

1 **Pyknotic chromatin in mitonucleons elevating in syncytia**
2 **undergo karyorhexis and karyolysis before coalescing into**
3 **an irregular chromatin mass: Differentiation of Epithelial**
4 **Domes, Part 2**

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12

13 Abstract

14 Pyknosis, karyorrhexis and karyolysis, harbingers of programmed cell death in many
15 systems, appear to be driving forces that transform Ishikawa monolayer epithelial
16 cells into differentiated dome cells. The heterochromatin affected by these process is
17 contained in multiple nuclei aggregated in the syncytia that form when Ishikawa
18 monolayers are stimulated to differentiate (Fleming, 2016a). The nuclear aggregates
19 are enveloped in a double membrane staining for the endogenous biotin in
20 mitochondrial carboxylases. The structure called a mitonucleon becomes vacuolated,
21 along with the heterochromatin it envelops, and this structure elevates with the
22 apical membrane of the syncytium 6 to 8 hours into the 20 hour differentiation,
23 becoming increasingly pyknotic. This phase of the differentiation comes to an end
24 when the mitonucleon membranes are breached and nuclei emerging from the
25 aggregated state can be seen to fragment explosively. Fragmented DNA associates
26 with an array of microtubules, filling the large central clearing of the predome. Some
27 chromatin remains unfragmented and can be seen of the edges of the predome
28 clearing. Cell death does not occur. Instead, the fragmented DNA coalesces into an
29 irregular mass within the apical and basal membranes of the predome under which
30 fluid has been accumulating. From the chromatin sheet, nuclei emerge amitotically as
31 described in Part 3 of this series (Fleming, 2016c)

32 Introduction

33 For fertile women, *the* uterine endometrium undergoes differentiation throughout
34 most of the 28 day menstrual cycle, preparing for the complex process of implanting a
35 blastocyst. Changes include elaboration of glands whose secretions are essential to
36 the process. In what may be apoptosis on a grand scale, the differentiated tissue is
37 shed if fertilization does not occur. Using Ishikawa endometrial epithelial cells that
38 contain steroid receptors (Nishida et al. 1985), we were able to elicit *in-vitro*
39 formation of gland-like structures that begin as domes, hemispheres of cells enclosing
40 fluid (Fleming, 1995). The domes form in an approximately 20 hour period by a
41 sequence of structural changes noteworthy for the appearance of cells with “optically
42 clear nuclei” and “signet-ring” cells. These unusual structures have been identified
43 in adenocarcinoma in various organs as well as in non-cancerous tissue as reviewed in
44 the accompanying paper(Fleming, 2016a).

45 The earliest event in the differentiation is the formation of syncytia in which nuclei
46 from undifferentiated monolayer cells aggregate. These aggregates become
47 enveloped by a double membrane staining for the endogenous biotin of carboxylases
48 characteristic of mitochondria. Small vacuoles or bubbles developing in the
49 heterochromatin of mitonucleons result in “optically clear” nuclei (Fleming, 2016a) A

50 single large vacuole is generated within the double membrane forming the border of
51 the mitonucleon. When fixed and stained, the apical elevation flattens so that the
52 mitonucleon takes on the appearance of a “signet ring cell,” another structure found
53 in cross sections of tissue from some cancers (Fleming, 2016a). The “signet stone” of
54 such a structure, made up the heterochromatin of aggregated nuclei, becomes quite
55 pyknotic as it is pressed against the syncytial apical membrane pushed up by the
56 developing central vacuole.

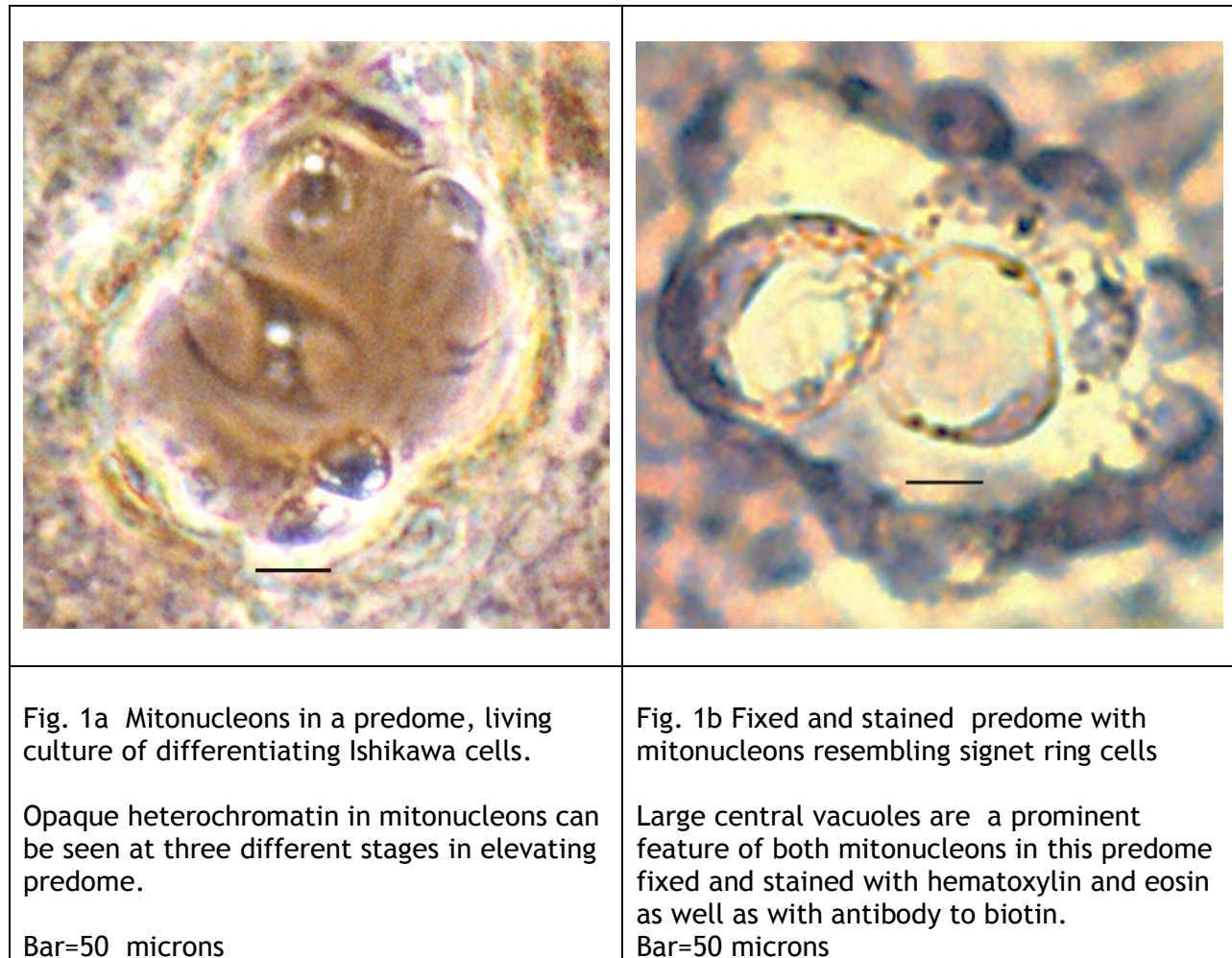
57 Vacuole formation in mitonucleons appears to be essential for predome elevation, a
58 process that occurs during the first third of the differentiation (Fleming, 2015a). The
59 next stage of differentiation is signaled by disruption of the mitonucleon membranes,
60 perhaps when the pressure becomes too great, ushering in the next significant
61 structural change. The multiple aggregated nuclei previously packaged in the
62 mitonucleon disaggregate to some extent and chromatin deconstruction begins.
63 Chromatin granules are forcefully generated from nuclei, eventually filling the entire
64 syncytial structure and becoming filamentous as they attach to microtubule-like
65 structures. Barely visible filaments weakly staining for hematoxylin fill the predome
66 for a brief period of time. The coalescence of those fibrils signals the start of the last
67 phase of differentiation. A swath of hematoxylin stainable material becomes visible
68 between apical membrane protrusions from which nuclei emerge to fill the apical-
69 basal envelope of the syncytium now stretched across the cavity of the newly formed
70 dome. In essence, in differentiating Ishikawa cells, the DNA undergoes dramatic
71 changes but is not destroyed. As will be discussed, chromatin is recycled
72 amitotically, almost certainly following changes relevant to differentiation. (Fleming
73 2016c)

74 Results

75 As described in the accompanying paper (Fleming 2016a), mitonucleons generated by
76 the elaboration of biotin-containing membranes around nuclear aggregates are
77 transient structures involved in predome elevation. Typically, a predome contains
78 four to six mitonucleons, each the result of the aggregation of 4 to 8 nuclei within the
79 multinucleated syncytium.

80 Stages undergone by mitonucleons can be seen in the elevated living predome in fig.
81 1a. The multiple bubble-like clearings within heterochromatin, characteristic of
82 cancer cells with “optically clear” or “ground glass” nuclei, (Fleming, 2016a) can be
83 seen in each of the mitonucleons. At one o’clock, it is possible to make out a
84 protruding membrane formed as a result of a large vacuole within the mitonucleon
85 that is flattening nuclear material against the apical membrane. (Fleming 2016a)
86 Vacuole-generated protrusions in predomes are deflated to some extent upon fixing

87 and staining (fig. 1b), resulting in a profile more analogous to two dimensional
 88 sectioned tissue and very similar to that of “signet ring cells” observed in such
 89 sections from a variety of cancers (Fleming, 2016a). The mitonucleons at twelve
 90 o’clock and five o’clock appear to be at an earlier stage prior to the generation of a
 91 large, central vacuole, although such a vacuole seems to have begun pushing up on
 92 the heterochromatin in the mitonucleon at 5 o’clock. The mitonucleon in the center
 93 of the predome appears to be at a later stage, with the heterochromatin no longer in
 94 a tight pyknotic structure, but spread through the apical membrane around the base
 95 of membrane protrusions. A relatively rapid progression through these stages is
 96 suggested by the fact that they can be found together in a predome.

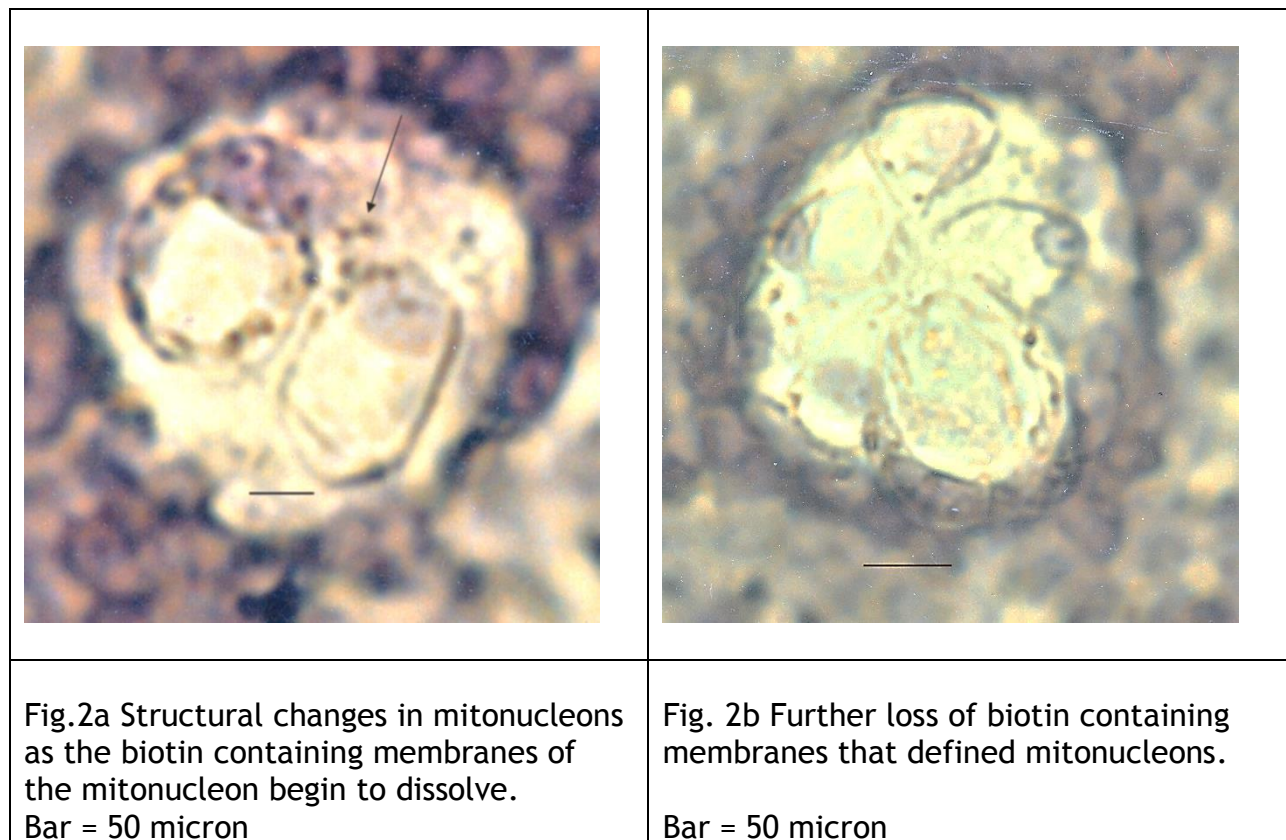


97

98 The vacuoles form rapidly (one to two hours) and appear to generate pressure and to
 99 bring about significant elevation of apical membranes of the predome. The vacuoles
 100 deflate upon fixation supporting the suspicion that they arise from the generation and
 101 containment of a gas such as CO₂ that is readily dissipated upon fixation (Fleming,

102 2016a). Particulate structures slightly larger than nucleoli accompany the excessively
 103 pyknotic heterochromatin in fig. 1b, along with particulate pigmented material within
 104 the heterochromatin.

105 Figure 2a shows a fixed and stained predome as the transient mitonucleons begin to
 106 come apart. The structure on the left in fig. 2a looks like a typical “signet ring” cell
 107 except that the compressed aggregated nuclei (the disc in fig. 1b shows how extreme
 108 that compression can be) appears to be disaggregating, so that at least three disc-shaped
 109 nuclei can be detected. Disassembly of the mitonucleon in the neighboring protrusion
 110 on the right in fig. 2a is further along. The double membrane has been breached so
 111 that the dark structures a little larger than nucleoli accompanying the elevating
 112 heterochromatin are leaking back into the syncytial cytoplasm (arrow). Chromatin in
 113 that second mitonucleon is no longer pyknotic and stains less intensely with
 114 hematoxylin.

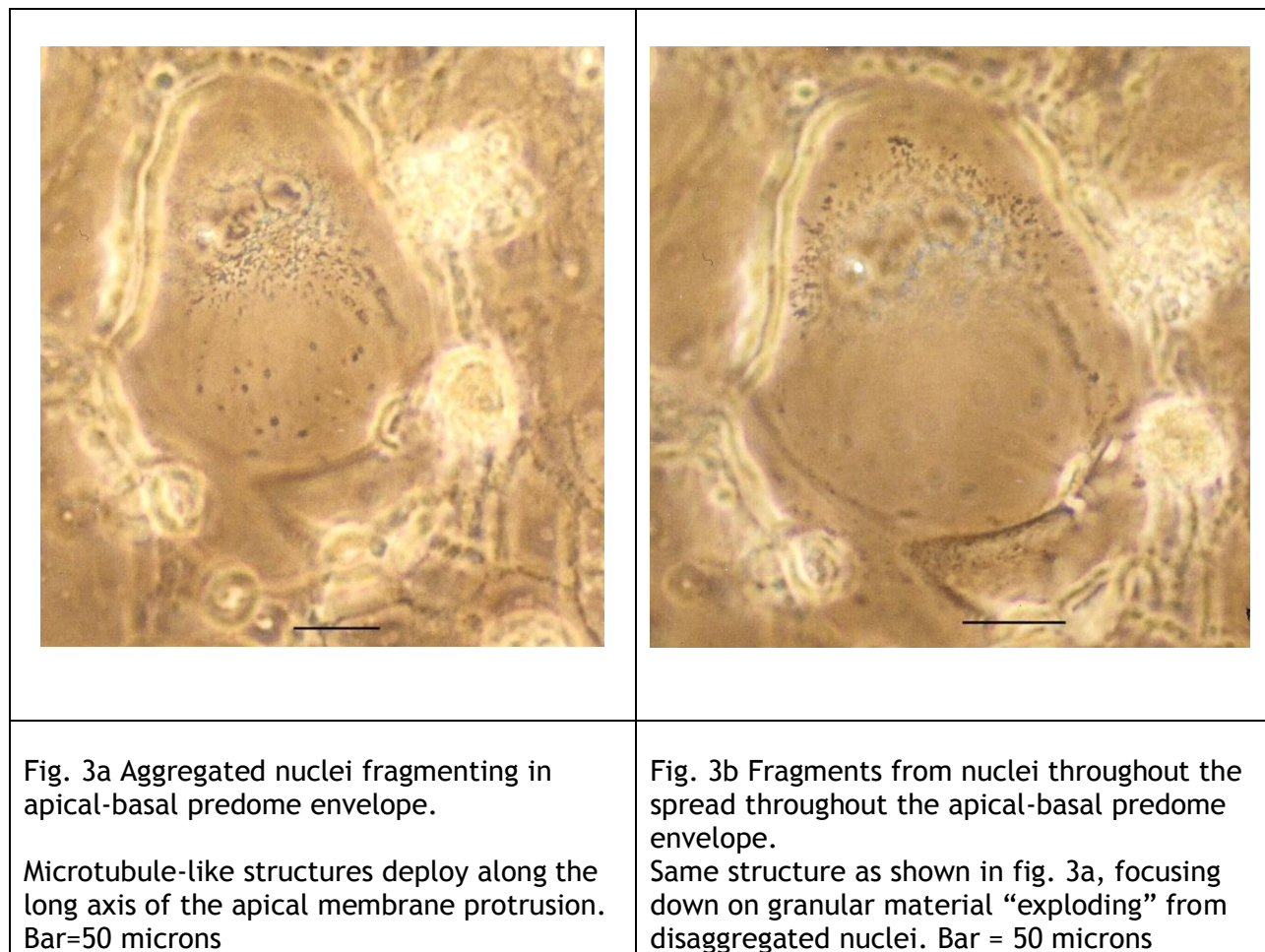


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116 In the predome shown in fig. 2b the differentiation is further along. The “remains” of
 117 at least five mitonucleons can be detected as apical membrane protrusions. The
 118 heterochromatin in what is left of the mitonucleons is in varying stages of “fading.”
 119 Ovoid but pale stained structures can be seen in the protrusions at 10 o’clock and at 2
 120 o’clock. The irregularity of the stain in the protrusion at 7 o’clock suggests

121 disaggregation of nuclei. The protrusions at 12 o'clock and 5 o'clock are furthest
 122 along. A very faint blue-violet stain can be seen spreading across the middle of the
 123 protrusion at 12 o'clock. In the largest protrusion at 5 o'clock, no hematoxylin stain
 124 can be detected, but fibrous material can be seen through the apical membrane.

125 Diminished hematoxylin uptake signals changes in the physical characteristics of
 126 chromatin. Such a change sometimes called karyolysis is usually cited in the
 127 literature along with karyorrhexis, the fragmentation of nuclear material, as
 128 harbingers of programmed cell death. These processes follow rapidly on each other
 129 during Ishikawa differentiation, as chromatin, previously in mitonucleons, is
 130 deconstructed.



131

132 Disaggregation of nuclei as discussed for fig. 2a is more extensive in the predome in
 133 fig. 3, but the much more dramatic change is that granular fragments appear to be
 134 "exploding" in all directions from these nuclei, and a spindle-like array of what
 135 appears to be microtubules has deployed. This material has not been fixed so the
 136 picture is of a living predome. Whether focusing on the top of the apical-basal

137 predome envelope or down into that envelope, granular fragments initially appear to
138 be flying in all directions from the nuclear remnants. Additionally a structure that
139 looks similar to a spindle can be observed to have deployed in fig. 3a, perhaps from
140 the centriole-like structures so obviously accompanying mitonucleons in fig. 2a.

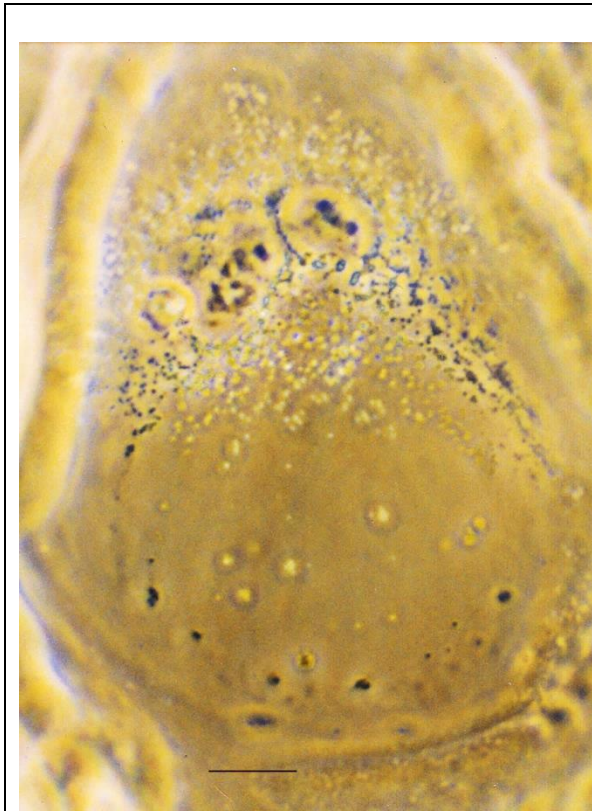


Fig. 4 Fragments from disaggregated nuclei moving to the opposite pole of the predome along microtubule-like structures

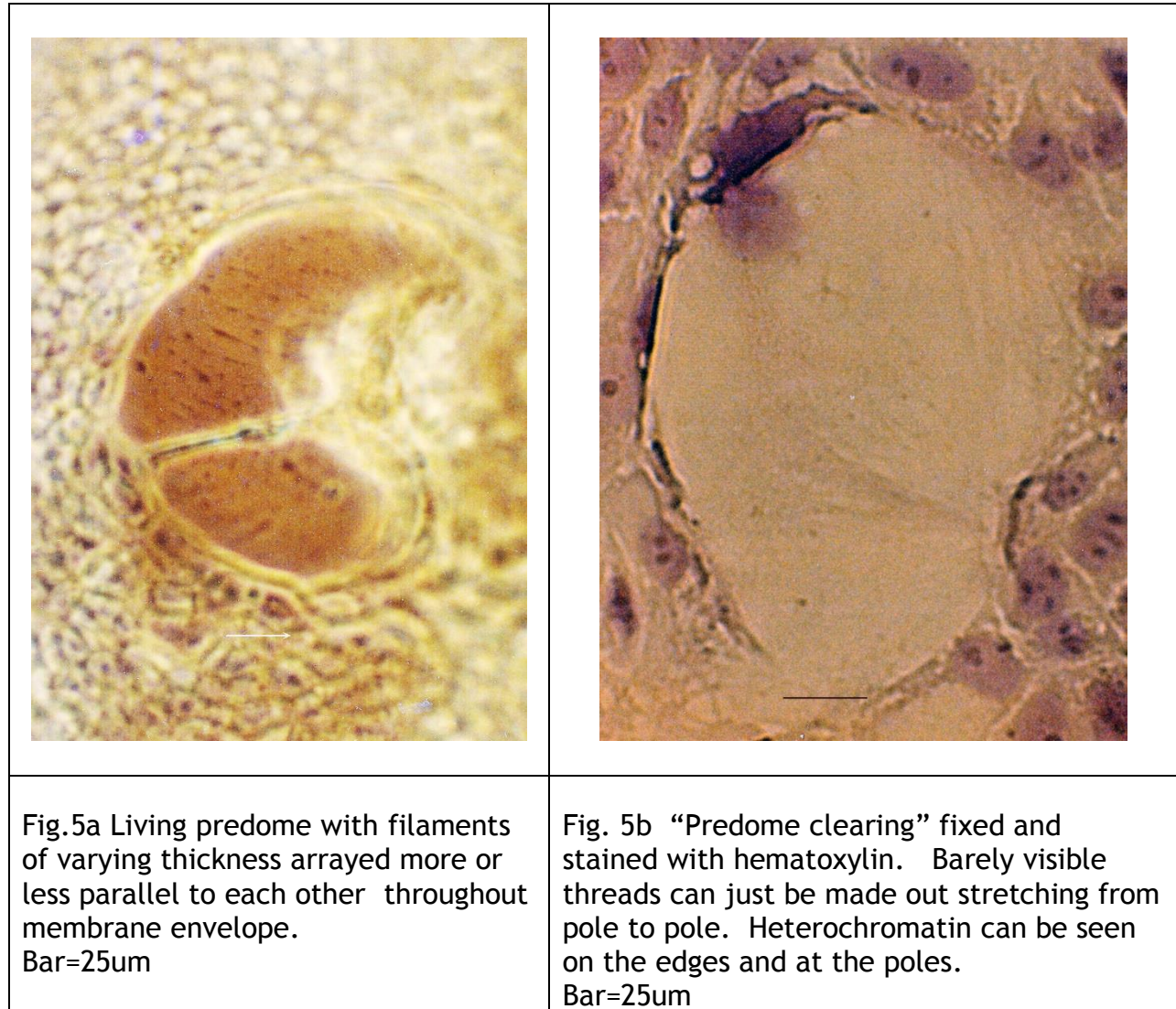
Bar=25 microns

141

142 Fig. 4 is a picture of the exploding nuclei at a higher magnification taken minutes
143 after the pictures in fig. 3. Granules can still be detected above and below the
144 fragmenting nuclei. Many granules appear to be associating with the spindle-like
145 structures and moving toward the opposite pole, so that these structures resemble in
146 form and function the mitotic spindles that pull chromosomes apart. In this instance
147 the tubule-like structures appear to be organizing the spread of highly fragmented
148 chromatin material. Perhaps it is both the fragmentation and the spread of chromatic
149 material, together with changes in association with proteins, that explains the
150 resulting diminishment of hematoxylin uptake

151 Fig. 5a is a predome characterized by parallel filaments of varying thicknesses, a light
152 micrograph, most probably representing (and therefore visible by light microscopy)
153 the largest of the quasi-parallel array of chromatin fibers previously found in

154 endometrial tissue and in cancers. The thickest of these fibers may represent the
 155 beginning of coalescence of the chromatin. Fig. 5b is a fixed and stained predome

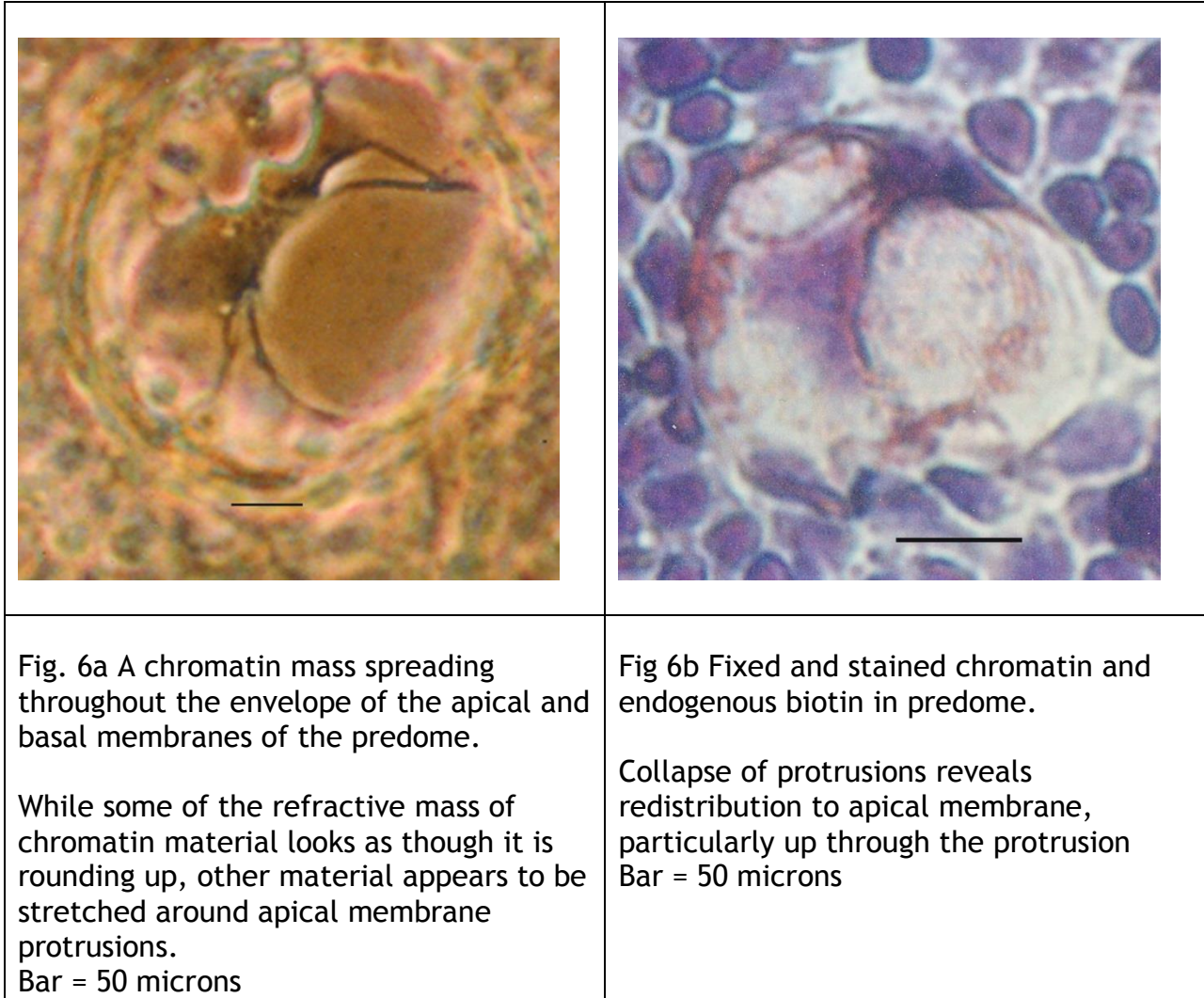


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157 observed at approximately the same time in the process of differentiation.
 158 Heterochromatin can be observed at both poles of the structure, and, it is just
 159 possible to detect threads staining for hematoxylin, stretching from one pole of the
 160 predome to the other. Additionally, some lightly stained chromatin can be seen at
 161 the edge of the cell contiguous with heterochromatin.

162 The predome in fig. 6a was seen 13 hours after the start of differentiation and is not
 163 fixed. Nevertheless, recognizing DNA by its refractivity, a couple of surprising
 164 observations can be made. First, the structure of the DNA is extremely unusual.
 165 Linear chromatin appears to be stretched around regions of the apical membrane that

166 are protruding. Rounded shapes appear to be emerging within the mass of chromatin.
 167 This is the beginning of the process that will be discussed in detail in the third paper
 168 in this series, a chromatin mass can be detected, out of which ovoid nuclei begin to
 169 emerge in an amitotic process (Fleming, 2016c).



170

171 In the unstained predome in fig. 6a, the apical membrane protrusion is up and out of
 172 focus. A similar protrusion in the stained predome on the right has collapsed down
 173 and it is possible to detect that the protruding membranes stain for endogenous
 174 biotin. It is useful to remember that the chromatin sheet lies between the apical and
 175 basal membrane of the predome arching over a lumen that is filling with fluid. The
 176 chromatin, and it may still be filamentous, has coalesced and reacquired the
 177 characteristics that support hematoxylin staining. With the break-up of the
 178 mitonucleons, material staining for endogenous biotin appears to relocate. It can
 179 clearly be seen throughout the life of the dome associated with the dome surface, an

180 association that persists as long as the dome remains elevated resulting in stained
181 domes such as those shown in fig. 6 in the first paper in this series (Fleming, 2016a)

182 DISCUSSION

183 Dramatic structural changes accompany the differentiation of Ishikawa monolayer
184 cells into fluid-enclosing multicellular hemispheres capable of extending into tubules.
185 Two of those transient structures appear to be particularly important. The first,
186 initially described in 1998 (Fleming et al. 1998) is the mitonucleon, aggregated nuclei
187 from fused monolayer cells that become wrapped in a membrane containing
188 carboxylases, apparently elaborated from mitochondria. The mitonucleon is usually
189 formed within 6 hours after the addition of a stimulus to differentiate and enables the
190 developing structure to move into the third dimension, up from the monolayer,
191 through vacuole formation (Fleming, 2016a).

192 Additionally, there is a dramatic change in heterochromatin from hematoxylin-
193 staining, membrane- bound, ovoid structures first into granules and then into
194 networks of fine filamentous material, barely visible under the light microscope. An
195 ordered array of this material spreads throughout the Ishikawa syncytium for a brief
196 period of time approximately 10 to 12 hours after the start of differentiation.

197 A similar transformation from ovoid, hematoxylin-staining heterochromatin to
198 chromatin fibers as revealed by electron microscopy (Roberts, Horbelt, and Powell,
199 1975) was described for human endometrium collected several days after subjects
200 received a dose of medroxyprogesterone, an analog of progesterone. Subsequently,
201 Mazur, Hendrickson, and Kempson (1983) found such structures in uterine endometrial
202 tissue associated with trophoblasts, and therefore naturally exposed to hormones such
203 as progesterone. Mazur used the term “nuclear clearing” to indicate that by light
204 microscopy and hematoxylin staining, typical nuclei could no longer be detected.
205 Instead, an elaborate array of fine filamentous material filling most of the clearing
206 was revealed when sections were examined using the electron microscope.

207 In the decade following observations of these unusual chromatin arrays in
208 endometrium, similar quasiparallel arrays of chromatin fibers detectable by electron
209 micrography were observed in cancer tissue. Nakatani and his colleagues (1990)
210 examining pulmonary tumors resembling adenocarcinomas found nuclear clearings
211 filled with chromatin filaments, as well as optically clear nuclei associated with biotin
212 (Nakatani et. al. 1994). Yamashita et al. (1992) found such structures in thyroid
213 papillary cancer, importantly distinguishing them from optically clear nuclei and
214 reporting that the clearings “appeared completely empty in hematoxylin and eosin
215 stained sections.” Similar structures were found in pulmonary blastoma (Yang et

216 al.1995). Most recently, Papotti et al. (2004)) discussed “nuclear clearing due to fine
217 chromatin” in thyroid cancer.

218 Each of these reports contains electron micrographs of quasiparallel chromatin fibers
219 following one axis of a structure that is somewhat larger than the typical cell,
220 without, of course, the boundary of the typical nuclear structure. Almost the entire
221 clearing is filled by this ordered array of chromatin fibers, although there is still some
222 relatively compact heterochromatin around the edges of the clearing. Furthermore
223 with the disappearance of nuclear membranes, it must be assumed that cytosol and
224 nuclear contents mix, similar to what happens when nuclear membranes break down
225 during mitosis (Kutay and Hetzer, 2008). For the Ishikawa differentiation described in
226 this paper, the term “predome clearing” has been used since the research shows that
227 these clearings develop into domes.

228 “Nuclear or cell clearings,” whose function remained a mystery for years, were
229 usually observed in adenocarcinomas arising in glandular organs such as the thyroid.
230 The results in this paper suggest that the structures may be the result of epithelial
231 cells attempting to differentiate into tubules or gland-like structures. Optically clear
232 nuclei and signet ring cells, structures that lead to predome clearing (Fleming, 2016a)
233 in Ishikawa differentiation, are also frequently observed in adenocarcinomas.

234 There is one other example of experimental results in which DNA is found in a state
235 similar to chromatin in nuclear clearings. Barlow and Sherman (1974) describe “large
236 numbers of chromatin threads of uniform size intermingling throughout the nucleus,
237 often radiating from clumps of heterochromatin” in giant trophoblast nuclei of the
238 mouse and the rat.

239 In a more recent study of polyploid trophoblasts in mink, Isakova and Mead (2008) have
240 reported that some nuclei are characterized by chromatin threads of different
241 thickness which lie parallel to one another and frequently look beaded. This comes
242 close to the vision of fragmented DNA shown in figs.5a and 5b, although the “beaded”
243 look of the fibers is only seen in the unfixed, unstained predome.

244 **Nuclear clearing resembles nuclear fading**

245 Nuclear clearing is very like nuclear fading or karyolysis, a term appearing in the
246 literature from early on in studies of cell death (Corper HJ, 1912). These processes
247 are similar at least through to the “apparent dissolution” of the nucleus of a cell, as
248 judged by the disappearance of an ovoid, hematoxylin stained structure. An array of
249 chromatin fibers is not usually reported to accompany karyolysis, perhaps because the
250 cell is programmed to die, whereas the comparable nuclear clearing in Ishikawa
251 predomes, as well as in human endometrium exposed to progesterone *in vivo*, is

252 accompanied by deconstruction of heterochromatin into an array of filaments that fill
253 differentiating nucleocytoplasmic structures.

254 During Ishikawa differentiation, filaments arise from the disaggregated pyknotic
255 aggregated nuclei of mitonucleons in an explosive “reaction” approximately 12 to 14
256 hours into the process of dome differentiation. The appearance of this chromatin
257 fiber array *in vivo* in endometrium, in response a progesterone analog and to
258 pregnancy hormones supports a role for such structures in endometrial
259 differentiation. It is the secretion of progesterone *in vivo* that is responsible for the
260 progression of endometrium from the proliferative phase to the secretory phase, a
261 process characterized by the elaboration of glands. And, in fact, dome differentiation
262 in Ishikawa endometrial cells was also shown to be enhanced by the addition of
263 progesterone, along with differentiation-inducing factor from fetal calf serum
264 (Fleming, 1995).

265 DNA Fragmentation

266 Physical changes in chromatin usually involve the extent of chromatin condensation,
267 as well as varying associations with proteins and, as it turns out, whether the
268 chromatin is fragmented. Extensive arrays of chromatin fibers, more decondensed
269 than condensed, and apparently minimally associated with protein, may insure that
270 the DNA can be altered in whatever way necessary to bring about differentiation.
271 Quasiparallel arrays of chromatin fibers may provide such an opportunity. On the
272 basis of how infrequently structures such as those shown in figs. 4a and 4b were
273 observed, it is probable that a phase in which DNA spreads out as filaments outside of
274 the typical ovoid nucleus is relatively short-lived. Results from Ishikawa
275 differentiation indicate that it is nuclear fragmentation (fig. 3) or karyorrhexis (a
276 term also introduced 100 years ago) that leads to chromatin spreading as fibers.

277 *In vitro*, chromatin fragmentation has been used as an endpoint to learn about how
278 this very large molecule is put together. Hershey and Werner (1972) demonstrated
279 that chromatin could be fragmented to units of approximately 20 kbp (other studies
280 have found fragments of 50kbp and higher) by alkali denaturation following proteinase
281 digestion. On the basis of that result, these researchers suggested that genome DNA
282 does not exist as one continuous strand but is, instead, contiguous strands held
283 together by ribonucleoprotein. Much additional research as reviewed by Nickerson
284 (2002) suggested a model in which eukaryotic chromatin is organized into ≈ 30 - to 150-
285 kbp units anchored to a ribonucleoprotein-containing structure that can be broken
286 down by exposure to proteases.

287 Research supporting such a model includes the observation of fragmentation of DNA in
288 healthy, resting or proliferating cells observable in cells in agarose gels treated with

289 proteases in an alkaline environment (Szabó and Bacsó, 1995). This work, being done
290 at the same time that interest was growing in programmed cell death, led these
291 researchers to remark on the apparent similarity between the effects of apoptosis
292 and the effects of protease treatment of nuclei, stressing that since the observation is
293 made in otherwise healthy cells, fragmentation by itself may not always signal the
294 onset programmed cell death.

295 More recently, using a clever microscopic approach of field inversion single cell gel
296 electrophoresis, Szabó and his collaborators showed nuclei of healthy non-apoptotic
297 cells (Székvölgyi L. et al. 2005) “exploding” into granules under the conditions of
298 alkaline electrophoresis following proteolysis. Furthermore, their results showed that
299 when the pH of the agarose gel was neutral, the granules stretched out into fibers. It
300 was striking how much this otherwise unexpected phenomenon resembles what is
301 seen happening to previously aggregated nuclei in predome syncytia at a certain point
302 in Ishikawa dome differentiation (figs. 3a, 3b, 4a, and 4b), i.e. the apparent explosion
303 of nuclei into fragments and subsequent appearance of filamentous chromatin
304 material.

305 In vivo, an increase in chromatin fragmentation in growing cell lines deprived of
306 serum (Solov'yan, 1997), was shown to be rapidly reversed to control levels following
307 serum addition, an unexpected result if fragmentation is always an irreversible event
308 in programmed death. In differentiating Ishikawa cells, the “explosive”
309 fragmentation of nuclei is also reversible. Rather rapidly the fragmented DNA “comes
310 back together again”. It has to be assumed that the capture of fragments in linear
311 arrays on what appears to be microtubular structures is the event that makes it
312 possible for order to emerge from the apparent chaos of explosive fragmentation.
313 Rearrangements, amplifications of certain regions of the genome, and the enzymatic
314 recreation of RNA-protein linkers, along with binding to proteins, and DNA replication
315 may also be occurring under these circumstances. Furthermore the tubules deployed
316 as the nuclear material fragments (fig. 6) may provide the biochemical machinery
317 necessary to move nuclei (Baker, Theurkaufund, and Schubiger, 1997), a process that
318 will be discussed in the third paper of this series (Fleming, 2016c)

319 **Differing Degrees of DNA Fragmentation**

320 In addition to pyknotic nuclei, karyolysis, and karyorrhexis, one other hallmark of
321 apoptotic cell death (Hockenberry, 1995) is the generation of double-stranded DNA
322 fragments (as opposed to the single strand fragments we have been discussing)
323 effected by nucleases and detected by the formation of a ladder of DNA fragments in
324 agarose gels. Early-on in research into apoptosis, it was believed that such double
325 stranded breaks were responsible for the compaction of DNA characteristic of the

326 pyknotic nuclei reported to foreshadow cell death (Liu et al., 1998). More recently
327 Iglesias-Guimaraes and colleagues (2010) demonstrated that compaction can take
328 place even in situations in which double stranded DNA nicks/breaks do not occur. The
329 fragmentation characteristic of single strand breaks, even without oligonucleosomal
330 fragmentation, can also result in cells exhibiting classical apoptotic changes
331 (Oberhammer et al. 1993; Yamaguchi et al. 2004).

332 Chromatin then, is subject to at least two different enzymatic processes that result in
333 fragmentation, one involving proteases exposing naturally occurring single stranded
334 breaks and one involving nuclease dependent double-stranded breaks, both resulting
335 in the compaction of DNA as observed in pyknotic nuclei which can “lead to”
336 programmed cell death or, as is being discussed in this paper, to cell differentiation.
337 In very general terms, and possibly truistic, the least that can be said is that the
338 processing of DNA in differentiating cells probably does not involve the loss of
339 essential coding information, whereas, DNA fragmentation in dying cells might be
340 expected to “go further” and to include the loss of some or all coding information.

341 **DNA Fragmentation and Differentiation**

342 DNA fragmentation as a part of differentiation is not unique to Ishikawa cells. An
343 extensive literature review by N. Sjaste and T.Sjaste (2007) implicates the process of
344 DNA fragmentation more generally in a number of different examples of
345 differentiation. Referencing research on DNA strand breaks in differentiating nerve
346 and muscle cells as well as fibroblasts, erythroid cells, and in epithelial cells, the
347 authors theorize that research interest in a possible regulatory function for DNA
348 fragmentation in differentiation was overtaken in the eighties by the increasing
349 interest in the role of DNA fragmentation in programmed cell death. Research over
350 the past decade supports the theory that DNA fragmentation is involved in both
351 processes.

352
353 Furthermore, the information gained through the focus on the process of apoptosis
354 has provided tools for research on differentiation, starting with the role of proteases
355 called caspases, discovered because of their involvement in apoptosis (Wang et.
356 al.1994). In ground-breaking work, Fernando et al. (2002) demonstrated that
357 caspase-3 activity, frequently associated with apoptosis, is also actually required for
358 skeletal muscle differentiation which it effects by activating the same nuclease
359 responsible for apoptosis. Succinctly acknowledging the obvious paradox, these
360 researchers entitled their paper, “Is caspase dependent apoptosis only cell
361 differentiation taken to the extreme.” In that paper, Fernando and Megeney present
362 evidence that it is the extent of caspase 3 activation that controls the balance
363 between differentiation and apoptosis. Fernando, Brunette and Megeney subsequently
364 showed that endogenous caspase 3 activity is also essential for neural stem cell
365 differentiation (2005). In another approach to, and extension of, these observations,
366 Bulatovic et al. (2015) recently showed that sublethal activation of caspase 3

367 promotes differentiation of cardiomyocytes from embryonic stem cells as opposed to
368 “lethal” activation of the enzyme which leads, of course, to apoptosis.
369

370 Additional research has shown that a second caspase, caspase 9, is also involved in
371 muscle differentiation (Murray et al., 2008). Following up on this work, in a review,
372 intriguingly entitled “New roles for old enzymes: killer caspases as the engine of cell
373 behavior changes,” Connolly, Jager, and Fearnhead (2014) describe 18 different
374 caspases and their roles in a number of important cell functions in addition to
375 programmed cell death, including differentiation programs for at least 13 different
376 cell types. In a table, the authors describe two types of “differentiation morphology,”
377 distinguishing what they call apoptosis-like differentiation from differentiation in
378 which apoptotic morphological changes are not observed. The review makes the
379 point that relatively simple differentiations involving loss of the nucleus frequently
380 present with “apoptotic morphology” whereas a second category of more complex
381 differentiations do not.

382 The extreme pyknotic profile of Ishikawa nuclear aggregates as shown in fig. 1b, puts
383 Ishikawa differentiation into the “apoptosis-like” category. But unlike other cell
384 types in that category, the chromatin of the differentiating Ishikawa cell does not
385 appear to be destroyed or eliminated, although it is thoroughly deconstructed into
386 chromatin fibers following pyknosis and, in conjunction with microtubule-like
387 structures, fills the syncytium undergoing differentiation as in fig. 4b. In fact the
388 “fading and fragmented DNA” from pyknotic mitonucleons pulls itself back together
389 again as shown in figs. 5a and 5b, a result that has not yet been reported for any
390 other differentiation. This observation suggests the possibility of a third category of
391 differentiation characterized by pyknosis with a loss in the structure of the original
392 nuclei but in which chromatin, rather than being destroyed, is adapted for
393 reconstitution into the cell nuclei of the differentiated state (Fleming, 2016c).

394 **Role of Mitochondrial-like Membranes in Ishikawa Differentiation**

395 At least one other enzyme that is not a caspase has been implicated in programmed
396 cell death. Apoptosis inducing factor (AIF) is a mitochondrial protein that has been
397 shown to effect the fragmentation of DNA to approximately 50 kb. (Zamzami et al.
398 1996; Daugas et al. 2000; Candé et.al. 2002) Furthermore, it has been demonstrated
399 that the factor will, when added to purified HeLa nuclei, result in large scale DNA
400 fragmentation, along with condensation of peripheral chromatin, leading researchers
401 to posit the existence of two distinct apoptotic pathways, one involving caspases and
402 one involving AIF (Susin et al. 2000).

403 By analogy with the caspases, it is possible that the pathway involving AIF in apoptosis
404 might, if appropriately controlled, also be involved in differentiation. AIF is an
405 intriguing candidate for the phenomenon of Ishikawa differentiation since membranes
406 with mitochondrial characteristics are intimately associated with nuclear aggregates
407 during the first 6 to 10 hours of differentiation providing an opportunity for the AIF,
408 contained in mitochondrial membranes (Candé et al. 2002) to become incorporated
409 into heterochromatin. Does AIF then fragment mitonucleon heterochromatin so that
410 it is primed to “explode” when mitonucleon membranes break down? Could the
411 triggering event be a short-lived elevation of the pH of the aggregate when gas is
412 released from vacuoles during the dissolution of the mitonucleon (Fleming, 20156a)?

413 Whether AIF is the responsible protease, the physical evidence of exploding nuclei,
414 suggests that a chemical process is at work in the aggregated heterochromatin before
415 the visible evidence appears in the form of chromatin fragmentation. Szabo and his
416 colleagues (2005) demonstrated that a transient change in pH can bring about the
417 kind of repulsion among DNA fragments that might be suspected to be at the heart of
418 “exploding nuclei.” If not AIF, perhaps another protease becomes active when the
419 aggregated heterochromatin is vacuolated as shown in fig. 1.

420 **Putting Humpty Dumpty back together again**

421 The mass of DNA in figs. 6a and 6b is as unusual as the chromatin filaments in figs. 5a
422 and 5b, and may in fact be made up of fragments that have coalesced. All
423 indications are that it is even more short-lived. Nuclei begin to form out of the mass
424 in the manner described in the third paper in this series, relatively rapidly over a
425 period of 2 to 3 hours, and by all indications, amitotically. (Fleming, 2016c)

426 **Materials and Methods**

427 Ishikawa cells were cultured (Fleming 1995) in phenol red-free, Minimum Essential (MEM)
428 supplemented with 2 mM glutamine, 100U/ml penicillin, 0.1 mg/ml streptomycin, and .25 mg
429 amphotericin B (GIBCO, Grand Island, NY). The cells, obtained from Dr. Erlio Gorpide at Mt.
430 Sinai Hospital in New York, were originally derived from an endometrial adenocarcinoma line
431 developed by Nishida et al. (1985), who demonstrated the presence of receptors for both
432 estradiol and progesterone. Cells seeded at an approximate density of 5×10^5 cells/cm²,
433 were grown for 1 -2 weeks in MEM containing 5% calf serum (CS), and then transferred to
434 medium containing 1% calf serum. Cultures left in MEM with 1% CS could survive for an
435 additional 3-5 days with little proliferation. Assays for dome formation were done in confluent
436 cultures, although differentiation has been observed to occur, to a limited extent, in nonconfluent
437 cultures.

438 Differentiation was initiated with the addition of 10-15% fetal bovine serum (FBS). Multiple
439 dishes were fixed and stained for biotin and/or for chromatin at different times during

440 differentiation. Structures were viewed using an Olympus inverted stage microscope at powers
441 of 100X, 200X and 400X. As indicated in the text, differentiating structures were sometimes
442 examined, and pictures taken without fixing and staining the cultures.

443 Other photomicrographs were taken of cells fixed by adding 4% paraformaldehyde in
444 phosphate buffered saline (PBS) to the culture dish. After 10 min, the cells were
445 washed gently four times with 5-10 ml PBS. A solution of 1% Triton X-100 was added to
446 the cells to permeabilize the membrane. Again after 5 min, the culture was washed
447 with successive changes of PBS. After washing, cells were exposed to a 1:200 dilution
448 of Extravidin-conjugated horse-radish peroxidase (HRP) (Sigma) for 30 min. After
449 further washing, a solution of 3-amino-9-ethylcarbazole (AEC), prepared by dissolving
450 20 mg of AEC in 2.5 ml of dimethylformamide and diluting with 47.5 ml of 50 mM
451 potassium acetate adjusted to pH 5.0, was added to the cells together with .25%
452 H₂O₂. This solution was incubated at 37°C for 45 min to allow color to develop. The
453 AEC solution was removed, and the cultures were examined and then stored in the
454 presence of PBS at 4°C. If avidin linked to peroxidase is not added to the cultures,
455 there is no reaction. If avidin without peroxidase is added first to the cultures,
456 followed by avidin-linked to peroxidase, staining is not observed. Staining does not
457 occur if avidin-HRP is not added to the cultures prior to AEC indicating that an
458 endogenous peroxidase is not responsible for the staining. To ensure that avidin was
459 reacting with biotin, we stained domes using streptavidin linked to horseradish
460 peroxidase as well as primary antibody to biotin and secondary antibody-linked to
461 horseradish peroxidase. Staining occurred under all circumstances, indicating that
462 avidin does indeed react with biotin that is endogenously present in the cell in
463 significant amounts.

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