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Integrated microRNA, gene expression and transcription factors signature in papillary thyroid cancer with lymph node metastasis

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Background: Papillary thyroid carcinoma (PTC) is the commonest thyroid malignancy originating from the follicle cells in the thyroid. Despite a good overall prognosis, certain high-risk cases as in those with lymph node metastasis (LNM) have progressive disease and poorer prognosis. MicroRNAs are a class of non-protein-coding, 19-24 nucleotides single-stranded RNAs which regulate gene expression and these molecules have been shown to play a role in LNM. The integrated analysis of miRNAs and gene expression profiles together with transcription factors (TFs) has been shown to improve the identification of functional miRNA-target gene-TF relationships, providing a more complete view of molecular events underlying metastasis process. **Objectives:** We reanalyzed The Cancer Genome Atlas (TCGA) datasets on PTC to identify differentially expressed miRNAs/genes in PTC patients with LNM-positive (LNM-P) versus lymph node negative (LNN) PTC patients and to investigate the miRNA-gene-TF regulatory circuit that regulate LNM in PTC. **Results:** PTC patients with LNM (PTC LNM-P) has significantly shorter disease-free survival rate compared to PTC patients without LNM (PTC LNN) (Log-rank Mantel Cox test, $p = 0.0049$). We identified 181 significantly differentially expressed miRNAs in PTC LNM-P versus PTC LNN; 110 were upregulated and 71 were downregulated. The five topmost deregulated miRNAs were hsa-miR-146b, hsa-miR-375, hsa-miR-31, hsa-miR-7-2 and hsa-miR-204. In addition, 395 miRNAs were differentially expressed between PTC LNM-P and normal thyroid while 400 miRNAs were differentially expressed between PTC LNN and normal thyroid. We found 4 significant enrichment pathways potentially involved in metastasis to the lymph nodes namely oxidative phosphorylation (OxPhos), cell adhesion molecules (CAMs), leukocyte transendothelial migration and cytokine-cytokine receptor interaction. OxPhos was the most significantly perturbed pathway ($p = 4.70E-06$) involving downregulation of 90 OxPhos-related genes. Significant interaction of hsa-miR-301b with HLF, HIF and REL/NFkB transcription factors were identified exclusively in PTC LNM-P versus PTC LNN. **Conclusion:** We found evidence of five miRNAs differentially expressed in PTC LNM-P. Alteration in OxPhos pathway could be the central event in metastasis to the

lymph node in PTC. We postulate that hsa-miR-301b might be involved in regulating LNM in PTC via interactions with HLF, HIF and REL/NFkB. To the best of our knowledge, the roles of these TFs have been studied in PTC but the precise role of this miRNA with these TFs in LNM in PTC has not been investigated.

1 **Integrated microRNA, gene expression and transcription**
2 **factors signature in papillary thyroid cancer with lymph**
3 **node metastasis**

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16

17 **Abstract**

18 **Background.** Papillary thyroid carcinoma (PTC) is the commonest thyroid malignancy
19 originating from the follicle cells in the thyroid. Despite a good overall prognosis, certain high-
20 risk cases as in those with lymph node metastasis (LNM) have progressive disease and poorer
21 prognosis. MicroRNAs are a class of non-protein-coding, 19-24 nucleotides single-stranded
22 RNAs which regulate gene expression and these molecules have been shown to play a role in

23 LNM. The integrated analysis of miRNAs and gene expression profiles together with
24 transcription factors (TFs) has been shown to improve the identification of functional miRNA-
25 target gene-TF relationships, providing a more complete view of molecular events underlying
26 metastasis process.

27 **Methods.** We reanalyzed The Cancer Genome Atlas (TCGA) datasets on PTC to identify
28 differentially expressed miRNAs/genes in PTC patients with LNM-positive (LNM-P) versus
29 lymph node negative (LNN) PTC patients and to investigate the miRNA-gene-TF regulatory
30 circuit that regulate LNM in PTC.

31 **Results.** PTC patients with LNM (PTC LNM-P) has significantly shorter disease-free survival
32 rate compared to PTC patients without LNM (PTC LNN) (Log-rank Mantel Cox test, $p =$
33 0.0049). We identified 181 significantly differentially expressed miRNAs in PTC LNM-P
34 versus PTC LNN; 110 were upregulated and 71 were downregulated. The five topmost
35 deregulated miRNAs were hsa-miR-146b, hsa-miR-375, hsa-miR-31, hsa-miR-7-2 and hsa-
36 miR-204. In addition, 395 miRNAs were differentially expressed between PTC LNM-P and
37 normal thyroid while 400 miRNAs were differentially expressed between PTC LNN and
38 normal thyroid. We found 4 significant enrichment pathways potentially involved in metastasis
39 to the lymph nodes namely oxidative phosphorylation (OxPhos), cell adhesion molecules
40 (CAMs), leukocyte transendothelial migration and cytokine-cytokine receptor interaction.
41 OxPhos was the most significantly perturbed pathway ($p = 4.70E-06$) involving
42 downregulation of 90 OxPhos-related genes. Significant interaction of hsa-miR-301b with
43 HLF, HIF and REL/NFkB transcription factors were identified exclusively in PTC LNM-P
44 versus PTC LNN.

45 **Discussion.** We found evidence of five miRNAs differentially expressed in PTC LNM-P.
46 Alteration in OxPhos pathway could be the central event in metastasis to the lymph node in

47 PTC. We postulate that hsa-miR-301b might be involved in regulating LNM in PTC via
48 interactions with HLF, HIF and REL/NFkB. To the best of our knowledge, the roles of these
49 TFs have been studied in PTC but the precise role of this miRNA with these TFs in LNM in
50 PTC has not been investigated.

51

52 **Introduction**

53 Papillary thyroid carcinoma (PTC) is the most common malignancy originating from the
54 thyroid. Although the prognosis of PTC is generally good with a high 5-year survival rate,
55 cases demonstrating certain clinicopathological parameters are progressive, have poorer
56 prognosis and are considered as high-risk (Ito *et al.*, 2009). Numerous classification systems
57 for thyroid carcinoma have been established in order to classify high-risk cases such as AMES
58 (Cady and Rosai, 1988), AGES (Hay *et al.*, 1987), MACIS (Hay *et al.*, 1993) as well as TNM
59 (Sobin and Wittekind, 2002; AJCC 2010). The TNM classification is the most recent
60 classification system and is based on size and extrathyroid extension (T), lymph node
61 involvement (N), distant metastasis (M) and patient's age.

62 There are two regional lymph node compartments that are involved in PTC, namely the
63 central and lateral compartments. The lymph node involvement (N) is further divided into a
64 number of categories in the TNM classification (AJCC 2010); no regional node metastasis
65 (N0), regional lymph node metastasis (N1), metastases to Level VI i.e. pretracheal,
66 paratracheal, and prelaryngeal/Delphian lymph nodes (N1a), metastases to unilateral, bilateral,
67 or contralateral cervical i.e. Levels I, II, III, IV, or V or retropharyngeal or superior mediastinal
68 lymph nodes i.e. Level VII (N1b) (AJCC 7th edition, 2010). N1b is considered as the highest
69 level of lymph node involvement. Despite the fact that PTC can metastasize to either
70 compartment with a similar incidence (Ito *et al.*, 2006), N1b cases are graded higher compared

71 to N1a cases in the TNM classification and are classified as stage IV regardless of other factors
72 such as tumor size and extrathyroid extension if the patient is 45 years old or older (Voutilainen
73 *et al.*, 2001; Zaydfudim *et al.*, 2008; Ito *et al.*, 2009).

74 MicroRNAs (miRNAs), firstly identified in *Caenorhabditis elegans*, are a class of
75 endogenous (non-protein-coding), 19-24 nucleotides single-stranded RNAs that derive from a
76 stem-loop precursor to regulate gene expression by binding primarily to the 3'-UTR of specific
77 'target' messenger RNA (mRNAs). MiRNAs that bind with perfect or nearly perfect
78 complementarity to protein-coding mRNA sequences induce the RNA-mediated interference
79 (RNAi) pathway, resulting in the disruption of mRNA stability and/or translation (Bartel 2009).
80 Dysregulation of miRNAs expression in human cancers have been demonstrated by many
81 studies (Iorio and Croce, 2012). Through expression profiling studies, miRNAs were shown to
82 be linked to tumor development, tumor progression, and response to treatment, signifying their
83 potential use as biomarkers for diagnosis and prognosis (Iorio and Croce, 2012). MiRNAs have
84 also been shown to play a role as biomarkers in predicting lymph node metastasis (LNM).
85 There was a positive correlation between high hsa-miR-21 expression with tumor stage and
86 LNM in patients with breast cancer (Yan *et al.*, 2008), and the development of distant
87 metastases in colorectal cancer patients (Slaby *et al.*, 2007). Most recently, hsa-miR-1207-5p
88 was suggested as a useful biomarker in the prediction of LNM in gastric cancer (Huang *et al.*,
89 2015) and head and neck cancer (de Carvalho *et al.*, 2015).

90 The current approach of miRNA target gene prediction via *in silico* analysis is built upon
91 sequence similarity search and thermodynamic stability (Alexiou *et al.*, 2009). Nevertheless, it
92 is acknowledged that the results of *in silico* target prediction algorithms suffer from very low
93 specificity (Alexiou *et al.*, 2009). The combination of *in silico* target predictions with miRNA
94 and gene expression profiles has been proven to improve the identification of functional
95 miRNA-target gene relationships (Nunez-Iglesias *et al.*, 2010; Ma *et al.*, 2011). As miRNAs

96 act prevalently through degradation of the target genes, expression profiles of miRNA and
97 target genes/transcripts are predicted to be inversely correlated (Bisognin *et al.*, 2012). Another
98 regulatory component, the transcription factors (TF), has also been shown to activate or repress
99 miRNA expression level, further adding to the complexity of gene regulation. Efforts have
100 been made to comprehend the mechanism of miRNAs in regulating target genes; however the
101 study of miRNA regulation by TFs (TF–miRNA regulation) is rather limited (Wang *et al.*,
102 2010a).

103 The Cancer Genome Atlas (TCGA) Research Network recently published a molecular
104 characterization of 507 PTCs and 59 matched normal adjacent tissues with respect to genomic,
105 transcriptomic and proteomic signatures together with DNA methylation profiles, clinical and
106 pathological features (Cancer Genome Atlas Research Network, 2014). Data were collected
107 through several studies across different institutions, thus creating a comprehensive dataset of
108 PTC samples. Through unsupervised clustering methods, TCGA yielded six subtypes for
109 miRNA expression and five for gene expression. However, miRNA and gene expression
110 profiles between PTC with and without LNM were not comprehensively discussed. Here we
111 reanalyzed these TCGA datasets on PTC with the aim of identifying differentially expressed
112 miRNAs/genes in PTC patients with LNM-positive (LNM-P) as compared to lymph node
113 negative (LNN) PTC patients and to investigate the miRNA-gene-TF regulatory circuit that
114 governs LNM in PTC.

115

116 **Materials and Methods**

117 **TCGA papillary thyroid cancer dataset**

118 We used the TCGA-generated microRNA sequencing (miRNAseq) and mRNAseq data
119 for 495 tumors and 59 adjacent normal samples. Metadata containing clinical information,

120 miRNAseq and mRNAseq of 507 PTC patients as well as *BRAF* V600E mutation status were
121 obtained from the TCGA Data Portal ([https://tcga-](https://tcga-data.nci.nih.gov/tcga/dataAccessMatrix.htm)
122 [data.nci.nih.gov/tcga/dataAccessMatrix.htm](https://tcga-data.nci.nih.gov/tcga/dataAccessMatrix.htm)) [accessed from March 27, 2015 to May 25,
123 2015]. Information were available for 507 PTC patients. The list was then filtered for PTC with
124 N0, N1, N1a, N1b as well as normal thyroid tissues as control. The clinical parameters are
125 presented in Table 1.

126 Only samples with paired miRNAseq and mRNAseq data were selected, resulting in a
127 total of 477 patients' dataset which includes 213 patients with PTC LNN (N0), 205 PTC LNM-
128 P (53 patients with N1, 86 patients with N1a, 66 patients with N1b) and 59 normal thyroid
129 tissues (Supplementary Table 1). The miRNA and gene expression datasets consisting of 1046
130 human miRNAs and 20531 genes, respectively, were used for subsequent analysis.

131

132 **Survival analyses**

133 Kaplan–Meier survival analysis was carried out on disease-free and overall survival
134 duration of PTC patients for whom follow-up details were available. Curves were compared
135 by univariate (log-rank) analysis. Statistical analyses were performed using GraphPad Prism
136 version 6 (GraphPad, San Diego, CA, USA). P values ≤ 0.05 were considered significant.

137

138 **Bioinformatics analyses**

139 The miRNASeq and RNASeq V2 level 3 data from TCGA were used exclusively. The
140 normalised expression (reads per million or RPM) of all miRNAs was log₂-transformed and
141 used for fold change calculation. The RNAseq by Expectation-Maximization (RSEM) values
142 (from files with the extension .rsem.genes.results) were used to quantify messenger RNA

143 (mRNA) expression levels. The RSEM algorithm is a statistical model that estimates RNA
144 expression levels from RNA sequencing counts (Li and Dewey, 2011). We then performed the
145 Students' unpaired t-test with a Benjamini Hochberg false discovery rate (FDR) multiple testing
146 correction and \log_2 fold change calculation using Bioconductor version 3.1 (BioCInstaller
147 1.18.2) (Gentleman *et al.*, 2011) in R version 3.2.0 (R Development Core Team, 2008).
148 Downregulated genes will have negative \log_2 values while upregulated genes will have positive
149 \log_2 values. Statistical significance is denoted as $p \leq 0.05$. Heatmaps were created using GeneE
150 from the Broad Institute (<http://www.broadinstitute.org/cancer/software/GENE-E>) while Venn
151 diagrams were created using Venn online tool
152 (<http://bioinformatics.psb.ugent.be/webtools/Venn>). All other figures were created or labelled
153 using Adobe Photoshop.

154

155 **Pathway enrichment analysis and integrated analysis of miRNA and gene expression**

156 The functions and pathways of the differentially expressed genes were annotated and
157 analysed using the annotation tools from the Database for Annotation, Visualization and
158 Integrated Discovery (DAVID) (Huang *et al.*, 2009a; Huang *et al.*, 2009b). The identified
159 genes were also jointly annotated against the Kyoto Encyclopedia of Genes and Genomes
160 (KEGG) database. The genes that were annotated in the KEGG database as being involved in
161 signaling pathways were subjected to further analysis. Pathways with Benjamini-adjusted p
162 value ≤ 0.05 were considered to be statistically significant.

163 Integration of the miRNAs dataset with gene expression dataset and calculation of
164 correlation were performed in MAGIA2, a web tool for the integrated analysis of target
165 predictions, miRNA and gene expression data (Bisognin *et al.*, 2012). MiRNA target
166 predictions include transcription factor binding sites (TFBS) within miRNA and gene

167 promoters. In this analysis, matched expression data matrices of significantly dysregulated
168 miRNAs and genes (BH adjusted p value ≤ 0.05) were uploaded for integrated analysis.
169 EntrezGene IDs and DIANA-microT (Maragkakis *et al.*, 2009) target prediction algorithms
170 were selected. Anticorrelated expressions were investigated between miRNA and their putative
171 target genes using Pearson correlation measure.

172

173 **Results**

174 **The effect of lymph node status on survival duration of PTC patients**

175 Overall survival in PTC patients was not influenced by LNM status (Fig. 1A); however,
176 PTC patients with LNM has significantly shorter disease-free survival rate compared to PTC
177 patients without LNM (Log-rank Mantel Cox test, $p = 0.0049$; Fig. 1B).

178

179 **Differentially expressed miRNAs**

180 We identified 181 miRNAs which were significantly differentially expressed in PTC
181 LNM-P versus PTC LNN. On the other hand, 395 miRNAs were differentially expressed
182 between PTC LNM-P and normal thyroid while 400 miRNAs were differentially expressed
183 between PTC LNN and normal thyroid. Among the 181 miRNAs significantly expressed in
184 PTC LNM-P versus PTC LNN, 110 were upregulated and 71 were downregulated
185 (Supplementary Table 2). Figure 2 illustrates a heatmap representing the expression levels of
186 181 deregulated miRNAs in PTC LNM-P versus PTC LNN. The list of top deregulated
187 miRNAs includes hsa-miR-146b, hsa-miR-375, hsa-miR-31, hsa-miR-7-2 and hsa-miR-204
188 (\log_2 fold change 1.7, 1.3, 1, -1.1 and -1.3, respectively, Fig. 3).

189

190 **Differentially expressed genes**

191 Initial filtering revealed 8611 significantly deregulated genes in PTC LNM-P versus
192 PTC LNN, 14192 genes in PTC LNM-P versus normal thyroid and 13392 genes in PTC LNN
193 versus normal thyroid. There were 4135 upregulated and 4476 downregulated genes in PTC
194 LNM-P relative to PTC LNN. By increasing the stringency of selection to genes with \log_2 fold
195 change ≥ 1 or ≤ -1 , 407 genes were identified as strongly deregulated. Among the strongly
196 deregulated genes were *SFTPB*, *CLDN10*, *DIO1* and *MTIG* (\log_2 fold change 3.1, 2.9, -2.2 and
197 -2.5 respectively, Supplementary Table 4). Various cancer-related genes were also
198 differentially expressed significantly, including *BRAF*, *BRCA2*, *VEGFA*, *VEGFB*, *RET*,
199 *PIK3CA*, *CTNNB1* and *GNAS* (Supplementary Table 4).

200

201 **Enriched pathways in PTC LNM-P**

202 The significantly dysregulated genes in PTC LNM-P versus PTC LNN were mainly
203 enriched in 12 KEGG pathways including oxidative phosphorylation (OxPhos), Parkinson's
204 disease, focal adhesion, Alzheimer's disease, valine, leucine and isoleucine degradation,
205 pathways in cancer, cell adhesion molecules (CAMs), leukocyte transendothelial migration,
206 cytokine-cytokine receptor interaction, small cell lung cancer, Huntington's disease and
207 extracellular matrix receptor interaction (Fig. 4A). When we overlapped the results from the
208 three comparison groups (PTC LNM-P versus PTC LNN, PTC LNM-P versus normal thyroid
209 and PTC LNN versus normal thyroid), four unique pathways potentially involved in metastasis
210 to the lymph nodes were significantly enriched, namely, oxidative phosphorylation (OxPhos),
211 cell adhesion molecules (CAMs), leukocyte transendothelial migration and cytokine-cytokine
212 receptor interaction pathways (Fig. 4A). The oxidative phosphorylation pathway was the most
213 significantly perturbed ($p = 4.70E-06$) with general downregulation of 90 OxPhos-related

214 genes (Fig. 5). Focal adhesion and pathways in cancer were commonly enriched in all the three
215 group comparisons. Pathways in cancer is a collection of general cancer-related pathways and
216 is an indication that many essential carcinogenic processes may be under the influence of
217 dysregulated miRNAs (Pizzini *et al.*, 2013). On the other hand, ECM-receptor interaction
218 pathway and the valine, leucine and isoleucine degradation pathway were commonly enriched
219 only in PTC LNM-P versus PTC LNN and PTC LNM-P versus normal thyroid but were not
220 enriched in PTC LNN versus normal thyroid (Fig. 4B).

221

222 **Integrated mixed regulatory circuits, involving miRNAs, genes and TFs in PTC LNM-P**

223 To obtain a more comprehensive insight into the molecular circuits behind LNM in
224 PTC, we focused on functional miRNA-target relationships by performing an *in*
225 *silico* integration between differentially expressed miRNAs and genes using MAGIA2.
226 Transcription factors (TFs) predicted to be regulated by or regulating our sets of differentially
227 expressed miRNAs were experimentally validated interactions reported in the mirGen2.0
228 database (Friard *et al.*, 2010) and TransmiR (Wang *et al.*, 2010a), whereas the TF-gene
229 interactions were acquired from the 'TFBS conserved' track of the University of California
230 Santa Cruz (UCSC) genome annotation for humans (version hg19). Our results show that 12
231 miRNAs are involved in the strongest 200 interactions and they were identified as significant
232 by MAGIA2. Hsa-miR-147b, hsa-miR-301b, hsa-miR-375, hsa-miR-496, hsa-miR-543, hsa-
233 miR-577, hsa-miR-765, hsa-miR-892a, hsa-miR-934, hsa-miR-935, hsa-miR-940 and hsa-
234 miR-944 were predicted to regulate and/or being regulated by 3746 genes and 1987 TFs (Fig.
235 6). Hsa-miR-577 and hsa-miR-147b consistently appeared in the top 20 regulatory circuits
236 across all group comparisons. Interestingly, hsa-miR-301b appeared in both of the top 20

237 circuits in PTC LNM-P versus PTC LNN or normal thyroid but was absent in PTC LNN versus
238 normal thyroid (Supplementary fig. 3).

239

240 Discussion

241 In this study, we explored the landscape of miRNA and mRNA expression of PTC
242 using data obtained from the TCGA THCA project aiming to identify key pathways involved
243 in lymph node metastasis. Our analysis revealed 110 upregulated miRNAs and 71
244 downregulated miRNAs in PTC LNM-P versus PTC LNN. The top deregulated miRNAs
245 includes hsa-miR-146b, hsa-miR-375, hsa-miR-31, hsa-miR-7-2 and hsa-miR-204. Our
246 findings are supported by several other similar studies, and in particular hsa-miR-146b, which
247 was reported to be upregulated in PTC LNM-P versus PTC LNN (Lee *et al.*, 2013; Yang *et al.*,
248 2013; Acibucu *et al.*, 2014; Deng *et al.*, 2015).

249 Hsa-miR-146 is one of the widely studied miRNAs in thyroid cancers and has been
250 shown to be frequently upregulated in PTC (He *et al.*, 2005; Pallante *et al.*, 2006; Tetzlaff *et al.*,
251 2007; Chen *et al.*, 2008; Yip *et al.*, 2011; Chou *et al.*, 2010; Chou *et al.*, 2013; Sun *et al.*,
252 2013), anaplastic thyroid cancer (Fassina *et al.*, 2014) and follicular thyroid cancer (FTC)
253 (Wojtas *et al.*, 2014). Functional analyses of hsa-miR-146 revealed its involvement in various
254 cellular functions including migration, invasion, proliferation, colony-forming ability, cell
255 cycle, and resistance to chemotherapy-induced apoptosis in *BRAF*-mutated cell lines (Chou *et al.*,
256 2013; Deng *et al.*, 2015; Geraldo *et al.*, 2012). Using multivariate logistic regression
257 analysis, Chou and colleagues demonstrated that increased hsa-miR-146b expression is one of
258 the independent risk factors for poor prognosis in PTC, implicating the potential of this miRNA
259 as a prognostic marker (Chou *et al.*, 2013).

260 The genes targeted by hsa-miR-146b are mostly unknown, and to date there are only
261 two genes which has been reported as the direct targets of this miRNA in PTC. Geraldo *et al.*
262 (2012) reported *SMAD4*, an important member of the transforming growth factor β (TGF- β)
263 signaling pathway, as the target of hsa-miR-146b-5p (Geraldo *et al.*, 2012). The direct binding
264 of hsa-miR-146b-5p on the *SMAD4* UTR was confirmed via a luciferase reporter assay and the
265 inhibition of hsa-miR-146b-5p expression resulted in significantly increased *SMAD4* gene and
266 protein expression levels in the human PTC cell lines. Furthermore, the inhibition of hsa-miR-
267 146b-5p increased the cellular response to the TGF- β anti-proliferative signal, leading to
268 significant reduction of cell proliferation (Geraldo *et al.*, 2012). In a more recent study, the
269 Zinc Ring Finger 3 (*ZNRF3*) gene was revealed as a direct target of hsa-miR-146b-5p and this
270 miRNA was shown to stimulate cell migration, invasion and epithelial-to-mesenchymal
271 transition (EMT) by downregulating *ZNRF3* (Deng *et al.*, 2015). Another study showed that
272 *ZNRF3* inhibits Wnt signaling by interacting with FZD and LRP 5/6 complexes, hence
273 promoting Wnt receptor ubiquitination and degradation (Hao *et al.*, 2012). Hsa-miR-146b-5p
274 increases the cell surface levels of FZD6 and LRP6 via suppression of *ZNRF3*, causing
275 enhanced Wnt/ β -catenin signaling. These findings revealed a novel mechanism of hsa-miR-
276 146b-5p in mediating the induction of EMT and implied the role of *ZNRF3* as a tumor
277 suppressor in PTC (Deng *et al.*, 2015). Additional efforts to identify genes regulated by hsa-
278 miR-146b associated with LNM will eventually revealed new biomarkers that can be utilized
279 to correlate with disease outcome in PTC patients.

280 Hsa-miR-204 expression in PTC LNM-P is significantly lower than in PTC LNN. This
281 is the first report showing the downregulation of hsa-miR-204 in PTC LNM-P. This miRNA
282 was also downregulated in PTC compared to adjacent normal thyroid tissue and noncancerous
283 thyroid (Swierniak *et al.*, 2013). It is likely that hsa-miR-204 is downregulated in PTC
284 compared to normal or benign thyroid disease and is further suppressed when lymph node

285 metastasis occurs. This miRNA is known as a tumor suppressor miRNA and is downregulated
286 in various cancers including renal clear cell carcinoma (Gowrishankar *et al.*, 2014), minimal
287 deviation adenocarcinoma (MDA) of uterine cervix (Lee *et al.*, 2014) and breast cancer (Li *et*
288 *al.*, 2014). This miRNA has also been shown to have a prognostic value; low level of hsa-miR-
289 204-5p expression was correlated with LNM, advanced stage and low survival rate in
290 endometrial cancer (Bao *et al.*, 2013), and also poor prognosis in colorectal cancer (Yin *et al.*,
291 2014). *In vitro* functional analyses revealed the involvement of hsa-miR-204 in inhibiting the
292 clonogenic growth, migration and invasion of endometrial carcinoma cells (Bao *et al.*, 2013).
293 In addition, restoration of hsa-miR-204-5p expression suppressed cell proliferation, migration,
294 invasion and induced apoptosis and chemotherapeutic sensitivity in colorectal cancer cell (Yin
295 *et al.*, 2014).

296 The validated targets for hsa-miR-204 in PTC are also not well-characterized. To date
297 there is only one study investigating the functional role of hsa-miR-204 in PTC (Liu *et al.*,
298 2015). Enforced expression of hsa-miR-204-5p inhibited cell proliferation and induced
299 apoptosis and cell cycle arrest in PTC cell lines (TCP-1 and BCPAP). In addition, hsa-miR-
300 204-5p also inhibits PTC cell tumorigenicity *in vivo* (Liu *et al.*, 2015). Bioinformatics
301 prediction analyses using three algorithms (miRanda, Pictar, and TargetScan) revealed the
302 insulin-like growth factor-binding protein 5 (IGFBP5), a gene playing an essential role in
303 carcinogenesis (Beattie *et al.*, 2006), as a potential target of hsa-miR-204-5p. Luciferase
304 reporter assay confirmed the direct binding of hsa-miR-204-5p to the 3' UTR of *IGFBP5* (Liu
305 *et al.*, 2015). In the same study, hsa-miR-204-5p and *IGFBP5* expression were also shown to
306 be inversely correlated. Their findings confirmed the role of hsa-miR-204-5p as a tumor
307 suppressor in PTC and revealed the potential use of this miRNA as a therapeutic agent in the
308 treatment of PTC.

309 In an attempt to identify genes and pathways associated with mortality in PTC, Nilubol
310 et al. (2011) performed genome-wide expression (GWE) analysis in 64 PTC patients and
311 identified the oxidative phosphorylation pathway as one of the significantly perturbed
312 pathways. In addition, Lee and colleagues also showed that the expression of OxPhos gene sets
313 was significantly lower in primary PTC than in matched normal thyroid tissue (Lee et al.,
314 2015a). Our findings revealed a similar trend with OxPhos genes being significantly
315 downregulated in PTCs versus normal thyroid tissues as well as in PTC LNM-P versus PTC
316 LNN. However, significant enrichment of OxPhos pathway was only observed in PTC LNM-
317 P compared to PTC LNN. Alteration in metabolic processes has been considered as an
318 indispensable component of malignant transformation (Lee et al., 2015a) thus the involvement
319 of oxidative phosphorylation in LNM in PTC necessitates further investigation.

320 Oxidative phosphorylation is a process whereby an adenosine triphosphate (ATP) is
321 produced as a result of electrons transfer from nicotinamide adenine dinucleotide (NADH) or
322 flavin-adenine dinucleotide (FADH₂) to oxygen by a series of electron carriers (Berg et al.,
323 2002). The thyroid gland is an endocrine organ with a high energy consumption and oxidative
324 processes are crucial for thyroid hormone synthesis (Lee et al., 2015b). The mitochondria is
325 responsible for providing 90% of the cellular energy necessary for various biological functions
326 through oxidative phosphorylation and plays an important role in energy metabolism in the
327 normal thyroid gland and in thyroid tumors (Kim et al., 2012). The mitochondria affects many
328 cell signaling pathways by playing crucial roles in apoptosis, cell proliferation and cellular Ca²⁺
329 homeostasis (Rustin 2002). Mitochondrial DNA (mtDNA) content was shown to be higher in
330 PTC compared to the paired normal DNA and in normal controls (Mambo et al., 2005). Despite
331 advancement in the elucidation of molecular events underlying thyroid carcinogenesis in the
332 last decade, the function and nature of energy metabolism in thyroid cancer remain unclear
333 (Lee et al., 2015b).

334 In addition to oxidative phosphorylation, we also identified significant enrichment of
335 other cancer-related pathways such as cell adhesion molecules (CAMs), leukocyte
336 transendothelial migration and cytokine-cytokine receptor interaction pathways which were
337 unique to PTC LNM-P versus PTC LNN. Interestingly, these pathways were not significantly
338 enriched when PTCs (LNM-P and LNN) were compared to normal thyroid tissues. Cell
339 adhesion is a vital feature in cell migration, growth and differentiation in vertebrate cells
340 (Okegawa et al., 2004). CAMs have been implicated in a wide variety of cellular functions
341 including apoptosis, signal transduction, cellular communication, as well as inflammatory and
342 immune responses (Okegawa et al., 2004). For metastasis to happen, the cancer cells must enter
343 the bloodstream or lymphatic circulation, which probably involves the degradation of
344 intercellular adhesion thus making CAMs the probable contributors in the development of
345 metastatic disease (Hanahan and Weinberg 2011). CAMs are likely to be involved too in
346 transendothelial migration which is also required for metastasis to occur. Transendothelial
347 migration is a biological process that comprises of continuous breaking and reconstructing of
348 intercellular contacts and is accompanied by alterations in cell shape and cytoskeletal
349 reorganization in the tumor cell and its adjacent endothelial cells (Voura et al., 1998). It occurs
350 via diapedesis, whereby the cells move in an ameboid manner through compactly adjoining
351 endothelial borders and/or through the endothelial cell itself (Muller 2011). We found that the
352 leukocyte transendothelial migration pathway was significantly enriched in PTC LNM-P
353 versus PTC LNN. In addition, the expression levels of genes involved in cytokine-cytokine
354 receptor interaction were also significantly altered in PTC LNM-P versus PTC LNN. Cancer
355 cells are known to express cytokine receptors on their cell surface (Koji et al., 2002). Cytokine
356 receptors are glycoproteins that bind precisely to cytokines and transduce their signals. These
357 receptors permit cells to communicate with the extracellular environment by reacting to signals
358 produced in the environs or in other parts of the organism (Bagley et al., 1997). Therefore, the

359 first binding of cytokines to their receptors is a main event that occurs quickly, at very low
360 cytokine concentrations, is usually irrevocable, and leads to intracellular alterations resulting
361 in a biologic response (Bagley et al., 1997). The biological response can differ between
362 cytokine receptors and from cell to cell but generally it includes gene expression, cell cycle
363 and discharge of mediators such as cytokines themselves (Bagley et al., 1997). Taken together,
364 it could be hypothesized that metastasis to the lymph node in PTC occurred via changes in
365 oxidative phosphorylation, CAMs, leukocyte transendothelial migration and cytokine-cytokine
366 receptor interaction. However, some pathways in our analysis, such as valine, leucine and
367 isoleucine degradation, could not be associated with oncogenesis or metastasis and may need
368 further investigation.

369 Our integrated analysis revealed hsa-miR-301b's presence in the top 20 circuits in both
370 PTC LNM-P versus PTC LNN and PTC LNM-P versus normal thyroid but was absent in PTC
371 LNN versus normal thyroid despite significant downregulation with modest fold change (\log_2
372 fold change of -0.3). Hsa-miR-301 is located in the intronic region of *SKA2* (spindle and
373 kinetochore associated complex subunit 2) and belongs to the hsa-miR-130 microRNA
374 precursor family (Chao et al., 2010). In contrast to our findings, hsa-miR-301 upregulation has
375 been reported in various cancers of non-thyroid origins and given that a miRNA can act either
376 as an oncomiR or tumor suppressor depending on the cellular context and tissue type (Garzon
377 et al., 2009), this observation is not unexpected. There is no evidence of hsa-miR-301b
378 dysregulation in PTC so far, but it was reported to be upregulated in follicular thyroid adenoma
379 compared to normal thyroid tissue (Rossing et al., 2012). It is also upregulated in CRC without
380 LNM in comparison to paracancerous control (Wang et al., 2010b). The inhibition of hsa-miR-
381 301 decreased breast cancer cell proliferation, clonogenicity, migration, invasion, tamoxifen
382 resistance, tumor growth and microvessel density, further establishing this miRNA as an

383 oncomiR (Shi et al., 2011). *FOXF2*, *BBC3*, *PTEN*, and *COL2A1* were confirmed as its direct
384 targets through luciferase reporter assays (Shi et al., 2011).

385 Transcription factors (TFs) are a group of proteins involved in the initiation of
386 transcription and are important for the regulation of genes. Majority of oncogenes and tumor
387 suppressor genes encode the TFs (Ell and Yang 2013). Dysregulation of oncogenic or tumor
388 suppressive TFs could influence multiple steps of the metastasis cascade, leading to cancer
389 progression (Ell and Yang 2013). The involvement of TFs in PTC has been investigated since
390 decades ago and several thyroid-specific TFs have been identified (Guazzi et al., 1990; Fabbro
391 et al., 1994). Most recently, the glioma-associated oncogene homolog 1 (GLI1) has been
392 identified as a TF marker for LNM in PTC and it affects tumor aggressiveness via the
393 Hedgehog signaling pathway (Lee et al., 2015c). The hepatic leukemia factor (HLF) is the only
394 TF which appeared in the top 20 circuits of PTCs with or without LNM versus normal thyroid
395 from our integrated analysis. On the other hand, REL was identified in the top 20 circuits only
396 in PTC LNM-P in comparison to PTC LNN and will be discussed further in the following
397 section.

398 The HLF is a transcription factor that facilitates thyroid hormone activation from the
399 thyroid hormone receptor/retinoid X receptor heterodimer to hypoxia-inducible factor (HIF-
400 1α) (Otto and Fandrey 2008). Triiodothyronine (T3) indirectly increases HIF- 1α mRNA by
401 increasing the expression of HLF, subsequently initiating the transcription of HIF- 1α
402 transcription factor (Burrows et al., 2011). HIF is another transcription factor which acts under
403 hypoxia and thus is active in a number of diseases associated with low oxygen environment
404 including cancer (Burrows et al., 2011). In fact, the HIF- 1α protein was differentially expressed
405 in primary thyroid cancers associated with advanced stage; its expression was suppressed in
406 normal thyroid tissue and was highest in the most aggressive dedifferentiated anaplastic thyroid

407 carcinomas (ATCs) (Hanada et al., 2004), supporting its role for thyroid tumor aggressiveness,
408 progression as well as metastasis.

409 The REL or NF-kappaB (NFkB) proteins are a family of structurally-related eukaryotic
410 transcription factors involved in the regulation of various normal cellular processes including
411 immune and inflammatory responses, developmental processes, cellular growth as well as
412 apoptosis (Caamaño and Hunter 2002). Hence, REL/NFkB TFs are active in many disease
413 conditions including thyroid cancer (Pacifico and Leonardi 2010). Mutation in the oncogene
414 which is involved in thyroid carcinogenesis such as *BRAF* V600E, is able to induce activation
415 of NFkB in PTC *in vitro* (Palona et al., 2006). In our study we identified the significant
416 involvement of REL/NFkB in lymph node metastasis of PTC which is in concordance with
417 published data (Du et al., 2006).

418

419 **Conclusions**

420 In summary, we found evidence of five miRNAs differentially expressed in PTC LNM-
421 P. Enrichment analysis revealed that alteration in oxidative phosphorylation pathway could be
422 a key event involved in the lymph node metastasis of PTC suggesting that manipulation of the
423 energy metabolism processes may provide an alternative therapeutic target for tackling
424 metastasis or recurrence. In addition, via the integrated analysis we discovered that hsa-miR-
425 301b might be involved in regulating LNM in PTC via interactions with HLF, HIF and
426 REL/NFkB. As far as we know, the roles of these TFs have been explored in PTC; however
427 the exact roles of this miRNA with these TFs in LNM in PTC have not been studied. Hence,
428 further investigation is necessary for future research in order to completely unravel the
429 mechanism of LNM in PTC.

430

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435

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685

Table 1 (on next page)

Patient Characteristics and Integrated Profiles in the TCGA PTC Cohort

1 Table 1. Patient Characteristics and Integrated Profiles in the TCGA PTC Cohort

Variables	PTC LNN		PTC LNM-P	
	N0 (n = 213)	N1 (n = 53)	N1a (n = 86)	N1b (n = 66)
Age range (years)	15 - 85	19 - 83	18 - 83	19 - 89
Mean age	49.4	41.9	43.5	48.4
Gender (n)				
Male	50 (23.5%)	14 (26.4%)	25 (29.1%)	27 (40.9%)
Female	163 (76.5%)	39 (73.6%)	61 (70.9%)	39 (59.1%)
Disease free status				
Recurred/progressed	5 (2.3%)	7 (13.2%)	6 (7%)	6 (9.1%)
Disease free	178 (83.6%)	41 (77.4%)	75 (87.2%)	47 (71.2%)
Unknown	30 (14.1%)	5 (9.4%)	5 (5.8%)	13 (19.7%)
Disease free (range in months)	0.03 - 155	0 - 131	0 - 157	0.2 - 46
Mean disease free survival	23.6 (n = 183)	34.5 (n = 48)	21.5 (n = 81)	13.5 (n = 53)
Overall survival status				
Deceased	35 (16.4%)	12 (22.6%)	11 (12.8%)	19 (28.8%)
Alive	178 (83.6%)	41 (77.6%)	75 (87.2%)	47 (71.2%)
Overall survival (range in months)	0.03 - 155	0 - 131	0 - 157	0.2 - 97.7
Mean overall survival	24.3 (n = 182)	35.2 (n = 43)	21.4 (n = 75)	15.2 (n = 50)
Extrathyroidal extension				
None	160 (75.1%)	31 (58.5%)	49 (57%)	37 (56.1%)
Minimal (T3)	42 (19.7%)	14 (26.4%)	33 (38.4%)	23 (34.8%)
Moderate/ advanced (T4a)	3 (1.4%)	5 (9.4%)	1 (1.2%)	4 (6.1%)
Very advanced (T4b)	0 (0%)	1 (1.9%)	0 (0%)	0 (0%)
Unknown	8 (3.8%)	2 (3.8%)	3 (3.5%)	2 (3%)
BRAF status				
Mutated	94 (44.1%)	25 (47.2%)	53 (61.6%)	32 (48.9%)
Wild type	119 (55.9%)	28 (52.8%)	33 (38.4%)	34 (51.5%)

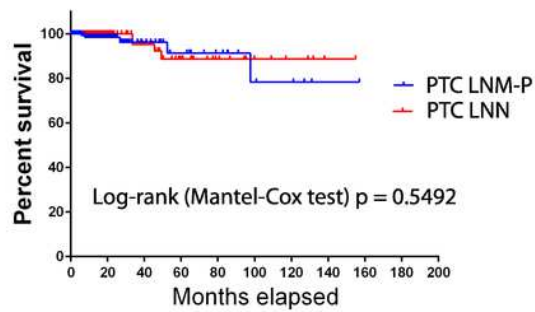
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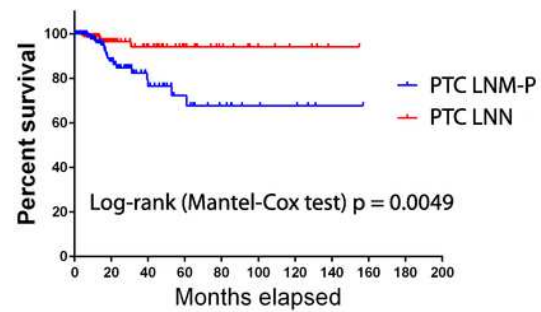
1

Survival analysis of PTC with LNM and PTC without LNM

A Overall survival

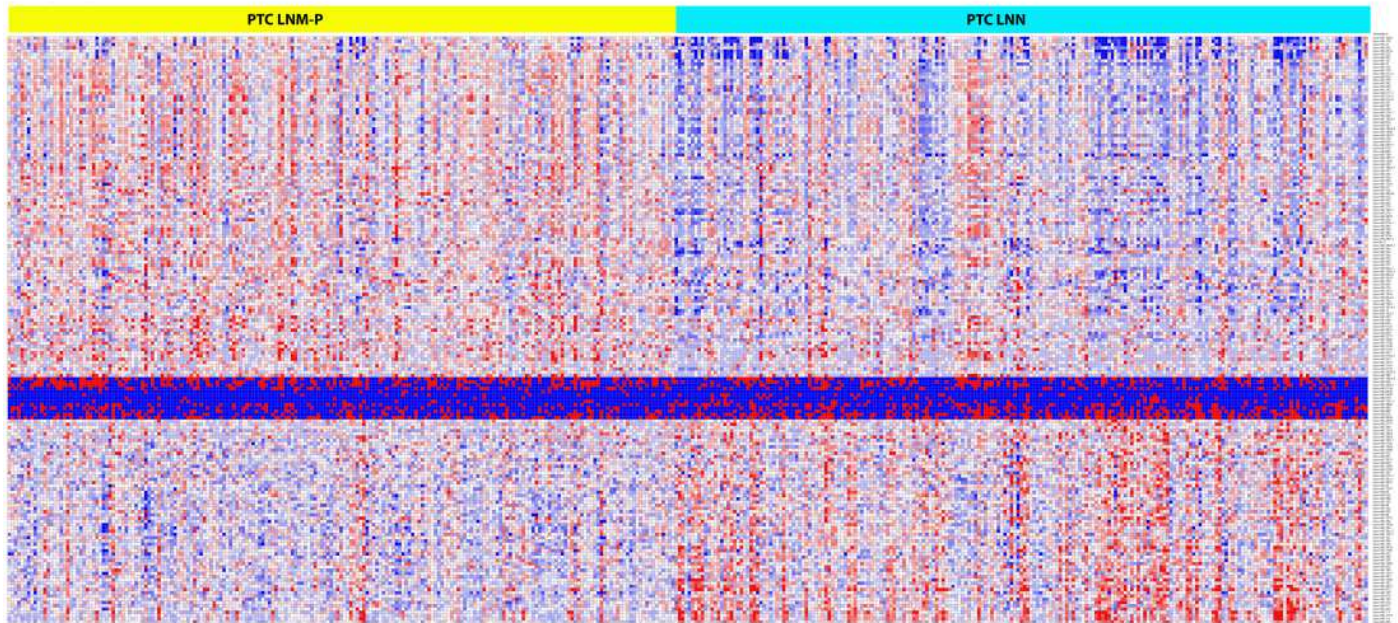


B Disease-free survival



2

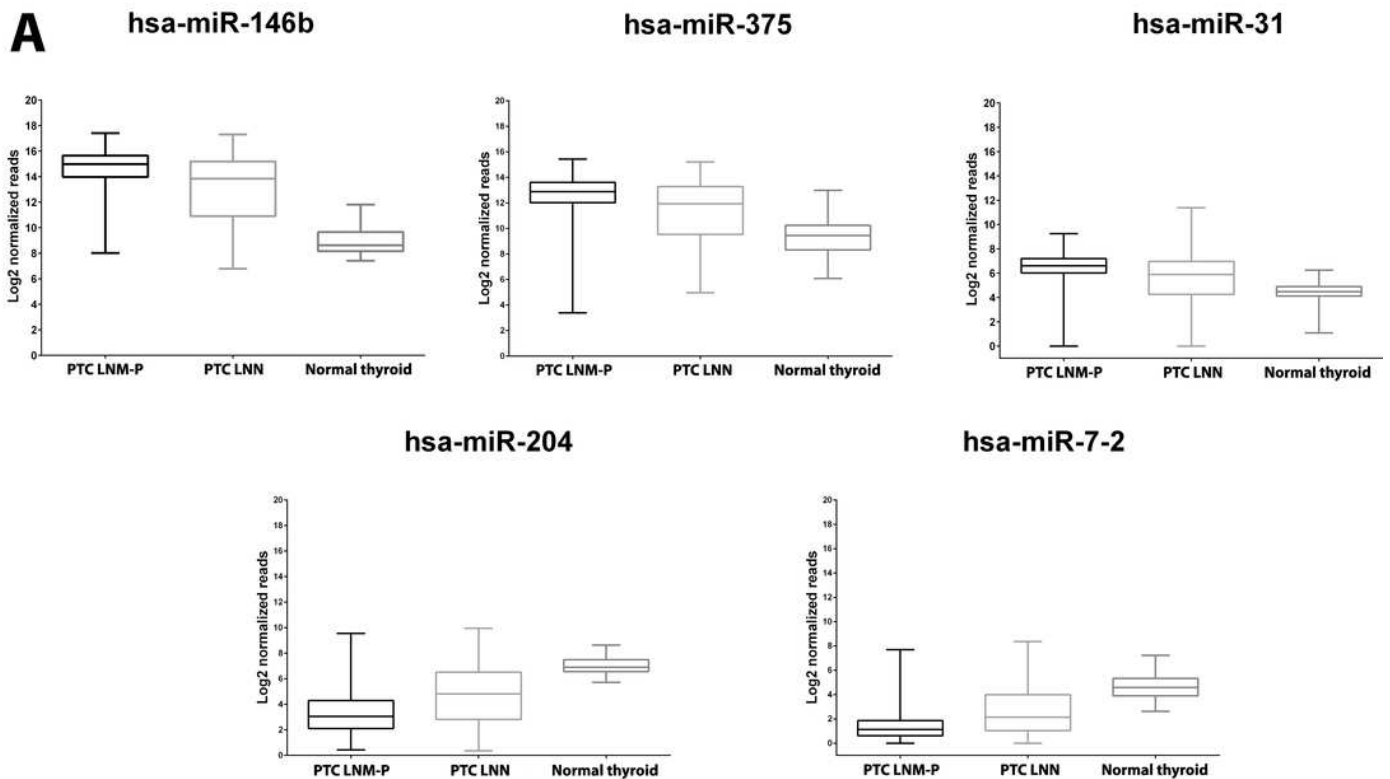
Heat Map of the 181 Differentially Expressed miRNAs in PTC LNM-P and LNN (Student's T-test with BH corrected p value ≤ 0.05).



3

Expression levels of five selected miRNAs deregulated in PTC.

Boxplots (A) illustrate log₂ normalized miRNA reads in PTC LNM-P, PTC LNN and normal thyroid. Table (B) showing log₂ fold change and p value of selected miRNAs in PTC LNM-P compared to PTC LNN.

**B**

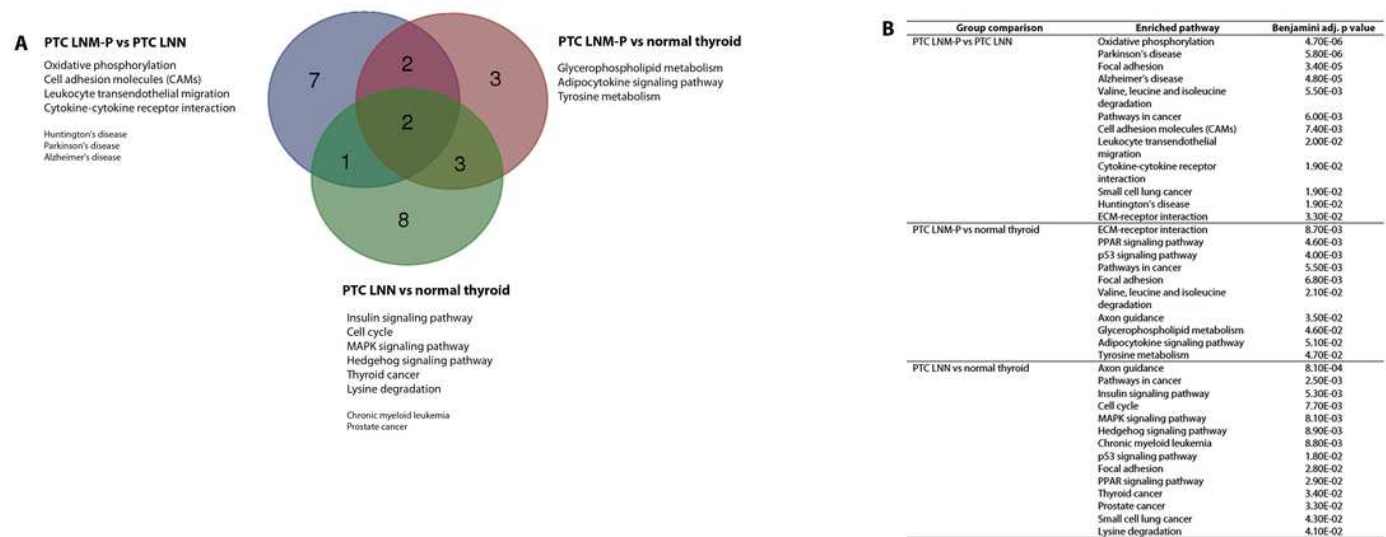
miRNA ID	Log ₂ fold change	BH adj. p value
hsa-miR-146b	1.7	1.41E-03
hsa-miR-375	1.4	3.00E-02
hsa-miR-31	1.0	4.59E-09
hsa-miR-7-2	-1.1	3.00E-02
hsa-miR-204	-1.4	2.11E-05

Student's T-test with BH corrected p-value ≤ 0.05 ; log₂ fold change ≥ 1 or ≤ -1 .

4

Significantly enriched pathways in PTCs.

Significant KEGG pathway associations to 8611 significantly deregulated genes in PTC LNM-P versus PTC LNN, 14192 genes in PTC LNM-P versus normal thyroid and 13392 genes in PTC LNN versus normal thyroid.



5

KEGG pathway map illustrating oxidative phosphorylation in human.

The OxPhos-related genes significantly altered in PTC LNM-P compared to PTC LNN were depicted with red star.

