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Homeotic transformations and number changes in the vertebral column of *Triturus* newts

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We explored intraspecific variation in vertebral formulae, more specifically the variation in the number of thoracic vertebrae and frequencies of transitional sacral vertebrae in Triturus newts (Caudata: Salamandridae). Within salamandrid salamanders this monophyletic group shows the highest disparity in the number of thoracic vertebrae and considerable intraspecific variation in the number of thoracic vertebrae. Triturus species also differ in their ecological preferences, from predominantly terrestrial to largely aquatic. Following Geoffroy St. Hilaire's and Darwin's rule which states that structures with a large number of serially homologous repetitive elements are more variable than structures with smaller numbers, we hypothesized that the variation in vertebral formulae increases in more elongated species with a larger number of thoracic vertebrae. We furthermore hypothesized that the frequency of transitional vertebrae will be correlated with the variation in the number of thoracic vertebrae within the species. We also investigated potential effects of species hybridization on the vertebral formula. The proportion of individuals with a number of thoracic vertebrae different from the modal number and the range of variation in number of vertebrae significantly increased in species with a larger number of thoracic vertebrae. Contrary to our expectation, the frequencies of transitional vertebrae were not correlated with frequencies of change in the complete vertebrae number. The frequency of transitional sacral vertebra in hybrids did not significantly differ from that of the parental species. Such a pattern could be a result of selection pressure against transitional vertebrae and/or a bias towards the development of full vertebrae numbers. Although our data indicate relaxed selection for vertebral count changes in more elongated, aquatic species, more data on different selective pressures in species with different numbers of vertebrae in the two contrasting, terrestrial and aquatic environments are needed to test for causality.



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Homeotic transformations and number changes in the vertebral column of Triturus newts Maja D. Slijepčević¹, Frietson Galis², Jan W. Arntzen² and Ana Ivanović^{2,3} 4

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Abstract 14

We explored intraspecific variation in vertebral formulae, more specifically the variation in the 15

number of thoracic vertebrae and frequencies of transitional sacral vertebrae in Triturus newts 16

(Caudata: Salamandridae). Within salamandrid salamanders this monophyletic group shows the

highest disparity in the number of thoracic vertebrae and considerable intraspecific variation in

the number of thoracic vertebrae. Triturus species also differ in their ecological preferences, 19

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rule which states that structures with a large number of serially homologous repetitive elements

22 are more variable than structures with smaller numbers, we hypothesized that the variation in

23 vertebral formulae increases in more elongated species with a larger number of thoracic

24 vertebrae. We furthermore hypothesized that the frequency of transitional vertebrae will be

correlated with the variation in the number of thoracic vertebrae within the species. We also

investigated potential effects of species hybridization on the vertebral formula. The proportion of

individuals with a number of thoracic vertebrae different from the modal number and the range

of variation in number of vertebrae significantly increased in species with a larger number of 28

thoracic vertebrae. Contrary to our expectation, the frequencies of transitional vertebrae were not 29

30 correlated with frequencies of change in the complete vertebrae number. The frequency of

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species. Such a pattern could be a result of selection pressure against transitional vertebrae 32

and/or a bias towards the development of full vertebrae numbers. Although our data indicate

- relaxed selection for vertebral count changes in more elongated, aquatic species, more data on
- 35 different selective pressures in species with different numbers of vertebrae in the two contrasting,
- 36 terrestrial and aquatic environments are needed to test for causality.

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Introduction

- 39 The vertebral column consists of repetitive, serially homologous skeletal elements vertebrae.
- 40 Along the anterior-posterior axis, vertebrae are classified into regions, with a conserved order
- and specific sizes and shapes (e.g. Starck, 1979). The strong regionalization of the vertebral
- 42 column is already present early in the evolution of tetrapods (Ahlberg, Clack & Blom, 2005). In
- 43 the early tetrapod *Ichthyostega*, five regions (cervical, thoracic, lumbar, sacral and caudal) can be
- 44 recognized as in many extant amniotes.
- 45 Vertebrae develop from embryonic segments (somites) that are generated from the presomitic
- 46 mesoderm in a sequential head-to tail order. This process involves a molecular oscillator, the
- 47 segmentation clock, that regulates the periodicity of segment formation (Cooke & Zeeman, 1976;
- 48 Palmeirim et al., 1997; Dequéant & Pourquié, 2008; Gomez & Pourquié, 2009). The duration of
- 49 segment formation (somitogenesis) and the speed of the segmentation clock determine the total
- 50 number of segments formed, and hence, the total number of vertebrae (Gomez et al., 2008,
- 51 2009). The determination of the identity of the vertebrae (e.g. cervical or thoracic) occurs as part
- of the early head-to-tail patterning of the presomitic mesoderm and early somites. This head-to-
- 53 tail patterning involves complex genetic mechanisms that include various signaling molecules,
- 54 with an essential mediating role for the well-known Hox genes (e.g. Dubrulle, McGrew &
- 55 Pourquié, 2001; Diezdel-Corral et al., 2003; Aulehla & Pourquié, 2010; Mallo, Wellik &
- Deschamps, 2010; Woltering, 2012; Wong et al., 2015). It is thought that the segmentation
- 57 process and the head-to-tail patterning of the segments by the Hox genes can be dissociated and
- 58 that this dissociation has allowed for the spectacular evolutionary diversification of vertebral
- 59 formulae (Carapuço et al., 2005; Gomez & Pourquié, 2009; Schroeter et al., 2010; Harima et
- al.,2013; Wong et al., 2015). When there are shifts of vertebral boundaries, e.g. the cervico-
- 61 thoracic boundary, these shifts involve changes in the Hox patterning of the somites along the
- 62 head-to-tail axis. If indeed the segmentation process and the head-to-tail patterning of the
- 63 segments are dissociated, the shifts of vertebral boundaries necessarily involve homeotic
- 64 transformations of vertebrae. The involvement of homeotic transformations is further supported

- by the observation that in humans, xenarthra and afrotherians, intraspecific changes of the
- 66 cervico-thoracic or thoraco-lumbar boundary almost always involve transitional vertebrae, i.e.
- 67 partial homeotic transformations, also when the number of presacral or total vertebrae is changed
- 68 (Galis et al., 2006; Varela-Lasheras et al., 2011; Ten Broek et al., 2012). This also confirms that
- 69 initial mutations for homeotic transformations usually lead to incomplete homeotic
- 70 transformations, resulting in transitional vertebral identities.
- 71 In mammals, changes in the number of cervical vertebrae are associated with deleterious
- 72 pleiotropic effects that lead to selection against such homeotic transformations (Galis & Metz,
- 73 2003; Varela-Lasheras et al., 2011). In two mammalian groups (sloths and manatees) with low
- activity and metabolic rates, the exceptional numbers of cervical vertebrae most likely resulted
- 75 from the effective breaking of pleiotropic constraints due to a relaxation of stabilizing selection
- against the pleiotropic effects (known as congenital abnormalities, Varela-Lasheras et al., 2011).
- 77 Furthermore, Galis et al. (2014) concluded that biomechanical problems associated with initial
- 78 homeotic transformations (transitional vertebrae) in fast running mammals result in strong
- 79 selection against changes of the presacral vertebral counts in these species.
- 80 In other tetrapods the number of vertebrae in different regions can be more variable. Well-known
- 81 examples are the variable number of cervical vertebrae in birds (Woolfenden, 1961) and the
- variable number of presacral vertebrae in squamates (Carroll, 1997; Müller et al., 2010). In both
- cases, these regions have a large number of vertebrae. Geoffroy St. Hilaire has postulated that as
- a rule structures with a large number of serially homologous repetitive elements are more
- variable than structures with smaller numbers (Geoffroy St. Hilaire, 1932). This notion was
- supported by Darwin (Darwin, 1860). Along the same line, Bateson (1894) concluded that series
- 87 containing large numbers of undifferentiated parts are more variable than series made up of a
- 88 few, more differentiated parts.
- 89 In tailed amphibians, the presacral vertebrae vary in their number but only little in shape. A
- 90 single, sacral vertebra is morphologically very similar to the vertebrae from the thoracic or trunk
- 91 region, with more robust processes for attachment of the sacral ribs which are also thicker than
- 92 regular thoracic ribs. There is considerable intraspecific variation in the number of thoracic
- 93 vertebrae in many species of salamanders (Adolphi, 1898; Gerecht, 1929; Peabody & Brodie,
- 94 1975; Jockusch, 1997; Litvinchuk & Borkin, 2003). Intraspecific variation originally results from
- 95 homeotic transformations that are subsequently maintained in the population. In salamanders,



96 transitional vertebrae at the thoraco-sacral boundary have been frequently reported (Adolphi, 1898; Gerecht, 1929; Highton, 1960; Worthington, 1971; Jockush, 1997; Arntzen et al., 2015). 97 98 Such transitional vertebrae with partial thoracic and partial sacral identity result from incomplete homeotic transformations. Therefore, the frequencies of transitional vertebrae could be related to 99 the amount of variation in the number of thoracic vertebrae within species. Data on changes in 100 axial pattering and homeotic transformations in amphibians are relatively scarce and more data 101 102 are necessary for understanding the evolution of axial pattering in amphibians and the tetrapods. In this study we explore the relationship between variation in the number of thoracic vertebrae 103 and transitional sacral vertebrae using eight species of the monophyletic genus *Triturus* newts as 104 a model system. Within the family Salamandridae, which is the second most diverse group of 105 tailed amphibians, *Triturus* newts are the most disparate in the number of thoracic vertebrae 106 (Arntzen et al., 2015). Triturus species form a morphocline from the predominantly terrestrial T. 107 marmoratus and T. pygmaeus with a short and stout body and 12 thoracic vertebrae to the 108 slender and elongated, largely aquatic T. cristatus and T. dobrogicus with 15-17 thoracic 109 vertebrae (Arntzen, 2003). Triturus species also display considerable intraspecific variation in 110 111 vertebral numbers (Gerecht, 1929; Crnobrnja et al., 1997; Arntzen et al., 2015). Moreover, there is a well-documented, extensive hybridization in the area of sympatry of T. marmoratus (12) 112 113 thoracic vertebrae) and T. cristatus (15 thoracic vertebrae). The hybridization of these two species leads to sterile F1 hybrids with intermediate morphologies and number of thoracic 114 115 vertebrae (Vallée, 1959; Arntzen et al., 2009). Interspecific hybridization at contact zones also occurs between other *Triturus* species with parapatric distributions (Mikulíček et al., 2012; 116 117 Arntzen, Wielstra & Wallis, 2014), providing the opportunity to investigate the relationship between vertebral number and frequencies of transitional sacral vertebrae. Here, we compared 118 119 variation in the number of thoracic vertebrae and transitional sacral vertebrae among *Triturus* species, T. marmoratus × T. cristatus F1 hybrids with parental species, and populations from 120 contact zones with populations away from contact zones. More specifically, we explored the 121 intra- and interspecific variation in number of the thoracic vertebrae and frequencies of 122 transitional vertebrae at the thoraco-sacral boundary to test the following hypotheses: 123 1. Species with more vertebrae in the thoracic region are more variable in the number of thoracic 124 vertebrae than those with fewer vertebrae in the thoracic region. 125

2. The higher the variation in the number of thoracic vertebrae, the higher the frequencies of 126 transitional vertebrae are. In hybrids, we would expect that the range of variation in the number 127 of vertebrae overlaps the ranges of parental species' variation. Also, we would expect the higher 128 frequencies of transitional vertebrae in comparison with parental species. In species with 129 parapatric distributions we would expect the higher variation in the number of vertebrae and the 130 131 higher frequencies of transitional vertebrae in populations from contact zones in comparison with populations away from contact zones. 132 133 **Materials and Methods** 134 Triturus newts and their characteristics 135 The vertebral column in *Triturus* newts is differentiated in: the cervical region– consisting of a 136 137 single anterior vertebra, the atlas; the thoracic (trunk) region,—consisting of a rib-bearing vertebrae; the sacral region – usually a single vertebra with well-developed stout transverse 138 processes for the attachment of sacral ribs and pelvic girdle; the caudosacral region – up to three 139 vertebrae that continue from the caudal to the sacral vertebra and are associated with the pelvic 140 141 musculature and cloaca and the caudal region – the remaining vertebrae in the tail (Fig. 1). The body elongation in *Triturus* species appears to be correlated with the length of the aquatic phase 142 143 - more terrestrial species have a short and stout trunk with relatively longer legs compared to species with a more aquatic life style which have a more elongated trunk and relatively shorter 144 145 legs. Body elongation involves a larger number of thoracic vertebrae. More specifically, the number of thoracic vertebrae in the vertebral formulae varies from 12 in T. marmoratus and T. 146 147 pygmaeus, which have a short aquatic phase (T. marmoratus only two months), 13 in T. karelinii and T. ivanbureschi, 14 in T. macedonicus and T. carnifex, 15 in T. cristatus to 16 or 17 in T. 148 149 dobrogicus, the most aquatic species which has six months long aquatic phase (Arntzen, 2003) 150 (Fig. 2). The distribution of the genus *Triturus* is well documented (Arntzen, Wielstra & Wallis, 2014). 151 152 Triturus cristatus and T. marmoratus have an area of range overlap in France and can often be found in syntopy (Arntzen & Wallis, 1991; Lescure & de Massary, 2012). Other Triturus species 153 154 contact zones are generally narrow and show a weak but significant negative relationship between the level of hybridization and the genetic distance of species pairs (Arntzen, Wielstra & 155 Wallis, 2014). 156

157 Material analysed 158 159 We analysed axial skeletons of 1436 adult newts that originate from 126 populations of all eight species of *Triturus* newts (Fig. 3). For this study we used X-ray images of good quality and 160 cleared and stained skeletons. The X-ray images were obtained on a Faxitron 43855C/D with an 161 exposure of 20–40s at 3 mA and 70kV. Other material was cleared with trypsin and KOH and 162 stained with Alizarin Red S for bone deposition (Dingerkus & Uhler, 1977) and stored in 163 glycerine. Analyzed specimens are from the batrachological collection of the Institute for 164 Biological Research "Siniša Stanković", Belgrade, Serbia (N = 601) and from the collection of 165 the Naturalis Biodiversity Center, Leiden, The Netherlands (N = 835). Our material covers the 166 geographic, taxonomic and molecular genetic diversity of the genus. On the basis of well 167 documented species distributions (Mikulíček et al., 2012; Arntzen, Wielstra & Wallis, 2014) 168 populations were assigned as "central" or "fringe" based upon their geographical position away 169 (>= 50km) or close to (< 50 km) congeneric species. For localities and sample size per 170 171 population see Supplementary Table S1. 172 173 Scoring vertebral formulae and transitional thoraco-sacral vertebrae We determined the vertebral formula by counting the number of cervical (C), thoracic (T) and 174 175 sacral vertebrae (S). The caudosacral and caudal regions are excluded from our formula as the detailed inspection of cleared and stained specimens showed that a variable number of 176 177 caudosacral vertebrae frequently bear small, much reduced ribs which could be fused with transverse processes and cannot always be distinguished on X-ray images. The number of tail 178 179 vertebrae was available only for a subset of specimens; in most specimens tails had been removed for enzyme electrophoretic analyses or were broken or damaged. 180 Homeotic transformations of thoracic vertebra into sacral vertebra, or vice versa (transitional 181 sacral vertebra having half of the identity of thoracic vertebra and half of the identity of sacral 182 183 vertebra) were assigned 0.5 and this score was added to the number of complete thoracic vertebrae. Only complete changes of identity on one side of the vertebrae (on one side thoracic 184 and on one side sacral) were declared transitional. Right side asymmetry of a sacral vertebra is 185 scored when the thoracic rib is present on the right side and the sacral rib on the left side of 186 transitional thoraco-sacral vertebra and vice versa for left side asymmetry For a 3D model of 187

regular and transitional thoraco-sacral vertebra obtained by CT-scanning see Supplementary 188 data. We assumed that the frequency of transitional vertebrae with a complete change of identity 189 190 at one side of vertebra reflects the frequency of all homeotic transformations, including more 191 gradual ones, which could not always be scored. 192 193 Statistical analyses The Spearman correlation coefficient (r_s) was used to quantify correlation between species 194 modal numbers of thoracic vertebrae (T_n) and 1) the percentage of individuals with the number 195 of complete thoracic vertebrae different from the modal number (T_{var}) and 2) the range of 196 variation in the number of thoracic vertebrae (T_{range}) within species. The same measure was used 197 to quantify the relationship between percentages of transitional sacral vertebrae (S_{tr}) and T_{var} and 198 T_{range}. To test for differences between hybrids and parental species across fringe and central 199 populations we used the G-test of independence. To analyse interspecific variation in a 200 201 phylogenetic context, we used a well resolved time-calibrated phylogeny of genus *Triturus* (Arntzen et al., 2015) shown in Figure 2. Associations derived from common ancestry were 202 203 evaluated by calculating the strength of the phylogenetic signal for analysed variables (T_n, T_{var}, T_{range} and S_{tr}). The procedure involves the random permutation of the variables over the terminal 204 205 units of the phylogenetic tree (10,000 iterations), in which the test statistic is the total amount of squared change summed over all branches of the tree. We applied the phylogenetic independent 206 207 contrasts approach (Felsenstein, 1985) to obtain a set of independent contrasts. The regression of 1) T_{var} independent contrasts on T_n independent contrasts and 2) T_{range} independent contrasts on 208 209 T_n independent contrasts were used to explore the relationship between evolutionary change in 210 the number of the thoracic vertebra in vertebral formula and amount of variation in the number 211 of thoracic vertebrae. The regressions of T_{var} and T_{range} independent contrasts on S_{tr} were used to 212 explore changes and frequencies of transitional vertebrae, taking the similarity due to shared ancestry into account. 213 214 215 **Results** Vertebral formula and transitional sacral vertebra in Triturus newts 216 The most common vertebral formulae were 1C 12T 1S in T. marmoratus and T. pygmaeus, 1C 217 13T 1S in T. karelinii and T. ivanbureschi, 1C 14T 1S in T. macedonicus and T. carnifex, 1C 15T 218

- 219 1S in *T. cristatus* and 1C 17T 1S in *T. dobrogicus* (See Fig. 2 and Table 1). The percentage of
- individuals with a number of complete thoracic vertebrae different from the modal number (T_{var})
- varied among species, from 3.6% in *T. pygmaeus* to 24.6% in *T. dobrogicus*. The range of
- variation in the number of thoracic vertebrae is 12 13 observed in T. marmoratus and T.
- 223 pygmaeus, 12 14 in T. ivanbureschi, 12 14 in T. karelinii, 13 15 in T. macedonicus, 13 16
- in T. carnifex, 13 16 in T. cristatus and 15 18 in T. dobrogicus (see Table 1). The variation in
- 225 the vertebrae number per population is shown in Supplementary Table S2.
- Frequencies of recorded homeotic transformations in *Triturus* newt species are listed in Table 2.
- The least common is the homeotic transformation of cervical vertebra with the thoracic rib
- 228 attached to one or both sides of the vertebra, recorded six times (0.41%) and in four out of eight
- species. Two types of transitional vertebrae at the thoraco-sacral boundary were recorded. The
- 230 first type involves changes of two succeeding vertebrae transitional sacral vertebra with
- 231 thoracic rib at one side and sacral rib at the other side, followed by transitional vertebra having
- sacral rib at one side (opposite than previous vertebra) and no rib attached on the other side (see
- Figs 1 and 4). The second type of transitional sacral vertebra involves transitional thoraco-sacral
- vertebra, with a thoracic rib at one side and a sacral rib at the other, followed by regular sacral
- 235 vertebra. The transitional changes involving two adjacent vertebrae, thoracic and sacral (here
- 236 termed transitional sacral) are more frequent than transitional changes of thoracic to sacral
- vertebra without changes of sacral vertebra. Excluding F1 hybrids, we recorded a transitional
- sacrum in 70 out of 1368 specimens (5.1%). Both, right side and left side asymmetries were
- recorded (Table 2). We found that T_n and T_{var} are significantly positively correlated ($r_s = 0.75, p$
- = 0.023), indicating that species with more vertebrae in the thoracic region are more variable in
- 241 the number of vertebrae. A significant correlation was also found between T_n and T_{range} ($r_s =$
- 0.90, p = 0.002), indicating that the range of variation was significantly higher in species with
- 243 more thoracic vertebrae. We did not find a correlation between S_{tr} and T_{var} ($r_s = 0.31$, p = 0.46) or
- 244 between S_{tr} and T_{range} ($r_s = 0.13$, p = 0.76).
- 246 Phylogenetic comparative analyses

- We found a statistically significant phylogenetic signal in T_n (p = 0.013) and T_{range} (p = 0.033)
- 248 and no significant phylogenetic signal in T_{var} (p = 0.730) and S_{tr} (p = 0.970). The regression of 1)
- T_{var} independent contrasts on T_n independent contrasts (p = 0.018) and 2) the regression of T_{range}

independent contrasts on T_n independent contrasts revealed a significant relationship between the 250 increase in the number of thoracic vertebrae and the amount of variation in the number of 251 vertebrae (p = 0.006). We found no significant relationship between T_{range} independent contrasts 252 and S_{tr} independent contrasts (p = 0.413). 253 254 255 Hybridization and variation in vertebral formula There were statistically significant differences in changes in vertebral formulae between central' 256 and fringe populations (G-test for independence, G = 18.61, p = 0.001). For fringe populations, 257 the observed range of variation in number of thoracic vertebrae is 12-15 in T. ivanbureschi, 13-258 16 in T. macedonicus, 13-15 in T. carnifex, 13-17 in T. cristatus and 14-18 in T. dobrogicus. In 259 T. dobrogicus and T. ivanbureschi fringe populations differed significantly from central 260 261 populations in the frequencies of individuals with non-modal vertebrae formulae. For other species no significant differences between central and fringe populations were found (Table 4). 262 In T. cristatus \times T. marmoratus, sixty F1 hybrids (88.2%) have a vertebral formula with an 263 intermediate number of thoracic vertebrae (Table 3). Six hybrids (8.8%) possess an incomplete 264 265 homeotic transformation. Among these, one has an incomplete transformation of a cervical into a thoracic vertebra. The most frequent incomplete homeotic transformation involves an 266 267 asymmetrical sacrum. The frequencies of transitional sacral vertebra in hybrids and parental species are similar (G-test for independence, G = 1.07, p = 0.59). 268 269 **Discussion** 270 271 Our study shows a substantial variation in the number of thoracic vertebrae in *Triturus* newts, suggesting the absence of strong selection against change in the number of thoracic vertebrae. In 272 273 agreement with the postulations of Geoffroy St. Hilaire, Darwin and Bateson, the variation in the vertebral column is positively correlated with the number of vertebrae. Using independent 274 contrasts we observed a statistically significant, correlated evolutionary change between an 275 increase in the modal number of thoracic vertebrae and variation in vertebrae number. The range 276 of variation in the number of thoracic vertebrae is also significantly higher in species with a 277 278 larger modal number of thoracic vertebrae. In T. marmoratus and T. pygmaeus the variation is limited to one extra thoracic vertebra, while in crested newt species the variation range is up to 279 280 four vertebrae. Although such a pattern of intraspecific variation is in agreement with Geoffroy



St. Hilaire's and Darwin's rules, the observed pattern may also represent an association between 281 variation in vertebral number and differences in selection in different habitats that *Triturus* 282 species occupy, in particular the amount of time they spend in the terrestrial versus the aquatic 283 environment. During the terrestrial phase the limbs support the weight of the body and provide 284 forward propulsion by the synchronous use of diagonal limb pairs. In water where the body 285 286 weight does not need to be supported by the limbs the newts move by tail propulsion with the limbs tightly held against the body to reduce hydrodynamic drag (Gvoždik & Van Damme, 287 2006). Therefore, the selection pressures related to specific biomechanical requirements are 288 289 probably different with respect to the duration of the terrestrial and aquatic phase. The larger 290 variation in the number of thoracic vertebrae may indicate relaxed selection in more aquatic species, but more data on different selective pressures in terrestrial versus aquatic environments 291 292 are needed to find out whether this is the case. 293 294 Frequencies of transitional vertebrae In Triturus newts, the frequency of transitional changes at the cervico-thoracic boundary is more 295 296 than ten times lower than changes at the thoraco-sacral boundary. This is also observed in other salamanders (Wake & Lawson, 1973) and mammals (Galis et al., 2006). This pattern may be 297 298 explained by stronger interactivity and low modularity of developmental processes during the early organogenesis, or phylotypic stage, when the cervical vertebra is determined (Galis et al., 299 300 2006). At later stages, development is increasingly less interactive and more modular, such that changes are expected to be associated with fewer pleiotropic effects. The hypothesis that 301 302 mutations with an effect during early organogenesis stage lead to more pleiotropic effects and as a consequence to more vulnerability and mortality than earlier or later stages was tested and 303 304 strongly supported in rodents (Galis & Metz 2001). In amphibians indirect support for this 305 hypothesis is discussed by Galis, Wagner & Jockusch (2003). We do not know the cause of the constraint on the number of cervical and sacral vertebra in tailed amphibians, but further studies 306 in various amphibian groups that will consider survival rates of individuals with changes in the 307 308 cervical and sacral region across ontogenetic stages should provide valuable data to solve this 309 issue. Although we hypothesized that frequencies of transitional vertebrae at the thoraco-sacral 310 boundary should be correlated to the range of variation in the number of thoracic vertebrae as in 311

mammals (Ten Broek et al., 2012), no correlation was found. Available literature data indicate 312 that incomplete homeotic transformation of sacral vertebrae are relatively common, with up to 313 314 10% across the various salamander lineages: 4.5% in *Batrachoseps attenuatus* (Jockusch, 1997), 5.7% in Rhyacotriton olympicus (Worthington, 1971), 6% in Plethodon cinereus (Highton, 315 1960), up to 9% for newt genera *Lissotriton* and *Ichthyosaura* (Arntzen et al., 2015) and between 316 1.9% and 9.0% in *Triturus* newts (this study). The lower than expected incidence of transitional 317 vertebrae could result from developmental mechanisms favoring complete numbers of thoracic 318 vertebrae and/or from selection against transitional sacral vertebrae due to associated problems 319 related to an asymmetric sacrum (c.f. Galis et al., 2014). Potential problems associated to 320 asymmetrical sacrum might arise due to asymmetrical muscle attachments, blood vessels and 321 innervation, or biomechanical problems during locomotion. In salamanders, the selection 322 323 pressures related to specific biomechanical requirements are probably different in fully aquatic larvae and metamorphosed individuals that spend most of their time on land. Furthermore, 324 325 selection pressures may vary with respect to the duration of annual aquatic and terrestrial phase. More detailed morphological and functional studies of locomotion of larval and metamorphic 326 327 stages could shed more light on the functional significance of variation in the axial skeleton in Triturus newts. However, it is possible that our results are biased as we have not included the full 328 329 range of transitional vertebrae. We scored only easily identifiable transitional vertebrae with complete morphological transformations of one side of the vertebra under the assumption that 330 331 the frequency of these transitional vertebrae reflects the total amount of homeotic transformations. Nonetheless, initial mutations for homeotic transformations can lead to a whole 332 333 series of gradually transitional homeotic transformations; in the case of thoraco-sacral vertebrae ranging from predominantly thoracic and only slightly sacral to predominantly sacral and slightly 334 335 thoracic. Inclusion of all transitional vertebral morphologies might change the observed 336 relationship between incomplete homeotic transformations and changes in the number of thoracic vertebrae in newts. 337 338 Hybridization, marginality and homeotic transformations 339 340 Hybridization and marginality significantly increase variability in the number of thoracic vertebrae but there is no change in the frequency of transitional vertebrae. Crosses between T. 341 342 cristatus (15 vertebrae, range 13-16) and T. marmoratus (12 vertebrae, range 12-13) produced

343	phenotypes with 13 thoracic vertebrae, an intermediate number. It is interesting to note that 13
344	thoracic vertebrae is the only number that is shared by both parental species. In T . $cristatus \times T$.
345	marmoratus offspring there is considerable mortality and almost all of F1 hybrids (\sim 90%) had T .
346	cristatus as mother. The marmoratus-mothered specimens were all male, due to low survival of
347	female embryos (Arntzen et al., 2009). Developmental anomalies in T . $cristatus \times T$. $marmoratus$
348	crosses, including more digital anomalies compared with parental species (hybrids 16.9%,
349	parental species pooled 5.4%) (Vallée, 1959; more data in Arntzen & Wallis, 1991) are observed,
350	and therefore, the higher number of changes in the axial skeleton may be related to a generally
351	higher number of anomalies. The high mortality may also influence the incidence of the
352	variability and transitional vertebrae.
353	Significantly higher frequency of changes in vertebral formula in fringe populations of <i>T</i> .
354	ivanbureschi and T. dobrogicus species may well have to do with the confirmed presence of
355	hybridization in the contact zones of <i>T. cristatus</i> and <i>T. dobrogicus</i> populations (Mikulíček et al.,
356	2012), of T. carnifex and T. dobrogicus populations (Wallis & Arntzen, 1989) and of T.
357	ivanbureschi and T. macedonicus populations (Arntzen, Wielstra & Wallis, 2014). However, the
358	effect of the various genotype combinations on the survival rate and morphology of these species
359	remains to be studied.
360	In conclusion, Triturus newts have a relatively large amount of variation in the number of
361	thoracic vertebrae, both with respect to the frequency of non-modal numbers and the range of
362	variation. In agreement with Geoffroy St. Hilaire's rule, variation was larger in species with a
363	larger number of thoracic vertebrae. The absence of a correlation between the frequency of
364	homeotic change (transitional sacral vertebrae, S_{tr}) and variation in the number of vertebrae (T_{var} ,
365	T _{range}) could be a result of developmental mechanisms that favour complete numbers of presacral
366	vertebrae and/or selection against transitional vertebrae in this group of tailed amphibians.
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372	
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Figure 1.

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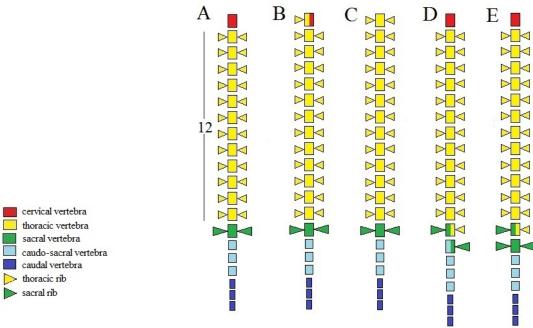
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The regionalization of the vertebral column in *Triturus* newts and schematic presentation of scored homeotic transformations (example of *T. marmoratus*). A) vertebral column without homeotic transformation and regular number of vertebrae – the first three caudal vertebrae are shown; B) incomplete homeotic transformations of cervical vertebra into thoracic; C) complete homeotic transformation of cervical into thoracic vertebra; D) transitional sacral vertebra with thoracic rib at one side and sacral rib at the other side followed by transitional vertebra with sacral rib at the one side and no rib at the other; E) transitional thoraco-sacral vertebra, with thoracic rib at one side and sacral rib at the other, followed by regular sacral vertebra.

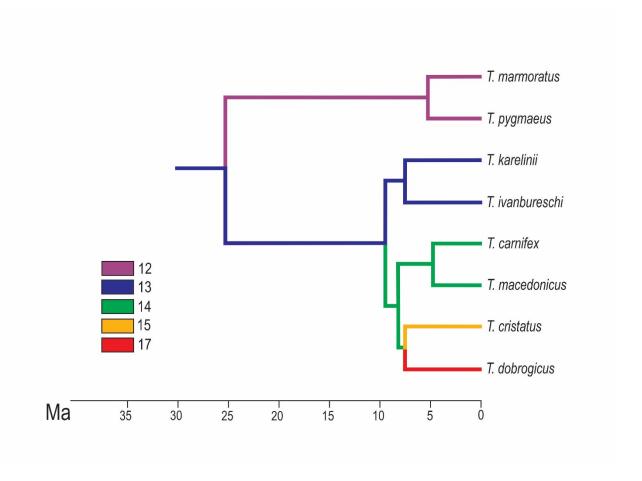


515 Figure 2.

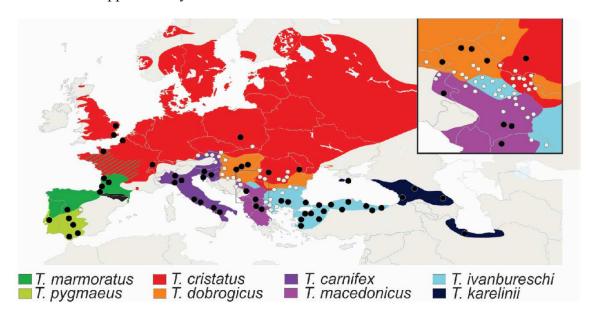
516 Calibrated phylogeny for the genus *Triturus* with the modal number of vertebrae indicated by

517 colour code (after Arntzen et al., 2015).

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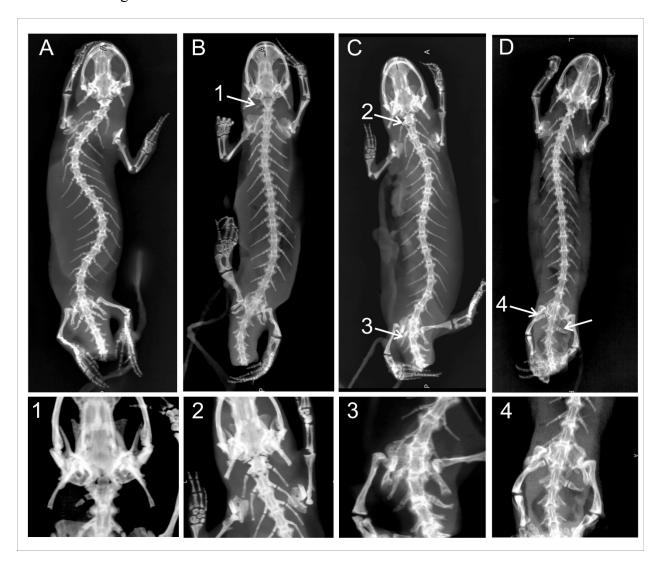


- 520 Figure 3.
- 521 Distribution of eight *Triturus* species across Europe and adjacent Asia. Studied populations are
- 522 marked by solid dots (central populations) and open dots (fringe populations). For detailed
- 523 information see Supplementary Table S1.



526 Figure 4.

Homeotic transformations recorded: A) complete vertebral column without homeotic transformations and transitional vertebrae. B) transitional cervical vertebra (cervical into thoracic) (1); C) complete homeotic transformation of cervical into thoracic vertebra (2) and transitional sacral vertebra with thoracic rib on the right side and sacral rib on the other side followed by sacral vertebra (3); D) Transitional sacral vertebra - first vertebra with thoracic rib on the right side and sacral rib on the left side, followed by second transitional vertebra, with sacral rib on the right side and no rib attached on the left side (transitional sacral vertebra with a left side asymmetry) (4); Transformations are marked by arrows and showed in close-up at the bottom of the Figure.



538 Table 1.

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The number of thoracic vertebrae in *Triturus* species (central populations only). Modal numbers of thoracic vertebrae in vertebral formulae are shown in bold. S_{tr} – percentage of individuals with transitional vertebrae at thoraco-sacral boundary. T_{var} – percentage of individuals with the complete number of thoracic vertebrae different from the modal number.

Species	Sample size				Nun	nber	of tl	norac	ic v	erteb	rae			S _{tr} (%)	T _{var} (%)
		12	12.5	13	13.5	14	14.5	15	15.5	5 16	16.5	5 17	17.5 18		
T. marmoratus	58	46	4	8										6.9	13.8
T. pygmaeus	55	52	1	2										1.8	3.6
T. ivanbureschi	175	1	3	150	4	17								4.0	10.3
T. karelinii	43		1	40		2								2.3	4.7
T. carnifex	66			4	5	53		3		1				7.6	12.1
T. macedonicus	67			9	5	51	1	1						9.0	14.9
T. cristatus	122			1		6	1	98	5	11				4.9	14.8
T. dobrogicus	57							2		11	1	42	1	1.8	24.6

545 Table 2.

Overview of homeotic transformations observed in *Triturus* species. Number and percentage of individuals with transitional vertebrae are given. Left and right asymmetries of transitional sacral vertebra are shown separately.

				Cervical to t	horacic		Transiti	onal sacral		Thoracic to sacral		
Species	Sample size	Transitional	%	Complete	Incomplete	%	Left	Right	%	Incomplete	%	
T. marmoratus	58	5	8.6	0	1	1.7	1	3	6.9	0		
T. pygmaeus	55	1	1.8	0	0		1	0	1.8	0		
T. ivanbureschi	361	25	6.9	1	3	1.1	6	12	5.0	3	0.8	
T. karelinii	43	1	2.3	0	0		0	1	2.3	0		
T. carnifex	123	8	6.5	0	0		6	2	6.5	0		
T. macedonicus	226	14	6.2	0	1	0.4	8	4	5.3	1	0.4	
T. cristatus	286	16	5.6	2	1	1.0	10	3	4.5	0		
T. dobrogicus	216	13	6.0	0	0		7	6	6.0	0		
Total	1368	83	6.1	3	6	0.6	39	31		4	0.3	

551 Table 3.

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The number of thoracic vertebrae in T. $cristatus \times T$. $marmoratus F_1$ hybrids and parental species. Modal numbers of thoracic vertebrae in vertebral formulae are shown in bold. S_{tr} – percentage of individuals with transitional vertebrae at thoraco-sacral boundary. T_{var} – percentage of individuals with the complete number of thoracic vertebrae different from the modal number.

Species	Sample size				Nun	ıber	of tl	norac	ic v	ertebrae		S _{tr} (%)	T _{var} (%)
		12	12.5	13	13.5	14	14.5	5 15	15.5	5 16 16.5 17 17.5	18		
T. marmoratus	58	46	4	8								6.9	13.8
T. cristatus	122			1		6	1	98	5	11		4.9	14.8
Hybrids	68	2	1	39	4	16		6				7.4	35.3

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558 Table 4.

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The number of individuals with regular and changed vertebral formulae in "central" and

"fringe" populations.

		modal vertebral	Number wi				
Species	Central	Fringe	Central	Fringe	G	p	
T. ivanbureschi	150	110	25	76	18.86	***	
T. carnifex	53	37	13	20	2.09	ns	
T. macedonicus	51	122	16	37	0.006	ns	
T. cristatus	98	130	24	34	0.032	ns	
T. dobrogicus	42	67	15	92	6.65	**	
Total	394	466	83	259			

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Figure 1(on next page)

Schematic presentation of the vertebral column in *Triturus* newts and homeotic transformations scored

Figure 1. The regionalization of the vertebral column in *Triturus* newts and schematic presentation of scored homeotic transformations (example of *T. marmoratus*). A) vertebral column without homeotic transformation and regular number of vertebrae – the first three caudal vertebrae are shown; B) incomplete homeotic transformations of cervical vertebra into thoracic; C) complete homeotic transformation of cervical into thoracic vertebra; D) transitional sacral vertebra with thoracic rib at one side and sacral rib at the other side followed by transitional vertebra with sacral rib at the one side and no rib at the other; E) transitional thoraco-sacral vertebra, with thoracic rib at one side and sacral rib at the other, followed by regular sacral vertebra.

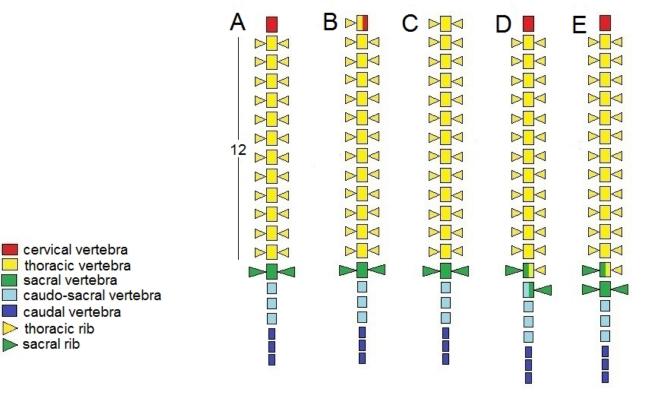




Figure 2(on next page)

Calibrated phylogeny for the genus *Triturus* with the modal number of vertebrae indicated by colour code

Figure 2. Calibrated phylogeny for the genus *Triturus* with the modal number of vertebrae indicated by colour code (after Arntzen et al., 2015).

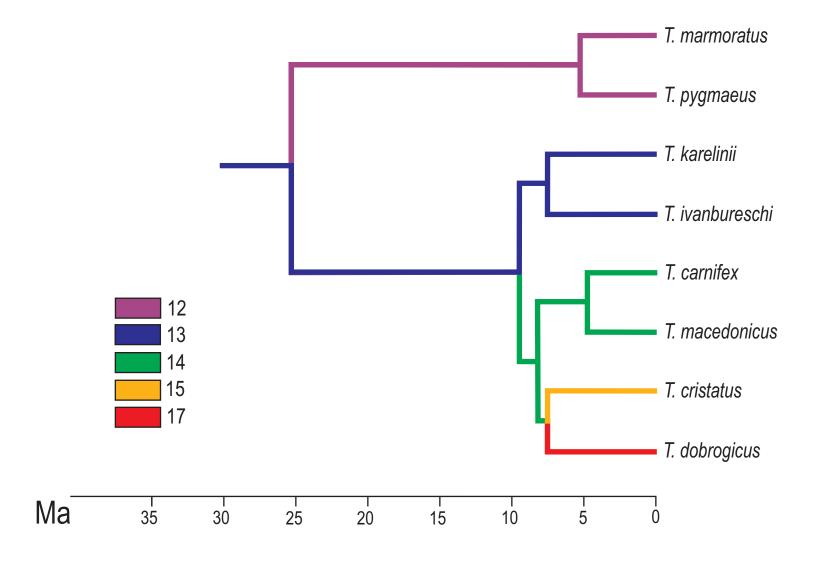
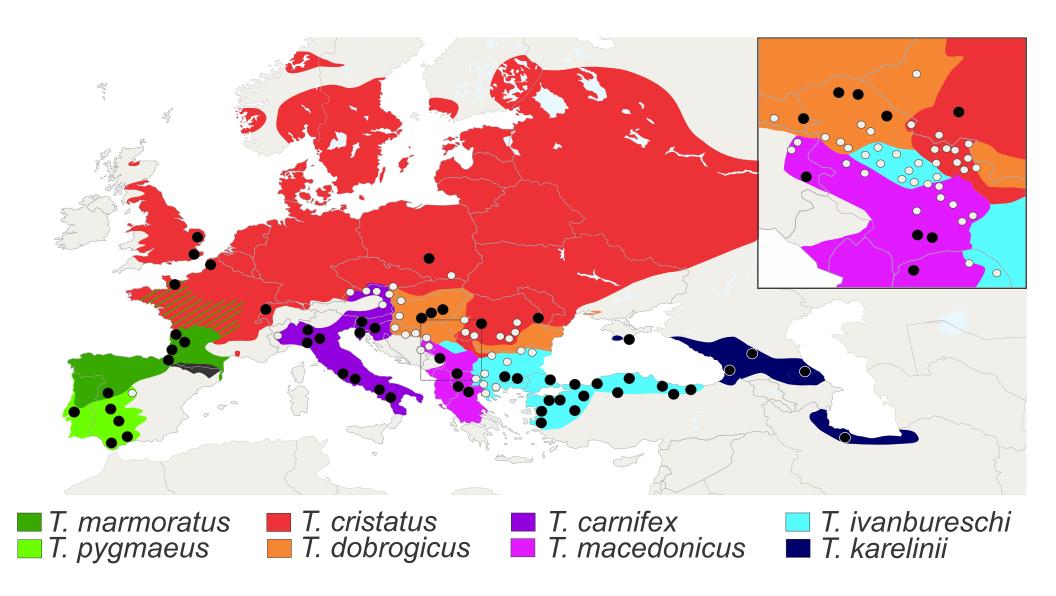




Figure 3(on next page)

Distribution of *Triturus* species and geographic positions of populations

Figure 3. Distribution of eight *Triturus* species across Europe and adjacent Asia. Studied populations are marked by solid dots (central populations) and open dots (fringe populations). For detailed information see Supplementary Table S1.





X-ray images of homeotic transformations recorded

Figure 4. Homeotic transformations recorded: A) complete vertebral column without homeotic transformations and transitional vertebrae. B) transitional cervical vertebra (cervical into thoracic) (1); C) complete homeotic transformation of cervical into thoracic vertebra (2) and transitional sacral vertebra with thoracic rib on the right side and sacral rib on the other side followed by sacral vertebra (3); D) Transitional sacral vertebra - first vertebra with thoracic rib on the right side and sacral rib on the left side, followed by second transitional vertebra, with sacral rib on the right side and no rib attached on the left side (transitional sacral vertebra with a left side asymmetry) (4); Transformations are marked by arrows and showed in close-up at the bottom of the Figure.



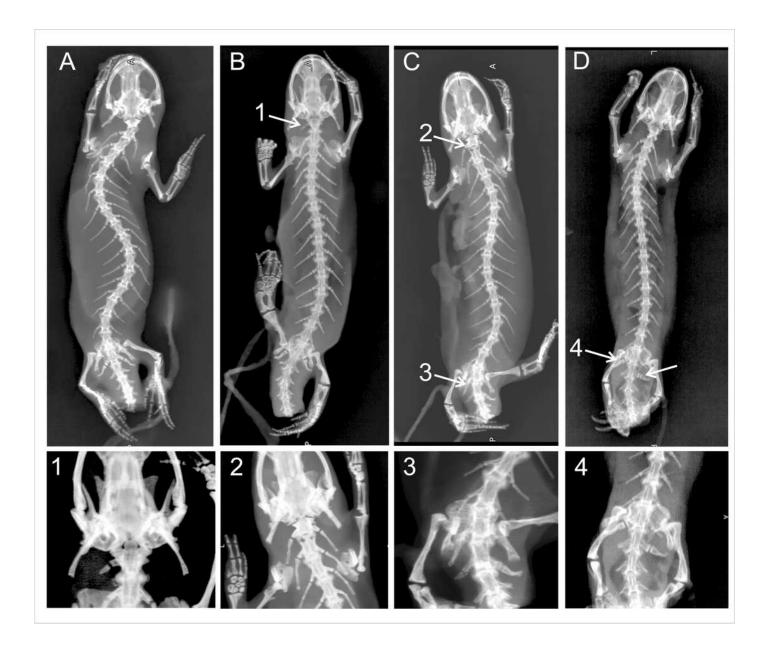




Table 1(on next page)

The number of thoracic vertebrae in *Triturus* species

Table 1. The number of thoracic vertebrae in *Triturus* species (central populations only). Modal numbers of thoracic vertebrae in vertebral formulae are shown in bold. S_{tr} – percentage of individuals with transitional vertebrae at thoraco-sacral boundary. T_{var} – percentage of individuals with the complete number of thoracic vertebrae different from the modal number.

Species	Sample size			Number of thoracic vertebrae									S _{tr} (%)	T _{var} (%)		
		12	12.5	13	13.5	14	14.5	5 15	15.5	5 16	16.	5 17	17.	5 18		
T. marmoratus	58	46	4	8											6.9	13.8
T. pygmaeus	55	52	1	2											1.8	3.6
T. ivanbureschi	175	1	3	150	4	17									4.0	10.3
T. karelinii	43		1	40		2									2.3	4.7
T. carnifex	66			4	5	53		3		1					7.6	12.1
T. macedonicus	67			9	5	51	1	1							9.0	14.9
T. cristatus	122			1		6	1	98	5	11					4.9	14.8
T. dobrogicus	57							2		11	1	42		1	1.8	24.6



Table 2(on next page)

Homeotic transformations observed in *Triturus*

Table 2. Overview of homeotic transformations observed in *Triturus* species. Number and percentage of individuals with transitional vertebrae are given. Left and right asymmetries of transitional sacral vertebra are shown separately.

				Cervical to t	horacic		Transiti		Thoracic to sacral		
Species	Sample size	Transitional	%	Complete	Incomplete	%	Left	Right	%	Incomplete	%
T. marmoratus	58	5	8.6	0	1	1.7	1	3	6.9	0	
T. pygmaeus	55	1	1.8	0	0		1	0	1.8	0	
T. ivanbureschi	361	25	6.9	1	3	1.1	6	12	5.0	3	0.8
T. karelinii	43	1	2.3	0	0		0	1	2.3	0	
T. carnifex	123	8	6.5	0	0		6	2	6.5	0	
T. macedonicus	226	14	6.2	0	1	0.4	8	4	5.3	1	0.4
T. cristatus	286	16	5.6	2	1	1.0	10	3	4.5	0	
T. dobrogicus	216	13	6.0	0	0		7	6	6.0	0	
Total	1368	83	6.1	3	6	0.6	39	31		4	0.3



Table 3(on next page)

The number of thoracic vertebrae in T. $cristatus \times T$. marmoratus hybrids

Table 3. The number of thoracic vertebrae in T. $cristatus \times T$. $marmoratus F_1$ hybrids and parental species. Modal numbers of thoracic vertebrae in vertebral formulae are shown in bold. S_{tr} – percentage of individuals with transitional vertebrae at thoraco-sacral boundary. T_{var} – percentage of individuals with the complete number of thoracic vertebrae different from the modal number.

Species	Sample size				Nun	nber	of tl	norac	eic v	ertebra	e		S _{tr} (%)	T _{var} (%)
		12	12.5	13	13.5	14	14.5	5 15	15.5	16 10	5.5 17 17	'.5 18		
T. marmoratus	58	46	4	8									6.9	13.8
T. cristatus	122			1		6	1	98	5	11			4.9	14.8
Hybrids	68	2	1	39	4	16		6					7.4	35.3



Table 4(on next page)

The number of individuals with regular and changed vertebral formulae in central and fringe populations of species with parapatric distributions

Table 4. The number of individuals with regular and changed vertebral formulae in "central" and "fringe" populations.

		modal vertebral rmula	Number wi			
Species	Central	Fringe	Central	Fringe	G	p
T. ivanbureschi	150	110	25	76	18.86	***
T. carnifex	53	37	13	20	2.09	ns
T. macedonicus	51	122	16	37	0.006	ns
T. cristatus	98	130	24	34	0.032	ns
T. dobrogicus	42	67	15	92	6.65	**
Total	394	466	83	259		

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