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1 **Bacteria associated to human saliva are major microbial components of Ecuadorian**
2 **indigenous beers (*chicha*)**

3

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6

7 **Subjects:** Food Microbiology, Anthropology

8 **Keywords:** Lactic acid bacteria, indigenous beer, fermentation, cassava, *chicha*, Ecuador,

9 microbiota, indigenous beer, *Streptococcus salivarius*, artisanal fermented beverages, *Streptococcus*

10 *mutans*, fermented cassava, lactic acid bacteria, saliva, chewed indigenous beer

11

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13 **ABSTRACT**

14 Indigenous beers (*chicha*) are part of the indigenous culture in Ecuador. The
15 fermentation process of these beers relay probably on microorganisms from: fermenting
16 substrates, environment and human microbiota. We analyzed the microbiota of artisanal beers
17 (including a type of beer produced after chewing boiled cassava) using bacterial culture and
18 16S-based tag-encoded FLX amplicon pyrosequencing (bTEFAP). Surprisingly, we found that
19 *Streptococcus salivarius* and *Streptococcus mutans* (part of the human oral microbiota) were
20 among the most abundant bacteria in chewed cassava and in non-chewed cassava beers. We
21 also demonstrated that *S. salivarius* and *S. mutans* (isolated from these beers) could proliferate
22 in cassava mush. *Lactobacillus* sp. was predominantly present in most types of Ecuadorian
23 *chicha*.

24

25 **INTRODUCTION**

26 The domestication of fermenting bacteria and yeast predated the domestication of
27 animals and plants; ancestral hominids adapted to metabolize alcohol long time before the
28 Neolithic period (*Carrigan et al., 2015*). The organoleptic and psychotropic effects associated
29 to the consumption of accidentally fermented fruits or cereals may have motivated early
30 humans to replicate this process. Additionally, fermentation may have provided unintended
31 benefits as fermenting bacteria may have reduce the risks of food borne diseases in ancient
32 societies (*Nakamura et al., 2012; Lewus et al., 1991; Fooks & Gibson, 2002; Tesfaye et al.,*
33 *2011*); it is still unclear whether these microorganisms confer additional health benefits
34 (*McNulty NP, 2011*). The use of alcoholic beverages has played a crucial role in the evolution
35 of human societies (*Joffe, 1998*), nevertheless, very little is known about the process of
36 domestication and evolution of these fermenting microorganisms (*Libkinda, et al., 2011*).

37 Many fermenting microorganisms have originated in the environment and food
38 substrates (*Martini, 1993*), others resemble microorganisms found in the human microbiome
39 suggesting human (skin or intestine) origins (*Agapakis & Tolaas, 2012*); in fact some modern
40 fermented dairy products contain intestinal bacteria (*Walter, 2008*).

41 Indigenous people from South America (such as Ecuador) prepare a type of beer known
42 as *chicha* which is made with either corn, boiled cassava or the fruit of the palm *Bactris*
43 *gasipaes* (chonta); some cassava beers include an additional chewing step before the
44 fermentation process. We analyzed the microbial diversity (using culture and culture-
45 independent techniques) in different types of Ecuadorian *chicha*.

46

47 MATERIALS & METHODS

48 Sample collection

49 Four samples of *chicha* (indigenous beer) from two geographical regions of Ecuador
50 (Andean and Amazon regions) were collected. These samples included beer made with either
51 chewed cassava (CC), mashed cassava (MC), mashed chonta (CB) and grinded corn (CoB)
52 (Table 1). The samples of CC and MC were purchased from the same household. All these
53 products were obtained from rural communities. None of these beers were pasteurized, nor had
54 they any commercial additives or preservatives. All samples were refrigerated (2 to 8° C) after
55 collection; a 2 mL aliquot of sample was stored at -20°C, for molecular phylotyping.

56 Plate count of lactic acid bacteria (LAB)

57 A 20 mL aliquot of each sample was homogenized in 180 mL of a sodium citrate
58 solution (10^{-1} dilution) and ten-fold dilutions were made in saline solution (NaCl 0.9%). One
59 mL of each dilution was inoculated in MRS (pH 5) and M17 (pH 7, 0.5% dextrose) by pour
60 plate method. Two incubation temperatures were used (37°C and 43°C) under aerobic and
61 anaerobic conditions, for 3 to 5 days. The incubation time varied because of the different
62 bacteria present on each product.

63 Phenotypic characterization

64 Ten to twenty colonies (showing different morphology) were randomly picked from
65 each sample. Six to ten colonies that had the characteristics of lactic acid bacteria (oxidase
66 negative, catalase negative, Gram positive rods) were isolated for further characterization.
67 Strains were stored at -20°C in MRS or M17 broth with 20% of glycerol.

68 Genotypic characterization of bacterial colonies

69 From each colony the 16S ribosomal gene was amplified and sequenced. DNA
70 extraction was performed with the DNAzol Reagent (Life Technologies, 2001). One pure

71 colony was needed, and all the steps were followed as recommended by the manufacturer.
72 DNA was stored at -20°C until used. The 16S ribosomal gene was amplified in 25ul containing:
73 1X PCR buffer, 2.5mM MgCl₂, 0.25mM dNTP's, 0.2uM 27F primer (5'-
74 AGAGTTTGATCCTGGCTCAG-3'), 0.2uM 1492R primer (5'-
75 GGTTACCTTGTTACGACTT-3') (Martin, et al., 2001), 0.5U GoTaq Flexi DNA polymerase
76 (Promega, Madison), 5uL of sample DNA and Milli-Q water. The times and temperatures used
77 for the amplification were: denaturation (94°C, 1 minute), annealing (56°C, 30 seconds),
78 elongation (72°C, 30 seconds), extension (72°C, 30 seconds), final extension (72°C, 10
79 minutes); this routine was repeated for 30 cycles. Amplicons were analyzed by gel
80 electrophoresis in a 1% agarose gel, sequenced (Functional Biosciences) and sequences
81 compared to BLAST GenBank Database (GenBank accession numbers from KT722809 to
82 KT722833).

83 **High throughput sequencing analysis**

84 In order to complement the culture-based protocols, we investigated the microbial
85 diversity using FLX amplicon pyrosequencing. DNA was extracted from all beer samples using
86 DNeasy Plant Mini kit (Qiagen) following manufacturer's protocols, but instead of using AE
87 buffer for elution, we used same volume of PCR Milli-Q water. DNA samples from four types
88 of beer were sent to CD Genomics (NY, USA), for 16S-based phylotyping.

89 ***Streptococcus salivarius* and *Streptococcus mutans* growth in cassava solution**

90 To rule out the possibility of *S. salivarius* or *S. mutans* contamination, one colony of a
91 pure culture of each bacteria (obtained from beers) was diluted in 25mL of sodium citrate (2%)
92 separately. Subsequently, 1 mL of this cell suspension was used to inoculate tubes containing
93 9mL of sterile (autoclaved) chewed cassava solution (10%) and incubated at 37°C under
94 anaerobic conditions. A 100 µL aliquot from each incubated tube was extracted and plated in

95 M17 (this was done by triplicate) at 0, 24, 48 and 72 hours of inoculation. Results from each
96 day were compared to determine the ability of these bacteria to grow in chewed cassava
97 solution.

98 **Statistical analysis**

99 We used Mann-Whitney U test to test whether *S. salivarius* and *S. mutans* were able to
100 grow in cassava solution. Shannon indices were calculated using the formula $H =$
101 $-\sum p_i \log(p_i)$, p_i being the relative frequency of the abundance of each species found. Pielou's
102 evenness index was calculated using the formula $E = H/\log(n)$, n being the number of species
103 found in each beverage (Hayek & Buzas, 2010; Pielou, 1966).

104 **RESULTS**105 **Characterization of bacterial isolates**

106 Twenty-five bacterial isolates (cultured from the 4 beer types) were characterized by
107 16s rDNA sequencing showing 99% to 100% identity when compared with GenBank
108 sequences (Table 2). The predominant bacterial species in all beers were *Lactobacillus*
109 *fermentum* (16%), *Lactococcus lactis* (16%), *Leuconostoc mesenteroides* (16%), and
110 *Streptococcus salivarius* (16%); followed by *Lactobacillus plantarum* (8%), *Weissella confuse*
111 (8%), *Lactobacillus casei* (4%), *Lactobacillus pantheris* (4%), *Lactobacillus parabuchneri*
112 (4%), *Lactobacillus paracasei* (4%) and *Streptococcus mutans* (4%). The most diverse
113 bacterial composition (using culture-dependent techniques) found in CoB (6 bacterial species),
114 followed by the CC (5 bacterial species), CB (3 bacterial species) and MC (2 bacterial
115 species). Intriguingly cassava beers contained human salivary bacteria: both CC and MC, had
116 *Streptococcus salivarius* while CC had also *S. mutans* (Table 2).

117 **High throughput sequencing analysis**

118 The beer with greater diversity was CC (31 bacterial species), followed by CoB (26
119 bacterial species), CB (21 bacterial species), MC (20 bacterial species). The predominant
120 bacterial species in CC were *Lactocacillus* spp. (40.9%) followed by human microbiota
121 bacteria: *Streptococcus salivarius* (31.94%), *Streptococcus parasanguinis* (5.41 %),
122 *Streptococcus pneumoniae* (3.65%). The most prevalent bacteria in MC were *Streptococcus*
123 spp. (83%) followed by *Lactococcus* sp. (9.32%); the majority of streptococci have been
124 described as part of the human microbiota: *Streptococcus salivarius* (65%), *Streptococcus*
125 *pasteurianus* (7.74%), and *Streptococcus parasanguinis* (3.47%). The most prevalent bacteria
126 in CB were *Weissella confusa* (46%), *Weissella* sp. (20%), and *Lactococcus lactis* (9%). The

127 dominant bacteria in CoB were *Weissella* sp. (19%) and *Lactobacillus plantarum* (12.5%),
128 *Lactococcus garviae* (2.76%) *Lactobacillus brevis* (2.5 %) (Table 3).

129 **Growth of *S. salivarius* and *S. mutans* in cassava solution**

130 *Streptococcus salivarius* (Figure 1) and *S. mutans* (Figure 2) grew in chewed cassava solution.
131 After 48 hours of culture (*S. salivarius*) and 72 hours (*S. mutans*), bacterial counts went down.

132 **Diversity estimations**

133 CC was the beverage with the most species diversity (H=1.06, E= 0.71), followed by CoB
134 (H=0.94, E=0.66), CB (H=0.71, E=0.54), and MC (H=0.59, E=0.45). The evenness values
135 followed the same pattern and suggest that CC is also the most heterogeneous in terms of
136 species (Hayek, 2010; Pielou, 1966).

137

138 **DISCUSSION**

139 Our study found higher bacterial diversity in beer that contained human saliva (Tables
140 2 and 3); therefore, saliva may not only speed up the fermentation process (by providing
141 amylases as suggested by Henkel, 2005) but also may provide an additional bacterial inoculum
142 which may favor this process. This finding may provide additional explanation for the adoption
143 of such a peculiar process in the beer's manufacture.

144 Our study also demonstrate that oral streptococci such as *S. salivarius*, *S. mutans*, *S.*
145 *parasanguinis* in cassava beers; these bacteria may thrive on carbohydrates present in the oral
146 cavity after starchy meals (Moye, et al., 2014; Burne, et al., 1998). Oral bacteria *S. salivarius*
147 and *S. mutans* were cultured from chicha made with cassava in which these bacteria were
148 present in large numbers. Oral bacteria in beer without human saliva may indicate
149 contamination of fermenting containers (and other utensils) or presence of bacterial biofilms
150 in containers, as found in other bacteria from African traditionally fermented milk (Kebede et
151 al., 2007). Additionally both chewed and non-chewed chichi was obtained from the same
152 household. Oral streptococci proliferate in sterile cassava mush as showed in our experiments,
153 Figures 1 and 2.

154 *Streptococcus salivarius* is a homofermentative and is closely related to *Streptococcus*
155 *thermophilus*, which is one of the microorganisms mostly used as starter culture (Burton, et al.,
156 2006); *S. mutans* is one of the principal causative agents of dental plaque and dental cavities
157 (Loesche, 1986); *Streptococcus mutans* can be transmitted person to person (Baca et al., 2012)

158 A recent study failed to detect *S. mutans* and *S. salivarius* in *chicha* prepared with
159 chewed cassava in Ecuador (Colehour, et al., 2014). The disagreement between both studies
160 may result from differences in samples in both studies; Colehour, et al., 2014 collected beers
161 that were fermenting for 4 days while we collected samples that were fermenting for 3 days.

162 Beer microbiota changes overtime (*Steinkraus, 2006*) and in the case of *S. mutans* and *S.*
163 *salivarius* we observed a sharp increase and decline in bacterial populations in 24 hours
164 (Figures 1 and 2). Unlike Colehour, et al., 2014, we also carried out bacterial cultures.

165 Reduction on streptococci populations may be due to the consumption of all the
166 nutrients, accumulation of toxic metabolites, autolysis. (*Dufour & Lévesque, 2013*). Also, these
167 bacteria are known to form biofilm (*Ajdic, et al., 2002; Li, et al., 2002*) which may change
168 bacterial location and reduction of planktonic cells. Additionally, unlike our study Colehour,
169 et al., 2014 found predominance of *L. reuteri* which is known to antagonize *S. salivairus*
170 (*Nikawa, et al., 2004 ; Corby, et al., 2005*). Similar to previous studies (*Colehour, et al., 2014;*
171 *Elizaquivel, et al., 2010; Puerari, et al., 2015*), *Lactobacillus* was a dominant genus of lactic
172 bacteria in chicha found in both culture dependent and independent assessments.

173 Our study complements previous microbiological analysis carried out in *chicha* and
174 shows for the first time the potential adaptation of *S. salivarius*, *S. mutants* (and possibly other
175 streptococci from the human upper respiratory tract) to grow in cassava mush. The study not
176 only shows how bacteria from human microbiota may adapt to artisanal fermentative processes
177 but also shows that chewed chicha may potentially transmit human pathogens. The main
178 limitation of our study was the low number of samples analyzed of each beer. However this
179 limitation does not invalidate the main findings of this study.

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181

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186 **Competing Interests**

187 The authors declare there are no competing interests.

188 **Author Contributions**

- 189 • Gabriel Trueba and Sonia Zapata designed the experiments and reviewed the
- 190 paper.
- 191 • Ana L. Freire performed the experiments and prepared the paper.
- 192 • Juan Mosquera performed some experiments.

193

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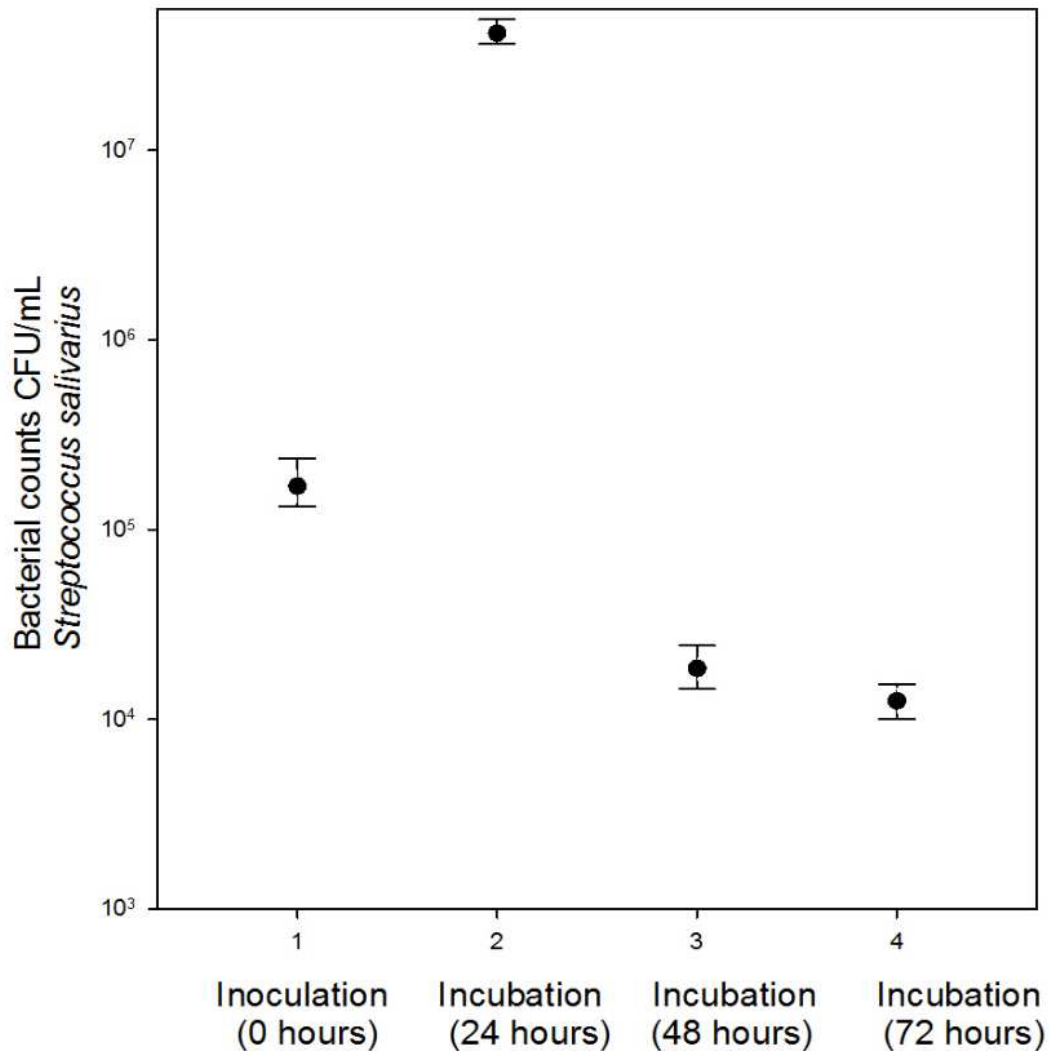
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FIGURES

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290 **Figure 1. Growth of *S. salivarius* in sterile chewed cassava solution.** There is a significant

291 increase in CFU (Mann-Whitney U test) at the 24 hours of incubation compared with those at

292 inoculation time (0 hours).

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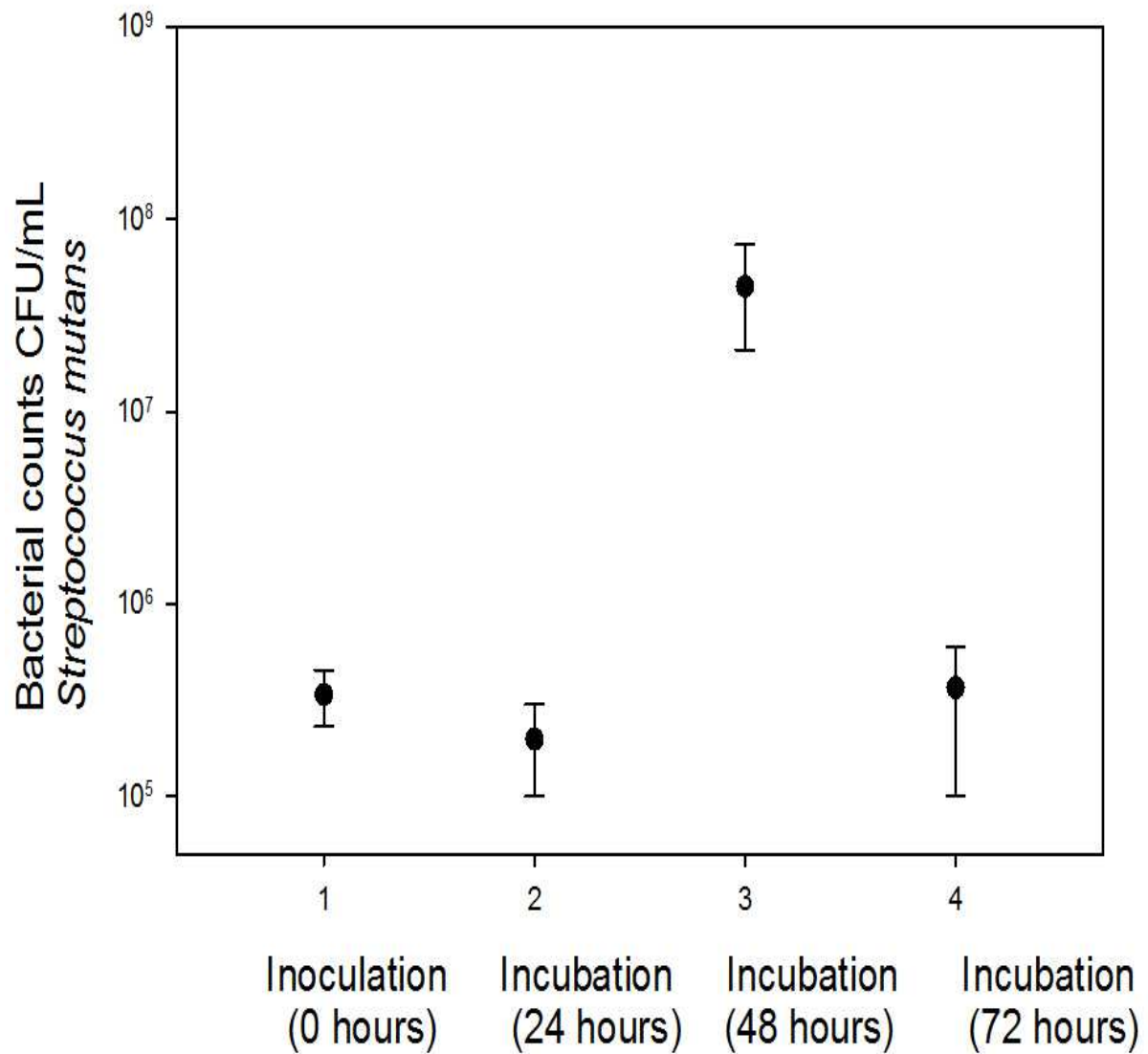
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305 **Figure 2. Growth of *S. mutans* in chewed cassava solution.** There is a significant increase in
306 CFU (Mann-Whitney U test) at the 48 hour of incubation compared with those at the
307 inoculation time (0 hours).

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311 **Table 1. Description and site of collection of the different types of indigenous beers**
312 **analyzed.**

Main ingredient	Substrate Scientific name	Geographic al region	Site of collection	Time of fermentation
Chewed cassava	<i>Manihot esculenta</i>	Amazon	Puyo	3 days
Mushed cassava	<i>Manihot esculenta</i>	Amazon	Puyo	3 days
Chonta	<i>Bactris gasipaes</i>	Amazon	Tena	2 days
Corn (jora)	<i>Zea mays</i>	Highlands	Pifo	2 days

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328 **Table 2. Bacteria isolated from the four beer samples.** All the 25 strains were obtained by
 329 bacterial cultures MRS and M17 and 16s ribosomal gene from colonies was amplified and
 330 sequenced.

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Sample	Isolate ID	Culture Media	Growth condition	Identification (16S)
Chewed cassava beer	25 A2	MRS	Anaerobic	<i>Leuconostoc mesenteroides</i>
	25 C2	MRS	Aerobic	<i>Lactobacillus fermentum</i>
	25 E2	M17	Anaerobic	<i>Streptococcus mutans</i>
	25 F1	M17	Aerobic	<i>Lactococcus lactis</i>
	25H1	M17	Aerobic	<i>Streptococcus salivarius</i>
Mushed cassava beer	26 A1	MRS	Anaerobic	<i>Lactobacillus fermentum</i>
	26 B1	MRS	Anaerobic	<i>Lactobacillus fermentum</i>
	26 C2	MRS	Aerobic	<i>Lactobacillus fermentum</i>
	26 E2	M17	Anaerobic	<i>Streptococcus salivarius</i>
	26 F2	M17	Anaerobic	<i>Streptococcus salivarius</i>
	26 G1	M17	Aerobic	<i>Streptococcus salivarius</i>
Chonta beer	27 A1	MRS	Anaerobic	<i>Lactobacillus plantarum</i>
	27 B1	MRS	Anaerobic	<i>Weissella confusa</i>
	27 C1	MRS	Aerobic	<i>Weissella confusa</i>
	27 E1	M17	Aerobic	<i>Lactococcus lactis</i>
	27 F2	M17	Anaerobic	<i>Lactococcus lactis</i>
	27 G2	M17	Aerobic	<i>Lactococcus lactis</i>
Corn beer	61 B2	MRS	Anaerobic	<i>Lactobacillus casei</i>
	61 G1	M17	Anaerobic	<i>Leuconostoc mesenteroides</i>
	61 G2	M17	Anaerobic	<i>Lactobacillus plantarum</i>
	61 H1	MRS	Anaerobic	<i>Lactobacillus parabuchneri</i>
	61 I1	MRS	Anaerobic	<i>Lactobacillus paracasei</i>
	61 J1	MRS	Anaerobic	<i>Lactobacillus pantheris</i>
	61 K1	M17	Anaerobic	<i>Leuconostoc mesenteroides</i>
	61 L1	M17	Anaerobic	<i>Leuconostoc mesenteroides</i>

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338 **Table 3. Most predominant bacterial species (abundance of more than 0.1%) found by**
 339 **pyrosequencing analysis of samples from 4 types of chicha.** Chewed cassava (CC), mashed
 340 cassava (MC), chonta (CB) and corn (CoB). Numbers indicate percentages and “+” indicates
 341 that bacterium recovered in culture.

Bacterial species	CC	MC	CB	CoB	Cultured	Possible origin
<i>Bacillus amyloliquefaciens</i>	0.0	0.5	0.0	0.00	-	Environment
<i>Carnobacterium maltaromaticum</i>	0.0	0.0	1.0	0.1	-	Environment
<i>Enterobacter asburiae</i>	0.5	0.0	0.0	0.0	-	Environment
<i>Enterobacter cancerogenus</i>	0.5	0.0	0.0	0.0	-	Environment
<i>Enterobacter sp</i>	1.3	0.0	0.1	0.0	-	Environment
<i>Fructobacillus sp</i>	0.0	0.0	0.0	3.8	-	Vegetables
<i>Gluconacetobacter intermedius</i>	0.0	0.0	0.0	0.6	-	fermented food
<i>Kluyvera ascorbate</i>	0.4	0.0	0.0	0.0	-	Human gut, food
<i>Lactobacillus brevis</i>	8.4	0.1	2.5	0.6	-	Environment, gut
<i>Lactobacillus camelliae</i>	0.0	0.0	0.0	7.3	-	Environment, gut
<i>Lactobacillus casei</i>	0.0	0.0	0.0	3.1	+	Environment, gut
<i>Lactobacillus delbrueckii</i>	8.0	0.0	0.0	0.0	-	Environment, gut
<i>Lactobacillus fermentum</i>	6.5	3.8	0.0	0.0	+	Environment, gut
<i>Lactobacillus harbinensis</i>	0.0	0.0	0.0	2.1	-	Vegetables
<i>Lactobacillus manihotivorans</i>	1.8	0.0	0.0	0.0	-	Vegetables
<i>Lactobacillus parabuchneri</i>	0.0	0.0	0.0	1.4	+	Oral microbiota
<i>Lactobacillus paracasei</i>	0.0	0.0	0.0	8.6	+	Environment, gut
<i>Lactobacillus paracollinoides</i>	0.0	0.0	0.0	16.0	-	Environment, gut
<i>Lactobacillus plantarum</i>	10.8	0.0	12.4	0.1	+	Environment, gut
<i>Lactobacillus sp</i>	3.4	0.0	0.7	1.3	-	Environment, gut t
<i>Lactobacillus vaccinostrercus</i>	1.2	0.0	0.2	0.0	-	Environment, gut
<i>Lactococcus garviae</i>	0.0	0.0	2.8	0.0	-	Fermented food
<i>Lactococcus lactis</i>	2.1	0.0	8.9	0.0	+	Environment, gut
<i>Lactococcus sp</i>	0.2	9.3	1.0	0.2	-	Gut
<i>Leuconostoc citreum</i>	0.0	1.5	1.2	0.0	-	fermented food
<i>Leuconostoc lactis</i>	1.7	0.1	0.2	0.8	-	Environment
<i>Leuconostoc sp</i>	0.0	0.0	0.1	4.6	-	Vegetables
<i>Oenococcus kitaharae</i>	0.0	0.0	0.0	1.2	-	Vegetables
<i>Serratia sp</i>	1.0	0.0	0.0	0.0	-	Environment
<i>Streptococcus gallolyticus</i>	0.0	0.5	0.0	0.0	-	Oral microbiota
<i>Streptococcus oralis</i>	1.4	0.2	0.0	0.0	-	Oral microbiota
<i>Streptococcus parasanguinis</i>	5.4	3.5	0.0	0.0	-	Oral microbiota
<i>Streptococcus pasteurianus</i>	0.0	7.7	0.0	0.0	-	Human gut
<i>Streptococcus pneumoniae</i>	3.6	0.5	0.0	0.0	-	Human nasopharynx
<i>S. pseudopneumoniae</i>	0.5	0.0	0.0	0.0	-	Human nasopharynx
<i>Streptococcus salivarius</i>	32.0	65.0	0.0	0.0	+	Oral microbiota
<i>Streptococcus sp</i>	2.5	2.3	0.1	0.0	-	Human microbiota
<i>Streptococcus thermophilus</i>	1.2	2.59	0.0	0.0	-	Vegetables
<i>Streptococcus vestibularis</i>	0.4	0.8	0.0	0.0	-	Oral microbiota

<i>Weissella cibaria</i>	0.1	0.0	0.9	0.9	-	Vegetables
<i>Weissella confuse</i>	0.5	0.1	45.9	25.3	+	Vegetables
<i>Weissella paramesenteroides</i>	0.5	0.0	0.1	0.0	-	Environment
<i>Weissella sp</i>	0.2	0.3	19.8	19.4	-	Vegetables

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