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1 **Phylogenetics and Population Genetics of *Plotosus canius* (Siluriformes: Plotosidae) from**
2 **Malaysian Coastal Waters**

3

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24 **ABSTRACT:** *Plotosus canius* (Hamilton, 1822) is a significant marine species in Malaysia from
25 nutritional and commercial perspectives. Despite numerous fundamental researches on biological
26 characteristics of *P.canius*, there are various concerns on the level of population differentiation,
27 genomic structure, and the level of genetic variability among their populations due to deficiency
28 of genetic-based studies. Deficiency on basic contexts such as stock identification, phylogenetic
29 relationship and population genetic structure would negatively impact their sustainable
30 conservation. Hence, this study was conducted to characterize the genetic structure of *P.canius*
31 for the first time through the application of mitochondrial Cytochrome Oxidase I (COI) gene,
32 cross amplification of *Tandanus tandanus* microsatellites, and a total of 117 collected specimens
33 across five selected populations of Malaysia. The experimental results of the mitochondrial
34 analysis revealed that the haplotype diversity and nucleotide diversity varied from 0.395 to 0.771
35 and 0.033 to 0.65 respectively. Moreover, the statistical analysis of microsatellites addressed a
36 considerable heterozygote insufficiency in all populations, with average observed heterozygosity
37 (H_0) value of 0.2168, which was lower than the standard heterozygosity in marine populations
38 ($H_0= 0.79$). This alongside the high *Fis* values estimation, high pairwise differentiation among
39 populations and low within population variations are supposed to be associated with small
40 sample size, and inbreeding system. Besides, the significant finding of this study was the sharing
41 of common haplotype KR086940 at which reflects a historical genetic connectivity between
42 Peninsular Malaysia and Borneo populations due to the geological history of Southeast Asia
43 during Pleistocene era. To put it briefly, the current study has managed to provide an initial
44 genomic database toward understanding of the genetic characterization, phylogenetic, molecular
45 diversification and population structure in *P.canius*, and should be necessary highlighted for
46 appropriate management and conservation of species. Though, further studies must be carried out
47 involving more geographical and sampling sites, larger population size per site, and utilization of
48 more COI genes and nuclear hypervariable markers.

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53 **INTRODUCTION**

54 *Plotosus canius* (Hamilton, 1822) that is known as grey eel-catfish, black eel-tail catfish, canine
55 catfish or Indian catfish (Khan *et al.*, 2002; Riede, 2004; Usman *et al.*, 2013; Prithiviraj, 2014),
56 were diagnosed as a member of genus *Plotosus*, family Plotosidae (Froese & Pauly, 2015). They
57 are being mainly distributed in estuaries, freshwater rivers, lagoons, and shallow waters of
58 Australia and Southeast Asia (Carpenter, 1999; Prithiviraj & Annadurai, 2012). The species is an
59 amphidromous and demersal bony fish that can live in marine, brackish and freshwater habitats.
60 Their relocation in about 100 km range were described as cyclical and frequent horizontal
61 movement on which could not be categorized as breeding migration (Riede, 2004). However, the
62 species might be recently endured genetic destruction mostly due to overexploitation similar to
63 other fish species (Pauly *et al.*, 2002; Collette *et al.*, 2011; Usman *et al.*, 2013), their population
64 structure could be considered as the reliable indicator in detection of sustainable and healthy
65 marine environments (Thomsen *et al.*, 2012; Bourlat *et al.*, 2013).

66
67 Population structure is the direct consequence of biogeography (Leffler *et al.*, 2012), which
68 provides invaluable statistics on patterns of species dynamics, colonization, and isolation
69 (Costello *et al.*, 2003). As species become accustomed into new habitat, effective size of
70 population extends through its dispersal, resulting in intensification of genetic variation
71 (Charlesworth & Willis, 2009). However, deterioration of environmental equations alongside
72 with ecological fluctuations such as recent re-treatment of Pleistocene era have changed species
73 extensive genetic patterns (Krishnamurthy & Francis, 2012). Adding to complication, the
74 accuracy of associated conservation strategies can be successively restrained by deficiency of
75 reliable knowledge on biodiversity, conservation resolution, and extent of biological destruction
76 among taxonomic levels (Wright *et al.*, 2008; Butchart *et al.*, 2010; Magurran *et al.*, 2010;
77 Pereira *et al.*, 2010; Hoffmann *et al.*, 2011). Such scarcities confidently offered a viable
78 incentive to regulate the sustainable species variation (Primack, 2002; Duvernell *et al.*, 2008;
79 Appeltans *et al.*, 2012; Bourlat *et al.*, 2013; Leray & Knowlton, 2015) through advancing
80 genomic protocols to challenge the genetic intimidations such as distraction of local traits,
81 genetic drift and inbreeding effects (Tallmon *et al.*, 2004).

82

83 The same conservation obstacle is hypothetically threatening *P.caninus* populations in Malaysia,
84 since there is not any comprehensive documentation nor a single initial research on their genetic
85 characterization. Indeed, regarding to their regional significance in Oceania and Southeast Asia
86 (Usman *et al.*, 2013), a few regional studies have been merely carried out on basic biological
87 perceptions of *Plotosus caninus* including their morphology and fisheries (Kumar, 2012; Usman
88 *et al.*, 2013), fecundity (Khan *et al.*, 2002; Usman *et al.*, 2013), feeding behaviour (Leh *et al.*,
89 2012), protein structure (Prithiviraj & Annadurai, 2012) and pharmacology (Prithiviraj, 2014).
90 Such deficiencies have raised some severe concerns on the level of population structure, genetic
91 variation and the consequences of genetic differentiation among populations of *P.caninus*
92 especially in Malaysia. Thus, this study was performed to genetically characterize *P.caninus*
93 through the utilization of the mitochondrial Cytochrome Oxidase I (COI) gene and *Tandanus*
94 *tandanus* microsatellites, in order to examine the accuracy of employed markers in phylogenetic
95 study, genetic variation assignment, and population genetic structure of *P.caninus* in Malaysia.

96
97

98 MATERIALS AND METHODS

99 Sample Collection and DNA Isolation

100

101 Total of 130 catfish samples demonstrating 2 species of family Plotosidae were directly collected
102 including of 117 samples of *Plotosus caninus* and 13 samples of *Plotosus lineatus*. Sample
103 collection of *P.caninus* were performed in 5 various districts throughout Malaysia including:
104 Negeri Sembilan (NSN), Selangor (SGR), Johor (JHR), Sarawak (SWK) and Sabah (SBH)
105 (Figure.1) from May to December 2014, while *P.lineatus* samples were only collected from
106 Selangor. Sampling was carried out directly from fishermen in commercial fishing docks of Port
107 Dickson (Negeri Sembilan), Kuala Selangor (Selangor), Kukup (Johor), Bintulu (Sarawak) and
108 Putatan (Sabah). DNA extraction protocol was performed upon sample collection in laboratory
109 via the Wizard® SV Genomic DNA Purification System (Promega, USA), according to
110 manufacturer's protocol instructions by using roughly 20 mg of specimens.

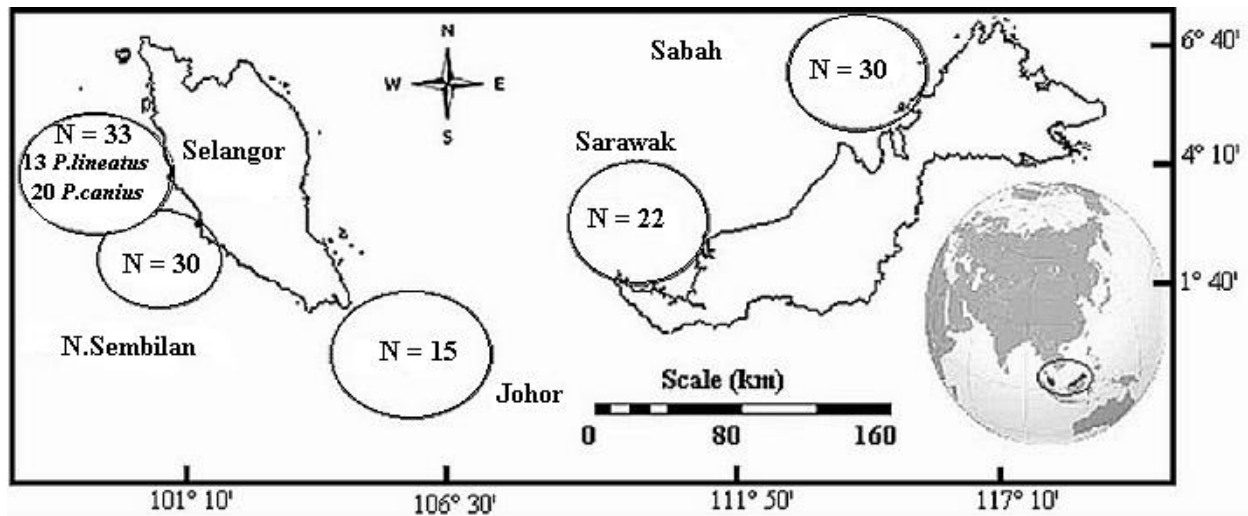


Figure. 1) Sampling sites and sample size (N) diagram of *P. canius* and *P. lineatus* in Malaysia.

PCR Amplification and Sequencing of Mitochondrial DNA

To accurately amplify a 655 bp fragment of mitochondrial DNA, PCR amplification were performed by using C_Fish1 primer set (Ward *et al.*, 2005) on the 5' end of COI gene. The amplification protocol were conducted in an overall volume of 25 μ l at which contained 0.6 μ l of each deoxynucleotide triphosphate (dNTPs), 0.7 μ l Taq polymerase, 1.2 μ l MgCl₂, 0.25 μ l of each primers, 2 μ l of concentrated genomic DNA, 2.5 μ l of Taq buffer and 18 μ l of distilled H₂O as stated by Ward *et al.* 2005, with slight modification. The PCR reaction was carried out using an Eppendorf Mastercycler based on the following thermal regime: 2 min of 95 °C initial denaturation step; 35 cycles of 94 °C denaturation step for 30 s, a 54°C annealing temperature for 45 s and a 72°C extension period of 1 min; followed by 72 °C final extension step for 10 min and a routine 4 °C final hold (Ward *et al.*, 2005). In order to confirm that the PCR reaction generated sufficient amplicon proportions, PCR amplification products were visualized using a 2.0 per cent laboratory grade agarose gel containing 5 μ l GelRed stain. Amplified products were subsequently isolated and purified upon their visualization and documentation. DNA purification from gel was commonly carried out using the Wizard® SV Gel and PCR Clean-up System (Promega, USA). Purified DNA samples were finally sent to private sector institution (1st Base laboratories Sdn Bhd) for sequencing to generate associated trace files and continuous read lengths intended for genetic and statistical analysis of mitochondrial DNA.

133 Statistical Analysis of Mitochondrial DNA

134

135 Trace files were manually end-trimmed using BioEdit software 7.2.5 (Hall, 1999) regarding to
136 their homologous section. Afterwards, ClustalX 2.1 (Thompson *et al.*, 1997) was applied to
137 progressively manipulate, align and analyze the DNA sequences. Finally, haplotypes were
138 detected with DnaSP software 5.10.01 (Librado & Rozas, 2009) and deposited into
139 BOLD SYSTEM (international barcode of life) and GenBank.

140

141 To comparatively analyze mitochondrial DNA sequences, MEGA 6 program (Tamura *et al.*,
142 2013) were used intending to understand the phylogenetic outlines of COI gene and species,
143 generate sequence alignment and perform evolutionary analysis. Calculation of the pairwise
144 distance was obtained through 1000 bootstrap variance estimation and Tamura-Nei model
145 (Tamura & Nei, 1993). Moreover, overall mean nucleotide distance of sequences was computed
146 using same configuration at each codon positions separately. Subsequently, construction of
147 phylogenetic tree from the highest grade aligned sequences of *P.caninus* and *P.lineatus* was
148 prompted in comparison to one haplotype of African sharp tooth catfish *Clarias gariepinus*
149 (ANGBF8254-12) from Thailand as an outgroup through Neighbor-Joining (NJ) and Maximum
150 Likelihood (ML) methods using a mutual 1000 replication bootstrap. Next, Minimum Spanning
151 Network (MSN) was computed using PopART (Bandelt *et al.*, 1994) application among obtained
152 sequences of *P.caninus*.

153

154 Extraction of genetic features from assembly of sequences based on some rudimentary
155 implemented analytical tests was performed through Arlequin software 3.5 (Excoffier *et al.*,
156 2005). As the most crucial objective was to compute the genetic structure, hierarchical analysis
157 of molecular variance (AMOVA) and pairwise F_{ST} values of chi square test, population
158 differentiation was successively calculated among five populations of *P.caninus* in Malaysia.
159 Analysis of molecular variance was carried out using 1000 permutation to compute distance
160 matrix of sequences, while the same structure were implemented for comparison of all available
161 pair samples and populations through calculation of F_{ST} with 0.05 significance level.

162

163

164 Microsatellite Genotyping

165

166 To run measured PCR protocol, optimization of PCR composition were performed at which
167 made positive outcomes based on initial PCR regime using the main protocol for the
168 amplification of *Tandanus tandanus* (Rourke *et al.*, 2010) with minor regulation in the amount
169 and concentration of primers, *Taq* DNA polymerase, MgCl₂ and dNTPs to enhance the accuracy
170 of the protocol. However, the optimum annealing temperature were practically calculated 55°C
171 similar as original species. To consciously amplify DNA fragments, PCR amplification were
172 performed by using five cross-amplified microsatellites of *T.tandanus* (Rourke *et al.*, 2010;
173 Rourke & Gilligan, 2010) on the 5' end, presented in Table.5. The feasible amplification
174 protocol were conducted in a total volume of 25 µl solution inclosing 0.6 µl of each
175 deoxynucleotide triphosphate (dNTPs), 0.7 µl *Taq* DNA polymerase, 1.2 µl MgCl₂, 0.25 µl of
176 each primers, 2 µl of concentrated genomic DNA, 2.5 µl of *Taq* buffer and 18 µl of distilled
177 H₂O as stated by Rourke & Gilligan (2010) with slight modification.

178

179 The PCR reaction was carried out using a gradient Eppendorf Mastercycler based on the
180 following thermal adjusted protocol: 2 min of 95 °C initial denaturation step; 35 cycles of 95 °C
181 denaturation step for 30 s, a 55°C annealing temperature for 45 s and a 72°C extension period of
182 1 min; followed by a 72 °C final extension (elongation) step for 10 min and a routine 4 °C final
183 hold (Rourke *et al.*, 2010; Rourke & Gilligan, 2010). Afterwards, PCR amplification products
184 were visualized using a 4.0% MetaPhor agarose gel containing 5 µl GelRed staining solution.
185 Subsequently, gel images were subjected to microsatellite screening and approximately 15 µl of
186 the florescent label products were packed and sent to First Base laboratories (private institution)
187 for fragments analysis.

188

189 Genetic Analysis of Microsatellite markers

190

191 In order to verify any null alleles and scoring error, MICROCHECKER 2.2.3 (Van Oosterhout *et*
192 *al.*, 2004) were applied using diploid data obtained from CONVERT software (Glaubitz, 2004).
193 GENEPOP 4.2 (Rousset, 2008) was employed in order to evaluate the conformity to the “Hardy-
194 Weinberg expectations” (HWE) with 10000 permutations for test of exact probability. Observed

7

195 heterozygosity (HO) was estimated using GENALEX 6.5 (Peakall & Smouse, 2012).
196 Successively, FSTAT 2.9.3.2 (Goudet, 1995) was applied to calculate the expected
197 heterozygosity (HE), with 15000 permutation and MolKin 3.0 (Gutiérrez *et al.*, 2005) to validate
198 the genetic analysis among genetic dataset using Polymorphism Information Content (PIC).
199 Afterwards, ARLEQUIN 3.0 (Excoffier *et al.*, 2005) were used to analyze the genetic
200 configuration, hierarchical molecular analysis (AMOVA) and pairwise F_{ST} estimations among
201 all five involved populations, using reflection of 95% significance level and 10000 permutations.
202 Assignment of each individual to their genetic groups (K) employing admixture model and its
203 associated frequency of allelic data was carried out using the STRUCTURE program 2.1
204 (Pritchard *et al.*, 2000). Next, GENECLASS2 2.0 (Piry *et al.*, 2004) was implemented to conduct
205 assignment of individuals into the most plausible inheritance group. Finally, probability of
206 current bottleneck was tested using BOTTLENECK software 1.2.02 (Piry *et al.*, 1999).

207

208 RESULTS

209 Phylogenetic and Population Analysis inferred from Mitochondrial DNA

210

211 Among overall number of 130 studied specimens using one COI gene, a full mass of 118 reliable
212 sequences (approximately 91%) were identified. Once the sequencing was completed, the
213 C_Fish1 accordingly confirmed as suitable primer set amplifying in *P.caninus* and *P.lineatus*
214 DNA samples. Nevertheless, in some cases there were some uncertain base calls, there was no
215 observation of any stop codons nor any instances of insertion or deletion in sequences.
216 Deficiency of structural stop codon more likely supposed to be associated with every amplified
217 mitochondrial sequences, and all that, alongside with the read length of amplified sequences
218 implies that nuclear sequences initiated from vertebrate mitochondrial DNA are not sequenced.
219 Such occasions are based on the fact that nuclear sequences have typically read lengths less than
220 600-bp (Zhang & Hewitt, 1996). Therefore, the selected COI gene alone was considered for
221 phylogenetic and population structure analysis of *P.caninus* and *P.lineatus* in advance.

222

223 Preliminary evaluation of verified sequences was mainly generated 20 haplotypes in five
224 populations of *P.caninus* and 3 Haplotypes in one population of *P.lineatus* (Table.1). Based on the
225 presented data of obtained haplotypes, it can be evidently seen that in *P.caninus* samples,

226 KR086940 was found as the most common haplotype in the entire populations from Malaysia,
 227 however it was not found in the Negeri Sembilan and the Sabah populations. Moreover,
 228 KR086939 was detected as the second common haplotype in *P.caninus* populations. The
 229 N.Sembilan and the Selangor populations had the most unique haplotypes, while the Johor
 230 population had just two shared haplotypes each. In other words, the N.Sembilan and the Selangor
 231 population possessed the highest number of identified haplotypes ($n = 6$), while the N.Sembilan
 232 and the Sabah populations had five and four haplotypes respectively.

Species	BOLDSYSTEM Index	GenBank Accession Number	Sampling Site	
<i>Plotosus lineatus</i>	NUPM017-14	KP258659	Selangor	
	NUPM016-14	KP258657		
	NUPM015-14	KP258658		
	NUPM001-14	KP258648	Negeri Sembilan	
	NUPM002-14	KP258651		
	NUPM006-14	KP258655		
	NUPM023-15	KR086935		
	NUPM003-14	KP258650	Sabah	
	NUPM004-14	KP258649		
	NUPM005-14	KP258656	Selangor	
NUPM022-15	KR086936			
NUPM007-14	KP258654			
NUPM008-14	KP258653			
NUPM009-14	KP258652			
<i>Plotosus caninus</i>	NUPM020-15	KR086938	Sarawak	
	NUPM021-15	KR086937		
	NUPM010-14	KP221601		
	NUPM011-14	KP221602		
	NUPM012-14	KP221603		
	NUPM013-14	KP221604		
	NUPM014-14	KP221605		
	NUPM018-15	KR086940		Selangor
				Sarawak
				Johor
NUPM019-15	KR086939	Johor		
		Negeri Sembilan		

233

234

Table. 1) Overview of haplotypes, their sampling sites and accession numbers.

235 For the 655 available COI nucleotides, 509 sites (roughly 78 %) were detected as conserved
236 sites, 146 (22%) as variable sites and 136 (21%) identified as parsim-informative sites. The
237 average nucleotide composition in *P.caninus* was 29% T, 27.6% C, 25.2% A, and 18.3% G, while
238 the average C+G content of selected positions was calculated as 45.9%, in *P.lineatus* though,
239 calculation was 28, 28.6, 25, 18.4 and 47% respectively. Translation of all 23 haplotypes for
240 conserved 655 bp fragment was produced 165 amino acids, which presented no signal of
241 pseudogene in their structure. However sample size noticeably varied ranging from 13 to 30 in
242 collected samples of *P.lineatus* and *P.caninus* from five different districts in Malaysia, and
243 regarding to the fact that original sample sizes were moderate and some sequences were failed,
244 the actual sample collection is in the desired range recommended by Zhang *et al.* (2010).
245 Basically, in order to detect approximately 80% genetic variation, a collection of 31.9 to 617.8
246 samples could be needed; although it is far from real laboratory and field work measures; hence
247 it is suggested that at least 10 sample might be desirably sufficient to accurately identify the
248 genetic variability in real phylogenetic studies (Zhang *et al.*, 2010). An overview of most crucial
249 outcomes in polymorphism analysis (Table. 2) indicated that the number of variable sites are
250 moderately fluctuating from 2 in *P.lineatus* to 54 in *P.caninus* samples from Sarawak, While the
251 degree of nucleotide diversity was relatively low (0.00067-0.0391) and as its consequence, the
252 level of polymorphism and genetic variation in populations reasonably presented small portion.
253 Besides, the degree of haplotypes diversity waved from 0.395 (Sabah) to 0.771 (Sarawak).

254 The Tamura-Nei pairwise distance matrix (Figure. 2) indicated a comparatively high overall
255 interspecies pairwise divergence of 25.2 %, while the least interspecific distance was 0.2 %. The
256 Tamura-Nei intraspecific distance however, ranged from 0.2% to 9.7 % between *P.caninus* from
257 Sarawak and Selangor. Nevertheless, the majority of *P.caninus* pairwise distances displayed low
258 levels of conspecific divergence roughly around 1 %. The greatest genetic differences was
259 observed between the Selangor and Sarawak (KR086937-KP221604) samples (9.7%), which is
260 moderately reasonable due to their geographical distance. The next significantly high variances
261 was detected between the Sabah-Sarawak pair (KP258656-KP221604) and Negeri Sembilan-
262 Sarawak (KP258654-KP221604), although in later occasion, distance between Sarawak-Sabah
263 sites is extraordinarily closer than Sarawak-Negeri Sembilan.

264

Haplotype GenBank Accession Number	<i>P.caninus</i>				<i>P.lineatus</i>	
	Selangor <i>n</i> = 20	Negeri Sembilan <i>n</i> = 18	Johor <i>n</i> = 15	Sabah <i>n</i> = 30	Sarawak <i>n</i> = 22	Selangor <i>n</i> = 13
KP258659						0.078
KP258657						0.153
KP258658						0.769
KP258648		0.056				
KP258651		0.166				
KP258655		0.111				
KR086935		0.056				
KP258650				0.033		
KP258649				0.033		
KP258656				0.766		
KR086936				0.168		
KP258654	0.05					
KP258653	0.1					
KP258652	0.05					
KR086938	0.05					
KR086937	0.1					
KP221601					0.045	
KP221602					0.318	
KP221603					0.136	
KP221604					0.045	
KP221605					0.090	
KR086940	0.65		0.6		0.366	
KR086939		0.611	0.4			
Nucleotide Diversity (Pi JC)	0.00457	0.00184	0.00306	0.00134	0.0391	0.00067
Number of Haplotypes	6	5	2	4	6	3
Haplotype Diversity (Hd)	0.642	0.614	0.667	0.395	0.771	0.410
Number of Polymorphic site	14	5	3	4	57	2

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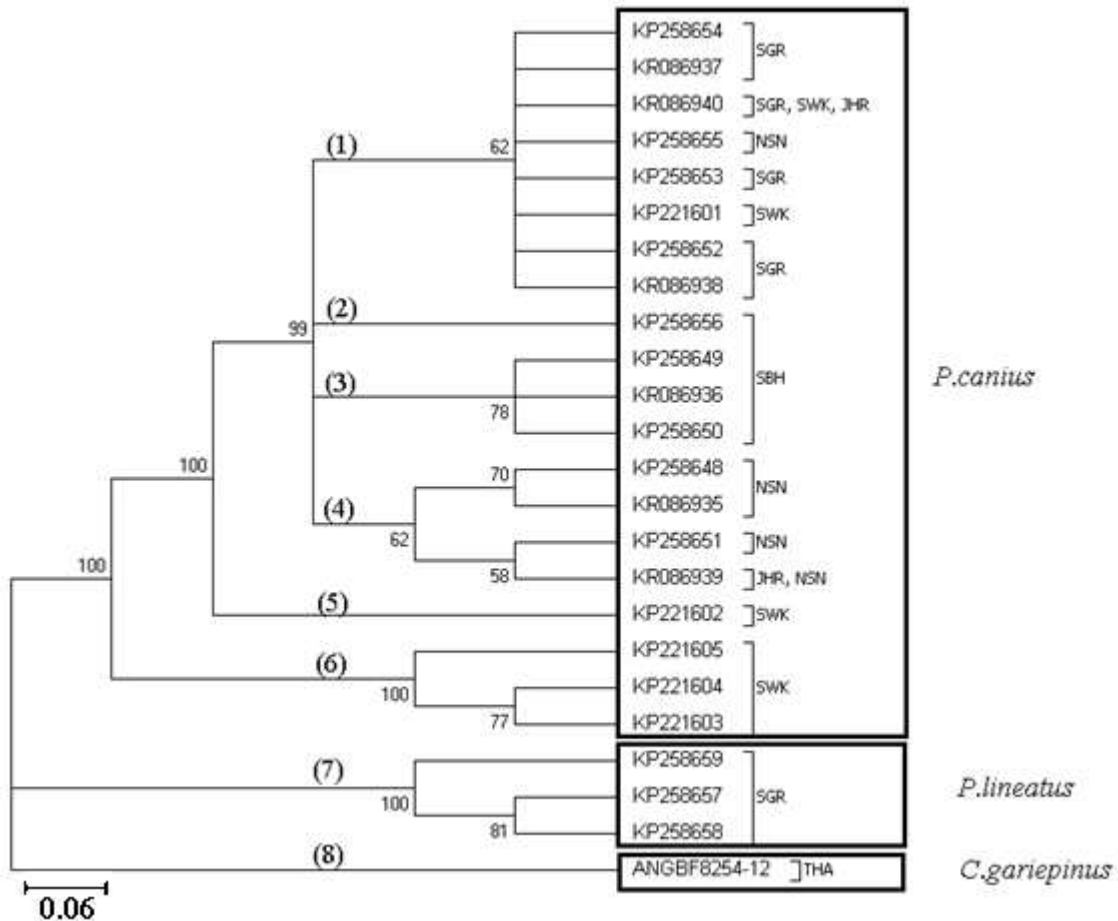
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Table. 2) Summary of 23 observed mitochondrial DNA haplotypes and their distribution, nucleotide diversity, number of haplotypes, haplotype diversity and number of polymorphic sites.

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
1 KP221604																								
2 KP221603	0.002																							
3 KP221605	0.003	0.002																						
4 KP258655	0.091	0.089	0.087																					
5 KP258654	0.093	0.091	0.089	0.002																				
6 KR086940	0.091	0.089	0.087	0.089	0.002																			
7 KP258653	0.090	0.088	0.086	0.002	0.003	0.002																		
8 KR086937	0.097	0.094	0.092	0.005	0.006	0.005	0.006																	
9 KP258652	0.096	0.094	0.092	0.006	0.008	0.006	0.008	0.005																
10 KR086938	0.089	0.087	0.084	0.002	0.003	0.002	0.003	0.006	0.006															
11 KP221601	0.091	0.089	0.087	0.003	0.005	0.003	0.005	0.008	0.009	0.005														
12 KR086936	0.089	0.087	0.084	0.005	0.006	0.005	0.006	0.009	0.011	0.006	0.008													
13 KP258650	0.090	0.088	0.086	0.006	0.008	0.006	0.008	0.011	0.012	0.008	0.009	0.002												
14 KP258649	0.091	0.089	0.087	0.006	0.008	0.006	0.008	0.011	0.012	0.008	0.009	0.002	0.003											
15 KP258656	0.093	0.091	0.089	0.005	0.006	0.005	0.006	0.009	0.011	0.006	0.008	0.003	0.005	0.005										
16 KP258648	0.087	0.084	0.082	0.006	0.008	0.006	0.008	0.011	0.012	0.008	0.009	0.005	0.006	0.006	0.005									
17 KR086935	0.087	0.084	0.082	0.006	0.008	0.006	0.008	0.011	0.012	0.008	0.009	0.005	0.006	0.006	0.005	0.000								
18 KP258651	0.089	0.087	0.084	0.005	0.006	0.005	0.006	0.009	0.011	0.006	0.008	0.003	0.005	0.005	0.003	0.002	0.002							
19 KR086939	0.089	0.087	0.084	0.005	0.006	0.005	0.006	0.009	0.011	0.006	0.008	0.003	0.005	0.005	0.003	0.002	0.000	0.000						
20 KP221602	0.078	0.076	0.078	0.028	0.029	0.028	0.029	0.032	0.032	0.026	0.028	0.026	0.027	0.027	0.026	0.024	0.024	0.022	0.022					
21 KP258657	0.241	0.239	0.235	0.238	0.240	0.238	0.240	0.246	0.246	0.235	0.245	0.240	0.242	0.237	0.240	0.237	0.237	0.241	0.241	0.252				
22 KP258658	0.237	0.235	0.231	0.235	0.237	0.235	0.237	0.243	0.243	0.232	0.241	0.236	0.239	0.234	0.236	0.234	0.234	0.237	0.237	0.248	0.002			
23 KP258659	0.237	0.235	0.231	0.235	0.237	0.235	0.237	0.243	0.243	0.232	0.241	0.236	0.239	0.234	0.236	0.234	0.234	0.237	0.237	0.248	0.003	0.002		

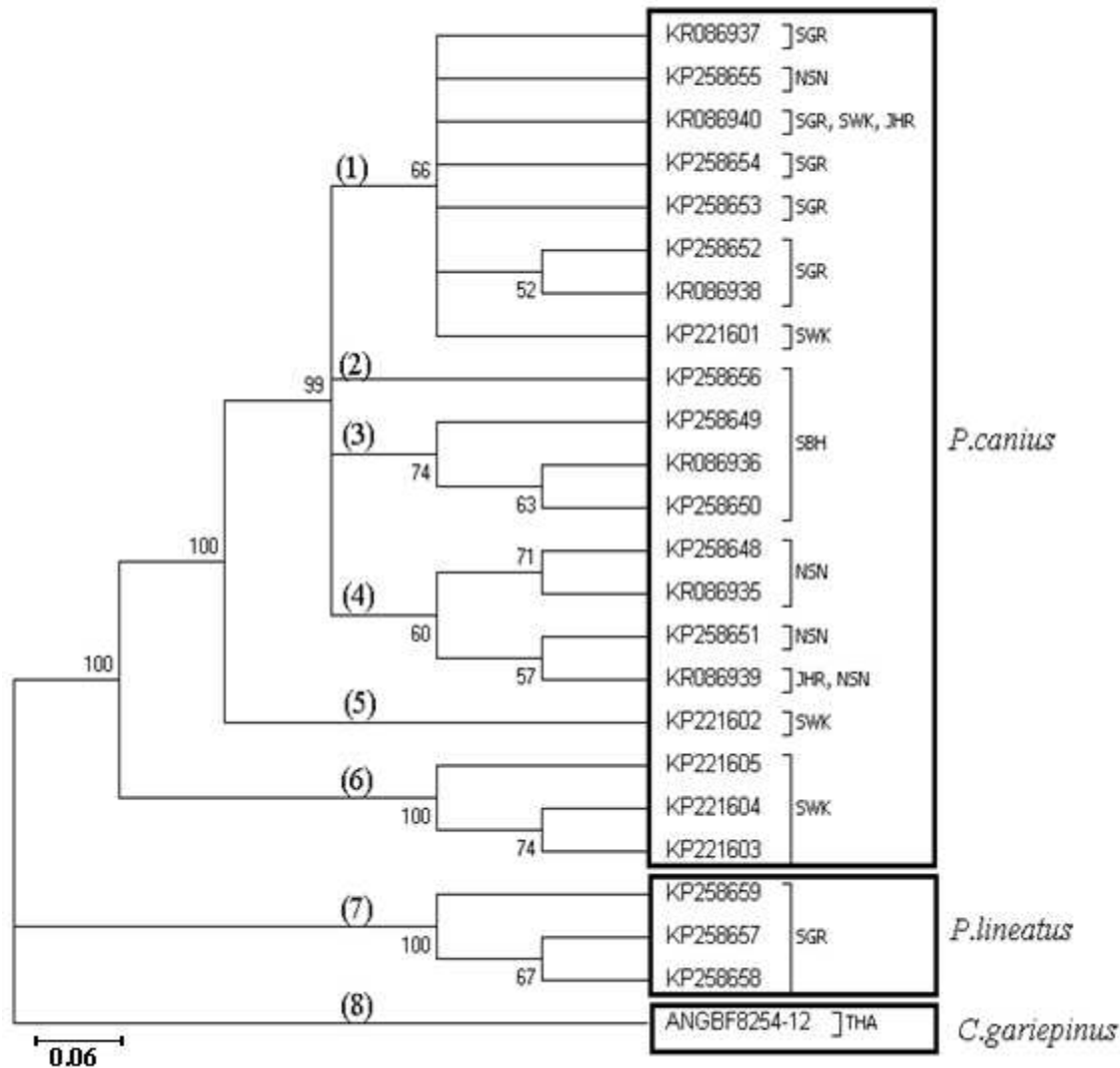
Figure. 2) Pairwise Tamura-Nei genetic distance in 23 employed haplotypes of *P. caninus* and *P. lineatus*.

269 Phylogenetic analysis using the 23 haplotypes of the genus *Plotosus* and one haplotype of
 270 *C.gariepinus* showed monophyletic status between *P.caninus* and *P.lineatus* via the Neighbour-
 271 Joining method (Figure. 3). As stated, the three haplotypes from Sarawak population formed a
 272 basal clade for *P.caninus* using Neighbour-Joining algorithm. Moreover, constructed topology
 273 precisely proved the pairwise genetic distances of shared haplotypes, highlighting that
 274 KR086940 (SGR, SWK, JHR) have the lowest distance to KP258654 (SGR) and highest to
 275 KP221604 (SWK), while KR086939 (JHR, NSN) have the greatest divergence from KP221604
 276 (SWK) and smallest amount from KP258648 (NSN), as their subdivision clades illustrated.



277
 278 Figure. 3) Summary of Neighbour-Joining relationship in 24 employed sequences of *P.caninus*, *P.lineatus* and
 279 *C.gariepinus* (clades have been indicated by bold numbers in round brackets).
 280

281 Similarly, application of Maximum Likelihood algorithm (Figure. 4) have been subsequently
 282 confirmed the calculation of pairwise genetic distances among sequences of *P.caninus* and
 283 *P.lineatus* with exception of some negligible changes in topology of clades and branches.



284

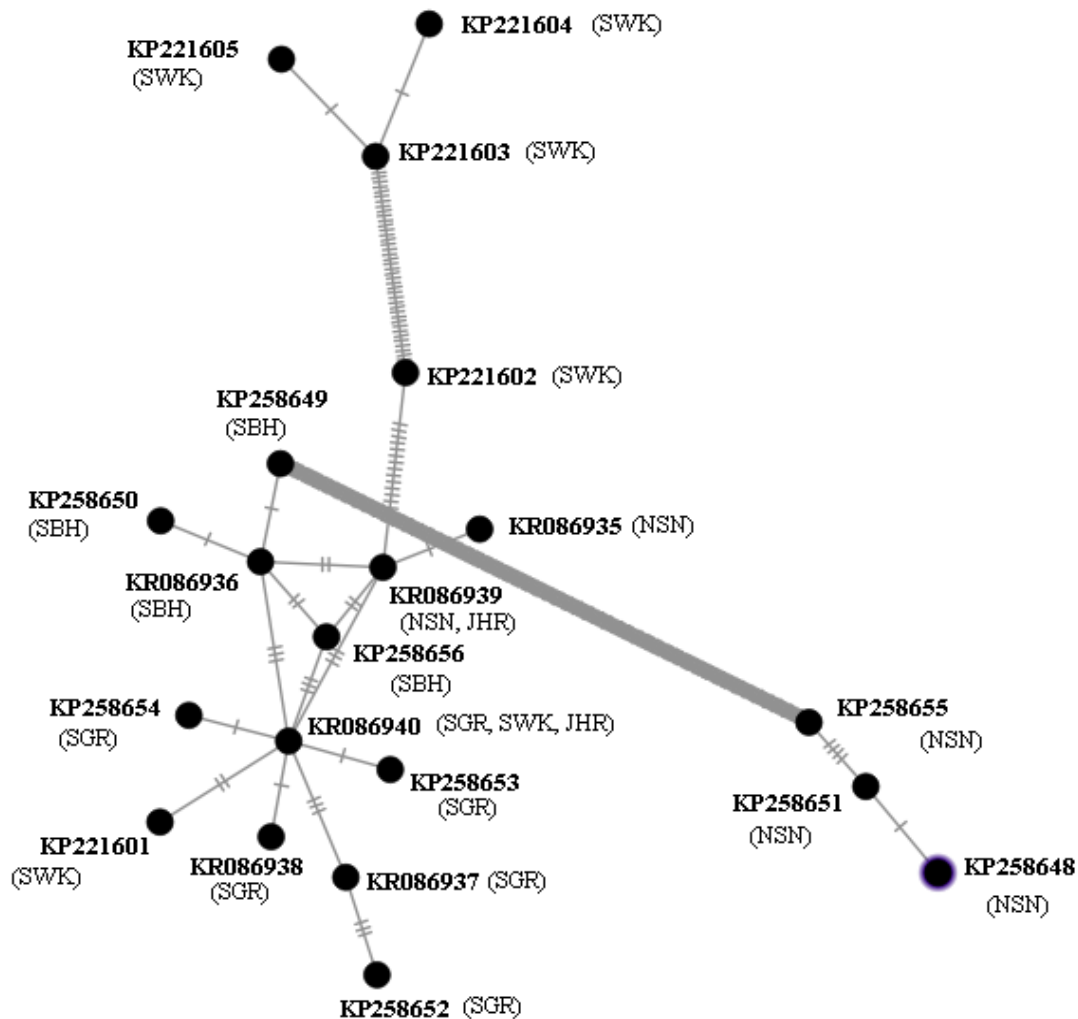
285 Figure. 4) Summary of maximum likelihood relationship in 24 employed sequences of *P. canius*, *P. lineatus* and
 286 *C. gariepinus* (clades have been indicated by bold numbers in round brackets).

287

288 The Minimum Spanning Network (MSN) of 20 haplotypes of *P. canius* (Figure. 5) in Malaysia
 289 presented more haplotype variability in Sarawak and Negeri Sembilan populations with six and
 290 five haplotypes respectively. Indeed, the Sarawak and Negeri Sembilan sequences illustrated a
 291 fairly high diversity, while the two haplotypes of Johor possessed the lowest variability.
 292 However, the phylogram revealed two relatively irrefutable geographical clades (Sarawak and
 293 Negeri Sembilan), occurrence of mix haplotypes with other clades indicating that no accurate
 294 geographical genetic structure have been certainly detected in studied populations of *P. canius*.

14

295 Analysis of potential geographical clades have been similarly suggested that all populations are
 296 moderately mixed except in Sarawak and Negeri Sembilan. Although there are some possible
 297 clades, it was not precisely feasible to clustering the population based on their geographical
 298 divisions due to existence of exclusively one connecting mutational steps for most sequences.
 299 Hence, analysis was not capable of showing precise separation of geographical clades.
 300



301
 302 Figure. 5) Minimum Spanning Network (MSN) of 20 haplotypes of *P. canius*.
 303

304 Analysis of population differentiation inferred from pairwise distance of F_{ST} and Chi-square
 305 among studied populations of *P. canius* is displayed in Table. 3. Significant genetic variations
 306 were detected in all assessments within *P. canius* sequences and two considered species of eel-tail
 307 catfishes ($p < 0.001$). However, there were significant distance diversity in genetic variations of

308 almost entire evaluations among populations of Malaysia especially between the Sabah and the
 309 Negeri Sembilan populations. As expected, the most diversity were identified between *P.caninus*
 310 populations from the Negeri Sembilan and the Sabah with the rate of 0.62504, which basically
 311 means they are nearly genetically divided due to their distance and subsequent decrease in gene
 312 flow. However, the lowest genetic distance was detected between Selangor cluster and the Johor
 313 clade by the F_{ST} values of 0.05417. Hence, it was considered that maximum sharing of genetic
 314 material occurred between Johor and Selangor Populations, while the minimum genetic
 315 similarity identified among Sabah and Negeri Sembilan sequences.

	<i>P.caninus</i> SBH	<i>P.caninus</i> JHR	<i>P.caninus</i> SGR	<i>P.caninus</i> NSN	<i>P.caninus</i> SWK
<i>P.caninus</i> SBH	0.00000				
<i>P.caninus</i> JHR	0.60156	0.00000			
<i>P.caninus</i> SGR	0.43390	0.05417	0.00000		
<i>P.caninus</i> NSN	0.62504	0.44097	0.09806	0.00000	
<i>P.caninus</i> SWK	0.41533	0.27777	0.29359	0.31333	0.00000

316
 317 Table. 3) Population pairwise (F_{ST}) values of chi square test for population variation originated with 1000
 318 permutations.

319
 320 Hierarchical statistics of AMOVA (Table. 4) was clearly suggested that roughly 36 % of
 321 experimental deviations were inter-population variations, while within population variations
 322 were merely responsible for approximately 64 % of overall differentiation.

Source of Variation	Degree of Freedom	Sum of Squares	Variance Components	Percentage of Variation
Among Populations	4	77.873	3.09472	35.55
Between Populations	18	100.969	5.60941	64.45

323 Table. 4) Hierarchical analysis of molecular variance (AMOVA) in *P.caninus*.

324 Population Genetic results inferred from Genotyping analysis
 325
 326 Fragment analysis were estimated DNA band sizes in *P.caninus* that are illustrated alongside with
 327 size range in original species (*Tandanus tandanus*), the sequence of each primers and associate
 328 annealing temperatures in Table. 5.

Primer	Sequence	Size <i>T.tandanus</i>	Size <i>P.caninus</i>	Annealing Temperature
Tan 1-2	F: 5'CCGACTGTCAGTGAAAAGGAG3' R: 5'AGGGTCTGGGAGTGAATGAG3'	216-244	349-385	55°C
Tan 1-7	F: 5'TGTATGGAGCTACTAACAAAACAGG3' R: 5'TACTCCAGCCCTGAAGGTG3'	181-227	114-125	55°C
Tan 1-10	F: 5'CCTGATTTCTCTCCCAAGG3' R: 5'AGAAAGGTGGTGCATGTGTG3'	298-310	91-97	55°C
Tan 3-27	F: 5'TGTGGAAGGTTGGGGTTATG3' R: 5'CGTGATCATGCAAACAGATG3'	215-269	167-168	55°C
Tan 3-28	F: 5'CCCCATTTGCTTTTTTCTCTG3' R: 5'TGTTGAAAGCGGCATGTTAG3'	289-301	280-299	55°C

329
 330 Table. 5) Five engaged primer sets and their associated size and temperature in *T.tandanus* and *P.caninus*.

331
 332 Genotyping results did not found any signal of null allele nor large allele failure, hence nor
 333 scoring inaccuracies due to stuttering. All the five microsatellites loci showed accurate and
 334 successful amplification in all populations, although heterozygous alleles were not found in all
 335 populations. One microsatellite loci (Tan3-27), was evidently monomorphic in all populations
 336 while Tan 1-2, Tan 1-10, Tan 1-7 and Tan 3-28 were polymorphic in at least one population.
 337 After implementing the sequential Bonferroni adjustment (Rice, 1989), only four out of the 50
 338 (8%) loci pairs were significant for linkage disequilibrium ($P < 0.05$). Thus, all the five
 339 microsatellites loci were considered useful for genetic applications based on the absence of
 340 consistent linkage disequilibrium in locus pairs among the studied populations.

341
 342 Furthermore, after Bonferroni adjustment, nine out of the 25 (36%) microsatellite loci still
 343 showed deviation from Hardy-Weinberg Equilibrium (HWE), which might be owing to
 344 heterozygote deficiency effects (Table. 6). Heterozygote deficiency could be caused by
 345 population structuring, null alleles or inbreeding (Brook *et al.*, 2002).

Locus	N.Sembilan	Sabah	Selangor	Sarawak	Johor	Total* Mean
<i>N</i>	30	30	20	22	15	117
Tan 1-2						
<i>N_a</i>	1	2	1	1	2	4
<i>Ar</i>	1.000	2.000	1.000	1.000	2.000	3.714
<i>H_o</i>	0.0000	0.0000	0.0000	0.0000	0.6000	0.0769
<i>H_e</i>	0.0000	0.4994	0.0000	0.0000	0.4345	0.5974
<i>F_{is}</i>	-	0.000	-	-	-0.400	
HW	-	1.0000	-	-	0.1791	
Tan 1-7						
<i>N_a</i>	2	2	3	2	1	6
<i>Ar</i>	2.000	2.000	3.000	2.000	1.000	5.844
<i>H_o</i>	1.000	0.0000	0.6000	0.0000	0.0000	0.3590
<i>H_e</i>	0.5085	0.4994	0.6769	0.4947	0.0000	0.7727
<i>F_{is}</i>	-1.000	1.000	0.116	1.000	-	
HW	0.0000	1.0000	0.5856	1.0000	-	
Tan 1-10						
<i>N_a</i>	1	1	1	1	2	3
<i>Ar</i>	1.000	1.000	1.000	1.000	2.000	2.564
<i>H_o</i>	0.0000	0.0000	0.0000	0.0000	0.4000	0.0513
<i>H_e</i>	0.0000	0.0000	0.0000	0.0000	0.3310	0.3472
<i>F_{is}</i>	-	-	-	-	-0.217	
HW	-	-	-	-	0.5395	
Tan 3-27						
<i>N_a</i>	1	1	1	1	1	2
<i>Ar</i>	1.000	1.000	1.000	1.000	1.000	1.988
<i>H_o</i>	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
<i>H_e</i>	0.0000	0.0000	0.0000	0.0000	0.0000	0.2242
<i>F_{is}</i>	-	-	-	-	-	
HW	-	-	-	-	-	
Tan 3-28						
<i>N_a</i>	3	2	3	2	1	8
<i>Ar</i>	3.000	2.000	3.000	2.000	1.000	7.592
<i>H_o</i>	0.4667	1.0000	0.3000	0.0000	0.0000	0.4274
<i>H_e</i>	0.6169	0.5885	0.4769	0.4947	0.0000	0.8393
<i>F_{is}</i>	0.247	-1.000	0.377	1.000	-	
HW	0.5862	0.0000	0.5771	1.0000	-	

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Table. 6) Genetic variation at 5 microsatellite as of five populations of *P.caninus* in Malaysia: sample size (*N*), Number of alleles (*N_a*), allele richness (*Ar*), observed heterozygosity (*H_o*), expected heterozygosity (*H_e*), inbreeding coefficient (*F_{is}*) ($p < 0.05$ symbolic accustomed nominal level (5%) 0.000042, and Hardy-Weinberg expectation (disequilibrium) (HW).

352 Data from Table. 6 showed that six out of nine deviations were related to Tan1-7 and Tan 3-28
353 among all the five *P.caninus* populations. The fact that the two loci did not show any signal of
354 deviation from HWE in three populations may imply the probability that the estimated deviations
355 could have been originated from either the occurrence of uncertain structure or inbreeding
356 among these three population divisions (Pritchard *et al.*, 2000). Fairly high level of consistency

357 was detected toward inclusion or exclusion of Tan1-7 and Tan 3-28, accordingly these loci have
 358 been retained for further analysis. *Fis* ($P < 0.05$) estimations have been considerably diverse
 359 from zero, except in locus Tan 1-2 from Sabah. This alongside with substantial departure from
 360 HWE indicates the damaging effect of heterozygote deficiency within associated populations.
 361 However, the positive calculated estimations could be translated as decrease in heterozygous
 362 levels among offspring in population, mostly owing to non-random mating and its subsequent
 363 inbreeding. On the other hand, negative *Fis* estimates might be indication of increasing in
 364 heterozygosity level, which could usually occur as a result of random mating system, hence
 365 genes should be probably more different (Pritchard *et al.*, 2000).

366

367 Analysis of population genetic inferred from molecular coancestry information (Table. 7)
 368 revealed that Polymorphism Information Content (PIC) of the applied microsatellites varied from
 369 19.86 to 73.99. However, loci with numerous allele numbers and a PIC value of 1 are considered
 370 as highly polymorphic and thus most desirable, lowest rates are also slightly informative (if and
 371 only if $PIC > 0$) (Botstein *et al.*, 1980). Besides, the arithmetic values of heterozygosity
 372 (0.2235-0.8357) confirmed the consistent application of all the 5 microsatellite loci in population
 373 genetic study of *P.caninus* in Malaysia.

Microsatellite	N_a	Heterozygosity	PIC (%)	Effective Allele NO.
Tan 1-2	4	0.5949	54.12	2.47
Tan 1-7	6	0.7694	73.99	4.34
Tan 1-10	3	0.3457	30.11	1.53
Tan 3-27	2	0.2235	19.86	1.29
Tan 3-28	8	0.8357	81.71	6.09

374 *Heterozygosity was estimated as arithmetic mean of expected and observed heterozygosity.

375 Table. 7) Analysis of population genetic using molecular coancestry information: Number of alleles (N_a) and
 376 Polymorphism Information Content (PIC).

377

378 Hierarchical results of microsatellites showed that approximately 64 per cent of experimental
 379 variations were originated from inter – population variations, while within individuals variations
 380 were only accountable for roughly 28.5 per cent of overall differentiation (Table. 8).

381

382

383

Source of variation	Sum of squares	Variance components	Variation %
Among Populations	191.456	1.02366	63.77361
Among individuals within populations	79.039	0.12422	7.73898
Within Individuals	53.500	0.45726	28.48741

384

385

Table. 8) Hierarchical analysis of molecular variance (AMOVA) in *P.caninus*.

386

387 F_{ST} plot estimations of all involved microsatellites noticeably illustrated that all pairwise
 388 calculations presented a fairly high differentiations among populations ranging from 0.29711
 389 between populations of Selangor and Negeri Sembilan to 0.80500 between populations of
 390 Sarawak and Johor (Table. 9). The current microsatellite experiment showed that the highest
 391 genetic differentiation was between the Johor population and the other populations from
 392 Peninsular Malaysia and Borneo. Indeed, the Johor population showed strong deviation from
 393 other collected populations, while displayed relatively low intra-population genetic variation
 394 (Table. 9), suggesting that the Johor population was less connected to the others during a
 395 sizeable period of its evolutionary phase. Likewise, the Sarawak population in the Southwest
 396 region of the South China Sea had high F_{ST} values between other populations, signifying the
 397 restricted gene flow between the Sarawak population and the other populations. The
 398 differentiation level among populations of Negeri Sembilan and Selangor ($F_{ST} = 0.29711$, $P <$
 399 0.05) showed relatively lower values, even in comparison with their neighbouring populations,
 400 theoretically demonstrating the populations that endured inbreeding or genetic drift since their
 401 isolation from other populations. Similarly, the Negeri Sembilan and Sabah ($F_{ST} = 0.39244$, $P <$
 402 0.05) populations also showed small but significant variances in relation to their close neighbour
 403 populations.

404

		N.Sembilan	Sabah	Selangor	Sarawak	Johor
1	N.Sembilan	0.00000				
2	Sabah	0.39244	0.00000			
3	Selangor	0.29711	0.41039	0.00000		
4	Sarawak	0.71934	0.68086	0.72437	0.00000	
5	Johor	0.73211	0.68561	0.74834	0.80500	0.00000

405 Table. 9) Pairwise F_{ST} estimations through *P.caninus* populations generated from five microsatellites loci and
 406 inclusion of five populations. All calculations were fairly significant ($P < 0.05$) using 10000 permutations.

20

407 Levels of genetic variations seem to be widely fluctuated between *P.caninus* populations owing
 408 to H_e and A_r oscillation on which H_e extending from 0.0000 to 0.6769 (Selangor) and A_r varied
 409 from 1 to 3 (Negeri Sembilan and Selangor). Obviously, two significant clusters could be seen
 410 among established populations of *P.caninus* in this study, one cluster with low allelic richness and
 411 H_e estimations (Johor samples using locus Tan 1-2 and locus Tan 1-10), and another cluster with
 412 relatively acceptable H_e and allelic richness (the other four populations using locus Tan 3-28 and
 413 locus Tan 1-7). However, the genetic variation in the current study was highly reliant on
 414 microsatellites and their sequences as the engaged loci did not specifically develop for *P.caninus*.
 415 Moreover, allelic frequencies among virtually each combination of population pairs showed
 416 highly significant differentiation ($F_{ST} < 0.05$) (Table. 9), implying that gene flow might be highly
 417 restricted among studied populations.

418
 419 Sampled populations of *P.caninus* were basically distributed into five minor clusters using
 420 Bayesian analysis. Consequently, the initial highest membership value (q) of the studied
 421 populations including Negeri Sembilan, Sabah, Selangor, Sarawak, Johor was estimated as
 422 0.941, 0.983, 0.968, 0.988, and 0.986 respectively (Table. 10). The application of STRUCTURE
 423 program subsequently illustrated 4 major K (isolated clusters) (Figure. 6). Regarding the fact that
 424 assessing the expected value of K might not be straightforward (Evanno *et al.*, 2005), Bayesian
 425 structure analysis of the current study revealed the highest probability of K for *P.caninus* in $K=2$.
 426 The four estimated clusters were included Cluster 1: Johor, Cluster 2: N.Sembilan and Selangor,
 427 Cluster 3: Sabah, and Cluster 4: Sarawak (Figure. 7).

428

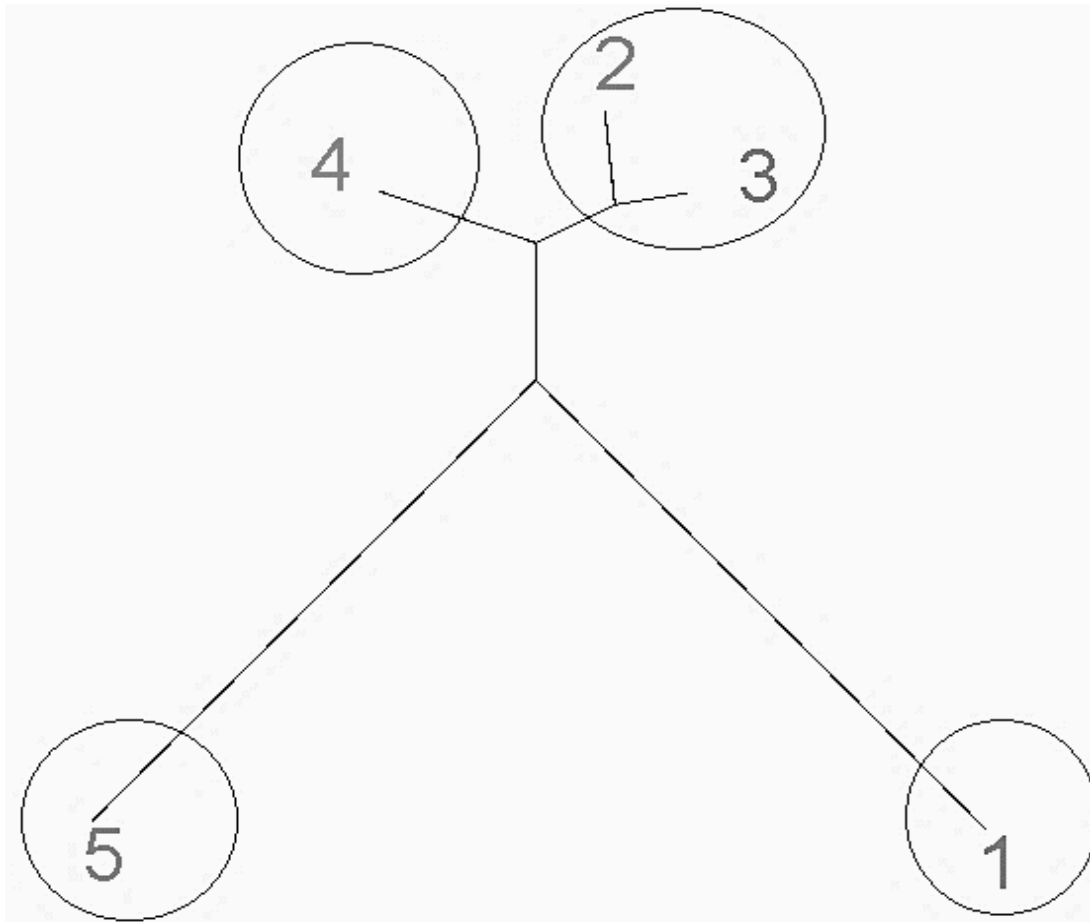
Populations	Cluster Membership				
	1	2	3	4	5
N.Sembilan	0.005	0.041	0.941	0.010	0.004
Sabah	0.005	0.004	0.005	0.983	0.003
Selangor	0.005	0.968	0.019	0.005	0.004
Sarawak	0.003	0.003	0.003	0.003	0.988
Johor	0.985	0.004	0.004	0.004	0.003

429

430 Table. 10) Membership ratio estimated for each population of *P.caninus*.

431

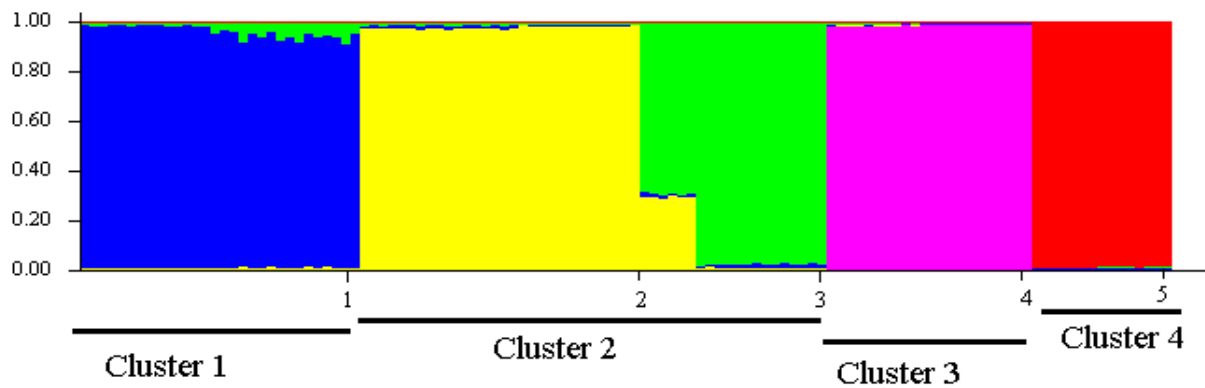
432



433

434

Figure. 6) Tree plot scheme of five engaged populations of *P. canius*.



435

436

437

Figure. 7) Population structure of five *P. canius* populations in Malaysia.

438 Population assignment outcomes evidently revealed that almost all individuals were assigned to
 439 their original populations with the probability rate of $P > 0.05$ (Table. 11). However, the
 440 estimation of individual assignment to their populations with the same probabilities revealed the

441 closer rates in comparison levels to other sampling sites. For instance, the Negeri Sembilan
442 population had a relatively closer assignment ratio to the Selangor population.

Assigned Population	CA%	Original Location				
		N.Sembilan (N=30)	Sabah (N=30)	Selangor (N=20)	Sarawak (N=22)	Johor (N=15)
N.Sembilan	100	4.219	70.330	35.873	117.792	92.839
Sabah	100	70.143	4.338	58.286	132.093	96.994
Selangor	100	35.937	58.537	4.715	101.049	83.156
Sarawak	100	115.433	129.921	98.626	3.133	101.070
Johor	100	88.554	92.895	78.807	99.143	2.761

443

444

Table. 11) Population assignment based upon five microsatellite loci frequencies in *P.caninus*

445

(CA: correct assignment).

446

447 Analysis of population bottleneck did not identified substantiating signals of recent population
448 drop in all populations studied using the two phase model (T.P.M) estimations (Table. 12).
449 Furthermore, calculation of the infinite-allele model (I.A.M) comprehensively implied that there
450 was no bottleneck evidence among the studied populations, while the parallel statement was
451 assumed using the stepwise mutation model (S.M.M). Moreover, the shift-mode estimation of
452 allele frequencies was perceived in all five populations, while altogether none of the mutation
453 models were broadly illustrated consistent signals of bottleneck in engaged populations.
454 Therefore, the current experiment could not detect any signals of bottleneck within the *P.caninus*
455 populations based on three applied models. Nevertheless, outcomes of mutational models
456 consistently suggested the extension in populations due to absence of genetic drift and /or an
457 invasive allele originating from different populations (Piry *et al.*, 1999).

	I.A.M	T.P.M			S.M.M	Mode Shift
		60	70	80		
N.Sembilan	0.22672	0.24435	0.26030	0.26596	0.28595	Y
Sabah	0.07656	0.09247	0.09295	0.09683	0.10790	Y
Selangor	0.28119	0.31416	0.34221	0.32656	0.64363	Y
Sarawak	0.17976	0.21249	0.21558	0.20907	0.24057	Y
Johor	0.18105	0.21600	0.24444	0.26510	0.22513	Y

458

459

Table. 12) *P* values originated from bottleneck analysis within five populations of *P.caninus* (I.A.M: infinite allele model, T.P.M: two phase model, S.M.M: stepwise mutational model, estimation indicate the mutation in stepwise mutational model: Y: yes, N: no) (*Significant values $P < 0.05$).

461

462

463

464

465 **DISCUSSION**

466 Phylogenetic Analysis of COI Gene

467
468 This study has confirmed the efficiency of the COI barcode in identification of eel-tail catfish
469 species. Barcoding fragment has been effectively sequenced mitochondrial DNA isolated from
470 two species of family Plotosidae. Current study provided the first sequence database of *P. canius*
471 to be submitted into barcoding data set. The first and the most common outcome of the
472 undergone experiment could be the involvement of two common haplotype. While the most
473 common sequence was KR086940 between populations of Selangor ($n= 7$), Sarawak ($n= 6$), and
474 Johor ($n= 9$), the KR086939 identified as second common haplotype sharing between Johor ($n=$
475 6) and Negeri Sembilan ($n= 4$). Nevertheless, the most significant finding should be the
476 occurrence of shared haplotype between Selangor, Johor (Peninsular Malaysia) populations and
477 the Sarawak (Borneo) population. The haplotype sharing and their consequent gene flow could
478 practically happen due to several reasons such as breeding migration, mutation, pelagic larvae,
479 and sharing of common ancestors (Frankham, 1996).

480
481 Migration is a common behaviour in nearly 3% of all extant fish species (Binder *et al.*, 2011).
482 However, there is practically no record on migration and migratory behaviour of family
483 Plotosidae, thus the first assumption of dispersal via migration and ocean current might be highly
484 unlikely since majority of the catfish species cannot endure long distance swimming (more than
485 500 kilometers) due to their body shape and structure (Jónsson, 1982). In addition, marine
486 dispersal of eggs, larvae and even juveniles of *P. canius* between two separate ocean currents
487 comprising Straits of Malacca (Selangor and Johor) and South China Sea currents (Sarawak)
488 might also be questionable. In the Strait of Melaka, circulation of currents (particularly in
489 surface) are due to effects of tidal patterns and wind, while the route of both surface and deep
490 layer currents are shown to be relatively the same and toward northwest (Rizal *et al.*, 2010). In
491 Johor, however, currents are highly depend on strong winds during monsoon seasons. Indeed, if
492 the monsoon is in its northeast route, the current streams toward South along the coastal region
493 of Malaysia, otherwise, the current will be northward (Mohd Fadzil & Chuen, 2011). Finally,
494 pattern of ocean currents in western South China Sea are largely influenced by season. The
495 circulation in South segment of western current tends to be stable and northward where after

496 separation from coastal region, it forms a northeastern pattern in summer. In fall, however, it
497 strongly flows toward southwest (Fang *et al.*, 2012). Therefore, general patterns of aquatic
498 circulation in Strait of Melaka, Johor maritime territory and western area of South China Sea
499 might not strongly implies the probable distribution of grey eel-tail catfish eggs, larvae and
500 juveniles and its consequent gene flow and genetic connectivity.

501
502 Considering all possible expectation on genetic variability and gene flow of *P.caninus* in
503 Peninsular Malaysia, the second hypothesis of sharing common ancestor due to historical
504 geographic events may reflect the most plausible explanation. Southeast Asia is believed to
505 endured simultaneous glaciation and consequent deglaciation along with its associated
506 decreasing and increasing of marine levels during the Pleistocene period which greatly
507 influenced continental and oceanic configuration (Voris, 2000). During such variations, some
508 regions might be preserved their stable environmental conditions that is nowadays called a
509 refugium on which can greatly affect the gene flow and genetic variability particularly in
510 endemic species (Hobbs *et al.*, 2013). Moreover, geographical information proposed that the
511 Pacific and Indian ocean were initially connected directly before the formation of Sundaland
512 (nowadays submerged forming shallow ocean of most Southeast Asia with less than 100m depth)
513 during the Triassic up to the Pleistocene period (Esa *et al.*, 2008), hence made such gene flow
514 possible between these comparatively distant locations.

515

516

517 Hardy-Weinberg Equilibrium and Genetic Diversity

518

519 Overall allelic richness revealed quite lower rates using the cross amplified primers ranging from
520 2 – 8 among the sampled populations in comparison with original species (Rourke *et al.*, 2010).
521 Tan 3-28 demonstrated the highest overall allelic rate fluctuating from 1-3 among five
522 populations of *P.caninus*, while the lowest level was detected in Tan 3-27. Moreover, the Selangor
523 population showed maximum number of alleles (9), whereas the Johor and Sarawak populations
524 exhibited the lowest (6). Similar instance of low allelic variation have been described in
525 *Bolbometopon muricatum* (Priest *et al.*, 2014), *Schizothorax biddulphi* (Palti *et al.*, 2012) and
526 *Prosopium cylindraceum* (McCracken *et al.*, 2014). A possible reason for the occurring of low
527 levels of allelic richness might be due to the small employed population size. Hale *et al.* (2012)

528 pointed out the positive effects of sampling size between 25 to 30 individuals per population,
529 however they also mentioned the necessity of 5-100 samples per collection to avoid rare
530 uninformative alleles. Marine vertebrates are believed to present greater allele difference at their
531 microsatellite primers comparing to freshwater populations, which is mostly consistent with their
532 higher population evolutionary size (Neff & Gross, 2001). Their research later revealed that the
533 difference in microsatellite polymorphism among classes and species could be highly dependent
534 upon changes in life history and population biology and moderately to differences happening to
535 microsatellite functions during natural selection. Therefore, it would be probably reasonable that
536 fewer allele number of *P.caninus* might be owing to variation in its biology and historic traits,
537 however, the correlation of allelic richness and sample size should not be overlooked.

538
539 The average value of observed heterozygosity (H_o) estimated in the five tested microsatellites in
540 *P.caninus* were as low as 0.2168, which showed high difference levels in comparison to standard
541 heterozygosity in marine populations ($H_o= 0.79$) and anadromous fish species ($H_o= 0.68$)
542 (DeWoody & Avise, 2000). In fact, considerable heterozygote deficiencies were observed in the
543 engaged populations with the exception of the Tan 1-7 and Tan 3-28 loci. Similar temporal
544 pattern of low genetic diversity have been reported for *Pleuronectes platessa* in Island (Hoarau *et*
545 *al.*, 2005) and *Clarias macrocephalous*(Na-Nakorn *et al.*, 1999), while in most catfish species
546 higher levels of heterozygosity have been documented as in *Mystus nemurus* ($H_o= 0.44-0.57$)
547 (Usmani *et al.* 2003). Several decisive issues might influence the genetic variability of marine
548 species through the variation of Hardy-Weinberg including migration, genetic drift, sample size,
549 over-exploitation, effective size of population and patterns of mating (DeWoody & Avise, 2000).
550 Certainly, *P.caninus* should not be presumably considered as long distance migratory marine fish
551 species due to its body structure (Riede, 2004). Alternatively, a possibility of genetic drift in the
552 current study is also suspicious as it basically happens only in small effective size populations
553 that experiencing a period of bottleneck (DeWoody & Avise 2000) at which is completely
554 invalidated in marine species studies like current research.

555
556 Small sample size of collected populations might also be measured as a major parameter in
557 detection of low heterozygosity variation because of the failure to accurately detect the entire
558 extant alleles of the selected populations, hence, deficiency in heterozygote identification

559 (Na-Nakorn *et al.*, 1999). Indeed, the current collection size for *P.caninus* used for population
560 genetic analysis purposes should be quite small based on Kalinowski (2005), therefore, the
561 hypothesis of deficiency in heterozygote detection due to the low level of sampled specimen
562 could be accepted. The last cause of a low heterozygosity levels and its consequent genetic
563 variation is non-random system of mating behaviour among populations (Brook *et al.*, 2002;
564 Balloux *et al.*, 2004). Estimation of HWD for the current study however, showed considerable
565 deviation for approximately 36% of the primer/population pairs, which might be due to
566 heterozygote deficiency effects. However, Balloux *et al.* (2004) highlighted that the positive
567 correlation of inbreeding and heterozygosity needs to be examined through application of more
568 polymorphic markers on which demonstrates greater proportion of linkage disequilibrium.
569 Alternatively, the correlation of *Fis* values and inbreeding have been practically assessed and
570 documented in many studies (Balloux *et al.*, 2004; Abdul-Muneer, 2005; O'Leary *et al.*, 2013).
571 The positive calculated estimations could be translated as a decrease in heterozygous levels
572 among offspring in a population, mostly due to absence of random mating and its subsequent
573 inbreeding. The current study showed considerable significance levels ($P < 0.05$) of *Fis*
574 estimations. This alongside with substantial departure from HWE would indicate the damaging
575 effect of heterozygosity deficit within the populations.

576
577

578 Analysis of Population Structure

579 A remarkably high levels of genetic structure were detected among populations of *P. caninus*
580 ranging from 0.05417 to 0.62504, showing significantly high structuring among studied
581 populations except differences between Johor – Selangor samples ($F_{ST} = 0.05417$) and Selangor –
582 Negeri Sembilan ($F_{ST} = 0.09806$). Moreover, AMOVA statistics evidently revealed that
583 approximately 64 % of genetic variations were due to within population variations. Hence, the
584 fairly high F_{ST} rates, significant hierarchical molecular results and consequent higher genetic
585 variances among *P.caninus* populations in Peninsular Malaysia and their relatives in Borneo, in
586 addition to the detection of only one sharing haplotype (KR086940), would suggest the absence
587 of contemporary gene flow among them most probably due to the geological modification,
588 consequential rise in marine water levels and historical continental separation during the

589 Pleistocene era (Esa *et al.*, 2008; Song, 2012). However, exceptional cases between Selangor –
590 Negeri Sembilan and Selangor – Johor might be inversely interpreted as occurrence of gene flow
591 or migration regarding to fairly close distances rather than extraordinary distance between
592 Borneo and Peninsular Malaysia. The sequential genetic diversity presented in this study could
593 be caused by high haplotype frequencies among the five populations of *P.caninus* in Malaysia, in
594 addition to identification of unique sequences in each population (except in Johor). The present
595 patterns of differentiation among catchments is believed to be significantly as a consequence of
596 the Pleistocene associated historical and geological continental and sea level distraction and its
597 subsequent isolation of lands and populations (Esa *et al.*, 2008).

598

599 The calculated F_{ST} values of five microsatellites in *P.caninus* showed significant estimation,
600 indicating substantial genetic structure and differentiation among the studied populations. All
601 populations also showed significantly high assignment rates, followed by a low membership
602 recorded for other population clusters. High rates of proper assignment might indicates strong
603 population differentiation among the studied populations (Paetkau *et al.*, 2004). Although the
604 Sabah population demonstrated a close pairwise distance with the Selangor and Negeri Sembilan
605 populations, the Negeri Sembilan and Selangor populations showed the lowest differentiation
606 level (0.29711), and also the highest cluster membership in comparison with other populations.
607 Surprisingly, the highest level of pairwise F_{st} differentiation has been estimated between the
608 Johor population and the other four populations, in contrast to the closer geographical distance
609 between the Johor and the Negeri Sembilan populations. Indeed, microsatellite analysis made a
610 relatively counter-outcome in comparison with mitochondrial results, where F_{ST} estimation of
611 former populations was estimated as the lowest among the *P.caninus* samples. Discrepancies
612 between genetic differentiation detection through microsatellite loci and mitochondrial DNA is
613 believed to be related to three factors: (1) high sensibility of mitochondrial COI gene in detection
614 of variation (Shaw *et al.* 2004), (2) weaker nuclear-based subpopulation detection (Cano *et al.*
615 2008) and (3) technical complications of microsatellite like homoplasmy (Estoup *et al.*, 2002).

616

617 One of the most common practical problems, which is believed to be mostly associated with
618 microsatellite primers (due to higher mutation rate) is well-known as homoplasmy (Balloux &
619 Lugon-Moulin, 2002). Homoplasmy might diminish the microsatellite-based population

620 differentiation signals. The existence of homoplasy is highly dependent on the occurrence of
621 different identical locus copies, while such identical character is not consequent of mutual
622 ancestor. In fact, the occurrence of homoplasy might be correlated with combination effects of
623 high rates of mutation, and outsized population together with strong restriction in allele size
624 (Estoup *et al.*, 2002). However, the effective number of alleles on which presented in Table. 6
625 and Table. 7 showed a low level of allele size frequency, the current population size of *P.caninus*
626 used for population genetic analysis is ostensibly quite small based on Kalinowski (2005) rather
627 than being oversized. Hence, the later effective cause of homoplasy is somehow nullified in this
628 study. Furthermore, several microsatellite based studies have pointed out the significance of
629 Stepwise Mutation Model (S.M.M) on possibility of homoplasy in different taxa (Angers &
630 Bernatchez, 1997; Culver *et al.*, 2001; Estoup *et al.*, 2002; Anmarkrud *et al.*, 2008), which was
631 invalidated by provided statistics on bottleneck analysis of recent study in Table.11.

632

633 O'Reilly *et al.* (2004) later pointed out that implications of homoplasy in identification of
634 population structure using microsatellite loci are supposedly common in marine species.
635 Nevertheless, further researches have been implied that implications of genetic drift and gene
636 migration might have considerably greater effects on population differentiation analysis in
637 comparison with homoplasy (Estoup *et al.*, 2002). Basically, marine vertebrates supposed to
638 have the higher population effective size (N_e) comparing to freshwater species (Hauser &
639 Carvalho, 2008). Besides, genetic drift and effective size are believed to be greatly correlated,
640 hence it is highly probable that neighbouring geographical populations demonstrate the
641 imperceptible population differentiation and structures especially using neutral primers like
642 microsatellites (Larmuseau *et al.*, 2010).

643

644

645 CONCLUSION

646

647 The current genetic characterization of *P.caninus* provided some basic results on their phylogeny
648 and population structure. The phylogenetic and phylogeographic analysis of *P.caninus* noticeably
649 constructed accurate clusters in the five population of Malaysia, demonstrating the capability of
650 the chosen mitochondrial COI barcoding gene to potentially assign the genus *Plotosus* into their
651 biological taxa. Indeed, COI analysis resolved the phylogenetic relationships between *P.lineatus*

652 and *P.caninus* populations, supporting their taxonomic status as different species. A low
653 mitochondrial differentiation was found among *P.caninus* populations with some indication of
654 endemism (haplotype restricted only to a particular population) as observed in the Sabah
655 population. Nevertheless, the COI gene revealed sufficient informative interpretation of
656 relationships among the five populations, supporting by reasonable bootstrapping values (>85%).
657 The sharing of haplotypes between a few samples from Peninsular Malaysia and their Sarawak
658 counterpart of Borneo showed the sensitivity of the COI marker to infer the biogeographical
659 history of *P. caninus* and potentially other marine taxa in the region.

660

661 Microsatellites analyses on the population structure of *P.caninus* showed slightly different
662 patterns of structuring in comparison with the COI findings. Nevertheless, both markers detected
663 higher level of among population differentiations than within population variability. In addition,
664 four main clusters or genetic stocks of *P.caninus* were identified using the cross species
665 amplification study of *T. tandanus* microsatellites.

666

667 Finally, the results from this study has provided valuable understandings on the genetic
668 characterization, molecular phylogeny, evolutionary kinship, and population structuring of
669 *P.caninus*, in particular, and the genus *Plotosus*, in general. However, further studies must be
670 conducted using more geographical and sampling sites, larger population sizes per site, and more
671 documented sequences from applicable mtDNA fragments. Furthermore, designing species
672 specific hypervariable nuclear markers such as microsatellite for *P.caninus* must be considered in
673 order to accurately analyze the population structure and genetic diversity of *P.caninus* before
674 implementation of advanced fisheries and conservation strategies.

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