

**A peer-reviewed version of this preprint was published in PeerJ on 15 March 2016.**

[View the peer-reviewed version](https://doi.org/10.7717/peerj.1803) (peerj.com/articles/1803), which is the preferred citable publication unless you specifically need to cite this preprint.

Huang M, Duan R, Wang S, Wang Z, Fan W. 2016. Species presence frequency and diversity in different patch types along an altitudinal gradient: *Larix chinensis* Beissn in Qinling Mountains (China) PeerJ 4:e1803 <https://doi.org/10.7717/peerj.1803>

# Species presence frequency and diversity in different patch types along an altitudinal gradient: *Larix chinensis* Beissn in Qinling Mountains (China)

Minyi Huang, Renyan Duan, Shixiong Wang, Zhigao Wang, Weiyi Fan

Forest communities are mosaic systems composed of patches classified into four different developmental patch types: gap patch (G), building patch (B), mature patch (M) and degenerate patch (D). To study the mechanisms maintaining diversity in subalpine coniferous forests, species presence frequency and diversity in the four distinct patch types (G, B, M and D) of *Larix chinensis* conifer forests at three altitudinal gradients in the Qinling Mountains were analyzed. Our results were as follows: (1) Different species (or functional groups) had distinct presence frequencies in the four different patch types along the altitudinal gradient. (2) Some species or functional groups (species groups sharing similar traits and response to environment) only occurred in some specific patches. For seed dispersal, species using wind mainly occurred in G and D, while species using small animals mainly occurred in B and M. (3) Species composition of adjacent patch types was more similar than non-adjacent patch types, based on the lower  $\beta$  diversity index of the former. (4) The maximum numbers of species and two diversity indices ( $D'$  and  $H'$ ) were found in the middle altitudes. Various gap-forming processes and dispersal limitation may be the two major mechanisms determining species diversity in subalpine coniferous forests.

**Species presence frequency and diversity in different patch types along an altitudinal gradient: *Larix chinensis* Beissn in Qinling Mountains (China)**

Min-Yi Huang<sup>1</sup>, Ren-Yan Duan<sup>1,2\*</sup>, Shi-Xiong Wang<sup>3</sup>, Zhi-Gao Wang<sup>1</sup>, Wei-Yi Fan<sup>2</sup>

<sup>1</sup> College of Life Sciences, Anqing Normal University, Anqing, 246011, Anhui, China

<sup>2</sup> College of Life Sciences, Shaanxi Normal University, Xi'an, 710062, Shaanxi, China

<sup>3</sup> School of Biological and Food Engineering, Suzhou University, Suzhou, 234000, Anhui, China

\*Corresponding author. Tel: +86-556-5708029; E-mail: duanrenyan78@163.com

**Running title:** species presence frequency and diversity in different patches

**Figure count:** 4

**Table count:** 3

15 **ABSTRACT** Forest communities are mosaic systems composed of patches classified into four different  
 16 developmental patch types: gap patch (G), building patch (B), mature patch (M) and degenerate patch (D). To  
 17 study the mechanisms maintaining diversity in subalpine coniferous forests, species presence frequency and  
 18 diversity in the four distinct patch types (G, B, M and D) of *Larix chinensis* conifer forests at three altitudinal  
 19 gradients in the Qinling Mountains were analyzed. Our results were as follows: (1) Different species (or  
 20 functional groups) had distinct presence frequencies in the four different patch types along the altitudinal  
 21 gradient. (2) Some species or functional groups (species groups sharing similar traits and response to  
 22 environment) only occurred in some specific patches. For seed dispersal, species using wind mainly occurred  
 23 in G and D, while species using small animals mainly occurred in B and M. (3) Species composition of  
 24 adjacent patch types was more similar than non-adjacent patch types, based on the lower  $\beta$  diversity index of  
 25 the former. (4) The maximum numbers of species and two diversity indices ( $D'$  and  $H'$ ) were found in the  
 26 middle altitudes. Various gap-forming processes and dispersal limitation may be the two major mechanisms  
 27 determining species diversity in *Larix chinensis* coniferous forests at the patch scale.

## 29 Introduction

30 Over the past century, numerous theories have been proposed to explain species coexistence (*Hutchinson,*  
31 *1957; Paoli et al., 2006; Hubbell, 2001*). Prominent among such mechanisms are niche partitioning theory  
32 (*Hutchinson, 1957, Paoli et al., 2006*) and unified neutral theory (*Hubbell, 2001*). The niche partitioning  
33 theory (*Hutchinson, 1957, Paoli et al., 2006*) argues that diversity exists because species partition the  
34 environment into unique niches that can vary over time or space, and this niche differences are essential for  
35 long-term coexistence. Recently, this mechanism has been challenged by the unified neutral theory (*Hubbell,*  
36 *2001*) that assumes species are functional equivalence without niche differences and, a series of stochastic  
37 events including dispersal limitation are the key factors in maintaining species coexistence. While these two  
38 theories undoubtedly contribute to local dynamics underlying coexistence, their relative contributions remain  
39 uncertain. Species coexistence is unlikely to be explained by any single theory, and instead several causes  
40 likely contribute to understand the patterns of biodiversity (*Chesson, 2000; Ghazoul & Sheil, 2010*).

41 Gaps play an important role in maintaining diversity, including 1) providing colonization sites for shade-  
42 intolerant, pioneer species in the community (*Silvestrini et al., 2015*); 2) partitioning heterogeneous resources,  
43 particularly light, for non-pioneer, shade-tolerant species (*Westbrook et al., 2011*); and 3) creating  
44 heterogeneity in understory resources crucial for plant regeneration in closed-canopy forests, allowing plants  
45 with contrasting strategies to coexist along resource gradients (*Forrester et al., 2014*). Most studies on the  
46 influence of gaps on species diversity, however, have ignored the development process of gap patch. Patch  
47 development processes make a crucial contribution to species composition and biodiversity in a wide variety of  
48 forest ecosystems (*Galanes & Thomlinson, 2009; Shimatani & Kubota, 2011; Asner et al., 2013; Duan et al.,*  
49 *2013; Král et al., 2014; Blackburn et al., 2014; Zenner et al., 2015*). When disturbances create gaps,

suppressed trees and natural regeneration species are released, and a sustained increase in growth is possible as a result of the favorable environmental conditions (*Blackburn et al., 2014; Sproull et al., 2015*). Natural forests may shift from gaps to a closed canopy, then to gaps again, and then to the expanded gap. Gaps in real natural forests usually do not develop into mature type according to a given sequence (*Watt, 1947*). For various gap-forming processes, canopy dynamics are diverse and patches change with time and space. Diverse patches present heterogeneous environmental factors providing the necessary ecological basis for the coexistence of species (or functional groups) with different ecological demands in their different life history stages (*Mejía-Domínguez et al., 2012*). Mosaic patches can further be subdivided: gap and non-gap (*Brokaw, 1982*); gap, non-gap and expanding gap; gap, closed canopy and gap adjacent areas (*Manabe & Yamamoto, 1997*); and closed canopy type (CC-Type), gradually closing type (GC-Type), expanding gap (GG-Type) and newly created gap type (NG-Type) (*Manabe et al., 2009*). A theory of forest dynamic suggests that patch development can be recognized as four patch types: gap patch, building patch, mature patch and degenerate patch (*Whitmore, 1989; Zang et al., 2005*). Further research on changes of species presence frequency and diversity in the four patch types is needed.

Recently, some studies also document that seed dispersal can determine species composition of plant communities (*Hubbell, 1999; Shen et al., 2009; Hu et al., 2012; Li et al., 2012*), distribution (*Caughlin et al., 2014*), population regeneration (*Rey & Alcántara, 2014*) and community dynamics (*Swamy & Terborgh, 2010*). Previous studies show that seed density declines rapidly with distance from the maternal tree (*Muller-Landau et al., 2008*). Species do not occupy all suitable patches for seed dispersal limitations (*Hubbell, 1999; Shen et al., 2009; Wright 2002; Wang et al., 2013*). The available seed pool is not efficient in reaching potential patches for recruitment in natural populations (*Hu et al., 2012; Li et al., 2012; Wang et al., 2013*). For example,

Li *et al.* (2012) collected seeds for only 52% of species and observed aggregated seed distribution of species among seed traps, which implied that there were strong dispersal limitations in this temperate forest in Northeast China. Knowledge of the seed dispersal in a natural forest at the patch scale is valuable for understanding the mechanisms maintaining diversity.

According to the importance of various gap-forming processes and seed dispersal in species composition, we can hypothesize that (1) species (or functional groups) have distinct presence frequencies in distinct types due to their specificity to heterogeneity of habitat patches; (2) various gap-forming processes and seed dispersal limitations can explain the maintenance mechanisms of species diversity within the forest community.

The *Larix chinensis* forest is mainly distributed in elevation from 2900 to 3400 m in the Qinling Mountains (Yan *et al.*, 2000). Natural disturbance intensity varies and includes small-scale disturbances in low altitudes (2900-3000 m), large-scale disturbances in high altitudes (3300-34200 m), and associated small-scale and large-scale disturbances in middle altitudes (3100-3200 m) (Duan *et al.*, 2009). Various disturbance types contribute to diverse gap development processes, forming complicated patch mosaics of four distinct types including gap patch (G), building patch (B), mature patch (M) and degenerate patch (D) (Duan *et al.*, 2013). This offers an opportunity to study the coexistence mechanisms of species diversity. Species presence frequency and species diversity of *L. chinensis* forest among the four distinct patches (G, B, M and D) along an altitudinal gradient were analyzed. The purpose of this study was: (1) to compare the species composition and similarities of different patch types; (2) to identify any differences in species diversity along the altitudinal gradient; and (3) to discuss the maintenance mechanisms of species diversity within the forest community at the patch scale.

## 92 Material and Methods

93 The Qinling Mountains (32°30'-34°45'N, 104°30'-112°45'E) constitute a huge physical obstacle for the  
94 south- and northward movement of air masses due to their east-to-west orientation and high elevation and are  
95 thus very important to the distribution of life zones in eastern part of China. The Mt. Taibai (the peak of the  
96 Qinling Mountains, 33°57'N, 107°45'E, 3767 m a.s.l.) is the highest mountain in eastern mainland China. In  
97 the Taibai Natural Reserve, the study forests extend from an elevation of 2900 to 3400 m on the southern slope.  
98 In the study area, *L. chinensis* and *Abies fargesii* are the dominant species; *Betula albo-sinensis*,  
99 *Rhododendron capitatum*, *Lonicera webbiana*, *Lonicera hispida*, *Spiraea alpinum*, *Salix cupularis*, *Potentilla*  
100 *arbuscula*, *Rosa tsinglingensis* and *Rhododendron clementinae* are the common companion species. Mountain  
101 grey-brown forest soil is the main soil type. The climate characteristics are cold winters (average temperature  
102 is about -3.6°C) and wet summers (mean precipitation is about 500 mm). The annual mean temperature and  
103 precipitation are 3.4 °C and 910.6 mm, respectively (Tang & Fang, 2006).

104 Our field studies were granted permission by the Administrative Office of Taibai Natural Reserve to  
105 conduct the research there. In 2012, three random sample plots (each 1 ha) were surveyed at low-altitude  
106 (2900-3000 m), mid-altitude (3100-3200 m), and high-altitude (3300-3400 m) in the Taibai Mountain Natural  
107 Reserve. In low altitude, the sample is near to tour route, and we can find the traces of human activity, such as  
108 some travel rubbishes and the artificial broken branches; while in middle altitude and high altitude, the sample  
109 is far from the tour route and we do not observe the mark of human activity. Every sample plot was divided  
110 into 400 grid quadrates (patches) of 5 m × 5 m and there were a total of 1200 patches of 5 m × 5 m at three  
111 altitudes. We investigated whether there were any gap-makers (i.e. branch-broken, trunk-broken, standing dead



and uprooted), and whether there were any old individuals. Each patch was numbered and investigated individually. Site factors and general features, such as mean tree height, average height of canopy trees, density, and coverage in *L. chinensis* forest sample plots at three altitudinal gradients are listed in Table 1. The standards for classifying the four patch types (G, B, M and D) and for studying the distribution of patch mosaics and the heterogeneity of light and temperature are provided in a previous publication (Duan *et al.*, 2013).

In 2014, to further study diversity and to reduce the effect of density and spatial autocorrelation on plant diversity, 40 patches at each altitudinal gradient in the same forests were chosen (ten of each patch type) according to the following standards: 1) the same patches were not adjacent, and 2) the same patches had similar environment factors (slope scope and aspect) and site factors (density and average height). In total, 120 patches were investigated at three altitudinal gradients. In each patch (5 × 5 m), tree species (diameter at the breast height, *DBH* > 5 cm) were investigated, and four subplots of 2 × 2 m and five subplots of 1 × 1 m were randomly established to investigate shrubs and herbs, respectively. For tree species, the *DBH*, height, numbers and coverage of each individual tree were measured. For the shrub and herbage species, the species names, numbers and coverage were recorded.

For comparing the difference of species diversity and richness in four patches, three indexes: the number of species per unit area (*S*), the Shannon-Wiener diversity index, equation 1 (*H'*, Magurran, 2004), and evenness (*J'*, Magurran, 2004) had been used, equation 1 and 2:

$$H' = -\sum_{i=1}^s (P_i \ln P_i) \quad (1)$$

$$J' = H' / \ln S \quad (2)$$

where  $H'$  is the Shannon–Weaver index and  $J'$  is the Pielou Evenness index.  $P_i = N_i/N$ ,  $N_i$  is the number of species  $i$  and  $N$  is the sum of all species found in each layer of stand.

For comparing and distinguishing the difference of species compositions among four different patch types at the three altitudes, the  $\beta$  diversity index was used, equation 3 (*Legendre & Legendre, 1998*):

$$CD = 1 - 2c/(a+b) \quad (3)$$

Where  $CD$  is species shared in both forest types and sample sites;  $a$  and  $b$  are species existing only in one patch; and  $c$  is species existing in both patches.

Functional groups are species groups sharing similar traits and response to environment sharing similar traits and response to environment (*Tilman et al., 1997*). Species and functional diversity are correlated; each was significant by itself, as was species diversity within functional groups. Measuring functional groups may provide a useful gauge of species or functional diversity (*Tilman et al., 1997*). Plant functional types can be defined as seed dispersal types and life form. Life forms are classified as annual grasses, perennial grasses, ferns, shrubs, and trees (*Nagaike et al., 1999*). In our study area, there are no vine species. In order to examine whether species occurred disproportionately in different patch types in different altitudes, each species was classified into six different patch groups: gap type (GS), building type (BS), mature type (MS), degeneration type (DS), not biased (generalist species, GES), and too infrequent for statistical analysis (infrequent species, INF), according to whether they appear disproportionately in special patches. The presence frequency differences of all species found in particular patch types were tested statistically using the Chi-square test and Fisher’s exact test based on the procedure of *Nagaike et al. (1999)*. In regards to seed dispersal mechanisms, plants can be classified into three main types, including wind, birds, and small animals (e.g., ants, rodents)

(Nagaike *et al.*, 2006). If species have several dispersal modes, those with fleshy fruits were classified as bird-dispersed and those with nuts as small animal-dispersed (Nagaike *et al.*, 2006).

Here, we mainly focused on the key effect of various gap-forming processes and dispersal limitation without considering the effect of other site factors (e.g. soil, land form and slope direction). We used one-way ANOVA analysis in STATISTICA 7.0 (StatSoft, Inc.; Tulsa, USA). Significant differences discussed had a probability (*P*) value <0.05. If the data did not follow a normal distribution or homogeneity of variance, they were analyzed with the Kruskal–Wallis test, instead of parametric ANOVA.

## Results

The presence frequencies of main woody plants showed significant differences among different patch types ( $p < 0.05$ ) (Table 2). Some species only existed in certain phases of forest patches. For example, *Lonicera hispidula* only existed in G at low and middle altitudes (Table 2), while other species were present in all types. Some species only occurred in certain altitudes. For example, *Rosa tsinglingensis* were found only in low altitudes (Table 2), while *Spiraea alpinum* and *Salix cupularis* were found only in high altitudes (Table 2). Even the dominant species, such as *L. chinensis* and *A. fargesii*, showed different presence frequencies. For example, *L. chinensis* showed the highest presence frequency in G, while *A. fargesii* had the lowest presence frequency in the same patch (Table 2).

At the three altitudinal gradients, the proportion of life form type among species in different patches varied (Figs.1-3). Ferns only occurred in B and M (Fig 1), while fewer GS and more INF species were found in M (Fig 2). Species using wind for seed dispersal mainly occurred in G and D, and species using small animals for seed dispersal mainly occurred in B and M. Species using birds showed no significant difference among the four patch types (Fig. 3).

In the three distribution altitudes, the number of species, diversity indices ( $D'$  and  $H'$ ) and evenness index ( $J'$ ) at the middle altitudes showed the highest values (Fig 4). Species diversity showed a humpback model in the forest cycle process (Fig. 4).

The analysis of the  $\beta$  diversity index also indicated large differences between different patch phases (Table 3). In the tree and shrub layer, species composition was almost the same between different patch types, while in the herb layer and the total, composition differed significantly between different patch types. In the herb layer and the total, the  $\beta$  diversity index of two adjacent patch types (G-B, B-M and M-D) was lower than that of non-adjacent patch types (G-M, G-D and B-D) (Table 3). For example, in the herb layer, the  $\beta$  diversity index between G and B was lower than that of the gap type and mature type, indicating that species composition was more similar at adjacent than at non-adjacent patch types.

## Discussion

At the three altitude ranges, there are various interference modes. At low altitudes, small-scale interferences (e.g. interspecific competition, intraspecific competition and human activities) dominate and in high altitudes, there large-scale interferences (e.g. snowstorm, strong wind) are more prominent. In middle altitudes, the greatest variability in interference types is found, including some small-scale interferences and some large-scale interferences (Duan *et al.*, 2009). Various interference types lead to high species diversity indices, which may be the main reason for the highest diversity indices at the middle altitudes, compared with low and high altitudes.

Various gap interferences change patch size and development, and cause habitat heterogeneity, leading to the different presence frequencies of species (Manabe *et al.*, 2009, Blackburn *et al.*, 2014, Král *et al.*, 2014).

When these disturbances are continuous (e.g., gap expansions), the series of the events (gap-forming process) become quite diverse (Manabe *et al.*, 2009, Galanes & Thomlinson, 2009). Various progresses of gap formation and development form a complicated patch mosaic (Manabe *et al.*, 2009). Our previous study documented the mosaic-complex forest community in this subalpine coniferous forest and found diverse patch distribution percentages and distribution patterns at three different altitudinal gradients (Duan *et al.*, 2013). This forest community is composed of a series of small, shifting mosaic patches of various types. We also observed the gap development processes were various. For example, 1) Twelve adjacent patches in low altitude studied were in G patch in 2006, but they were in different patch types (three B patches, five M patches, two D patches and two G patches) in 2014; and 2) The patches with adjacent developments (such as G-B, B-M and M-D) were not always adjacent. For example, not only B patches but also other patch types (such as the M and D patches) were around the G patch (Duan *et al.*, 2013), which may indicate the gap development processes is various. Diverse gap development processes indicate that the old-growth subalpine coniferous forest is not a simple aggregation with different patches. Each patch has experienced different disturbance regimes with various spatial scales (per unit area) and frequencies (per unit time), and thus each patch has a diverse stand structure.

Diverse gap development processes relying on small-scale disturbances form the complicated patch mosaic, which is one of the main factors in maintaining the heterogeneous microenvironment in different patches. However, there are limited micro-environmental conditions and topographic diversity in every 1-ha subalpine coniferous forest stand. Our earlier study on the same forest demonstrated that different patches have a heterogeneous microenvironment, including differences in light, air temperature and soil temperature (Duan *et al.*, 2013). These heterogeneous environmental factors can play an important role in the growth and

regeneration of plants in different patch types with different ecological characteristics. Heterogeneous patches show selectivity for species of a diversity sizes and functions (*Mejía-Domínguez et al., 2012*). Our present results support and enrich those of previous studies. For example, we observed that species frequency and composition, and functional group composition in different patches at the three altitudinal gradients were significantly different. The different species diversity among the four patch types (G, B, M and D) may be a result of a micro-environmental heterogeneity, both vertically and horizontally, created by diverse gap development processes. These results indicate that these diverse gap development processes are beneficial for the maintenance of species diversity in subalpine coniferous forests.

Species diversity in different patches can also be affected by species dispersal limitations (*Hu et al., 2012, Hubbell, 1999; Ehrlen & Eriksson, 2000*). We observed that diversity in the same developmental stage had a larger standard deviation in every sample and a significant difference among different samples, although patches at the same developmental stage had a similar micro-environment. Our results indicate that dispersal limitation may play a key role. Because of dispersal limitations, available seeds are not efficient in arriving at potential patches for regeneration and recruitment in natural populations, and species can not occupy all suitable patches (*Hu et al., 2012*). We also observed that some species, such as *L. hispida*, fern, and INF species, only occur in particular habitats. Interestingly, a large number of these species can be found in all patches near our study site. These results indicate that establishment and regeneration of plants may fail for reasons other than patch unsuitability. We presume this may be caused by dispersal limitations rather than species specificity for patches. Other studies support this idea. For example, Ehrlen & Eriksson (2000) suggest that species do not exhibit higher emergence, establishment and survival in their occupied suitable patches compared to unoccupied patches, regarding patches in which at least one seedling survived to the third year in

48 patches in seven temperate forest herbs. In our study, the dominant tree species, *A. fargesii* (a shade-tolerant species) and *L. chinensis* (a light-demanding species), can occur in all the patches, but field surveys suggested that saplings of *A. fargesii* were present in all patches and the seedlings of *L. chinensis* only occurred in the gap type.

Species with different regeneration characteristics, whose seedlings may be found in a specific microenvironment, can share continuous canopy layer space in the adult stage, adding species diversity in the community (Manabe et al., 2009; Forrester et al., 2014; Blackburn et al., 2014). In our study, the similarities of species compositions in non-neighboring and neighboring patch types are higher than for non-neighboring patch types, which implies that most patches involve gradual change, and there exists a certain dispersal limitation among non-neighboring patch types. Recently, many empirical and theoretical studies have provided strong support for the hypothesis that dispersal limitation plays a critical role in the maintenance of species diversity in community processes (Galanes & Thomlinson, 2009; Hubbell, 1999; Shen et al., 2009; Hu et al., 2012; Li et al., 2012; Wang et al., 2013). For example, dispersal limitation has been reported as the main factor controlling tree species diversity at early patch stages in a northern edge of the Asian tropical rain forests in China (Hu et al., 2012). Some studies suggest that the spatial distribution is affected by seed dispersal, germination and the formation of sapling banks (Manabe et al., 2009; Li et al., 2012; Wang et al., 2013). These studies on dispersal limitation mainly come from a large scale (>25 ha) and/or long-time (>3a) dynamic research, while our research documented that there lied seed dispersal limitation at a small scale (1 ha), which provides additional evidence in a small scale about diffusion restriction theory.

## Conclusions

*Larix chinensis* conifer forests can be divided into four patch types: gap patch (G), building patch (B),

256 mature patch (M) and degenerate patch (D). Species composition, presence frequency and diversity differed  
 257 not only in different patches, but also in the same patches in the forests. Diverse gap-forming processes relying  
 258 on natural disturbances form the complicated patch mosaic, which may be the main factors in maintaining the  
 259 diversity in different patches, while seed dispersal limitations play a key role in maintaining the diversity in the  
 260 same patches. Various gap-forming processes caused by various disturbance modes and seed dispersal  
 261 limitations can determine species composition and coexistence at the patch scale.



## References

- Asner GP, Kellner JR, Kennedy-Bowdoin T, Knapp DE, Anderson C, Martin RE. 2013. Forest canopy gap distributions in the southern Peruvian Amazon. *PloS one* 8: e60875.
- Blackburn GA, Abd Latif Z, Boyd DS. 2014. Forest disturbance and regeneration: a mosaic of discrete gap dynamics and open matrix regimes? *Journal of Vegetation Science* 25: 1341-1354.
- Brokaw NVL. 1982. The definition of treefall gap and its effect on measures of forest dynamics. *Biotropica* 14: 158-160.
- Caughlin TT, Ferguson JM, Lichstein JW, Bunyavejchewin S, Levey DJ. 2014. The importance of long-distance seed dispersal for the demography and distribution of a canopy tree species. *Ecology* 95: 952-962.
- Chesson P. 2000. Mechanisms of maintenance of species diversity. *Annual Review of Ecology Evolution and Systematics* 31: 343-366.
- Duan RY, Huang MY, Wang XA. 2013. Forest patch dynamics initiated by disturbance: distribution pattern of *Larix chinensis* (bessen) patches along the altitudinal gradient in relation to light and temperature conditions (Qinling Mts. China). *Polish Journal of Ecology* 61:225-235.
- Duan RY, Wang XA, Tu YB, Huang MY, Wang C, Zhu ZH, Guo H. 2009. Recruitment pattern of tree populations along an altitudinal gradient: *Larix chinensis* beissn in Qinling mountains (China). *Polish Journal of Ecology* 57: 453-461.
- Ehrlen J, Eriksson O. 2000. Dispersal limitation and patch occupancy in forest herbs. *Ecology* 81: 1667-1674.
- Forrester JA, Lorimer CG, Dyer JH, Gower ST, Mladenoff DJ. 2014. Response of tree regeneration to experimental gap creation and deer herbivory in north temperate forests. *Forest Ecology and Management*, 329: 137-147.

- 284 Galanes IT, Thomlinson JR. 2009. Relationships between spatial configuration of tropical forest patches and  
285 woody plant diversity in northeastern Puerto Rico. *Plant Ecology* 201:101–113.
- 286 Ghazoul J, Sheil D. 2010. Tropical rain forest ecology, diversity, and conservation. Oxford University Press.
- 287 Hu YH, Sha LQ, Blanchet FG, Zhang JL, Tang Y, Lan GY, Cao M. 2012. Dominant species and dispersal  
288 limitation regulate tree species distributions in a 20-ha plot in Xishuangbanna, southwest China. *Oikos*  
289 121:952-960.
- 290 Hubbell SP. 1999. Tropical tree richness and resource based niches. *Science* 285: 1495-1496.
- 291 Hubbell SP. 2001. The Unified Neutral Theory of Biodiversity and Biogeography. Princeton, NJ: Princeton  
292 University Press.
- 293 Hutchinson GE. 1957. Population studies: animal ecology and demography: concluding remarks. *Cold Spring*  
294 *Harbor Symposia on Quantitative Biology* 22:415-427.
- 295 Král K, McMahon SM, Janík D, Adam D, Vrška T. 2014. Patch mosaic of developmental stages in central  
296 European natural forests along vegetation gradient. *Forest Ecology and Management* 330: 17-28.
- 297 Legendre P, Legendre L. 1998. Numerical ecology (2nd English edition). Elsevier, Amsterdam.
- 298 Li B, Hao Z, Bin Y, Zhang J, Wang M. 2012. Seed rain dynamics reveals strong dispersal limitation, different  
299 reproductive strategies and responses to climate in a temperate forest in northeast China. *Journal of*  
300 *Vegetation Science* 23: 271-279.
- 301 Magurran AE. 2004. Measuring biological diversity. Oxford: Blackwell.
- 302 Manabe T, Yamamoto S. 1997. Spatial distribution of *Eurya japonica* in an old-growth evergreen broad-  
303 leaved forest, SW Japan. *Journal of Vegetation Science* 8: 761-772.
- 304 Manabe T, Shimatani K, Kawarasaki S, Aikawa S, Yamamoto S. 2009. The patch mosaic of an old-growth

- 305 warm-temperate forest: patch-level descriptions of 40-year gap-forming processes and community structures.
- 306 *Ecological Research* 24: 575-586.
- 307 Mejía-Domínguez N.R., Meave J.A., Díaz-Ávalos C. 2012. Spatial structure of the abiotic environment and its
- 308 association with sapling community structure and dynamics in a cloud forest. *International Journal of*
- 309 *Biometeorology* 56: 305-318.
- 310 Muller-Landau HC, Wright SJ, Caldero'n O, Condit R, Hubbell SP. 2008. Interspecific variation in primary
- 311 seed dispersal in a tropical forest. *Journal of Ecology* 96: 653-667.
- 312 Nagaike T, Hayashi A, Kubo M, Abe M, Arai N. 2006. Plant species diversity in a managed forest landscape
- 313 composed of *Larix kaempferi* plantations and abandoned coppice forests in central Japan. *Forest Science* 52:
- 314 324-332.
- 315 Nagaike T, Kamitani T, Nakashizuka T. 1999. The effect of shelterwood logging on the diversity of plant
- 316 species in a Beech (*Fagus crenata*) forest in Japan. *Forest Ecology and Management* 118:161-171.
- 317 Paoli GD, Curran LM, Zak DR. 2006. Soil nutrients and beta diversity in the Bornean Dipterocarpaceae:
- 318 evidence for niche partitioning by tropical rain forest trees. *Journal of Ecology* 94: 157-170.
- 319 Rey PJ, Alcántara JM. 2014. Effects of habitat alteration on the effectiveness of plant-avian seed dispersal
- 320 mutualisms: Consequences for plant regeneration. *Perspectives in Plant Ecology, Evolution and Systematics*
- 321 16: 21-31.
- 322 Shen G, Yu M, Hu XS, Mi X, Ren H, Sun IF, Ma K. 2009. Species-area relationships explained by the joint
- 323 effects of dispersal limitation and habitat heterogeneity. *Ecology* 90: 3033-3041.
- 324 Shimatani IK, Kubota Y. 2011. The spatio-temporal forest patch dynamics inferred from the fine-scale
- 325 synchronicity in growth chronology. *Journal of Vegetation Science* 22:334-345.

- 326 Silvestrini M, McCauley DE, Zucchi MI, dos Santos FAM. 2015. How do gap dynamics and colonization of a  
327 human disturbed area affect genetic diversity and structure of a pioneer tropical tree species?. *Forest*  
328 *Ecology and Management* 344: 38-52.
- 329 Sproull GJ, Quigley MF, Sher A, González E. 2015. Long-term changes in composition, diversity and  
330 distribution patterns in four herbaceous plant communities along an elevational gradient. *Journal of*  
331 *Vegetation Science* 26: 552-563.
- 332 Swamy V, Terborgh JW. 2010. Distance-responsive natural enemies strongly influence seedling establishment  
333 patterns of multiple species in an Amazonian rain forest. *Journal of Ecology* 98:1096-107.
- 334 Tang ZY, Fang JY. 2006. Temperature variation along the northern and southern slopes of Mt. Taibai, China.  
335 *Agricultural and Forest Meteorology* 139: 200-207.
- 336 Tilman D, Knops J, Wedin D, Reich P, Ritchie M, Siemann E. 1997. The influence of functional diversity and  
337 composition on ecosystem processes. *Science* 277: 1300-1302.
- 338 Wang X, Swenson NG, Wiegand T, Wolf A, Howe R, Lin F, Ye J, Yuan Z, Shi S, Bai X, Xing D, Hao Z.  
339 (2013). Phylogenetic and functional diversity area relationships in two temperate forests. *Ecography* 36:  
340 883-893.
- 341 Watt AS. 1947. Pattern and process in the plant community. *The Journal of Ecology* 35: 1-22.
- 342 Westbrook JW, Kitajima K, Burleigh JG, Kress WJ, Erickson DL, Wright SJ. 2011. What makes a leaf tough?  
343 Patterns of correlated evolution between leaf toughness traits and demographic rates among 197 shade-  
344 tolerant woody species in a Neotropical forest. *The American Naturalist* 177: 800-811.
- 345 Whitmore TC. 1989. Changes over twenty-one years in the Kolombangara rain forests. *Journal of Ecology* 77:  
346 469-483.

- 347 Wright SJ. 2002. Plant diversity in tropical forests: a review of mechanisms of species coexistence. *Oecologia*  
348 130: 1-14.
- 349 Yan G., Zhao G., Yue M. (2000). Population structure and dynamics of *Larix chinensis* in Qinling Mountain.  
350 *The Journal of Applied Ecology* 12: 824-828.
- 351 Zang RG, Tao JP, Li CY. 2005. Within community patch dynamics in a tropical montane rain forest of Hainan  
352 Island, South China. *Acta Oecologica* 28: 39-48.
- 353 Zenner EK, Sagheb-Talebi K, Akhavan R, Peck JE. 2015. Integration of small-scale canopy dynamics  
354 smoothes live-tree structural complexity across development stages in old-growth Oriental beech (*Fagus*  
355 *orientalis* Lipsky) forests at the multi-gap scale. *Forest Ecology and Management* 335: 26-36.

## 357 TABLES and FIGURES

358 **Table 1** Site factors and general features in the *L. chinensis* forest.

359 **Table 2** The presence frequencies (%) of ten main woody plants in different patch types of the forest cycle in  
360 the *L. chinensis* forest. For each comparison, different letters indicate means with a significant difference (LSD  
361 test,  $P < 0.05$ ,  $a > b > c > d$ ) between different patch types (G, B, M and D) at the same altitude. G: gap patch, B:  
362 building patch, M: mature patch, D: degenerate patch.

363 **Table 3**  $\beta$  diversity index in different patch types of the forest cycle in the *L. chinensis* forest. G: gap patch, B:  
364 building patch, M: mature patch, D: degenerate patch.

365 **Fig.1** Changes in species compositional proportion of plant life forms in the *L. chinensis* forest. G: gap patch,  
366 B: building patch, M: mature patch, D: degenerate patch.

367 **Fig.2** Changes of species compositional proportion of functional groups in the *L. chinensis* forest. G: gap patch,  
368 B: building patch, M: mature patch, D: degenerate patch.

369 GS: species mainly present in gap patches, BS: species mainly present in building patches, MS: species mainly  
370 present in mature patches, DS: species mainly present in degeneration patches, GES: generalist species, INF:  
371 infrequent species.

372 **Fig. 3** Species seed dispersal types in *L. chinensis* forest. G: gap patch, B: building patch, M: mature patch, D:  
373 degenerate patch.

374 **Fig.4** Species diversity indices for species in different patch types of the forest cycle in the *L. chinensis* forest.  
375 For each comparison, different letters indicate mean with a significant difference (LSD test,  $P < 0.05$ ,  $a > b > c > d$ )  
376 between different patch types (G, B, M and D) at the same altitude. G: gap patch, B: building patch, M: mature

377 patch, D: degenerate patch.

379 Table 1

Altitude transect (m)	Slope slope (°)	Aspects	Mean tree height (m)	Average height of canopy trees (m)	Density (No.ha <sup>-1</sup> )	Canopy coverage (%)
Low-altitude (2900-3000)	12–25	S	12.1	16.5	1695	70
Mid-altitude (3100-3200)	8–20	S	6.4	8.6	2342	41
High-altitude (3300-3400)	10–28	S	2.8	4.1	817	21



381 Table 2

Species	Patch	Low-altitude	Mid-altitude	High-altitude
<i>Larix chinensis</i>	G	83.3±7.6 a	93.1±12.9 a	96.1±9.5 a
	B	48.2±9.2 c	75.1±10.5 b	64.1±15.3 b
	M	64.1±8.9 b	90.4±14.3 a	93.8±9.6 a
	D	79.2±9.8 a	91.8±7.2 a	90.7±13.2 a
<i>Abies fargesii</i>	G	44.6±5.2 c	18.5±8.3 c	—
	B	72.8±8.1 b	42.4±7.3 b	—
	M	82.1±14.1 a	70.9±8.2 a	—
	D	50.1±6.3 c	47.8±7.6 b	—
<i>Betula albosinensis</i>	G	68.2±13.9 a	19.2±3.7 a	—
	B	6.3±2.6 c	8.8±5.1 bc	—
	M	8.1±2.3 c	6.2±1.9 c	—
	D	16.5±7.3 b	10.3±5.2 b	—
<i>Lonicera webbiana</i>	G	46.3±6.2 a	34.3±8.9 a	—
	B	23.5±5.1 b	28.2±7.4 a	—
	M	45.9±4.7 a	5.7±3.2 c	—
	D	43.6±8.9 a	15.1±2.1 b	—
<i>Lonicera hispidula</i>	G	12.4±6.5	16.5±8.7	—
	B	—	—	—
	M	—	—	—
	D	—	—	—
<i>Spiraea alpinum</i>	G	—	—	18.1±4.2 a
	B	—	—	9.2±3.6 b
	M	—	—	4.4±1.7 c
	D	—	—	16.5±5.5 a
<i>Salix cupularis</i>	G	—	—	56.3±7.6 a
	B	—	—	15.2±6.7 c
	M	—	—	8.3±2.9 d
	D	—	—	40.9±3.1 b
<i>Rhododendron capitatum</i>	G	36.2±6.1 a	48.1±8.0 a	74.7±9.8 a
	B	10.1±3.7 b	39.8±5.6 a	70.5±6.9 a
	M	—	3.1±2.9 b	39.2±6.4 b
	D	—	9.3±4.5 b	48.7±9.1 b
<i>Potentilla arbuscula</i>	G	33.3±9.1 a	27.2±8.1 a	45.7±5.2 a
	B	18.0±9.8 bc	18.2±6.4 b	16.8±6.0 c
	M	10.9±6.6 c	21.1±7.8 ab	20.5±11.2 c
	D	21.7±7.0 b	23.7±6.6 ab	31.9±10.9 b
<i>Rosa tsinglingensis</i>	G	10.7±4.4 a	—	—

B	9.1±3.0 a	—	—
M	5.7±2.9 b	—	—
D	8.3±4.8 ab	—	—

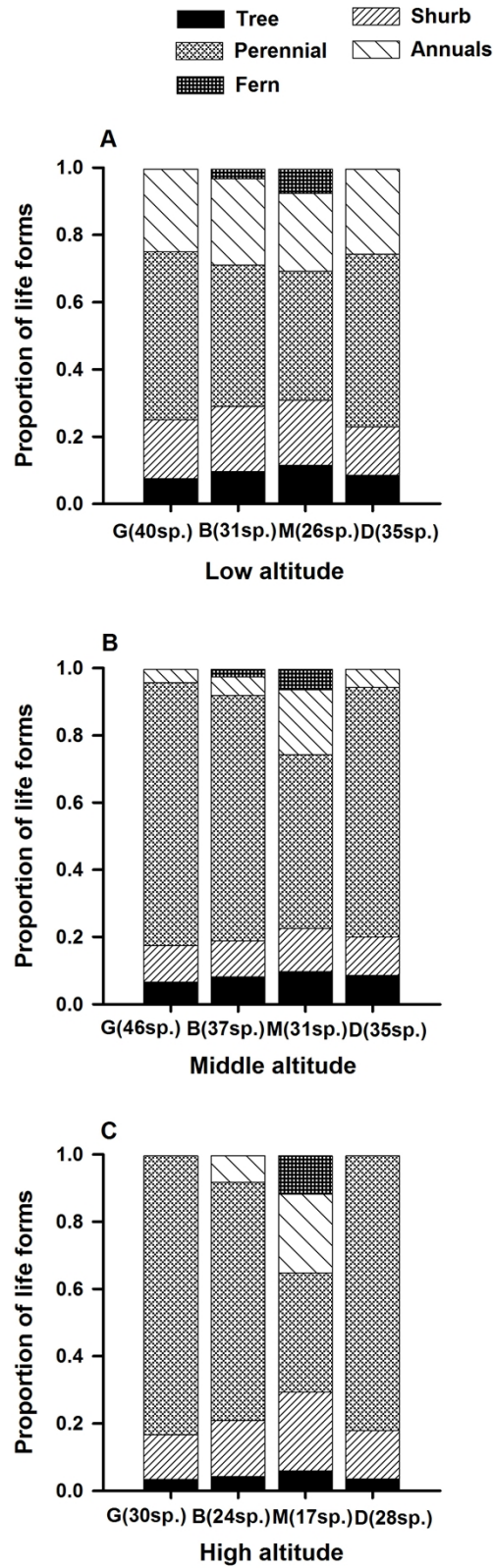
---

383 Table 3

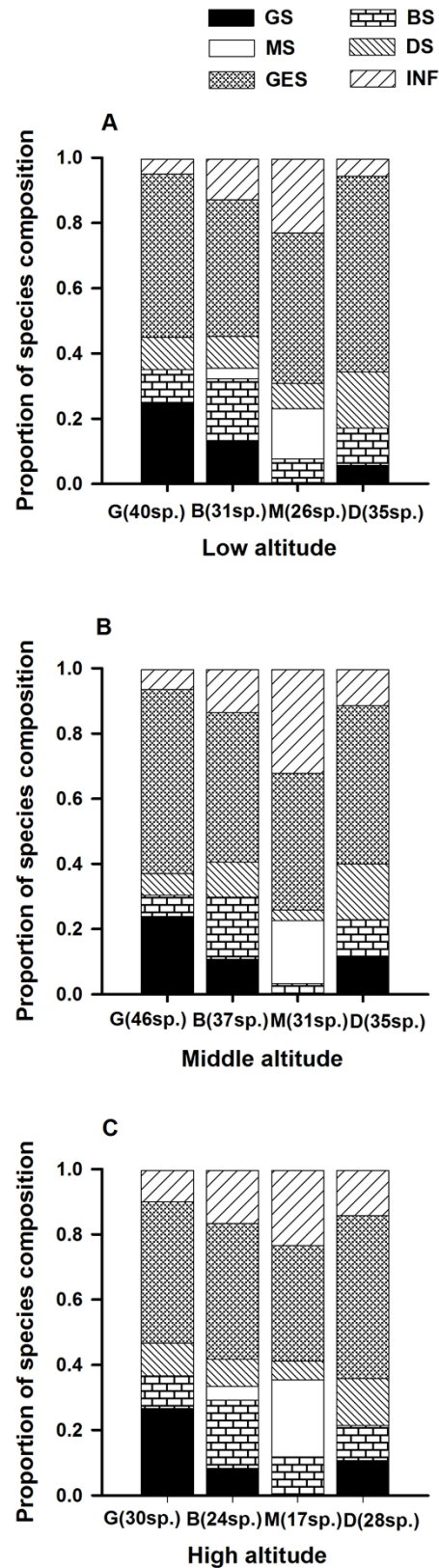
	Patch	Tree layer			Shrub layer			Herb layer			Total		
		B	M	D	B	M	D	B	M	D	B	M	D
Low altitude	G	0	0	0	0.08	0.17	0.17	0.35	0.58	0.16	0.27	0.45	0.15
	B		0	0		0.09	0.09		0.35	0.39		0.26	0.30
	M			0			0			0.64			0.48
Middle altitude	G	0	0	0	0.11	0.11	0.11	0.32	0.65	0.30	0.28	0.53	0.26
	B		0	0		0	0		0.52	0.10		0.41	0.08
	M			0			0			0.54			0.42
High altitude	G	0	0	0	0	0	0	0.32	0.62	0.17	0.26	0.49	0.14
	B		0	0		0	0		0.42	0.24		0.32	0.19
	M			0			0			0.54			0.42

384

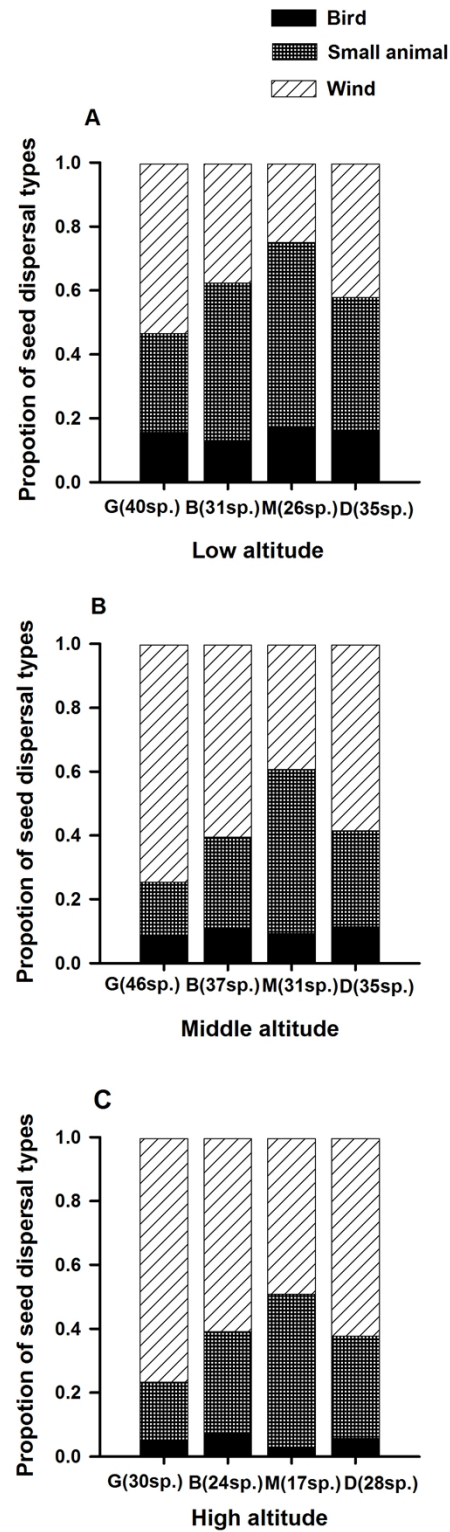
386 Fig.1



389 Fig.2



392 Fig.3



393



395 Fig.4

