

1 **Surgical technique's influence on overall survival, disease free interval and new lesion**  
2 **development interval in dogs with mammary tumors**

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9 **Authors:**

10  
11 Rodrigo dos Santos Horta (Veterinary School - Universidade Federal de Minas Gerais -  
12 Brazil)

13 Gleidice Eunice Lavalle (Veterinary School - Universidade Federal de Minas Gerais -  
14 Brazil)

15 Rúbia Monteiro de Castro Cunha (Veterinary School - Universidade Federal de Minas  
16 Gerais - Brazil)

17 Larissa Layara de Moura (Veterinary School - Universidade Federal de Minas Gerais -  
18 Brazil)

19 Roberto Baracat de Araújo (Veterinary School - Universidade Federal de Minas Gerais -  
20 Brazil)

21 Geovanni Dantas Cassali (Biological Ciencias Institute - Universidade Federal de Minas  
22 Gerais - Brazil)

23  
24 **Short Title:** Surgical technique in dogs with mammary tumors

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26 **Keywords:** neoplasia, mastectomy, lumpectomy, mamectomy.

27 **Abbreviations:** World Health Organization (WHO)

28 **Address:**

29  
30 Escola de Veterinária da UFMG  
31 Av. Antônio Carlos, 6627  
32 PO Box: 567, Campus Pampulha  
33 CEP: 30123-970, Belo Horizonte, MG, Brazil

34  
35 **E-mail:** [rodrigohvet@gmail.com](mailto:rodrigohvet@gmail.com)

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41

**ABSTRACT**

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43 Mammary gland tumors represent 42% of all tumors in the bitch. Although there are  
44 numerous studies about disease development and progression, some questions remain  
45 concerning the surgical treatment. Many surgical techniques may be used for the  
46 treatment of canine mammary tumors similar to Medicine, the advantages and  
47 disadvantages of each procedure have been extensively discussed. The purpose of this  
48 study is to evaluate the influence of surgical procedure on survival, disease free interval  
49 and new lesion development interval in dogs with mammary tumors treated according  
50 to the biological behavior of these lesions. For this, 143 intact bitches were submitted to  
51 surgery for the treatment of mammary tumors between 2007 and 2011. Removal of  
52 mammary tumors was performed through the simplest and less invasive surgical  
53 procedure necessary for the complete removal of all tumors and main known lymphatic  
54 connections between affected glands: lumpectomy, mamectomy, regional mastectomy  
55 (including abdominal cranial mammary gland or not) or radical mastectomy. Mean  
56 clinical follow-up was 738.5 days. Considering only the first surgical event, 84.6% of  
57 animals had more than one mammary tumor, and 52.5% had tumors in both mammary  
58 chains. Comparing surgical techniques, there was no difference in ipsilateral and  
59 contralateral tumor development. Only 33 dogs developed new lesions in remaining  
60 mammary tissue, without correlation with primary lesion. Surgical technique had no  
61 effect on overall survival, disease-free interval and new lesion development interval in  
62 patients on this study, treated according to oncological surgery principles and  
63 established prognostic factors for mammary gland tumors in dogs. It is important to  
64 consider these results when deciding on the surgical management of dogs with

65 mammary tumors, to avoid aggressive surgical procedures that will not translate into  
66 clinical advantages to the patient.

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68 **Keywords:** Dogs; Surgery; Mammary neoplasm; Lumpectomy; Mammectomy;  
69 Mastectomy.

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## INTRODUCTION

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94 Mammary gland tumors represent 42% of all tumors in the bitch (Johnson, 1993).

95 Although there are numerous studies about disease development and progression, some

96 questions remain concerning the surgical treatment (Stratmann, 2008).

97

98 Similar to human mammary neoplasms (Mohammed, 2011), lymphatic system represents

99 the main route of metastasis of mammary malignant pathologies of dogs and cats

100 (Sorenmo, 2003; Lana, Rutteman & Withrow, 2007; Cassali et al., 2011). In the dog,

101 cranial and caudal thoracic glands drain to axillary lymph nodes, whilst inguinal and

102 caudal abdominal glands drain to inguinal lymph nodes. Cranial abdominal gland,

103 however, may drain to either axillary or inguinal lymph nodes (Sorenmo, 2003;

104 Patsikas, Dessiris, 2006). Axillary lymph nodes are rarely involved with mammary

105 cancer in the dog and should not be removed prophylactically. The inguinal lymph

106 node, intimately associated with the ipsilateral inguinal gland, should be removed

107 whenever this gland is surgically removed (Lana, Rutteman & Withrow, 2007).

108 Connections between glands on different sides and between other mammary glands are

109 rare, but may exist (Patsikas, Dessiris, 2006). Pereira et al. (2003) reported that

110 neoplastic lesions may induce the development of lymphatic anastomoses, modifying

111 mammary tissue natural drainage.

112

113 Surgery is the basic treatment of canine mammary tumors and is the most effective for

114 disease regional control (Sorenmo, 2003).

115

116 Many surgical techniques may be used for the treatment of canine mammary tumors  
117 (Lana, Rutteman & Withrow, 2007; Hedlund, 2008) and similar to Medicine (Fisher et  
118 al., 1977; Bland, 1981), the advantages and disadvantages of each procedure have been  
119 extensively discussed (Ferguson, 1985). When studying biological behavior of canine  
120 mammary tumors, Gilbertson et al. (1983) indicated radical mastectomy as the best  
121 surgical option. In the same year, Brodey et al. (1983) advocated individual treatments;  
122 surgical procedure should respect known lymphatic connections and base itself on  
123 tumor location, number and size of lesions and existence of skin or muscular adhesions.  
124 Radical surgeries were thoroughly performed on women with mammary tumors  
125 between 1910 and 1964, without any clinical benefits (Olson, 2002, Cotlar, Dubose,  
126 Rose, 2003). As from the 1950's, Halsted's mastectomy was questioned (Bland, 1981),  
127 however, the lack of clinical benefits of the radical mastectomy was only proved by the  
128 end of the 1970's (Fisher et al., 1977). Similar to Medicine, a prospective study  
129 conducted by MacEwen et al. (1985), with 144 dogs, did not find any difference  
130 between recurrence rate and survival when single mastectomy was compared to chain  
131 mastectomy.

132

133 A greater understanding about canine mammary pathology and new therapeutic  
134 modalities enabled the definition of distinct groups regarding prognosis and treatment  
135 (Cassali et al., 2011). Aggressive surgical procedures for the treatment of localized  
136 lesions may reduce the risk of developing new lesions in a small number of dogs,  
137 especially in young intact bitches (Lana, Rutteman & Withrow, 2007). Stratmann et al.  
138 (2008) also indicated radical mastectomy as the best surgical option, regardless of  
139 number and size of lesions. Authors reported a superior probability of new tumor

140 growth ipsilateral to the first surgery, although without statistical significance  
141 assessment.

142

143 The purpose of this study is to evaluate the influence of surgical procedure on survival,  
144 disease free interval and new lesion development interval in dogs with mammary  
145 tumors treated according to the biological behavior of these lesions.

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147

## 148 MATERIALS AND METHODS

149

150 *Inclusion criteria:*

151 143 intact bitches were submitted to surgery for the treatment of mammary tumors  
152 between 2007 and 2011.

153

154 Animals with malignant lesions and compromised surgical margins accessed by  
155 histopathological evaluation and dogs submitted to targeted adjuvant therapies with the  
156 use of cyclooxygenase inhibitors or hormone-therapy through ovariohysterectomy were  
157 excluded. Adjuvant chemotherapy was allowed. Candidates to chemotherapy were  
158 patients with lymph node or distant metastasis and patients with guarded to poor  
159 prognostic tumor diagnoses including: micropapilar carcinoma, high degree tubular  
160 carcinoma, mucinous, secretory or lipid-rich carcinoma, solid carcinoma, malignant  
161 mioepitelioma, carcinosarcoma and other sarcomas (Cassali et al., 2011).

162

163 Prior to surgery, all animals went through a complete clinical exam and two-view  
164 thoracic radiographs were taken to evaluate metastasis. Lymph nodes with size, shape or

165 consistency alterations were submitted to fine-needle aspiration cytology for metastasis  
166 evaluation. Positive cases were removed during surgery.

167

168 This study was approved by the ethics committee in animal experiments (023/2011).

169

### 170 *Choosing the surgical technique*

171

172 Removal of mammary tumors was performed through the simplest and less invasive  
173 surgical procedure necessary for the complete removal of all tumors and main known  
174 lymphatic connections between affected glands, as suggested by Brodey et al. (1983)  
175 and Lana et al. (2007).

176

177 It was not possible to separate surgical technique from staging and type of disease, since  
178 surgical technique was chosen according to lesion number and site, respecting  
179 lymphatic drainage and established prognostic factors such as size of lesions and  
180 existence of skin or muscular adhesions.

181

182 Lumpectomy was the removal of single solid superficial non-adherent tumors less than  
183 one centimeter. Lesions larger than one centimeter implied the need to remove the role  
184 gland. Mammectomy was indicated for lesions up to three centimeters, affecting only  
185 one gland whilst regional mastectomy was indicated for the removal of lymphatic  
186 connections of glands affected by lesions larger than three centimeters. The removal of  
187 cranial abdominal gland during regional mastectomy was sometimes necessary to obtain  
188 adequate surgical margins or for lesions between one and three centimeters in this  
189 gland. Radical mastectomy was the removal of all mammary chain unilaterally, when

190 lesions larger than three centimeters affected the cranial abdominal gland. Regional and  
191 radical mastectomies were also performed on multiple lesions, of one to three  
192 centimeters, to obtain a single surgical wound through a continuous incision and  
193 resection of mammary tissue.

194

195 Surgically removed tumors were submitted to surgical margin review, histopathological  
196 exam and classification, as proposed by Cassali et al. (2011).

197

198 *Clinical follow-up:*

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200 Throughout clinical follow up (median 738.5 days), dogs were examined, including  
201 thoracic radiographic examinations, in three to six months intervals or sooner, in case  
202 the owner recognized changes on the mammary chain or in case the patient was  
203 submitted to adjuvant chemotherapy. Subsequent surgery was indicated and performed  
204 in dogs that developed recurrences or new tumors on the remaining mammary tissue.

205

206 Survival time, in days, was defined as the time from original surgery until death caused  
207 by the disease. Disease free interval, in months, was defined as the time from original  
208 surgery until development of local recurrence or distant metastatic disease. New  
209 mammary lesion development interval, in months, was defined as time from original  
210 surgery until development of subsequent lesions in the remaining mammary tissue.

211

212 *Experimental design e statistical analysis:*

213



214 Each animal represented a repetition and each surgical technique a group: lumpectomy  
215 (P1), mamnectomy (P2), regional mastectomy without cranial abdominal gland  
216 involvement (P3), regional mastectomy with cranial abdominal gland involvement (P4),  
217 and unilateral mastectomy (P5).

218

219 After descriptive analysis of data and determination of malignant lesion frequency  
220 according to the surgical technique, groups were compared with chi-squared test.

221

222 Spearman's test was used to determine correlation between number of lesions and  
223 number of histological diagnoses in dogs that presented multiple mammary lesions at  
224 initial diagnoses or that underwent new surgical procedures, due to development of new  
225 lesions in the remaining mammary tissue.

226

227 Spearman's correlation was used to assess the association between surgical technique  
228 and patient staging. The ages of animals in each surgical technique group were  
229 submitted to analysis of variance and the median values were compared with Fisher  
230 exact test and Tukey's post-test.

231

232 New tumor development could only happen on the same gland of dogs treated by  
233 lumpectomy and radical mastectomy precludes new tumor development ipsilaterally,  
234 therefore, new mammary lesion development contralateral and ipsilateral, in each  
235 surgical technique (with the exception of evaluation of frequency of ipsilateral lesions  
236 for radical mastectomy) was compared with a chi-squared analysis.

237

238 Overall survival, disease free interval and new mammary lesion development interval  
239 (unrelated to primary tumor) were calculated using the Kaplan-Meier product limit  
240 method. Longrank statistics of Cox-Mantel was used to compare groups. Cases were  
241 censored for analysis if lost to follow-up or dead from another disease.

242

243 Statistical significance for all testing procedures was set at 5%.

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## RESULTS

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249

250 One hundred forty-three dogs were included in the study. Ages of the dogs ranged from  
251 3 to 16 years (mean  $9.2 \pm 2.3$  years). There were 23 mixed breed dogs (16%) with the  
252 other 120 dogs, representing 25 breeds. Poodle was the most common ( $n = 52$ ; 36.4%),  
253 followed by Cocker Spaniel ( $n = 11$ ; 7.7%) and Yorkshire terrier ( $n = 10$ ; 7%) (Table  
254 1).

255

256 Considering only the first surgical procedure, 121 (84.6%) of 143 dogs had more than  
257 one mammary lesion, and 52.5% of animals had tumors on both mammary chains.  
258 Histopathological diagnosis was established for 391 lesions, and 219 (56%) were  
259 classified as malignant neoplasms, 121 (31%) were benign neoplasms and 49 (12.5%)  
260 were non-neoplastic lesions. Histological types, in each surgical technique, are  
261 demonstrated on Table 2. Benign mixed tumor represented 56.2% of benign neoplasms,  
262 followed by papilloma (23.1%) and adenomas (17.4%). Carcinoma in mixed tumor was

263 the most frequent mammary cancer (47.5%), followed by malignant lesions “in situ”  
264 (23.3%) and papillary carcinoma (7.7%).

265

266 There were no significant differences between surgical techniques with regard to  
267 malignant lesion frequency ( $p>0.05$ ), however, there was a correlation between patient  
268 staging and surgical technique ( $p<0.0001$ ;  $r_s = 0.409$ ) and between staging and patient  
269 age ( $p<0.002$ ;  $r_s = 0.247$ ). The number of animals submitted to each technique and  
270 mean age in each group are demonstrated on Table 3. Dogs submitted to lumpectomy  
271 (P1) were younger than dogs on other groups, and so were animals submitted to  
272 regional mastectomy without removal of cranial abdominal gland (P3) compared with  
273 those submitted to radical mastectomy (P5) ( $p<0.0001$ ).

274

275 There was no significant correlation between lesions, but there was a strong association  
276 between number of mammary tumors and histological diagnoses variety ( $p<0.0001$ ;  $r_s =$   
277  $0.833$ ), as shown on Table 4. Thirty-three (24.8%) dogs developed new tumors on the  
278 remaining mammary tissue, and the number and percentage of animals that developed  
279 new tumors on the same gland where the first tumor was removed (only for  
280 lumpectomies), the ipsilateral chain adjacent or not (except for radical mastectomy) or  
281 the contralateral mammary chain, according to surgical technique, is shown on Table 5.  
282 No new lesions were observed in 30%, 72.7%, 72.7%, 71.4% and 90.2% of dogs in  
283 groups P1, P2, P3, P4 and P5, respectively. There was no significant difference between  
284 development of tumors ipsilaterally or contralaterally with regard to surgical technique  
285 ( $p>0.05$ ).

286

287 During follow-up, only fifteen, of 33 animals that developed new mammary lesions on  
288 the remaining tissue after the first surgery were submitted to subsequent surgery. There  
289 was no correlation between lesions ( $p>0.05$ ), and only five (33.3%) dogs had the same  
290 histological type on both procedures.

291

292 None of the patients submitted to lumpectomy and mastectomy died due to the  
293 disease or developed signs of the disease during follow-up. It was observed greater  
294 survival ( $p <0.03$ ) and disease free interval ( $p <0.05$ ) in patients of groups P1 and P2,  
295 when compared with P5, as shown in Figures 1 and 2, respectively. New lesion  
296 development interval (Figure 3) was random and there was no evidence of reducing the  
297 development of new lesions by use of a more extensive surgical technique ( $p>0.05$ ).

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## DISCUSSION

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319 The mean age of dogs diagnosed with mammary tumors and the search for veterinary  
320 assistance were in accordance with earlier reports (Daleck et al., 1998; De Nardi et al.,  
321 2002; Sorenmo, 2003; Zatloukal et al., 2005). The high incidence of crossbreeds, Poodles  
322 and Cocker spaniels may be related to population profile. However, in a study by  
323 Zatloukal et al. (2005), of 214 dogs, Poodles and Cocker spaniels had a statistically  
324 significant relative risk of developing mammary gland neoplasia.

325

326 Multiple mammary tumors, seen in 84.6% of animals in this study, are not related with  
327 the possibility of multicentric disease and do not imply a worse prognosis (Sorenmo,  
328 2003). Fowler et al. (1974) and Benjamin et al. (1999) describe multiple lesions in over  
329 60% of the cases, and each tumor should be examined separately, because there is a  
330 great possibility of distinct histopathological diagnoses. The strong correlation between  
331 number of lesions and distinct diagnoses, which occurred in 83.3% of the study  
332 population, is in accordance with Fowler et al. (1974) and Cassali et al. (2011).

333

334 In this study, malignant neoplasm frequency of 56% was superior to the 50% ratio  
335 reported by Sorenmo (2003) and Lana (2007). However, De Nardi et al. (2002) and  
336 Filho et al. (2010), reported malignancy ratios of 68.4% and 73.3%, respectively. These

337 differences may relate to regional characteristics as contraceptive use (De Nardi et al.,  
338 2002) and delay in the search for veterinary assistance. In this study, benign mixed  
339 tumor was the most frequent benign neoplasm (56.2%), but it was the second most  
340 frequently diagnosed (40%) by Filho et al. (2010). Likewise, carcinoma in mixed tumor  
341 represented 47.5% of malignant neoplasms in this study, and 20.5% on the study by  
342 Filho et al. (2010). Frequencies reported in this study for each histological type differ  
343 from international literature reports (Gilbertson, 1983; Brodey, Goldschmidt, Roszel,  
344 1983; Stratmann et al., 2008), probably due to a lack of histological standardization for  
345 canine mammary tumors (Salgado, Cassali, 2012).

346

347 Surgical technique, performed as proposed by Brodey et al. (1983) and Lana et al.  
348 (2007), was related to patient staging in 40.9% of the population in this study. There  
349 was a correlation between staging and patient age in 24.7% of cases, which implied the  
350 need for more aggressive surgery on older animals. World Health Organization (WHO)  
351 stage III, IV or V in older patients may be related to interval between tumor  
352 development and veterinary assistance, leading to the need of more aggressive  
353 procedures in these animals (Campos et al., 2012). Gilbertson et al. (1983) reported that  
354 some mammary lesions are associated with a higher risk for the development of  
355 invasive malignant neoplasms. Cassali et al. (2011), reported alterations on the  
356 mammary epithelium molecular expression pattern suggesting intraepithelial and  
357 intraductal lesions, as the ones reported in this study, which may represent pre-  
358 neoplastic lesions and a premature level of canine breast cancer development, and  
359 substantiates premature and simpler surgical procedures.

360

361 Unlike the report by Stratmann et al. (2008), there was no significant difference in  
362 ipsilateral and contralateral tumor development between surgical techniques, probably  
363 because, in this study, surgical technique was not randomly chosen, but based on  
364 disease macroscopic and clinical features. In addition, there was no correlation between  
365 subsequent lesions, probably due to a more detailed histopathological evaluation of each  
366 lesion.

367

368 Survival and disease free interval estimates were higher for dogs submitted to  
369 lumpectomy or mastectomy. This result may be related to early staging of these  
370 patients, which has better prognostic factors.

371

372 As MacEwen et al. (1985) reported for dogs and Fisher (1977) for Medicine, surgical  
373 technique must be chosen based on prognostic factors described on literature and there  
374 is no benefit on survival, disease free interval and new lesion development interval in  
375 dogs treated randomly by radical mastectomy (Lana, Rutteman & Withrow, 2007). The  
376 effectiveness of a surgical treatment depends on the surgeon's overall understanding of  
377 the overall health of the patient, type and stage of cancer, adjuvant therapies available  
378 and expected prognosis (Fisher, 2008).

379 Therefore, we conclude that surgical technique does not influence overall survival,  
380 disease free interval and new lesion development interval, as long as oncological  
381 surgery principles and established prognostic factors are respected; patients must have  
382 routine checkups and, any lesion, however small, must be prematurely removed by  
383 surgery and; canine mammary tumors must be removed by the simplest procedure, with  
384 the goal of removing the role lesion and the main lymphatic connections.

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### FIGURES

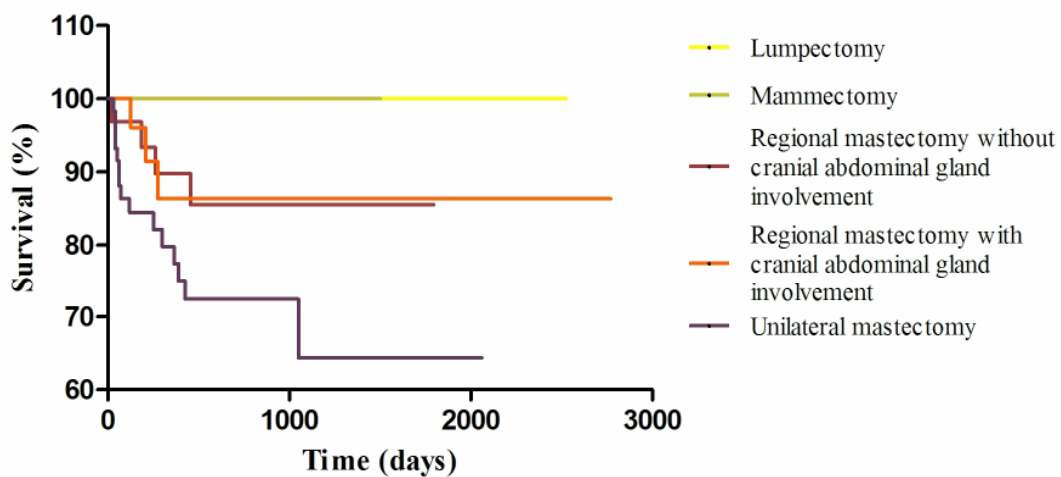


Figure 1 - Graphical representation (Kaplan-Meier curve) of survival evaluation of 143 dogs with mammary tumors, by surgical technique.

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466 Figure 1 – Graphical representation (Kaplan-Meier curve) of survival evaluation of 143

467 dogs with mammary tumors, by surgical technique.

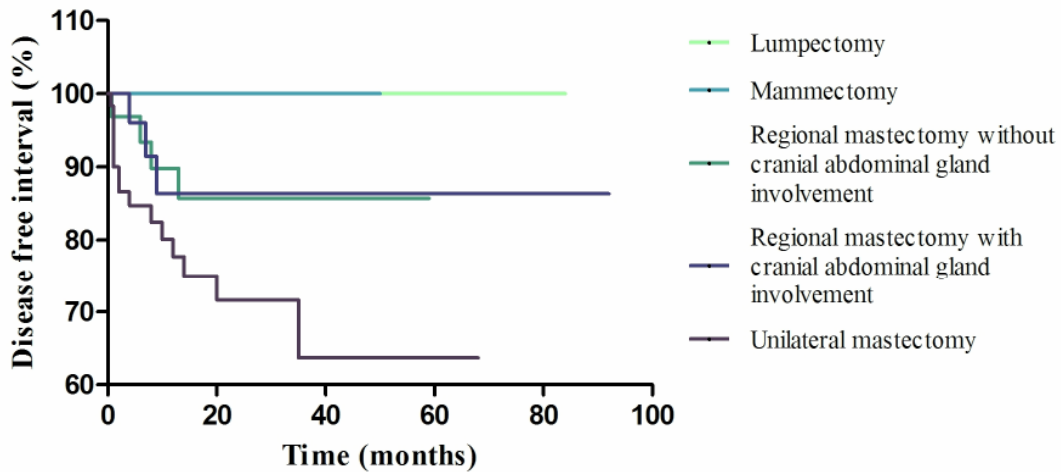


Figure 2 - Graphical representation (Kaplan-Meier curve) of disease free interval evaluation of 143 dogs with mammary tumors, by surgical technique.

468

469 Figure 2 – Graphical representation (Kaplan-Meier curve) of disease free interval  
 470 evaluation of 143 dogs with mammary tumors, by surgical technique.

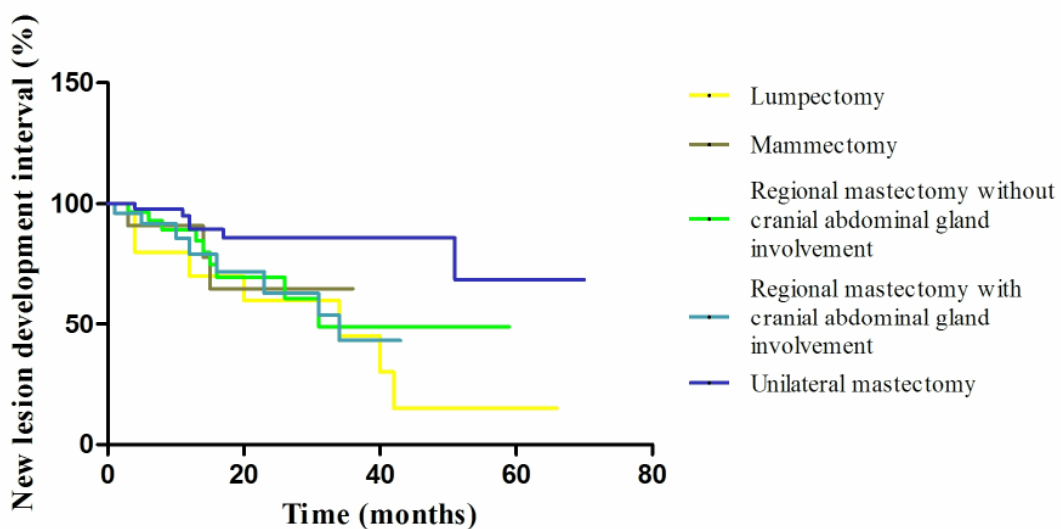


Figure 3 - Graphical representation (Kaplan-Meier curve) of new lesion development interval evaluation of 143 dogs with mammary tumors, by surgical technique.

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472 Figure 3 – Graphical representation (Kaplan-Meier curve) of new lesion development  
 473 interval evaluation of 143 dogs with mammary tumors, by surgical technique.

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476

## TABLES

**Table 1 – Breed total from the 143 dogs submitted to surgical treatment for the removal of mammary tumors**

Breed	Number
Poodle	52
Cocker Spaniel	11
Yorkshire Terrier	10
Dachshund	7
Pinscher	6
German Shepherd	6
Bichon frise	4
Others	24
Crossbred	23
TOTAL	143

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**Table 2 – Histopathological exam results and number of lesions found for each tumor type for each surgical technique on 143 dogs.**

Histological type	Surgical technique					TOTAL
	P1	P2	P3	P4	P5	
<b>Malignant neoplasms</b>						
Carcinoma in mixed tumor	6	5	25	12	56	104
"in situ" carcinoma	1	2	6	13	29	51
Papillary carcinoma	0	1	2	4	10	17
Tubular carcinoma	0	0	0	1	10	11
Solid carcinoma	0	0	0	5	4	9
Tubulopapillary carcinoma	1	0	4	0	3	8
Carcinosarcoma	0	0	1	1	4	6
Complex carcinoma	0	0	2	1	0	3
Mucinous, secretory or lipid-rich carcinoma	0	0	0	0	3	3
Malignant mioepitelioma	0	0	0	0	2	2
Hemangiosarcoma	0	1	1	0	0	2
Sarcoma in mixed tumor	0	0	0	0	1	1
Osteosarcoma	0	0	0	0	1	1
Micropapillary carcinoma	0	0	0	0	1	1
TOTAL	8	9	41	37	124	219
<b>Benign neoplasms</b>						
Benign mixed tumor	3	4	16	19	26	68
Papilloma	1	5	5	5	12	28
Simple, basaloid and complex adenoma	0	2	2	6	11	21
Adenomioepitelioma	0	0	1	0	0	1
Lipoma	0	0	1	0	0	1
Hemangioma	0	0	0	0	1	1
Fibroadenoma	0	0	0	0	1	1
TOTAL	4	11	25	30	51	121
<b>Non-neoplastic lesions</b>						
Ductal and lobular hyperplasia	1	0	7	5	19	32
Mastitis	0	0	2	6	7	15
Columnar cell lesion	0	0	0	0	2	2
TOTAL	1	0	9	11	28	49

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**Table 3 – Number of animals, mean age and standard deviation in each group, by surgical technique**

Surgical technique	n	Age ( $\bar{x} \pm s^2$ )
Lumpectomy	10	6,2 $\pm$ 2,2
Mamectomy	11	10,0 $\pm$ 2,3
Regional mastectomy without involvement of cranial abdominal gland	33	8,9 $\pm$ 2,2
Regional mastectomy with involvement of cranial abdominal gland	28	10,2 $\pm$ 2,5
Unilateral mastectomy	61	10,5 $\pm$ 2,2

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**Table 4 – Number of lesions and mean distinct diagnoses on 143 dogs**

Number of lesions	Number of animals	Mean of distinct diagnoses
1	33	1,00
2	43	1,74
3	31	2,45
4	24	2,96
5	4	3,50
6	3	4,00
7	4	4,75
13	1	6,00

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**Table 5 – Number and percentage of animals that developed new tumors by surgical technique**

Surgical technique	P1	P2	P3	P4	P5
Same mammary gland	4 (40%)	-	-	-	-
Ipsilateral mammary chain adjacent	2 (20%)	0 (0%)	2 (6,1%)	0 (0%)	-
Ipsilateral mammary chain non adjacente	1 (10%)	2 (18,2%)	4 (12,2%)	2 (7,1%)	-
Contralateral mammary chain	3 (30%)	2 (18,2%)	5 (15,2%)	7 (25%)	6 (9,8%)

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