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## Decentralized provenance-aware publishing with nanopublications

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Publication and archival of scientific results is still commonly considered the responsibility of classical publishing companies. Classical forms of publishing, however, which center around printed narrative articles, no longer seem well-suited in the digital age. In particular, there exist currently no efficient, reliable, and agreed-upon methods for publishing scientific datasets, which have become increasingly important for science. In this article, we propose to design scientific data publishing as a Web-based bottom-up process, without top-down control of central authorities such as publishing companies. Based on a novel combination of existing concepts and technologies, we present a server network to decentrally store and archive data in the form of nanopublications, an RDF-based format to represent scientific data. We show how this approach allows researchers to publish, retrieve, verify, and recombine datasets of nanopublications in a reliable and trustworthy manner, and we argue that this architecture could be used as a low-level data publication layer to serve the Semantic Web in general. Our evaluation of the current network shows that this system is efficient and reliable.

# Decentralized Provenance-Aware Publishing with Nanopublications

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## ABSTRACT

Publication and archival of scientific results is still commonly considered the responsibility of classical publishing companies. Classical forms of publishing, however, which center around printed narrative articles, no longer seem well-suited in the digital age. In particular, there exist currently no efficient, reliable, and agreed-upon methods for publishing scientific datasets, which have become increasingly important for science. In this article, we propose to design scientific data publishing as a Web-based bottom-up process, without top-down control of central authorities such as publishing companies. Based on a novel combination of existing concepts and technologies, we present a server network to decentrally store and archive data in the form of nanopublications, an RDF-based format to represent scientific data. We show how this approach allows researchers to publish, retrieve, verify, and recombine datasets of nanopublications in a reliable and trustworthy manner, and we argue that this architecture could be used as a low-level data publication layer to serve the Semantic Web in general. Our evaluation of the current network shows that this system is efficient and reliable.

Keywords: Data publishing, Semantic Web, Linked Data, provenance, nanopublications

## 1 INTRODUCTION

Modern science increasingly depends on *datasets*, which are however left out in the classical way of publishing, i.e. through narrative (printed or online) articles in journals or conference proceedings. This means that the publications describing scientific findings become disconnected from the data they are based on, which can seriously impair the verifiability and reproducibility of their results. Addressing this issue raises a number of practical problems: How should one publish scientific datasets and how can one refer to them in the respective scientific publications? How can we be sure that the data will remain available in the future and how can we be sure that data we find on the Web have not been corrupted or tampered with? Moreover, how can we refer to specific entries or subsets from large datasets, for instance, to support a specific argument or hypothesis?

To address some of these problems, a number of scientific data repositories have appeared, such as Figshare and Dryad.<sup>1</sup> Furthermore, Digital Object Identifiers (DOI) have been advocated to be used not only for articles but also for scientific data (Paskin, 2005). While these approaches certainly improve the situation of scientific data, in particular when combined with Semantic Web techniques, they have nevertheless a number of drawbacks: They have *centralized* architectures, they give us no possibility to

<sup>1</sup><http://figshare.com>, <http://datadryad.org>

45 check whether the data have been (deliberately or accidentally) modified, and they do not support access  
46 or referencing on a more granular level than entire datasets (such as individual data entries). We argue that  
47 the centralized nature of existing data repositories is inconsistent with the decentralized manner in which  
48 science is typically performed, and that it has serious consequences with respect to reliability and trust.  
49 The organizations running these platforms might at some point go bankrupt, be acquired by investors  
50 who do not feel committed to the principles of science, or for other reasons become unable to keep their  
51 websites up and running. Even though the open licenses enforced by these data repositories will probably  
52 ensure that the datasets remain available at different places, there exist no standardized (i.e. automatable)  
53 procedures to find these alternative locations and to decide whether they are trustworthy or not.

54 Even if we put aside these worst-case scenarios, websites have typically not a perfect uptime and  
55 might be down for a few minutes or even hours every once in a while. This is certainly acceptable for  
56 most use cases involving a human user accessing data from these websites, but it can quickly become a  
57 problem in the case of automated access embedded in a larger service. Furthermore, it is possible that  
58 somebody gains access to the repository's database and silently modifies part of the data, or that the data  
59 get corrupted during the transfer from the server to the client. We can therefore never perfectly trust any  
60 data we get, which significantly complicates the work of scientists and impedes the potential of fully  
61 automatic analyses. Lastly, existing forms of data publishing have for the most part only one level at  
62 which data is addressed and accessed: the level of entire datasets (sometimes split into a small number  
63 of tables). It is in these cases not possible to refer to individual data entries or subsets in a way that is  
64 standardized and retains the relevant metadata and provenance information. To illustrate this problem, let  
65 us assume that we conduct an analysis using, say, 1000 individual data entries from each of three very  
66 large datasets (containing, say, millions of data entries each). How can we now refer to exactly these  
67 3000 entries to justify whatever conclusion we draw from them? The best thing we can currently do is to  
68 republish these 3000 data entries as a new dataset and to refer to the large datasets as their origin. Apart  
69 from the practical disadvantages of being forced to republish data just to refer to subsets of larger datasets,  
70 other scientists need to either (blindly) trust us or go through the tedious process of semi-automatically  
71 verifying that each of these entries indeed appears in one of the large datasets. Instead of republishing  
72 the data, we could also try to describe the used subsets, e.g. in the form of SPARQL queries in the case  
73 of RDF data, but this doesn't make it less tedious, keeping in mind that older versions of datasets are  
74 typically not provided by public APIs such as SPARQL endpoints.

75 Below, we present an approach to tackle these problems, which builds upon existing Semantic Web  
76 technologies, in particular RDF and nanopublications, and adheres to accepted Web principles, such as  
77 decentralization and REST APIs. Specifically, our research question is: Can we create a decentralized,  
78 reliable, trustworthy, and scalable system for publishing, retrieving, and archiving datasets in the form of  
79 sets of nanopublications based on existing Web standards and infrastructure?

80 This article is an extended and revised version of a previous conference paper (Kuhn et al., 2015).

## 81 2 BACKGROUND

82 Nanopublications (Groth et al., 2010) are a relatively recent proposal for improving the efficiency of  
83 finding, connecting, and curating scientific findings in a manner that takes attribution, quality levels, and  
84 provenance into account. While narrative articles would still have their place in the academic landscape,  
85 small formal data snippets in the form of nanopublications should take their central position in scholarly  
86 communication (Mons et al., 2011). Most importantly, nanopublications can be automatically interpreted  
87 and aggregated and they allow for fine-grained citation metrics on the level of individual claims. A  
88 nanopublication is defined as a small data container consisting of three parts: an assertion part containing  
89 the main content in the form of an atomic piece of formally represented data (e.g. an observed effect of a  
90 drug on a disease); a provenance part that describes how this piece of data came about (e.g. how it was  
91 measured); and a publication info part that gives meta-information about the nanopublication as a whole  
92 (e.g. when it was created). The representation of a nanopublication with its three parts is based on the RDF  
93 language with named graphs (Carroll et al., 2005). In other words, the nanopublication approach boils  
94 down to the ideas of subdividing scientific results into atomic assertions, representing these assertions in  
95 RDF, attaching provenance information in RDF on the level of individual assertions, and treating each  
96 of these tiny entities as an individual publication. Nanopublications have been applied to a number of  
97 domains, so far mostly from the life sciences including pharmacology (Williams et al., 2012; Banda et al.,  
98 2015), genomics (Patrinos et al., 2012), and proteomics (Chichester et al., 2015). An increasing number of

99 datasets formatted as nanopublications are openly available, including neXtProt (Chichester et al., 2014)  
100 and DisGeNET (Queralt-Rosinach et al., 2015), and the nanopublication concept has been combined with  
101 and integrated into existing frameworks for data discovery and integration, such as CKAN (McCusker  
102 et al., 2013).

103 Interestingly, the concept of nanopublications has also been taken up in the humanities, namely  
104 in philosophy,<sup>2</sup> musicology (Freedman, 2014), and history/archaeology (Golden and Shaw, 2016). A  
105 humanities dataset of facts is arguably more interpretive than a scientific dataset; relying, as it does, on  
106 the scholarly interpretation of primary sources. Because of this condition, “facts” in humanities datasets  
107 (such as prosopographies) have often been called “factoids” (Bradley, 2005), as they have to account  
108 for a degree of uncertainty. Nanopublications, with their support for granular context and provenance  
109 descriptions, offer a novel paradigm for publishing such factoids, by providing methods for representing  
110 metadata about responsibilities and by enabling discussions and revisions beyond any single humanities  
111 project.

112 Research Objects are a related approach to establish “self-contained units of knowledge” (Belhajjame  
113 et al., 2012), and they constitute in a sense the antipode approach to nanopublications. We could call them  
114 *mega*-publications, as they contain much more than a typical narrative publication, namely resources like  
115 input and output data, workflow definitions, log files, and presentation slides. We demonstrate in this  
116 paper, however, that bundling all resources of scientific studies in large packages is not a necessity to  
117 ensure the availability of the involved resources and their robust interlinking, but we can achieve that also  
118 with cryptographic identifiers and a decentralized architecture.

119 SPARQL is an important and popular technology to access and publish Linked Data, and it is both  
120 a language to query RDF datasets (Harris and Seaborne, 2013) and a protocol to execute such queries  
121 on a remote server over HTTP (Feigenbaum et al., 2013). Servers that provide the SPARQL protocol,  
122 referred to as “SPARQL endpoints”, are a technique for making Linked Data available on the Web in a  
123 flexible manner. While off-the-shelf triple stores can nowadays handle billions of triples or more, they  
124 potentially require a significant amount of resources in the form of memory and processor time to execute  
125 queries, at least if the full expressive power of the SPARQL language is supported. A recent study found  
126 that more than half of the publicly accessible SPARQL endpoints are available less than 95% of the  
127 time (Buil-Aranda et al., 2013), posing a major problem to services depending on them, in particular  
128 to those that depend on several endpoints at the same time. To understand the consequences, imagine  
129 one has to program a mildly time-critical service that depends on RDF data from, say, ten different  
130 SPARQL endpoints. Assuming that each endpoint is available 95% of the time and their availabilities are  
131 independent from each other, this means at least one of them will be down during close to five months  
132 per year. The reasons for this problem are quite clear: SPARQL endpoints provide a very powerful query  
133 interface that causes heavy load in terms of memory and computing power on the side of the server.  
134 Clients can request answers to very specific and complex queries they can freely define, all without paying  
135 a cent for the service. This contrasts with almost all other HTTP interfaces, in which the server imposes  
136 (in comparison to SPARQL) a highly limited interface, where the computational costs per request are  
137 minimal.

138 To solve these and other problems, more light-weight interfaces were suggested, such as the read-write  
139 Linked Data Platform interface (Speicher et al., 2015), the Triple Pattern Fragments interface (Verborgh  
140 et al., 2014), as well as infrastructures to implement them, such as CumulusRDF (Ladwig and Harth,  
141 2011). These interfaces deliberately allow less expressive requests, such that the maximal cost of each  
142 individual request can be bounded more strongly. More complex queries then need to be evaluated by  
143 clients, which decompose them in simpler subqueries that the interface supports (Verborgh et al., 2014).  
144 While this constitutes a scalability improvement (at the cost of, for instance, slower queries), it does not  
145 necessarily lead to perfect uptimes, as servers can be down for other reasons than excessive workload.  
146 We propose here to go one step further by relying on a *decentralized* network and by supporting only  
147 identifier-based lookup of nanopublications. Such limited interfaces normally have the drawback that  
148 traversal-based querying does not allow for the efficient and complete evaluation of certain types of  
149 queries (Hartig, 2013), but this is not a problem with the multi-layer architecture we propose below,  
150 because querying is only performed at a higher level where these limitations do not apply.

151 A well-known solution to the problem of individual servers being unreliable is the application of a  
152 decentralized architecture where the data is replicated on multiple servers. A number of such approaches

<sup>2</sup><http://emto-nanopub.referata.com/wiki/EMTO.Nanopub>

153 related to data publishing have been proposed, for example in the form of distributed file systems based on  
154 cryptographic methods for data that are public (Fu et al., 2002) or private (Clarke et al., 2001). In contrast  
155 to the design principles of the Semantic Web, these approaches implement their own internet protocols and  
156 follow the hierarchical organization of file systems. Other approaches build upon the existing BitTorrent  
157 protocol and apply it to data publishing (Markman and Zavras, 2014; Cohen and Lo, 2014), and there is  
158 interesting work on repurposing the proof-of-work tasks of Bitcoin for data preservation (Miller et al.,  
159 2014). There exist furthermore a number of approaches to applying peer-to-peer networks for RDF data  
160 (Filali et al., 2011), but they do not allow for the kind of permanent and provenance-aware publishing  
161 that we propose below. Moreover, only for the centralized and closed-world setting of database systems,  
162 approaches exist that allow for robust and granular references to subsets of dynamic datasets (Proell and  
163 Rauber, 2014).

164 The approach that we present below is based on previous work, in which we proposed *trusty URIs* to  
165 make nanopublications and their entire reference trees verifiable and immutable by the use of cryptographic  
166 hash values (Kuhn and Dumontier, 2014, 2015). This is an example of such a *trusty URI*:

167 `http://example.org/r1.RA5AbXdpz5DcaYXCh9l3eI9ruBosiL5XDU3rxBbBaU070`

168 The last 45 characters of this URI (i.e. everything after “.”) is what we call the *artifact code*. It contains a  
169 hash value that is calculated on the RDF content it represents, such as the RDF graphs of a nanopublication.  
170 Because this hash is part of the URI, any link to such an artifact comes with the possibility to verify its  
171 content, including other *trusty URI* links it might contain. In this way, the range of verifiability extends to  
172 the entire reference tree.

173 Furthermore, we argued in previous work that the assertion of a nanopublication need not be fully  
174 formalized, but we can allow for informal or underspecified assertions (Kuhn et al., 2013), to deal with the  
175 fact that the creation of accurate semantic representations can be too challenging or too time-consuming  
176 for many scenarios and types of users. This is particularly the case for domains that lack ontologies  
177 and standardized terminologies with sufficient coverage. These structured but informal statements are  
178 supposed to provide a middle ground for the situations where fully formal statements are not feasible. We  
179 proposed a controlled natural language (Kuhn, 2014) for these informal statements, which we called AIDA  
180 (standing for the introduced restriction on English sentences to be atomic, independent, declarative, and  
181 absolute), and we had shown before that controlled natural language can also serve in the fully formalized  
182 case as a user-friendly syntax for representing scientific facts (Kuhn et al., 2006). We also sketched how  
183 “science bots” could autonomously produce and publish nanopublications, and how algorithms could  
184 thereby be tightly linked to their generated data (Kuhn, 2015b), which requires the existence of a reliable  
185 and trustworthy publishing system, such as the one we present here.

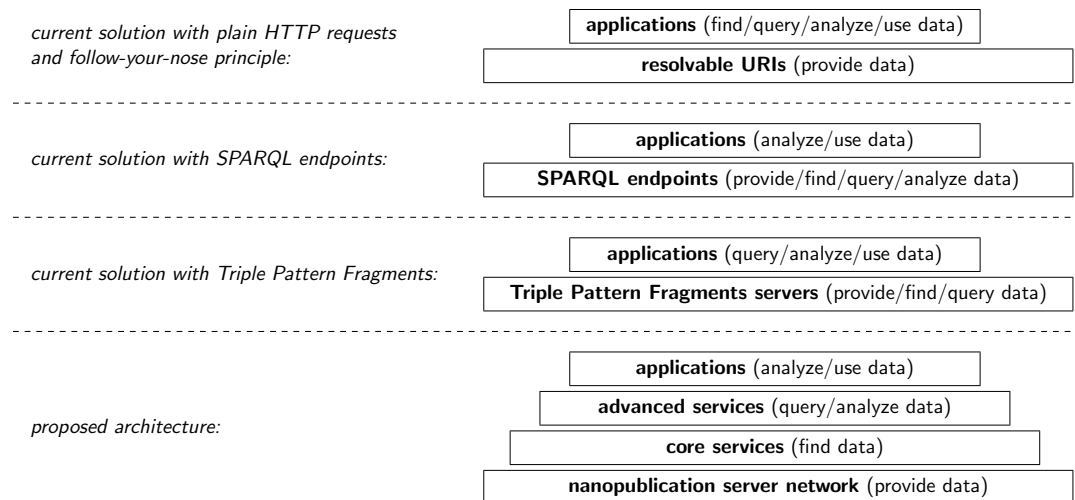
### 186 3 APPROACH

187 Our approach builds upon the existing concept of nanopublications and our previously introduced method  
188 of *trusty URIs*. It is a proposal of a reliable implementation of accepted Semantic Web principles, in  
189 particular of what has become known as the *follow-your-nose* principle: Looking up a URI should return  
190 relevant data and links to other URIs, which allows one (i.e. humans as well as machines) to discover  
191 things by navigating through this data space (Berners-Lee, 2006). We argue that approaches following  
192 this principle can only be reliable and efficient if we have some sort of guarantee that the resolution of  
193 any single identifier will succeed within a short time frame in one way or another, and that the processing  
194 of the received representation will only take up a small amount of time and resources. This requires that  
195 (1) RDF representations are made available on several distributed servers, so the chance that they all  
196 happen to be inaccessible at the same time is negligible, and that (2) these representations are reasonably  
197 small, so that downloading them is a matter of fractions of a second, and so that one has to process only a  
198 reasonable amount of data to decide which links to follow. We address the first requirement by proposing  
199 a distributed server network and the second one by building upon the concept of nanopublications. Below  
200 we explain the general architecture, the functioning and the interaction of the nanopublication servers,  
201 and the concept of nanopublication indexes.

#### 202 3.1 Architecture

203 There are currently at least three possible architectures for Semantic Web applications (and mixtures  
204 thereof), as shown in a simplified manner in Figure 1. The first option is the use of plain HTTP GET



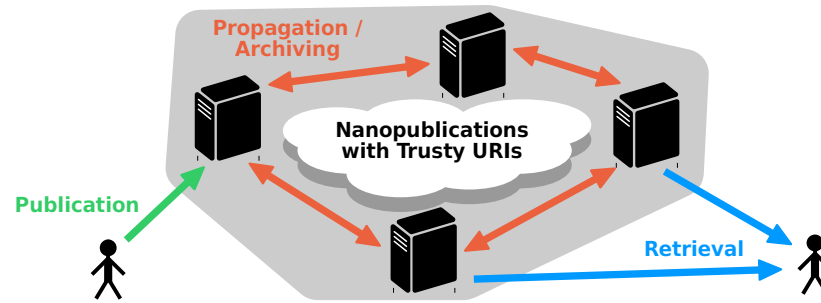


**Figure 1.** Illustration of current architectures of Semantic Web applications and our proposed approach

205 requests to dereference a URI. Applying the follow-your-nose principle, resolvable URIs provide the  
 206 data based on which the application performs the tasks of finding relevant resources, running queries,  
 207 analyzing and aggregating the results, and using them for the purpose of the application. This approach  
 208 aligns very well with the principles and the architecture of the Web, but the traversal-based querying  
 209 it entails comes with limitations on efficiency and completeness (Hartig, 2013). If SPARQL endpoints  
 210 are used, as a second option, most of the workload is shifted from the application to the server via the  
 211 expressive power of the SPARQL query language. As explained above, this puts servers at risk of being  
 212 overloaded. With a third option such as Triple Pattern Fragments, servers provide only limited query  
 213 features and clients perform the remainder of the query execution. This leads to reduced server costs, at the  
 214 expense of longer query times.

215 We can observe that all these current solutions are based on two-layer architectures, and have moreover  
 216 no inherent replication mechanisms. A single point of failure can cause applications to be unable to  
 217 complete their tasks: A single URI that does not resolve or a single server that does not respond can  
 218 break the entire process. We argue here that we need distributed and decentralized services to allow for  
 219 robust and reliable applications that consume Linked Data. In principle, this can be achieved for any of  
 220 these two-layer architectures by simply setting up several identical servers that mirror the same content,  
 221 but there is no standardized and generally accepted way of how to communicate these mirror servers  
 222 and how to decide on the client side whether a supposed mirror server is trustworthy. Even putting aside  
 223 these difficulties, two-layer architectures have further conceptual limitations. The most low-level task of  
 224 providing Linked Data is essential for all other tasks at higher levels, and therefore needs to be the most  
 225 stable and robust one. We argue that this can be best achieved if we free this lowest layer from all tasks  
 226 except the provision and archiving of data entries (nanopublications in our case) and decouple it from  
 227 the tasks of providing services for finding, querying, or analyzing the data. This makes us advocate a  
 228 multi-layer architecture, a possible realization of which is shown at the bottom of Figure 1.

229 Below we present a concrete proposal of such a low-level data provision infrastructure in the form of  
 230 a nanopublication server network. Based on such an infrastructure, one can then build different kinds of  
 231 services operating on a subset of the nanopublications they find in the underlying network. “Core services”  
 232 could involve things like resolving backwards references (i.e. “which nanopublications refer to the given  
 233 one?”) and the retrieval of the nanopublications published by a given person or containing a particular  
 234 URI. Based on such core services for finding nanopublications, one could then provide “advanced services”  
 235 that allow us to run queries on subsets of the data and ask for aggregated output. These higher layers can  
 236 of course make use of existing techniques such as SPARQL endpoints and Triple Pattern Fragments or  
 237 even classical relational databases, and they can cache large portions of the data from the layers below  
 238 (as nanopublications are immutable, they are easy to cache). For example, an advanced service could  
 239 allow users to query the latest versions of several drug-related datasets, by keeping a local triple store



**Figure 2.** Schematic representation of the decentralized server architecture. Nanopublications can be uploaded to a server (or loaded from the local file system by the server administrator), and they are then propagated to the other servers of the network. They can then be retrieved from any of the servers, or from multiple servers simultaneously, even if the original server is not accessible.

240 and providing users with a SPARQL interface. Such a service would regularly check for new data in the  
 241 server network on the given topic, and replace outdated nanopublications in its triple store with new ones.  
 242 A query request to this service, however, would *not* involve an immediate query to the underlying server  
 243 network, in the same way that a query to the Google search engine does *not* trigger a new crawl of the  
 244 Web.

245 While the lowest layer would necessarily be accessible to everybody, some of the services on the  
 246 higher level could be private or limited to a small (possibly paying) user group. We have in particular  
 247 scientific data in mind, but we think that an architecture of this kind could also be used for Semantic Web  
 248 content in general.

### 249 3.2 Nanopublication Servers

250 As a concrete proposal of a low-level data provision layer, as explained above, we present here a  
 251 decentralized nanopublication server network with a REST API to provide and propagate nanopublications  
 252 identified by trusty URIs.<sup>3</sup> The nanopublication servers of such a network connect to each other to retrieve  
 253 and (partly) replicate their nanopublications, and they allow users to upload new nanopublications, which  
 254 are then automatically distributed through the network. Figure 2 shows a schematic depiction of this  
 255 server network.

256 Basing the content of this network on nanopublications with trusty URIs has a number of positive  
 257 consequences for its design: The first benefit is that the fact that nanopublications are all similar in size and  
 258 always small makes it easy to estimate how much time is needed to process an entity (such as validating  
 259 its hash) and how much space to store it (e.g. as a serialized RDF string in a database). Moreover it ensures  
 260 that these processing times remain mostly in the fraction-of-a-second range, guaranteeing quick responses,  
 261 and that these entities are never too large to be analyzed in memory. The second benefit is that servers  
 262 do not have to deal with identifier management, as the nanopublications already come with trusty URIs,  
 263 which are guaranteed to be unique and universal. The third and possibly most important benefit is that  
 264 nanopublications with trusty URIs are immutable and verifiable. This means that servers only have to deal  
 265 with *adding* new entries but not with *updating* them, which eliminates the hard problems of concurrency  
 266 control and data integrity in distributed systems. Together, these aspects significantly simplify the design  
 267 of such a network and its synchronization protocol, and make it reliable and efficient even with limited  
 268 resources.

269 Specifically, a nanopublication server of the current network has the following components:

- 270 • A **key-value store** of its nanopublications (with the artifact code from the trusty URI as the key)
- 271 • A long list of all stored nanopublications, in the order they were loaded at the given server.  
 272 We call this list the server's **journal**, and it consists of a journal identifier and the sequence of  
 273 nanopublication identifiers, subdivided into pages of a fixed size. (1000 elements is the default:  
 274 page 1 containing the first 1000 nanopublications; page 2 the next 1000, etc.)

<sup>3</sup>Source code repository: <https://github.com/tkuhn/nanopub-server>



- 275 • A **cache of gzipped packages** containing all nanopublications for a given journal page
- 276 • **Pattern definitions** in the form of a *URI pattern* and a *hash pattern*, which define the surface  
277 features of the nanopublications stored on the given server
- 278 • A **list of known peers**, i.e. the URLs of other nanopublication servers
- 279 • **Information about each known peer**, including the journal identifier and the total number of  
280 nanopublications at the time it was last visited

281 The server network can be seen as an unstructured peer-to-peer network, where each node can freely  
282 decide which other nodes to connect to and which nanopublications to replicate.

283 The URI pattern and the hash pattern of a server define the surface features of the nanopublications that  
284 this server cares about. We called them *surface features*, because they can be determined by only looking  
285 at the URI of a nanopublication. For example, the URI pattern ‘`http://rdf.disgenet.org/`’ states  
286 that the given server is only interested in nanopublications whose URIs start with the given sequence of  
287 characters. Additionally, a server can declare a hash pattern like ‘AA AB’ to state that it is only interested in  
288 nanopublications whose hash in the trusty URI start with one of specified character sequences (separated  
289 by blank spaces). As hashes are represented in Base64 notation, this particular hash pattern would let  
290 a server replicate about 0.05% of all nanopublications. Nanopublication servers are thereby given the  
291 opportunity to declare which subset of nanopublications they replicate, and need to connect only to those  
292 other servers whose subsets overlap. To decide on whether a nanopublication belongs to a specified subset  
293 or not, the server only has to apply string matching at two given starting points of the nanopublication  
294 URI (i.e. the first position and position 43 from the end — as the hashes of the current version of trusty  
295 URIs are 43 bytes long), which is computationally cheap.

296 Based on the components introduced above, the servers respond to the following request (in the form  
297 of HTTP GET):

- 298 • Each server needs to return general **server information**, including the journal identifier and the  
299 number of stored nanopublications, the server’s URI pattern and hash pattern, whether the server  
300 accepts POST requests for new nanopublications or servers (see below), and informative entries  
301 such as the name and email address of the maintainer and a general description. Additionally, some  
302 server-specific limits can be specified: the maximum number of triples per nanopublication (the  
303 default is 1200), the maximum size of a nanopublication (the default is 1 MB), and the maximum  
304 number of nanopublications to be stored on the given server (unlimited by default).
- 305 • Given an artifact code (i.e. the final part of a trusty URI) of a nanopublication that is stored by the  
306 server, it returns the given **nanopublication** in a format like TriG, TriX, N-Quads, or JSON-LD  
307 (depending on content negotiation).
- 308 • A **journal page** can be requested by page number as a list of trusty URIs.
- 309 • For every journal page (except for incomplete last pages), a gzipped **package** can be requested  
310 containing the respective nanopublications.
- 311 • The **list of known peers** can be requested as a list of URLs.

312 In addition, a server can optionally support the following two actions (in the form of HTTP POST  
313 requests):

- 314 • A server may accept requests to **add a given individual nanopublication** to its database.
- 315 • A server may also accept requests to **add the URL of a new nanopublication server** to its peer  
316 list.

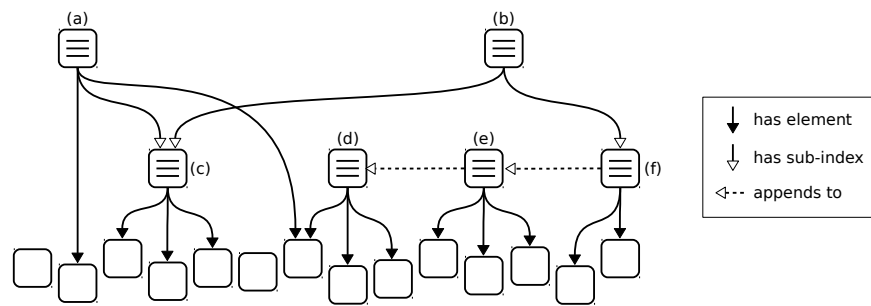
317 Server administrators have the additional possibility to load nanopublications from the local file system,  
318 which can be used to publish large amounts of nanopublications, for which individual POST requests are  
319 not feasible.

320 Together, the server components and their possible interactions outlined above allow for efficient  
321 decentralized distribution of published nanopublications. Specifically, current nanopublication servers

322 follow the following procedure. Every server  $s$  keeps its own list of known peer  $P_s$ . For each peer  $p$  on  
323 that list that has previously been visited, the server additionally keeps the number of nanopublications  
324 on that peer server  $n'_p$  and its journal identifier  $j'_p$ , as recorded during the last visit. At a regular interval,  
325 every peer server  $p$  on the list of known peers is visited by server  $s$ :

- 326 1. The latest server information is retrieved from  $p$ , which includes its list of known peers  $P_p$ , the  
327 number of stored nanopublications  $n_p$ , the journal identifier  $j_p$ , the server's URI pattern  $U_p$ , and its  
328 hash pattern  $H_p$ .
- 329 2. All entries in  $P_p$  that are not yet on the visiting server's own list of known peers  $P_s$  are added to  $P_s$ .
- 330 3. If the visiting server's URL is not in  $P_p$ , the visiting server  $s$  makes itself known to server  $p$  with a  
331 POST request (if this is supported by  $p$ ).
- 332 4. If the subset defined by the server's own URI/hash patterns  $U_s$  and  $H_s$  does not overlap with the  
333 subset defined by  $U_p$  and  $H_p$ , then there won't be any nanopublications on the peer server that this  
334 server is interested in, and we jump to step 9.
- 335 5. The server will start at position  $n$  to look for new nanopublications at server  $p$ :  $n$  is set to the total  
336 number of nanopublications of the last visit  $n'_p$ , or to 0 if there was no last visit (nanopublication  
337 counting starts at 0).
- 338 6. If the retrieved journal identifier  $j_p$  is different from  $j'_p$  (meaning that the server has been reset  
339 since the last visit),  $n$  is set to 0.
- 340 7. If  $n = n_p$ , meaning that there are no new nanopublications since the last visit, the server jumps to  
341 step 9.
- 342 8. All journal pages  $p$  starting from the one containing  $n$  until the end of the journal are downloaded  
343 one by one (considering the size of journal pages, which is by default 1000 nanopublications):
  - 344 (a) All nanopublication identifiers in  $p$  (excluding those before  $n$ ) are checked with respect to  
345 whether (A) they are covered by the visiting server's patterns  $U_s$  and  $H_s$  and (B) they are not  
346 already contained in the local store. A list  $l$  is created of all nanopublication identifiers of the  
347 given page that satisfy both, (A) and (B).
  - 348 (b) If the number of new nanopublications  $|l|$  exceeds a certain threshold (currently set to  
349 5), the nanopublications of  $p$  are downloaded as a gzipped package. Otherwise, the new  
350 nanopublications (if any) are requested individually.
  - 351 (c) The retrieved nanopublications that are in list  $l$  are validated using their trusty URIs, and all  
352 *valid* nanopublications are loaded to the server's nanopublication store and their identifiers  
353 are added to the end of the server's own journal. (Invalid nanopublications are ignored.)
- 354 9. The journal identifier  $j_p$  and the total number of nanopublications  $n_p$  for server  $p$  are remembered  
355 for the next visit, replacing the values of  $j'_p$  and  $n'_p$ .

356 The current implementation is designed to be run on normal Web servers alongside with other  
357 applications, with economic use of the server's resources in terms of memory and processing time. In  
358 order to avoid overload of the server or the network connection, we restrict outgoing connections to other  
359 servers to one at a time. Of course, sufficient storage space is needed to save the nanopublications (for  
360 which we currently use MongoDB), but storage space is typically much easier and cheaper to scale up than  
361 memory or processing capacities. The current system and its protocol are not set in stone but, if successful,  
362 will have to evolve in the future — in particular with respect to network topology and partial replication —  
363 to accommodate a network of possibly thousands of servers and billions of nanopublications.



**Figure 3.** Schematic example of nanopublication indexes

### 3.3 Nanopublication Indexes

To make the infrastructure described above practically useful, we have to introduce the concept of indexes. One of the core ideas behind nanopublications is that each of them is a tiny atomic piece of data. This implies that analyses will mostly involve more than just one nanopublication and typically a large number of them. Similarly, most processes will generate more than just one nanopublication, possibly thousands or even millions of them. Therefore, we need to be able to group nanopublications and to identify and use large collections of them.

Given the versatility of the nanopublication standard, it seems straightforward to represent such collections as nanopublications themselves. However, if we let such “collection nanopublications” contain other nanopublications, then the former would become very large for large collections and would quickly lose their property of being *nano*. We can solve part of that problem by applying a principle that we can call *reference instead of containment*: nanopublications cannot contain but only refer to other nanopublications, and trusty URIs allow us to make these reference links almost as strong as containment links. To emphasize this principle, we call them *indexes* and not collections.

However, even by only containing references and not the complete nanopublications, these indexes can still become quite large. To ensure that all such index nanopublications remain *nano* in size, we need to put some limit on the number of references, and to support sets of arbitrary size, we can allow indexes to be appended by other indexes. We set 1000 nanopublication references as the upper limit any single index can directly contain. This limit is admittedly arbitrary, but it seems to be a reasonable compromise between ensuring that nanopublications remain small on the one hand and limiting the number of nanopublications needed to define large indexes on the other. A set of 100,000 nanopublications, for example, can therefore be defined by a sequence of 100 indexes, where the first one stands for the first 1000 nanopublications, the second one appends to the first and adds another 1000 nanopublications (thereby representing 2000 of them), and so on up to the last index, which appends to the second to last and thereby stands for the entire set. In addition, to allow datasets to be organized in hierarchies, we define that the references of an index can also point to sub-indexes. In this way we end up with three types of relations: an index can *append to* another index, it can contain other indexes as *sub-indexes*, and it can contain nanopublications as *elements*. These relations defining the structure of nanopublication indexes are shown schematically in Figure 3. Index (a) in the shown example contains five nanopublications, three of them via sub-index (c). The latter is also part of index (b), which additionally contains eight nanopublications via sub-index (f). Two of these eight nanopublications belong directly to (f), whereas the remaining six come from appending to index (e). Index (e) in turn gets half of its nanopublications by appending to index (d). We see that some nanopublications may not be referenced by any index at all, while others may belong to several indexes at the same time.

Below we show how this general concept of indexes can be used to define sets of new or existing nanopublications, and how such index nanopublications can be published and their nanopublications retrieved.

### 3.4 Trusty Publishing

Let us consider two simple exemplary scenarios to illustrate and motivate the general concepts. To demonstrate the procedure and the general interface of our implementation, we show here the individual steps on the command line in a tutorial-like fashion, using the `np` command from the `nanopub-java`

405 library (Kuhn, 2015a). Of course, users should eventually be supported by graphical interfaces, but  
 406 command line tools are a good starting point for developers to build such tools. To make this example  
 407 completely reproducible, these are the commands to download and compile the needed code from a Bash  
 408 shell (requiring Git and Maven):

```
409 $ git clone https://github.com/Nanopublication/nanopub-java.git
410 $ cd nanopub-java
411 $ mvn package
```

412 And for convenience reasons, we can add the *bin* directory to the path variable:

```
413 $ PATH=`pwd`/bin:$PATH
```

414 To publish some new data, they have to be formatted as nanopublications. We use the TriG format here  
 415 and define the following RDF prefixes:

```
416 @prefix : <http://example.org/np1#>.
417 @prefix xsd: <http://www.w3.org/2001/XMLSchema#>.
418 @prefix dc: <http://purl.org/dc/terms/>.
419 @prefix pav: <http://purl.org/pav/>.
420 @prefix prov: <http://www.w3.org/ns/prov#>.
421 @prefix np: <http://www.nanopub.org/nschema#>.
422 @prefix ex: <http://example.org/>.
```

423 A nanopublication consist of three graphs plus the head graph. The latter defines the structure of the  
 424 nanopublication by linking to the other graphs:

```
425 :Head {
426   : a np:Nanopublication;
427   np:hasAssertion :assertion;
428   np:hasProvenance :provenance;
429   np:hasPublicationInfo :pubinfo.
430 }
```

431 The actual claim or hypothesis of the nanopublication goes into the assertion graph:

```
432 :assertion {
433   ex:mosquito ex:transmits ex:malaria.
434 }
```

435 The provenance and publication info graph provide meta-information about the assertion and the entire  
 436 nanopublication, respectively:

```
437 :provenance {
438   :assertion prov:wasDerivedFrom ex:mypublication.
439 }
440 :pubinfo {
441   : pav:createdBy <http://orcid.org/0000-0002-1267-0234>.
442   : dc:created "2014-07-09T13:54:11+01:00"^^xsd:dateTime.
443 }
```

444 The lines above constitute a very simple but complete nanopublication. To make this example a bit  
 445 more interesting, let us define two more nanopublications that have different assertions but are otherwise  
 446 identical:

```
447 @prefix : <http://example.org/np2#>.
448 ...
449 ex:Gene1 ex:isRelatedTo ex:malaria.
450 ...
451
452 @prefix : <http://example.org/np3#>.
453 ...
454 ex:Gene2 ex:isRelatedTo ex:malaria.
455 ...
```

456 We save these nanopublications in a file `nanopubs.trig`, and before we can publish them, we have to  
 457 assign them trusty URIs:

```
458 $ np mktrusty -v nanopubs.trig
459 Nanopub URI: http://example.org/np1#RAQoZ1p22LHIvtYqHCosPbUtX8yeGs1Y5AfcjMneLQ2I
460 Nanopub URI: http://example.org/np2#RAT5sw1SLyMbuD03KzJsYHV2oM1wRhluRxxMrvpkZCDUQ
461 Nanopub URI: http://example.org/np3#RAkvUpysi9Q13it1c6-iIJMG7YSt3-PI8dAJXcmfU71s
```

462 This gives us the file `trusty.nanopubs.trig`, which contains transformed versions of the three  
 463 nanopublications that now have `trusty` URIs as identifiers, as shown by the output lines above. Looking  
 464 into the file we can verify that nothing has changed with respect to the content, and now we are ready to  
 465 publish them:

```
466 $ np publish trustworthy.nanopubs.trig
467 3 nanopubs published at http://np.inn.ac/
```

468 For each of these nanopublications, we can check their publication status with the following command  
 469 (referring to the nanopublication by its URI or just its artifact code):

```
470 $ np status -a RAQoZlp22LHIvtYqHCosPbUtX8yeGs1Y5AfcjMneLQ2I
471 URL: http://np.inn.ac/RAQoZlp22LHIvtYqHCosPbUtX8yeGs1Y5AfcjMneLQ2I
472 Found on 1 nanopub server.
```

473 This is what you see immediately after publication. Only one server knows about the new nanopublication.  
 474 Some minutes later, however, the same command leads to something like this:

```
475 $ np status -a RAQoZlp22LHIvtYqHCosPbUtX8yeGs1Y5AfcjMneLQ2I
476 URL: http://np.inn.ac/RAQoZlp22LHIvtYqHCosPbUtX8yeGs1Y5AfcjMneLQ2I
477 URL: http://ristretto.med.yale.edu:8080/nanopub-server/RAQoZlp22LHIvtYqHCosPbU...
478 URL: http://nanopubs.stanford.edu/nanopub-server/RAQoZlp22LHIvtYqHCosPbUtX8yeG...
479 URL: http://nanopubs.semanticcience.org:8082/RAQoZlp22LHIvtYqHCosPbUtX8yeGs1Y...
480 URL: http://rdf.disgenet.org/nanopub-server/RAQoZlp22LHIvtYqHCosPbUtX8yeGs1Y5A...
481 URL: http://app.tkuhn.eculture.labs.vu.nl/nanopub-server-2/RAQoZlp22LHIvtYqHC...
482 URL: http://nanopubs.restdesc.org/RAQoZlp22LHIvtYqHCosPbUtX8yeGs1Y5AfcjMneLQ2I
483 URL: http://nanopub.backend1.scify.org/nanopub-server/RAQoZlp22LHIvtYqHCosPbUt...
484 URL: http://nanopub.exynize.com/RAQoZlp22LHIvtYqHCosPbUtX8yeGs1Y5AfcjMneLQ2I
485 Found on 9 nanopub servers.
```

486 Next, we can make an index pointing to these three nanopublications:

```
487 $ np mindex -o index.nanopubs.trig trustworthy.nanopubs.trig
488 Index URI: http://np.inn.ac/RAXsXUhY8iDbfDdY6sm64hRFPPr7eAwYXRlSsqQAz1LE14
```

489 This creates a local file `index.nanopubs.trig` containing the index, identified by the URI shown  
 490 above. As this index is itself a nanopublication, we can publish it in the same way:

```
491 $ np publish index.nanopubs.trig
492 1 nanopub published at http://np.inn.ac/
```

493 Once published, we can check the status of this index and its contained nanopublications:

```
494 $ np status -r RAXsXUhY8iDbfDdY6sm64hRFPPr7eAwYXRlSsqQAz1LE14
495 1 index nanopub; 3 content nanopubs
```

496 Again, after just a few minutes this nanopublication will be distributed in the network and available on  
 497 multiple servers. From this point on, everybody can conveniently and reliably retrieve the given set of  
 498 nanopublications. The only thing one needs to know is the artifact code of the `trusty` URI of the index:

```
499 $ np get -c RAXsXUhY8iDbfDdY6sm64hRFPPr7eAwYXRlSsqQAz1LE14
```

500 This command downloads the nanopublications of the index we just created and published.

501 As another exemplary scenario, let us imagine a researcher in the biomedical domain who is interested  
 502 in the protein CDKN2A and who has derived some conclusion based on the data found in existing  
 503 nanopublications. Specifically, let us suppose this researcher analyzed the five nanopublications specified  
 504 by the following artifact codes (they can be viewed online by appending the artifact code to the URL  
 505 `http://np.inn.ac/` or the URL of any other nanopublication server):

```
506 RAEoxLTy4pEJYbZwA9FuBJ6ogSquJobFitoFMbUmKBjH0
507 RAoMW0xMemwKEjCNWLFt8CgRmg_TGjfvSsh15hGfEmcz4
508 RA3BH_GncwEK_UXFGTvhCmVZ1hW775eupAccDdho5TioW
509 RA3HvJ69nO0mD5d4m4u-Oc4bpXlxIWYN6L3wvB9jntTXk
510 RASx-fnzWJzluqRDe6GVMWFeyWLok8S6nTNkyElwapwno
```

511 These nanopublications about the same protein come from two different sources: The first one is from  
 512 the BEL2nanopub dataset, whereas the remaining four are from neXtProt.<sup>4</sup> These nanopublications

<sup>4</sup>See <https://github.com/tkuhn/bel2nanopub> and <http://nextprot2rdf.sourceforge.net>, respectively, and Table 1



513 can be downloaded as above with the `np get` command and stored in a file, which we name here  
514 `cdkn2a-nanopubs.trig`.

515 In order to be able to refer to such a collection of nanopublications with a single identifier, a new  
516 index is needed that contains just these five nanopublications. This time we give the index a title (which is  
517 optional):

```
518 $ np mkindex -t "Data about CDKN2A from BEL2nanopub & neXtProt" \  
519 -o index.cdkn2a-nanopubs.trig cdkn2a-nanopubs.trig  
520 Index URI: http://np.inn.ac/RA6jrrPL2NxxFWlo6HFWaslufp00dZzS_XKwQDXpJg3CY
```

521 The generated index is stored in the file `index.cdkn2a-nanopubs.trig`, and our exemplary researcher  
522 can now publish this index to let others know about it:

```
523 $ np publish index.cdkn2a-nanopubs.trig  
524 1 nanopub published at http://np.inn.ac/
```

525 There is no need to publish the five nanopublications this index is referring to, because they are already  
526 public (this is how we got them in the first place). The index URI can now be used to refer to this new  
527 collection of existing nanopublications in an unambiguous and reliable manner. This URI can be included  
528 in the scientific publication that explains the new finding, for example with a reference like the following:

```
529 [1] Data about CDKN2A from BEL2nanopub & neXtProt. Nanopublication index http://np.i  
530 nn.ac/RA6jrrPL2NxxFWlo6HFWaslufp00dZzS\_XKwQDXpJg3CY, 14 April 2015.
```

531 In this case with just five nanopublications, one might as well refer to them individually, but this is  
532 obviously not an option for cases where we have hundreds or thousands of them. The given web link  
533 allows everybody to retrieve the respective nanopublications via the server `np.inn.ac`. The URL will  
534 not resolve should the server be temporarily or permanently down, but because it is a trusty URI we can  
535 retrieve the nanopublications from any other server of the network following a well-defined protocol  
536 (basically just extracting the artifact code, i.e. the last 45 characters, and appending it to the URL of  
537 another nanopublication server). This reference is therefore much more reliable and more robust than  
538 links to other types of data repositories. In fact, we refer to the datasets we use in this publication for  
539 evaluation purposes, as described below in Section 4, in exactly this way (NP Index [RAY\\_lQruua, 2015](#);  
540 NP Index [RACy0I4f\\_w, 2015](#); NP Index [RAR5dwELYL, 2015](#); NP Index [RAXy332hxq, 2015](#); NP  
541 Index [RAVEKRW0m6, 2015](#); NP Index [RAXF1G04YM, 2015](#); NP Index [RA7SuQ0e66, 2015](#)).

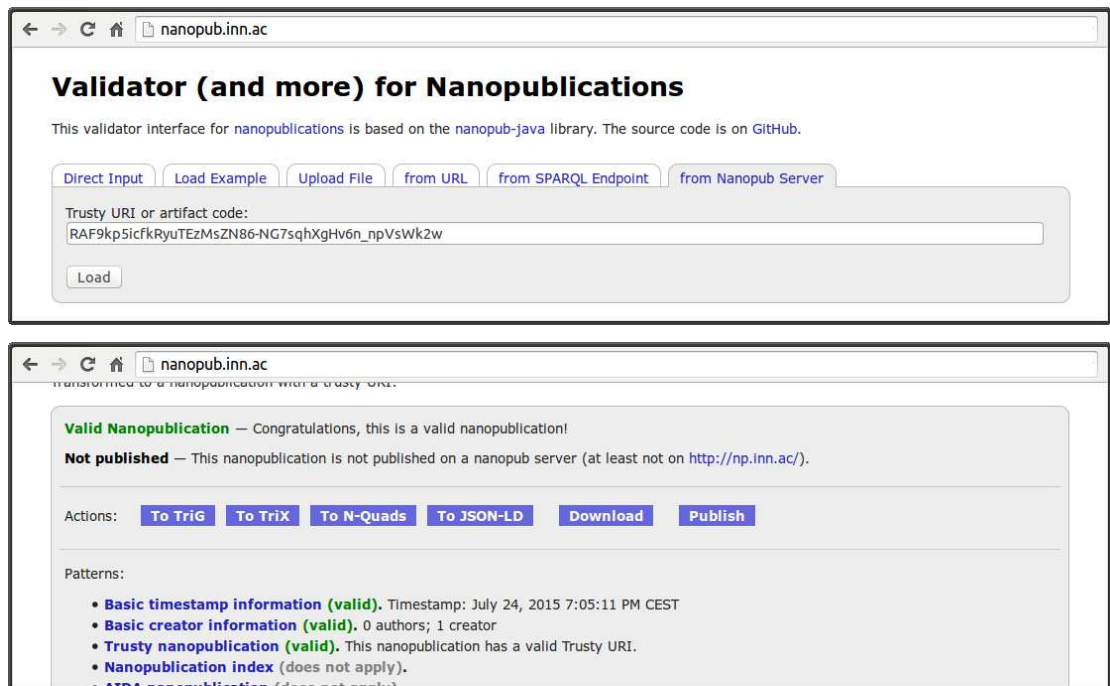
542 The new finding that was deduced from the given five nanopublications can, of course, also be  
543 published as a nanopublication, with a reference to the given index URI in the provenance part:

```
544 @prefix : <http://example.org/myfinding#>.
545 ...
546 @prefix nps: <http://np.inn.ac/>.
547 @prefix uniprot: <http://purl.uniprot.org/uniprot/>.
548 ...
549 :assertion {
550   uniprot:P42771 a ex:proteinWithPropertyX.
551 }
552 :provenance {
553   :assertion prov:wasInfluencedBy
554     nps:RA6jrrPL2NxxFWlo6HFWaslufp00dZzS_XKwQDXpJg3CY.
555 }
556 :pubinfo {
557   : pav:createdBy <http://orcid.org/0000-0002-1267-0234>.
558   : dc:created "2015-04-14T08:05:43+01:00"^^xsd:dateTime.
559 }
```

560 We can again transform it to a trusty nanopublication, and then publish it as above.

561 Some of the features of the presented command-line interface are made available through a web  
562 interface for dealing with nanopublications that is shown in Figure 4. The supported features include  
563 the generation of trusty URIs, as well as the publication and retrieval of nanopublications. The interface  
564 allows us to retrieve, for example, the nanopublication we just generated and published above, even though  
565 we used an `example.org` URI, which is not directly resolvable. Unless it is just about toy examples, we  
566 should of course try to use resolvable URIs, but with our decentralized network we can retrieve the data  
567 even if the original link is no longer functioning or temporarily broken.





**Figure 4.** The web interface of the nanopublication validator can load nanopublications by their trusty URI (or just their artifact code) from the anopublication server network. It also allows users to directly publish uploaded nanopublications.

## 568 4 EVALUATION

569 To evaluate our approach, we want to find out whether a small server network run on normal Web servers,  
 570 without dedicated infrastructure, is able to handle the amount of nanopublications we can expect to  
 571 become publicly available in the next few years. At the time the evaluation was performed, the server  
 572 network consisted of three servers in Zurich, New Haven, and Ottawa. Seven new sites in Amsterdam,  
 573 Stanford, Barcelona, Ghent, Athens, Leipzig, and Haverford have joined the network since. The current  
 574 network of 15 server instances on 10 sites (in 8 countries) is shown in Figure 5, which is a screenshot of a  
 575 nanopublication monitor that we have implemented<sup>5</sup>. Such monitors regularly check the nanopublication  
 576 server network, register changes (currently once per minute), and test the response times and the correct  
 577 operation of the servers by requesting a random nanopublication and verifying the returned data.

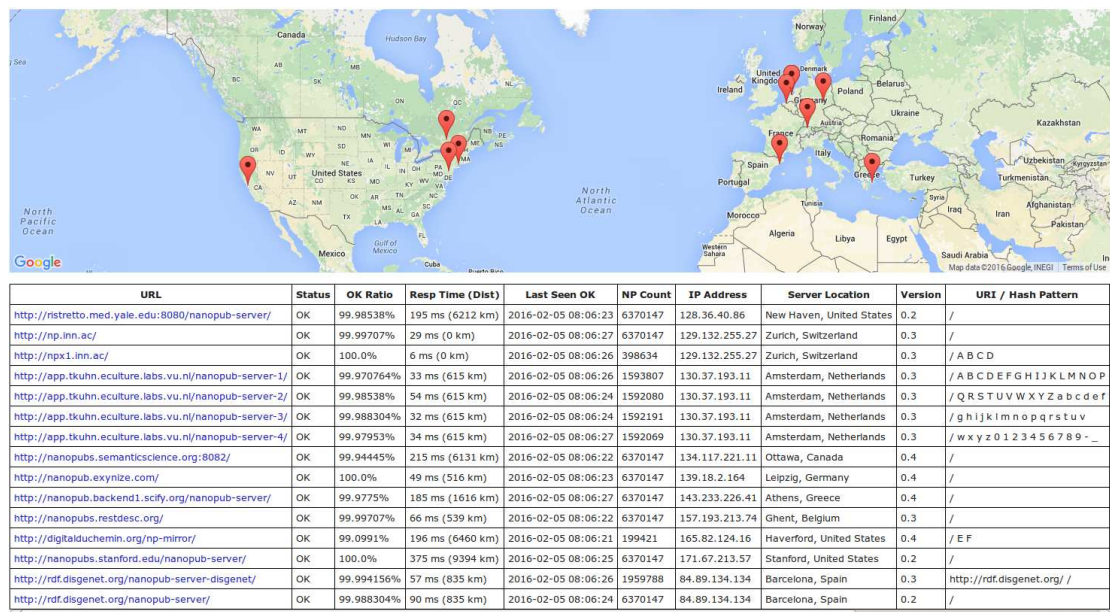
### 578 4.1 Evaluation Design

579 Table 1 shows seven existing nanopublication datasets, five of which we used for the first part of the  
 580 evaluation (the other two were not yet available at the time this evaluation was conducted). These five  
 581 datasets consist of a total of more than 5 million nanopublications and close to 200 million RDF triples,  
 582 including nanopublication indexes that we generated for each dataset. The total size of these five datasets  
 583 when stored as uncompressed TriG files amounts to 15.6 GB. Each of the datasets is assigned to one of the  
 584 three servers, where it is loaded from the local file systems. The first nanopublications start spreading to  
 585 the other servers, while others are still being loaded from the file system. We therefore test the reliability  
 586 and capacity of the network under constant streams of new nanopublications coming from different  
 587 servers, and we use two nanopublication monitors (in Zurich and Ottawa) to evaluate the responsiveness  
 588 of the network.

589 In the second part of the evaluation we expose a server to heavy load from clients to test its retrieval  
 590 capacity. For this we use a service called Load Impact<sup>6</sup> to let up to 100 clients access a nanopublication  
 591 server in parallel. We test the server in Zurich over a time of five minutes under the load from a linearly

<sup>5</sup><https://github.com/tkuhn/nanopub-monitor>

<sup>6</sup><https://loadimpact.com>



**Figure 5.** This screenshot of the nanopublication monitor interface (<http://npmonitor.inn.ac>) showing the current server network. It currently consists of 15 server instances on 10 physical servers in Zurich, New Haven, Ottawa, Amsterdam, Stanford, Barcelona, Ghent, Athens, Leipzig, and Haverford.

592 increasing number of clients (from 0 to 100) located in Dublin. These clients are programmed to request  
 593 a randomly chosen journal page, then to go through the entries of that page one by one, requesting the  
 594 respective nanopublication with a probability of 10%, and starting over again with a different page.  
 595 As a comparison, we run a second session, for which we load the same data into a Virtuoso SPARQL  
 596 endpoint on the same server in Zurich (with 16 GB of memory given to Virtuoso and two 2.40 GHz Intel  
 597 Xeon processors). Then, we perform exactly the same stress test on the SPARQL endpoint, requesting  
 598 the nanopublications in the form of SPARQL queries instead of requests to the nanopublication server  
 599 interface. This comparison is admittedly not a fair one, as SPARQL endpoints are much more powerful  
 600 and are not tailor-made for the retrieval of nanopublications, but they provide nevertheless a valuable and  
 601 well-established reference point to evaluate the performance of our system.

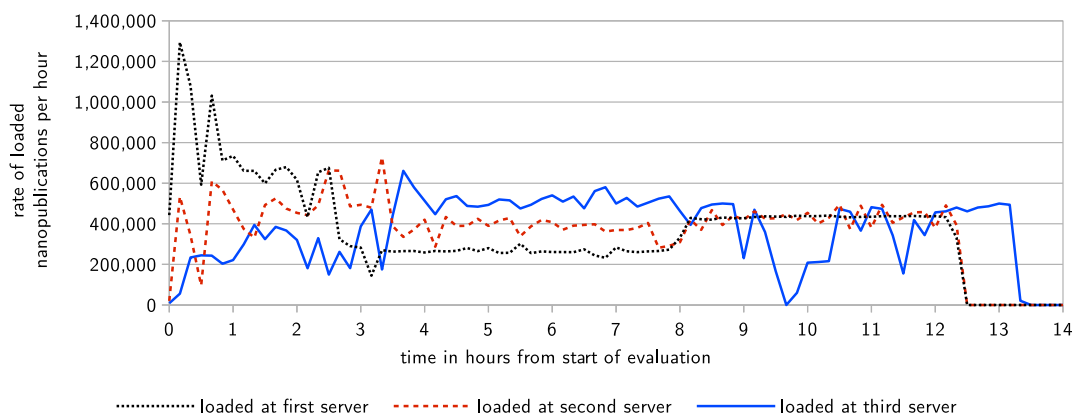
## 602 4.2 Evaluation Results

603 The first part of the evaluation lasted 13 hours and 21 minutes, at which point all nanopublications were  
 604 replicated on all three servers, and therefore the nanopublication traffic came to an end. Figure 6 shows  
 605 the rate at which the nanopublications were loaded at their first, second, and third server, respectively.  
 606 The network was able to handle an average of about 400,000 new nanopublications per hour, which  
 607 corresponds to more than 100 new nanopublications per second. This includes the time needed for loading  
 608 each nanopublication once from the local file system (at the first server), transferring it through the  
 609 network two times (to the other two servers), and for verifying it three times (once when loaded and  
 610 twice when received by the other two servers). Figure 7 shows the response times of the three servers as  
 611 measured by the two nanopublication monitors in Zurich (top) and Ottawa (bottom) during the time of  
 612 the evaluation. We see that the observed latency is mostly due to the geographical distance between the  
 613 servers and the monitors. The response time was always less than 0.21 seconds when the server was on  
 614 the same continent as the measuring monitor. In 99.77% of all cases (including those across continents)  
 615 the response time was below 0.5 seconds, and it was always below 1.1 seconds. Not a single one of the  
 616 4802 individual HTTP requests timed out, led to an error, or received a nanopublication that could not be  
 617 successfully verified.

618 Figure 8 shows the result of the second part of the evaluation. The nanopublication server was able to  
 619 handle 113,178 requests in total (i.e. an average of 377 requests per second) with an average response time  
 620 of 0.12 seconds. In contrast, the SPARQL endpoint answering the same kind of requests needed 100 times

dataset <i>name and citation</i>	# nanopublications		# triples		used for evaluation
	<i>index</i>	<i>content</i>	<i>index</i>	<i>content</i>	
GeneRIF/AIDA (NP Index RAY_lQruua, 2015)	157	156,026	157,909	2,340,390	✓
OpenBEL 1.0 (NP Index RACy0I4f_w, 2015)	53	50,707	51,448	1,502,574	✓
OpenBEL 20131211 (NP Index RAR5dwELYL, 2015)	76	74,173	75,236	2,186,874	✓
DisGeNET v2.1.0.0 (NP Index RAXy332hxq, 2015)	941	940,034	951,325	31,961,156	✓
DisGeNET v3.0.0.0 (NP Index RAVEKRW0m6, 2015)	1,019	1,018,735	1,030,962	34,636,990	
neXtProt (NP Index RAXF1G04YM, 2015)	4,026	4,025,981	4,078,318	156,263,513	✓
LIDDI (NP Index RA7SuQ0e66, 2015)	99	98,085	99,272	2,051,959	
<i>total</i>	6,371	6,363,741	6,444,470	230,943,456	5

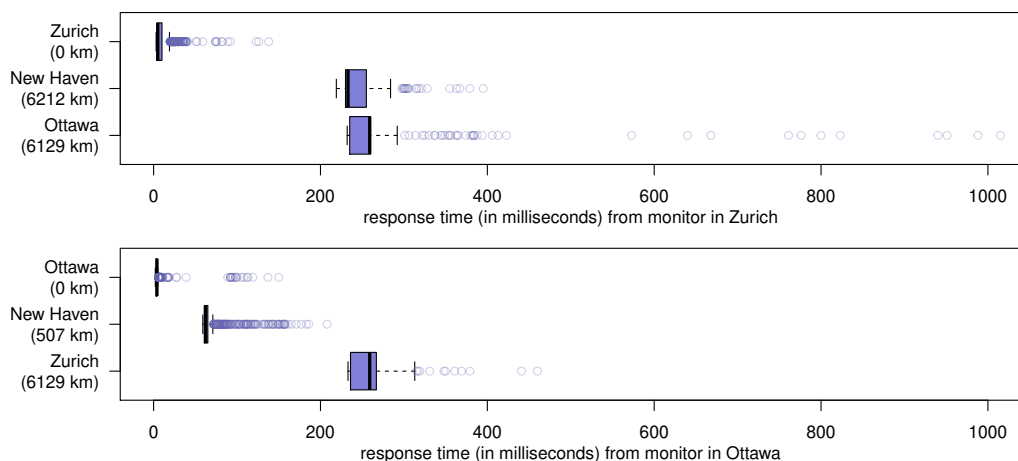
**Table 1.** Existing datasets in the nanopublication format that were used for the first part of the evaluation.



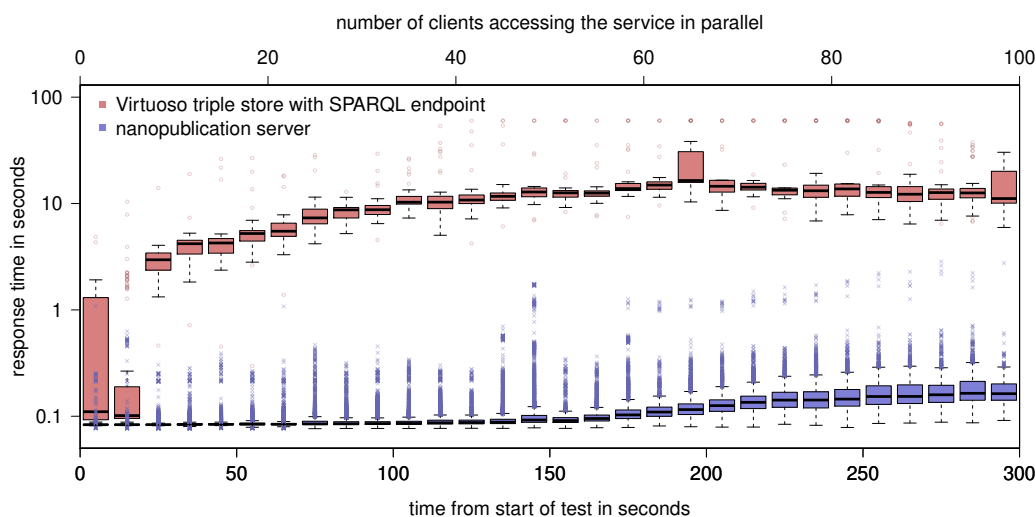
**Figure 6.** This diagram shows the rate at which nanopublications are loaded at their first, second, and third server, respectively, over the time of the evaluation. At the first server, nanopublications are loaded from the local file system, whereas at the second and third server they are retrieved via the server network.

621 longer to process them (13 seconds on average), consequently handled about 100 times fewer requests  
 622 (1267), and started to hit the timeout of 60 seconds for some requests when more than 40 client accessed  
 623 it in parallel. In the case of the nanopublication server, the majority of the requests were answered within  
 624 less than 0.1 seconds for up to around 50 parallel clients, and this value remained below 0.17 seconds all  
 625 the way up to 100 clients. As the round-trip network latency alone between Ireland and Zurich amounts  
 626 to around 0.03 to 0.04 seconds, further improvements can be achieved for a denser network due to the  
 627 reduced distance to the nearest server.

628 The first part of the evaluation shows that the overall replication capacity of the current server network  
 629 is around 9.4 million new nanopublications per day or 3.4 billion per year. The results of the second  
 630 part show that the load on a server when measured as response times is barely noticeable for up to 50  
 631 parallel clients, and therefore the network can easily handle  $50 \cdot x$  parallel client connections or more,  
 632 where  $x$  is the number of independent physical servers in the network (currently  $x = 10$ ). The second  
 633 part thereby also shows that the restriction of avoiding parallel outgoing connections for the replication  
 634 between servers is actually a very conservative measure that could be relaxed, if needed, to allow for a  
 635 higher replication capacity.



**Figure 7.** Server response times under heavy load, recorded by the monitors during the first evaluation



**Figure 8.** Results of the evaluation of the retrieval capacity of a nanopublication server as compared to a general SPARQL endpoint (note the logarithmic y-axis)

## 636 5 DISCUSSION AND CONCLUSION

637 We have presented here a low-level infrastructure for data sharing, which is just one piece of a bigger  
 638 ecosystem to be established. The implementation of components that rely on this low-level data sharing  
 639 infrastructure is ongoing and future work. This includes the development of “core services” (see Section  
 640 3.1) on top of the server network to allow people to find nanopublications and “advanced services” to  
 641 query and analyze the content of nanopublications. In addition, we need to establish standards and best  
 642 practices of how to use existing ontologies (and to define new ones where necessary) to describe properties  
 643 and relations of nanopublications, such as referring to earlier versions, marking nanopublications as  
 644 retracted, and reviewing of nanopublications.

645 Apart from that, we also have to scale up the current small network. As our protocol only allows for  
 646 simple key-based lookup, the time complexity for all types of requests is sublinear and therefore scales up  
 647 well. The main limiting factor is disk space, which is relatively cheap and easy to add. Still, the servers  
 648 will have to specialize even more, i.e. replicate only a part of all nanopublications, in order to handle really  
 649 large amounts of data. In addition to the current surface feature definitions via URI and hash patterns, a  
 650 number of additional ways of specializing are possible in the future: Servers can restrict themselves to



particular types of nanopublications, e.g. to specific topics or authors, and communicate this to the network in a similar way as they do it now with URI and hash patterns; inspired by the Bitcoin system, certain servers could only accept nanopublications whose hash starts with a given number of zero bits, which makes it costly to publish; and some servers could be specialized to new nanopublications, providing fast access but only for a restricted time, while others could take care of archiving old nanopublications, possibly on tape and with considerable delays between request and delivery. Lastly, there could also emerge interesting synergies with novel approaches to internet networking, such as Content-Centric Networking (Jacobson et al., 2012), with which — consistent with our proposal — requests are based on content rather than hosts.

We argue that data publishing and archiving can and should be done in a decentralized manner. We believe that the presented server network can serve as a solid basis for semantic publishing, and possibly also for the Semantic Web in general. It could contribute to improve the availability and reproducibility of scientific results and put a reliable and trustworthy layer underneath the Semantic Web.

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