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A New Direction for Soundscape Ecology? Toward the Extraction and Evaluation of Ecologically-Meaningful Soundscape Objects using Sparse Coding Methods

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ABSTRACT

Efficient methods of biodiversity assessment and monitoring are central to ecological research and crucial in conservation management; technological advances in remote acoustic sensing inspire new approaches. In line with the emerging field of Soundscape Ecology (Pijanowski et al., 2011), the acoustic approach is based on the rationale that the ecological processes occurring within a landscape are tightly linked to and reflected in the high-level structure of the patterns of sounds emanating from those landscapes - the soundscape. Rather than attempting to recognise species-specific calls, either manually or automatically, analysis of the high-level structure of the soundscape tackles the problem of diversity assessment at the community (rather than species) level (Pijanowski et al., 2011; Farina, 2014). Preliminary work has attempted to make a case for community-level acoustic indices (e.g. Pieretti et al., 2011; Farina, 2014; Sueur et al., 2008); existing indices provide simple statistical summaries of the frequency or time domain signal. We suggest that under this approach, the opportunity to analyse spectro-temporal structural information is diminished, limiting power both as monitoring and investigative tools. In this paper we consider sparse-coding and source separation algorithms (specifically, shift-invariant probabilistic latent component analysis in 2D) as a means to access and summarise ecologically-meaningful sound objects. In doing so we highlight a possible new approach for understanding and assessing ecologically relevant interactions within the conceptual framework of Soundscape Ecology.

Keywords: Soundscape Ecology, Biodiversity, Sparse Coding, Probabilistic Latent Component Analysis, Unsupervised Learning, Acoustic Niche Hypothesis

1 INTRODUCTION

- Biodiversity assessment is a central and urgent task, not only for research in the biological sciences, but
- ² also in applied conservation biology, including major multi-lateral initiatives for promoting and protecting
- ³ biodiversity. At the governmental level biodiversity needs to be incorporated into national accounting by
- $_{4}$ 2020 (Aichi Biodiversity targets A2)¹ and cost effective tools necessary to achieve this remain elusive.
- ⁵ Operating within the conceptual and methodological framework of the burgeoning field of Soundscape
- ⁶ Ecology, (Pijanowski et al., 2011; Farina, 2014) we are interested in the potential use of acoustic indices
- ⁷ for interrogating the interactions between the landscape and the communities which live within it, with
- ⁸ ultimate utility in conservation as a rapid acoustic biodiversity assessment tool. In this ideation paper
- ⁹ we first summarise the foundational premises for the soundscape approach and provide an overview of
- existing acoustic indices; a key constraint of current indices is identified and a potential new direction for
- ¹¹ research is suggested.

12 1.1 Current Methods for Biodiversity Assessment.

- ¹³ Biodiversity refers to the variability among living organisms and the ecological complexes of which
- they are a part (Buckland et al., 2005) and is seen as an indicator of the 'health' of a habitat. It should
- ¹⁵ be noted that within traditional ecology, the question of how best to measure and describe biodiversity

¹http://www.cbd.int/sp/targets/

- is contentious. There are no absolute measures, rather indices reflecting difference or change across 16 or between time or space. A key part of biodiversity assessment then, is based on the estimation and 17 monitoring of changes in species composition and abundance in animal communities. There are a plethora 18 of approaches to sampling and analysis (Magurran, 2004; Buckland et al., 2005; Pavoine and Bonsall, 19 20 2011) most of which are derived from observations of the richness and abundance of species encountered in a given area at a specified time. In most strategies, there is a trade-off between data quality and quantity. 21 All Taxa Biodiversity Indices (ATBI) or multi-species field studies are desirable theoretically, but in 22 practice surveys are invariably subject to financial constraints that bind decision-makers (Lawton et al., 23 1998). In ongoing work, we are exploring cost-effective solutions, including remote sensing (camera traps 24 and aerial photography of canopy) and identification of 'ecological-disturbance indicator species' (Caro, 25 2010). Remote sensors are an attractive choice for data collection in that they are noninvasive, scalable in 26 both space and time and remove the bias and cost associated with programs which require either experts 27 (ATBIs, Gewin, 2002) or even non-specialists (Rapid Biodiversity Assessment, Oliver and Beattie, 1993), 28 in situ. 29 Various forms of remote visual sensing technologies have been explored. Global satellite imaging 30
- has been investigated to monitor biophysical characteristics of the earth's surface by assessing species 31 ranges and richness patterns indirectly (e.g. Wang et al., 2010). These methods are attractive, but rely 32 on expensive equipment, are difficult to adapt to small spatial scales and require a time-consuming 33 34 validation step. It is possible, for example, to infer valid species-level identification of canopy trees from high-resolution aerial imagery, providing a means of remote sensing to assess forest status (Peck et al., 35 2012). However, the principal weakness of this and other existing visual remote sensing methods is that 36 they cannot provide direct information on the status of taxa other than plants: they cannot detect 'silent 37 forests'. The need for innovative remote sensing methods to monitor the status of wildlife remains and 38 acoustic, rather than visual, sensors have many attractive characteristics. 39

1.2 Acoustic Approaches to Biodiversity Assessment

Acoustic surveys have most obvious relevance for the identification of vocal animals. Bird species 41 in particular are of interest as their importance as indicator species of environmental health has been 42 demonstrated in temperate (Gregory and Strien, 2010) and tropical (Peck et al., 2015) climates. One 43 approach is to focus on automatic species call identification, but current methods are far from reliable (e.g. 44 Skowronski and Harris, 2006, for bats), increasingly difficult in complex environments such as tropical 45 forest soundscapes, where tens of signals mix and many species still remain unknown (Riede, 1993) and 46 47 notoriously difficult to generalize across locations due to natural geographic variation in species' calls (Towsey et al., 2013). 48 Rather than focusing on individual species, there is a growing interest in monitoring community 49 structure within the emerging field of Soundscape Ecology (Pijanowski et al., 2011) in which systematic 50 51 interactions between animals, humans and their environment are studied at the landscape level. From this emerging perspective, the landscape's acoustic signature – the soundscape – is seen as a unique 52 component in the evaluation of its function, and therefore potential indicator of its status (Krause, 1987; 53

- Schafer, 1977). By extension, vocalising species establish an *acoustic community* when they sing at the same time at a particular place. The potential for estimation of acoustic community dynamics as key to understanding what drives change in community composition and species abundance is being recognised (Lellouch et al., 2014).
- This relationship can be understood in evolutionary terms: the same competitive forces which drive 58 organisms to partition and therefore structure dimensions of their shared biophysical environment (food 59 supply, nesting locations etc.) apply in the shared sonic environment; the soundscape is seen as a finite 60 resource in which organisms (including humans) compete for spectro-temporal space. These ideas were 61 first explicitly captured in Krause's Acoustic Niche Hypotheses (ANH) (Krause, 1987) which suggests that 62 vocalising organisms have evolved to occupy unique spectro-temporal 'niches', minimising competition 63 and optimising intraspecific communication mechanisms. Formulated following countless hours recording 64 in pristine habitats, Krause goes so far as to posit that this spectro-temporal partitioning structures the 65 global soundscape, such that the global compositional structure is indicative of the 'health' of a habitat. 66 Crudely put, in ancient, stable ecosystems, the soundscape will comprise a complex of non-overlapping 67 signals well dispersed across spectro-temporal niches; a newly devastated area would be characterised by 68
- ⁶⁹ gaps in the spectro-temporal structure; and an area of regrowth may comprise competing, overlapping

⁷⁰ signals due to invasive species.

⁷¹ Krause's ANH can be understood in terms of several theories of the evolution of bird species, which

⁷² are supported by field studies. Avian mating signals are thought to diverge via several processes: (1) as a

by-product of morphological adaptation, the *Morphological Adaptation Hypothesis*; (2) through direct
 adaptation to physical features of the signalling environment, the *Acoustic Adaptation Hypothesis*; and

(3) to facilitate species recognition, the *Species Recognition Hypothesis*. Field studies of the Neotropical

⁷⁶ suboscine antbird (Thamnophilidae) provide direct evidence that species recognition and ecological

adaptation operate in tandem, and that the interplay between these factors drives the evolution of mating

r8 signals in suboscine birds (Seddon, 2005). The ANH is tenable in evolutionary terms, but to date we

have lacked the tools for any serious experimental investigation of exactly which dimensions of acoustic

⁸⁰ ecospace niches may occupy.

81 1.3 Existing Acoustic Indices

This emerging framework, coupled with the technical feasibility of remote acoustic sensing and pressure to 82 meet strategic biodiversity targets, fuels a growing research interest in ecological applications of acoustic 83 indices; several dozen have been proposed over the last 6 years (see Sueur et al., 2014; Towsey et al., 2013; 84 Lellouch et al., 2014, for good overviews). These are predominantly derived from statistical summaries of 85 Fast Fourier Transforms (FFTs) or time wave representations of soundscape recordings and are motivated 86 by slightly different approaches to measuring the 'health' of a habitat or species diversity or abundance. 87 The simplest indices provide summaries of the Sound Pressure Level (e.g. peaks, or specific times of 88 day). In (Rodriguez et al., 2013), for example, root mean square values of raw signals from a network of 89 recorders are used to create maps of amplitude variation to reveal spatiotemporal dynamics in a neotropical 90 forest. The predominant approach, however, is to consider amplitude variation in time or magnitude 91 differences between frequency bands of a spectrogram; a selection of these indices are described below. 92 Under the assumption that anthropogenic noise contribution is band-limited to a frequency range 93 (anthrophony: 2 - 8 kHz) below that of the rest of the biological world (biophony: 0.2 - 2 kHz), the 94 Normalized Difference Soundscape Index (NDSI) (Kasten et al., 2012) seeks to describe the 'health' 95 of the habitat in terms of the level of anthropogenic disturbance by calculating the ratio (biophony -96 anthrophony) / (biophony + anthrophony). In long term studies, the NDSI has been shown to reflect 97 assumed seasonal and diurnal variation in a landscape and may prove useful for observing high level, long 98 term interactions between animals and human populations (Kasten et al., 2012). However, it does not 99 give an estimation of local diversity within the range of biophony, or provide a means to investigate short 100 term interactions in detail. Further, assumptions about frequency ranges may not generalize. For example 101 in non-industrialized tropical climes (arguably the most precious in ecological terms) animals vocalize 102 outside the 2-8 kHz range (Sueur et al., 2014), and industrial anthrophony is minimal. 103

A range of entropy indices are based on the assumption that the acoustic output of a community will increase in complexity with the number of singing individuals and species. A summary of the complexity of the sound is assumed to give a proxy of animal acoustic activity. Complexity here is used as a synonym of heterogeneity and many indices derive from classical ecological biodiversity indices. Shannon Entropy (Shannon and Weaver., 1949) (Equation 1) is favoured by ecologists as a measure of species diversity, where p_i is the proportion of individuals belonging to the i_{th} species in the data set of interest; it quantifies the uncertainty in predicting the species identity of an individual that is taken at random from the dataset.

$$H' = -\sum_{i=1}^{R} p_i ln p_i \tag{1}$$

The Acoustic Diversity Index (Villanueva-Rivera et al., 2011) (ADI) is a spectral entropy measure 111 which summarises the distribution of the proportion of signals across the spectrum. The FFT spectrogram 112 is divided into a number of bins (default 10) the proportion of the signals in each bin above a threshold 113 (default = 50 dBFS) is calculated. The Shannon Index (Eq.1) is then applied, where p_i is the fraction 114 of sound in each i_{th} of R frequency bands. An evenness metric, the Acoustic Evenness Index (AEI) is 115 similarly derived by calculating the Gini index (Gini, 1912) (commonly used by ecologists to estimate 116 species evenness) on the spectrum. These relatively simple indices are shown to effectively reflect 117 observed distinctions in gross acoustic activity, for example between dawn choruses and night activity, or 118 between diverse habitats (mature oak forest, secondary forest, wetland and agricultural land). 119

The Acoustic Entropy Index, H (Sueur et al., 2008) is also calculated as the product of spectral 120 (sh) and temporal (th) entropies, calculated on the mean spectrum and Hilbert amplitude envelope of a 121 time wave respectively. H ranges from 0 for pure tones to 1 for high-energy, evenly distributed sound. 122 The index was first tested against simulated choruses, generated by mixing together samples of avian 123 vocalisations and systematically varying the number of species in each track. H values increased with 124 species richness S following a logarithmic model. Field trials were carried out in pristine and degraded 125 African coastal forests and H was shown to reflect assumed variation in species richness. (Sueur et al., 126 2008). The study was in an area where animal acoustic activity was high and background noise low. 127 When background noise (such as traffic) or broadband signals (such as rain, cicada or tropical cricket 128 choruses) are higher, spectral entropy measures may give counter-intuitive results (values for white noise 129 or motorway traffic, for example would approach 1). 130

The spectral indices provide a statistical summary of the distribution of energy across the sample, 131 typically 1-10 mins are analysed at a time. These prove useful in long term studies or for observing gross 132 changes in time or space. Seeking to capture subtler changes in behaviour and composition of vocalising 133 communities, and to counter the noise-sensitivity of the entropy indices, the Acoustic Complexity Index 134 (ACI) was developed specifically to capture the dynamic changes in the soundscape: "many biotic sounds, 135 such as bird songs, are characterised by an intrinsic variability of intensities, while some types of human 136 generated noise (such as car passing or airplane transit) present very constant intensity values" (Pieretti 137 et al., 2011). The (ACI) is derived from measures of absolute difference in adjacent bins in a spectrogram 138 and was shown to correlate with the number of bird vocalisations in a small scale spatial study in an 139 Apennine National Park, Italy (Pieretti et al., 2011). 140

The **Bioacoustic Index** (Boelman et al., 2007) is presented as measure of avian abundance and is calculated simply as the area under the mean frequency spectrum (minus the value of the lowest bin), providing a measure of both the sound level and the number of frequency bands used by the avifauna. It was used to investigate differences between exotic and native species in Hawaii and shown to be strongly correlated with counts from direct ornithological survey when calculated for single samples taken across a 6 week period.

These initial studies are encouraging: indices have been shown to correlate with aurally identified 147 changes in bird species richness (Depraetere et al., 2012) and reveal dynamic variation across landscape 148 [32], [28], however there are many open questions both methodologically and theoretically. Existing 149 indices are inherently likely to be affected by several factors including transitory or permanent background 150 noise, variation in distance of the animal to the microphone and relative intensity of particular species 151 call patterns. Theoretically, we are still far from understanding exactly what aspects of biodiversity these 152 indices might represent (Pijanowski et al., 2011; Sueur et al., 2008; Servick, 2014). This is highlighted in 153 a recent temporal study of dissimilarity indices (Lellouch et al., 2014) in which indices were shown to 154 correlate well with simulated communities, but did not track community composition changes in the wild, 155 raising the question of what, if any, aspect of compositional diversity such indices represent. 156

By virtue of being based on either time-averaged spectrograms *or* amplitude changes in the time domain, indices under this approach are fundamentally limited in their ability to detect *spectro-temporal* patterns, which may be key to evaluating the acoustic dynamics of specific communities. Frequency-based indices can pick up on crude differences in gross frequency range, but are inherently constrained in their ability to detect global spectro-temporal patterns created by cohabiting species interacting in an acoustic community. As the motivational premise of the community level approach assumes that spectro-temporal partitioning is responsible for structuring the soundscape, this constraint may be relevant.

164 1.4 Sparse Coding and Latent Component Analysis

Time-frequency tradeoffs are an important issue in all signal processing tasks. Sparse coding is gaining
 popularity in brain imaging, image analysis and audio classification tasks as an alternative to vector-based
 feature representations. Depending on the dictionary used, sparse representations using overcomplete
 dictionaries may have more time-frequency flexibility than standard Fourier transform representations.

The basic idea is fairly simple. For a given set of input signals, a number of atomic functions are sought, such that each input signal can be approximated sparsely by a linear combination of a relatively mall number of atomic functions. This set of atoms is called a dictionary. In sparse coding, the number of atomic functions is higher than the dimensionality of the signal such that a subset of them can span the

whole signal space – an overcomplete dictionary (Scholler and Purwins, 2011). Sparse approximations

of the signal area are then constructed by finding the "best matching" projections of multidimensional
 data onto an over-complete dictionary, Matching Pursuit (Mallat and Zhang, 1993) (MP) being a popular
 choice.

Sparse decomposition using dictionaries of atoms based on biologically informed time-frequency atoms such as Gabor and Gammatone functions – which are seen to resemble characteristics of cochlea filters – are intuitively attractive as they can provide an oriented feature set with which to approximate the original signal. This has been shown to be more efficient than Fourier or wavelet representations (Smith and Lewicki, 2005) and to provide effective and efficient input features in a range of audio discrimination tasks in everyday sounds (Adiloglu et al., 2012), drum samples (Scholler and Purwins, 2011) and similarity matching of bioacoustic data (Glotin et al., 2013).

Probabilistic Latent Component Analysis (PLCA) is one of a family of techniques used for source separation, which similarly provides a tool for extracting sound objects according to common frequencyamplitude statistics. PLCA is a probabilistic variant of non-negative matrix factorization (NMF) (Lee and Seung, 2001). It decomposes a non-negative matrix V into the product of two multinomial probability distributions, W and H, and a mixing weight, Z. In the auditory domain, V would be a matrix representing the time-frequency content of an audio signal:

$$V \approx WZH = \sum_{k=0}^{K-1} w_k z_k h_k^T \tag{2}$$

where each column of *W* can be thought of as a recurrent frequency template and each row of *H* as the excitations in time of the corresponding basis. Z = diag(z) is a diagonal matrix of mixing weights z and *K* is the number of bases in W (Weiss and Bello, 2010). Each of *V*, w_k , z_k , and h_k correspond to probability distributions and are normalized to sum to 1.

Sparse and shift-invariant PLCA (SI-PLCA) extends PLCA to enable the extraction of multiple 194 shift-invariant features from analysis of non-negative data of arbitrary dimensionality and was first 195 demonstrated as an effective unsupervised tool for extracting shift-invariant features in images, audio 196 and video (Smaragdis et al., 2008). The algorithm provides a very precise and perceptually meaningful 197 description of content. A series of piano notes, for example, is automatically decomposed into a kernel 198 distribution representing the harmonic series common to all notes, the peaks of the impulse distribution 199 representing the fundamental frequency of each note and its location in time (Smaragdis et al., 2008). Weiss 200 and Bello (2010) demonstrated application in segmentation task, showing SI-PLCA to be competitive with 201 Hidden Markov Models and self-similarity matrices. More recently, Sarroff and Casey (2013) developed 202 a shift and time-scale invariant PLCA which performed well against results of a human 'groove-similarity' 203 judgement task. 204

205 A common strategy used throughout the NMF literature is to favour sparse settings in order to learn parsimonious, parts-based decompositions of the data. Sparse solutions can be encouraged when 206 estimating the parameters of the convolution matrix by imposing constraints using an appropriate prior 207 distribution (Smaragdis et al., 2008). Under the Dirichlet distribution for example, hyper-parameters 208 can be set to favour a sparse distribution. In these cases, the algorithm will attempt to use as few bases 209 as possible, providing an 'automatic relevance determination strategy' (Weiss and Bello, 2010): The 210 algorithm can be initialised to use many bases; the sparse prior then prunes out those that do not contribute 211 significantly to the reconstruction of the original signal. In the context of pop song segmentation, this 212 enables the algorithm to automatically learn the number and length of repeated patterns in a song. In 213 soundscape analysis, might this provide an ecologically-relevant indicator of the compositional complexity 214 of an acoustic community? 215

In Music Information Retrieval and composition tasks, SI-PLCA provides a tool for accessing 216 perceptually relevant musical objects from time-frequency shifted patterns in a dynamic signal. From the 217 perspective of Soundscape Ecology, we are not necessarily concerned with the identification of specific 218 species, so much as achieving a numerical description of the qualitative patterns of interaction between 219 them. By way of musical analogy, we don't care what the specific instruments of the orchestra are, rather 220 we wish to assess characteristics of the arrangement and how the voices interact as an ensemble toward a 221 222 coherent global composition through time, timbre and pitch space. Frequency-based indices may succeed in tracking species richness in simulated communities by measuring gross changes in frequency band 223 occupancy. Perhaps their failure to track variation in species richness in the wild is because the defining 224

feature of acoustic communities are global patterns of interaction across a more complex spectro-temporal space, rather than frequency band occupancy or amplitude variation alone. Current indices based on frequency *or* amplitude statistics inherently throw away information crucial to the analysis of spectrotemporal patterns: SI-PLCA provides a tool for extracting dynamic sound objects grouped by common frequency-amplitude statistics, even when pitch or time shifted. That it has been demonstrated to be effective in extracting the perceptually-meaningful but nebulous concept of 'groove' (Sarroff and Casey, 2013) suggests potential as a tool for beginning to interrogate the concept of the acoustic niche.

In this paper we take a first look at how these methods might provide a complementary approach to current acoustic indices for investigation of soundscape dynamics and ultimately for biodiversity assessment. Taking a small sample of field recordings across different habitats in an Ecuadorian cloud forest reserve we compare existing spectral and temporal indices with sample analyses of a number of approaches to sparse approximation, including dictionaries built using mini-batch gradient descent, Gabor functions and SI-PLCA2D. The potential value of this approach is illustrated with example reconstructions from a new variant of SI-PLCA using dual dictionaries.

239 2 METHODS AND MATERIALS

240 2.1 Data Collection

241 2.1.1 Study Area and Acoustic Survey Methods

The data reported here was collected during an 8 week field survey (June - August 2014) in the Ecuadorian Andean cloud forest at the Santa Lucia Cloud Forest Reserve (SLR). The SLR (0°07'30" N, 78°40'3" W) is situated on the Western (Pacific) slopes of the Andes in northwestern Ecuador and spans an elevational range of 1400 - 2560 m. The forest is lower montane rain forest (cloud forest). The area has a humid subtropical climate and is composed of fragmented forest reserves surrounded by a matrix of cultivation and pasture lands. It lies within the Tropical Andes biodiversity hotspot and exhibits high plant species endemism and diversity. Topography is defined by steep-sloping valley systems of varying aspect.

The SLR was awarded reserve status 20 years ago, prior to which areas of Primary Forest had cleared for fruit farming. The SLR therefore consists of a complex mosaic of habitat types: Ancient Primary Forest (FP) punctuated by small areas of secondary regrowth of around 20 years (FS) and silvopasture (S), typically elephant grass pastures used as grazing paddocks for the mules, which provide local transport. These areas are less than 5 ha. In contrast to other studies where dramatically different sites have been used to validate indices, this complex patchy habitat provides subtle habitat gradients.

Acoustic data was collected using nine digital audio field recorders Song Meter SM2+ (Wildlife Acoustics), giving three replicates of each of the three habitat types. Minimum distance between recorders was 300m to avoid pseudo sampling. Altitudinal range was minimised. Recording schedules captured the full dawn (150 min), dusk choruses (90 min) plus midday (60 min) activity; throughout the rest of the period 3min recordings were taken every 15 min.

The SM2+ is a schedulable, off-line, weatherproof recorder, with two channels of omni-directional microphone (flat frequency response between 20 Hz and 20 kHz). Gains were set experimentally at 36 dB and recordings made at 16 bit with a sampling rate of 44.1 kHz. All recordings were pre-processed with a high pass filter at 500 Hz (12 dB) to attenuate the impact of the occasional aircraft and local generator noise.

265 2.1.2 Species Identification

Point counts were carried out by a local expert ornithologist and were made *in situ* in order to record species seen as well as heard. A record was made for each individual, rather than individual vocalisations, providing species presence-absence and abundance.

269 2.2 Acoustic Indices

²⁷⁰ For the purposes of this illustrative exercise, analyses were carried out on dawn chorus recordings from

²⁷¹ just one day for three habitat types sampled. A range of indices described in Section 1.3 were calculated:

- NDSI, H (including sh and th components), ADI, AEI, ACI and BI. Indices were calculated for the same
- ²⁷³ 10min periods during which point counts were made at each site. Calculations were made using the
- ²⁷⁴ seewave² and acousticecology³ packages in R.

²http://cran.r-project.org/web/packages/seewave/index.html ³http://cran.r-project.org/web/packages/soundecology/index.html

2.3 Audio Spectrum Approximation Methods 275

Three approaches to audio decomposition are illustrated using the Bregman Media Labs Audio Patch 276 Approximation Python package:⁴ Dictionary learning using mini-batch gradient learning, a Gabor

277 field dictionary and, shift invariant 2D Principle Latent Component Analysis (SI-PLCA2D). Each uses

- 278
- Orthogonal Matching Pursuit (OMP) to build the component reconstructions. Samples were extracted 279
- from analyses of 1 minute sections of the field recordings. These examples are aimed at illustrating the 280 potential of an atomic rather than vector approach in general, rather than experimental validation of any 281
- particular algorithm. Default parameters were used in all cases. 282

A potential future direction is illustrated using a SI-PLCA variant (SI-PLCA2) using 2D dual dictio-283 naries (Smaragdis and Raj., 2007; Weiss and Bello, 2010; Sarroff and Casey, 2013) based on frequency * 284 local time functions and frequency-shift * global time-activations. The expectation-maximisation (EM) 285 algorithm (Smaragdis and Raj., 2007) is used to build component reconstructions. 286

As described in Section 1.4, the algorithm returns a set of k from a K maximum components $(K_{max} = 16)$: independent component reconstructions, time-frequency kernels and shift-time activation 288 functions. Entropies of each are also returned. The technical details of this approach are not addressed 289 here, but example analyses are used to illustrate SI-PLCA as a potentially rich tool for future research in 290 investigating the complex quasi-periodic signals of wild soundscapes. 291

3 RESULTS AND DISCUSSION 292

3.1 Species Composition of Acoustic Communities 293

The species observations for each site, shown in Table 1, reveal little variation in overall abundance or 294 species number between the sites when seen and heard records are considered together. Several species 295 are observed in all sites; others are observed only in one habitat type. Discounting the seen-only counts, 296 the highest number of species, and individuals, was recorded at S, with least heard FP. The spectrograms 297 and mean spectrum profiles (Figure 1) for these recordings suggest that this information is present in the 298 soundscape. The number of shared species between sites results in acoustic communities with an overall 299 similar overlap, differentiated by calls of 'keynote' species. Each acoustic community occupies a broadly 300 similar frequency range, with variation in the peaks of spectral profiles according to the prevalence of 301 calls of habitat-specific species. FP appears to have lowest over-all activity, in line with the relatively 302 fewer number of species observed. 303

Despite occupying an overall similar frequency range and not differing dramatically in abundance, 304 each site is distinctly characterised by differing quasi-periodic patterns of calls. The same patterns 305 observed at the 1 minute shown continued for the full 10 minute sample⁵. The soundscape is structured, 306 not just by repetitions of specific species calls, but by turn taking, i.e. interactions between species. This 307 is most evident in listening, and can be observed visually as an interplay of periodic gestures in the 308 309 spectrogram. It is precisely this complex of interacting periodic structures which we wish to evaluate under the soundscape approach, but which are impervious to analyses by current indices. 310

3.2 Acoustic Indices 311

Values for each of the acoustic indices calculated for the three habitats are given in Table 2 and shown as 312 313 bar plots in Figure 2. As we might expect given the minimal anthropogenic noise and broadly similar spectral profile, the NDSI reports near maximum values for each site. The global complexity of each 314 scene is high; it is no surprise then that entropy indices approach 1 and differences between sites are 315 minimal. The ADI reports a small variation, following the rank-order pattern of species heard at each 316 site. Differences between Sueur's spectral, temporal and therefore overall, H entropy are minimal. ACI 317 similarly shows small variation between sites. This index in particular is very sensitive to the size of the 318 analysis window and requires further exploration to establish which aspects of community composition 319 may be being assessed. BI values report the differences in overall acoustic energy, observable in mean 320 spectrum plot (Figure 1, bottom), with the highest value at FS, FP being slightly higher than site S. These 321 basic features of the acoustic recordings are at odds with the field observations of abundance and species 322 numbers. An increase in overall energy could be due to certain individuals having intrinsically louder calls, 323 324 calling more frequently, or simply being closer to the microphone. In validation studies the latter could

⁴https://github.com/bregmanstudio/audiospectrumpatchapproximation

⁵1 min excerpts available via PeerJ linked data service

³²⁵ be countered by factoring in field-based point count distance measures (recorded, but not included here)
 ³²⁶ and call frequencies, as well as tallies of individuals, the latter being expedited by the use of automatic
 ³²⁷ segmentation software (as in Pieretti et al., 2011).

The key issue raised here, however is that in providing summaries of frequency *or* temporal amplitude

³²⁹ profile and magnitude differences, these current indices are not only sensitive to these largely irrelevant

variations in overall amplitude changes, but are all *insensitive* to the periodic structures which uniquely

331 characterise the three soundscapes.

332 3.3 Sparse-approximation Outputs

Dictionaries and sparse-approximations of recordings of each site using mini-batch gradient descent, Gabor atoms and SI-PLCA2D are shown in Figure 3. The input for each is a log-frequency spectrogram (constant-Q transform) of samples from the field recordings, as shown in Figure 1. Example dictionaries (left) and sparse approximations of the input spectrogram (right) for site FP are shown for each method (component reconstructions not shown). Comparing the sparse-approximation of the original spectrogram for FP (see Figure 1 top image), the superior performance of SI-PLCA2D over the other two methods is evident.

A detailed technical discussion of the methods is beyond the scope of the current paper, however of 340 key interest in this context are the qualitative differences in the dictionaries. The Gabor field dictionary 341 has an intuitive advantage over vector descriptors in representing features oriented in time-frequency 342 space. The dictionary learned under mini-batch gradient descent similarly exhibits time-frequency atoms 343 differing subtly in orientation. The SI-PLCA2D dictionary however, comprises a collection of spectrum 344 patches with a variety of micro-structures across a range of orientation and spread. In terms of the filter 345 model which motivates the use of Gabor atoms, the Gabor and mini-batch dictionaries could be described 346 as having relatively homogenous widths across the dictionary; the SI-PLCA2D dictionary by contrast 347 contains points not only differing in time-frequency orientation, but in spectral width, atoms 0, 2, 3 and 4 348 being considerably more focused than 1 and 5 (Figure 3(c), left). This is an appealing property for the 349 analysis of broad-spectrum versus pitched soundscape elements. 350

Full outputs for all three sites using the SI-PLCA2 algorithm with dual 2D dictionaries are shown in Figures 4, 5 and 6. Each 10 min site recording is sampled, taking 16 time windows from across the file of around 4 seconds each, arranged in order. The input is the log-frequency spectrogram of these samples, as before. Extensive analysis of larger data sets across more diverse soundscapes is needed before we can begin to evaluate the ecological significance or application of this approach, but a number of promising observations can be made.

As can be seen in Figures 4(a), 5(a), 6(a), the component reconstructions appear faithful to the original spectrogram. The individual component reconstructions (Figures 4(b), 5(b), 6(b)) pull out clearly distinct components. This is clearest in S3 (Figure 6(b)) where the first component is broadband ambient noise, and each of components 1 - 5 appear as distinct 'voices' grouped according to both spectral range and spectro-temporal periodic gesture.

The time-frequency kernels provide a lower dimension representation of components with apparently similar characteristics: compare each component in Figures 4(b) and (c), for example. The automatic relevance determination feature deserves further investigation as a quick and dirty proxy for community composition assessment. In this example in FP k = 4, FS k = 7 and S k= 6. Does K increase with the number of vocalising species? Might it reflect the complexity or 'decomposability' of a scene in some way?

The entropies of each distribution are given in the subfigure captions of Figures 4, 5 and 6. Whether 368 these can provide useful information as a difference measure either between components within a particular 369 reconstruction, or between kernels extracted from different soundscapes deserves further investigation. 370 No conclusions can be drawn from this illustrative analysis, but it raises a number of questions for future 371 research: (1) Are the component reconstructions meaningful soundscape objects in ecological terms? 372 Are the structurally distinct characteristics of geophony and biophony separated? Are vocalising species 373 separated in any meaningful ecological way (species, functionality etc.)? (2) Might the statistics generated 374 be meaningful? Does the number of components (k) returned reflect 'complexity' or 'decomposability' in 375 a way which may reflect the status of the acoustic community? Could the entropy summaries of each 376 component be used as a measure of diversity within or between communities? 377

The ability of PLCA to separate streams of distinct sound objects or voices from background noise

is well recognised. Within the conceptual framework of Soundscape Ecology, such techniques promise 379 utility at two levels. Within the acoustic community, as a possible means to investigate the composition of 380 the local soundscape in terms of dynamic interactions between spectro-temporal patterns of vocalising 381 component species, providing a new tool to begin to experimentally interrogate the concept of acoustic 382 *niche*. Within the conceptual framework of Soundscape Ecology, geophony (the noises created by wind, 383 rain etc. in interaction with the local landscape) is not noise to be removed from the signal, but a crucial 384 active component: the potential to separate geophony from biophony and anthrophony therefore provides 385 a means to further investigate the patterns of interaction between them. 386

387 4 SUMMARY AND FUTURE WORK

Monitoring subtle changes in complex ecosystems is crucial for ecological research and conservation but 388 far from straight forward. Acoustic indices hold promise as a rapid assessment tool, but are subject to 389 the same trade-offs as traditional ecological research of quality versus quantity: any metric necessarily 390 throws away some information. In this paper we have provided an overview of the motivational premises 391 of Soundscape Ecology, including the concept that acoustic communities may be structured according 392 to competition across acoustic niches through spectro-temporal partitioning. We suggest that existing 393 indices operating in time or frequency domain may be insensitive to the dynamic patterns of interaction in 394 the soundscape which characterise specific acoustic communities and propose SI-PLCA2D as a promising 395 new tool for research. This was illustrated with example analyses of tropical dawn chorus recordings 396 along a gradient of habitat degradation. 397

It seems likely that if acoustic niches exist that they do not lie neatly along 1D vectors in the frequency or time domain but dance dynamically across pitch-timbre-time space. SI-PLCA2D is computationally expensive, but provides a tool for extracting shift-invariant patterns in a dynamic soundscape. In future work we plan to investigate the potential for these tools to help us begin to experimentally investigate the acoustic niche concept, seeking to understand what dimensions of acoustic ecospace it may occupy toward the development of effective tools for rapid acoustic biodiversity assessment.

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Figure 1. Top: Constant-Q spectrograms for 1 min excerpts for each site FP (top) FS (middle) S (bottom). 1 minute resolutions are presented to illustrate the periodic call patterns. These were consistent across the 10 min sampling time in each habitat. Bottom: Mean Constant-Q Spectrum (log amplitude) for FP (green), FS (blue) and S (red)

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Common Nomo		Heard			Seen		
Common Name	FP	FS	S	FP	FS	S	
Andean Solitaire	1	1	1	1	-	-	
Azara's Spinetail	-	-	1	-	-	-	
Beryl-spangled Tanager	-	-	-	1	1	-	
Blue-winged Mountain-Tanager	-	1	-	-	-	1	
Booted Racket-tail	-	1	-	1	-	-	
Brown Inca	1	-	-	-	-	-	
Brown-capped Vireo	1	-	-	-	-	-	
Collared Forest-Falcon	1	-	-	-	-	-	
Dusky Bush-Tanager	-	-	1	1	-	-	
Empress Brilliant	-	-	1	-	-	1	
Flame-faced Tanager	-	-	-	1	1	-	
Golden-Crowned Flycatcher	-	-	1	-	-	-	
Golden-Headed Ouetzal	-	1	1	-	-	-	
Grav-breasted Wood-wren	1	1	1	-	-	-	
Immaculate Anthird	_	1	_	-	-	-	
Lineated Foliage-Gleaner	-	1	1	1	-	-	
Long-tailed Anthird	1	_	1	_	-	-	
Masked Trogon	1	1	1	-	-	-	
Metallic-Green Tanager	-	-	1	_	-	_	
Nariño Tanaculo	_	1	-	_	-	_	
Orange-bellied Euphonia	1	1	_	1	-	_	
Plumbeous Pigeon	1	1	1	-	-	1	
Red-faced Spinetail	-	1	1	_	-	-	
Roadside Hawk	-	-	1	_	-	_	
Rufous-breasted Antthrush	-	1	-	_	-	_	
Russet-crowned Warbler	1	1	_	_	-	_	
Scale-crested Pygmy-Tyrant	-	1	1	_	-	_	
Slate_throated Whitestart	1	-	1	_	_	_	
Smoke-colored Pewee	-	_	1		_	_	
Three-striped Warbler	_	1	-		_	_	
Toucan Barbet	_	-	1		_	_	
Tricolored Brush Finch	-	1	1	-	-	-	
Turannina Woodcreener	-	1	1	1	-	-	
Uniform Antshrike	-	-	-		-	-	
Wattled Guan	-	-	1		-	-	
White capped Parrot	1	1	1	-	-	-	
winte-capped Parrot	1	-	-	-	-	-	
TD: 4 - 1	12	10	01		2	2	
Iotai	13	18	21	8	2	3	

Table 1. Field observations for species heard and seen at FP (0600-0610), FS (0619-0629) and S(0639-0649) on June 15th 2014. As only 1 individual of each species was recorded, this data provides a record of species absence-presence as well as abundance for each site.

	NDSI	ADI	AEI	sh	th	Н	ACI	BI
FP	0.9716	2.1919	0.2591	0.9567	0.9730	0.9309	18497.14	7.5393
FS	0.9727	2.2684	0.1418	0.9355	0.9729	0.9102	18315.61	11.1780
S	0.9809	2.2909	0.0749	0.9539	0.9825	0.9372	18686.78	6.5867

Table 2. Acoustic indices values for the three study sites: FP, FS and S shown to 4 decimal places.



Figure 2. Bar plots of indices results for same recording showing indices values 10 minutes of dawn chorus at FP (black), FS (grey) and S (light grey) for NDSI, ADI, AEI, sh, th, H, ACI and BI. Values for AD, ACI and BI are scaled in the ranges 0:3, 1800:1900 and 0:12 respectively. Point count 'heard' data values for each site are given in the end column for comparison.



Figure 3. Over-complete Dictionaries (left) and sparse-approximations of original spectrogram shown in Figure 1 for (a) Mini-batch gradient learning, (b) Gabor Field Dictionary and (c) SI-PLCA2D Component Dictionary.



(a) FP: Original Spectrum (left) and Component Reconstructions (right) Original S = 4.9439; Reconstruction. S = 4.9303



(b) Individual Component Reconstructions (FP). S = (5.3347, 5.5418, 0.0000, 5.4501)



(c) Time-Frequency Kernels (FP). S = (1.4749, 3.7474, 5.7675, 3.7552)



(d) Activation (shift-time) Functions (FP). S = (5.0762 5.7370 6.2901 4.6718)

Figure 4. SIPLCA2 outputs for Primary Forest site dawn chorus. Entropy (S) values are shown in brackets



(a) FS: Original Spectrum (left) and Component Reconstructions (right) Original S = 5.0291; Reconstruction. S = 4.9576



(b) Individual Component Reconstructions (FS). S = (5.4921, 5.4735 4.3225, 5.5836 5.1488 4.7036 5.6571)



(c) Time-Frequency Kernels (FS). S = (4.5131, 2.9622, 4.9541, 4.6132, 3.4991, 5.2196, 3.9248)



(d) Activation (shift-time) Functions (FS). S = (5.7739, 5.1516, 5.9054, 3.6572, 4.2803, 6.0051, 4.9906)

Figure 5. SI-PLCA2 outputs for Secondary Forest site dawn chorus. Entropy (S) values are shown in brackets. 16/17



(a) S: Original Spectrum (left) and Component Reconstructions (right) Original. S = 5.1797; Reconstruction S = 5.1715



(b) Individual Component Reconstructions (S). S = (0.6920, 5.8020, 5.5895, 5.6326, 5.9547, 5.4067)



(c) Time-Frequency Kernels (S). S = (5.4939, 3.8297, 3.9353, 3.7362, 4.0415, 3.1377)



(d) Activation (shift-time) Functions (S). S = (6.2345, 5.7911, 5.1608, 5.4627, 5.3665, 5.3127)

Figure 6. SI-PLCA2 outputs for Silvopasture site dawn chorus. Entropy (S) values are shown in brackets.