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## Can we set a global threshold age to define mature forests

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Globally mature forests appear to be increasing in biomass density. There is disagreement whether these increases are the result of increases in CO2 concentrations or a legacy effect of previous land-use. Recently, it was suggested that a threshold of 450 years should be used to define mature forests and that many forests increasing in biomass may be younger than this. However, the study making these suggestions failed to account for interactions between forest age and climate. Here we revisit the issue to identify: (1) how climate and forest age control global forest biomass density and (2) whether we can set a threshold age for mature forests. Using data from previously published studies we modelled the impacts of forest age and climate on biomass density using linear mixed effects models. We examined the potential biases in the dataset by comparing how representative it was of global mature forests in terms of its distribution, the climate space it occupied and the ages of the forests used. Biomass density increased with forest age, mean annual temperature and annual precipitation. Importantly the effect of forest age increased with increasing temperature, but the effect of precipitation decreased with increasing temperatures. The dataset was biased towards Northern hemisphere forests in relatively dry, cold climates. The dataset was also clearly biased towards forests <250 years of age. Our analysis suggests that there is not a single threshold age for forest maturity. Since climate interacts with forest age to determine biomass density a threshold age at which they reach equilibrium can only be determined locally. We caution against using biomass as the only determinant of forest maturity since this ignores forest biodiversity which often takes longer to recover. Future study of the influence of climate on forest biomass should aim to use the data currently being generated by long-term monitoring networks and satellite based observations.

### 1 Can we set a global threshold age to define mature forests?

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26

#### 29 Abstract

30 Globally, mature forests appear to be increasing in biomass density. There is disagreement whether these increases are the result of increases in CO<sub>2</sub> concentrations 31 or a legacy effect of previous land-use. Recently, it was suggested that a threshold of 32 33 450 years should be used to define mature forests and that many forests increasing in 34 biomass may be younger than this. However, the study making these suggestions failed to account for the interactions between forest age and climate. Here we revisit the issue 35 36 to identify: (1) how climate and forest age control global forest biomass density and (2) whether we can set a threshold age for mature forests. Using data from previously 37 38 published studies we modelled the impacts of forest age and climate on biomass density using linear mixed effects models. We examined the potential biases in the 39 40 dataset by comparing how representative it was of global mature forests in terms of its distribution, the climate space it occupied, and the ages of the forests used. Biomass 41 42 density increased with forest age, mean annual temperature and annual precipitation. Importantly, the effect of forest age increased with increasing temperature, but the effect 43 44 of precipitation decreased with increasing temperatures. The dataset was biased towards Northern hemisphere forests in relatively dry, cold climates. The dataset was 45 46 also clearly biased towards forests <250 years of age. Our analysis suggests that there is not a single threshold age for forest maturity. Since climate interacts with forest age to 47 48 determine biomass density, a threshold age at which they reach equilibrium can only be determined locally. We caution against using biomass as the only determinant of forest 49 50 maturity since this ignores forest biodiversity which often takes longer to recover. Future study of the influence of climate on forest biomass should aim to use the data currently 51 being generated by long-term monitoring networks and satellite based observations. 52 53

54

#### 55 Introduction

56 Forests play an important role in the global climate system, covering nearly one-57 third of the earth's terrestrial surface and accounting for over three-quarters of terrestrial 58 gross primary production (Pan et al., 2013). Forests also provide vital habitats for biodiversity and supply a wide-range of ecosystem services upon which humans
depend, such as climate regulation via carbon storage in tree biomass (Foley et al.,
2007). Globally, mature forests appear to be increasing in biomass density, and are
responsible for approximately 29% of all carbon sequestration in forests (Pan et al.,
2011). Mature tropical forests, in particular, have increased in biomass by around 0.5
Mg C ha<sup>-1</sup> year<sup>-1</sup> (Baker et al., 2004; Lewis et al., 2009), though the rate of increase now
appears to be slowing (Brienen et al., 2015).

Some researchers have hypothesised that increased CO<sub>2</sub> concentrations in the 66 atmosphere as a result of human activities have stimulated the growth of trees in 67 68 mature forests, resulting in increased biomass (Lewis et al., 2009). However, other 69 researchers reject these claims, hypothesising that that many mature forests are in fact 70 undergoing secondary succession following 'unseen' disturbances that occurred either hundreds of years ago (Brncic et al., 2007; Muller-Landau, 2009) or as a result of 71 72 extreme weather such as El Niño events (Wright, 2005). If many supposedly mature 73 forests are recovering from previous human influence, then this may account for 74 observed increases in biomass density (Wright, 2005). It is thus unclear whether the mature forests in studies that showed increases in biomass were actually old enough to 75 76 achieve a state of relative equilibrium, which can take decades to centuries. However, 77 until recently there has been no attempt to determine whether there are methods that 78 could be applied globally to enable forests recovering from disturbances to be 79 distinguished from relatively stable mature forests.

80 Recently Liu et al. (2014) attempted to address this issue by (i) assessing how 81 climate and forest age affect forest biomass density, and (ii) using this analysis to define 82 an age threshold for mature forests. The authors concluded that the biomass density of 83 mature forest stands was highest in areas with a mean annual temperature of c. 8-10°C and mean annual precipitation between 1000 and 2500 mm (Liu et al., 2014). In 84 addition, the authors further suggested that forest biomass carbon density increased 85 with stand age, plateauing at approximately 450 years of age (Liu et al., 2014). 86 87 However, given that previous work has shown that climate strongly influences both 88 biomass accumulation (Johnson, Zarin & Johnson, 2000; Anderson et al., 2006; Anderson-Teixeira et al., 2013) and the maximum biomass attainable by a forest 89

90 (Stegen et al., 2011) it seems unlikely that there is a single global age threshold that

91 can be used to define mature forests. Rather if such thresholds are used, they will need

to be defined in areas with relatively homogenous climates where accumulation rates

93 and maximum attainable biomass vary relatively little.

To address these issues we use the same data as Liu et al. (2014) to revisit the questions:

96 1. How do climate and forest age control the biomass density of global forests?

97 2. Can we use this to set an age threshold for mature forests globally?

98 While the analyses we present here use the same data as Liu et al. (2014), we 99 improve on their analyses by considering interactions between precipitation,

temperature and estimated forest age. Our work shows that these interactions improve
 model fit considerably, as well as indicating that establishment of a single age threshold

102 for mature forests is ecologically unrealistic.

103

#### 104 Methods

The data we used for this study were taken from Liu et al. (2014) in which the authors tested global-scale correlations between mature forest carbon stocks (biomass density), stand age and climatic variables using data collected from previous studies. Here we used this data on aboveground biomass (AGB, Mg ha<sup>-1</sup>) along with estimated forest age (years), mean annual precipitation (mm), mean annual temperature (°C) and geographic location (Longitude and Latitude).

To examine our first question of how forest biomass is determined by climate and 111 forest age we used linear mixed effect models (LMMs). First, we tested whether 112 accounting for methodological differences between studies and spatial autocorrelation 113 114 improved model performance compared to null models. To do this we fitted a model with a dummy random effect and compared the corrected Akaike Information Criteria 115 (AICc) value to our null models, which included study level random effects and a matrix 116 to account for spatial autocorrelation. Using the random effects structure deemed most 117 parsimonious we then tested the effects of temperature, precipitation and forest age on 118 AGB by running all possible LMMs that included two way interactions. Forest age was 119 log transformed as increases in AGB with age tend to be non-linear (Martin, Newton & 120

Bullock, 2013). All explanatory variables were standardised following Schielzeth (2010), 121 by subtracting the mean from each value and dividing by the standard deviation. This 122 method allows easier interpretation of coefficients and improves model convergence. To 123 reduce heteroscedasticity in model residuals we log transformed the response variable. 124 Models were ranked by AICc and model averaging performed using all models 125 with an  $\Delta AICc \leq 7$  to produce coefficient estimates (Burnham & Anderson, 2002; 126 Burnham, Anderson & Huyvaert, 2010). These coefficient estimates were subsequently 127 128 used to predict AGB in relation to stand age, precipitation and temperature. Based on our results we then inferred an answer to our second guestion, relating to thresholds in 129 forest maturity. If interactions between climate and forest age were considered 130 131 important we determined that it was not possible to set a global age threshold by which 132 to define mature forests without considering their local characteristics.

It is important in analyses that combine data from multiple sources to determine 133 134 whether the data being used show signs of bias that might influence a study's results. One example of such a bias is if data is not representative of an overall population 135 136 which it seeks to represent (Tuck et al., 2014). In the case of our study such bias may be caused by an over or underrepresentation of particular forest ages, certain climates 137 138 and particular geographic regions. To test for this we (i) examined the age distribution of forests using histograms; (ii) determined the climate space encompassed by the sites 139 140 used in this study compared to that occupied by forests globally; (iii) and examined the geographical distribution of study sites. For the comparison of the forest climate space 141 we binned the data on precipitation into bins of 200 mm and mean annual temperature 142 into bins of 1°C. We then used a global grid with a resolution of 0.5 decimal degrees to 143 144 identify where forest was present based on the globcover 2009 dataset (Bontemps et 145 al., 2011). We determined the mean total precipitation and mean annual temperature in each grid cell where forest was present using WorldClim (Hijmans et al., 2005). We then 146 compared the percentage of our data contained within each temperature and 147 precipitation bin with the percentage area of global forests contained in each bin. 148 149 All analyses were conducted in R version 3.2.1 (R Development Core Team, 2008) and with models producing using the nlme (Pinheiro et al., 2015) and MuMIn packages 150 (Barton, 2015). 151

#### 153 **Results**

Our model averaged results indicated positive relationships between AGB and 154 the logarithm of forest age (slope=0.24, SE=0.02, P<0.001), mean annual temperature 155 (slope=0.18, SE=0.04, P<0.001) and total annual precipitation (slope=0.32, SE=0.04, 156 157 P<0.001). Importantly, the slope related to forest age increased with mean annual temperature (interaction term=0.06, SE=0.02, P=0.018). In addition, the positive effect 158 of total annual precipitation on AGB was reversed at higher temperatures (interaction 159 term=-0.12, SE=0.02, P<0.001). The interaction term between total precipitation and 160 forest age was not significant (-0.02, SE=0.02, P=0.439). Models included in the model 161 averaging process had reasonable descriptive power with conditional R<sup>2</sup> values varying 162 163 from 0.18 to 0.24. Predictions using model averaged coefficients did not show a clear plateauing of AGB at any age (Figure 1), contrary to the findings of Liu et al. (2014). 164 165 These models also showed much greater descriptive power than those of Liu et al. (2014), as models containing only age, precipitation and temperature were poorly 166 167 supported ( $\triangle$ AlCc=112.41, 114.17 and 139.99 respectively).

There are clear biases in the dataset we used for this analysis. Tropical and 168 169 Southern Hemisphere forests are under-represented, relative to the area which they cover (Figure 2a). While the data we used also covered a wide range of climatic 170 171 conditions there was a bias towards forests found in relatively cold, dry climates and away from warmer, wetter climates (Figure 2b). The dataset we used was also clearly 172 biased towards younger forests, containing relatively few stands > 250 years of age 173 174 (Figure 2c) (although we note that the ages of many tropical sites appear to be 175 uncritical reference to Luyssaert et al. (2007), where the ages of the trees in a range of 176 minimally disturbed tropical forests was reported as being between 100-165 years old). 177

#### 178 Discussion

Our results indicates that climate and forest age interact to determine aboveground biomass density in global mature forests. This study is, to our knowledge, the first to quantitatively show this interaction. Previous studies have shown that biomass accumulation rate of regrowing forests depend on precipitation and

temperature (Johnson, Zarin & Johnson, 2000; Anderson et al., 2006; Anderson-183 184 Teixeira et al., 2013) and that climate is an important constraint of biomass in mature forests (Stegen et al., 2011). Our work builds on these suggesting that biomass of 185 mature forests depends on their age, as well as the climate they experience. We show 186 187 that forests experiencing higher temperatures accumulated biomass more rapidly, in agreement with previous studies (Anderson-Teixeira et al., 2013). However, our results 188 also suggested that there is little interaction between forest age and annual 189 190 precipitation. Taken together these results support the findings of Anderson et al. (2006) that, on a global scale, temperature differences drive the majority of differences in rates 191 of biomass accumulation. However, reality is likely to be more complex than our results 192 193 suggest. For example, Stegen et al. (2011) suggested that water deficits resulting from 194 interactions between precipitation and temperature are a primary limiting factor of the 195 biomass that can be attained by mature forests.

196 In contrast to the recent study of Liu et al. (2014) we found that it is not possible to set a threshold age at which forests can be considered mature. Since our results 197 198 indicated that aboveground biomass density was best determined by models that 199 included interactions between climate and stand age, any threshold age for mature 200 forests must be determined at a relatively local scale. Accumulation of biomass varies locally with soil nutrient content and drainage, distance to other forest patches and 201 202 previous land-use (Norden et al., 2015). In addition, local effects such as priority effects, herbivore density, invasive species, pathogen presence and edge effects can all result 203 204 in unpredictable successional pathways (Norden et al., 2015). As such, predicting the age at which forests can be considered mature may be difficult, even at a local scale. 205 206

#### 207 The need for better data

Though our analysis is an improvement on that performed by Liu et al. (2014) we were limited by the representativeness of the data used. These data comprised few tropical forest sites, were biased towards relatively cold, dry forests and very few forests >250 years of age were included in the dataset. The lack of data from tropical forests limits the generality of this analysis meaning that we have little confidence about extrapolating our results to the tropics. This is particularly important as tropical forests store approximately one third of global terrestrial carbon (Dixon et al., 1994) and appear to be increasing in biomass (Baker et al., 2004; Lewis et al., 2009). As such, our analysis and that of Liu et al. (2014) can say nothing about whether the recent increases in biomass in apparently mature tropical forests may be a result of recovery from past disturbances as Liu et al. suggested. The relative lack of data for forests >250 years of age in our study limits our conclusions, given that forests are often thought to take 100-400 years to reach maturity (Guariguata and Ostertag 2001).

221 Critically, the setting of any threshold requires accurate aging of forests. This is not a trivial task. In mature forests trees are recruited as other die, creating a complex 222 patchwork of differently aged trees (Chazdon, 2014). As such, defining the age of a 223 224 forest using the oldest tree (as studies that we used data from did) will likely only be 225 accurate in relatively young forests where tree ages do not differ greatly. However, in 226 mature forests where all pioneer individuals have been replaced, the age of the oldest 227 tree no longer provides a useful determinant of forest age. Thus, the precision of our estimates for younger forest are undoubtedly greater, and more useful, than for older 228 229 forests. Furthermore, as most tropical trees lack annual growth rings, 14C dating is the only feasible way to currently age most tropical trees and this is prohibitively expensive 230 231 in many cases.

232

#### 233 **Problems with defining mature forests**

234 While in the future it may be possible to determine at what age forest biomass 235 becomes relatively stable, we advise against using this as a definition of forest maturity for three reasons. Firstly, while carbon storage in the form of biomass is important from 236 the perspective of alleviating the impacts of climate change, it tends to recover relatively 237 guickly. In tropical secondary forests, community composition of tree species can take 238 >150 years to recover, with biomass recovering in approximately 100 years (Martin, 239 Newton & Bullock, 2013). Thus, while biomass accumulation is important, using it alone 240 241 to define forest successional stage may lead to forests being classified as mature, when they are still undergoing the latter stages of succession. 242 Secondly, though mature forests can appear to be relatively stable when 243

observed at a single point in time, they never reach equilibrium. Over decadal time

scales even apparently mature forests rarely show stable biomass (Valencia et al., 245 2009), and are influenced by changes in climate and changes in local land use. Thirdly, 246 old-growth forests are defined as forests which do not contain any individual trees that 247 colonised immediately following allogenic disturbances (Chazdon, 2014). As such 248 forests that contain remnant cohorts of long-lived pioneer species should be considered 249 as late successional rather than old-growth forests (Chazdon, 2014). Thus, examining 250 changes in biomass is likely to be of little use in separating late successional forests 251 such as these from true old-growth. 252

253

#### 254 The future of forest biomass assessment

255 The results of this study and the work by Liu et al. (2014) highlight that better, 256 more spatially representative data is needed in order to understand the relationship between forest biomass and climate at a global scale. To improve this knowledge 257 biomass data such as those used in this study, and from long term monitoring plots, are 258 being collected across the globe (Anderson-Teixeira et al., 2015; Brienen et al., 2015). 259 260 Although ease of data accessibility can vary, much is freely available (e.g. https://www.forestplots.net/) and its use would substantially strengthen the conclusions 261 262 of studies such as ours.

Comprehensive global monitoring of spatial variation in biomass is only possible 263 264 through the use of remote sensing techniques. Vegetation indices such as the normalized difference vegetation index (NDVI) are now available for over multiple 265 decades and have frequently been used as proxies to calculate biomass (e.g. Dong et 266 al., 2003). Models of aboveground biomass using lidar estimates of forest height and 267 268 structure are even more accurate than those using optical and spaceborne lidar data. 269 These improved models have recently allowed the production of pan-tropical maps of forest carbon stocks (Saatchi et al., 2011; Baccini et al., 2012), although uncertainty 270 remains in these maps, particularly in areas with little field data (Mitchard et al., 2013). 271 To resolve many of these issues, the European Space Agency will, in around 2020, 272 273 launch the BIOMASS mission, which is specifically designed to measure aboveground forest biomass and height at a spatial resolution of 200 m (Le Toan et al., 2011). This 274 instrument will provide unprecedented data on the spatial variability of forest biomass 275

- on a global scale, and combined with ground-based measurements will allow for a much
- 277 more precise understanding of the relationship between forest biomass and climate.

#### 280 Tables

281

### 282 Table 1 - Characteristics of studies used in this paper

Reference	Mean annual temperature (°C)	Mean annual precipitation (mm)	mean forest age (years)	
(Bondarev, 1997)	-13.3	290	190	
(Liu et al., 2011)	13.6	1235	87	
(Chang et al., 1997)	-3.7	347	204	
(China's Forest Editorial Committee, 1999)	-1.0	470	216	
(Feng, Wang & Wu, 1999)	9.0	850	350	
(Hudiburg et al., 2009)	7.8	2276	423	
(Kajimoto et al., 2006)	-9.8	610	158	
(Keeton et al., 2010)	7.0	800	217	
(Keith, Mackey & Lindenmayer, 2009)	10.7	1593	500	
(Liu et al., 2014)	-3.2	596	163	
(Luo, 1996)	5.2	889	130	
(Luyssaert et al., 2007)	7.3	1204	162	
(Ma et al., 2012)	-0.1	618	137	

(Tan et al., 2011)	11.3	1840	300
(Zhou et al., 2002)	-4.7	446	149
(Zhu et al., 2005)	-2.0	459	84

285

- 288
   Table 2 Candidate mixed effect models for explaining global forest carbon
- 289 density. A=Age, T=Temperature, P=Precipitation
- 290

Formula	Model rank	df	log likelihood	AICc	∆AICc	weight
A+T+P+A*T+T*P	1	10	-305.02	630.44	0	0.56
A+T+P+A*T+T*P+ A*P	2	11	-304.61	631.7	1.26	0.3
A+T+P+T*P	3	9	-307.74	633.81	3.37	0.1
A+T+P+T*P	4	10	-307.74	635.88	5.44	0.04
A+T+P+A*T	5	9	-318.73	655.79	25.35	<0.01
A+T+P+A*T+A*P	6	10	-318.43	657.25	26.82	<0.01
A+T+P+A*P	7	9	-319.98	658.28	27.85	<0.01
A+T+P+A	8	8	-321.03	658.32	27.88	<0.01
A+P	9	7	-329.94	674.08	43.64	<0.01
A+P+A*P	10	8	-329.74	675.73	45.3	<0.01
A+T+A*T	11	8	-333.58	683.42	52.98	<0.01
A+T	12	7	-335.71	685.63	55.19	<0.01
T+P+T*P	13	8	-350.23	716.72	86.28	<0.01
T+P	14	7	-363.42	741.04	110.6	<0.01
А	15	6	-365.35	742.84	112.41	<0.01

Р	16	6	-366.23	744.61	114.17	<0.01
Т	17	6	-379.14	770.43	139.99	<0.01
Null model	18	5	-395.95	802.01	171.57	<0.01

#### 292 Link to R-scripts used for analysis:

- 293 https://github.com/PhilAMartin/Liu\_reanalysis
- 294

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## Figure 1(on next page)

Image of influence of age and climate on forest aboveground biomass

Relationship between forest age and aboveground biomass for differing climate spaces. Panels represent binned mean annual temperature (rows) and total annual precipitation (columns). Bins represent quartiles so that each bin contains a similar number of data points. Points represent individual sites and solid lines predictions from model-averaged coefficients of models with a  $\Delta AICc \leq 7$ .



Image showing potential biases in the dataset we used

Potential biases associated with the dataset we used for this study (a) - Spatial distribution of sites used in this study, showing lack of tropical sites. Green areas represent forest, dots sites used in this study. Dots are partially transparent to give an impression of site density. (b) - Climate space represented by data used in this study and forests globally (climate data from (Hijmans et al., 2005), forest cover data from (Bontemps et al., 2011) . Darker pixel colour indicates greater density of data, indicating a bias towards forests with low precipitation and low mean annual temperature. (c) - Distribution of sites used in this study by site age, showing a bias towards forests <250 years old.







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