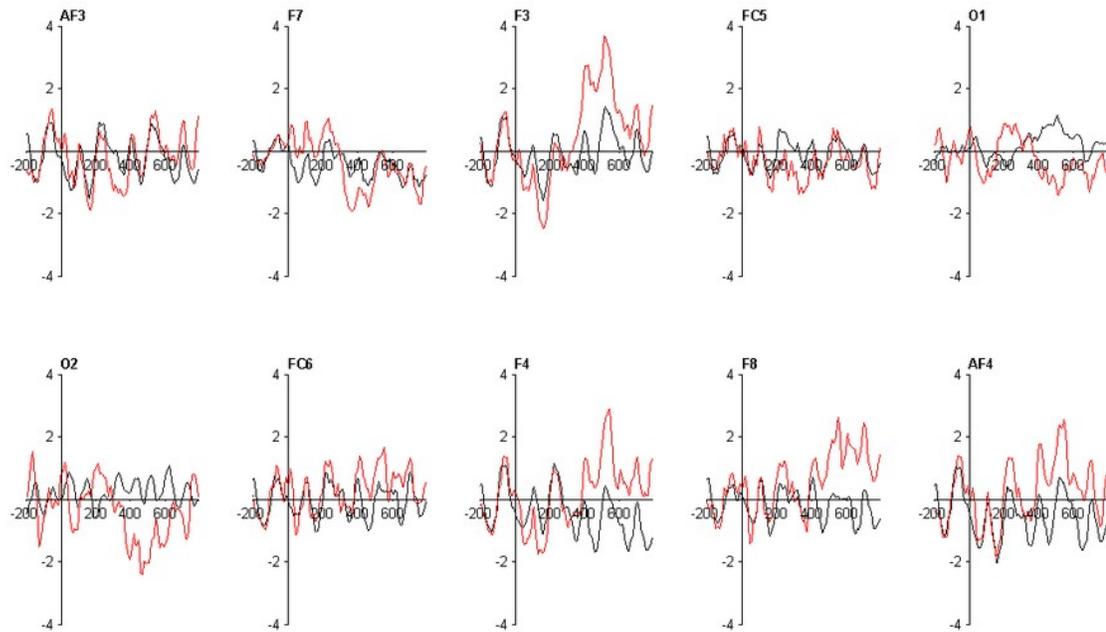
# Figure 11

300msTS condition all subjects 10 electrodes average



# Figure 12

300msT condition all subjects 10 electrodes average



## **Table 1** (on next page)

Cue properties in the different conditions

Timb		TimSp			TimPiSp		
Pitch (Hz)	Stimuli	Pitch	Stimuli	Spatial	Pitch	Stimuli	Spatial
130.81	Bell	130.81	Bell	-45	23.123	Bell	-45
130.81	Snare Drum	130.81	Snare Drum	-27	51.91	Cello	-27
130.81	Hi Hat	130.81	Hi Hat	-9	116.541	Organ	-9
130.81	Guitar	130.81	Guitar	9	261.626	Guitar	9
130.81	Kalimba	130.81	Kalimba	27	587.330	Kalimba	27
130.81	Claps	130.81	Claps	45	1318.51	Bali bell	45

Table 1: Cue properties in the different conditions.

## **Table 2**(on next page)

Results for Timbre Pitch Spatial (TimPiSp) modality

For each condition and each user is given: (i) the Selection Accuracy and in parenthesis the Number of Repetitions Required, (ii) the Maximum ITR achieved and in parenthesis the Number of Repetitions that maximize it, under the constraint that at least a 70% of accuracy is achieved, (iii) the Amplitude in  $\mu\text{V}$  and (iv) the Latency in ms of the P300 peak in the (v) given position and finally (vi) the percentage of rejected epochs during the off-line analysis.

Subject	300 ms ISI						175 ms ISI						150 ms ISI					
	Sel. Accuracy (%)	max ITR (bits/min) 70% stable	amplitude ( $\mu$ V)	Latency (ms)	Electrode	Rejected Epochs (%)	Sel. Accuracy (%)	max ITR (bits/min) 70% stable	amplitude ( $\mu$ V)	Latency (ms)	Electrode	Rejected Epochs (%)	Sel. Accuracy (%)	max ITR (bits/min) 70% stable	amplitude ( $\mu$ V)	Latency (ms)	Electrode	Rejected Epochs (%)
M30	100 (7)	23,3 (3)	7,28	479	F8	4,2	100 (12)	15,9 (5)	5,24	639	F8	3,8	100 (11)	13,32 (7)	3,55	427	F8	1,2
M46	80 (13)	3,59 (13)	0,13	659	F4	0,2	90 (21)	11,5 (5)	2,36	478	F4	2,1	100 (23)	7,85 (16)	3,64	484	F4	0,7
M36	70 (8)	4,2 (8)	6,95	458	F4	0,9	100 (14)	13,4 (8)	6,99	505	F4	1,4	90 (10)	15,54 (6)	6,66	378	F4	41,5
M28	100 (15)	5,83 (8)	0,079	613	AF4	2,9	100 (25)	5,75 (10)	2,76	597	AF4	2,9	90 (24)	15,54 (6)	4,02	559	AF4	4,2
F28	100 (15)	8,97 (7)	3,53	433	F4	2,7	100 (17)	13,4 (8)	3,14	615	F4	1,9	100 (15)	11,5 (15)	3,07	648	F4	6,3
F58	90 (15)	4,8 (7)	5,18	436	F3	22,6	100 (25)	5,91 (25)	1,79	449	F3	6,9	70 (14)	6,66 (14)	1,42	391	F3	20,4
F57	80 (13)	3,59 (13)	1,25	503	F7	13,4	90 (20)	5 (16)	2,3	248	F7	1,6	100 (23)	33,57 (2)	2,09	267	F7	2,7
Mean	88,5 (12.3)	7,75 (8.4)	3,49	511		6,7	97,1 (19.1)	10,1 (11)	3,51	504		2,94	92,86 (17.1)	14,85 (9.43)	3,49	450.57		11.00

## **Table 3**(on next page)

Results for Timbre Spatial modality (TimSp)

Fields as in table 1. The ITR is not computed when the limit of 70% accuracy is not reached

Subject	300 ms ISI						175 ms ISI					
	Sel. Accuracy (%)	max ITR (bits/min) 70% stable	amplitude ( $\mu$ V)	Latency (ms)	Electrode	Rejected Epochs (%)	Sel. Accuracy (%)	max ITR (bits/min) 70% stable	amplitude ( $\mu$ V)	Latency (ms)	Electrode	Rejected Epochs (%)
m30	100 (4)	33.5 (1)	6.61	519	F8	11.9	100 (9)	28.7 (2)	4.8	606	F8	1.6
m46	100 (7)	16.7 (2)	7.4	426	F4	0.1	90 (20)	6.15 (13)	5.13	466	F4	0.5
m36	60 (13)	-	9.03	369	F4	74.4	100 (9)	15.98 (5)	7.58	388	F4	0.5
m28	100 (6)	14.36 (6)	2.37	602	AF4	2.6	100 (7)	15.98 (5)	2.22	621	AF4	18.3
f28	80 (13)	3.59 (13)	4.83	443	F4	3.3	100 (17)	26.64 (3)	4.1	441	F4	0.7
f58	60 (13)	-	1.83	390	F3	12.7	80 (23)	3.47 (23)	2.77	296	F3	40
f57	90 (15)	4.8 (7)	1.57	245	F7	0.8	70 (10)	5.75 (10)	3	258	F7	2.5
Mean	84.3 (10.1)	10.4 (4.1)	4.8	428		15.1	91.4 (13.6)	14.7 (8.71)	4.2	439		9.16

## **Table 4**(on next page)

Results for the Timbre modality. Fields as in table 1

Subject	300 ms ISI						175 ms ISI					
	Sel. Accuracy (%)	max ITR (bits/min) 70% stable	amplitude ( $\mu$ V)	Latency (ms)	Electrode	Rejected Epochs (%)	Sel. Accuracy (%)	max ITR (bits/min) 70% stable	amplitude ( $\mu$ V)	Latency (ms)	Electrode	Rejected Epochs (%)
m30	100 (5)	20,93 (3)	6,29	589	F8	0,4	100 (24)	9.99 (8)	0,19	649	F8	3,2
m46	70 (12)	2,8 (12)	5,45	546	F4	5,9	70 (14)	4.11 (14)	4,48	421	F4	7,8
m36	60 (14)	-	4,6	403	F4	4,9	100 (5)	39.96 (2)	8,62	341	F4	0
m28	80 (7)	6,66 (7)	2,05	551	AF4	1,5	90 (17)	9.99 (8)	2,59	559	AF4	1,2
f28	90 (14)	6,71 (5)	4,17	415	F4	4,7	90 (14)	19.18 (3)	5,22	382	F4	1,1
f58	80 (7)	6,66 (7)	8,37	392	F3	28,9	90 (17)	9.99 (8)	8,9	641	F3	15,9
f57	80 (10)	4,8 (7)	1,84	316	F7	1,5	80 (20)	4,43 (13)	2,44	409	F7	1,8
Mean	80 (9.9)	6,94 (5.86)	4,68	458,86		6,83	88.57 (15.86)	13.95 (8)	4,63	486,00		4,43

## **Table 5**(on next page)

Auditory Speller Experiment results

Subject	Total Time(minutes)	Condition Used	Online Accuracy (speller)	Expected Accuracy (auditory modality experiment )	Chars/min	ITR (bits/min)
M30	14.24	TimPiSp150	93.33%	100%	0.84	4.37
M46	35	TimPiSp175	76%	100%	0.4	2.59
M36	16.16	TimPiSp150	88.23%	90%	0.74	3.76
M28	25.64	TimPiSp150	81.48%	90%	0.47	3.08
F28	19	TimPiSp150	85%	100%	0.63	3.42
F57	43.7	TimPiSp150	70.65%	100%	0.27	2.17
Average	27.55		82.45 %	96.67%	0.56	3.23

## **Table 6**(on next page)

Summarizing the results of proposed auditory P300 Spelling paradigms

**The optimal number of repetitions columns clarifies whether the reported ITR is acquired when computing the optimal number of repetitions to maximize the ITR, when maintaining a selection accuracy of at least 70%**

	Discriminating Cues	Optimal Number of Repetitions	Number of Subjects	ISI (ms)	Average ITR (bits/min)
Schreuder et al, 2011	Pitch, Spatial	Yes	16	175	5.26
Current Study	Timbre, Pitch, Spatial	No	7	150, 175	3.23
Käthner et al, 2012	Pitch, Spatial	No	20	420	2.76
Klobassa et al, 2009	Timbre, Pitch	No	5	500	1.86
Furdea et Al, 2009	Speech	No	13	625	1.54