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Enriching scientific publications with interactive 3D PDF: An integrated toolbox for creating ready-to-publish figures

Three-dimensional (3D) data of many kinds is produced at an increasing rate throughout all scientific disciplines. The Portable Document Format (PDF) is the de-facto standard for the exchange of electronic documents and allows for embedding three-dimensional models. Therefore, it is a well suited medium for the visualization and the publication of this kind of data. The generation of the appropriate files has been cumbersome so far. This article presents the first release of a software toolbox which integrates the complete workflow for generating 3D model files and ready-to-publish 3D PDF documents for scholarly publications in a consolidated working environment. It can be used out-of-the-box as a simple working tool or as a basis for specifically tailored solutions. A comprehensive documentation, an example project and a project wizard facilitate the customization. It is available royalty-free and for Windows, MacOS and Linux.

1 Enriching scientific publications with interactive 3D PDF: An 2 integrated toolbox for creating ready-to-publish figures

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22 **Abstract**

23 Three-dimensional (3D) data of many kinds is produced at an increasing rate throughout all
24 scientific disciplines. The Portable Document Format (PDF) is the de-facto standard for the
25 exchange of electronic documents and allows for embedding three-dimensional models.
26 Therefore, it is a well suited medium for the visualization and the publication of this kind of
27 data.

28 The generation of corresponding files has been cumbersome so far. This article presents the
29 first release of a software toolbox which integrates the complete workflow for generating 3D
30 model files and ready-to-publish 3D PDF documents for scholarly publications in a consolidated
31 working environment. It can be used out-of-the-box as a simple working tool or as a basis for
32 specifically tailored solutions. A comprehensive documentation, an example project and a
33 project wizard facilitate the customization. It is available royalty-free and for Windows, MacOS
34 and Linux.

35
36 **Keywords**

37 PDF; 3D-PDF; Portable Document Format; U3D; Universal 3D; Application
38

39 **Introduction**

40 Throughout many scientific disciplines, the availability – and thus the importance – of three-
41 dimensional (3D) data has grown in the recent years. Consequently, this data is often the basis
42 for scientific publications, and in order to avoid a loss of information, the visualization of this
43 data should be 3D whenever possible (**Tory & Möller, 2004**). In contrary to that, almost all
44 contemporary visualization means (paper printouts, computer screens, etc.) only provide a two-
45 dimensional (2D) interface.

46 The most common workaround for this limitation is to project the 3D data onto the available
47 2D plane (Newe, 2015), which results in the so-called “2.5D visualization” (**Tory & Möller,**
48 **2004**). This projection yields two main problems: limited depth perception and objects that
49 occlude each other. A simple but effective solution of these problems is interaction: by
50 changing the projection angle of a 2.5D visualization (i.e., by changing the point of view), depth
51 perception is improved (**Tory & Möller, 2004**), and at the same time objects that had previously
52 been occluded (e.g., the backside) can be brought to sight.

53 A means of application of this simple solution has been available for many years: the Portable
54 Document Format (PDF) from Adobe (**Adobe, 2014**). This file format is the de-facto standard for
55 the exchange of electronic documents and almost every scientific article that is published
56 nowadays is available as PDF – as well as even articles from the middle of the last century
57 (**Hugh-Jones, 1955**). PDF allows for embedding 3D models and the Adobe Reader
58 (<http://get.adobe.com/reader/otherversions/>) can be used to display these models
59 interactively.

60 Nevertheless, this technology seems not to have found broad acceptance among the
61 scientific community until now, although journals encourage authors to use this technology
62 (**Maunsell, 2010; Elsevier, 2015**). One reason might be that the creation of the appropriate
63 model files and of the final PDF documents is still cumbersome. Not everything that is
64 technically possible is accepted by those who are expected to embrace the innovation if the
65 application of this innovation is hampered by inconveniences (**Hurd, 2000**). Generally suitable
66 protocols and procedures have been proposed by a number of authors before, but they all
67 required of toolchain of at least three (**Kumar et al., 2010; Danz & Katsaros, 2011**) or even four
68 (**Phelps, Naeger & Marcovici, 2012; Lautenschlager, 2014**) different software applications and
69 up to 22 single steps until the final PDF was created. Furthermore, some of the proposed
70 workflows were limited to a certain operating system (OS) (**Phelps, Naeger & Marcovici, 2012**),
71 required programming skills (**Barnes et al., 2013**) or relied on commercial software
72 (**Ruthensteiner & Heß, 2008**). Especially the latter might be an important limiting factor which

73 hampers the proliferation of the 3D PDF format in scientific publishing (**Lautenschlager, 2014;**
74 **Neuwe, 2015**).

75 This article presents a comprehensive and highly integrated software tool for the creation of
76 both the 3D model files (which can be embedded into PDF documents) and the final, ready-to-
77 publish PDF documents with embedded interactive 3D figures. The presented solution is based
78 on MeVisLab, available for all major operating systems (Windows, MacOS and Linux) and
79 requires no commercial license. The source code is available but does not necessarily need to
80 be compiled since binary add-on installers for all platforms are available. A detailed online
81 documentation, an example project and an integrated wizard facilitate re-use and
82 customization.

83 ***Background and Related Work***

84 ***The Portable Document Format***

85 The Portable Document Format is a document description standard for the definition of
86 electronic documents independently of the software, the hardware or the operating system
87 that is used for creating or consuming (displaying, printing...) it (**Adobe, 2008a**). A PDF file can
88 comprise all necessary information and all resources to completely describe the layout and the
89 content of an electronic document, including texts, fonts, images and multimedia elements like
90 audio, movies or 3D models. Therefore, it fulfils all requirements for an interactive publication
91 document as proposed by (**Thoma et al., 2010**).

92 Although it is an ISO standard (ISO 32000-1:2008 (**ISO, 2008**)), the specification is available to
93 the full extent from the original developer Adobe (**Adobe, 2015**) and can be used royalty-free.

94 ***Embedding 3D Models into PDF***

95 The fifth edition of the PDF specification (PDF version 1.6 (**Adobe, 2004**)), published in 2004,
96 was the first to support so-called "3D Artwork" as an embedded multimedia feature. In January
97 2005, the Acrobat 7 product family provided the first implementation of tools for creating and
98 displaying these 3D models (**Adobe, 2005**).

99 The latest version (PDF version 1.7 (**Adobe, 2008a**)) supports three types of geometry (meshes,
100 polylines and point clouds), textures, animations, 15 render modes, 11 lighting schemes and
101 several other features. The only 3D file format that is supported by the ISO standard (**ISO, 2008**)
102 is Universal 3D (U3D, see section below). Support for another 3D format (Product
103 Representation Compact, PRC) has been added by Adobe (**Adobe, 2008b**) and has been
104 proposed to be integrated into the replacement Norm ISO 32000-2 (PDF 2.0). However, this

105 new standard is currently only available as draft version (**ISO, 2014**) and has not yet been
106 adopted.

107 Although the first application in scientific context was proposed in November 2005
108 (**Zlatanova & Verbree, 2005**) and thus quite soon after this new technology was available, it
109 took three more years before the first applications were really demonstrated in scholarly
110 articles (**Ruthensteiner & Heß, 2008; Kumar et al., 2008; Barnes & Fluke, 2008**). Since then, the
111 number of publications that apply PDF 3D technology either in theory or in practice has
112 increased almost every year (Table 1). The most sophisticated implementation so far is the
113 reporting of planning results for liver surgery where the PDF roots are hidden behind a user
114 interface which emulates a stand-alone software application (**Newe, Becker & Schenk, 2014**).

115 **Table 1.** Number of publications related to 3D PDFs in biomedical sciences since 2008 (not
116 comprehensive).

Year	Number of publications with embedded/supplemental 3D PDF	Number of publications dealing with/mentioning 3D PDF
2005	-	1
2008	1	-
2009	5	4
2010	2	7
2011	7	6
2012	6	5
2013	7	2
2014	21	7
2015	31	2

117 ***The Universal 3D (U3D) file format***

118 As outlined above, the U3D file format is the only 3D format that is supported by the current
119 ISO specification of PDF. Initially designed as an exchange format for Computer Aided
120 Construction (CAD), it was later standardized by Ecma International (formerly known as
121 European Computer Manufacturers Association, ECMA) as ECMA-363 (Universal 3D File
122 Format). The latest version is the 4th edition from June 2007 (**ECMA, 2007**).

123 U3D is a binary file format that comprises all information to describe a 3D scene graph. A U3D
124 scene consists of an arbitrary number of objects that can be sorted in an object tree. The
125 geometry of each object can be defined as a triangular mesh, a set of lines or a set of points. A
126 proprietary bit encoding algorithm allows for a highly compressed storage of the geometry
127 data. A number of additional features and entities (textures, lighting, views, animations) can be
128 defined; details are described in previously published articles (**Newe & Ganslandt, 2013**).

129 The scholarly publishing company Elsevier invites authors to supplement their articles with

130 3D models in U3D format (**Elsevier, 2015**) and many 3D software tools provide the possibility to
131 export in U3D format. However, most of them are commercial software, but open source
132 solutions like MeshLab (<http://meshlab.sourceforge.net/>) are available as well.

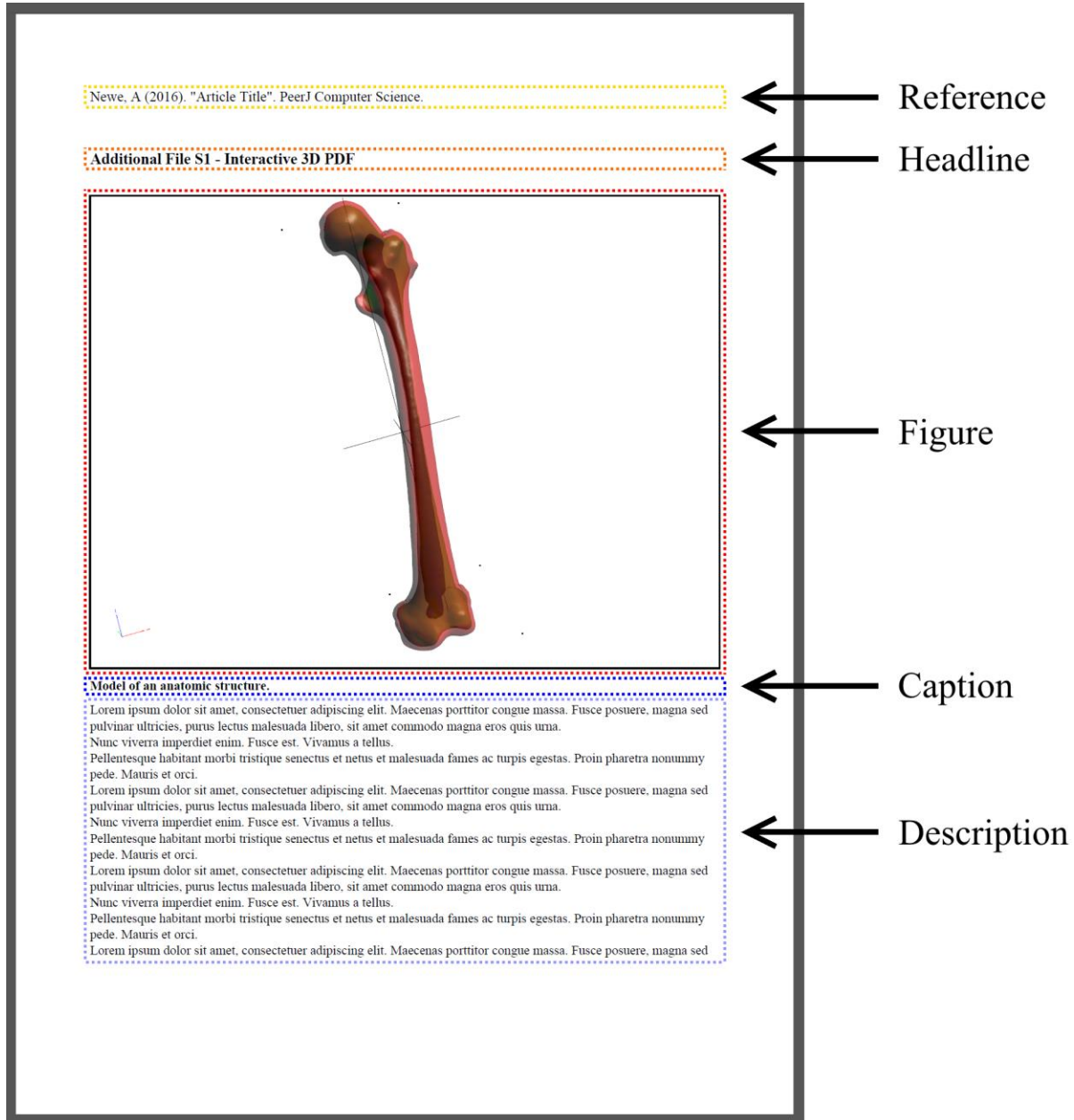
133 ***Creating 3D Model Files and PDF Documents***

134 Although many tools and libraries are available that support the creation of 3D model files
135 and of final PDF documents, the whole process is still cumbersome. The problems are manifold:
136 some tools require programming skills; some do not support features those are of interest for
137 scientific 3D data (like polylines (**Newe, 2015**) and point clouds (**Barnes & Fluke, 2008**)).
138 Operating system platform support is another issue, as well as royalty-free use.

139 As regards the creation of the 3D model files, most of these problems have been addressed in
140 a previous article (**Newe, 2015**). The main problem, however, remains the creation of the final
141 PDFs. Specifying the content and (in particular) the layout of a document can be a complex task
142 and is usually the domain of highly specialized word processor software. Figures and
143 supplements for scholarly publications, on the other hand, usually have a specific layout where
144 only the contents of (a limited number of) pre-defined elements vary. There are at least three
145 common elements for a scientific figure: the figure itself, a short caption text and a longer
146 descriptive text. If the figure is intended to be provided as supplemental information file
147 instead of being integrated into the main article text, some additional information is necessary
148 as well: At least a general headline and an optional reference to the main article should be
149 provided. If the document content is modularized to these five key elements (Figure 1), the
150 creation of the PDF itself becomes a rather simple task, because the layout can be pre-defined.

151 One last difficulty arises from a peculiarity of interactive 3D figures in PDF: the number
152 viewing options (e.g., camera angle, zoom, lighting...) is nearly unlimited. Although such a figure
153 is intended to provide all these options, an author usually wants to define an initial view at the
154 objects, if only to simply ensure that all objects are visible. No freely available tool for PDF
155 creation currently provides a feature to pre-define such a view. The movie15 package for LaTeX
156 (**Grahn, 2005**) provides a mechanism to determine the view parameters, but that requires the
157 generation of intermediate PDFs.

158 Finally it must be mentioned that many previously published 3D models are very large –
159 sometimes up to nearly 100 megabytes (**Krause et al., 2014**). In most cases, this size could (and
160 should) be reduced significantly, because the density of polygon meshes does usually not need
161 to be very high for illustrative purposes.



162

163 **Figure 1.** General layout of a scholarly figure if provided as supplemental material.164 ***MeVisLab***

165 MeVisLab is a framework for image processing and environment for visual development,
 166 published by MeVis Medical Solutions AG and Fraunhofer MEVIS in Bremen, Germany. It is
 167 available via download (<http://www.mevislab.de/download/>) for all major platforms (Microsoft
 168 Windows, Mac OS and Linux) and has a licensing option which is free for use in non-commercial

169 organizations and research (“MeVisLab SDK Unregistered” license,
170 <http://www.mevislab.de/mevislab/versions-and-licensing/>). Besides the development features,
171 MeVisLab can be used as a framework for creating sophisticated applications with graphical
172 user interfaces that hide the underlying platform and that can simply be used without any
173 programming knowledge (Koenig et al., 2006; Heckel, Schwier & Peitgen, 2009; Ritter et al.,
174 2011). MeVisLab has been evaluated as a very good platform for creating application
175 prototypes (Bitter et al., 2007), is very well documented
176 (<http://www.mevislab.de/developer/documentation/>) and supported by an active online
177 community (<http://www.mevislab.de/developer/community/>;
178 <https://github.com/MeVisLab/communitymodules/tree/master/Community>).

179 All algorithms and functions included into MeVisLab are represented and accessed by
180 "modules", which can be arranged and connected to image processing networks or data
181 processing networks on a graphical user interface (GUI) following the visual data-flow
182 development paradigm. By means of so-called “macro modules”, these networks can then be
183 converted with little effort into complete applications with an own GUI.

184 **Methods**

185 ***Elicitation of Requirements***

186 As described above, the generation of the necessary 3D model data and particularly of the
187 final PDF is still subject to a number of difficulties. Therefore, the first step was the creation of a
188 list of requirements specifications with the aim to create a tool that overcomes these known
189 drawbacks.

190 Two requirements have been identified to be the most important ones: 1) the demand for a
191 tool that creates “ready-to-publish” PDF documents without the need for commercial software
192 and 2) the integration of all necessary steps into a single and easy-to-use interface. Besides
193 these two main requirements, a number of additional requirements have then been identified
194 as well. See Table 2 for a full list of all requirements that were the basis for the following
195 development.

196

197 **Table 2.** Requirements for the development of the software tool. The two main requirements are
 198 highlighted in bold font.

ID	Requirement Specification
R1	The software shall create ready-to-publish PDF documents with embedded 3D models.
R1.1	The software shall offer an option to specify the activation mode and the deactivation mode for the 3D models.
R2	The software shall provide an integrated, single-window user interface that comprises all necessary steps.
R3	The software shall be executable under Windows, MacOS and at least one Linux distribution.
R4	The software shall be executable without the need to purchase a commercial license.
R5	The software shall create 3D model files in U3D format.
R5.1	The software shall create view definitions for the 3D model.
R5.2	The software shall create poster images for the PDF document.
R6	The software shall import mesh geometry from files in OBJ, STL and PLY format.
R6.1	The software should import mesh geometry from other file formats as well.
R6.2	The software shall offer an option to reduce the number of triangles of imported meshes.
R6.3	The software shall offer an option to specify the U3D object name and the color of imported meshes.
R7	The software shall import line set geometry from files in text format.
R7.1	The software shall offer an option to specify the U3D object name and the color of imported line sets.
R8	The software shall import point set geometry from files in text format.
R8.1	The software shall offer an option to specify the U3D object name of imported point sets.

199 **Creation of an “App” for MeVisLab**

200 MeVisLab-based solutions presented in previous work (Newe & Ganslandt, 2013; Newe,
 201 **2015**) already provide the possibility to create U3D files without requiring programming skills
 202 and without the need for an intensive training. However, they still needed some basic training
 203 as regards assembling the necessary processing chains in MeVisLab. Furthermore, the creation
 204 of the final PDF was not possible so far.

205 Therefore, a new macro module was created for MeVisLab. A macro module encapsulates
 206 complex processing networks and can provide an integrated user interface. In this way, the
 207 internal processes can be hidden away from the user, who can focus on a streamlined workflow
 208 instead. Designed in an appropriate way, a macro module can also be considered as an “app”
 209 inside of MeVisLab.

210 In order to provide the necessary functionality, some auxiliary tool modules (e.g., for the
 211 creation of the actual PDF file) needed to be developed as well. Along with the modules for U3D
 212 export mentioned above, these auxiliary tool modules were integrated into the internal
 213 processing network of the main “app” macro. The technical details of these internal modules
 214 are not within the scope of this article. However, the source code is available and interested

215 readers are free to explore the code and to use it for own projects.

216 The user interface of the app was designed in a way that it guides novice users step-by-step
217 without treating experienced users too condescendingly, though. Finally, a comprehensive
218 documentation including an example project, a wizard for creating tailored PDF modules and a
219 verbose help text was set up.

220 ***Deployment of Core Functionality***

221 For the creation of the actual PDF files, version 2.2.0 of the cross-platform, open source
222 library libHaru (<http://libharu.org/>) was selected, slightly modified and integrated as third-party
223 contribution into MeVisLab.

224 Next, the application programming interface (API) of libHaru was wrapped into an abstract
225 base module for MeVisLab in order to provide an easy access to all functions of the library and
226 in order to hide away standard tasks like creating a document or releasing memory. A large
227 number of convenience functions were added to this base module and an exemplary MeVisLab
228 project was set up in order to demonstrate how to use the base module for tailored
229 applications. This base module also served as basis for the PDF creation of the app macro
230 described above. Finally, a project wizard was integrated into the MeVisLab GUI.

231 ***Results***

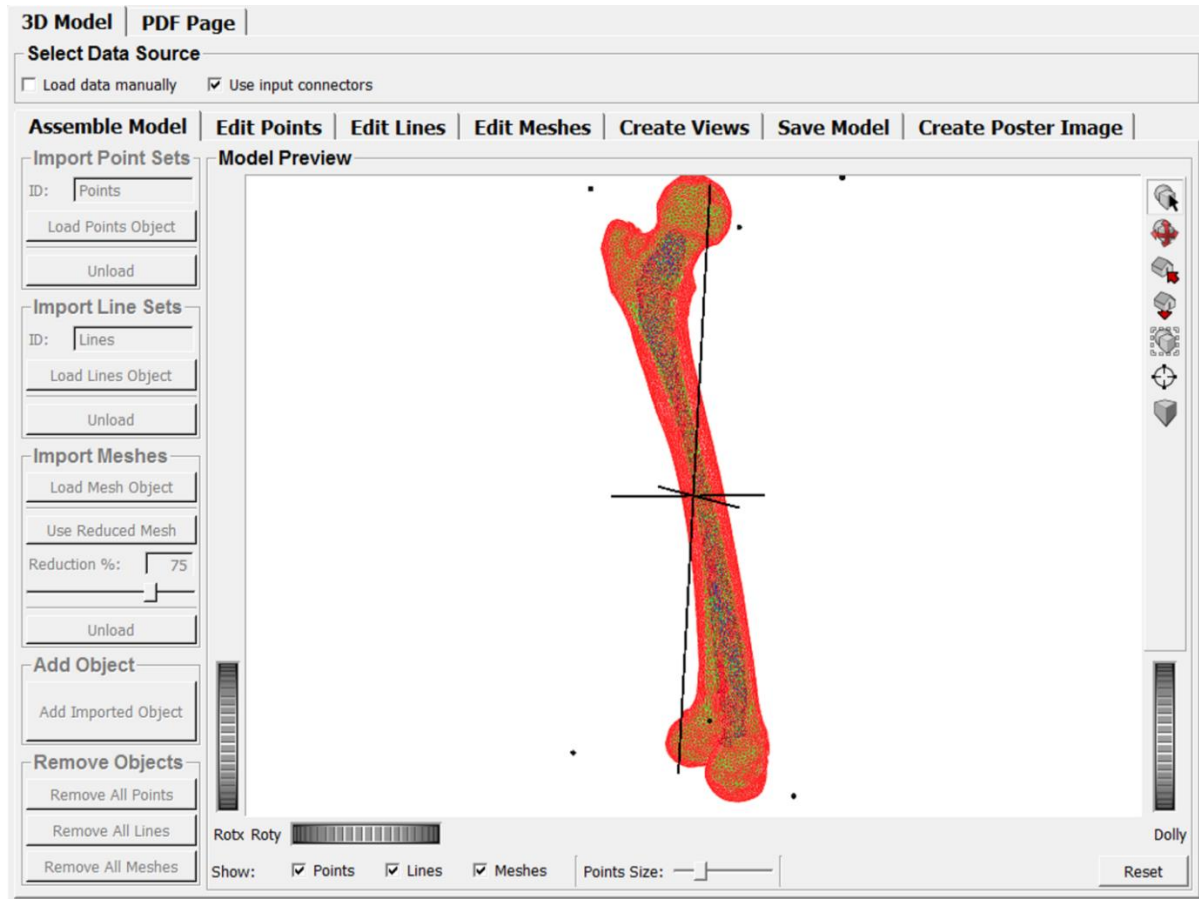
232 ***The “Scientific3DFigurePDFApp” module***

233 The new macro module “Scientific3DFigurePDFApp” for MeVisLab provides an integrated
234 user interface for all steps that are necessary for the creation of U3D models files and for the
235 creation of the final PDF documents with embedded 3D models. The model editor part
236 produces U3D model files of geometry data that are compatible with version 4 of the ECMA-
237 363 standard and poster images in Portable Network Graphics (PNG) format. The PDF editor
238 part produces PDF documents that are compliant with PDF version 1.7 (ISO 32000-1:2008). An
239 example PDF is available as Supplemental File S1.

240 The user interface is arranged in tabs, whereas each tab comprises all functions for one step
241 of the workflow. By processing the tabs consecutively, the user can assemble and modify 3D
242 models, save them in U3D format, create views and poster images for the PDF document, and
243 finally create the PDF itself step by step (Figure 2).

244 The raw model data can be collected in two ways: either by feeding it to the input connectors
245 or by assembling it by means of the built-in assistant. The former option is intended for
246 experienced MeVisLab users that want to attach the module at the end of a processing chain.

247 The latter option addresses users that simply want to apply the app for converting existing 3D
248 models and for creating an interactive figure for scholar publishing.



249
250 **Figure 2. User interface of the app.** The user interface comprises all necessary steps for the creation of
251 3D model files and PDF files. It is arranged in tabs for each step.

252 The software allows for importing the geometry data of 39 different 3D formats, including
253 point clouds and line sets from files in character-separated value (CSV) format (see Table 3 for a
254 full list). The import of textures and animations is not supported.

255 Objects from different sources can be combined and their U3D properties (colour, name,
256 position in the object tree) can be specified. The density of imported meshes can be adjusted
257 interactively and multiple views (i.e., the specification of camera, lighting and render mode) can
258 be pre-defined interactively as well. Finally, it is also possible to create a poster image which
259 can replace an inactive 3D model in the PDF document if the model itself is disabled or if it
260 cannot be displayed for some reason (e.g., because the reading software does not provide the
261 necessary features).

262 **Table 3.** List of supported 3D formats for importing geometry data (textures, animations and other
 263 features are not supported).

File format	Typical File Extension(s)
Stereolithography	*.stl
Stanford Polygon Library	*.ply
Wavefront Object	*.obj
Object File Format	*.off
Blender	*.blend
Raw Triangles	*.raw
Raw Point Clouds	*.csv; *.txt
Raw Line Sets	*.csv; *.txt
3D GameStudio	*.mdl; *.hmp
3D Studio Max	*.3ds; *.ase
AC3D	*.ac
AutoCAD/Autodesk	*.dxf
Biovision BVH	*.bvh
CharacterStudio Motion	*.csm
Collada	*.dae; *.xml
DirectX X	*.x
Doom 3	*.md5mesh; *.md5anim; *.md5camera
Irrlicht	*.irrmesh; *.irr; *.xml
LightWave	*.lwo; *.lws
Milkshape 3D	*.ms3d
Modo Model	*.lxo
Neutral File Format	*.nff
Ogre	*.mesh.xml, *.skeleton.xml, *.material
Quake I, Quake II, Quake III	*.mdl; *.md2; *.md3; *.pk3
Quick3D	*.q3o; *.q3s
RtCW	*.mdc
Sense8 WorldToolkit	*.nff
Terragen Terrain	*.ter
TrueSpace	*.cob, *.scn
Valve Model	*.smd, *.vta
XGL	*.xgl, *.zgl

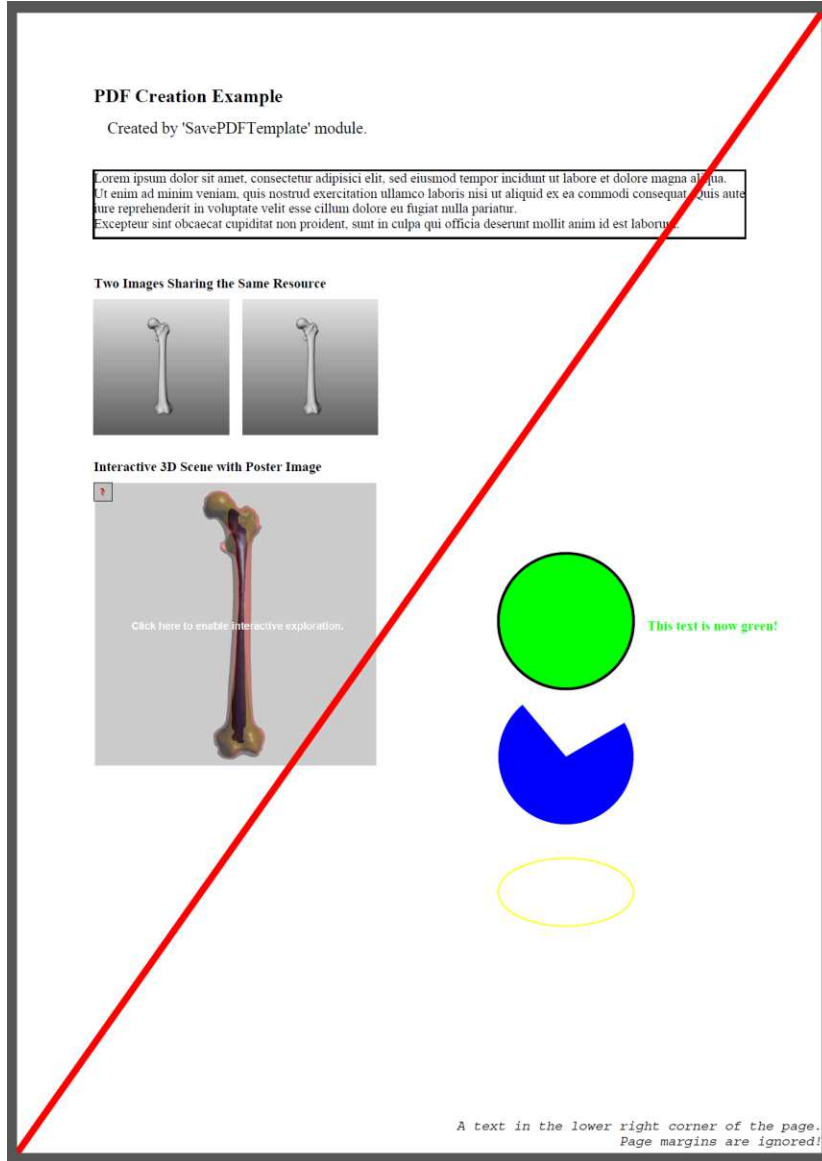
264 All functions are explained in detail in a comprehensive documentation which can be
 265 accessed directly inside MeVisLab. A stand-alone copy of the documentation is available as
 266 Supplementary File S2. In order to use the app, it simply needs to be instantiated (via the
 267 MeVisLab menu: Modules → PDF → Apps → Scientific3DFigurePDFApp). A full feature list is
 268 available in Table 4.

269 **Table 4.** List of features.

Category	Features
Data Import	Import external data, import MeVisLab data, import point clouds, import line sets, import meshes from 37 file formats, adjust mesh density, preview import
Point Cloud Editing	Specify point cloud name, specify position in model tree, preview settings
Line Set Editing	Specify line set name, specify position in model tree, specify colour, preview settings
Mesh Editing	Specify mesh name, specify position in model tree, specify colour, specify opacity, preview settings
View Specification	Specify view name, specify background colour, specify lighting scheme, specify render mode, preview settings, specify multiple views
U3D Creation	Store model in U3D format, preview scene
Poster Image Creation	Store poster in PNG format, preview scene, specify superimposed text
PDF Creation	Store document in PDF (v1.7) format, specify header citation text, specify header headline text, specify U3D file, specify poster file, specify model activation mode, specify model deactivation mode, specify toolbar enabling, specify navigation bar enabling, specify animation start mode, specify caption, specify description text

270 ***Additional Features for Tailored PDF Creation***

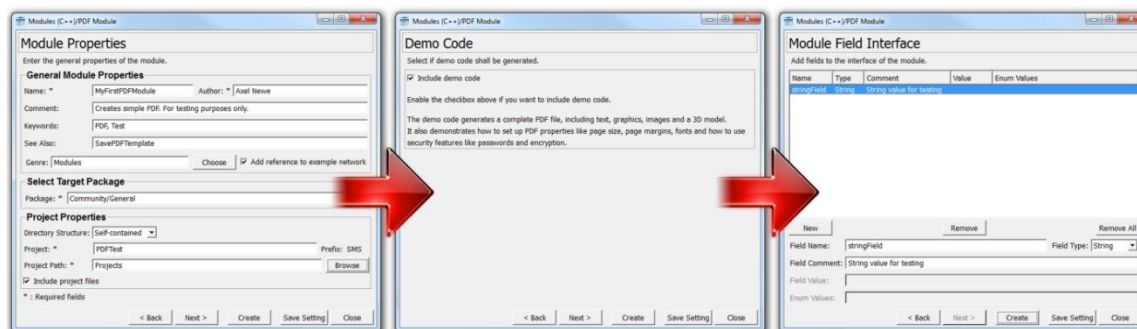
271 The abstract module which wraps the API of the PDF library libHaru into a MeVisLab module
 272 was made public (“PDFGenerator” module) and can be used for the development of tailored
 273 MeVisLab modules. In order to facilitate the re-use of this abstract base module, an exemplary
 274 project was set up (/Projects/PDFExamples/SavePDFTemplate). This project demonstrates how
 275 to derive a customized module from the PDFGenerator base module and how to specify the
 276 content of the PDF file that will be created by means of the new module. The template code is
 277 verbosely annotated and includes examples for setting PDF properties (e.g., meta data, page
 278 size, encryption) as well as the document content (including text, images, graphics and 3D
 279 models). The output of the SavePDFTemplate module is illustrated in Figure 3.



280

281 **Figure 3.** Output of the SavePDFTemplate module.

282 Finally, a project wizard was integrated into the MeVisLab GUI. It can be accessed via the
283 MeVisLab menu: File → Run Project Wizard... → PDF Module. The wizard consists of three steps
284 (Figure 4) whereof the second step offers the possibility to include demo code which produces
285 the same PDF file as the SavePDFTemplate module described above. The general usage of
286 project wizards in MeVisLab is explained in chapter 23 of the MeVisLab manual (menu: Help →
287 Browse Help Pages → Using MeVisLab → MeVisLab Reference Manual).



288

289 **Figure 4.** Project wizard for creating customized PDF modules.290 **Availability**

291 The whole PDF project for MeVisLab (which includes the Scientific3DFigurePDFApp, the
292 PDFGenerator base module, the SavePDFTemplate project, the project wizard and all source
293 code files) is available for Microsoft Windows, MacOS and Linux (tested with Ubuntu 14.04.2). It
294 requires MeVisLab 2.8 or a later version (<http://www.mevislab.de/download/>). The Windows
295 version of MeVisLab is available for four compiler versions. This is relevant only if the source
296 code is intended to be compiled manually (see below).

297 There are two approaches to add the app and the other elements to an existing MeVisLab
298 installation: add-on installers and the online repository of the MeVisLab community sources.

299 Installers are self-contained, executable archives that automatically add all necessary files to
300 an existing MeVisLab installation. The target groups for these installers are MeVisLab
301 newcomers and pure users that want to use the Scientific3DFigurePDFApp out-of-the-box. The
302 current version of the installers for all operating systems and all 64-Bit compiler versions can be
303 downloaded from the research data repository Zenodo
304 (<http://dx.doi.org/10.5281/zenodo.48758>). Installers for the previous version of MeVisLab
305 (2.7.1) are available as well (<http://dx.doi.org/10.5281/zenodo.47491>), but this version will not
306 be supported in the future. Updates will be made available via Zenodo as well. A dedicated
307 Zenodo Community Collection named “Three-dimensional Portable Document Format (3D PDF)
308 in Science” has been set up for this purpose (<https://zenodo.org/collection/user-3d-pdf>).

309 All those who are interested in being able to always use the latest version should connect
310 their MeVisLab installation with the community sources which are hosted at GitHub
311 (<https://github.com/MeVisLab/communitymodules/tree/master/Community>). This approach,
312 however, requires compiling the source code and is intended only for experienced users or for
313 users that are willing to become acquainted with MeVisLab. Note, that there are multiple
314 versions available for Windows, depending on the compiler that is intended to be used.

315 ***Discussion***

316 ***A Toolbox for the Creation of 3D PDFs***

317 The utilization of 3D PDF technology for scholarly publishing has been revealed and proven
318 both useful and necessary by several authors in the past years. The mainstream application of
319 3D PDF in science, however, is yet to come.

320 One reason might be the difficult process that has so far been necessary to create
321 appropriate data and relevant electronic documents. This article presents an all-in-one solution
322 for the creation of such files which requires no extraordinary skills. It can be used by low-end
323 users as an out-of-the-box tool as well as a basis for sophisticated tailored solutions for high-
324 end users.

325 Many typical problems as regards the creation of 3D model files have been addressed and
326 solved. All steps of the workflow are integrated seamlessly. The software is available for all OS
327 platforms and can import and process objects from many popular 3D formats, including
328 polylines and point clouds (Table 3). The density of imported meshes can be adjusted
329 interactively which enables the user to find the best balance between the desired level of detail
330 and the file size.

331 The main contribution, however, is the possibility to create ready-to-publish PDF documents
332 with a minimum of steps. This approach was proposed to be the ideal solution by **(Kumar et al.,
333 2010)**. To best knowledge, this is the first time that such an integrated and comprehensive
334 solution is made available for the scientific community.

335 ***Applications***

336 The areas of application (see an example in Supplemental File S1) are manifold and not
337 limited to a specific scientific discipline. On the contrary: every field of research that produces
338 three-dimensional data can and should harness this technology in order to get the best out of
339 that data.

340 One (arbitrary) example for the possible use of mesh models from the recent literature is 3D
341 ultrasound. Dahdouh et.al. recently published about the results of segmentation of obstetric 3D
342 ultrasound images **(Dahdouh et al., 2015)**. That article contains several figures that project
343 three-dimensional models on the available two-dimensional surface. A presentation in native
344 3D would have enabled the reader to interactively explore the whole models instead of just one
345 pre-defined snapshot. Another example is the visualization of molecular structures as
346 demonstrated by **(Kumar et al., 2008)**.

347 Polylines can be used to illustrate nervous fibre tracking. **(Mitter et al., 2015)** used 2D

348 projections of association fibres in the foetal brain to visualize their results. A real 3D
349 visualization would have been very helpful in this case as well: While some basic knowledge
350 about a depicted object helps to understand 2D projections of 3D structures, the possibility to
351 preserve at least a little depth perception decreases with an increasing level of abstraction
352 (mesh objects vs. polylines).

353 This particularly applies to point clouds which can be observed, for example, in an article by
354 **(Qin et al., 2015)**: Although these authors added three-dimensional axes to their figure (no. 6) it
355 is still hard to get an impression of depth and therefore of the real position of the points in 3D
356 space.

357 ***Limitations***

358 Although the presented software pulls down the major thresholds that impede the creation
359 of interactive figures for scholarly publishing, some limitations still need to be considered.

360 A general concern is the suitability of PDF as a means to visualize and to exchange 3D models.
361 PDF and U3D (or PRC) do not support all features that other modern 3D formats provide and
362 that would be of interest for the scientific community (e.g., volumetric models). On the other
363 hand, PDF is commonly accepted and de-facto the only file format that is used for the
364 electronic exchange of scholarly articles. Therefore, PDF may not be the perfect solution, but it
365 is the best solution that is currently available.

366 The presented software requires MeVisLab as background framework and the installation of
367 MeVisLab requires a medium-sized download of about 1 GB (depending on the operating
368 system), which could be considered rather large for a PDF creator. On the other hand,
369 MeVisLab integrates a large library for the processing and the visualization of (biomedical)
370 image data. Furthermore, other frameworks (like MeshLab) do not provide all necessary
371 features (e.g., polylines or point clouds) and therefore were not considered to meet basic
372 requirements for the development of the software tool.

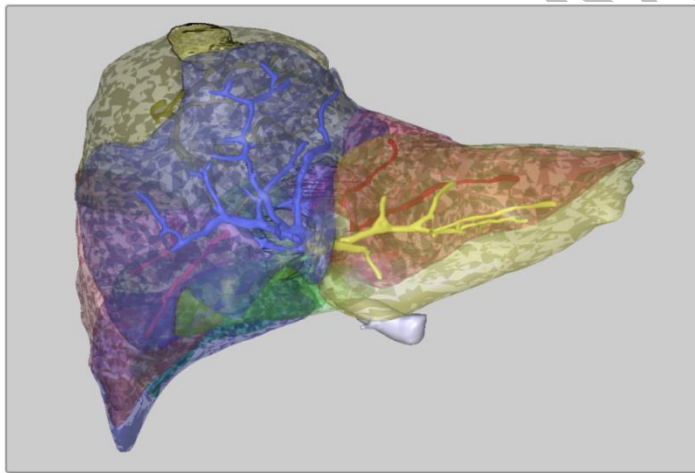
373 The import of 3D models is based on the Open Asset Import Library
374 (<http://www.assimp.org/>) which does not support all features of all 3D formats. For example,
375 textures and animations cannot be imported and should thus not be embedded into a model
376 file that is intended to be imported. However – although the model-editor part of the
377 presented software does not support textures (or animations), the PDF-creator part can still be
378 used to produce scientific PDFs with textured or animated models, if the necessary U3D files
379 have been created with external and more specialized software. In this use case, the
380 Scientific3DFigurePDFApp does not integrate all necessary steps, but it still remains a “few-
381 clicks” alternative for the creation of interactive PDF supplements for scientific publications and

382 it still obviates the need for a commercial solution.

383 Finally, very large model files should be avoided. If a large model fails to import, it should be
384 separated into several sub-models. A mesh reduction can be applied after the import, but a
385 previously reduced mesh speeds up the import process.

386 ***Suitable Reading Software***

387 The Adobe Reader (<http://get.adobe.com/reader/otherversions/>) is available free of charge
388 for all major operating systems (MS Windows, Mac OS, Linux). It is currently the only software
389 that can be used to display embedded 3D models and to let the user interact with them
390 (zooming, panning, rotating, selection of components). However, even the Adobe Reader does
391 not support all U3D features (**Adobe, 2007**), e.g., Glyphs and View Nodes. Furthermore, a
392 rendering flaw has been observed on low-end graphic boards in MacOS hardware (Figure 5).
393 Adobe Reader for MacOS does not render transparent surfaces superimposed upon each other
394 correctly: instead, a strong tessellation effect is visible. This may also occur on other platforms
395 but has not been reported yet. Since this is an issue with the rendering engine of Adobe Reader,
396 there is currently no other solution than using a different render mode (e.g., one of the
397 wireframe modes) or different hardware.



398
399 **Figure 5. Rendering artifacts.** These tessellation artifacts have been observed on MacOS systems with
400 low-end graphic hardware.

401 Experience shows that many users do not expect a PDF document to be interactive.
402 Therefore, possible consumers should be notified that it is possible to interact with the
403 document and they should also be notified that the original Adobe Reader is required for this.
404 Although poster images are a workaround to avoid free areas in PDF readers that are not

405 capable of rendering 3D scenes, missing 3D features of a certain reader could be confusing for a
406 user.

407 ***A Basis for Own Modules***

408 As pointed out in previous work (**Newe, 2015**), the authoring of a PDF document is usually a
409 complex task and thus in most cases it cannot be avoided to separate the generation of 3D
410 model data from the actual PDF authoring. Although the software tool presented in this article
411 mitigates this general problem by integrating model generation and PDF creation, it is still
412 limited to a certain use case and a pre-defined PDF layout.

413 However, the API of the core PDF functionality is public and designed in a way that facilitates
414 the creation of own PDF export modules. The large number of convenience functions for the
415 abstract base module (PDFGenerator) facilitates the creation of derived modules. These
416 functions massively lighten the programmer's workload by providing a simple access to routine
417 tasks like writing text at a defined position or like embedding a 3D model which would normally
418 require a whole series of API calls. Finally, the built-in wizard generates all necessary project
419 files and source code files to create a fully functional module barebone which only needs to be
420 outfitted with the desired functionality.

421 ***Outlook***

422 Although this article represents an important milestone, the development of the PDF project
423 for MeVisLab is ongoing. Future goals are the integration of virtual volume rendering (**Barnes et**
424 **al., 2013**), animations (**van de Kamp et al., 2014**) and the parsing of U3D files that have been
425 created with external software. The progress can be tracked via GitHub
426 (<https://github.com/MeVisLab/communitymodules/tree/master/Community>) and updates to
427 the binary files will be published regularly.

428 ***Conclusion***

429 Three-dimensional data is produced at an increasing rate throughout all scientific disciplines.
430 The Portable Document Format is a well suited medium for the visualization and the publication
431 of this kind of data. With the software presented in this article, the complete workflow for
432 generating 3D model files and 3D PDF documents for scholarly publications can be processed in
433 a consolidated working environment, free of license costs and with all major operating systems.
434 The software addresses novices as well as experienced users: On the one hand, it provides an
435 out-of-the-box solution that can be used like a stand-alone application, and on the other and all
436 sources and APIs are freely available for specifically tailored extensions.

437 ***List of abbreviations***

438 2D – Two-dimensional

439 3D – Three-dimensional

440 PDF – Portable Document Format

441 ISO – International Organization for Standardization

442 U3D – Universal 3D

443 PRC – Product Representation Compact

444 CAD – Computer Aided Construction

445 ECMA – European Computer Manufacturers Association

446 GUI – Graphical User Interface

447 API – Application Programming Interface

448 PNG – Portable Network Graphics

449 CSV – Character-separated Value, Comma-separated Value

450

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456

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