

Using LIDAR Remote Sensing for identifying suitable habitat for a critically endangered carnivore in Nahuelbuta Range in South-Central Chile

Gonzalo Carrasco, Raul Briones

The range of Nahuelbuta has an outstanding biogeographic importance and high endemism of flora and fauna. In this range, the Valdivian temperate forest extends north along the maritime watershed, while the sclerophyllous forest extends south along the continental slope and northern coastal plain. The *L. fulvipes* that inhabits this habitat is considered at high extinction risk due to both demographic and ecological factors, such as disease, predation by cougars or other fox species. Our study was conducted in Caramávida using camera traps (N = 84) during October 2011-March 2012 to observe *L. fulvipes*, this information was correlated with LIDAR data to generate: elevation model, forest height; and the raw data were used to estimate the vertical vegetation coverage for seven different layers of height, cover, leaf area index and vertical complexity index. Our results indicate the presence of 17 positive results with *L. fulvipes* watch. The values of occupancy, occupancy corrected for detectability, and detectability, indicate that the proportion of stations where the species was recorded was 20%, but the actual ratio would reach 25% after correcting for detectability. The presence data and vegetation analyzes indicate correlations with tree cover larger than 20 meters high and with a high diversity of vegetation in the vertical profile, which may propose the presence of sites with potential presence and corridors for this species. We conclude that this methodology can generate highly accurate and relevant vegetation variables that may provide some guidance regarding which are the areas where a species is potentially distributed and the design of corridors that may enrich their habitat.

1 **Using LIDAR Remote Sensing for identifying suitable habitat**
2 **for a critically endangered carnivore in Nahuelbuta Range in**
3 **South-Central Chile**

4

5 Gonzalo Carrasco¹ & Raúl Briones²

6 ¹ Landscape ecology program, Ecosystemic management division, Bioforest S.A., Concepción, Bio-Bio,
7 Chile.

8 ² Wildlife conservation program, Ecosystemic management division, Bioforest S.A., Concepción, Bio-Bio,
9 Chile.

10

11 Corresponding Author:

12 Gonzalo Carrasco¹

13 Camino a Coronel km 15 s/n. Coronel, Bio-Bio, 4190000, Chile.

14 Email address: gcarrasco@arauco.cl

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26 **ABSTRACT**

27 The range of Nahuelbuta has an outstanding biogeographic importance and high endemism of
28 flora and fauna. In this range, the Valdivian temperate forest extends north along the maritime
29 watershed, while the sclerophyllous forest extends south along the continental slope and northern
30 coastal plain. The *L. fulvipes* that inhabits this habitat is considered at high extinction risk due to
31 both demographic and ecological factors, such as disease, predation by cougars or other fox
32 species. Our study was conducted in Caramávida using camera traps (N = 84) during October
33 2011-March 2012 to observe *L. fulvipes*, this information was correlated with LIDAR data to
34 generate: elevation model, forest height; and the raw data were used to estimate the vertical
35 vegetation coverage for seven different layers of height, cover, leaf area index and vertical
36 complexity index. Our results indicate the presence of 17 positive results with *L. fulvipes* watch.
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39 reach 25% after correcting for detectability. The presence data and vegetation analyzes indicate
40 correlations with tree cover larger than 20 meters high and with a high diversity of vegetation in
41 the vertical profile, which may propose the presence of sites with potential presence and
42 corridors for this species. We conclude that this methodology can generate highly accurate and
43 relevant vegetation variables that may provide some guidance regarding which are the areas
44 where a species is potentially distributed and the design of corridors that may enrich their habitat.

45

46 **Subjects:** Conservation biology, Applied Remote Sensing.

47 **Key words:** LIDAR, Camera-trap, *Lycalopex fulvipes*, suitable habitat, Caramavida.

49 **INTRODUCTION**

50 The biogeographic situation of Nahuelbuta Range and its geomorphological and climatic
51 characteristics have given rise to environmental heterogeneity establishing a wide variety of
52 habitats (Mardones 2005, Luebert & Pliscoff 2005). Its peaks higher than 1,200 masl are covered
53 by *Araucaria araucana* and *Nothofagus pumilio* forests, as well as wetlands. Both of the areas
54 protected by the State in Nahuelbuta Range, the Nahuelbuta National Park (PNN) and the
55 Contulmo Natural Monument (MNC), besides the protected area Piedra del Águila, are clearly
56 insufficient to preserve this biodiversity, due to their small surface and location in high regions,
57 above 600 masl (Contulmo with 82 ha) and above 1,000 masl (Nahuelbuta with 6,800 ha)
58 (Ibarra-Vidal et al. 2005, Ortiz & Ibarra-Vidal 2005).

59

60 *Lycalopex fulvipes* (Martin, 1837) is an endemic canid of Chile at high extinction risk (Cofré &
61 Marquet 1999, Sillero-Zubiri *et al.* 2004). This species was originally considered Vulnerable
62 (Glade 1993) and then as Endangered (MINSEGPRES 2007) and Critically Endangered at global
63 level by IUCN (Jiménez *et al.* 2008, IUCN 2012). It is included in Appendix II of CITES, being
64 considered as one of the canids with most serious conservation problems (Sillero-Zubiri *et al.*
65 2004).

66

67 It was considered that its distribution was restricted to the west part of the Great Island of Chiloé
68 and Nahuelbuta National Park located at approximately 600 km from Chiloé Island. This
69 disjoint pattern is now being questioned because of the recent finding of individuals using
70 camera traps in the Alerce Costero National Park, Valdivian Coastal Reserve, Oncol Park and
71 Chanchan near Valdivia, as well as the presence of a dead specimen in Lastarria, near Gorbea
72 (Medel *et al.* 1990, Jaksic *et al.* 1990, Jiménez *et al.* 1990, Vilà *et al.* 2004, D'elia *et al.* 2013,

73 Farias *et al.* 2014). This evidence leads to the hypothesis that the Darwin fox distribution may be
74 more continuous, associated with the remaining coastal forests.

75

76 The biological information about this species is scarce, it is found mainly in studies conducted in
77 Chiloé Island (McMahon 2002, Jiménez & McMahon 2004, Jiménez 2007, Jiménez *et al.* 2008).

78 On this regard, Yahnke *et al.* (1996) describe home ranges and they inform a population of 500
79 individuals for Chiloé. Jiménez & McMahon (2004), based on intensive capture inside the
80 Nahualbuta National Park (PNN), made a population estimate of 78 individuals by extrapolating
81 from a density of 1.14 ind/km². This estimate is based on captures in the southeast section of the
82 PNN (sectors: Pehuenco, Piedra del Águila and Coimallín). This scenario has become more
83 complex because in general the areas adjacent to the PNN have high degradation and human
84 impact levels (Armesto *et al.* 2010) turning them unsuitable for the *L. fulvipes* to have a viable
85 population (Shaffer 1981, Mella 1994).

86

87 The species distribution is mathematically or statistically associated with different independent
88 variables that describe the environmental conditions. If it is so, this relationship is extrapolated
89 to the rest of the study area and then a value is derived for each place which is usually construed
90 as the presence probability of the species in that spot. The "presence probability" is, therefore,
91 an abusive interpretation of the environmental similarity measure which should be construed, at
92 the most, as a suitability value for the species to develop. These models use variables and among
93 them the forest variables are widely used; however, they are often estimated categorically.

94

95 Using the LIDAR technology (*Light Detection and Ranging*) and some process algorithms
96 vegetation variables can be generated (e.g. coverage, leaf area index, vertical profile and height)
97 with high precision. Aerial LIDAR is a sensor installed in an airplane that emits pulses while
98 flying and these pulses hit an object (e.g. bare ground, building, stone, vegetation, water). Part of
99 this energy is reflected by the ground or the objects on the surface and this energy is detected by
100 the sensor. The sensor calculates the distance to the ground or object; and each one of these
101 pulses is stored together with coordinates by means of the differential GPS system and the
102 inertial navigation system installed in the airplane, so that the position of a spot can be
103 determined in three dimensions with high precision (Dubayah & Drake 2000). When these points
104 hit the vegetation, they can be intercepted at different heights and if the pulse density is high
105 (around 4 pulse/m²) the vertical structure of vegetation can be determined very precisely by
106 means of a set of algorithms (Ko 2012, McGaughey 2007, McGaughey 2003). And there are
107 several applications of this technology used in conservation of birds habitat (Goetz et al. 2010,
108 Seavy *et al.* 2009) and coral reef (Burns *et al.* 2015).

109

110 The purpose of this study is to use a methodology associated with the LIDAR technology in
111 order to generate variables intended to be used in the biodiversity area and applied to endangered
112 species such as *L. fulvipes*.

113

114

115

116 MATERIALS AND METHODS

117 Study Area and Data.

118 The study area is located in the Nahuelbuta Range in the central zone of Chile, in the sector
119 called Caramavida, characterized by temperate forest vegetation and the presence of a wide
120 animal diversity. The area is topographically steep and scarped with 900 m mean height above
121 sea level, and the range going from 500 to 1200 m.

122

123 In 2010, flights were performed over the area among the activities of a cartographic
124 improvement project of Forestal Arauco and the study area surface is 500 km². The data were
125 collected using the LIDAR Optech sensor, the scanning angle was $\pm 15^\circ$ and the footprint was
126 around 0.5 m. The final pulse density was 3.5 pls/m². The data were processed by the owner
127 company of the flight producing a high-resolution digital elevation model (1x1m resolution),
128 surface model and orthorectified images (0.5x0.5 m resolution). The raw data were gathered in
129 LAS format including X, Y, Z coordinates and intensity.

130

131 Determination of distribution range.

132 To estimate the quantity of stations, the monitoring information of Quebrada Caramávida
133 performed by Forestal Arauco was used (Zuñiga 2012, Briones *et al.* 2011), based on which a
134 minimum number of spots was determined in order to have significant estimates of the
135 parameters being studied (standard error lower than 0.04; see Mackenzie *et al.* 2005), using the
136 native forest registry (CONAF-CONAMA-BIRF, 1999). Within each coverage, the stations will

137 be randomly distributed at a distance of ≥ 0.3 kilometres to promote spatial independence (based
138 on the home range radius by Jiménez 2007). The proportion of sampling units in each coverage
139 was related with the size of each coverage, and the design was balanced in order to minimize
140 variance in the results, which might occur in a coverage with small surface.

141

142 A total of 84 sampling stations were installed being made up by a camera trap. They were
143 deployed in micro-habitat conditions suitable for *L. fulvipes*, as close as possible to the selected
144 spot, but considering the following restrictions: $\geq 300\text{m}$ from houses, and $\geq 50\text{m}$ from trails and
145 roads. The cameras were installed at 0.5 m high and they remained active during 15 consecutive
146 days in each station. Considering that detection rates could be low for this species, baits will be
147 used to attract them (synthetic urine and jack mackerel). The camera trap study was carried out
148 in spring and summer (from October 2011 to March 2012). Both seasons are critical periods after
149 winter for recovering the energetic demands and reproduction, increasing the carnivore activity
150 (Jiménez *et al.* 1990, Jaksic *et al.* 1990, Muñoz-Pedreros *et al.* 1995). On the other hand, the
151 previous study with cameras conducted between 2009 and 2011 in the study area confirms a
152 higher activity of *L. fulvipes* in spring-summer, compared to autumn-winter (Zuñiga 2012)(Fig.
153 1b).

154

155 **Determination of Core Patches.**

156 With the elevation model (bare land) and the surface model, the crown height model was
157 calculated using the difference between both surfaces (Fisk *et al.* 2009). After that, the surface
158 with a vegetation height above 4 m was identified, in order to get the core patches in the area;

159 subsequently, the patches with a surface larger than 100 ha. were isolated since these are the
160 areas that may potentially support a sustainable habitat (Santos & Tellería 1998).

161

162 Additionally, a model of morphology patterns (Vogt *et al.* 2007) was used to classify the patches
163 in 7 shape classes in order to determine which patches are actual patches or which participate in
164 other connectivity functions.

165

166 **Processing raw LIDAR data.**

167 The data were processed with the software program FUSION (McGaughey 2007) and the
168 Gridmetrics algorithm. The resolution or cell size for calculation was 20x20 m (container) since
169 at lower resolution the process tends to identify trees and generate gaps in the vegetation
170 coverage and therefore the coverage estimate of the area cannot be determined. The points
171 intercepted at seven height ranges were obtained (0 – 2, 2 – 4, 4 – 8, 8 – 12, 12 – 20, 20 – 32 and
172 >32 m.), which are those used by the national registry of native forest (CONAF-CONAMA-
173 BIRF 1999).

174 The coverage (Cob) was estimated for each height range as follows:

$$175 \quad \mathbf{Cob} = \frac{\sum x_{\text{int veget}}}{\sum x_{\text{totales}}}$$

176 $\sum x_{\text{int veget}}$ stands for the pulses intercepted by vegetation and $\sum x_{\text{totales}}$ is the total pulses
177 intercepted in that height range. Based on this, the vegetation coverage for each layer could be

178 estimated. Besides, the total coverage for the entire vertical profile was estimated. The effective
179 leaf area index (LAI) is calculated with the Beer law equation:

$$180 \quad \text{LAI} = -\ln x (1 - \text{Cob})$$

181 The LIDAR system is classified as an active remote sensing system, that is, it emits its own light
182 source. This characteristic means that vegetation can be illuminated by means of pulses or
183 infrared light beams and comparing the light that is intercepted with the light that reaches the
184 forest ground it is possible to apply the Beer law in order to estimate the area where light was
185 intercepted; and that area is then the effective leaf area.

186 The vertical complexity index (VCI) is based on diversity measurement indexes that measure the
187 heterogeneity within a specific system (Van Ewijk *et al.* 2011) in this case, the vertical structure
188 of vegetation.

$$189 \quad \text{VCI} = \left(- \sum_{i=1}^{\text{HB}} [(p_i * \ln (p_i))] \right) / \ln (\text{HB})$$

190 Where HB is the total number of pulses in the container and p_i is the pulse ratio in the container
191 at height i .

192

193 **Statistical Analysis**

194 There is a variety of models in order to predict the potential habitat of species; however, a
195 logistic regression model was selected due to the nature of the dependent variable and also
196 because these models can provide a probabilistic prediction of *L. fulvipes* presence and in this

197 way our analysis with LIDAR can be prioritized. Additionally, unlike most of the multivariate
198 procedures, it does not require variables to be normally distributed. The logistic function is
199 expressed as:

$$200 \quad \mathbf{P} = \mathbf{e}^{\mathbf{u}} / (\mathbf{1} + \mathbf{e}^{\mathbf{u}})$$

201 Where P is the estimated probability of occurrence of an event, e is the inverse of the natural
202 logarithm and u is the linear model:

$$203 \quad \mathbf{u} = \mathbf{b}_0 + \mathbf{b}_1\mathbf{X}_1 + \mathbf{b}_2\mathbf{X}_2 + \dots + \mathbf{b}_n\mathbf{X}_n$$

204 Where b_n is the regression coefficients and X_n is the independent variables.

205 Nine variables based on surfaces were used: understory vegetation coverage, mean, maximum
206 and modal height of vegetation, total coverage, leaf area index, elevation and vertical complexity
207 index. Since there are different scales in the variables, some of them were converted in order to
208 generate a better adjustment ($\text{elev}_t = \text{elev}/1000$). A stepwise process was performed to select
209 the variables that generate the best estimate of the *L. fulvipes* distribution. The statistics used for
210 the selection was the Chi-square test and the correct percentage of model classification (Felix et
211 al 2007).

212

213 RESULTS

214 From the 84 sampling stations consisting of camera traps, 17 had positive results for the *L.*
215 *fulvipes* presence (Fig. 1B). A total of seven carnivore species were found during the sampling
216 period: *L. fulvipes*, *Lycalopex culpeus* (Molina, 1782), *Lycalopex griseus* (Gray, 1837), *Puma*

217 *concolor* (Linnaeus, 1771), *Conepatus chinga* (Molina, 1782), *Galictis cuja* (Molina, 1782). The
218 occupancy values, occupancy corrected for detectability and detectability of *L. fulvipes* show that
219 the proportion of stations where the species was detected was 11%, but the actual proportion
220 would reach 14% after correcting for detectability.

221

222 **Figure 1:** Study area in Caramávida zone located at 30 km from Cañete. The grey zone is the
223 area that has raw LIDAR data and the hatched zone is Caramávida, the area with high
224 conservation value belonging to Forestal Arauco. The points indicate the 84 cameras installed in
225 the study area and those in red are the ones that detected the presence of *L. fulvipes*.

226

227 **Table 1:** Occupancy index, occupancy corrected for detectability ($\Psi \pm DE$) and detectability ($p \pm$
228 DE) for *L. fulvipes*.

229

230 The stepwise process carried out by means of forward selection, backward elimination and
231 subset selection, gave as a result that the best prediction model includes the following as main
232 variables: modal height, vertical complexity index and elevation (Fig. 2). The chi-square value
233 for the model is 49.60 (Table 2).

234

235 **Figure 2:** **A)** Modal height (m) which characterizes the most frequent height, indicating the
236 homogeneous forest height. **B)** Vertical complexity index, derived from diversity indexes that
237 allow characterizing the vertical structure of the forest. Closer to zero means that only a few

238 height layers have vegetation and closer to 1 means that all the height layers have the same
239 quantity of vegetation or coverage, showing that there is a high diversity in the vertical profile of
240 vegetation. C) Elevation above sea level. These three variables were selected as the best
241 indicators or independent variables explaining the presence of *L. fulvipes*.

242

243 The probability of occurrence increases as long as there are core patches present and the most
244 important predictor variables are the modal height, representing the most frequent height, that is
245 the most homogeneous height of the core patch, and then the VCI variable that reflects the
246 distribution of vegetation at different heights; closer to zero indicates areas where vegetation is
247 concentrated at certain heights, such as the case of forest plantation, but when the value is near 1,
248 vegetation is distributed homogeneously in the entire vertical profile, indicating the presence of
249 forests with different composition or age, which show a high diversity in the zone.
250 Subsequently, elevation indicates an altitudinal gradient for the presence of *L. fulvipes*.

251

252

253

254 **Table 2:** Parameters derived from the best predictor variables of the regression model.

255

256 The classification matrix (Table 3) shows that the model has a high prediction rate (79.07%),
257 which means that from every 5 observation 4 are virtually successful, also, the *odds ratio* was

258 16, indicating that there is a high probability of occurrence or presence (16:1) of *L. fulvipes* in
259 the zones shown by the model (Fig. 3).

260

261 **Table 3:** Error matrix or logistic regression classification. The correct classification percentage
262 of the model was 79.07% and its *odds ratio* was 16.

263

264 **Figure 3:** Map showing the probability of *L. fulvipes* presence, derived from the output of the
265 logistic model. The variables used in the model were modal height, VCI and elevation; the
266 correct classification percentage was 79.07%.

267

268 DISCUSSION

269 The Caramavida cleft, Trongol and their surroundings are the most relevant areas in Nahuelbuta,
270 since they still have primary and secondary forest fractions. These native forests, preserved in
271 different degrees, constitute the laurifolia ecosystem in the Nahuelbuta Range (Pauchard *et al.*
272 2011). In its low areas *Gomortega keule* (Molina, 1782) Baill, 1869 and *Berberidopsis corallina*
273 Hook, 1862, can be found, and in its high areas *Araucaria araucana* (Molina) K. Koch.
274 dominating the landscape. The Caramavida ecosystems are considered as priority sites for
275 regional preservation by the Chilean government bodies.

276

277 Generating reliable information about the geographic distribution of species is one of the main
278 requirements to establish effective preservation policies. However, after decades of taxonomic

279 and wildlife work, we only have approximate data about the total of species that inhabit Chilean
280 lands and we do not have convincing information that enables us to know the current distribution
281 of most species (Briones *et al.* 2012). These insufficiencies become evident when we include
282 vegetable coverage in our study. For our study area we have generated information layers
283 regarding the understory vegetation coverage, mean, maximum and modal height of vegetation,
284 total coverage, leaf area index, elevation and vertical complexity index. This information gives
285 us more variables making our analysis statistically more robust.

286

287 The species distribution models are in full development and expansion with new methods and
288 strategies for their treatment and interpretation. Consequently, there is a large number of articles
289 building up with significant methodological and theoretic contributions for the modelling of
290 species distribution (Mateo *et al.* 2011). There are several information restrictions for these
291 models, such as the lack of presence/absence data, cartography, and environmental variables.
292 With this the predicting capacity of the models is affected.

293

294 The distribution range of a species is certainly determined by its environmental tolerance but also
295 by the dispersion limitations. For this reason we evaluated the correlations between the presence
296 of the species (preliminary presence/absence information) and the sturdy vegetation variables
297 (analysis with LIDAR data).

298

299 The recent records of *L. fulvipes* achieved in the Valdivian Coastal Range and the placed called
300 Lastarria (D' Elía *et al.* 2013, Farias *et al.* 2014), have given rise to a discussion about the real
301 conservation state of this species. On this regard, although Caramavida has an approximate
302 surface of 30,000 ha, our analysis shows that from that, less than a fourth of the surface is a
303 potential habitat for the *L. fulvipes* presence. We must add to this the anthropogenic threats
304 (Stowhas 2012), conflict with wild carnivores (e.g. competence with *L. culpaeus*, see Zuñiga
305 2012) and domestic carnivores (e.g. transmission of diseases by *Canis lupus familiaris* Linnaeus,
306 1758, see Jimenez *et al.* 2012). Finally, only comprehensive studies on distribution, potential
307 habitat, population viability and threats may maintain or propose a change in the endangered
308 state of this species.

309

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447 **TABLE 1:** Occupancy Rate, occupancy corrected by detectability ($\Psi \pm SD$) and detectability (p
448 $\pm SD$) for *L. fulvipes*.

	Occupancy	$\Psi \pm DE$	$p \pm DE$
<i>L. fulvipes</i>	0.11	0.14 ± 0.004	0.22 ± 0.04

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463 **TABLE 2:** Parameters obtained from the best predictor variables of the logistic regression model
464 and its significances.

Variable	Parameter	Chi-Square	Value - p
Constant	8.875		0.0000437
Modal Height	-0.194	29.75	0.0000004
VCI	-7.634	13.17	0.0140017
Elevation	-1.959	6.67	0.0154766

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477 **TABLE 3:** Error matrix or classification of logistic regression. Correct percentage of model
478 classification was 79.07 % and its odds ratio was 16.

Observed	Predicted		Correct Percentage
	Absence	Presence	
Absence	48	18	72.73
Presence	9	54	85.71

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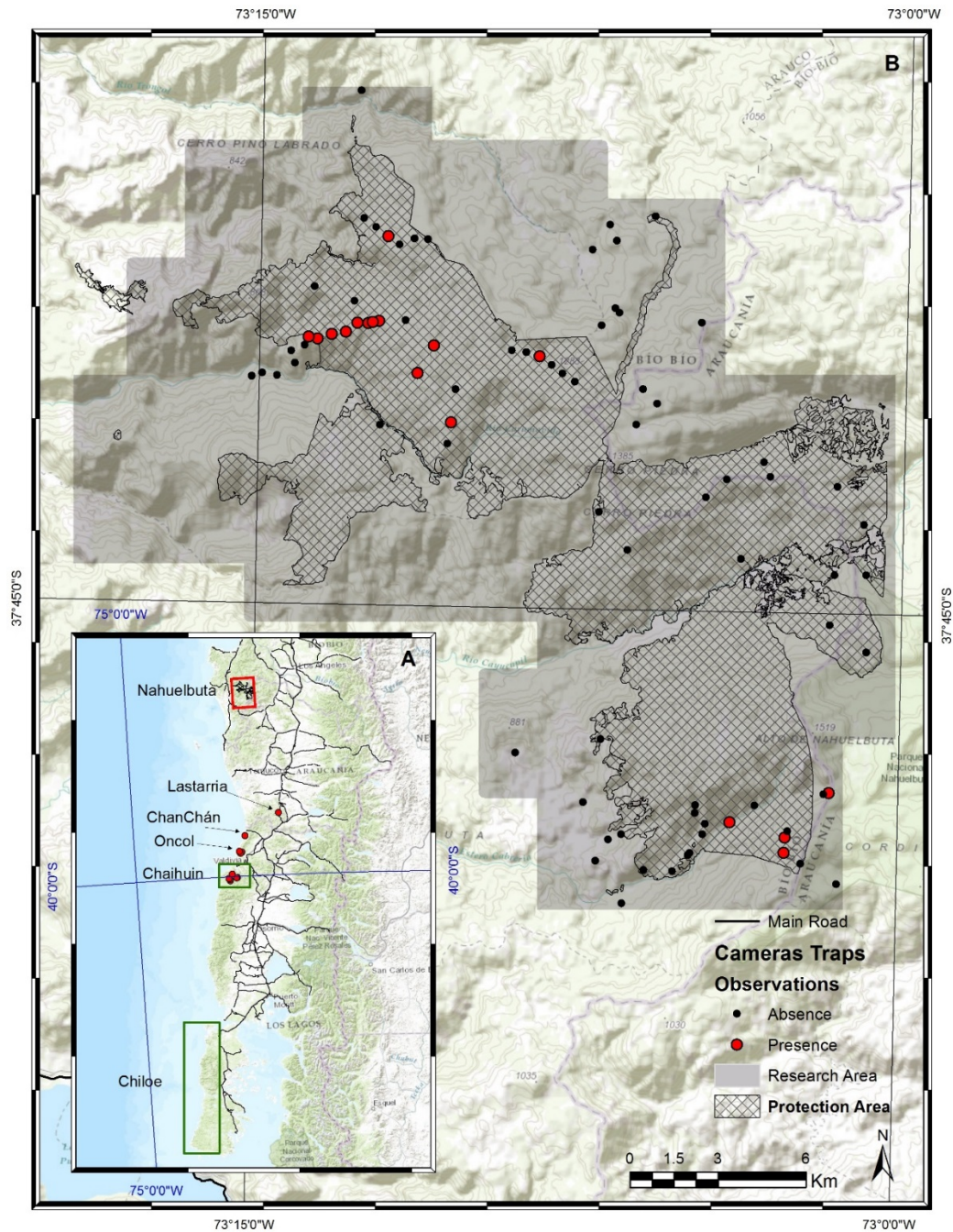
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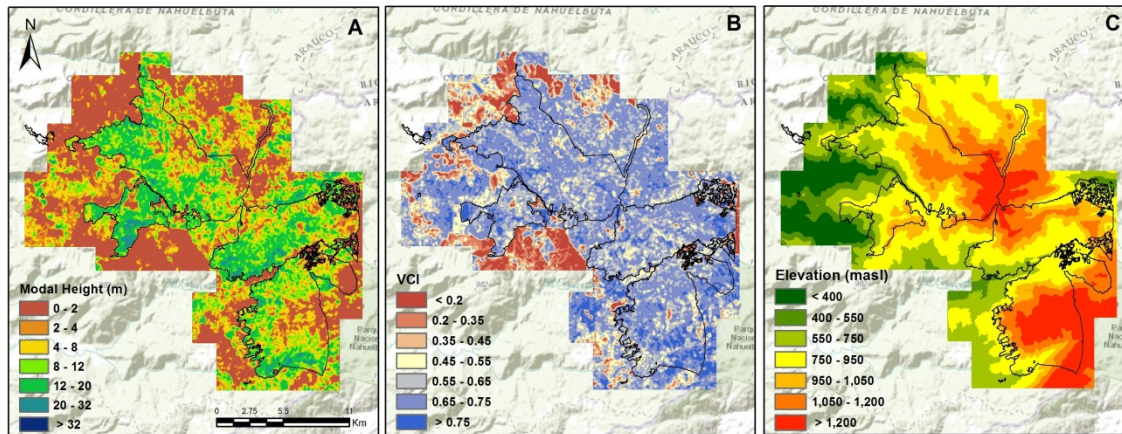
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488 **FIGURE 1: A)** Current distribution of *L. fulvipes*. **B)** Study area in Caramávida. The grey area is
 489 the area that has raw LIDAR data and the hatched area to AAVC Caramávida. The points
 490 represent the 84 cameras within the study area and those selected in red are the ones that detected
 491 the presence of *L. fulvipes*.



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493 **FIGURE 2:** a) Modal height (m) characterized as the most frequent height, indicating the
494 homogeneous forest height. b) Vertical complexity index, derived from diversity indexes that
495 allow characterization of the vertical structure of the forest. Closer to zero indicates that only a
496 few layers of heights have vegetation and closer to 1 indicates that all levels of height have the
497 same amount of vegetation or cover, showing that there is a high diversity in the vertical profile
498 of the vegetation. c) Elevation above sea level. These three variables are selected as the best
499 predictors or independent variables that explain the presence of *L. fulvipes*.

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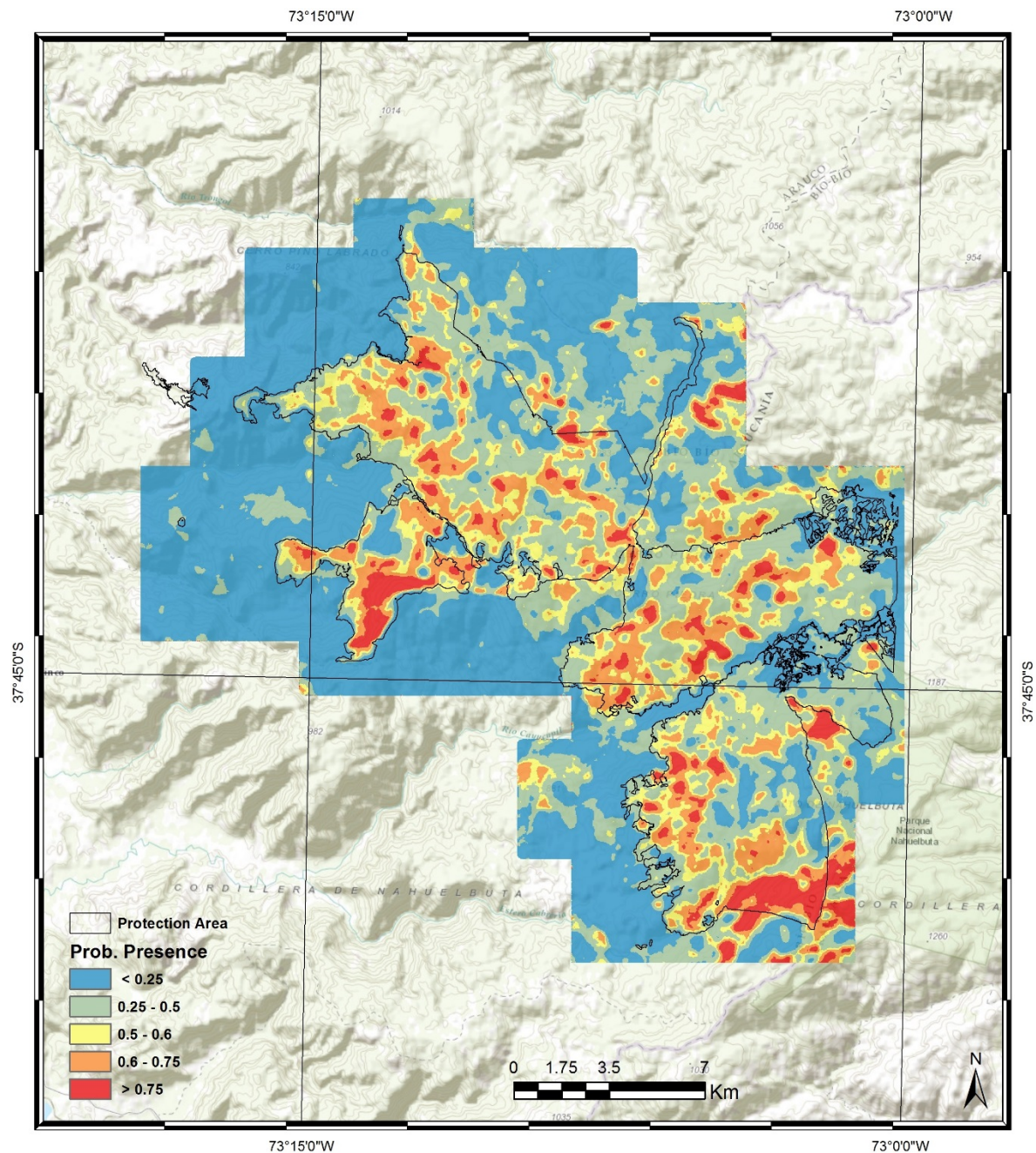
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507 **FIGURE 3:** Map of presence probability of *L. fulvipes*, derived from the output of the logistic
508 model. The variables used in the model were modal height, ICV and elevation; the percentage of
509 correct classification is 79.07 %.

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