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- pyAffy: An efficient Python/Cython
 implementation of the RMA method for
- processing raw data from Affymetrix
- expression microarrays
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10 ABSTRACT

11 Robust multi-array average (RMA) is a highly successful method for processing raw data from Affymetrix 12 expression microarrays. However, most of the work on microarray data processing predates the widespread use of Python in scientific computing. Here, I describe pyAffy, an efficient implemen-13 tation of the RMA method in Python/Cython. Using data from the MAQC project, I show that this 14 implementation produces virtually identical results compared to the RMA reference implementation in the 15 affy R package, while running more than five times faster and consuming significantly less memory. I 16 also show how individual steps of the RMA method affect the final expression estimates. The source 17 code for pyAffy is available from PyPI and GitHub (https://github.com/flo-compbio/pyaffy) under an OSI-approved license. I intend to periodically revise this manuscript to ensure that it accurately 19 reflects the functionalities available in the pyAffy Python package. 20

²¹ Keywords: microarray, expression, transcriptomics, Affymetrix, RMA, normalization, Python, Cython

22 INTRODUCTION

The development of high-density DNA microarrays in the late 1990s represented a breakthrough in 23 terms of the accuracy and the price at which expression levels could be measured on a genome-wide 24 scale (Brown and Botstein 1999). In subsequent years, much work was carried out to develop, validate, 25 26 and benchmark computational methods for deriving gene expression levels from microarray data, e.g. as part of the MAQC project (Shippy et al. 2006; Tong et al. 2006). However, since the emergence 27 of next-generation sequencing and its adoption for expression profiling (Mortazavi et al. 2008), most 28 computational method development has (sensibly) focused on accurately and efficiently estimating 29 expression levels from RNA-Seq data (e.g., Pertea et al. 2015). 30 Despite the dominance of RNA-Seq as the standard platform for expression profiling today, and the 31

exciting prospects of even more powerful technologies currently under development, there is no reason
 to ignore the large amount of microarray expression data that has already been accumulated in public
 databases. The NCBI GEO database (Barrett et al. 2013) and the EBI ArrayExpress database (Kolesnikov
 et al. 2015) contain more than 40,000 and 7,000 microarray-based expression datasets, respectively (see
 Methods for how these numbers were ascertained). Some of the most commonly used microarray designs
 were manufactured by Affymetrix: In the GEO database, about 11,800 datasets (approx. 25%) relied on

- ³⁸ one of five Affymetrix array designs (see Table 1).
- While processed versions of these datasets (i.e., expression matrices) are often stored in the database alongside the raw data, there are many reasons for why one would want to download and process the raw
- 41 data. The first and most obvious one is consistency: Different researchers have used different methods or
- ⁴² different parameter settings to process their data, resulting in inconsistencies and systematic biases when
- 43 different datasets are combined. Second, some researchers may also have chosen suboptimal methods

Microarray Design	# Datasets
Affymetrix Human Genome U133 Plus 2.0	4,232
Affymetrix Mouse Genome 430 2.0	3,585
Affymetrix Mouse Gene 1.0 ST	1,651
Affymetrix Human Gene 1.0 ST	1,286
Affymetrix Human Genome U133A	1,043

Table 1. Number of datasets in the NCBI GEO database for various Affymetrix microarray designs (as of 2/24/2016).

or otherwise inadvertantly introduced artifacts during processing of the raw data. A third concern is 44 reproducibility: If the original software used to generate the expression values is no longer available, 45 or if the version and parameters used are not fully documented in the database, it may be difficult 46 47 or even impossible to reproduce the expression values from the raw data. Any conclusions based on such data would therefore be difficult to validate externally. Lastly, many processing methods involve 48 transformations such as quantile normalization (B. M. Bolstad et al. 2003), which depend on the joint 49 distribution of expression values in all samples. However, frequently only a subset of the samples in a 50 study are of interest. In these cases, one would want to exclude the other samples before normalization, 51 again making it necessary to process the raw data. 52

Expression microarray technologies employed by Affymetrix and its competitors have been extensively 53 reviewed in the literature (see e.g., Lipshutz et al. 1999; Bumgarner 2013). Briefly, Affymetrix expression 54 microarrays are glass slides that typically contain more than one million probes. A probe is a cluster 55 of identical, in-situ synthesized 25-mer single-stranded DNA oligonucleotides designed to hybridize to 56 biotinylated cRNA (anti-sense RNA generated from cDNA by in-vitro transcription) with a complementary 57 base sequence. The probe sequences are designed with the aim of matching transcript sequences of 58 a single gene in the genome, and there are 11-20 probes for each gene present on the array, forming 59 a so-called probe set. Once cRNA has been hybridized to the array, it can then be stained using a 60 streptavidin-conjugated fluorescent dye, and a specialized scanner is used to measure the intensity of the 61 fluorescent light emitted at the position of each feature.¹ These intensity values contain information about 62 the expression level of each gene in the original RNA extraction, and constitute the most important type 63 of raw data produced by Affymetrix microarrays. 64

Generally speaking, methods that attempt to derive accurate gene expression levels from raw microar-65 ray data must address several issues: First, some microarray designs were completed at a time when 66 databases of gene transcript sequences contained inaccurate and/or redundant information. In those cases, 67 the sequences of a significant fraction of probes may not match the genes they were supposed to target, or 68 they may not be specific to that gene, resulting in undesired cross-hybridization effects. Such problems 69 can be effectively addressed by re-mapping and re-annotating probe sequences, which has been done 70 systematically for dozens of different array designs (Dai et al. 2005). These probe annotations are stored 71 in *custom CDF files*, which can be downloaded from the Brainarray website². A second issue is the 72 fact that when scanned, expression microarrays exhibit certain amounts of background fluorescence. In 73 other words, even for genes that are not expressed in a sample, the scanner is not expected to measure an 74 intensity of zero. This requires each intensity value to be somehow corrected for the background (noise) 75 level. Furthermore, the absolute signal intensities may vary systematically between individual arrays, 76 making it necessary to adopt procedures that put all arrays in one study "on the same scale". Lastly, in 77 order to obtain a single expression value per gene, the intensities of all probes in a probe set must be 78 summarized in some way. 79 One of the most successful methods for processing raw data from Affymetrix expression microarrays 80

is the RMA method (Irizarry et al. 2003) which continues to be very actively cited (776 citations in 2015 alone, according to Google Scholar³). Similarly, the affy R package (Gautier et al. 2004), which

²² 2013 alone, according to Google Scholar). Similarly, the ally K package (Gauter et al. 2004), wh

http://www.ohsu.edu/xd/research/research-cores/gene-profiling-shared-resource/

¹For an overview of Affymetrix microarray design and protocols, see also:

project-design/array-technology/affymetrix-genechip-arrays.cfm

²http://brainarray.mbni.med.umich.edu/Brainarray/Database/CustomCDF/genomic_curated_ CDF.asp

³Source: https://scholar.google.com/scholar?hl=en&as_sdt=5%2C34&sciodt=0%2C34&cites=

- implements the RMA method and also supports the use of custom CDF files (see above), still enjoys great
- ⁸⁴ popularity, as evidenced by Bioconductor download statistics (a monthly average of about 4,500 distinct
- ⁸⁵ IP downloads from Bioconductor in 2015⁴). It should be noted that the affy package provides many
- additional functionalities besides performing RMA, including alternative processing and normalization methods and tools for performing quality control. However, perhaps due to the aforementioned shift
- in attention to RNA-Seq data, software packages for efficiently processing microarray expression data
- do not appear to be readily available in other programming environments. In particular, I was unable
- to find a good replacement for the affy package in Python. I therefore set out to create an efficient
- ⁹¹ implementation of the RMA algorithm in Python/Cython, resulting in the pyAffy package.

92 **RESULTS**

- ⁹³ pyAffy expression values are nearly identical to those produced by affy
- ⁹⁴ To assess the fidelity of the pyAffy implementation of RMA, I downloaded the raw data for all Affymetrix
- ⁹⁵ U133 Plus 2.0 microarray samples from the MAQC study. This dataset comprises four different types
- of samples (A-D), assayed at six different sites (1-6). Each of the resulting 24 datasets (1A-D, 2A-D,
- etc.) is available in five replicates, for a total of 120 samples. To test whether expression values produced
- ⁹⁸ by pyAffy are identical to those produced by affy, I processed each of the 24 datasets independently
- ⁹⁹ with both packages. A comparison of the expression values generated by each package based on the first
- replicate from each dataset showed that both implementations produce nearly identical expression values
- 101 (see Figure 1).

¹⁰² pyAffy outperforms affy in terms of speed and memory usage

In order to compare the speed and memory usage of the RMA implementations in both affy and pyAffy, I used both packages to process one small (n = 5) and one large (n = 120) dataset. The small dataset consisted of the five MAQC replicates from site 2, sample type "A" (MAQC-2A). The large dataset consisted of all MAQC datasets for sample types A-D (MAQC-all). The resulting run times and peek memory usage values are shown in Table 2. On both datasets, pyAffy processed the samples about five times faster than affy, while requiring significantly less memory, especially for the larger dataset (1 GB vs 5.4 GB).

Dataset	Number of samples	affy run time (s)	pyAffy run time (s)	affy peak memory usage (MB)	pyAffy peak memory usage (MB)
MAQC-2A	5	108	23	415	140
MAQC-all	120	619	113	5,400	1,000

Table 2. Benchmark results pm am for processing MAQC data with affy and pyAffy. Thebenchmark results for the affy package do not include the time required for creating a custom CDF Rpackage using makecdfenv.

The effects of individual steps in the RMA algorithm

The RMA algorithm can be understood as a series of individual decisions and procedures:

Before any processing is done, all data from mismatch (MM) probes is discarded. In contrast to the primary, so-called perfect match (PM) probes, these probes harbor a single-nucleotide mismatch when compared to their intended gene target. They were originally intended to control for cross-hybridization artifacts, but Irizarry et al. (2003) demonstrated that ignoring MM intensities resulted in more accurate expression measures than previously adopted expression measures that attempted to take the intensities of MM probes into account.

The RMA model for correcting intensities for background noise (Irizarry et al. 2003; Bolstad 2004)
 is applied to each sample.

^{9621984605976884670&}amp;scipsc=&as_ylo=2015&as_yhi=2015

⁴Source: http://bioconductor.org/packages/stats/bioc/affy.html

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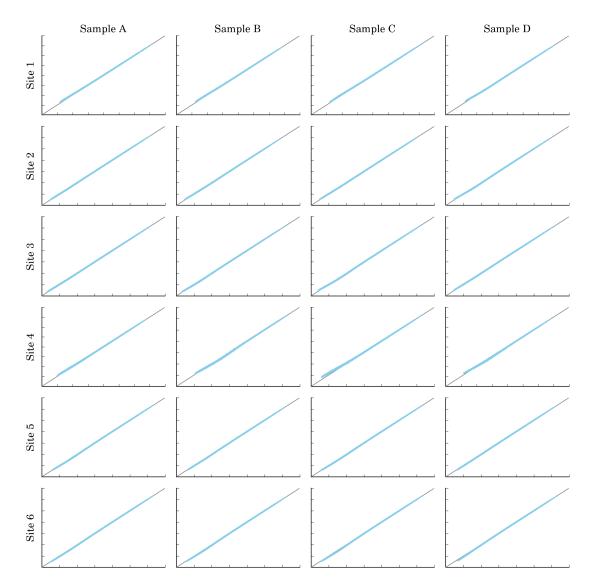


Figure 1. Comparison of RMA expression values produced by affy and pyAffy. Each plot shows the result of an independent analysis, in which raw data from the same set of five replicates was processed with both packages. Shown are scatter plots of the log-scale expression values (blue) of all genes in the first replicate of each analysis. The horizontal (x) axis in each plot represents the affy values, and the vertical (y) axis the pyAffy values. The gray line indicates the identity function. All plots show the entire range of expression values. Axis scales are adjusted separately for each plot.

- The entire probe-by-sample intensity matrix is subjected to quantile normalization (B. M. Bolstad et al. 2003).
- 4. All intensity values are log2-transformed.

5. Probes are grouped according to the gene (probe set) they belong to. For each gene, a sub-matrix containing only the intensities of probes of that gene is generated. Then, median polish (Tukey 1977) is applied to this sub-matrix, resulting in estimates for row effects, column effects, and an overall effect. The expression values of the gene then correspond to the column effects plus the overall effect. Here, the median polish procedure serves to summarize the data from different probes for each gene.

I decided to again use the five replicates from the MAQC-2A dataset (see above) to better understand how some of the key steps of the RMA algorithm affect the final expression values. I first compared the RMA-based expression levels produced by pyAffy to expression levels obtained directly from the raw

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data with minimal processing. In this analysis, the intensities of MM probes are *not* discarded (Step 1), the background correction model is *not* applied (Step 2), quantile normalization is *not* performed (Step 3), and median polish is *not* used to summarize probe-level data (Step 5). Instead of median polish, the median intensity value across probes is used. As can be seen from Figure 2 (top row), omitting all these steps of the RMA algorithm results in a very different global distribution of expression values, as well as a significant amount of variation at the gene level ($r \approx 0.93$).

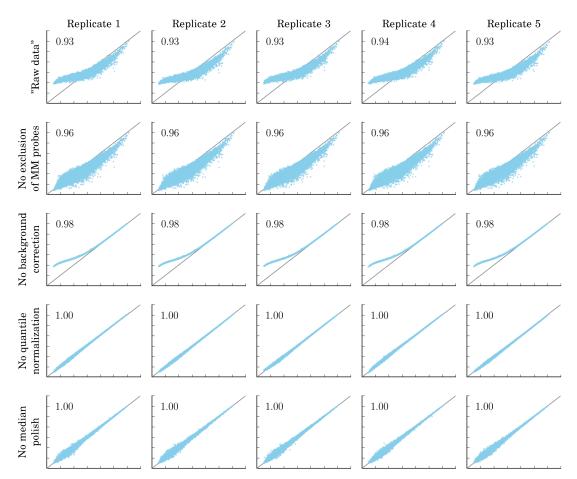


Figure 2. Effects of individual steps of the RMA algorithm (as implemented in pyAffy) on final gene expression levels. Each row shows the expression levels obtained with a modified version of the RMA algorithm compared to the standard version, for all five replicates of the MAQC-2A dataset. Gene expression levels and the identity function are shown as in Figure 1. All plots are shown on the same scale, and numbers indicate Pearson correlation coefficients.

To study the effect of individual steps in the RMA algorithm in isolation, I repeated the previously 138 described anlaysis while only omitting a single step at a time. Not excluding MM probes had the most 139 dramatic effect (see Figure 2, second row), affecting both the global distribution and introducing gene-140 level variation. In contrast, omitting the background normalization step only changed the distribution, 141 but did not introduce gene-level variation (third row). This was expected based on the details of how the 142 RMA background correction model is designed (see Methods). Somewhat surprisingly, the omission 143 of quantile normalization did not affect expression levels much, introducing only minimal amounts of 144 gene-level variation (fourth row). One reason for this might have been that all samples in each analysis 145 146 were technical replicates, and were therefore expected to exhibit the same distribution of expression values. If the datasets analyzed had been more heterogeneous, the application of quantile normalization 147 could have had a much more pronounced effect. Finally, the omission of median polish introduced small 148 but noticeable amounts of gene-level variation, especially for lowly expressed genes (bottom row). 149

161

150 DISCUSSION

151 Current limitations of pyAffy

¹⁵² Currently, pyAffy has a number of limitations which I intend to address in future work:

- pyAffy's CEL file parser cannot handle CEL files in "Command Console" format (all MAQC
 CEL files were in "Version 3" or "Version 4" formats. (This is an important limitation that I will
 address first.)
- pyAffy is ignorant of missing data: Individual probes on microarrays can be masked as "outliers", either automatically by the Affymetrix image processing software, or manually by the researcher.
 Currently, pyAffy treats all data as "present", ignoring all information about outliers etc.
- Working with compressed (gzip'ed) CEL files is only supported on Unix and Unix-like platforms (i.e., Linux and Mac OS).
 - pyAffy has not been tested on microarray designs other than the Human Genome U133 Plus 2.0.

Given these and other limitations, it is clear that pyAffy does not yet provide the same range of 162 features and functionalities as the affy R package. The goal of the work described here was to provide 163 an efficient implementation of the RMA method that produces highly similar values compared to the 164 implementation found in affy. pyAffy therefore represents an alternative to researchers who either 165 prefer to use a Python package or simply want to benefit from the shorter processing time and smaller 166 memory footprint for this particular task. Studying the literature in combination with analyzing the affy 167 and preprocessCore source code also proved to be an illuminating experience that facilitated a more 168 thorough understanding of the RMA method, as well as the relationship between the processed and the 169 raw data. 170

Practical considerations in comparing expression microarray to RNA-Seq data

¹⁷² For large datasets, the RMA implementation presented here processes microarray data in under one second

per sample (see Table 2). In my experience, this is roughly 50 times faster than a RNA-Seq processing pipeline that uses efficient tools for read mapping and expression quantification (Kim, Langmead, and

- ¹⁷⁵ Salzberg 2015; Pertea et al. 2015). In combination with the significantly smaller file sizes, this can make
- ¹⁷⁶ microarray data much easier to work with than RNA-Seq data. For example, when the same experiment
- has been carried out using both platforms, and both datasets are stored in a database like NCBI GEO, a
- researcher might choose to work with the microarray data initially, simply because it takes much less time
- to download and process the raw data. Indeed, it might be useful to incorporate a feature in the pyAffy

package that would allow researchers to automatically download and process arbitrary datasets based on

181 GEO series accession number.

182 METHODS

183 NCBI GEO and EBI ArrayExpress statistics

¹⁸⁴ All statistics were collected on 2/24/2016. The current number of microarray expression studies in

the NCBI GEO database was obtained from the summary page (http://www.ncbi.nlm.nih.

186 gov/geo/summary/), under "Expression profiling by array". The current number of microarray

187 expression studies in the EBI ArrayExpress database was obtained from the ArrayExpress browser

188 (https://www.ebi.ac.uk/arrayexpress/browse.html), by using the "experiment type"

189 filters "RNA assay" and "array assay" in combination, and selecting "ArrayExpress data only".

190 Efficient parsing of Affymetrix CEL and CDF files

¹⁹¹ The first challenge in processing Affymetrix expression data is to implement an efficient parser for the

¹⁹² CEL files containing the intensity values. CEL files come in three different formats (one plain-text

¹⁹³ and two binary), which are well-documented by Affymetrix⁵. My initial implementation of a CEL file

¹⁹⁴ parser in Python was decidedly too slow, taking approximately 50 times longer than the current Cython

- ¹⁹⁵ implementation. Cython as a programming language is a superset of Python, and the Cython compiler
- ¹⁹⁶ generates C code that makes calls to the Python C-API in order to work with Python objects Behnel et al.
- ¹⁹⁷ 2011. Importantly, Cython also provides an interface for the efficient manipulation of NumPy arrays. All

 $\label{eq:scelerator} {}^{5}See: {\tt media.affymetrix.com/support/developer/powertools/changelog/gcos-agcc/cel.html}$

portions of the Cython code that do not require Python C-API calls run extremely fast. This makes the Python/Cython a very attractive choice for implementing bioinformatics tools, which can often benefit enormously from the combination of high-level programming with flexible data structures in Python on the one hand, and the runtime efficiency obtained with Cython on the other. The Cython CEL file parser relies on file reading and string manipulation routines from the C standard library, and also makes use of named pipes⁶ to efficiently unzip any gzip-compressed CEL files in the background.

Another parsing task is to read the custom CDF files from the Brainarray website. These are plain-text 204 files in "Windows INI"-style format (complete with Windows-style r n newlines). Again, the CDF 205 file format is well-documented by Affymetrix⁷. I initially used a Python implementation based on the 206 configparser package⁸ for parsing, but this was again much too slow compared to the Cython-based 207 implementation in use now. The current implementation supports reading only perfect match (PM) probes 208 (the default), only mismatch (MM) probes, or all probes. The parser uses the following criterion to 209 determine whether a probe (corresponding to a row in the CDF file) represents a PM or an MM probe: If 210 the CBASE attribute (eigth column) matches the TBASE attribute (ninth column), the probe is considered 211 an MM probe. Otherwise, it is considered a PM probe. Based on the validation results (see Figure 1), I 212 believe that this is the correct assignment, even though I did not find this information in the documentation. 213

²¹⁴ Implementation of the RMA algorithm in Python/Cython

To create a faithful implementation of the RMA algorithm, I primarily relied on three sources: First, the 215 well-known RMA paper by Irizarry et al. (2003), which describes the general methodology. Second, 216 the PhD dissertation thesis by Bolstad (2004), which contains a derivation of the formula that is used 217 to calculate the background-corrected signal, and goes into more detail regarding the way parameters 218 219 are estimated. Finally, I analyzed relevant parts of the source code of the affy (version 1.48.0), preprocessCore (version 1.32.0), and stats (version 3.1.1) R packages. The affy package 220 provides the ReadAffy and expresso functions that serve as front-ends for reading the data and 221 applying the RMA method, respectively. The background correction and quantile normalization steps 222 are implemented in C as part of the preprocessCore package, and the median polish algorithm is 223 implemented in the stats package. While a detailed line-by-line analysis of the relevant portions of 224 code in those packages is beyond the scope of this manuscript, I will summarize some similarities and 225 differences between the implementations in affy and pyAffy in the following paragraphs. 226

The RMA background correction model (Irizarry et al. 2003) assumes that each sample (microarray) 227 exhibits a certain average background level β_i (here, i is the sample index). Irizarry et al. 2003 suggested 228 that a "naive" approach would be to simply substract β_i from all intensity values on array *i*, but that this 229 would fail since some intensity values would become negative. However, rather than simply setting such 230 values to zero (or perhaps 1, to obtain zero values after log-transformation), they proposed to treat the 231 observed probe intensities PM_i (*j* is the probe index) as realizations of a random variable that represents 232 233 the sum of a background intensity variable b_i with a truncated normal distribution, and an exponentially distributed signal variable s_i , with b_i and s_i independent. Why this particular model with these particular 234 distributions was chosen is not completely clear; according to Bolstad (2004, p. 21) (who is not an author 235 of Irizarry et al. (2003)), it is "motivated by looking at the distribution of probe intensities". This is curious 236 insofar as the probability density function of an exponential distribution is strictly decreasing, while the 237 probability of an intensity representing true signal should generally increase for higher intensities. As 238 further described in Bolstad (2004, p. 21), the RMA method relies on an "ad-hoc" approach for estimating 239 the parameters of the normal and exponential distributions. The mode of the kernel density estimate of 240 the probe intensities is used as the normal (noise) mean μ , and the "lower tail" of the density is used 241 to estimate the normal (noise) standard deviation σ . Finally, "an exponential is fitted to the right tail to 242 estimate α ", the parameter of the exponential (signal) distribution. The adjusted signal intensity is then 243 given by the conditional expectation $E(X | S = s)^9$. I visualized the net effect of the RMA background 244 correction model on MAQC data, and found that it retains a certain fraction of the signal (i.e., the intensity 245 value) that generally increases with probe intensity, except for very low intensities, where the model 246

⁶See: https://en.wikipedia.org/wiki/Named_pipe

^{&#}x27;See: http://media.affymetrix.com/support/developer/powertools/changelog/gcos-agcc/ cdf.html

⁸https://docs.python.org/3/library/configparser.html ⁹see (Bolstad 2004, p. 20f) for the formula.

- retains a majority of the signal (see Figure 3). However, this inconsistency does not appear to significantly
- ²⁴⁸ affect the data in practice (see Figure 2, third row).

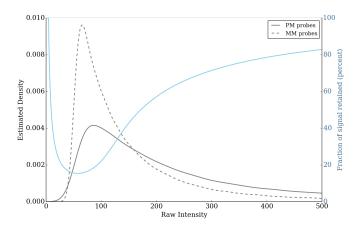


Figure 3. The effect of the RMA background correction model. For one sample from the MAQC study, kernel density estimates of the distributions of the PM and MM probe intensities are shown in gray (the MM probes are not used for parameter estimation and are only shown for refernce). For each intensity level, the fraction of signal retained after applying RMA background correction is shown in blue (c.f. Figure 2, third row). The unexpected rise of the fraction of signal retained for very low intensities can be explained by the choice of an exponential distribution to model the true signal, which has its maximum density at x = 0. However, this effect does not appear to have a noticeable impact on the data in practice.

The affy package relies on C code in the preprocessCore package to implement this procedure. 249 Specifically, the parameter values are estimated by the function rma_bg_parameters (line 216 in 250 rma_background4.c¹⁰), which itself calls the functions get_sd to estimate σ and get_alpha to 251 estimate α . Three implementation details appear noteworthy: First, in the course of estimating the three 252 parameters (μ , σ , and α), three different kernel density estimations are performed (lines 227, 237, and 253 196), each one using an Epanechnikov kernel with a bandwidth estimated according to Silverman's rule 254 of thumb¹¹. Second, the estimate of σ appears to get arbitrarily scaled by a factor of $\sqrt{2}$ (line 216). 255 Third, the estimate of α is set to the mode of a kernel density estimated based on the probes with original 256 intensity values larger than μ . However, this estimate is obtained after substracting μ from the intensity 257 values of these probes (line 193). It is unclear how this procedure is useful for fitting "an exponential [...] 258 to the right tail [of the distribution of probe intensities] to estimate α ". Due to the L-shaped distribution 259 of probe intensity values to the right side of μ , this procedure must result in values close to zero — since 260 after substracting μ , most intensity values will be close to zero, and so the mode of the density estimate 261 will be close to zero as well. After all parameters are estimated, the probe intensity values are adjusted by 262 the function rma_bg_adjust (line 300). 263

The RMA implementation in pyAffy optimizes the procedure implemented in the preprocessCore 264 package for speed, while attempting to obtain highly similar parameter estimates. Concretely, for esti-265 mating μ , I decided to replace two kernel density estimation steps with a simple histogram calculation 266 (with a fixed bin width of 4.0), using the bin with the largest number of probes as a substitute for the 267 mode of the density estimate¹². I left the estimation of σ (given μ) unchanged and retained the scaling 268 factor of $\sqrt{2}$. Finally, based on the observations described above, I decided to simply set α to a fixed 269 value value close to zero (0.03). I determined this value empirically, by testing which value would 270 result in experssion values that appeared most similar to those produced by affy. Even though this 271 procedure of estimating the three parameter appeared radically simplified compared to the one performed 272 in preprocessCore, it resulted in highly similar expression values in practice (see Figure 1) while 273

¹⁰See https://github.com/Bioconductor-mirror/preprocessCore/blob/release-3.2/src/rma_ background4.c.

¹¹See https://github.com/Bioconductor-mirror/preprocessCore/blob/release-3.2/src/ weightedkerneldensity.c#L799 and https://stat.ethz.ch/R-manual/R-devel/library/stats/ html/bandwidth.html.

¹²More precisely, μ is set to mean of the two edge values of that bin.

²⁷⁴ avoiding all three time-consuming kernel density estimation steps.

Both quantile normalization and median polish are relatively simple algorithms. For quantile nor-

malization, pyAffy relies on the implementation of quantile normalization from the genometools

Python package that is also authored by me¹³. I also implemented median polish using code from the R

278 stats package as a template.

279 Benchmarking of the affy R package

In order to process the MAQC microarray data with using accurate probe annotations, I downloaded the corresponding custom CDF file from the Brainarray website¹⁴ and used the makecdfenv R package to create an annotation package (termed hgu133plus2hsentrezgcdf) for use with affy. I then wrote two R scripts to benchmark the affy package: One for the the MAQC-2A dataset, and one for the

MAQC-all dataset. Both scripts use the ReadAffy function to read compressed (gzip'ed) CEL files from the MAQC study (GEO accession: GSE5350), and the expresso function to process the data.

from the MAQC study (GEO accession:
 Specifically, the commands used were:

```
dataset <- ReadAffy(</pre>
    widget=FALSE,
    filenames=cel_files,
    sampleNames=samples,
    celfile.path=cel_dir,
    compress=TRUE,
    cdfname='hgu133plus2hsentrezgcdf'
)
eset <- expresso(
    dataset,
    bgcorrect.method='rma',
    normalize.method='quantiles',
    pmcorrect.method='pmonly',
    summary.method='medianpolish'
)
write.exprs(eset, file = output_file)
```

The benchmarking was performed for affy version 1.44.0, using R 3.1.1. Execution time was measured by calling the Sys.time() function at the beginning and end of the script and calculating the time difference. Memory consumption was measured by monitoring the output of the top Linux program (column "RES").

²⁹¹ Benchmarking of the pyAffy Python package

pyAffy does not require preprocessing of the custom CDF annotations, and was directly provided with the custom CDF file contained in the Brainarray zip file (see above). The benchmark was performed from within a Jupyter notebook, with exact same CEL files as input as for the affy benchmark. The

```
<sup>295</sup> commands used were:
```

```
from pyaffy import rma
genes, samples, X = rma(cdf_file, sample_cel_files)
E = ExpMatrix(genes, samples, X)
E.write_tsv(output_file)
```

The benchmarking was performed for pyAffy version 0.2.0 and genometools version 1.2.2, using Python 2.7.9. Execution time was measured by calling the time.time() function at the beginning and end of the script and calculating the time difference. Memory consumption was measured as in the affy

299 benchmark.

¹⁴Source: http://mbni.org/customcdf/20.0.0/entrezg.download/HGU133Plus2_Hs_ENTREZG_20.0. 0.zip.

 $^{^{13}}See \ \mbox{https://pypi.python.org/pypi/genometools.}$

- ³⁰⁰ Applying RMA with individual processing steps omitted.
- ³⁰¹ The rma function in the pyAffy takes optional parameters (with default value True) that allow
- individual steps of the RMA algorithm to be skipped (if False is specified). To obtain the "raw data"
- expression levels shown in the top row of Figure 2, the following command was used:

```
rma(
    cdf_file, sample_cel_files,
    pm_probes_only = False, # no exclusion of MM probes
    bg_correct = False, # no background correction
    quantile_normalize = False, # no quantile normalization
    medianpolish = False # no median polish
)
```

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