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Emergent properties of a non-physiological computational model of tumour growth

Pan Pantziarka

NEATG is a simple non-physiological tumour growth model which displays emergent properties which are analogous to a number of characteristics common to physical tumour growth. NEATG employs a novel dual-scale evolutionary algorithm which models both cell-autonomous and non-cell autonomous behaviours. The components of the model are outlined briefly, with reference to the core algorithm and data structures. Experimental results are presented which illustrate the behaviour of the model under different evolutionary scenarios, including homeostasis, tumour growth and a number of anti-tumour interventions. In particular the system is used to explore the impact of cytotoxic interventions, (analogous to high-dose chemotherapy), with respect to adaptive responses and evolutionary change. Finally, a number of avenues for further development of the system are discussed.

1 **Emergent Properties of a Non-physiological Computational Model of**
2 **Tumour Growth**

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7 Abstract

8 NEATG is a simple non-physiological tumour growth model which displays emergent properties
9 which are analogous to a number of characteristics common to physical tumour growth. NEATG
10 employs a novel dual-scale evolutionary algorithm which models both cell-autonomous and non-
11 cell autonomous behaviours. The components of the model are outlined briefly, with reference to
12 the core algorithm and data structures. Experimental results are presented which illustrate the
13 behaviour of the model under different evolutionary scenarios, including homeostasis, tumour
14 growth and a number of anti-tumour interventions. In particular the system is used to explore the
15 impact of cytotoxic interventions, (analogous to high-dose chemotherapy), with respect to
16 adaptive responses and evolutionary change. Finally, a number of avenues for further
17 development of the system are discussed.

19 Introduction

20 Tumour growth is a complex process characterised by multi-scale phenomena involving both
21 cancer and non-cancer cell populations. Where once our focus was directed primarily at the
22 activities of the cancer cell populations, often conceptualised as a single homogeneous mass, our
23 increased understanding of cancer biology now incorporates a more nuanced evolutionary or
24 ecological view of cancer growth (Gatenby, Gillies & Brown, 2011; Kareva, 2011). Key
25 elements of this view of cancer as an evolutionary system are a focus on the genetic
26 heterogeneity of tumour cell populations (De Sousa E Melo et al., 2013; Fisher, Pusztai &
27 Swanton, 2013), the importance of the tumour microenvironment and the cross-talk between
28 cancer and non-cancer cell populations (Allen & Louise Jones, 2011; Hanahan & Coussens,
29 2012; Quail & Joyce, 2013). A concern among some investigators is that in the absence of an
30 evolutionary understanding of population dynamics in cancer, therapeutic interventions may be
31 doomed to failure (Silva & Gatenby, 2010; Tian et al., 2011; Gillies, Verduzco & Gatenby,
32 2012). In other cases there is interest in understanding the role of the microenvironment in the
33 process of cancer initiation (Pantziarka, 2015) or the metastatic cascade (Psaila et al., 2007;
34 Barcellos-Hoff, Lyden & Wang, 2013).

35 More fundamentally, there are also competing theoretical views of cancer at the most basic level.
36 The predominant view of cancer – termed the somatic mutation theory (SMT) – is that it is a
37 disease caused, and then driven, by genetic mutations in cells. An alternative view – termed the
38 tissue-organisation field theory (TOFT) – views cancer as a disease caused by tissue dysfunction,
39 development gone astray, with genetic changes not as the drivers but as a consequence of the
40 disease. A number of recent publications outline these competing views of cancer (Baker, 2014;
41 Bizzarri & Cucina, 2014; Sonnenschein et al., 2014).

42 Computational models can provide ideal platforms for developing conceptual understanding of
43 complex biological systems (Saetzler, Sonnenschein & Soto, 2011; Janes & Lauffenburger,
44 2013). A range of techniques are available to build software models of cancer growth
45 specifically designed to explore evolutionary or ecological hypotheses at an abstract and non-
46 physiological level, including techniques from evolutionary game theory (Basanta et al., 2008;
47 Krzeslak & Swierniak, 2014) and machine learning (Gerlee, Basanta & Anderson, 2011).
48 NEATG (Non-physiological Evolutionary Algorithm for Tumour Growth) is a simple software
49 model of tumour growth which models cell-to-cell and tissue-level interactions and population

50 dynamics under different evolutionary scenarios. This paper describes the structure of this model
 51 and explores a range of results under different scenarios, in particular there is a focus on results
 52 which are pertinent to real cancer growth and which reflect on some of the issues outlined above.

53 **Methods**

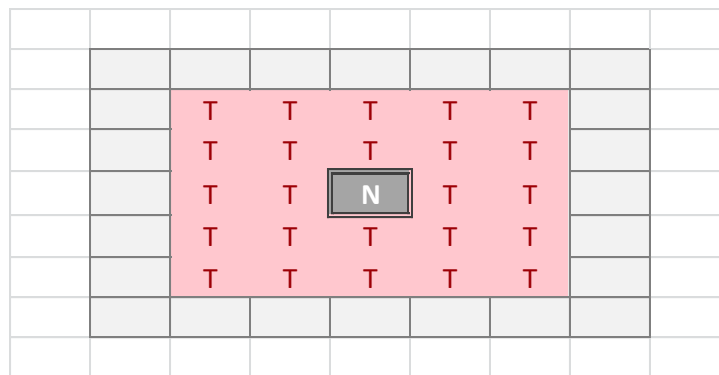
54 NEATG is implemented as a hybrid model incorporating elements from both genetic algorithms
 55 and cellular automata. It is dual scale, non-deterministic and represents both cell-level and tissue-
 56 level behaviour. It is coded in the Java programming language.

57 **Grid or Tissue-Level**

58 The tissue-level is represented as an $N \times M$ grid, with each grid element containing a set of
 59 modelled cells (which may be malignant or normal). The relative proportion of normal and
 60 malignant cells in a grid element determines the state of that grid element. These states are:

$$61 \quad E = \{\text{Normal, Majority Normal, Majority Malignant, Tumour, Necrotic}\}$$

62 Transition of a grid element from one state to another takes place at every clock tick and is
 63 determined by the proportions of different cell populations within that element, but also by the
 64 state of neighbouring grid elements. Grid elements which are in the Tumour state, that is they do
 65 not have any normal cells within them, can transition to a Necrotic state if they are surrounded
 66 by an extended neighbourhood which consists exclusively of other Tumour grid elements. By
 67 default the neighbourhood is a Moore neighbourhood of radius 2 (see Figure 1), though this is a
 68 configurable model parameter.



69

70

Figure 1 - Moore Neighbourhood of radius 2

71 Grid elements in the Necrotic state are suspended and do not take part in further computational
 72 activity unless the neighbouring grid population changes, in which case the Necrotic state reverts
 73 to Tumour.

74 Each grid element is populated with an initial, optimum population of normal cells. The size of
 75 this optimum population is a model parameter that can be varied. The size of the population can
 76 vary over time and can increase to a defined maximum value after which cellular competition
 77 takes place (as described below).

78 Each grid element receives as input a Nutrient, represented as an integer value, and a set of Gene
 79 Factors, represented as real values. The number of Gene Factors is equal to the number of genes
 80 in the cell structure, again this is a model parameter that can vary, but the default number is 3.

81 The Nutrient score can be loosely interpreted as a combination of oxygen and cellular nutrients
 82 (e.g. glucose), while the Gene Factors may be viewed as generic growth factors required for
 83 cellular growth and survival.

84 The grid element has a distribution function to compute the share of Nutrient (DN) assigned to
 85 each cell in its population of P cells based on the relative demand represented by the Nutrient
 86 Target values T :

$$DN_i = \frac{T_i}{\sum_{p=1}^P T_p}$$

87 Similarly the Gene Factor values which are inputs into each grid element are distributed to each
 88 cell according to the transfer function based on the Gene Targets (G):

$$DG_i = \frac{G_i}{\sum_{p=1}^P G_p}$$

89

90 **Cell Level**

91 Each cell is a data structure that encodes a Genome and an internal clock. The internal clock,
 92 implemented as an integer value, counts down from a maximum value, known as the Lifetime, to
 93 zero. When the system is first instantiated each cell is initialised with an internal clock value that
 94 is equal to a random integer between the Lifetime and zero. The Genome is a set of N genes,
 95 which are defined by a Target and a Tolerance, both represented as real numbers. The Genome
 96 and is defined as:

$$97 \quad G = \{(Target_0, Gene\ Tolerance_0) \dots (Target_N, Tolerance_N)\}$$

98 The Target is the optimum required level of the corresponding Gene Factor that is supplied by
 99 the local grid environment, and the Tolerance defines a band of values on either side of the
 100 Target which is considered the healthy range for that gene.

101 Gene health is therefore defined as a Boolean value:

$$102 \quad Health = (Gene\ Factor < (Gene\ Target + Gene\ Tolerance)) \ \& \ (Gene\ Factor > (Gene\ Target - \\ 103 \quad Gene\ Tolerance))$$

104 In addition to flagging health status, Genes are also used as a mechanism for the cell to influence
 105 the local grid environment. This is a simple feedback mechanism by which each cell attempts to
 106 alter the local environment in order to achieve the level of Gene Factor required for its own
 107 optimum health. The expression function is:

$$E = 1 - e^{-(T-F)}$$

108 Where T is the Gene Target value and F exogenously supplied Factor.

109 The actual level of Gene Factor available in each Grid Element is calculated as the sum of the
 110 exogenously supplied Factor, which is an input parameter in the model, and the sum of the
 111 expression values from each cell in that grid element.

112 Additional components of the cell are the Lifetime value (the maximum number of clock ticks
113 before cell division takes place), a Nutrient Target and a Nutrient Rate, which represent the
114 demand for nutrient and the metabolic rate at which nutrient is consumed respectively. Nutrient
115 which is not consumed is stored in the Nutrient Store. Each cell also has a Mutation Rate and an
116 Invasion Rate, which are used when cell division is necessitated for Malignant cells.

117 Cells can exist in a number of states:

118 $CS = \{HEALTHY, DIVIDING, APOPTOTIC, TO_BE_CLEARED, NECROTIC\}$

119 Note that the cell state of Healthy implies viability, rather than whether or not a cell is Normal or
120 Malignant.

121 Additionally there are two types of cell in this model, Normal and Malignant. Note that the
122 structure of cells is the same regardless of cell type. However, while the structure is the same the
123 behaviour is type-dependent during cell division.

124 At every clock tick the health status of the cell is assessed and the cell clock decremented
125 according to the state of health. A healthy cell, that is with adequate Nutrient and Gene Factors,
126 will decrease the cell clock by 1. Each unhealthy gene will also decrement the cell clock by one,
127 whereas a cell that has a value of zero for Nutrient store will have the cell clock set to zero, to
128 indicate that the cell must divide.

129 All cells undergo a similar cell cycle. A cell starts as Healthy and undergoes a number of
130 iterations (clock ticks) in which nutrient and gene factors are processed, the cell clock decreases
131 at rates that depend on how well the cell is adapted to the local grid environment defined by the
132 available Nutrient and Gene Factors. When the cell clock or nutrient store reaches zero the cell
133 changes state according to the following cycle:

134 Healthy > Dividing > Apoptotic > To Be Cleared

135 Cells that are flagged as To Be Cleared are removed from the grid element. At each iteration
136 dividing cells undergo cell division during which a new daughter cell is generated and enters the
137 local population in the grid element. When the grid element contains fewer than the maximum
138 supported cells (termed the carrying capacity of the grid element) a new cell is cloned from the
139 dividing cell. In the case of Malignant cells this cloning can also incur a mutation in which one
140 of the elements of the cell can change value, for example the Nutrient Target, a Gene Tolerance
141 value or the cell Lifetime itself may undergo an increase or decrease. Note that the rate of
142 mutation events is controlled by the Mutation Rate, which is itself mutable and can increase or
143 decrease through mutation.

144 If the grid element is already supporting the maximum number of cells then the cell division
145 process is more complex. In addition to undergoing the chance of mutation, Malignant cells may
146 also undergo a migration event in which the cell moves into a randomly selected adjacent grid
147 element. The rate of such migration events is controlled by the Invasion Rate, which, like the
148 Mutation Rate, is itself mutable. Cells which are not selected for migration are added to the local
149 population. To preserve the carrying capacity of the grid element, all cells are then ranked
150 according to fitness and the least fit cells are removed. This ranked selection algorithm is not
151 biased by cell type, and both Malignant and Normal cells are included in the process.

152 The fitness function F is defined as:

$$F = \sum_{g=1}^G e^{-\left(|T_g - A_g|/T_g\right)}$$

153 where T is the Gene Target and A is the Gene Factor value for each Gene in the Genome G .

154 The fitness function is designed to penalise cells which are poorly adapted to the local
155 environment.

156 **Evolutionary Strategies**

157 Each iteration the processing of Nutrient and Gene Factors is controlled by a treatment strategy
158 object. This software component enables the NEATG system to model multiple evolutionary
159 strategies, each of which can implement different algorithms in terms of controlling the rate of
160 cellular attrition, ageing and division. For example it is possible to implement a strategy which
161 mimics high-dose chemotherapy and stops dividing cells from successfully completing the
162 replication process. Alternatively a treatment strategy may alter the nutrient supply to mimic
163 starvation or over-feeding.

164 Treatment strategies can be designed so that they become active at specific time points, either by
165 activation at a specified iteration or a specified level of tumour growth. Once triggered a
166 treatment strategy can remain active until the final iteration or remain active for a specified
167 number of iterations. There is also a default 'do nothing' strategy which remains active for the
168 iterations before and after the 'active' strategy has been triggered.

169 **Run-time Behaviour**

170 The run-time behaviour of NEATG is specified using a scenario file which sets the key
171 parameters which describe both the structure of the grid and the cell populations. Initial
172 parameters include the size of the grid, in terms of width and length, optimum and maximum cell
173 counts for grid elements, the number of iterations or clock-ticks, the active strategy and the
174 trigger point and duration of action. In terms of cell structure the key parameters include the
175 number of genes, the gene structure, the mutation and invasion rates and the lifetime of each cell.
176 Another key input to the system is the structure of the Malignant cell, both in terms of the gene
177 structure but also in terms of the number of malignant cells to insert into the system and at which
178 iteration they should be inserted.

179 There are numerous logging, statistics and output generation features implemented by the
180 system, and these too are controlled via the scenario file. As the system is non-deterministic and
181 displays considerable variation in behaviour depending on the evolutionary processes of
182 mutation and invasion, an additional scripting mechanism is implemented so that multiple runs
183 can be performed and the data stored together for analysis and reporting.

184

185 Results

186 Homeostasis

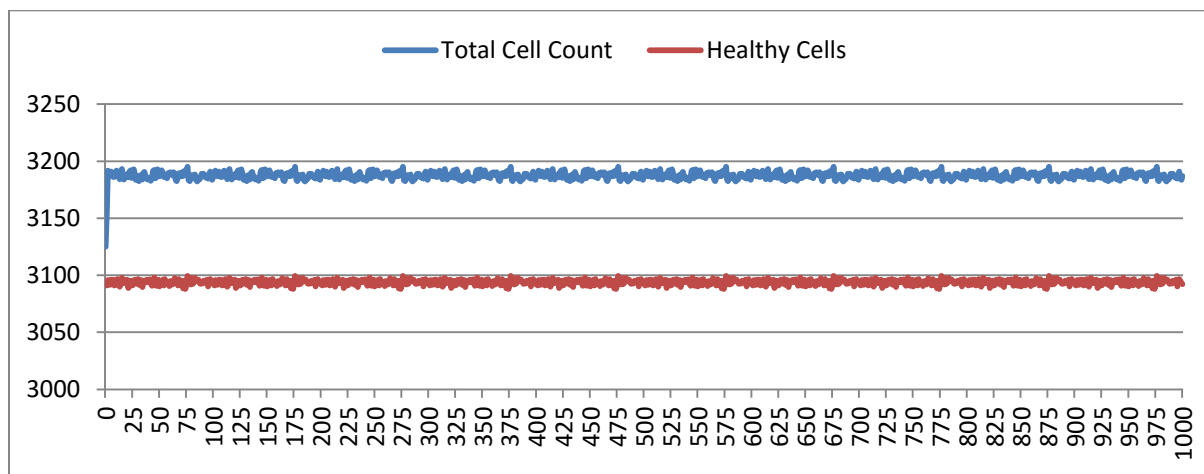
187 Before exploring the results for different tumour growth scenarios it is important to validate the
188 behaviour of the system during homeostatic and non-tumour scenarios. Cells in this scenario
189 should be supplied with target Nutrient and Gene Factor values, ensuring that they are unstressed
190 and in 'good health'. In the absence of tumour cells we would expect that the system will display
191 homeostatic behaviour characterised by regular cellular turn-over as cells age and die, and that
192 cell populations will fluctuate but remain relatively constant.

193 To represent this scenario a series of experiments were run using a 25 x 25 grid. The optimum
194 cell population for each grid was set at 5, with a population of 10 cells as the maximum carrying
195 capacity. The Nutrient Target used was 10, with a Nutrient Rate of 1. The Nutrient input to each
196 grid element was also set at 10, ensuring that at optimum population level each cell would
197 receive a Nutrient input of $10 / 5 = 2$. A genome of three identical genes was used:

$$198 \quad G = \{(5.0, 1.0), (5.0, 1.0), (5.0, 1.0)\}$$

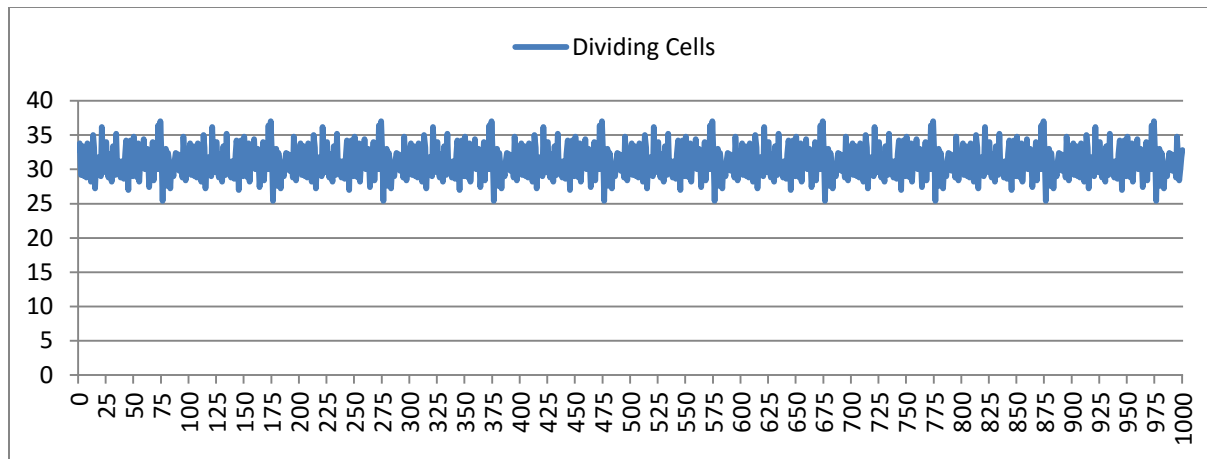
199 The Gene Factor supplied to each grid element was set at $\{25.0, 25.0, 25.0\}$, to ensure that each
200 cell received the Gene Target value of 5.0.

201 The system was run five times, with 1000 iterations per run, and the results averaged for this
202 analysis. Given our input parameters for a grid of 625 elements (25 x 25), and an optimum cell
203 density of 5 cells per grid element, we would expect a total cell count of 3125. However, not all
204 of these cells will be healthy, some will be dividing or being cleared. Figure 2 shows the overall
205 population density over time.



206
207 **Figure 2 - Total cell count and healthy cell count**

208 We can also see the number of dividing cells over time, as in Figure 3.

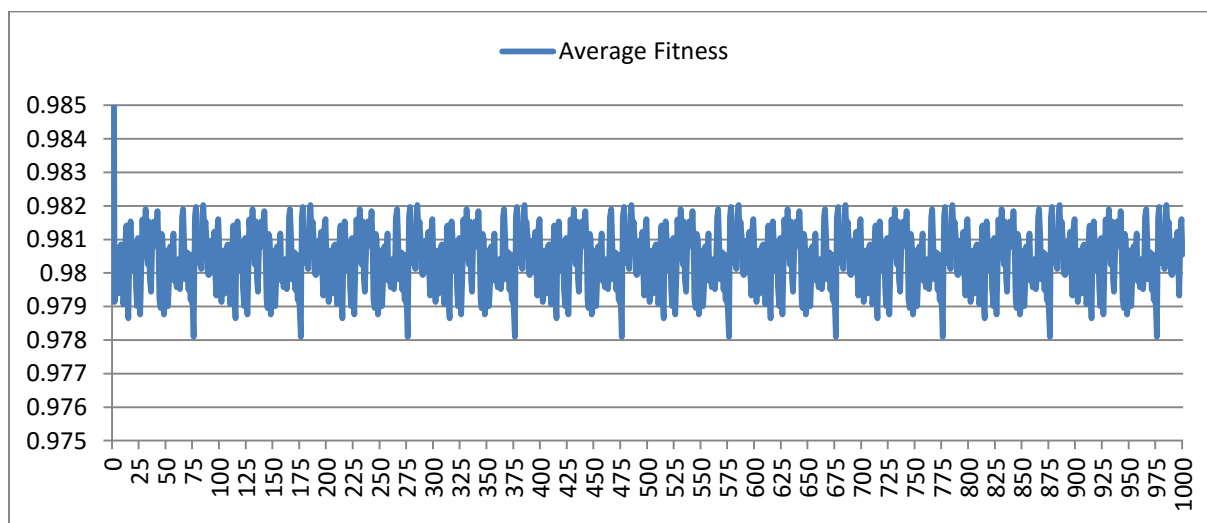


209

210 **Figure 3 - Number of dividing cells over time**

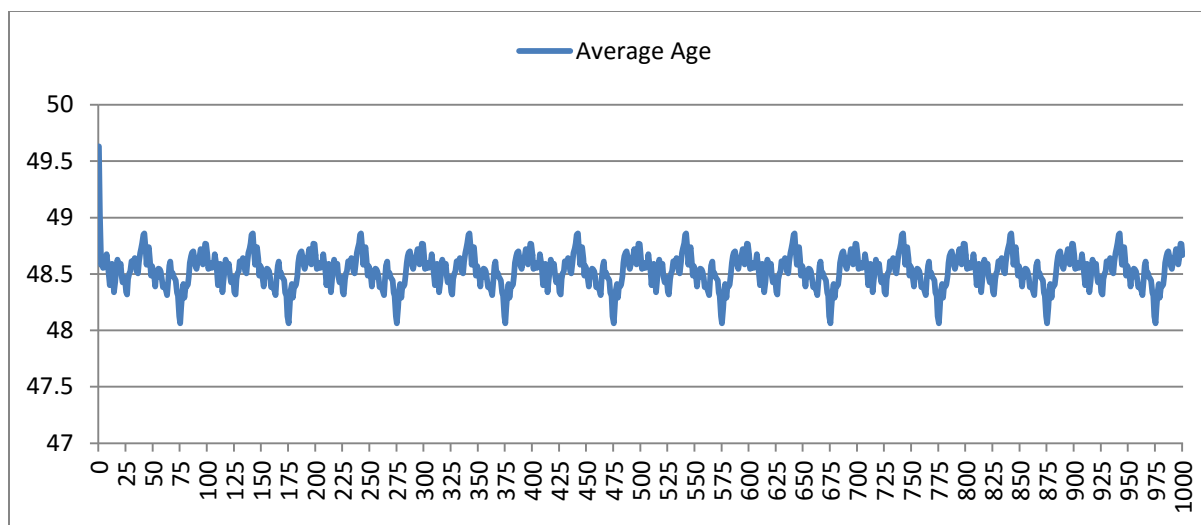
211 Note that the average number of dividing cells over the 1000 iterations is 31.25. This is as we
212 would expect given that the Lifetime for the cells is 100, so that at any one time 1% of cells is
213 dividing. The total population cell count includes dividing cells and those in the process of being
214 cleared, therefore it is higher than the 3125 we might expect, but clearly it fluctuates around a
215 constant value. The average over the 1000 iterations is 3187.4, which is actually 2% above 3125
216 – this value represents 1% of cells which are dividing and another 1% of cells which are being
217 cleared during any single iteration.

218 Finally we can assess the average fitness of the cells, shown in Figure 4, and the average age of
219 the cells, shown in Figure 5.



220

221 **Figure 4 - Average fitness of cells**



222

223 **Figure 5 - Average age of cells**

224 Again the values for fitness and age are as we would expect. The average fitness is high,
225 fluctuating just below the maximum possible value of 1.0. And the average age fluctuates just
226 below a value of 50. These latter two figures display more clearly a pronounced periodicity
227 which is also evident in the population density figure. This is due to the random distribution of
228 ages in the initial cell population. In the absence of stress or environmental perturbation the
229 population of cells ages and divides in a uniform manner that preserves that initial distribution of
230 ages in the initial cell population.

231

232 **Stress Conditions**

233 In the next experiments we assess the behaviour of NEATG when homeostasis is disturbed. In
234 particular we are interested in the responses to changes in Nutrient and Gene Factors as these
235 both have an influence on cell ageing and survival. Again this series of experiments does not
236 include Malignant cells as we are primarily interested in exploring the behaviour of the system in
237 non-tumour scenarios. For both of the following experiments the same basic parameters as in the
238 previous experiment are used. The results shown are the average of 5 runs of the system.

239 The first stress experiment varies the Nutrient input to each grid element in the range 1 to 15, in
240 integer steps. Given that the Nutrient Rate is set at a value of 1 and the optimum cell population
241 is set to 5, we would expect that if the Nutrient Supply to each grid element falls below a value
242 of 5 each cell in the grid would consume more nutrient than it receives as input and eventually
243 deplete the value in its Nutrient Store (which was set to an initial value of 10). When we look at
244 the number of healthy cells with different Nutrient Supply values we see a decline in cell
245 numbers over time, as shown in Table 1. It is clear that number of healthy cells declines sharply
246 when there is insufficient Nutrient supplied, but that 'over-feeding' (any Nutrient Supply value
247 above 5) does not increase cell numbers.

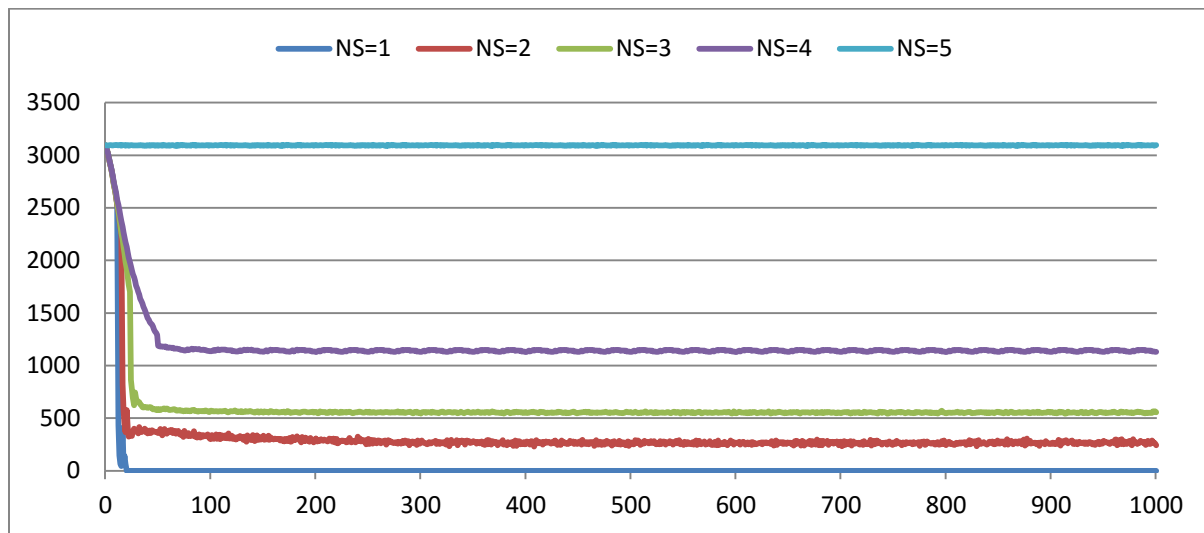
248

249

Gen	NS=1	NS=2	NS=3	NS=4	NS=5	NS=6	NS=7	NS=8	NS=9	NS=10	NS=11	NS=12	NS=13	NS=14	NS=15
0	3094	3093	3095	3092	3097	3099	3092	3095	3091	3091	3090	3096	3093	3097	3096
5	2884	2877	2876	2884	3095	3094	3094	3093	3093	3093	3095	3096	3095	3093	3091
10	2600	2593	2601	2636	3098	3096	3092	3099	3094	3094	3091	3097	3097	3098	3093
15	45	2242	2264	2375	3090	3094	3097	3093	3097	3094	3092	3095	3088	3095	3093
20	0	577	1920	2129	3096	3090	3091	3092	3093	3094	3095	3089	3094	3093	3093
25	0	331	778	1906	3095	3097	3089	3093	3091	3095	3093	3086	3091	3095	3094
30	0	379	660	1731	3093	3094	3099	3094	3091	3097	3089	3093	3097	3094	3090
35	0	381	605	1591	3093	3091	3097	3093	3097	3090	3091	3094	3096	3093	3091
40	0	355	598	1455	3097	3094	3093	3096	3094	3096	3101	3094	3093	3094	3095
45	0	373	584	1370	3095	3094	3093	3094	3094	3095	3095	3095	3091	3094	3097
50	0	358	578	1188	3089	3094	3094	3092	3094	3092	3095	3095	3090	3096	3093

Table 1 - Healthy cells for different Nutrient Supply values

250 The change in the number of Healthy cells is shown more clearly for Nutrient Supply values in
 251 the range 1 to 5 in Figure 6.



252

253 Figure 6 - Change in Healthy Cell count in response to underfeeding

254 If we look at the change in Fitness in response to different Nutrient Supply values, Table 2, we
 255 see a concomitant decrease in over time in the case of 'under feeding' but no additional increase
 256 in fitness in response to over-feeding.

257

Gen	NS=1	NS=2	NS=3	NS=4	NS=5	NS=6	NS=7	NS=8	NS=9	NS=10	NS=11	NS=12	NS=13	NS=14	NS=15
0	0.990	0.990	0.990	0.989	0.991	0.992	0.990	0.990	0.989	0.989	0.989	0.991	0.990	0.991	0.991
10	0.696	0.709	0.700	0.694	0.973	0.974	0.969	0.974	0.969	0.971	0.971	0.970	0.973	0.972	0.968
20	0.000	0.005	0.510	0.468	0.971	0.969	0.970	0.970	0.973	0.972	0.971	0.969	0.971	0.971	0.971
30	0.000	0.000	0.003	0.294	0.970	0.971	0.972	0.971	0.969	0.973	0.969	0.970	0.972	0.967	0.969
40	0.000	0.000	0.000	0.167	0.969	0.971	0.969	0.971	0.970	0.972	0.971	0.971	0.972	0.970	0.970
50	0.000	0.000	0.000	0.021	0.970	0.970	0.971	0.971	0.971	0.968	0.970	0.971	0.969	0.971	0.969
60	0.000	0.000	0.000	0.014	0.970	0.969	0.971	0.970	0.970	0.971	0.969	0.971	0.971	0.970	0.973
70	0.000	0.000	0.000	0.013	0.971	0.971	0.971	0.972	0.971	0.972	0.973	0.968	0.972	0.971	0.969
80	0.000	0.000	0.000	0.013	0.970	0.972	0.969	0.970	0.969	0.971	0.972	0.971	0.971	0.970	0.970
90	0.000	0.000	0.000	0.013	0.971	0.971	0.971	0.969	0.970	0.971	0.971	0.970	0.971	0.970	0.971
100	0.000	0.000	0.000	0.013	0.971	0.971	0.969	0.970	0.969	0.970	0.969	0.971	0.971	0.971	0.972

258 **Table 2- Change in Average Fitness in response to underfeeding**

259 It is clear then that cell populations are sensitive to the supply of Nutrient, and that under-feeding
 260 can deplete numbers and in some cases ‘starvation’ reduces cell numbers to zero. Over-feeding,
 261 on the other hand, does not increase cell numbers nor does it increase fitness.

262 The supply of Gene Factors is the other external input to each grid element. These are analogous
 263 to generic growth and survival factors and are used to assess the health or otherwise of each cell
 264 in a grid element. As described previously, each Gene is defined as a Target and a Tolerance, and
 265 cells are able to ‘express’ a Gene Factor in order to influence the local environment so that it
 266 matches the desired Target value. In this experiment the same parameters are used as before, but
 267 the Gene Factor Supply is varied from {0.0, 0.0, 0.0} to {45.0, 45.0, 45.0} by incrementing each
 268 element of the by 5.0 for every step. Five runs were completed for each setting and the averages
 269 used in the analysis.

270 In terms of cell numbers the results are shown in Table 3. While there are no significant
 271 reductions in cell numbers, it is clear that at the optimal level (Gene Factor Supply = {25.0, 25.0,
 272 25.0}) the number of healthy cells is highest. Figures are shown for the first 100 generations only
 273 as there is limited change beyond this point.

Gen	GS=0	GS=5	GS=10	GS=15	GS=20	GS=25	GS=30	GS=35	GS=40	GS=45
0	2998	3001	2998	2998	3010	3095	3002	3001	3009	2993
10	2994	3003	2999	2994	3098	3096	3003	2998	2986	3000
20	2997	3000	2999	3003	3096	3093	2992	2997	2996	3002
30	3005	3001	3008	3007	3092	3094	2990	2993	3002	3002
40	3003	2995	3000	3000	3093	3096	3002	3002	3008	3004
50	2998	3001	2998	2998	3091	3096	3035	3001	3009	2993
60	2994	3003	2999	2994	3089	3092	3002	2998	2986	3000
70	2997	3000	2999	3003	3094	3093	2992	2997	2996	3002
80	3005	3001	3008	3007	3089	3092	2990	2993	3002	3002
90	3003	2995	3000	3000	3090	3095	3001	3002	3008	3004
100	2998	3001	2998	2998	3010	3095	3035	3001	3009	2993

274 **Table 3 - Healthy cell counts vs Gene Factor Supply**

275 If we look at the numbers of dividing cells, a measure of cell turnover, as shown in Table 4, then
 276 we can see that there is a pronounced effect.

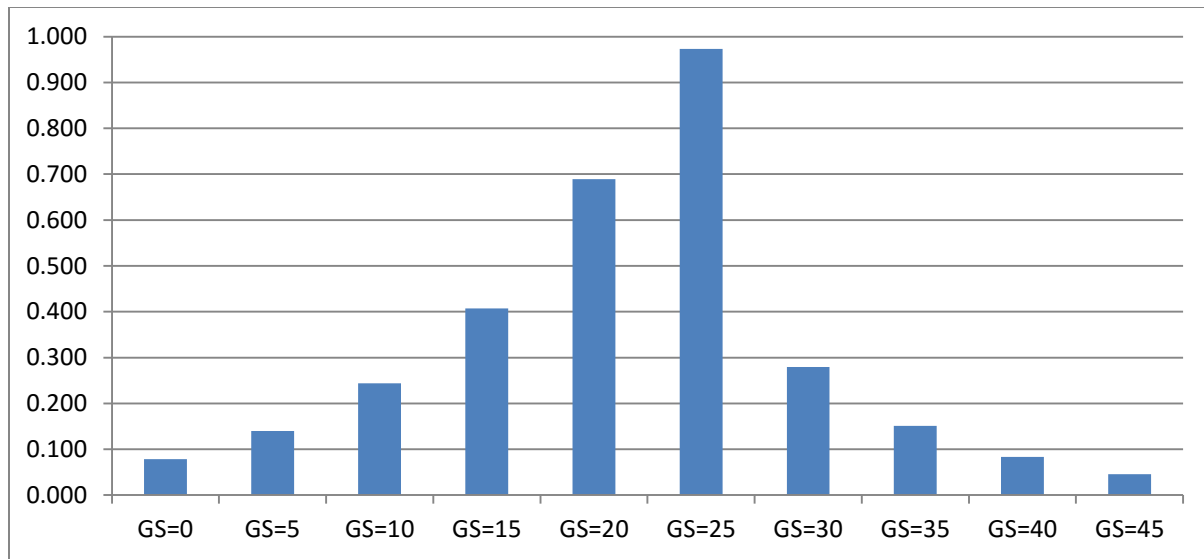
Gen	GS=0	GS=5	GS=10	GS=15	GS=20	GS=25	GS=30	GS=35	GS=40	GS=45
0	127	124	127	127	115	30	123	124	116	132
10	131	122	126	131	27	29	122	127	139	125
20	128	125	126	122	29	32	133	128	129	123
30	120	124	117	118	33	31	135	132	123	123
40	122	130	125	125	32	29	123	123	117	121
50	127	124	127	127	34	29	90	124	116	132
60	131	122	126	131	36	33	123	127	139	125
70	128	125	126	122	31	32	133	128	129	123
80	120	124	117	118	36	33	135	132	123	123
90	122	130	125	125	35	30	124	123	117	121
100	127	124	127	127	115	30	90	124	116	132

277 **Table 4 - Cell turnover vs Gene Factor Supply**

278 The number of dividing cells at the optimal Gene Factor Supply value is around 1% of the total
 279 cell count, whereas for non-optimal Supply values there is an increased rate of cell division. This
 280 is as we would expect given that unhealthy genes (i.e. those in which the Gene Factor Supply is
 281 outside of the range defined by the Target and Tolerance values) cause an increased rate of cell
 282 aging by increasing the rate at which the cell clock is decremented to zero.

283 In addition to being a factor in the cellular aging process, the Genes are also used in calculations
 284 of cell fitness. Cell fitness is used in the rank selection process to identify the least fit cells when
 285 the population density in a grid element exceeds the maximum capacity. In this experiment no
 286 Malignant cells are present therefore the rank selection procedure is not active; however we can
 287 still assess the influence of the Gene Factor Supply on cell fitness. Fitness, which is defined in
 288 the range [0, 1], is shown in Figure 7.

289



290

291 **Figure 7 - Average fitness vs Gene Factor Supply**

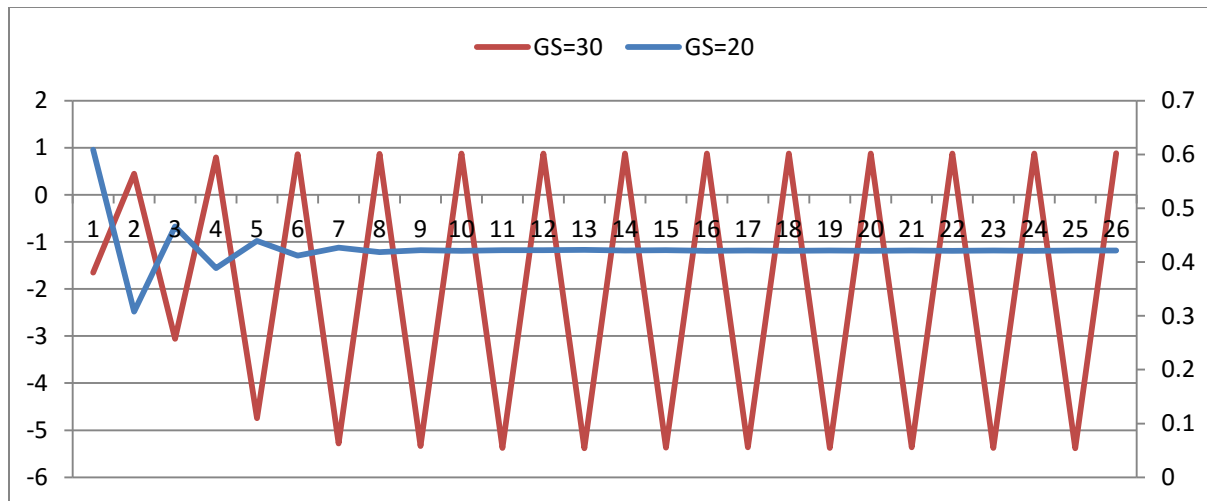
292 Finally, we have explained that Genes attempt to influence the local environment through
 293 expression of Gene Factors. This is a simple feedback mechanism between the cell and its Genes
 294 and the exogenous Gene Factor Supply. In this experiment each Gene has been set to the same
 295 value (5.0, 1.0), and therefore we can focus on a single Gene, shown in Table 5, to view the
 296 change in Gene Expression over time:

Gen	GS=0	GS=5	GS=10	GS=15	GS=20	GS=25	GS=30	GS=35	GS=40	GS=45
0	0.953	0.943	0.911	0.830	0.609	0.000	-1.651	-6.135	-18.375	-51.341
10	0.872	0.850	0.785	0.646	0.422	0.000	-5.375	-16.239	-45.692	-126.673
20	0.871	0.848	0.786	0.649	0.422	0.000	-5.362	-16.379	-45.604	-127.078
30	0.875	0.850	0.787	0.649	0.421	0.000	-5.359	-16.268	-45.982	-126.558
40	0.876	0.845	0.786	0.647	0.421	0.000	-5.364	-16.218	-45.961	-126.482
50	0.874	0.848	0.783	0.647	0.421	0.000	-5.446	-16.393	-46.137	-125.932
60	0.872	0.850	0.785	0.646	0.421	0.000	-5.376	-16.239	-45.692	-126.673
70	0.871	0.848	0.786	0.649	0.422	0.000	-5.362	-16.379	-45.604	-127.078
80	0.875	0.850	0.787	0.649	0.420	0.000	-5.357	-16.268	-45.982	-126.558
90	0.876	0.845	0.786	0.647	0.421	0.000	-5.365	-16.218	-45.961	-126.482
100	0.874	0.848	0.783	0.647	0.417	0.000	-5.446	-16.393	-46.137	-125.932

297

Table 5 - Gene Expression vs Gene Factor Supply

298 Note that in optimal conditions cells do not need to exert any influence on the local environment
 299 as the Gene Factor Supply matches the Target value. When the supply is deficient, the Gene
 300 expression is positive to increase the supply, when the supply is excessive the Gene expression is
 301 negative to reduce the supply. The steady state values shown in Table 5 mask a considerably
 302 noisy signal, which is more clearly apparent in Figure 8, which shows the change over time for
 303 two non-optimal Gene Factor Supply values.



304

305 **Figure 8 - Gene Expressions vs Gene Factor Supply**

306 The oscillating Gene Expression is the result of each cell trying to correct the local environment
 307 to supports its own needs – and we see therefore the resultant fluctuations as cells over- and
 308 under-correct in turn.

309

310 **Tumour Growth – No Treatment**

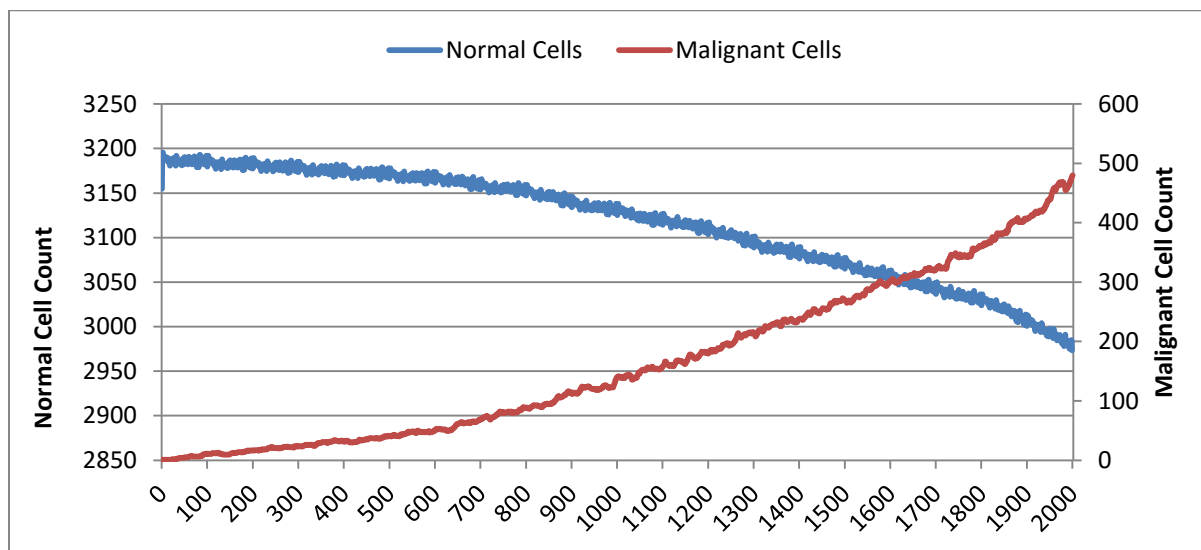
311 Having established the behaviour of the system under homeostatic and non-tumour stress
 312 scenarios, we can now begin to introduce Malignant cells. Initially we will explore the behaviour
 313 of NEATG in the absence of any treatment scenarios – we first want to explore the behaviour of
 314 Malignant cells and how they model tumour growth.

315 In this first series of experiments we will continue to use the same parameters as we have for the
 316 homeostasis and non-tumour stress experiments, although the iteration period is increased to
 317 2000 to allow greater time for the evolution of appreciable tumour masses. Tumour growth is
 318 initiated by the insertion of a single Malignant cell into the grid element in the centre of our 25 x
 319 25 grid. The only difference between this Malignant cell and the Normal cells is that the cell type
 320 is set to Malignant, and that it has a mutation rate of 5% and an invasion rate of 10%. These
 321 initial values were derived from empirical testing of NEATG and were selected for this first
 322 experiment as they yielded consistent tumour growth. In subsequent experiments these values
 323 will be varied so that we can see how tumour growth patterns are affected.

324 The difference between the grid element level and the cell level is apparent when we begin to
 325 analyse the results of these experiments. In the non-tumour experiments all grid elements were
 326 considered Normal, and analysis looking only at the changes in cell counts was sufficiently
 327 informative as regards changes in the system. However, with the introduction of Malignant cells
 328 we can view results both in terms of the changes in cell populations across the whole system and
 329 also in the evolution of the grid elements themselves.

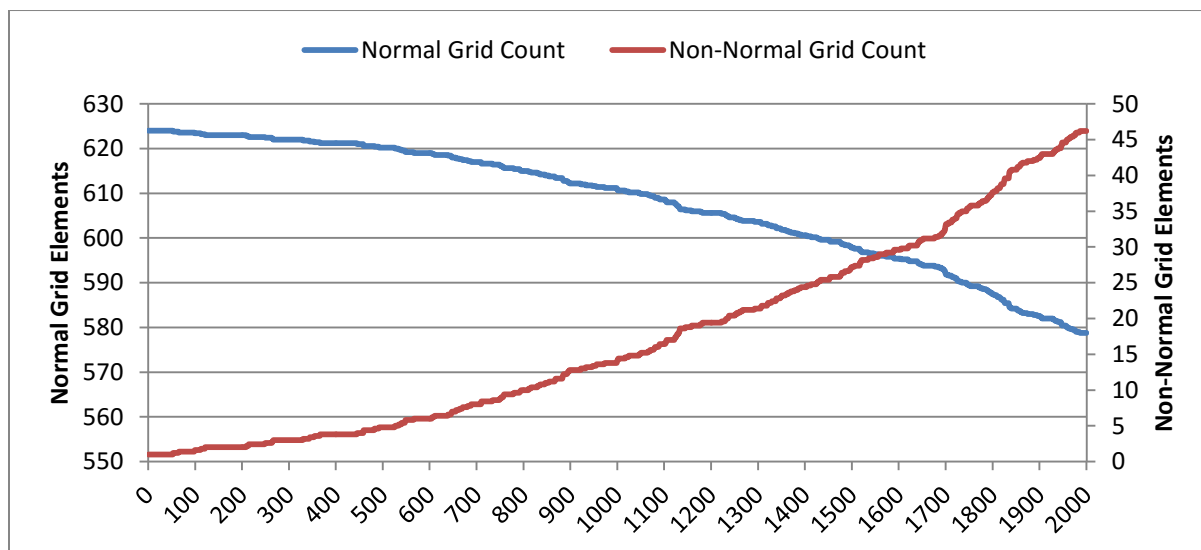
330 The results shown are the average of 5 runs of the system.

331 The change in the global population counts in the Normal and Malignant cells is shown in Figure
 332 9.



333
 334 **Figure 9 - Change in Normal and Malignant cell counts**

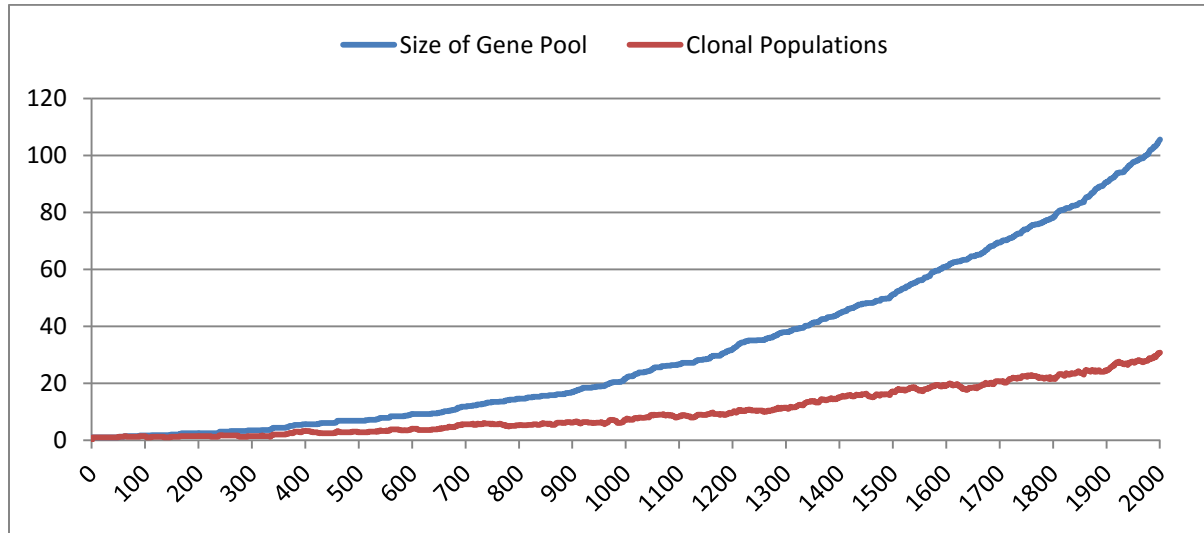
335 In terms of changes in the grid element counts we can plot the change over time of grid elements
 336 which only contain Normal cells and those that contain non-Normal cells, as shown in Figure 10.
 337 Note that the non-Normal grid elements include those with mixed cell populations, only
 338 Malignant cells or those which are classed as Necrotic.



339
 340 **Figure 10 - Change in Normal and Non-Normal Grid Element Counts**

341 Changes in grid elements and cell populations are not the only metrics of interest. Also of
 342 interest is the process of evolutionary change in the Malignant cell populations. Our starting
 343 point has been that Malignant cells have the same structure as Normal cells, but they are
 344 endowed with proliferative and mutational properties. In terms of the initial population there is
 345 only a single genotype in the entire population, it is of interest to track how this changes over

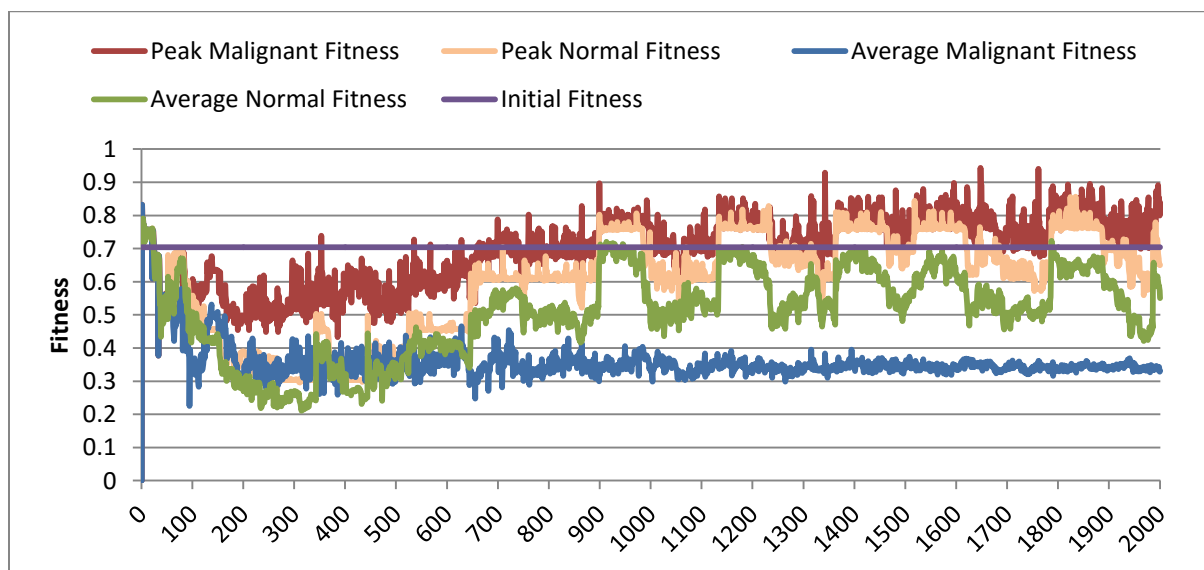
346 time. As shown in Figure 11 the rate of change of the gene pool rises over time and increases in
 347 line with the increase in Malignant cell population and the process of invasion. Also shown in
 348 Figure 11 is the rise in the number of clonal sub-populations, reflecting the growth of different
 349 active Malignant cells populations in the tumour mass.



350

351 **Figure 11 - Change in Gene Pool and Clonal Populations Over Time**

352 To gain further insight into the process of evolutionary change we can also chart the change in
 353 fitness levels in both Normal and Malignant cells. Initially the 'seeded' Malignant cell has the
 354 same fitness as the Normal cells in the grid element into which it is inserted, however as the
 355 number of cells increases, the number of mutations rises, Malignant cells proliferate into
 356 neighbouring grid elements and competition for Nutrient and Gene Factors takes place. Fitness,
 357 as defined is in the range [0,1], and the change over time is shown in Figure 12.

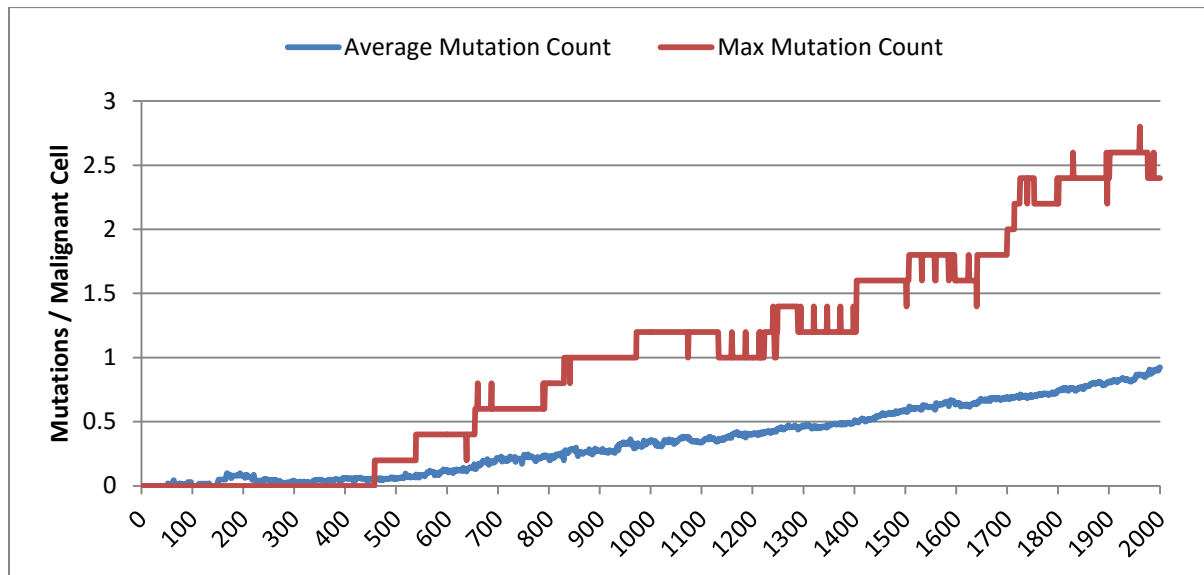


358

359 **Figure 12 - Change In Fitness Over Time**

360 The noisy signals indicate a good deal of change and adaptation taking place over time.
361 Significantly it is clear that the initial high fitness value is degraded once the cell populations
362 start to increase and competition takes place. It is also clear that the Normal cell population
363 retains an average fitness that is higher than the average fitness of the Malignant cell population.
364 One plausible explanation is that many of the mutations that take place are deleterious and do not
365 lead to improved survival for those cells. However, if we look at the maximum values for the
366 Malignant cells we can see that there are indeed some cells which do achieve a higher fitness
367 than maximum values for the Normal cells.

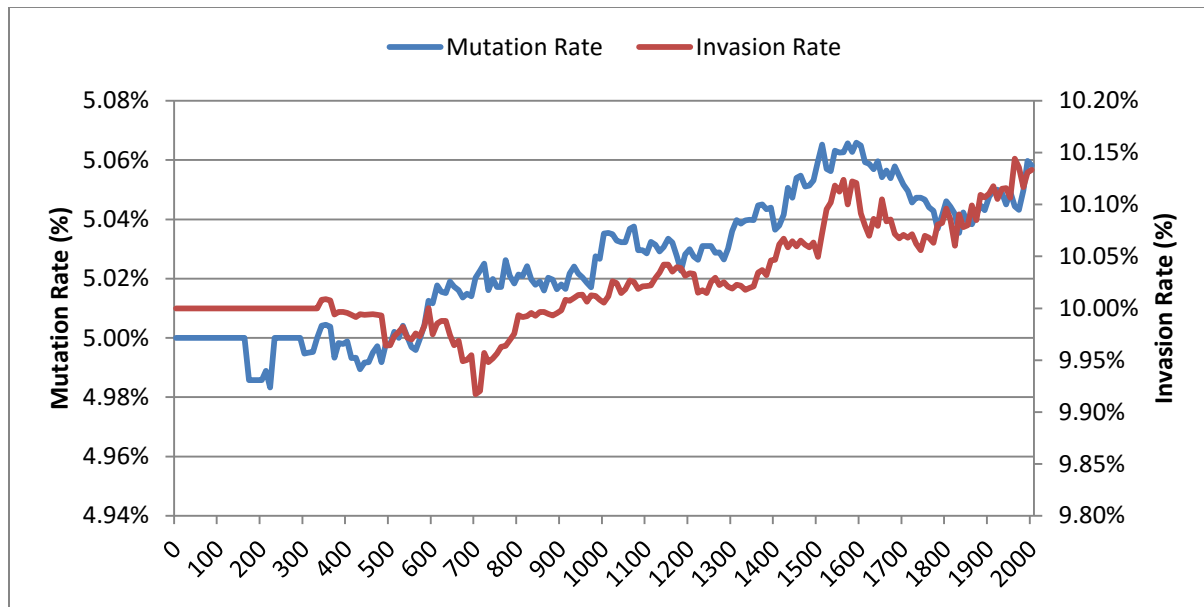
368 We can also view the average and maximum number of mutations per Malignant cell over time,
369 again as a measure of the degree of evolutionary change. This is shown in Figure 13. As can be
370 seen for the first 100 generations or so there are no mutations, which accords with Figure 11.



371

372 **Figure 13 - Mutations per Malignant Cell**

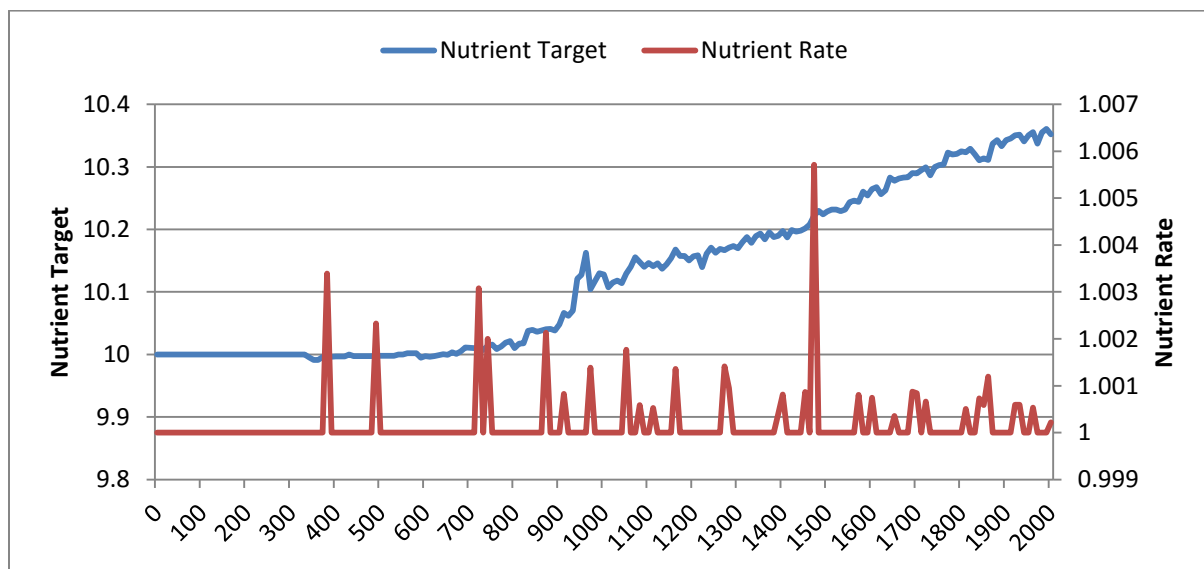
373 We can examine the different cellular components to identify the loci of mutational change over
374 time. The mutation rate and the invasion rate, which are both mutable characteristics, do show
375 some change, as can be seen in Figure 14. Interestingly we see that while initially there is little
376 change, indeed both rates dip below the starting values, both rates show an increasing trend over
377 time. However, the scale of the increase in both these metrics is relatively low and neither rises
378 monotonically.



379

380 **Figure 14 - Change in Mutation and Invasion Rates**

381 The metabolic demands of the Malignant cells are defined by the Nutrient Target and the
 382 Nutrient Rate, and these are shown in Figure 15. While the increasing metabolic demand is clear
 383 from the rising Nutrient Target value, the Nutrient Rate value shows no longer term increase.
 384 Note also that the lower limit of the Nutrient Rate is clear – by definition the Nutrient Rate is a
 385 non-zero integer value.



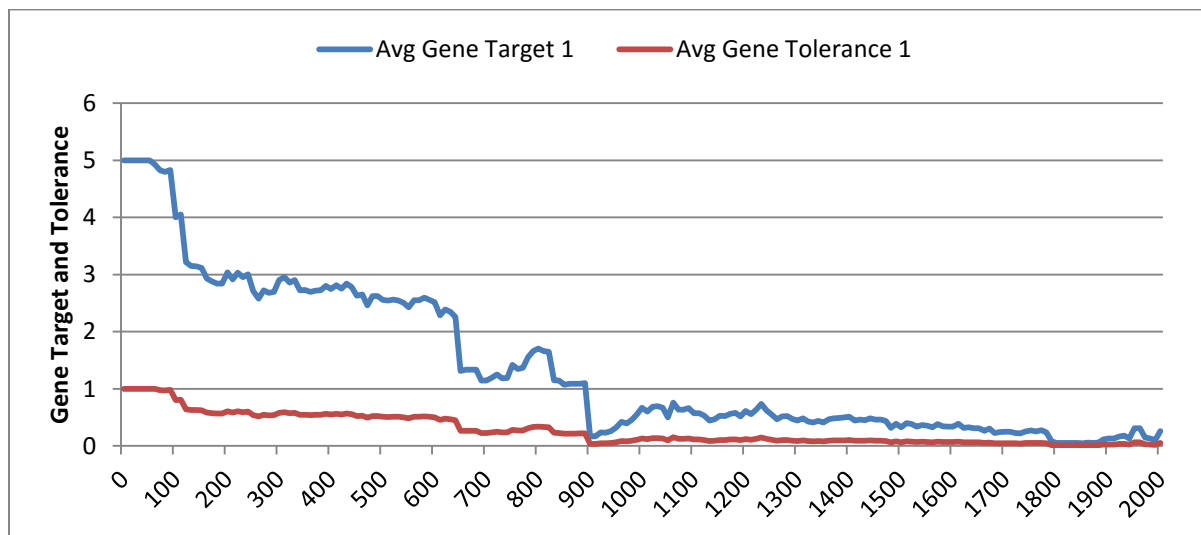
386

387 **Figure 15 - Change in Malignant Cell Metabolism**

388

389

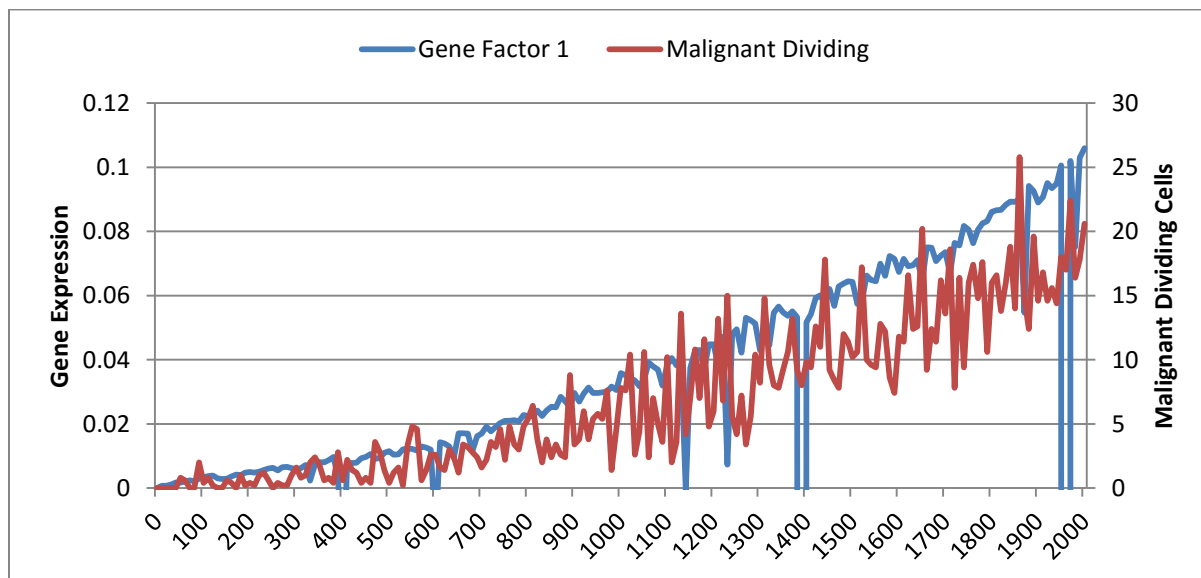
390 There is also evolutionary change in terms of the Genome. To simplify the exposition only one
391 of the three genes is shown in this discussion although the scale and direction of change in the
392 other two genes in our example system are similar. Each of the three genes was defined as
393 having a Target value of 5.0 and a Tolerance value of 1.0. The change in time for the first of
394 these genes is shown in Figure 16. Both the Target and Tolerance values show a fast and
395 sustained decrease in average value.



396

397 **Figure 16 - Change in Gene over time**

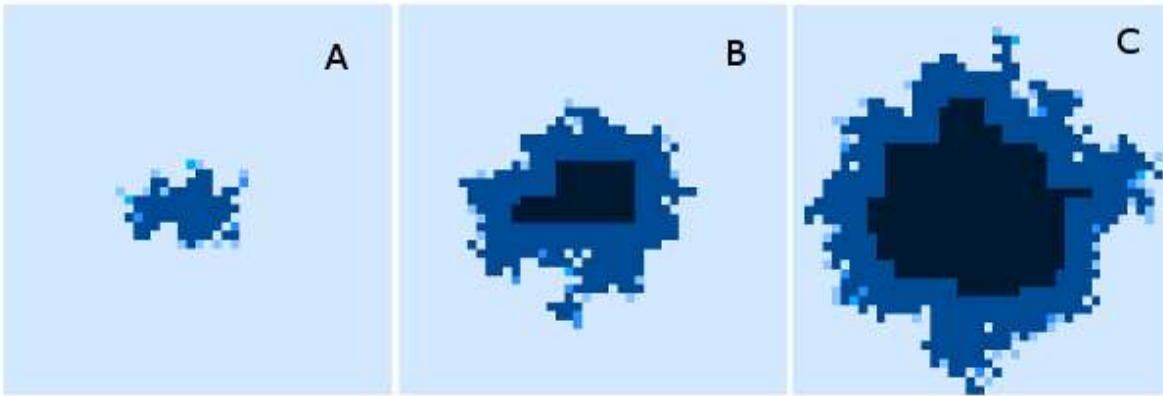
398 Gene Expression also shows a marked change over time, which we can see in Figure 17, which
399 also displays the close correlation with the degree of cell turnover in the Malignant population.
400 Given that Gene Expression is a factor in the aging of the cells then this is as we would expect.



401

402 **Figure 17 - Gene Expression over Time**

403 Finally, while we have explored the rates of change at the cellular and grid element levels, we
 404 have not explored the spatial distribution of the spread of Malignant cells. A representative
 405 example of the ‘no treatment’ scenario is shown in Figure 18, an extended run of 6000
 406 generations and a grid size of 45 x 45 has been used to illustrate more fully the development of
 407 the tumour mass over time.

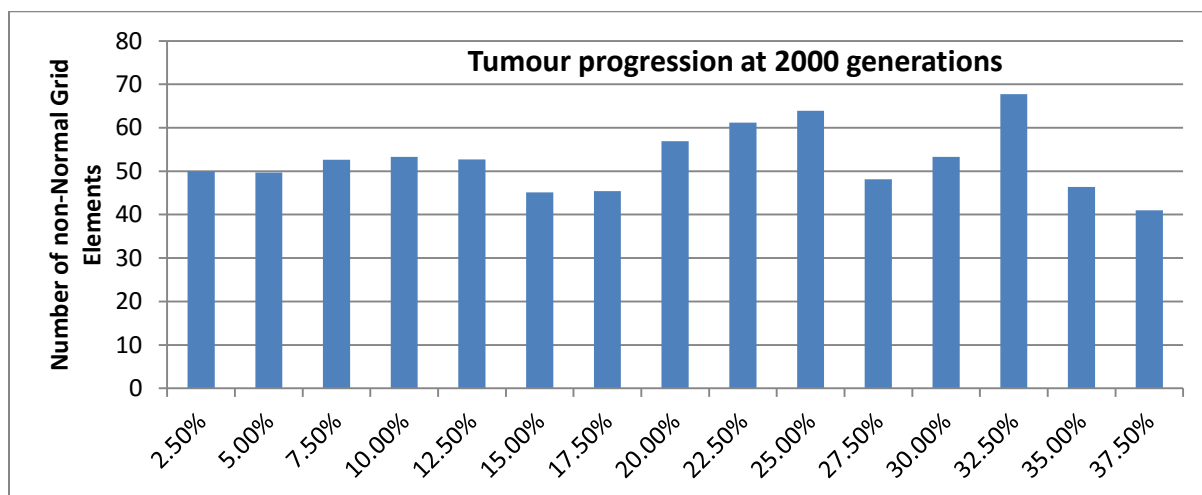


408 Evolving tumour mass at A: 2000 generations, B: 4000 generations, C: 6000 generations. Note
 409 that black areas are necrotic grid elements.

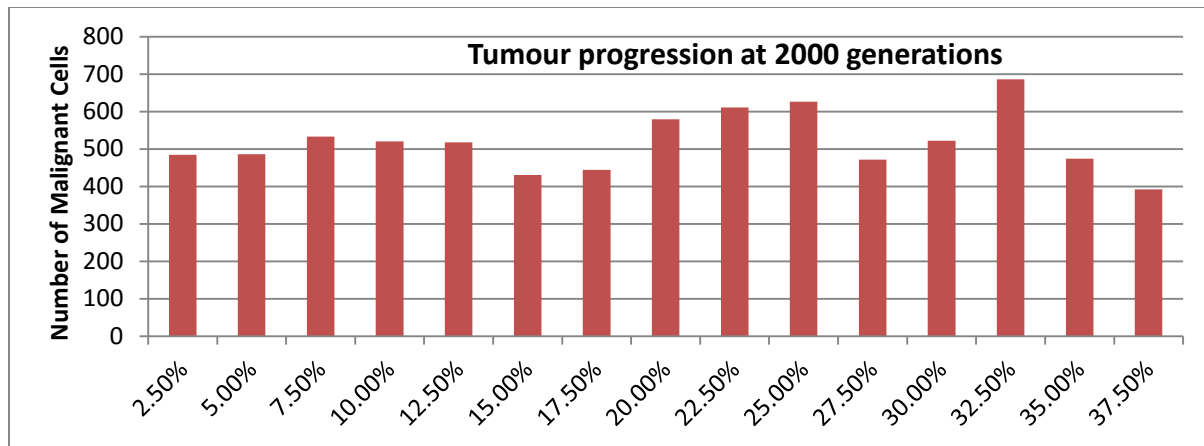
410 **Figure 18 - Spatial distribution of tumour growth**

411 This first set of data used a Mutation Rate of 5% and an Invasion Rate of 10%, we can vary these
 412 in turn to understand the impact they have on tumour growth. First we will vary the Mutation
 413 Rate from 2.5% to 30% in increments of 2.5%, all other settings are as before. Figures shown are
 414 the average of 10 runs of the system. Note that while figures are shown for the final time point of
 2000 generations, these values are representative of the trends apparent at earlier time points.

415 Whether we look at tumour progression in terms of grid elements, as in Figure 19, or in terms of
 416 Malignant Cell counts, as in Figure 20, it is clear that there is no direct relationship between
 417 mutation rate and tumour progression.



418 **Figure 19 - Number of non-Normal Grid Elements vs Mutation Rate**

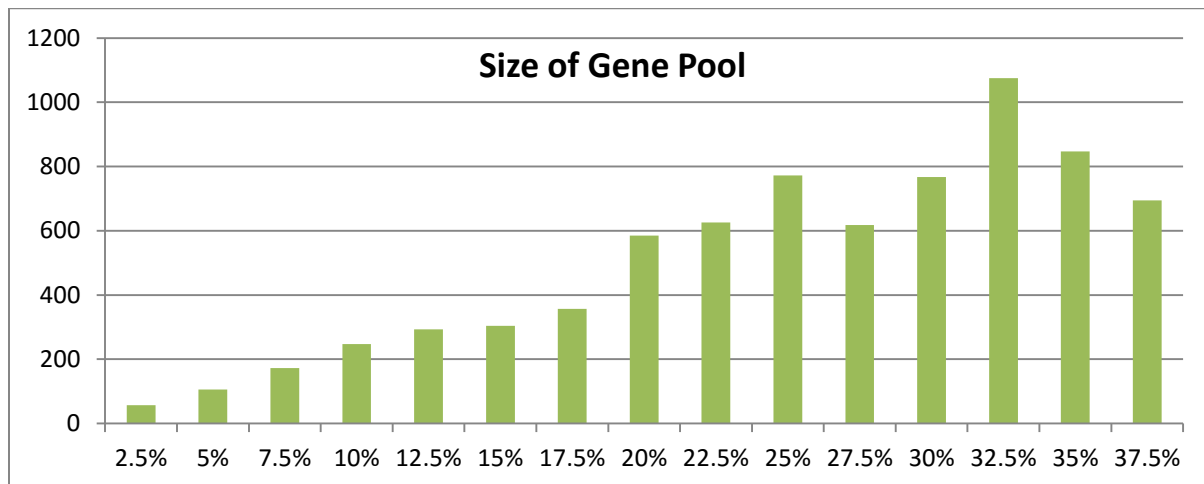


420

421 **Figure 20 - Number of Malignant Cells vs Mutation Rate**

422 Where we would expect to see a relationship is in the number of mutation events that occur, and
 423 here we can view a clear correlation between the mutation rate and the size of the Gene Pool, as
 424 shown in Figure 21, though even here the relationship is not completely linear as a mutation rate
 425 of 32.5% generated a larger gene pool than a mutation rate of 37.5%.

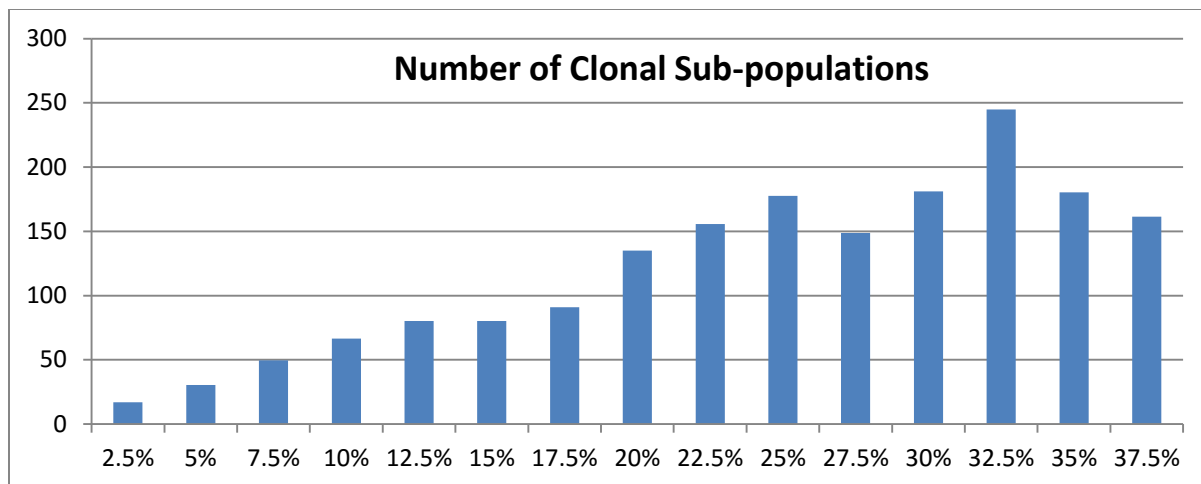
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427

428 **Figure 21 - Size of Gene Pool vs Mutation Rate**

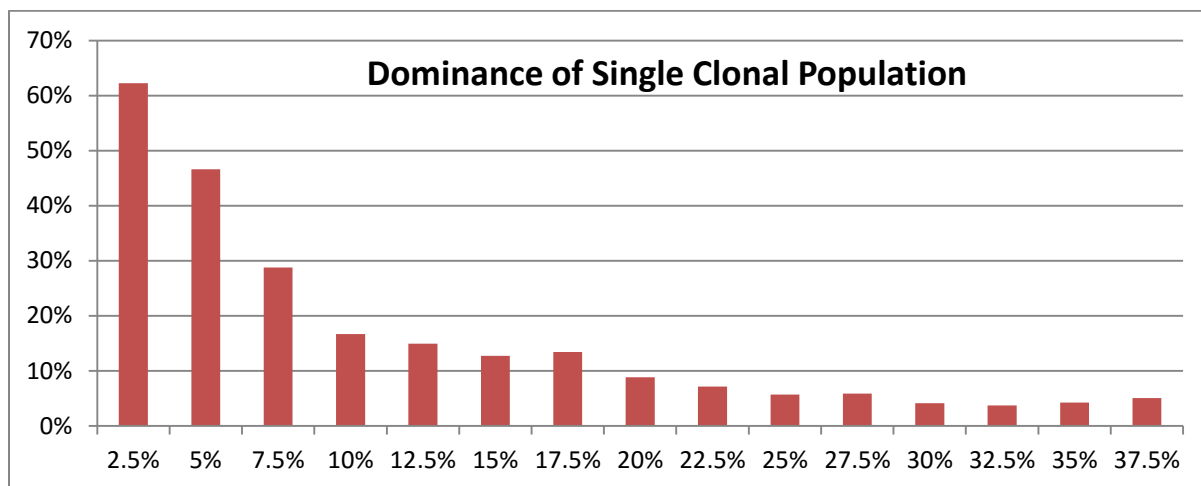
429 Similarly, if we look at the number of clonal sub-populations, as shown in Figure 22, we can see
 430 a correlation with the mutation rate, but again this is not linear.



431

432 **Figure 22 - Number of Clonal Sub-populations vs Mutation Rate**

433 Another interesting metric is the degree of dominance of any one of the clonal sub-populations,
 434 which is shown in Figure 23. This shows the percentage of the total number of Malignant cells
 435 which belong to the largest clonal sub-population. As is clear from Figure 23, a lower mutation rate
 436 yields a greater degree of dominance by a single clonal sub-population.



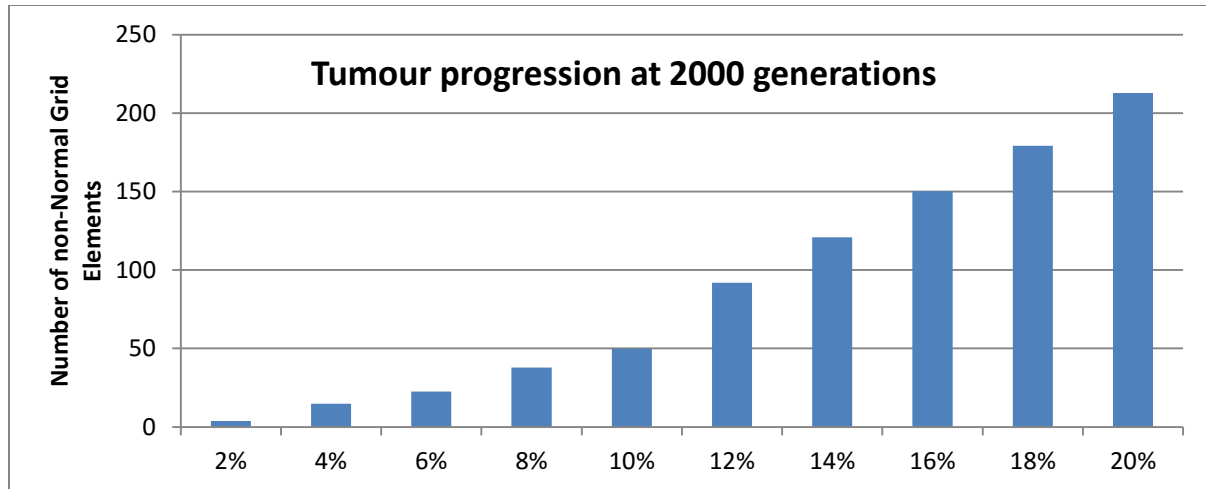
437

438 **Figure 23 - Dominance of Single Clonal Population vs Mutation Rate**

439 We can also vary the Invasion Rate to see what impact this has on the degree of tumour growth
 440 and the size of the gene pool. In this experiment the Invasion Rate is varied from 2% to 20% in
 441 2% increments, the Mutation Rate of 5% is used; all other settings are as before. Figures shown
 442 are the average of 10 runs of the system.

443 Clearly, as shown in Figure 24 and Figure 25, in this case there is a direct relationship between
 444 the Invasion Rate, (which is the probability of a migration event in the case when a Malignant
 445 cell divides and the grid element already contains a full complement of cells), and the rate of
 446 tumour growth. More migration events clearly correlate closely with increased tumour spread.

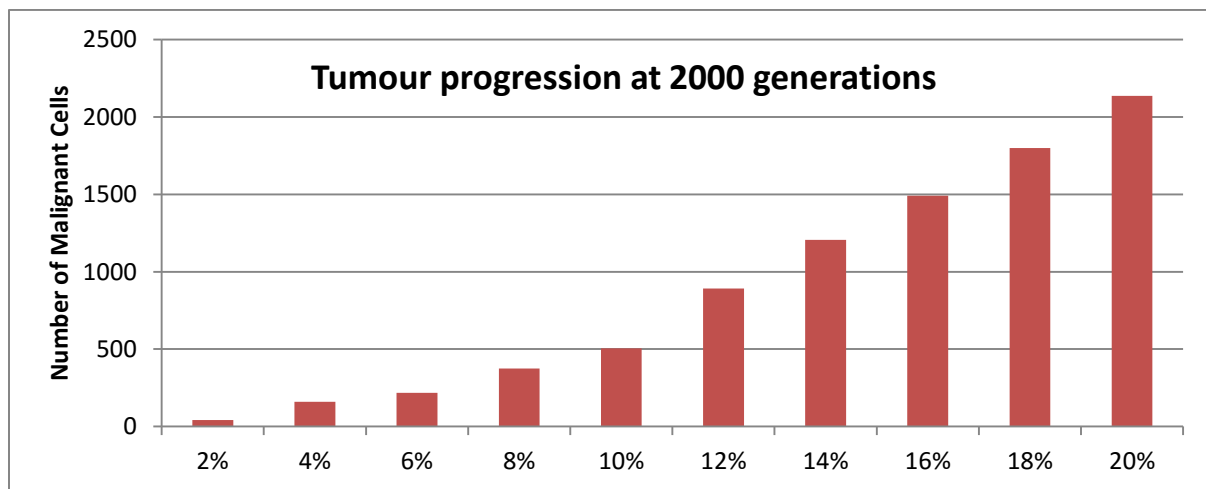
447



448

449

Figure 24 - Number of non-Normal Grid Elements vs Invasion Rate

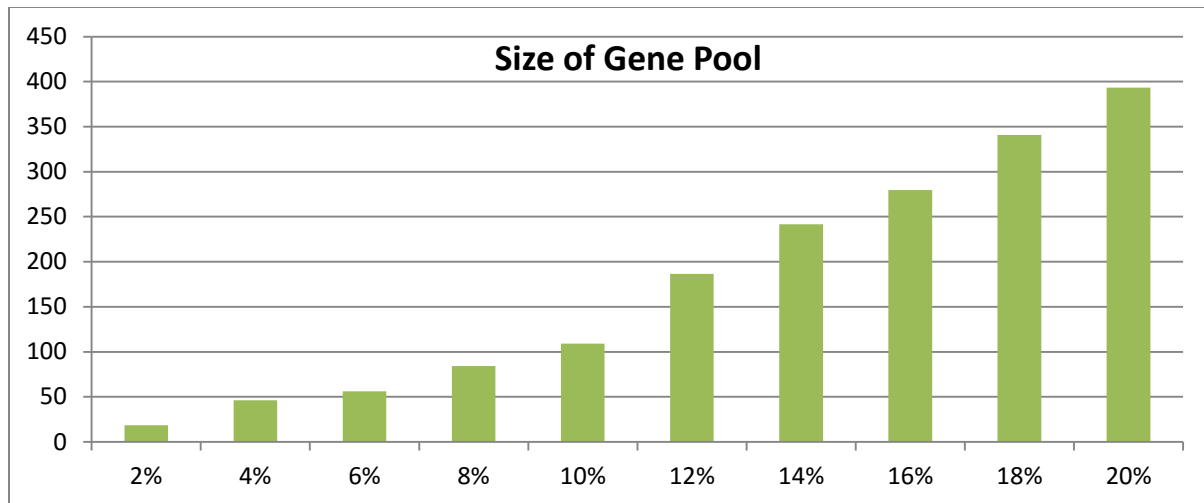


450

451

Figure 25 - Number of Malignant Cells vs Invasion Rate

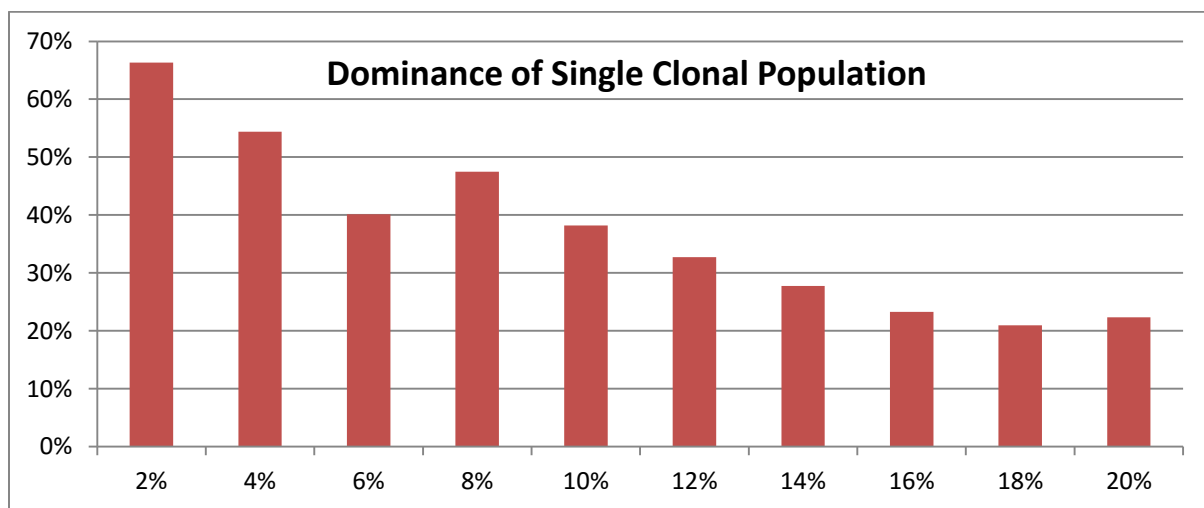
452 This increased rate of tumour growth, both in terms of grid elements and number of Malignant
 453 cells, also leads to an increase in the size of the Gene Pool, shown in Figure 26. However, when
 454 compared to the scale of the increase of the Gene Pool with a rising Mutation Rate, as shown in
 455 Figure 21, it is clearly lower and indicates a less heterogeneous Malignant cell population.



456

457 **Figure 26 - Size of Gene Pool vs Invasion Rate**

458 In terms of the dominance of a single clonal population, shown in Figure 27, a lower Invasion
 459 rate is associated with an increased dominance by a single clonal sub-population, but even at a
 460 high Invasion Rate of 20% the degree of dominance is much higher than associated with a high
 461 Mutation Rate.



462

463 **Figure 27 - Dominance of Single Clonal Population vs Invasion Rate**

464

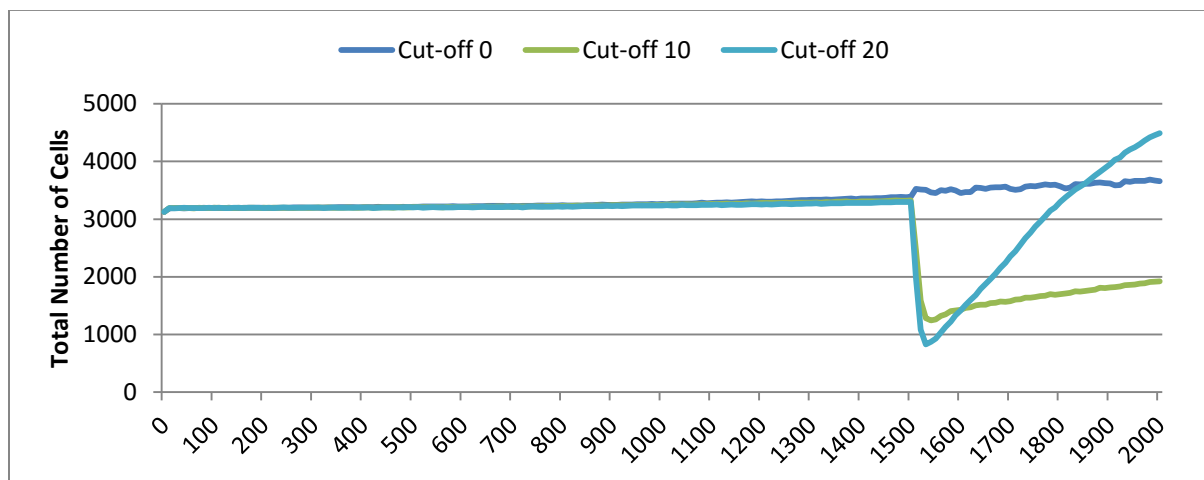
465 **Tumour Growth – With Treatment**

466 The previous experiment has detailed the salient features of the NEATG tumour growth process,
 467 both in terms of changes in cell populations, grid elements and also in the underlying
 468 genetic/evolutionary processes at work. As has been shown, in the absence of any interventions
 469 the number of Malignant cells rises and a process of invasion occurs such that Malignant cells
 470 are able to move into adjacent grid elements. In the next series of experiments we will
 471 investigate the impact on these growth patterns of a number of interventions.

472 The treatment strategy object is a mechanism by which NEATG can be used to model different
473 intervention strategies and one such strategy, to be explored in this experiment, is loosely based
474 on the example of high-dose cytotoxic chemotherapy. Just as with cytotoxic chemotherapy this is
475 not a targeted therapy – it is applied to both Normal and Malignant cells. Where real
476 chemotherapy causes apoptotic cell death in rapidly dividing cells, the treatment strategy in this
477 model flags cells which are dividing, or which are arbitrarily close to dividing, with the cell state
478 of TO_BE_CLEARED. The arbitrary cut-off is based on the value of a cell's clock and this
479 value is a configurable parameter in the system. By adjusting the cut-off value we can
480 approximate control the 'toxicity' of the treatment, the higher the cut-off value the more toxic the
481 treatment as more cells will be flagged for disposal. The system also allows a degree of
482 specificity in that we can make Malignant cells more susceptible to the treatment than Normal
483 cells.

484 In the first experiment the same parameters will be used as in the No Treatment scenario. The
485 treatment will commence at generation 1500 (of 2000), and will be applied for 25 generations. In
486 this experiment three different toxicity values are assessed, with both Malignant and Normal
487 having the same cut-off values. The values used are 0, 10 and 20, which means that any cell with
488 a clock value lower than the cut-off is 'treated' in the respective scenarios. Note that the zero cut-
489 off value does not trigger cell division as is the default case without treatment but triggers
490 apoptosis and cell clearance. It does though represent the least toxic scenario and is therefore
491 close to the 'no treatment' scenario. The results shown are the averages for 10 runs of the
492 system.

493 As can be seen from Figure 28, the effect of treatment on the total cell count is dramatic. In the
494 case of the more toxic treatments, there is a sharp decline in total cell numbers followed by a
495 recovery in cell numbers, and in the case of the highest cut-off value of 20 cell growth
496 accelerates above the pre-treatment trend.

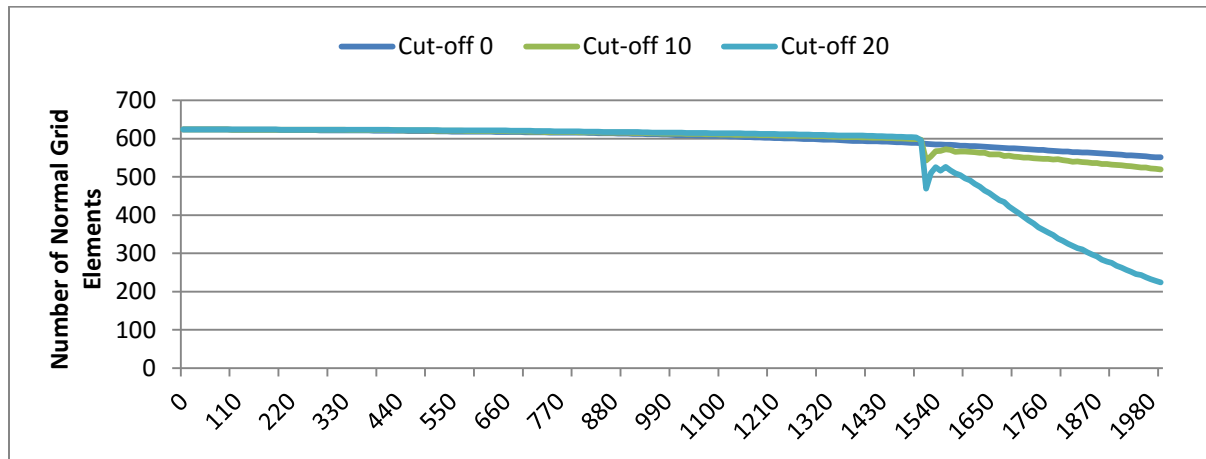


497

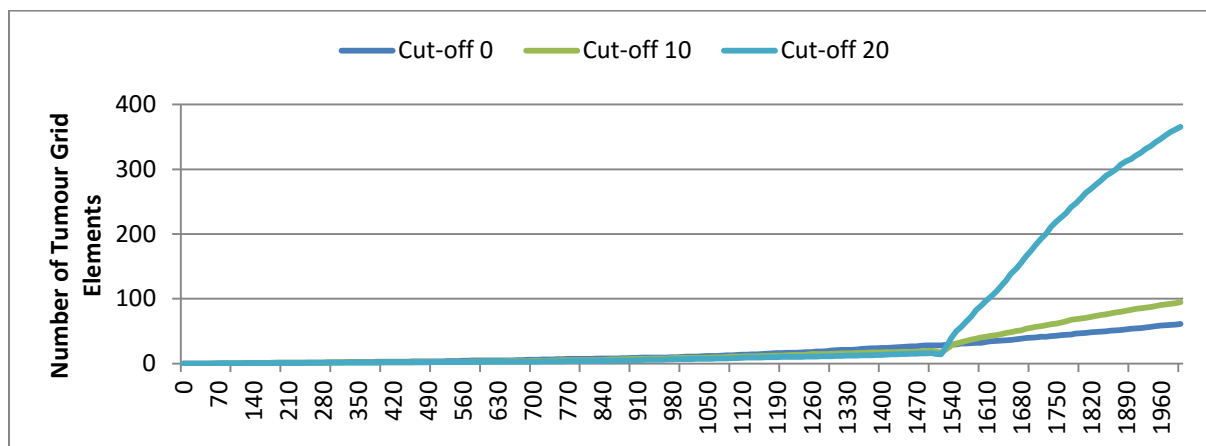
498 **Figure 28 - Total Cell Counts vs Treatment Toxicity**

499 We can also see how this change in growth trajectory is reflected in the Grid Element view of
500 tumour growth, as shown in Figure 29 and Figure 30, which show the Normal and Tumour Grid
501 Elements respectively. In Figure 29 we see that the initiation of treatment leads to a sharp
502 reduction in the number of Normal Grid Elements as the chemotherapy adversely affects Normal

503 cells, followed by a small period of recovery and then a continued decline in numbers. The
 504 corresponding view of Tumour Grid Elements, in Figure 30, shows that the slow rise in number
 505 is briefly interrupted when treatment begins but then accelerates sharply after the completion of
 506 treatment. Furthermore in both figures we see that the more aggressive treatment in terms of
 507 toxicity is related to an increased growth tumour growth rate with the cessation of treatment.



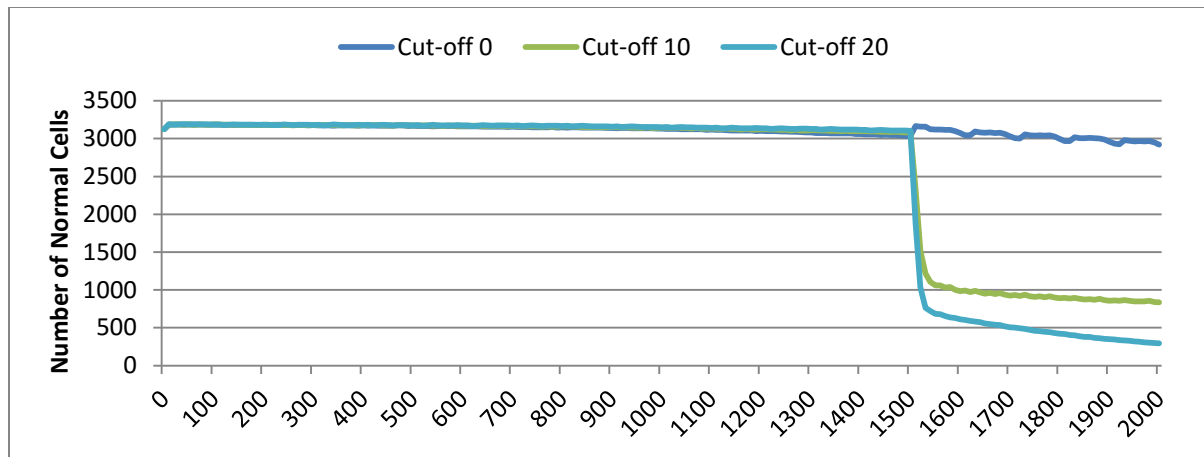
508

509 **Figure 29 - Normal Grid Elements vs Treatment Toxicity**

510

511 **Figure 30 - Tumour Grid Elements vs Treatment Toxicity**

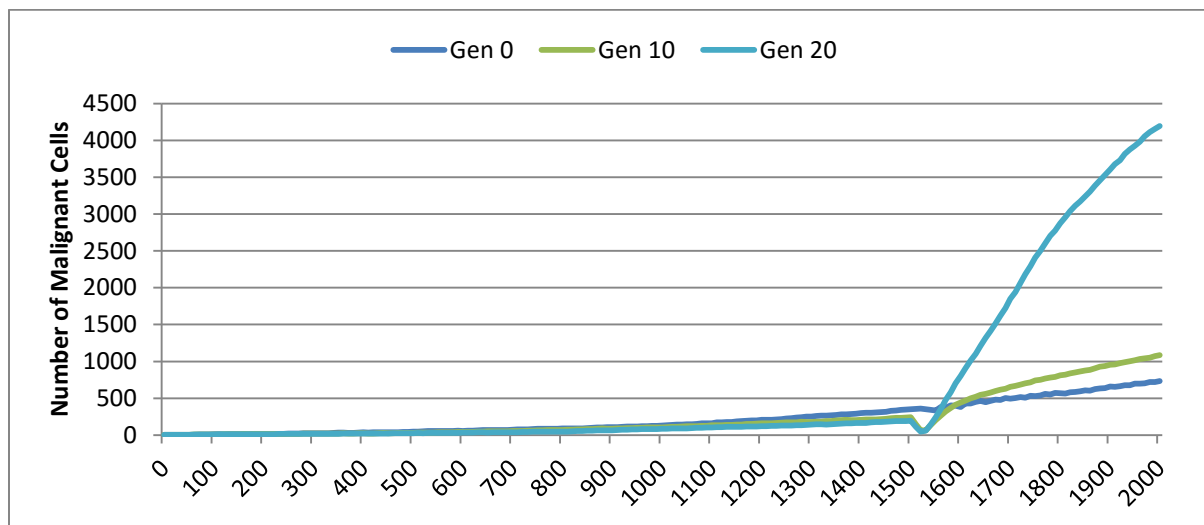
512 To gain more insight into this behaviour we can look at the change in the Normal Cell
 513 population, as shown in Figure 31. Here we can see that the treatment induces a sharp reduction
 514 in cell numbers, and that this decline continues even after the cessation of treatment, though not
 515 at the same rate.



516

517 **Figure 31 - Normal Cell Population vs Treatment Toxicity**

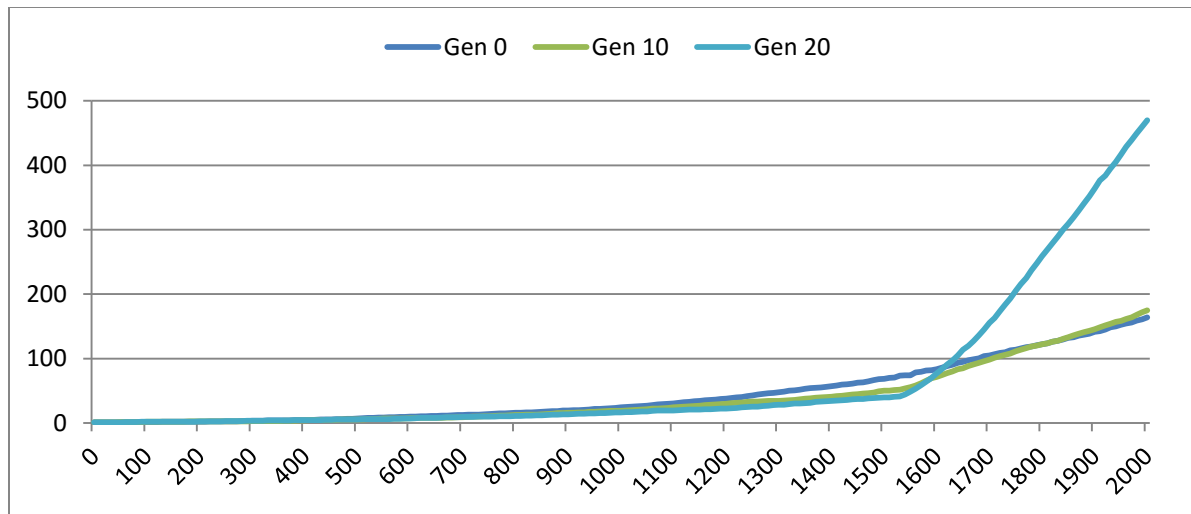
518 In the case of the Malignant Cells, shown in Figure 32, we also see a decline in cell numbers
 519 during the treatment, followed by rapid recovery. We can assume that in this case the decline in
 520 Normal cell numbers has provided the conditions in which Malignant cells can expand rapidly in
 521 number.



522

523 **Figure 32 - Malignant Cell Population vs Treatment Toxicity**

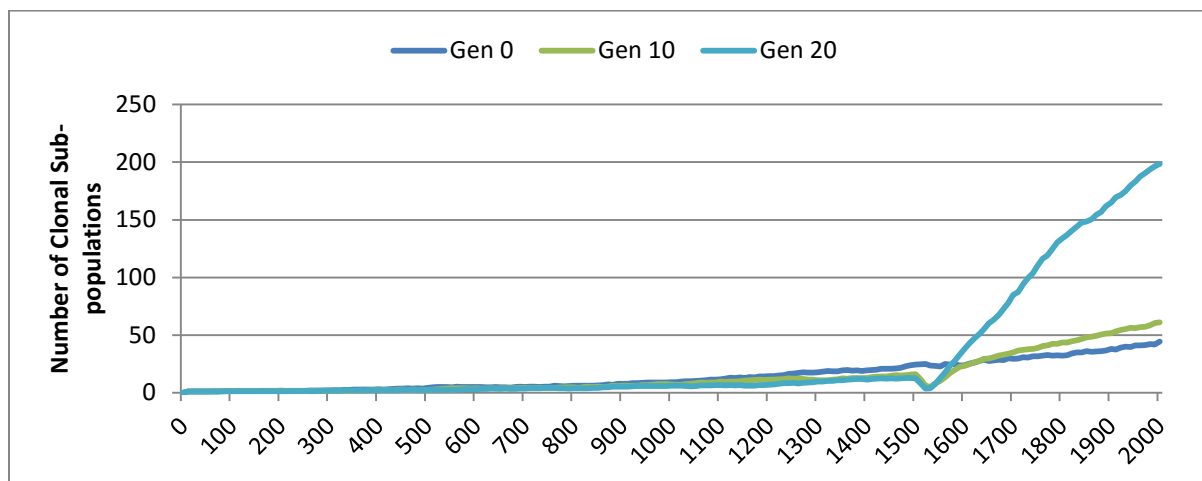
524 Supporting evidence is provided by the Gene Pool trends, shown in Figure 33. Here we can see
 525 that following treatment there is an increase in the size of the Gene Pool, indicating a post-
 526 treatment burst of clonal evolution.



527

528 **Figure 33 - Size of Gene Pool vs Treatment Toxicity**

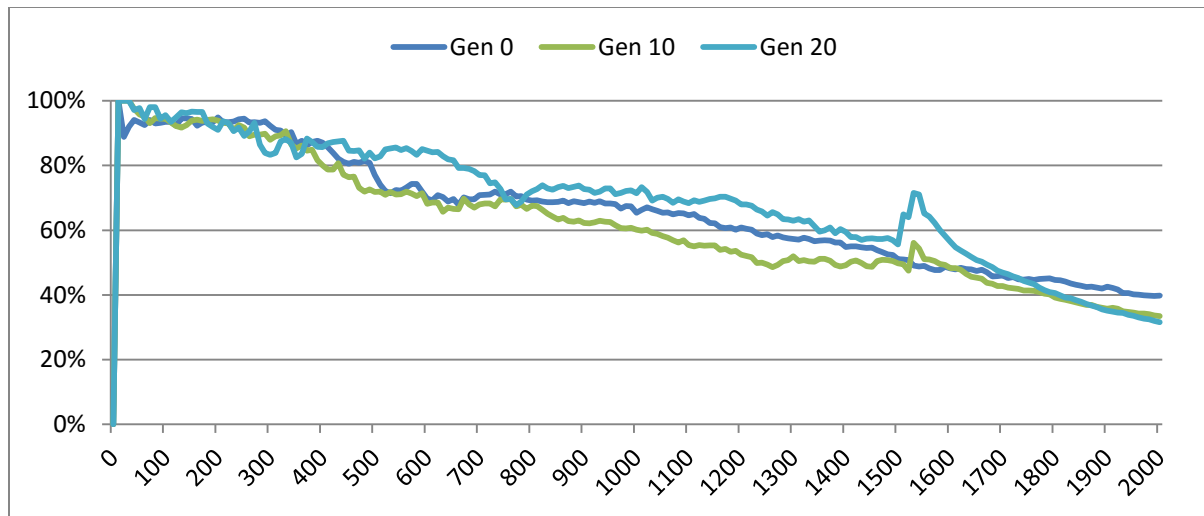
529 In terms of the number of active clonal subpopulations, as shown in Figure 34, the same trend
 530 emerges. The number of active clonal subpopulations shows a slow increase until generation
 531 1500, at which point treatment commences. Some of these populations are killed by the
 532 treatment and we see a dip in numbers, but following the cessation of treatment there is an
 533 evolutionary explosion and a rapid rise in the number of clonal sub-populations.



534

535 **Figure 34 - Number of clonal sub-populations vs Treatment Toxicity**

536 Another view of this evolutionary burst is provided by Figure 35. Here we can see that the
 537 process of tumour growth leads to an increase in genetic heterogeneity, as shown by the
 538 proportion of the Malignant cell population belonging to the largest sub-population. The
 539 increasing heterogeneity is interrupted when the treatment begins and there is a spike which
 540 shows that the largest sub-population increases as a proportion of the total, from which we can
 541 infer that a number of clonal sub-populations have been exterminated completely, in line with
 542 Figure 34.

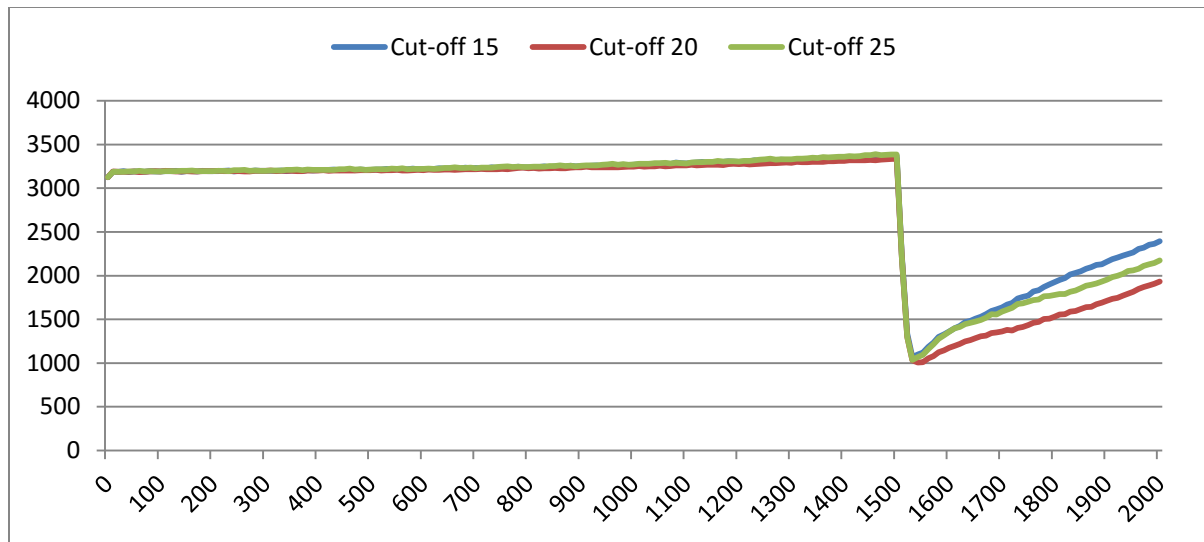


543

544 **Figure 35 - Sub-clonal Population Dominance vs Treatment Toxicity**

545 In practice maximum tolerated dose (MTD) chemotherapy does not cause equal levels of damage
 546 to all cell populations. Because it impacts rapidly proliferating cells the ‘collateral damage’ to
 547 non-tumour cells is restricted to certain populations of non-cancer cells in the immune system,
 548 gut and other tissues associated with the side effects of treatment. We can model this differential
 549 impact in the NEATG system by setting a lower cut-off value for Normal cells compared to
 550 Malignant cells, thus causing fewer Normal cells to be affected by the treatment compared to the
 551 Malignant cell populations. In the following experiment the cut-off for the Normal cells is set to
 552 10, and for the Malignant cells it is set to 15, 20 and 25 in three different scenarios. All other
 553 parameters are the same as in the previous experiment and the results shown are the averages for
 554 10 runs of the system.

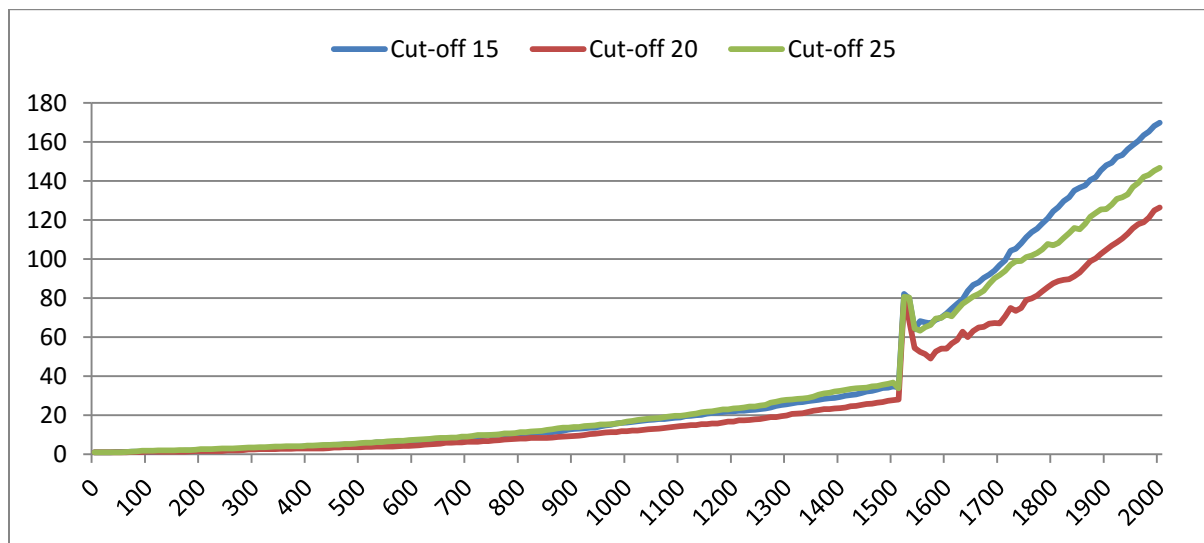
555 In terms of the total cell counts, shown in Figure 36, there is a similar pattern to the previous
 556 experiment, although the rate of recovery is much lower than in Figure 28. The lower sensitivity
 557 of the Normal cells means that even when the cut-off for the Malignant cells matches the
 558 previous values, the recovery of cell populations is lower.



559

560 **Figure 36 - Total Cell Counts vs Differential Treatment Toxicity**

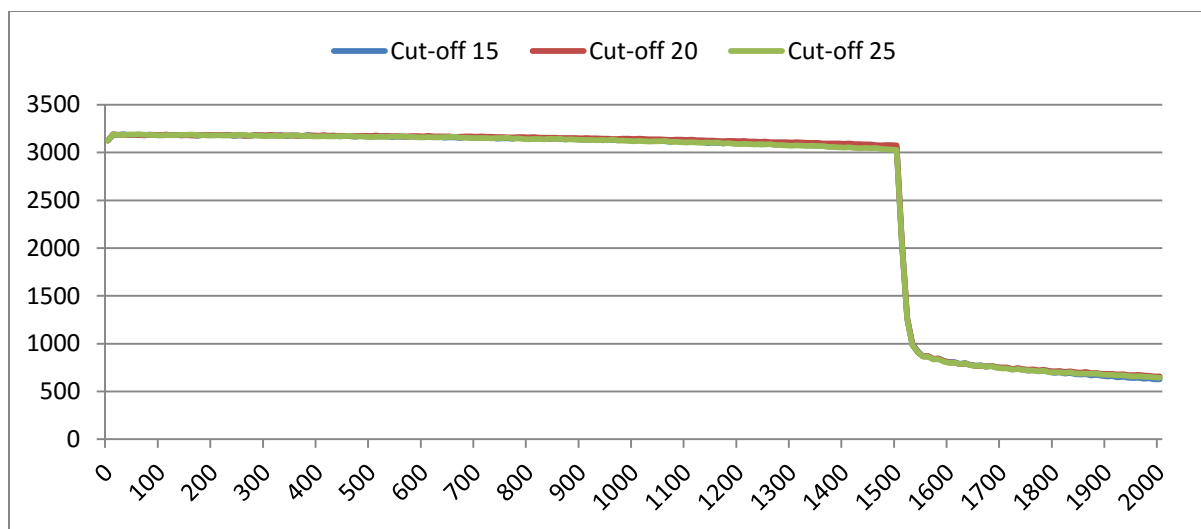
561 We can also see the impact of treatment on the tumour spread expressed in terms of Grid
 562 Elements, as shown in Figure 37.



563

564 **Figure 37 - Tumour Growth vs Differential Treatment Toxicity**

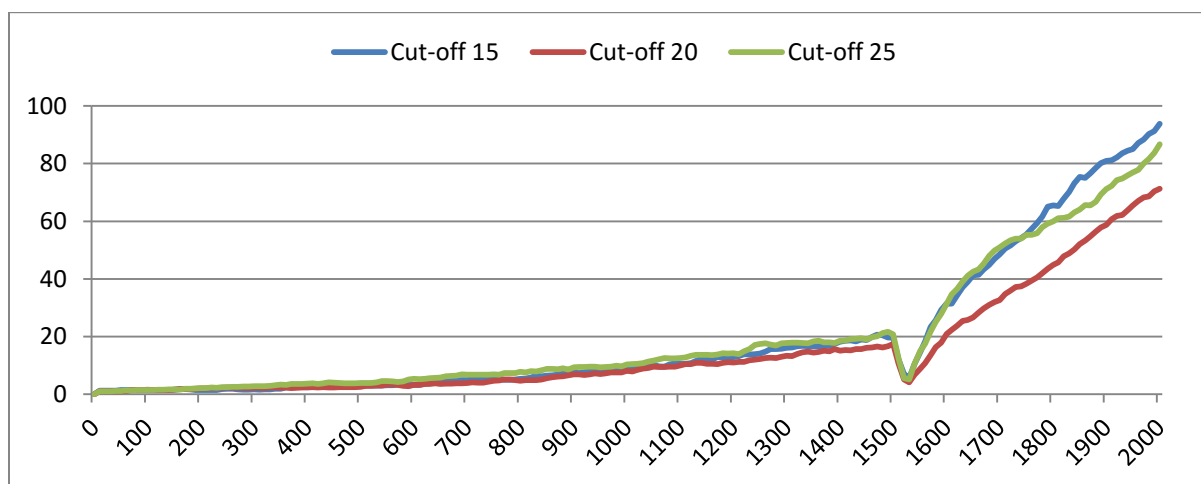
565 The lower sensitivity of the Normal cells does not mean that they are immune from effects of
 566 treatment. Figure 38 shows a marked decline in Normal cell numbers on the commencement of
 567 treatment, followed by a continued decline after treatment ends. Note there is no difference in the
 568 three scenarios shown, indicating that the Normal cells are not affected directly by the different
 569 sensitivities of the Malignant cells. We can also see that the values shown here are a close match
 570 to those shown for the Cut-off 10 scenario illustrated in Figure 31.



571

572 **Figure 38 - Normal Cell Counts vs Differential Treatment Toxicity**

573 Finally, the pattern of increased tumour growth and evolutionary change following the cessation
 574 of treatment also occurs, as shown in Figure 39.



575

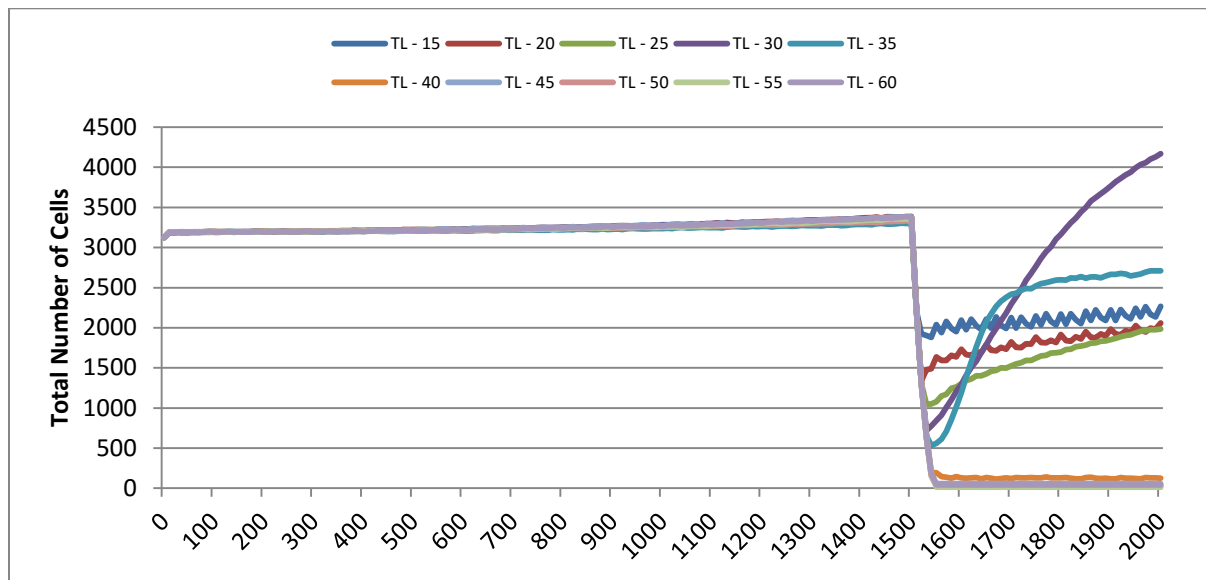
576 **Figure 39 - Clonal Populations vs Differential Treatment Toxicity**

577 Two rather obvious questions arise from this data. The first is what happens if the period of
 578 treatment is extended? It is clear that for the duration of treatment the number of Malignant cells,
 579 tumour grid elements and clonal populations decrease. Is it possible to extend the treatment
 580 period so that the entire Malignant cell population is destroyed? Secondly, it is clear that the
 581 treatment damages Normal cells and that this coincides with the increased cancer growth
 582 following the cessation of the treatment. Therefore we can ask what happens in the case when
 583 the differential toxicity is such that there is no damage to the Normal cells – in other words what
 584 would happen in the case of a ‘magic bullet’ which has toxic effects only on Malignant cells?
 585 These questions are addressed in turn in the next two of experiments.

586 In the following experiment a differential toxicity was used, with a Malignant cut-off value of 20
 587 and a Normal value of 10. All other settings are as in the previous experiment, with the

588 exception of the treatment duration which was varied from 15 – 60 generations, in increments of
589 5. The results shown are the averages of 10 runs of the system.

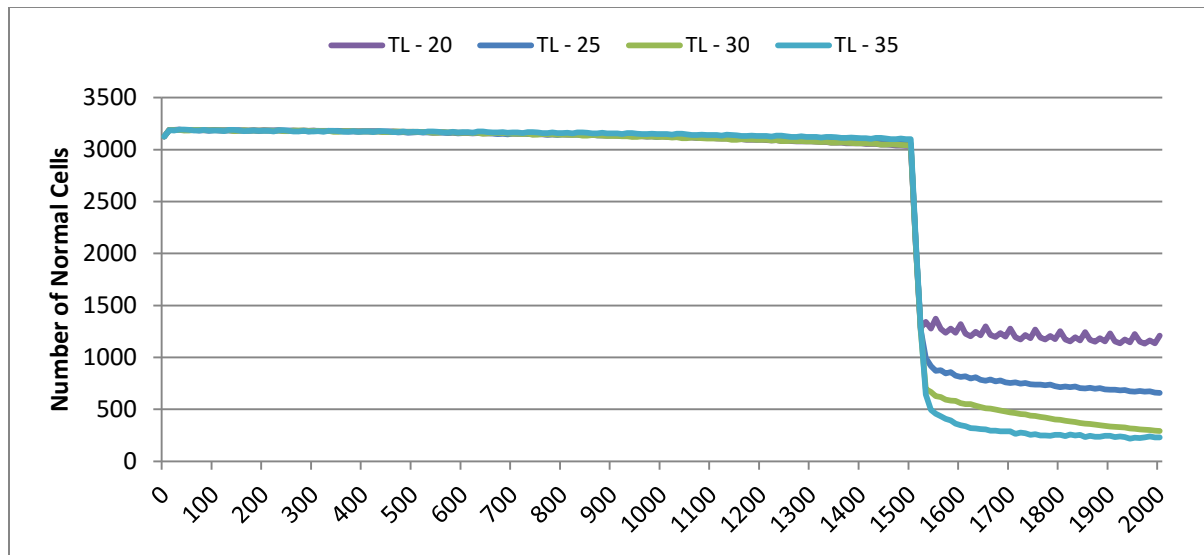
590 In terms of the total cell counts, we can see that there is indeed a relationship between the
591 treatment length and the size of the total cell population, as shown in Figure 40. It is clear that
592 this is a complex and non-linear relationship, but it is apparent that treatment duration above 40
593 causes high levels of cell damage. This result was robust to repeated runs of the system and there
594 was essentially no difference between results for any treatment length above this level.
595 Furthermore, this upper cut-off figure for treatment length was related to the length of the cell
596 Lifetime (which is 100 in these experiments). In order to simplify the exposition, the rest of the
597 results in this experiment will focus on treatment lengths of 20 – 35.



598

599 **Figure 40 - Total cell count vs treatment length**

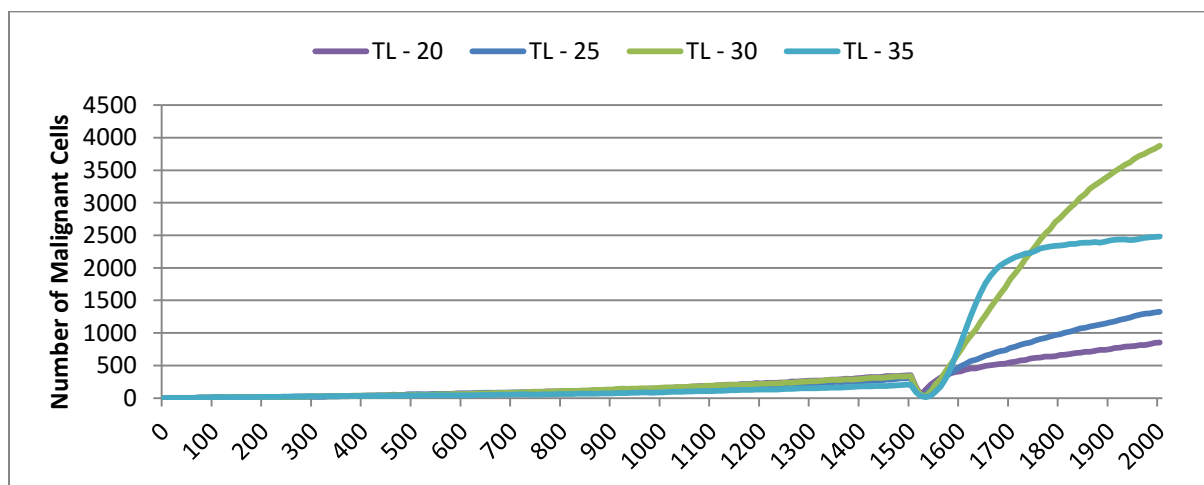
600 The effect of treatment length on the Normal and Malignant cell populations is shown in Figure
601 41 and Figure 42 respectively. In the case of the Normal cell populations it is clear that
602 increasing treatment length is strongly associated with the scale of the decline in cell numbers.
603 However, in the case of the Malignant cells, the treatment length is also associated with the rate
604 of recovery.



605

606 **Figure 41 - Normal cell count vs treatment length**

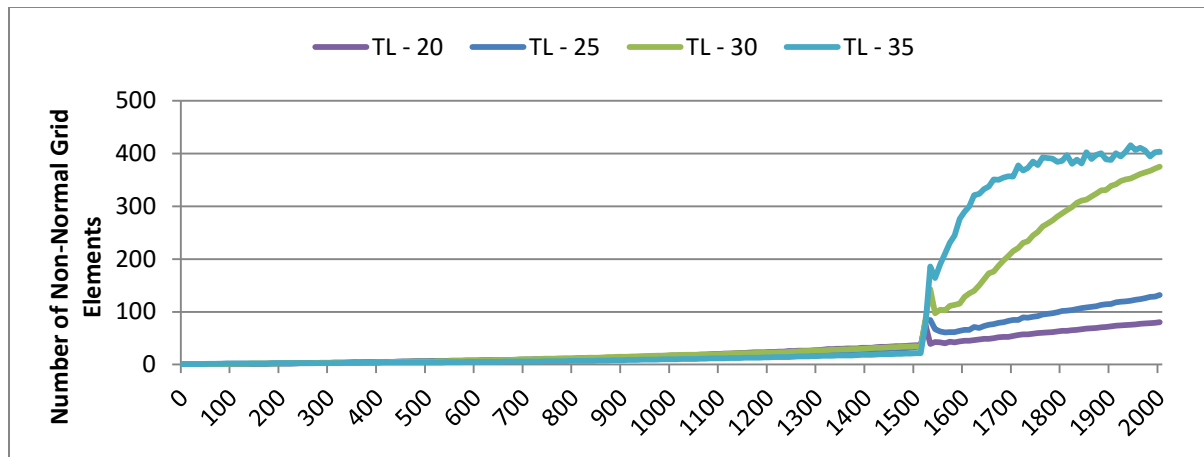
607



608

609 **Figure 42 - Malignant cell population vs treatment length**

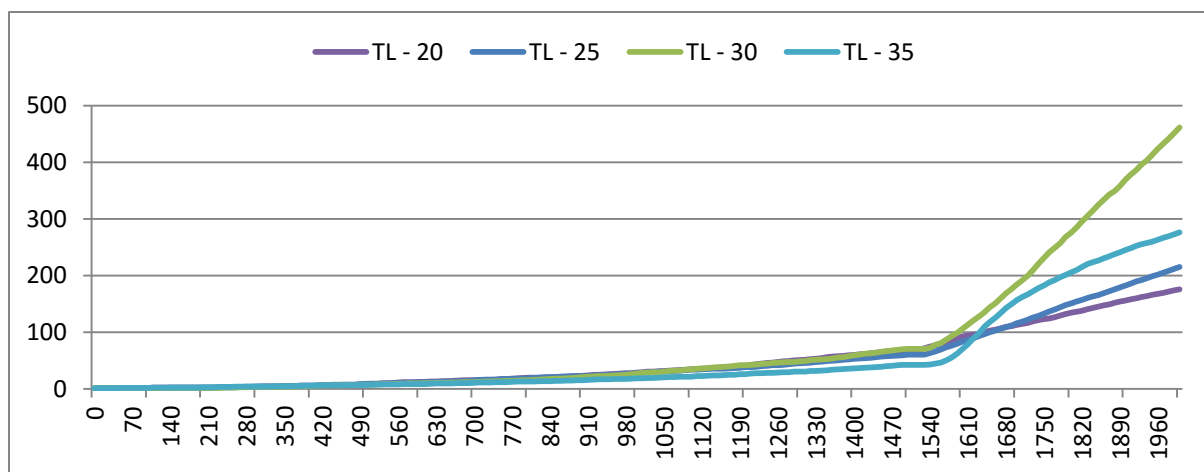
610 As shown in Figure 42 the longer treatment length can sometimes lead to an accelerated increase
 611 in Malignant cell numbers, though for treatment lengths beyond 40 (data not shown), there is no
 612 recovery in cell numbers, as should be clear from Figure 40 which indicates a collapse in the
 613 total cell count. The somewhat surprising result is that in some cases a more aggressive treatment
 614 (longer treatment period) can lead to an unexpected acceleration in tumour growth. This is also
 615 apparent in Figure 43, which shows the Grid Element view, again with a decline in tumour extent
 616 immediately following treatment followed by a recovery that is related to the treatment length.



617

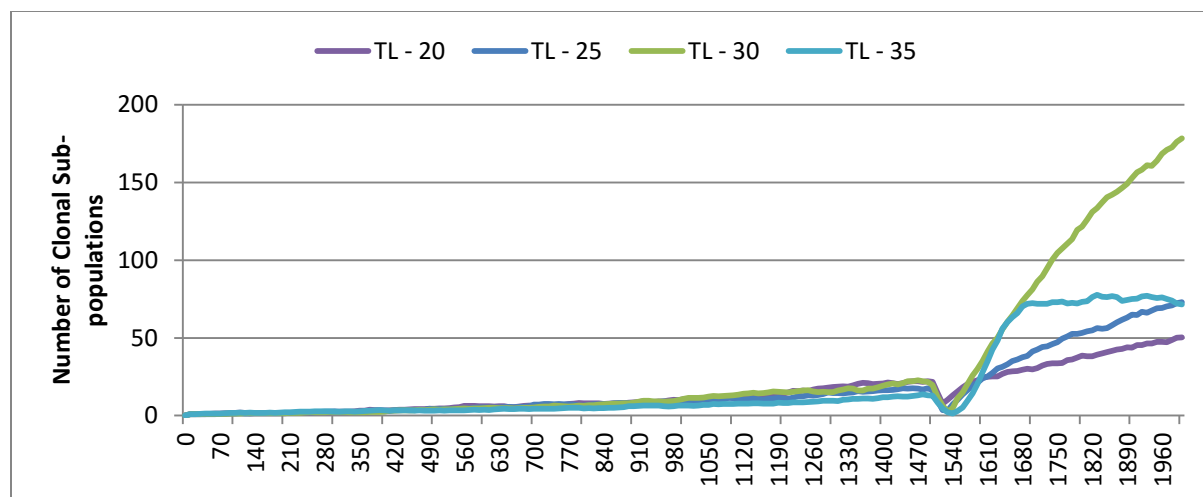
618 **Figure 43 - Non-Normal grid elements vs treatment length**

619 We can also see from Figure 44 that treatment length is also associated with an increase in the
 620 size of the Gene Pool. Treatment period therefore acts as a spur to clonal evolution, as also
 621 shown in Figure 45.



622

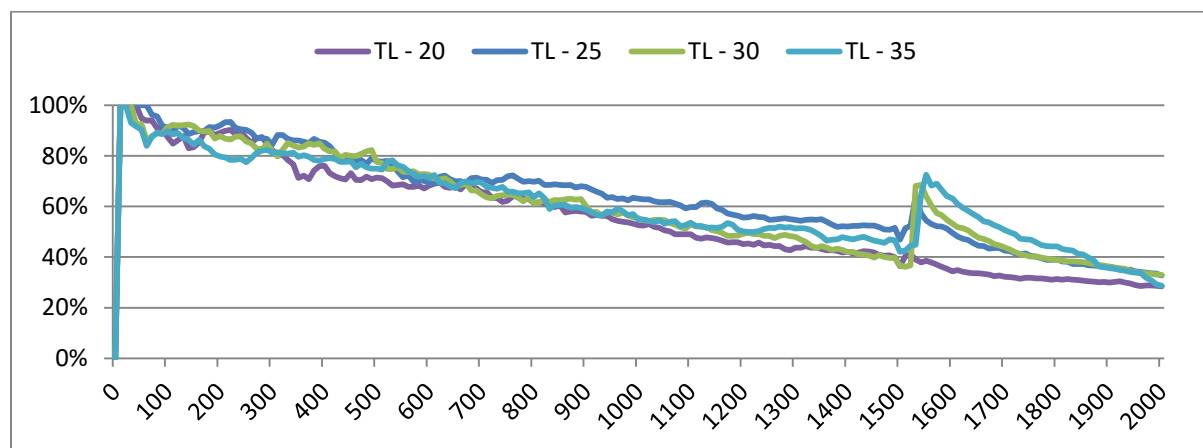
623 **Figure 44 - Gene Pool vs Treatment Length**



624

625 **Figure 45 - Clonal populations vs treatment length**

626 A further indication of the effect that treatment length has on clonal evolution is shown in Figure
 627 46, which charts the percentage of the total Malignant population which belong to the most
 628 populous clonal sub-population. It is clear that longer treatment length increases dominance as
 629 cells from less popular genotypes are removed, whereas for the short treatment of 20 generations
 630 there is no such spike in dominance.

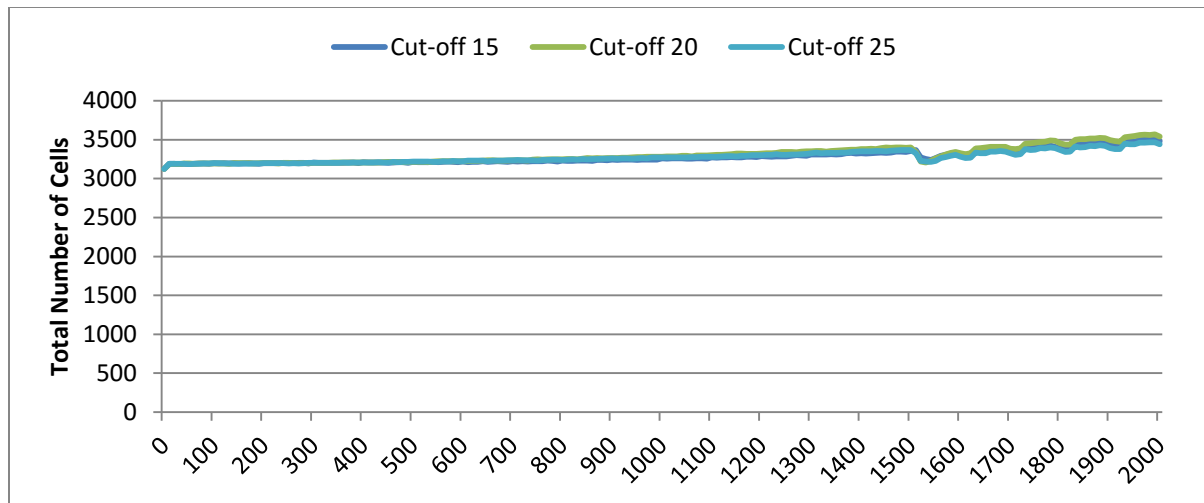


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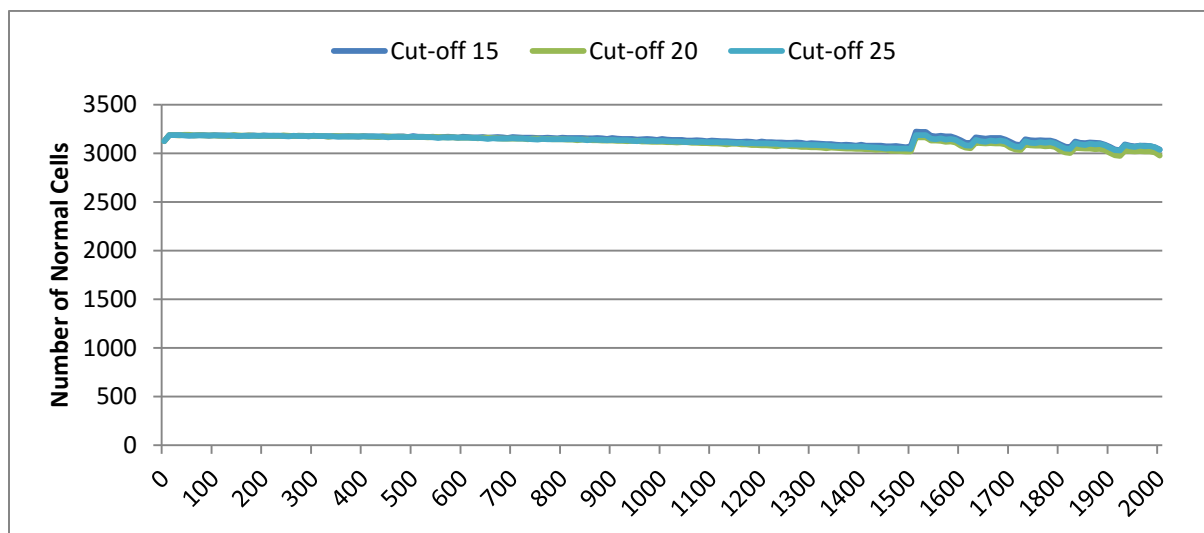
632 **Figure 46 - Sub-clonal dominance vs treatment length**

633 In the final experiment in this section we investigate a scenario where the treatment is applied
 634 only to Malignant cells and Normal cells are not affected at all. In this experiment three different
 635 toxicity levels are applied to the Malignant cells, representing cut-off values of 15, 20 and 25.
 636 All other parameters are as in the previous experiments and the average of 10 runs is shown.

637 In stark contrast to Figure 28 and Figure 36, treatment does not lead to a sharp decline in total
 638 cell numbers, as shown in Figure 47. This is confirmed when we look at the Normal cell
 639 numbers, Figure 48. Here we can see a slow decline in numbers prior to the commencement of
 640 treatment at generation 1500, followed by a recovery in numbers and then a slow decline again.



641

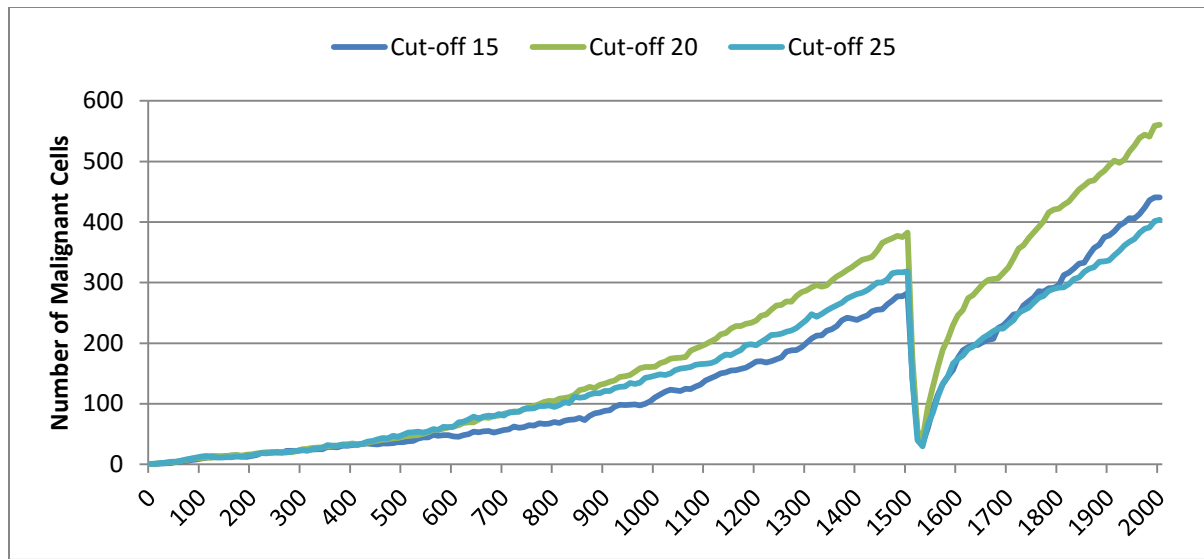
642 **Figure 47 - Total cell count vs no collateral damage**

643

644 **Figure 48 - Normal cell numbers vs no collateral damage**

645 In contrast the impact of treatment is clear on the Malignant cell numbers, as shown in Figure
 646 49. Here we can see that the increase in cell numbers is reversed sharply by the treatment but is
 647 then followed by a recovery in numbers and a resumption of tumour growth. A similar pattern
 648 exists in the Grid element view (data not shown). However, note that while the pattern is similar
 649 to previous experiments, the numbers of Malignant cells are markedly lower than in Figure 32
 650 and Figure 42.

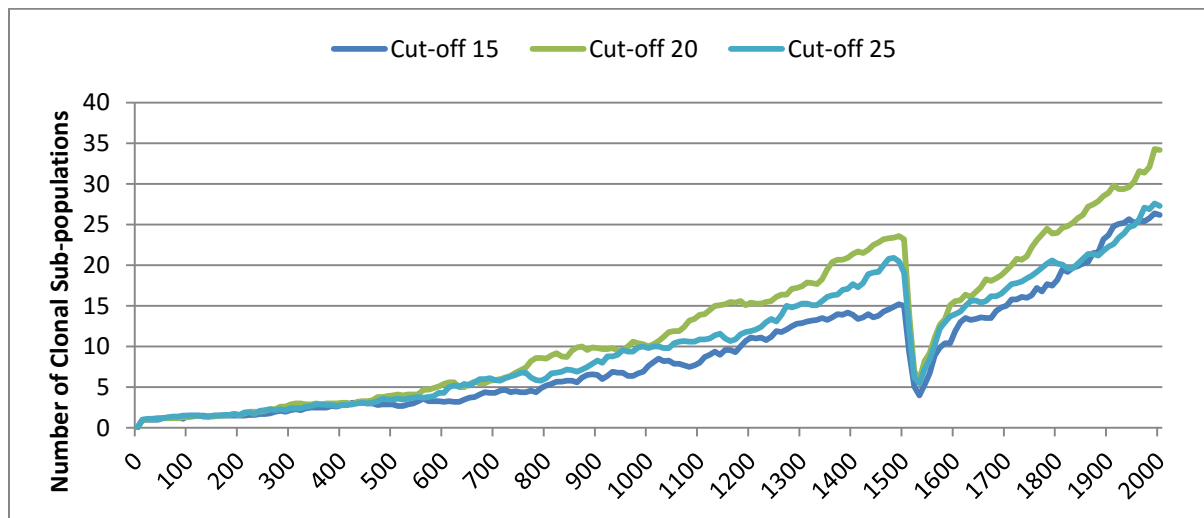
651



652

653 **Figure 49 - Malignant cell numbers vs no collateral damage**

654 In terms of the impact on clonal evolution, Figure 50, while there is a pause during the treatment
 655 period, it continues at a similar rate to the pre-treatment trend afterwards. Again, while this
 656 pattern is familiar, the number of clonal sub-populations is lower than in previous experiments,
 657 as shown by Figure 39 and Figure 45.



658

659 **Figure 50 – Clonal Populations vs no collateral damage**

660

661 Discussion

662 The NEATG model is not a computational model that attempts to emulate the biological
 663 processes involved in tumour growth, indeed it is a very simplistic model that lacks even the bare
 664 essentials of tumour physiology. It does not include any modelling of the immune system, it is
 665 completely avascular, nor does it model specific cell populations. In some respects it may appear
 666 as a simple model of stratified epithelial tissues – the model is partly cellular, the cells are

667 homogeneous and nutrient supply is diffusive rather than via vascular transport – but this is not
668 the intention. Despite the non-physiological basis of the model, however, the results display a
669 range of behaviours and phenomena which are indicative of real tumour growth. In many
670 respects these are emergent behaviours that may be shed light on biologically relevant systems.

671 In the first instance the model is capable of reproducing homeostatic behaviour. In optimal
672 conditions, (i.e. with an ideal supply of Nutrient and Gene Factors), the model displays a steady
673 turnover of cells, which age and divide in such a manner that the target cell population is
674 preserved. However, under conditions of stress, such as a restriction in the Nutrient supply or a
675 reduction in Gene Factors, we see a change in behaviour. In the case of underfeeding or
676 starvation we see that cell numbers are markedly reduced, however over-feeding does not lead to
677 an increase in cell populations.

678 In the case of variations in Gene Factors, we see that under or over-supply of these factors does
679 not impact cell numbers to the same extent, though both scenarios lead to a small reduction in
680 total cell numbers. The variations in Gene Factor supply do however impact on cell turnover,
681 with an increase in rates of cell division in both under and over-supply situations. In this respect
682 we may view the impact of deviations from the Gene Factor target values acting as mitogenic
683 factors. There is also a marked impact on the calculation of cell fitness, with deviations from the
684 optimal values for Gene Factors reducing the fitness value. We may conclude, therefore, that
685 variations in the Gene Factor supply are deleterious to some extent, but do not cause the same
686 level of cellular damage as restriction in the supply of Nutrient.

687 In the case of tumour growth, we see that once initiated the proliferation of cancer cells numbers,
688 and the attendant increase in the number of affected Grid Elements, increases in the absence of
689 any counter-measures (i.e. left untreated). As each Grid Element can support a number of cells
690 over and above the optimum level, this initial increase in numbers does not displace or replace
691 non-cancer cells. However, once the carrying capacity of the Grid Element has been reached
692 there is a competition between cells in which ultimately the Malignant cells out-compete the
693 Normal cells. Over time the number of Malignant cells increases and the rate of invasion
694 increases, while there is a corresponding decrease in Normal cells. As with the homeostatic case,
695 this behaviour is not pre-programmed but emerges from the interactions between the cells,
696 interactions between neighbouring Grid Elements and the operation of a few simple rules.
697 Additionally, there is a consistent increase in the number of clonal sub-populations as growth
698 continues – mirroring the genetic heterogeneity which is a hall-mark of real tumour growth.
699 What is more the system shows that in the face of changing conditions there is an increase in the
700 number of clonal sub-populations and a decrease in the dominance of the most populous sub-
701 clone over time.

702 Of note is the fact that in the first instance the seeded Malignant cell has the same genomic
703 structure as the Normal cell population in these experiments. That is the Malignant cell is not
704 conferred any genetic advantage over the rest of the non-Malignant cell population. The single
705 difference between the Malignant cell and the Normal cell is that the Malignant cell is flagged as
706 such and that it therefore has an ability to mutate, proliferate and undergo repeated division. In
707 terms of Genomic structure, cell Lifetime, nutrient requirements and so on there are no
708 differences initially between cell types. It may be assumed that the increasing success of the
709 Malignant cells in outcompeting Normal cells may be due to an increasing evolutionary fitness

710 that arises through a succession of mutational events occurring during cell division. However, a
711 simple reading of the data does not support this assumption.

712 Evolutionary fitness is not defined in absolute or global terms in NEATG. Instead it is a local
713 definition that reflects cellular adaptation to the conditions in each Grid Element. Thus it is clear
714 from the data, as shown in Figure 12, that in general the fitness of many Malignant cells is lower
715 than the initial fitness of the Normal cells, and that it often decreases as a result of intra-Grid
716 Element competition between cells. Furthermore, it is clear that many mutations are actually
717 deleterious and do not confer evolutionary advantage over competing cells, Normal or
718 Malignant. Some Malignant cells do experience mutations which provide an advantage, and
719 these are the cells which manage to survive and expand in number. However, a cell with a
720 positive advantage in one Grid Element may migrate to an adjacent Grid Element and find that it
721 is less fit and therefore does not survive. This view of evolutionary fitness as locally responsive
722 to the environment and therefore having an impact on the success, or otherwise, of genetic
723 mutations is in line with more recent theoretical models of evolutionary processes in cancer
724 (Rozhok & DeGregori, 2015).

725 The rate of evolutionary change is initially set by the Mutation Rate, which is heritable and
726 mutable. It may be thought that the Mutation Rate would be an important driver in the rate of
727 cancer growth, however our data show that in this model it has a weak influence on the rate of
728 growth of cancer – both in terms of Malignant cell numbers and affected Grid Elements. It does
729 however directly influence the size of the Gene Pool and the number of clonal sub-populations.

730 More influential in terms of driving growth is the Invasion Rate, which represents the probability
731 that a dividing Malignant cell in an over-crowded Grid Element can migrate to a neighbouring
732 Grid Element. The data show that this is a very strong driver of growth rates, but it does not lead
733 to the same increase in the size of the Gene Pool or the number of clonal sub-populations.

734 In terms of modelling interventions against the tumour growth we have explored the use of a
735 treatment option that loosely mimics maximum tolerated dose chemotherapy in two key respects.
736 Firstly the treatment is not genetically targeted – it applies to both Normal and Malignant cells,
737 though we can confer an increased sensitivity to Malignant cells if required. Secondly the
738 treatment induces cell death in affected cells, analogous to the apoptotic or necrotic cell death
739 induced by chemotherapy. And finally cells are affected depending on where they are in the cell
740 cycle – which is modelled in this instance by the reading of the cell clock.

741 The response to this treatment, which we have varied in intensity and duration, is consistent in
742 our experiments. There is an initial response marked by massive tumour kill followed by a
743 resumption of tumour growth, which is often characterised by an accelerated and aggressive
744 tumour expansion. This response to treatment bears some resemblance to real cancer treatment,
745 where an initial reduction in tumour growth characterised as complete or partial remission is
746 followed by renewed tumour growth or the appearance of metastatic disease. While the
747 mechanisms of treatment resistance in real tumours is complex and multifactorial it is assumed
748 that tumour heterogeneity is an important factor; a tumour may harbour clonal subpopulations
749 which are resistant to treatment and which therefore benefit from reduced competition after
750 chemo-sensitive populations have been destroyed by treatment. In the NEATG model treatment
751 resistance is not related to drug efflux or other mechanisms of acquired resistance. Instead the
752 phenomenon is associated with a pool of cells which survive due to their age (i.e. they are above

753 the treatment cut-off age) and which are therefore faced with a decreased level of competition for
754 resources and a lower population density of cells in each Grid Element.

755 Increasing the intensity or duration of treatment as a strategy to improve response is shown to be
756 problematic in that it can cause reductions in Normal cell numbers which do not recover and
757 therefore this strategy is assumed to be deleterious. Again, there is a clear parallel to clinical
758 experience in which increased toxicity causes excess morbidity without necessarily leading to
759 improved outcomes.

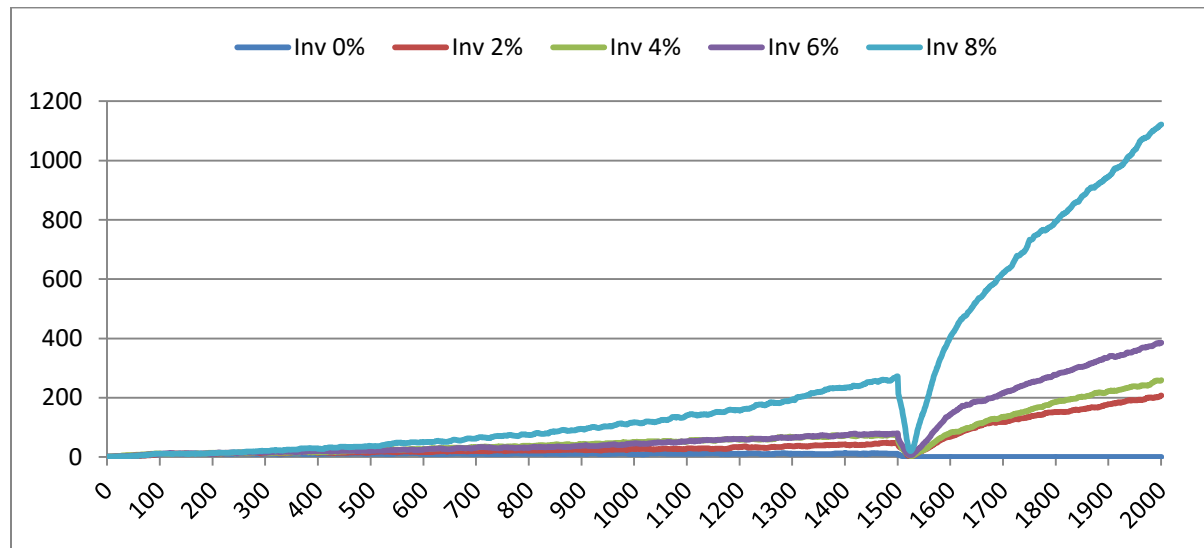
760 While the NEATG model displays emergent behaviour that corresponds with clinical
761 phenomena, the question that arises is whether there is anything that we can learn from such a
762 system. Can a non-physiological model shed any new light on real biological systems? Clearly
763 drawing conclusions at a molecular or genetic level is out of the question, but there are
764 algorithmic features of biological systems that may be amenable to exploration using software
765 models such as this one.

766 For example, at a very fundamental level there remain competing views on the nature and origin
767 of the cancerous state. At a simplistic level the SMT places the delinquent cell at the centre of
768 cancer development, whereas the TOFT places the poor neighbourhood central to the story
769 (Baker, 2014; Sonnenschein et al., 2014). A central difference between these competing theories
770 is in the role of cellular proliferation. The SMT suggests that in the non-transformed state cells
771 are non-proliferative by default. Mutations in genes associated with cell cycle control mean cells
772 become proliferative and malignant. In contrast the TOFT posits that cells are proliferative by
773 default and that this proliferative ability is kept in check at the tissue level. A disordered tissue
774 results in the removal of the proliferative blocks and the cell can multiply without control.

775 In our model both cell and tissue (Grid Element) level structures are featured. The process of
776 cancer initiation consists of seeding a transformed cell into a grid element and letting it
777 proliferate. The model does not have anything to say about how the initial cell is transformed, it
778 is taken as a given. The initial cell has the same parameters as the untransformed cells, the only
779 difference is that proliferative blocks have been removed. The transformed cell, and its progeny,
780 is able to accumulate mutations during cell division and replication. Some of these mutations
781 will be deleterious and some will be advantageous, we would expect therefore that the average
782 fitness of the Malignant population will increase and that these advantageous mutations will
783 drive further evolutionary change – particularly mutations that increase the Invasion rate.
784 However this does not appear to occur. Indeed, a surprising result is that neither the Mutation
785 Rate nor the Invasion Rate, which are both heritable and mutable, appear to undergo significant
786 increase during the process of tumour growth. In fact, as shown in Figure 14, both show
787 marginal rates of change, and can rise and fall rather than rising monotonically and driving
788 malignant growth. While some mutations may provide evolutionary advantage, it is clear that the
789 majority of mutations are passenger mutations rather than driver mutations. This is another
790 instance where the NEATG model parallels biological systems, as it has become increasingly
791 clear that the majority of somatic mutations in human tumours are also passenger mutations,
792 many of which are actively deleterious to the cancer cell (Greenman et al., 2007; McFarland et
793 al., 2013; McFarland, Mirny & Korolev, 2014).

794 The question arises then as to whether mutational change is a necessary precondition for cancer
795 growth in this model. To investigate this question an additional series of experiments was

796 performed in which the Mutation Rate was set at zero, and the Invasion Rate varied from zero to
 797 8% in increments of 2%, with all other settings as in the previous set of experiments. The results
 798 show that Malignant cell growth can occur even with a zero Mutation rate, which was verified by
 799 confirming that the Gene Pool retained a constant value of 1. The rate of growth, as shown in
 800 Figure 51, depends on the Invasion Rate, as one would expect, but that even at the lowest non-
 801 zero rate tumour growth occurs, and furthermore the growth rate accelerates after treatment.



802

803 **Figure 51 - Malignant Cells with Zero Mutation Rate vs Changing Invasion Rate**

804 What is more, the data shows that with a zero rate of Invasion and Mutation there is growth in
 805 Malignant cell numbers to the maximum possible in the Grid Element where seeding occurred,
 806 but that without an Invasion Rate there is no possibility of a Malignant cell migrating to a
 807 neighbouring Grid Element. One implication of this result is that in the NEATG model cancer
 808 growth is not driven primarily by somatic mutation and is primarily dependent on proliferation
 809 and invasiveness. This is closer to the tissue organisation field theory view of cancer
 810 development than the somatic mutation theory view.

811 Clearly this is a very simple model that does not incorporate many biologically relevant
 812 oncogenic mechanisms. In particular it may be argued that even within its own terms this model
 813 is perhaps too simplistic in the handling of genetic change. While the model reproduces the
 814 evolution of clonal sub-populations and an increased Gene Pool, it can be argued that scope for
 815 evolution of advantageous traits is limited. The model does not include the possibility that
 816 chance mutation can switch on pre-existing pathways and signalling networks which are
 817 common in real cancers, for example pathways that enable metabolic adaptations to nutrient
 818 stress, hypoxia, angiogenesis and so on. It might also be argued that the fundamental difference
 819 between Normal and Malignant cells in this model, which is simply the ability to proliferate and
 820 invade, is of such fundamental importance and represents such a significance difference between
 821 cellular phenotypes that a model which simply assigns this as a given does not have any real
 822 world validity.

823 Another area where the model may benefit from further development is in the handling of Gene
 824 Factors. There is scope for the modelling of more complex feedback loops between the genes

825 and the environment, perhaps including some aspects of oncogene addiction (Luo, Solimini &
826 Elledge, 2009). Addressing this issue may also address the concern that the model does not
827 provide sufficient scope for the discovery of advantageous driver mutations.

828 NEATG is designed as an extendable platform for investigating different interventions and how
829 they impact the growth of Malignant cells and the spread of affected Grid Elements. In the
830 experiments described in this paper only one intervention, loosely based on maximum tolerated
831 dose chemotherapy, has been explored. Clearly there is scope for additional interventions to be
832 modelled, for example combinations of Nutrient restriction and chemotherapy, a treatment
833 strategy of some clinical interest (Raffaghello et al., 2008; Safdie et al., 2009; Lee et al., 2012),
834 may be modelled in NEATG. Similarly the use of metronomic chemotherapy, targeted therapies
835 and the use of different treatment schedules are also amenable to modelling using the NEATG
836 system.

837

838 **Conclusion**

839 The value of agent-based evolutionary models is that they can generate biologically relevant
840 behaviour through algorithmic means, which may in turn shed light on how these are
841 implemented in biological systems. Obviously increasing the complexity of the model so that
842 additional features are included, for example an improved mechanism for modelling
843 advantageous genetic changes, may be of some value. However, in another sense retaining a
844 simple model may be provide greater insight into the abstract processes involved in reproducing
845 cancer-like behaviour – perhaps casting light on the disputed territory between the somatic
846 mutation and tissue organisation field theories.

847

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