1   **PhySortR: a fast, flexible tool for sorting phylogenetic trees**

2   **in R**

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Abstract

14 A frequent bottleneck in interpreting phylogenomic output is the need to screen often thousands

15 of trees for features of interest, such as robust clades of specific taxa, as evidence of

16 monophyletic relationship and/or reticulated evolution. Here we present PhySortR, a fast,

17 flexible R package for sorting phylogenetic trees. Unlike existing utilities, PhySortR allows for

18 identification of both exclusive and non-exclusive clades uniting the target taxa, with

19 customisable options to assess clades within the context of the whole tree. PhySortR is a

20 command-line tool that is freely available, highly scalable, and easily automatable.

Main Text

22 Phylogenomics increasingly involves screening of thousands of phylogenetic trees using

23 specialised sorting algorithms for features of interest, e.g. strongly supported monophyletic

24 relationships of taxa in question (i.e. the "target" taxa). Currently available tree-sorting utilities,

25 e.g. PhyloSort (Moustafa and Bhattacharya 2008) and SICLE (Deblasio and Wisecaver 2013)

26 screen a set of trees (in Newick format) for the presence of clades that unite a set of user-defined

27 target taxa based on clade support (that exceeds a defined threshold), and sort these trees

28 accordingly. However, these tools do not consider the proportion of non-target taxa and overall

29 taxon composition in a tree during the sorting process.

30 Here we present PhySortR, a fast, flexible R package for screening and sorting phylogenetic

31 trees (Cardona et al. 2008). The package provides the quick and highly flexible *sortTrees*

32 function, allowing for screening (within a tree) for "Exclusive" clades that contain only the target

33 taxa and/or "Non-Exclusive" clades that include a defined portion of non-target taxa. The

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34   algorithm and all available options of PhySortR are described in detail in Supplementary Text S1

35   and Supplementary Figures S1 and S2.

36   PhySortR allows the user to specify one or more target taxa using the *target.groups* argument,

37   providing that the taxa (tree-tip labels in the Newick files) are named consistently across all input

38   trees; this is a simple string-matching exercise, i.e. the terms specified here determine taxon-level

39   resolution of targets. The minimum support for a clade (*min.support*) can refer to bootstrap,

40   Bayesian posterior probability, or any other measure of support. Existing utilities such as

41   PhyloSort (Moustafa and Bhattacharya 2008), when assessing the relationship between two

42   target groups in a 100-taxon tree, would identify both (a) a robust two-member clade (one from

43   each target group), and (b) a robust 50-member clade containing multiple taxa from both groups,

44   as "Exclusive", although (b) is likely the more-convincing evidence of a close association

45   between the targets and of greater biological significance. To address this issue, PhySortR allows

46   one to define *min.prop.target*, the minimum required proportion of target(s) present in a clade

47   relative to the total number of target(s) found in a tree.

48   Alternatively, one might wish to screen for a robust clade that contains the target groups and a

49   small proportion of "interrupting" non-target taxa, e.g. a 41-member clade consisting of 20 taxa

50   each from the targets Rhodophyta and Viridiplantae, as well as a stramenopile taxon (a non-

51   target such as a diatom). Whereas the diatom is "interrupting" the otherwise exclusive clade of

52   Rhodophyta + Viridiplantae, the association between the targets is still of interest and the diatom

53   presence might be readily explained by lateral gene transfer (LGT) due to plastid endosymbiosis.

54   Composite clades such as these are considered "Non-Exclusive" (Chan et al. 2011) and are not

55   identified by existing tree-sorting tools. The concept of exclusivity (Fig. 1A) versus non-

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56   exclusivity (Fig. 1B) of clades in tree sorting has proven crucial in a number of genome-wide

57   studies that have investigated the impact of LGT on the evolution of diverse algal and protist

58   phyla (e.g., Chan et al. 2011; Curtis et al. 2012; Price et al. 2012; Bhattacharya et al. 2013).

59   PhySortR identifies both types of clades by default. The user has the option to define a "Non-

60   Exclusive" clade based on the proportion of target versus non-target taxa using the option

61   *clade.exclusivity*. At the default setting (*clade.exclusivity* = 0.9), the minimum proportion of

62   target taxa within a "Non-Exclusive" clade is 0.9, thus the maximum proportion of non-target

63   taxa allowed in the clade is 0.1 (i.e. 1 minus 0.9). This option accepts any value <1.0, and is only

64   applicable for sorting "Non-Exclusive" clades (see Supplementary Fig. S2); at 1.0 (no non-target

65   taxa allowed), the clade is considered "Exclusive". PhySortR is an R implementation of the

66   algorithm deployed in Chan et al. (2011) that has been adopted in other phylogenomic studies

67   (Curtis et al. 2012; Price et al. 2012; Bhattacharya et al. 2013). The R platform is open source,

68   platform-independent, and broadly accessible to researchers, with continued support by the R

69   Core Team; functional modularity and the command-line interface enable batch automation and

70   workflow integration.

71   The runtime of PhySortR is dependent on the number of trees (N) to be sorted and the number of

72   taxa (X) within a tree. We benchmarked PhySortR using two simulated datasets to assess runtime

73   (t): one varying N at fixed $X = 100$ (Supplementary Data S1), another varying X at fixed $N =$

74   1000 (Supplementary Data S2). We required 20% of X within an "Exclusive" clade in a tree. We

75   observed that the runtime scales linearly with N (Figure 1C) and superlinearly with X (Figure

76   1D). In the extreme case, sorting through 10000 trees took <500 seconds (~8.3 minutes). We

77   observed negligible differences in t with negative controls (trees containing no identifiable

78   clades) as input, compared to the test set in Figure 1D.

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79 PhySortR incorporates existing functionalities and data structures in the commonly used

80 phylogenetic packages *ape* (Paradis et al. 2004) and *phytools* (Revell 2012), allowing for

81 streamlined interoperability within the R environment. Whereas *ape* and *phytools* accept only

82 traditional Newick as input, PhySortR accepts tree files in both traditional and extended Newick

83 formats (Cardona et al. 2008). The function *convert.eNewick* in isolation can be used as a

84 general-purpose tool for converting extended Newick into traditional Newick format. PhySortR

85 is freely available from the Comprehensive R Archive Network (cran.r-project.org). See also

86 https://cloudstor.aarnet.edu.au/plus/index.php/s/StQRSJBmcSZKd7y.

87 **Supplementary Material**

88 Supplementary Text, Supplementary Figures S1-S2, Supplementary Data S1-S2.

89 **Acknowledgments**

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131 **Figure**
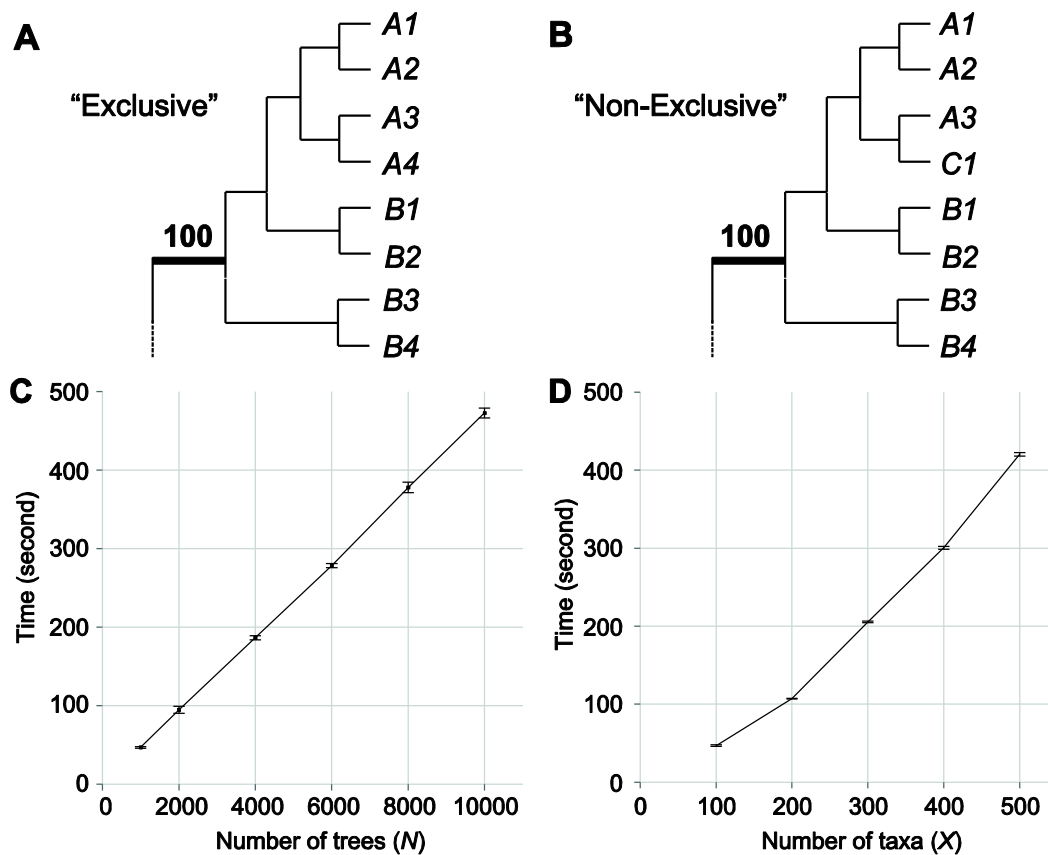
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133 **Figure 1.** The concept of clade exclusivity and benchmarking results of PhySortR using

134 simulated data. The schematic diagram of an "Exclusive" clade shown in (A) and consists solely

135 of taxa from targets A and B, whereas the "Non-Exclusive" clade shown in (B) consists of

136 targets A and B plus an "interrupting" taxon from group C; each clade has strong bootstrap

137 support at 100%. The mean runtime (t) of PhySortR is shown for analysis across datasets (C)

138 with different numbers of trees, N (Supplementary Data S1), and (D) with different numbers of

139 taxa per tree, X (Supplementary Data S2). Values of t are mean across 100 replicates, error bars

140 indicate the standard deviation of the mean.

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