The Unreasonable Effectiveness of Traditional Information Retrieval in Crash Report Deduplication

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ABSTRACT

Organizations like Mozilla, Microsoft, and Apple are flooded with thousands of automated crash reports per day. Although crash reports contain valuable information for debugging, there are often too many for developers to examine individually. Therefore, in industry, crash reports are often automatically grouped together in buckets. Ubuntu’s repository contains crashes from hundreds of software systems available with Ubuntu. A variety of crash report bucketing methods are evaluated using data collected by Ubuntu’s Apport automated crash reporting system. The trade-off between precision and recall of numerous scalable crash deduplication techniques is explored. A set of criteria that a crash deduplication method must meet is presented and several methods that meet these criteria are evaluated on a new dataset. The evaluations presented in this paper show that using off-the-shelf information retrieval techniques, that were not designed to be used with crash reports, outperform other techniques which are specifically designed for the task of crash bucketing at realistic industrial scales. This research indicates that automated crash bucketing still has a lot of room for improvement, especially in terms of identifier tokenization.

Keywords: ...

1 INTRODUCTION

Ada is a senior software engineer at Lovelace Inc., a large software development company. Lovelace has just shipped the latest version of their software to hundreds of thousands of users. A short while later, as Ada is transitioning her team to other projects, she gets a call from the quality-assurance team (QA) saying that the software she just shipped has a crashing bug affecting two-thirds of all users. Worse yet, Ada and her team can’t replicate the crash. What would really be helpful is if every time that crash was encountered by a user, Lovelace would automatically receive a crash report [Seo and Kim], with some context information about what machine encountered the crash, and a stack trace [Seo and Kim] from each thread. Developers consider stack traces to be an indispensable tool for debugging crashed programs—a crash report with even one stack trace will help fix the bug significantly faster than if there were had no stack traces available at all [Schröter et al.].

Luckily for Ada, Lovelace Inc. has gone through the monumental effort of setting up an automated crash reporting system, much like Mozilla’s Crash Error
Reports [Mozilla Corporation], Microsoft’s WER [Glerum et al.], or Apple’s Crash Reporter [app]. Despite the cost associated with setting up such a system, Ada and her team find the reports it provides are invaluable for collecting telemetric crash data [Ahmed et al.].

Unfortunately, for an organization as large as Lovelace Inc., with so many users, even a few small bugs can result in an unfathomable amount of crash reports. As an example, in the first week of 2016 alone, Mozilla received 2,189,786 crash reports, or about 217 crashes every minute on average.\(^1\) How many of crash reports are actually relevant to the bug Ada is trying to fix?

The sheer amount of crash reports present in Lovelace’s crash reporting system is simply too much for one developer, or even a team of developers, to deal with by hand. Even if Ada spent only one second evaluating a single crash report, she would still only be able to address 1/3 of Lovelace’s crash reports received during one day of work. Obviously, an automated system is needed to associate related crash reports together, relevant to this one bug, neatly in one place. All Ada would have to do is to select a few stack traces from this crash bucket [Glerum et al.], and get on with debugging her application. Since this hypothetical bucket has all crash stack traces caused by the same bug, Ada could analyze any number of stack traces and pinpoint exactly where the fault is and how to fix it.

The questions that this paper seeks to answer are:

**RQ1:** What are effective, industrial-scale methods of crash report bucketing?

**RQ2:** How can these methods be tuned to increase precision or recall?

This paper will evaluate existing techniques relevant to crash report bucketing, and propose a new technique that attempts to handle this fire hose of crash reports with industrially relevant upper bounds \((O(\log n))\) per report, where \(n\) is number of crash reports). In order to validate new techniques some of the many techniques described in the literature are evaluated and compared. The results of the evaluation shows that techniques based on the standard information retrieval statistic, \(\text{term frequency} \times \text{inverse document frequency} (\text{tf–idf})\), do better than others, despite the fact these techniques discard information about what is on the top of the stack and the order of the frames on the stack.

### 1.1 Contributions

This paper presents PARTYCRASHER, a technique that buckets crash reports. It extends the work done by Lerch and Mezini [Lerch and Mezini] to the field of crash report deduplication and show that despite its simplicity, it is quite effective. This paper contributes:

1. a criterion for industrial-scale crash report deduplication techniques;

2. replication of some existing methods of deduplication and evaluations of these methods on open source crash reports, providing evidence of how well each technique performs at crash report bucketing;

\(^1\)https://crash-stats.mozilla.com/api/SuperSearch/?date=>\%3d2016-01-01&date=<\%3d2016-01-08 The total number of crashes will slowly increase over time and then eventually drop to zero due to Mozilla’s data collection and retention policies.
3. implementation of these methods in an open source crash bucketing framework;

4. evaluation based on the automated crashes collected by the Ubuntu project’s Apport tool, the only such evaluation at the time of writing;

5. a bug report deduplication method that outperforms other methods when contextual information is included along with the stack trace.

1.2 What makes a crash bucketing technique useful for industrial scale crash reports?

The volume, velocity, variety, and veracity (uncertainty) of crash reports makes crash report bucketing a big-data problem. Any solution needs to address concerns of big-data systems especially if it is to provide developers and stakeholders with value [20]. Algorithms that run in $O(n^2)$ are unfeasible for the increasingly large amount of crash reports that need to be bucketed. Therefore, an absolute upper-bound of $O(n \log n)$ is chosen for evaluated algorithms.

The methods evaluated in this paper were methods found in the literature, or methods that the authors felt possibly had promise. Methods that were evaluated were restricted to those that met the following criteria. The criteria were chosen to match the industrial scenario as described in the introduction.

1. Each method must scale to industrial-scale crash report deduplication requirements. Therefore, it must run in $O(n \log n)$ total time. Equivalently, each new, incoming crash must be able to be assigned a bucket in $O(\log n)$ time or better.

2. No method may delay the bucketing of an incoming crash report significantly, so that up-to-date near-real-time crash reports, summaries, and statistics are available to developers at all times. This requires the method to be online.

3. No method may require developer intervention once it is in operation, or require developers to manually categorize crashes into buckets. This requires the method to be unsupervised.

4. No method may require knowledge of the eventual total number of buckets or any of their properties beforehand. Each method must be able to increase the number of buckets only when crashes associated with new faults arrive due to changes in the software system for which crash reports are being collected. This requires the method to be non-stationary.

Several deduplication methods are evaluated. They can be categorized into two major categories. First, several methods based on selecting pre-defined parts of a stack to generate a signature were evaluated. The simplest of these methods is the 1Frame method, that selects the name of the function on top of the stack as a signature. All crashes that have identical signatures are then assigned to a single bucket, identified by the signature used to create it.
Similarly, signature methods 2Frame and 3Frame concatenate the names of the two or three functions on top of the stack to produce a signature. 1Addr selects the address of the function on top of the stack to generate a signature rather than the function name. 1File selects the name of the source file in which the function on top of the stack is defined to generate a signature, and 1Mod selects either the name of the file or the name of the library, depending on which is available. Figure 1 shows an example stack trace and how the various signatures are extracted from it using these methods. All of the signature-based methods, as implemented, run in $O(n \log n)$ total time or $O(\log n)$ amortized time.

The second category of methods are those based on tf–idf [Salton and McGill] and inverted indices, as implemented by the off-the-shelf information-retrieval software ElasticSearch 1.6 [Elasticsearch BV]. tf–idf is a way to normalize a token based on both on its occurrence in a particular document (in our case, crash reports), and inversely proportional to its appearance in all documents. That means that common tokens that appear frequently in nearly all crash reports have little discriminative power compared to tokens that appear quite frequently in a small set of crash reports.

1.3 Background

Of course, the idea of crash bucketing is not new; Mozilla’s system performs bucketing [Dhaliwal et al., Ahmed et al.], as does WER [Glerum et al.]. Many approaches make the assumption that two crash reports are similar if their stack traces are similar. Consequently, researchers [Brodie et al., Liu and Han, Modani et al., Bartz et al., Glerum et al., Dhaliwal et al., Dang et al., Wang et al., Lerch and Mezini, Wu et al.] have proposed various methods of finding similar stack traces, crash report similarity, crash report deduplication, and crash report bucketing. In order to motivate the evaluation and design choices it is necessary to look at what already has been proposed.

Empirical evidence suggests that a function responsible for crash is often at or near the top of the crash stack trace [Brodie et al., Schröter et al., Wu et al.]. As such, many bucketing heuristics employ higher weighting for grouping functions
near the top of the stack [Modani et al., Glerum et al., Wang et al.]. Many of these methods are similar to or extensions of the 1Frame method, that assumes that the function name on the top of the stack is the most (or only) important piece of information for crash bucketing. However, at least one study refutes the effectiveness of truncating the stack trace [Lerch and Mezini]. The most influential discriminative factors seem to be function name [Lerch and Mezini] and module name [Bartz et al., Glerum et al.].

Lerch and Mezini [Lerch and Mezini] did not directly address crash report bucketing; they addressed bug report deduplication through stack trace similarity. They deduplicated bug reports that included stack traces by comparing the traces with tf–idf, which is usually applied to natural language text. Although crash bucketing was implicit in this approach to bug-report-deduplication, the authors did not compare this technique against the other crash report deduplication techniques. Unlike the signature-based methods, tf–idf-based methods do not consider the order that frames appear on the stack. A function at the top of the stack is treated identically to a function at the bottom of the stack.

Their method of bug report deduplication is applied to crash report deduplication and evaluated in this paper, both excluding contextual data from the crash report as suggested by Lerch and Mezini [Lerch and Mezini] and including it. These methods are listed in the evaluation section as the Lerch method and the LerchC method, respectively. The contextual data is collected at the same time as the stack trace by automated crash reporting tools. Variants of the Lerch and LerchC methods were also evaluated. The variants replace the tokenization pattern used in Lerch and LerchC with a different tokenization pattern. These methods were named Space, SpaceC, Camel, and CamelC. The name indicates that tokenization is employed, followed by a C if the evaluation included the entire context of the stack trace along with the stack trace itself. Figure 1 shows how each method tokenizes a sample stack frame.

Modani et al. [Modani et al.] provide two techniques to improve performance of the various other algorithms. These techniques are inverted indexing and top-k indexing, both of which are evaluated in this paper. Inverted indexing is employed to improve the performance of all of the tf–idf-based methods including Lerch and LerchC (however Modani et al. did not use tf–idf in their evaluation). The implementation is provided by ElasticSearch 1.6 [Elasticsearch BV]’s indexing system. Top-k indexing is employed to evaluate all of the methods that use the top portions of stacks, including 1Frame, 2Frame, 3Frame, 1File, etc.

1.4 Methods Not Appearing In This Report

Mozilla’s deduplication technique, at the time of writing, as it is implemented in Socorro [soc] requires a large number of hand-written regular expressions to select, ignore, skip, or summarize various parts of the crash report. These must be maintained over time by Mozilla developers and volunteers in order to stay relevant to crashes as versions of Firefox are released. This technique typically uses one to three of the frames of the stack and likely has similar performance to 1Frame, 2Frame, and 3Frame. Furthermore, the techniques employed by Mozilla
are extremely specific to their major product, Firefox, while the evaluation dataset
contains crashes from 616 other systems.

In 2005, Brodie et al. [Brodie et al.] presented an approach that normalizes the
call stack to remove non-discriminative functions as well as flattening recursive
functions, and compares stacks using weighted edit distance. Since pairwise stack
matching would be unfeasible on large data sets—having a minimum worst case
run-time of $O \left( n^2 \right)$—they index a hash of the top $k$ function names at the top of
the stack and use a B+ Tree look-up data structure. Several approaches since have
used some stack similarity metric, and found that the most discriminative power
is in the top-most stack frames—i.e., the functions that are closer to the crash
point.

Liu and Han [Liu and Han] grouped crashes together if they suggest the same
fault location. The fault locations were found using a statistical debugging tool
called SOBER [Liu et al.], that, trained on failing and passing execution traces
(based on instrumenting Boolean predicates in code [Liblit et al.]), returns a ranked
list of possible fault locations. Methods involving full instrumentation [Liu and Han]
or static call graph analysis [Wu et al.] are also deemed unfeasible, as they are
not easy to incorporate into already existing software, and often incur pairwise
comparisons to bucket regardless of instrumentation cost. Methods that already
assume buckets such as Kim et al. [Kim et al.] and Wu et al. [Wu et al.] are dis-
regarded as well.

Modani et al. [Modani et al.] propose several algorithms. The first algorithm
employs edit distance, requiring $O \left( n^2 \right)$ total time. The second and third algo-
rithms are similar, employing longest common subsequences and longest common
prefixes, respectively. The longest common subsequence problem is, in general,
NP-hard in the number of sequences (corresponding to crashes for the purposes
of this evaluation). The longest common prefix algorithm can be implemented
sufficiently efficiently for the purposes of this evaluation, but was not evaluated
here because it must produce at least as many buckets as the 1Frame algorithm,
that already creates too many buckets. Thus no Modani et al. [Modani et al.]
comparison algorithms were used.

In addition to comparison algorithms that might be used for deduplication di-
rectly, Modani et al. [Modani et al.] also provide several algorithms for identifying
frames that may be less useful in each stack and removing them from those stacks.
These algorithms would then be combined with their other algorithms and are
not evaluated in this paper. One such algorithm removes frequent frames, such as
main() that occur in many stacks. A similar effect is gained from tf–idf, because
the inverse document frequency reduces the weight of terms that are found in
many documents (crashes). These filtering techniques were not evaluated.

Bartz et al. [Bartz et al.] also used edit distance on the stack trace, but a
weighted variant with weights learned from training data. Consequently, they
were able to consider other data in the crash report aside from the stack trace.
The weights learned suggested some interesting findings: substituting a module in
a call stack resulted in a much higher distance; as well, the call stack edit distance
was found to be the highest-weighted factor, despite the consideration of other
crash report data, confirming the intuition in the literature of the stack trace’s importance.

The methods based on edit distance—viz., Brodie et al. [Brodie et al.], Modani et al. [Modani et al.], Bartz et al. [Bartz et al.]—are disqualified due to their requirement of pairwise comparisons between stack traces, with an upper-bound of \(O(n^2)\).

Schröter et al. [Schröter et al.] empirically studied developers’ use of stack traces in debugging and found that bugs are more likely to be fixed in the top 10 frames of their respective crash stack trace, further confirming the surprising significance of the top-\(k\) stack frames in crash report bucketing, which is also corroborated more recently by Wu et al. [Wu et al.].

Glerum et al. [Glerum et al.] describe the methods used by Microsoft’s Windows Error Reporting (WER) service. Although they tout having over 500 heuristics for crash report bucketing—many derived empirically—a large bulk of the bucketing is attributed to top-1 module offset; over 91% of bucketing is attributed to eight heuristics alone.

To avoid the \(O(n^2)\) pairwise comparisons common to many of the previous approaches, Dhaliwal et al. [Dhaliwal et al.] proposed a weighted edit distance technique that creates representative stack traces—a probability distribution based on all stack traces seen within a bucket. Thus, instead of computing similarity against all stack traces in a bucket, one would only use the weights derived from all stack traces in the bucket simultaneously.

The method described in Dhaliwal et al. [Dhaliwal et al.] is not included in the evaluation because it first subdivides buckets produced by the 1Frame deduplication method, and requires \(O\left(|B|^2\right)\) total time to run, where \(|B|\) is the number of buckets. Its use of the 1Frame method already produces a factor of 1.67 times too many buckets. Despite the optimization in Dhaliwal et al. [Dhaliwal et al.] that attempts to avoid \(O(n^2)\) behaviour, it has \(O\left(|B|^2\right)\) behaviour. Since the number of buckets increases over time, though at a slower rate, this method will eventually become computationally unfeasible if old data is not discarded.

Kim et al. [Kim et al.] constructed Crash Graphs, that are simply directed graphs using stack frames as nodes and their adjacency to other stack frames as edges. This also proved to be a useful crash visualization technique.

Dang et al. [Dang et al.] created the position independent model that places more weight on stack frames closer to the top of the stack; and favours stacks whose matched functions are similarly spaced from each other. Purporting significantly higher accuracy than previous methods, this technique suffers from a proposed \(O(n^3)\) clustering algorithm.

Wang et al. [Wang et al.] propose three different methods, that they refer to as rules. The first rule requires an incoming crash to be compared to every existing crash, requiring \(O\left(n^2\right)\) time. The second rule compares only the top frame of every crash by considering two crashes related if the file names in the top frame of the crash are the same. This method is listed in the evaluation as the 1File

\footnote{They first use naïve methods for indexing as well, that \textit{is} evaluated here.}
method. The third rule requires a set of common “frequent closed ordered sub-sets”
of stack frames to be extracted from known “crash types” that are pre-categorized
groups of crashes that have been bucketed using a separate method. The third
rule requires \( O \left( |B|^2 \right) \) total time where \( |B| \) is the number of buckets created by
the other method. Specifically the authors use the method of comparing the top
frame from each stack, that is evaluated in this paper as the **1Frame** method.
This method appears to create a number of buckets roughly proportional to the
number of seen crashes, \( n \). Thus, the third rule requires \( O \left( n^2 \right) \) total time, though
with a low coefficient. The only method from Wang et al. [Wang et al.] directly
evaluated in this paper is the method of comparing file names at the top of the
stack.

Thus, there are many approaches for bucketing crash reports and crash report
similarity, but some are less realistic or industrially applicable than others. Any
new work in the field must attempt to compare itself against some of the prior
techniques such as Lerch and Mezini [Lerch and Mezini].

### 2 METHODOLOGY

First, the requirements for an industrial-scale automated crash deduplication sys-
tem were characterized by looking at systems that are currently in use. Then,
a variety of methods from the existing literature were evaluated for applicability
to the task of automated crash report deduplication. Several methods that met
the requirements were selected. A general purpose Python framework in which
any of the selected deduplication methods could be supported and evaluated was
developed, and then used to evaluate all of the methods by simulating the process
of automated crash reports arriving over time. Additionally, a dataset that could
be used as a gold set to judge the performance of such methods was obtained. The
dataset was then filtered to include only crash reports that had been deduplicated
by human developers and volunteers.

Various approaches of automatic crash report categorization (the exact prob-
lem that Ada is tasked with solving) is simulated. First, a crash report arrives
with no information other than what was gathered by the automated reporting
mechanisms on the user’s machine. This report might include a description written
by the user of what they were doing when the crash occurred. However, these
descriptions are often full of foul language as opposed to useful information for
debugging. Figure 3 is an example of one of the crash reports used in the evalua-
tion with a user-submitted description on the second line, metadata in the middle,
and a stack trace on the bottom.

#### 2.1 Mining Crash Reports

The first step in the evaluation procedure is mining of crash reports from Ubuntu’s
bug repository, Launchpad [Canonical Ltd.]. This was done using a modified
version of Bicho [23], a software repository mining tool.\(^3\) Over the course of one
month, Bicho was able to retrieve 126 609 issues from Launchpad, including 80 478

\(^3\)https://github.com/orezpraw/Bicho/
stack traces in 44,465 issues. Some issues contain more than one stack trace. For
issues that contained more than one stack trace, the first stack trace posted to that
issue was selected, yielding 44,465 issues with crash reports and stack traces. The
first stack trace is selected because it is the one that arrives with the automated
crash report, generated by the instrumentation on the user’s machine.

Ubuntu crash reports were used for the evaluation because they are automati-
cally generated and submitted but many of them have been manually deduplicated
by Ubuntu developers and volunteers. Other data sources, such as Mozilla’s Crash
Reports have already been deduplicated by Mozilla’s own automated system, not
by humans.

Next, the issues were put into groups based on whether they were marked as
duplicates of another issue, resulting in 30,664 groups of issues. These groups are
referred to as “issue buckets” for the remainder of the paper, to prevent confound-
ing with groups of crash reports, that will be referred to as “crash buckets.” This
dataset is available! 4

2.1.1 Stack Trace Extraction
Each issue and stack trace obtained from Ubuntu is formatted as plain text, as
shown in Figure 3. They were then parsed into JSON-formatted data with indi-
vidual fields for each item, such as address, function name, and which library the
function came from. Unfortunately, this formatting is not always consistent and
may be unusable. For example, some stack traces contain unintelligible binary
data in place of the function name. This could be caused by memory corruption
when the stack trace was captured. 2,216 crash reports and stack traces were
thrown out because their formatting could not be parsed, leaving 41,708 crash
reports with stack traces.

2.1.2 Crash Report and Stack Trace Data
Issues were then filtered to only those that had been deduplicated by Ubuntu
developers and other volunteers, yielding 15,293 issues with 15,293 stack traces
in 3,824 issue buckets. These crash reports were submitted to Launchpad by the
Apport tool. 5 They were collected over a one month period. Because Launchpad
places restrictions on how often the Launchpad API can be used to request data,
and each crash report required multiple requests, it required over 20 seconds to
download each issue. The crash reports used in the evaluation span 617 different
source packages, each of which represents a software system. The only commonali-
ties between them are that they are all written in C, C++, or other languages that
compile to binaries debuggable by a C debugger, and that they are installed and
used on Ubuntu. The most frequently reported software system is Gnome 6, which
has 2,154 crash reports with stack traces. This dataset is large, comprehensive
and covers a wide variety of projects.

4https://pizza.cs.ualberta.ca/bugkets.txz, augmented over time as more crash re-
ports are mined from the Launchpad Ubuntu issue repository.
5https://launchpad.net/apport
6https://www.gnome.org/
2.2 Crash Bucket Brigade

In order to simulate the timely nature of the data, each report is added to a simulated crash report repository one at a time. This is done so that no method can access data “from the future” to choose a bucket to assign a crash report to. It is first assigned a bucket based on the crashes and buckets already in the simulated repository, then it is added to the repository as a member of that bucket.

2.3 Deciding when a Crash is not Like the Others

For methods based on Lerch and Mezini, there is a threshold value, $T$, that determines how often, and when, an incoming crash report is assigned to a new bucket. A specific value for $T$ was not described by Lerch and Mezini, so a range of different values from 1.0 to 10.0 were evaluated. Higher values of $T$ will cause the algorithm to create new buckets more often.

The threshold value applies to the score produced by the Lucene search engine inside Elasticsearch 1.6 [Elasticsearch BV]. Details of this tf–idf based scoring method are described within the ElasticSearch documentation.\(^7\) The scoring algorithm is based on tf–idf, but contains a few minor adjustments intended to make scores returned from different queries more comparable.

2.4 Implementation

The complete implementation of the evaluation presented in this paper is available in the open-source software PARTYCRASHER.\(^8\) The implementation includes every deduplication method we claimed to evaluate above, a general-purpose deduplication framework, the programs used to mine and filter the data used for the evaluation, the programs that produced the evaluation results, the raw evaluation results, and the scripts used to plot them.

2.5 Evaluation Metrics

Two families of evaluation metrics were used. These are the $BCubed$ precision, recall, and $F_1$-score, and the purity, inverse purity, and $F_1$-score. Both are suitable for characterizing the performance of online non-stationary clustering algorithms by comparing the clusters that evolve over time to clusters created by hand. A comparison of $BCubed$ and purity, along with several other metrics, and an argument for the advantages of $BCubed$ over purity is provided in Amigó et al.\(^9\) The mathematical formulae for both metrics can be found in Amigó et al.\(^9\). However, purity also has an advantage over $BCubed$: specifically that it does not require $O(n^2)$ total time to compute whereas $BCubed$ does.

If a method has a high $BCubed$ precision, this means that there would be less chance of a developer finding unrelated crashes in the same bucket. This is important to prevent crashes caused by two unrelated bugs from sharing a bucket,

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\(^7\)https://www.elastic.co/guide/en/elasticsearch/guide/1.x/practical-scoring-function.html

\(^8\)https://github.com/orozpraw/partycrasher
possibly causing one bug to go unnoticed since usually a developer would not
examine all of the crashes in a single bucket.

If a method has a high BCubed recall, this means that there would be less
chance of all the crashes caused by a single bug to become separated into mul-
tiple buckets. Reducing the scattering of a single bug across multiple buckets
is important as scattering interferes with statistics about frequently experienced
bugs.

In contrast, purity and inverse purity focus on finding the bucket in the exper-
imental results that most closely matches the bucket in the gold set. Then the
overlap between the two closest matching buckets is used to compute the purity
and inverse purity metrics, with high purity indicating that most of the items
in a bucket produced by one of the methods evaluated are also in the matching
bucket in the gold set. High recall indicates that most of the items in a bucket
from the gold set are found in the matching bucket produced by the method being
evaluated.

The purity method does not, however, completely reflect the goals of the eval-
uation. Purity and inverse purity do not capture anything besides the overlap
between the two buckets that overlap the most. So, if a method creates a bucket
that is 51% composed of crashes from a single bug, the other 49% doesn’t matter.
That 49% could come from a different bug, or 200 different bugs, but the purity
would be the same value. It is included in this evaluation for completeness, since
it was used by Dang et al. [Dang et al.].

Both metrics can be combined into F-scores. In this evaluation, F\textsubscript{1}-scores were
used, placing equal weight on precision and recall (or purity and inverse purity.)
BCubed and purity can be used with the gold set, hand-made buckets that
are available from Ubuntu’s Launchpad [Canonical Ltd.] bug tracking system.
Ubuntu developers and volunteers have manually marked many of the bugs in
their bug tracker as duplicates. Furthermore, many of the bugs in the bug tracker
are automatically filed by Ubuntu’s automated crash reporting system, Apport.
This evaluation uses only bugs that were both automatically filed by Apport and
manually marked as duplicates of at least one other bug. The dataset is biased to
the distribution of crashes that are bucketed, which might be different than crashes
that are not. Conversely, this prevents the evaluation dataset from containing any
crashes that have not yet evaluated by an Ubuntu developer or volunteer.

3 RESULTS

After extracting crash reports from Launchpad, and implementing various crash
report bucketing algorithms, the performance of these algorithms on the Launch-
pad gold set was evaluated. Evaluation is multifaceted as in most information
retrieval studies since the importance of either precision or recall are tuneable.

3.1 BCubed and Purity

Evaluation of the performance of bucketing algorithms is performed with BCubed
and purity metrics. Figure 4 shows the performance of a variety of deduplication
methods evaluated against the entire gold set of deduplicated crash reports. The 1File and 1Addr methods have the most precision, while LerchC has the most recall. F1-score is dominated by CamelC and Lerch. As in the results of Lerch and Mezini [Lerch and Mezini], using only the stacks outperforms using the stack plus its metadata and contextual information in terms of F1-score. For the CamelC, Lerch, and LerchC simulations, a threshold of $T = 4.0$ was used.

Amigó et al. [Amigó et al.] observed differences in BCubed and purity metrics. Their observation was tested empirically by the evaluation. In figure 4, BCubed and purity showed similar results. The best and worst methods in terms of BCubed precision are the same as the best and worst methods in terms of purity; the same holds true for BCubed recall and inverse purity, and BCubed F1-score and purity F1-score. However, some of the methods with intermediate performance are much closer together in purity F1-score than they are in BCubed F1-score.

Figure 4 also shows that in general, if a method has a higher precision or purity, it also has a lower recall and inverse purity. For example, 3Frame has a higher precision than 2Frame, having a higher precision than 1Frame, but 1Frame has a higher recall than 2Frame and 3Frame.

The CamelC crash bucketing method employs: tf-idf; a tokenizer that attempts to break up identifiers such as variable names into their component words; and the entire context of the crash report including all fields reported in addition to the stack. It outperforms other bucketing methods evaluated.

### 3.2 Bucketing Effectiveness

Figure 5 shows the number of buckets created by a variety of deduplication methods. The number of issue buckets extracted from the Ubuntu Launchpad gold set is plotted as the line labelled Ubuntu. The method that created a number of buckets most similar to the number mined from the Ubuntu Launchpad gold set was LerchC. For the Lerch and LerchC simulations, a threshold of $T = 4.0$ was used.

Figure 6 shows the performance of the Lerch method when used with a variety of different new-bucket thresholds, $T$. Figure 7 shows the number of buckets created by the same method with those same thresholds. Since Lerch and Mezini [Lerch and Mezini] did not specify what threshold they used, this evaluation explored a range of thresholds. It can be seen from the plots that the relative performance of $T$ thresholds, in terms of BCubed precision, BCubed recall, and BCubed F1-score, becomes apparent after only 5 000 crash reports. Thus, only 5 000 crash reports would need to be examined by hand for developers using the Lerch method to choose a suitable value for $T$.

For all the results that do not specify a value for $T$, $T = 4.0$ was used. The highest F1-score was observed at $T = 4.0$ after only processing 5 000 bugs with a variety of different thresholds. For Lerch, a threshold of $3.5 < T < 4.5$ had the highest performance.

As shown in figure 8, $T = 4.0$ still has the highest F1-score after every crash was processed. Furthermore, other values of $T$ near 4.0 have the same F1-score,
including the range $3.5 \leq T \leq 4.5$. Figure 8 also shows how the threshold can be tuned to create a trade-off between precision and recall. Setting a threshold of 0.0 is similar to instructing the system to put all of the crashes into a single bucket. This would be the correct choice if developers were satisfied with the explanation that all of those crashes were created by a single bug. In that case the bug would likely be filed as an issue titled, “Programs on Ubuntu Crash.” The fact that setting the threshold to 0.0 does not result in recall quite at 1.0 is an artifact of optimizations employed in ElasticSearch, specifically ElasticSearch’s inverted index.

Conversely, setting the threshold to 10.0 results in every crash being assigned to its own bucket, and therefore a perfect precision of 1.0. This would be the correct choice if developers considered every individual crash to be a distinct bug because the exact state of the computer was at least somewhat different during each crash. It might be more desirable to tune the value of $T$ by using direct developer feedback rather than the technique employed here, comparing against an existing dataset. Instead of using data, one could ask developers if they had seen too many crashes caused by unrelated bugs in a single bucket recently. If they had, then $T$ should be increased. Or, $T$ should be decreased if developers see multiple buckets that seemed to be focused on crashes caused by the same bug.

### 3.3 Tokenization

Threshold isn’t the only way that a trade-off between precision and recall can be made. A variety of methods were tested that use the ElasticSearch/Lucene tf-idf-based search from Lerch and Mezini [Lerch and Mezini], but do not follow their tokenization strategy. The performance of several tokenization strategies is shown in figure 10. As in other cases, the methods with high precision had low recall, and the methods with high recall had low precision. All methods shown in figure 10 used a threshold of $T = 4.0$.

The Space method is obtained by replacing the tokenization strategy in Lerch with one that splits words on whitespace only, such that it does not discard any tokens regardless of how short they are, and does not lowercase every letter in the input. The Space method performs worse than Lerch. However, when both stack traces and context are used, the SpaceC method, performance improves slightly. This is the opposite behaviour of Lerch. Adding context (LerchC) causes performance to decrease slightly. A third tokenization strategy, Camel was evaluated. Camel attempts to break words that are written in CamelCase into their component words, using a method provided in the ElasticSearch documentation.\(^9\) This strategy had the worst performance of the three, until it was used with context included, called CamelC. The addition of context allowed CamelC to outperform every other method evaluated in this paper.

The worst-performing tokenization evaluated, 1Addr, was also the method that produced the largest number of buckets. However, tuning methods to match the number of buckets in the gold set without concern for performance did not result

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\(^9\)https://github.com/elastic/elasticsearch/blob/1.6/docs/reference/analysis/analyzers/pattern-analyzer.asciidoc
in higher performance. Lerch with $T = 3.0$ and SpaceC with $T = 4.0$ were not the best-performing threshold or method, but both produced almost the same number of buckets as the gold set.

### 3.4 Runtime Performance

The current implementation of PartyCrasher requires only 45 minutes to bucket and ingest 15,293 crashes, using the slowest algorithm, CamelC, on an Intel(R) Core(TM) i7-3770K CPU @ 3.50GHz machine with 32GiB of RAM and a Hitachi HDS723020BLE640 7200 RPM hard drive. Performance depends mainly on disk throughput, latency and RAM available for caching; ElasticSearch recommends using only solid-state drives. This works out to 335 crashes per minute, meeting the performance goal of 217 crashes per minute based on crash-stats from Mozilla. The performance of ElasticSearch is highly dependent on ElasticSearch’s configuration settings. The settings used during these evaluations is available in the PartyCrasher repository.

### 4 DISCUSSION

#### 4.1 Threats to Validity

Results are dependent on the gold set—a manual classification of crash report by Ubuntu volunteers. The results maybe biased due to the exclusive use of known duplicate crashes; the known and classified duplicates may not be representative of all crash reports. If any of these methods with with tunable parameters are deployed, the parameters should be tuned based on feedback from people working with the crash buckets, not just the gold set.

Since the evaluation only used data from open source software, it is unknown if our results are applicable to closed-source domains. Only stacks that originate from C and C++ projects have been evaluated; it is possible that other languages, compilers, and their runtimes have different characteristics in how they form stack traces. However, these results are corroborated by studies that examined Java exclusively [Wang et al., Lerch and Mezini].

#### 4.2 Related work

Although crash bucketing facilitates manual debugging of individual faults, crash buckets are much more beneficial as the input to other methods in software engineering. Lerch and Mezini [Lerch and Mezini] originally applied their technique to the field of deduplicating bug, not crash, reports; Khomh et al. [Khomh et al.] used crash buckets to triage bugs: prioritizing developer effort on the most crucial bugs. Seo and Kim [Seo and Kim] leveraged crash buckets to predict “recurring crashes” — *i.e.*, bugs that were “fixed” but had to be fixed again in a later revision. Crash buckets may also serve as input to crash localization [Liu and Han, Wang et al., Wu et al.] and crash visualization [Kim et al., Dang et al.].
4.3 Future Work

The results in this paper indicate that there may be a large number of improvements that could be made to the relatively high-performance tf–idf-based crash deduplication methods.

Many stack comparison methods [Modani et al., Glerum et al., Dhaliwal et al., Wang et al.], take into account the position that each frame is on the stack, giving more weight to the frames near the top of the stack and less weight to frames on the bottom of the stack, or consider stacks that have similar frames in a similar order. The best-performing method of crash deduplication presented in this paper completely disregards information about the order of the stack. It is likely that a technique based on tf–idf that also incorporates information about the order of frames on the stack would outperform all of the methods evaluated in this paper. This could be achieved by giving words that appear in the top of the stack more weight when computing tf–idf or by re-ranking the top results produced by tf–idf according to stack similarity before choosing a bucket to place a crash in. Neither of these extensions would cause the method to be unable to scale.

The tokenization techniques evaluated in this paper are extremely primitive. They are merely regular expressions that break up words based on certain types of characters such as spaces, symbols, uppercase letters, lowercase letters and numbers. Advanced tokenization techniques, such as the ones found in Guerrouj et al. [12] and Hill et al. [13], would likely outperform the basic techniques that have been evaluated in this paper.

As shown in figure 3, crash reports often contain a multitude of data apart from the stack trace itself. This paper only measured the performance of tf–idf when using only the stack trace or the entire crash report. Some fields in the crash report may be more important to obtaining a high performance than others. For example, Architecture (the computer architecture on which the crash occurred) might be more valuable for deduplication than CrashCounter (the number of times that a crash has occurred on that computer) or vice-versa, but this has not been studied in the context of information retrieval.

We would like to extend information retrieval techniques with more sophisticated normalization. We want to investigate any effects that stack normalization, as first proposed by Brodie et al. [Brodie et al.], would have on our tf–idf approach.

It would be valuable to measure the effectiveness of using the buckets produced by the CamelC technique as input to other methods, such as those that perform bug triaging [Khomh et al.] and crash localization [Wu et al.].

5 CONCLUSION

The results in this paper indicate that off-the-shelf tf–idf-based information retrieval tools can bucket crash reports in a completely unsupervised, large-scale setting when compared to variety of other previously proposed algorithms. Based on these results, a developer, such as Ada, should choose a tf–idf-based crash deduplication method with tokenization that fits their dataset, and intermediate
They should update this threshold based on feedback from developers, volunteers, or employees that work with the stack traces directly. A tf–idf approach that used the entire crash report and stack trace, tokenized using camel-case had the best F1-score on the Ubuntu Launchpad crash reports used in this work. In addition, there is a lot of room for improvements to these techniques. This conclusion is surprising in light of the fact that the tf–idf-based techniques evaluated disregard information that is often considered to be essential to stack traces, such as the order of the frames in the stack.

Finally the research questions can be answered:

**RQ1:** tf–idf-based methods are effective, industrial-scale methods of crash report bucketing. 
**RQ2:** New-bucket thresholds and tokenization strategies can be tuned to increase precision and recall.

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[soc] mozilla/socorro: Socorro is a server to accept and process Breakpad crash reports. 
[Canonical Ltd.] Canonical Ltd. Launchpad. 


Figure 2. **PARTYCrasher** within a development context
Binary package hint: evolution-exchange

I just start Evolution, wait about 2 minutes, and then evolution-exchange crashed

ProblemType: Crash
Architecture: i386
CrashCounter: 1
Date: Tue Jul 17 10:09:50 2007
DistroRelease: Ubuntu 7.10
ExecutablePath: /usr/lib/evolution/2.12/evolution-exchange-storage
NonfreeKernelModules: vmnet vmmon
Package: evolution-exchange 2.11.5-0ubuntu1
PackageArchitecture: i386
ProcCwd: /usr/lib/evolution/2.12/evolution-exchange-storage
ProcEnviron:
PATH=/usr/local/sbin:/usr/local/bin:/usr/sbin:/usr/bin:/sbin:/bin:/usr/games
LANG=en_US.UTF-8
SHELL=/bin/bash
Signal: 11
SourcePackage: evolution-exchange
Title: evolution-exchange-storage crashed with SIGSEGV in soup_connection_disconnect
Uname: Linux encahl 2.6.20-15-generic #2 SMP Sun Apr 15 07:36:31 UTC 2007 i686 G
UserGroups: adm admin audio cdrom dialout dip floppy kvmu lpadmin netdev plugdev

#0 0xb71e8d92 in soup_connection_disconnect () from /usr/lib/libsoup-2.2.so.8
#1 0xb71e8dfd in ?? () from /usr/lib/libsoup-2.2.so.8
#2 0x080e5a48 in ?? ()
#3 0xb6eaf678 in ?? () from /usr/lib/libgobject-2.0.so.0
#4 0xbf613e8 in ?? ()
#5 0xb668b179 in g_cclosure_marshal_VOID__VOID ()
   from /usr/lib/libgobject-2.0.so.0

Backtrace stopped: frame did not save the PC

**Figure 3.** An example crash report, including stack.
Figure 4. BCubed (top) and Purity-metric (bottom) scores for various methods of crash report deduplication.
Figure 5. Number of buckets created as a function of number of crashes seen. The line labelled **Ubuntu** indicates the number of groups crashes that were marked as duplicates of each other by Ubuntu developers or volunteers.
Figure 6. BCubed scores for the Lerch method of crash report deduplication at various new-bucket thresholds $T$. 
Figure 7. Number of buckets created as a function of number of crashes seen for the Lerch method of crash report deduplication at various new-bucket thresholds $T$. The line labelled Ubuntu indicates the number of groups crashes that were marked as duplicates of each other by Ubuntu developers or volunteers.
Figure 8. Precision/Recall plot showing the trade-off between BCubed precision and recall as the new-bucket threshold $T$ is adjusted. BCubed $F_1$-score is also listed in the plot.
Figure 9. Purity-metric scores for the Lerch method of crash report deduplication at various new-bucket thresholds $T$. 
Figure 10. BCubed scores for the Lerch method of crash report deduplication with Lerch’s tokenization technique replaced by a variety of other techniques.
Figure 11. Purity-metric scores for the tf–idf-based methods of crash report deduplication with various tokenization strategies.