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1 **Genetic structure of the Crown-of-Thorns Seastar in**  
2 **the Pacific Ocean, with focus on Guam**

3

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**41 Abstract**

42 Population outbreaks of the corallivorous crown-of-thorns seastar (COTS), *Acanthaster*  
43 *'planci'* L., are among the most important biological disturbances of tropical coral reefs.  
44 Over the past 50 years, several devastating outbreaks have been documented around  
45 Guam, an island in the western Pacific Ocean. Previous analyses have shown that in the  
46 Pacific Ocean, COTS larval dispersal may be geographically restricted to certain  
47 regions. Here, we assess the genetic structure of Pacific COTS populations and  
48 compared samples from around Guam with a number of distant localities in the Pacific  
49 Ocean, and focused on determining the degree of genetic structure among populations  
50 previously considered to be isolated. Using microsatellites, we document substantial  
51 genetic structure between 14 localities from different geographical regions in the Pacific  
52 Ocean. Populations from the 14 locations sampled were found to be structured in three  
53 significantly differentiated groups: (1) all locations immediately around Guam, as well  
54 as Kingman Reef and Swains Island; (2) Japan, Philippines, GBR and Vanuatu; and (3)  
55 Johnston Atoll, which was significantly different from all other localities. The most  
56 stark divergence of these groupings from previous studies is the lack of genetic  
57 differentiation between Guam and extremely distant populations from Kingman Reef  
58 and Swains Island. These findings suggest potential long-range dispersal of COTS in  
59 the Pacific, and highlight the importance of ecological determinants in shaping genetic  
60 structure.

61

**62 Introduction**

63 The crown-of-thorns seastar (COTS), *Acanthaster 'planci'*, is a specialised coral  
64 predator and one of the most important biological threats to coral reefs throughout the  
65 Indo-Pacific (Pratchett et al. 2014). It has a complicated taxonomic history; although  
66 initially considered a single widespread Indo-Pacific species (reviewed in Haszprunar &  
67 Spies 2014), recent molecular data suggests that *Acanthaster 'planci'* is a species  
68 complex consisting of at least four different species (Vogler et al. 2008), all of them  
69 showing internal phylogeographic structure, and one of which is largely restricted to the  
70 Pacific (Vogler et al. 2012; Vogler et al. 2013). Since formal description of these  
71 species is still pending, we refer to the Pacific species as *Acanthaster 'planci'* or COTS  
72 hereafter. COTS predatory behaviour has resulted high levels of coral mortality. For

73 example, massive outbreaks on the northwest coast of Guam in the late 1960s reduced  
74 coral cover down to 1% (Chesher 1969) and coral species richness decreased from 146  
75 to 96 one year after the outbreaks (Randall 1973). As a consequence, community  
76 structure of affected coral reefs have often been significantly altered, promoting algal  
77 colonization and affecting fish population dynamics (Pratchett et al. 2014).

78 Although frequently studied, the origin, development and causes of COTS outbreaks  
79 remain largely unclear (Birkeland & Lucas 1990; Kayal et al. 2012; Pratchett et al.  
80 2014; Vogler et al. 2013; Yasuda et al. 2009). Different authors (i.e., Benzie 1999a;  
81 Brodie et al. 2005; Gérard et al. 2008; Scheltema 1986) have highlighted the importance  
82 of larval survival and dispersal in explaining COTS outbreaks. A single female COTS  
83 can produce more than 60 million eggs per spawning season (Conand 1984) and this  
84 can result in more than 10 million fertilised eggs per year per mature female (Benzie et  
85 al. 1994). Therefore, a small increase in the survival rate of the COTS larvae could lead  
86 to a rapid increase in population size (Brodie et al. 2005) and geographic spread,  
87 considering a planktonic larval duration (PLD) ranging between 9 and 42 days  
88 (reviewed in Caballes & Pratchett 2014). Different variables, such as an enhanced food  
89 supply (Brodie et al. 2005; Fabricius et al. 2010), reduced predation pressure due to  
90 overfishing (Sweatman 2008), and changes in diverse environmental variables (e.g., sea  
91 surface temperature or rainfall; Black et al. 1995; Brodie et al. 2005; Glynn 1985; Houk  
92 et al. 2007) have been postulated to increase larval or adult survival and promote COTS  
93 outbreaks. Additional explanatory hypotheses on the local origin of outbreaks are given  
94 by changes in behaviour or survivorship of post-settlement individuals, e.g., a decrease  
95 in predation; (Endean 1969), the movement of adults between reefs (Talbot & Talbot  
96 1971), adult aggregation (Dana et al. 1972), or outbreak cycles controlled by increase in  
97 pathogen transmission under high densities (reviewed in Pratchett et al. 2014).

98 Considering the microscopic size of COTS larvae, analyses of its population dynamics  
99 to understand the structure and origin of outbreaks have focused on indirect molecular  
100 methods. This approach is grounded on the assumption that organisms with short  
101 planktonic stages and low spatial dispersal capabilities have higher population genetic  
102 structure (resulting from lower levels of gene flow) than those with longer planktonic  
103 stages, which are thought to have higher levels of gene flow. A correlation between the  
104 potential for migration and genetic structure has been observed in different marine  
105 groups, including other seastars (e.g., *Linckia laevigata*; Benzie 1999b), and different

106 species of corals (e.g. Ayre & Hughes 2000; Nishikawa et al. 2003). In the case of *A.*  
107 '*planci*', a species with a long-lived planktonic larval stage (Birkeland & Lucas 1990;  
108 Caballes & Pratchett 2014), reduced genetic structure and high migration rates have  
109 been assumed (Benzie 1999a).

110 Initial studies using allozymes to investigate COTS population genetics seemed to  
111 provide evidence of strong gene flow and lack of genetic structure (Benzie 1999a;  
112 Benzie & Stoddart 1992; Nash et al. 1988; Nishida & Lucas 1988). However, more  
113 recent analyses using different molecular markers have pointed towards a different  
114 scenario. Using the mitochondrial control region (Timmers et al. 2012; Vogler et al.  
115 2013), internal genetic differentiation was observed within at least three of the four  
116 different clades (species) of *A. 'planci*' (i.e. the Pacific, the Northern and the Southern  
117 Indian Ocean clades) proposed by Vogler et al. (2008). Vogler et al. (2013) found  
118 support for at least four genetic groups in the Pacific Ocean and Timmers et al. (2012)  
119 discovered reduced gene flow among regions and archipelagos and significant genetic  
120 differentiation between COTS populations from the Central Pacific Ocean. Thus COTS  
121 dispersal seems to be limited to smaller geographic areas, for instance within the Great  
122 Barrier Reef (GBR) (Benzie & Stoddart 1992; Benzie & Wakeford 1997), in the  
123 Ryukyus Islands (Yasuda et al. 2009) and along the Hawaiian Archipelago (Timmers et  
124 al. 2011).

125 Although mitochondrial markers show genetic differentiation between populations with  
126 large scale geographic structure (Timmers et al. 2012; Vogler et al. 2013), these  
127 markers have not allowed to differentiate between historical evolutionary migration and  
128 contemporary gene flow. For example, Timmers et al. (2012) showed that there are  
129 shared mitochondrial haplotypes between the South Central and Northwest Pacific and  
130 their haplotypes do not strictly cluster according to geographic region. This pattern was  
131 interpreted as either recent gene flow, the retention of ancestral polymorphisms or  
132 ancestral gene flow (Timmers et al. 2012). Similarly, Vogler et al. (2013) found a large  
133 geographic cluster of Western Pacific localities, with shared haplotypes in the whole  
134 range from the GBR to the Philippines. However, microsatellite data (Yasuda et al.  
135 2009) show that significant genetic differentiation in this region is more pronounced,  
136 with patterns of isolation by distance and significant pairwise  $F_{st}$  values (fixation index)  
137 between several localities indicating intra-cluster genetic differentiation.

138 The differentiation between contemporary gene-flow patterns and evolutionary history  
139 is of importance for conservation biology as highlighted by several authors (e.g.,  
140 Peijnenburg et al., 2006, Selkoe and Toonen, 2006, Eytan and Hellberg, 2010, van der  
141 Meer et al., 2012). The mutation rate of mitochondrial DNA is known to be suitable to  
142 resolve taxonomic uncertainties and historical biogeographical events, but it may not be  
143 suitable to infer contemporary migration events (Wan et al, 2004). Microsatellites,  
144 which evolve up to 100 times faster than mitochondrial DNA, provide enough variance  
145 for inferring patterns of gene flow and contemporary genetic structure (Wan et al,  
146 2004), especially at smaller geographical scales. Despite their many advantages, there  
147 are only two studies using microsatellites from COTS and they are mainly concerned in  
148 the connectivity patterns among Western Pacific populations (Yasuda *et al.* 2009) and  
149 locally at the Society Islands, French Polynesia (Yasuda et al. 2015). The applicability  
150 of microsatellites to investigate the relatedness of Pacific COTS populations and their  
151 genetic structure over larger geographic distances has not been tested yet.

152 This study aims to investigate the contemporary genetic structure of the Pacific crown-  
153 of-thorns seastar species using microsatellites and to test for isolation among distant  
154 geographical regions previously identified as a cohesive genetic unit by mitochondrial  
155 DNA. We especially focus on the genetic structure of populations around Guam, where  
156 recent COTS outbreaks have been observed. We compared samples from around Guam  
157 with a number of distant localities in the Pacific Ocean, and focused on determining the  
158 degree of genetic structure among populations previously considered to be isolated (i.e.  
159 Johnston Atoll, Kingman Reef, Swains Island, Japan, the Great Barrier Reef, Vanuatu,  
160 Moorea, and Philippines).

161

## 162 **Materials and Methods**

### 163 *Sampling*

164 Guam is the largest and most southern island of the Marianas archipelago. It is located  
165 in the Western Pacific Ocean within Micronesia (Figure 1). The impact of *A. 'planci'* on  
166 this island has been reported since the early 1970's (Gawel 1999), and surveys from  
167 2003 to 2007 found numerous outbreaks in different coral reefs around the island and  
168 detected an increase in outbreak intensity in each subsequent expedition (Burdick et al.

169 2008). A total of 172 tube feet samples were collected by SCUBA diving and  
170 snorkelling from six reef locations around Guam in 2006 (Table 1). No permits were  
171 required for COTS collection as COTS is not a protected species and collections were  
172 done outside protected area boundaries. Five of the sampled localities around Guam  
173 had densities of more than 150 COTS per hectare, with Tanguisson Reef (G5) having  
174 the highest density of 522 COTS per hectare. Only one locality, Taguan Point (G3), was  
175 considered to be a non-outbreak population (< 30 COTS per hectare). Another 102  
176 COTS tube feet or pyloric caeca samples were collected from nine reef locations in the  
177 Pacific (Figure 1; Table 1). The choice of sampling locations outside Guam was based  
178 on previous studies using sequences of the mitochondrial control region and designed to  
179 include localities from the most distinguishable genetic groups in the Pacific (Vogler et  
180 al. 2013): West, North-Central, North-West and South-Central Pacific (Figure 1). Since  
181 the goal of this study was to evaluate the genetic structure between distant localities, the  
182 sampling included islands ranging in distance from over 2000 km between Guam and  
183 Japan to over 5000 km between Guam and Kingman Reef. Although genetic  
184 connectivity has not been reported between some of the sampled localities (e.g.,  
185 Johnston Atoll and Guam) and oceanic currents predict isolation, these localities were  
186 also included here to characterise the variance of the markers used, control for possible  
187 homoplasy or as an internal methodological control, assuming that those populations  
188 should be genetically highly differentiated.

189 The samples were stored in ethanol 80% or DMSO buffer at -80°C. A MagAttract 95  
190 DNA Plant Core Kit (Qiagen) was used to extract total DNA from tube feet and pyloric  
191 caeca samples, following the protocol recommended by the manufacturer. As a  
192 preliminary step, the tissue was ground after freezing in liquid nitrogen, and incubated  
193 for 1 hour at 35°C in RLT lysis buffer (Qiagen). In the case of tube feet, DNA was  
194 extracted using the DNeasy Tissue Kit (Qiagen), according to the protocol  
195 recommended by the manufacturer.

196 All samples were genotyped using a set of 13 microsatellites previously identified for *A.*  
197 *planci* (Yasuda et al. 2006; Yasuda et al. 2007). The set included the loci Yukina01,  
198 Yukina05, Yukina06, Yukina08, Maki01, Maki03, Tama01 and Hisayo01 from Yasuda  
199 et al. (2006) and Aya02, Maki12, Maki11, Tama11 and AyU03 from Yasuda et al.  
200 (2007). Standard three-step PCR reactions were conducted for each locus in a final  
201 volume of 12.5 µl of GoTaq Flexi Buffer<sup>®</sup> 1x, MgCl<sub>2</sub> 3mM, dNTPs 0.4 mM, primers

202 forward and reverse 0.2  $\mu$ M, BSA 0.08 mg/ml and 0.5u of GoTaq<sup>®</sup> polymerase  
203 (Promega) with 1  $\mu$ l of DNA template (around 20 ng of DNA). For fragment length  
204 analysis, the 5' end of the forward primers used in the PCR were labelled with a  
205 fluorescent dye (HEX, 6-FAM or BoTMR). The PCR cycling conditions were as  
206 follows: 10 min at 94°C, 38 cycles of 30 s at 94°C, 30 s at 56-60°C (primer-specific  
207 annealing temperature), and 1 min at 72°C, and a final elongation of 5 min at 72°C.

208 PCR products were mixed for genotyping in 3 different co-loading reactions as follows:  
209 co-loading 1 included loci Yukina01, Yukina05, Yukina06 and Yukina08; co-loading 2  
210 included Maki01, Maki03, Tama01 and Hisayo01; and co-loading 3 included Aya02,  
211 Maki12, Maki11, Tama11 and AyU03. Samples were analysed on an ABI 3730 48  
212 capillary sequencer (Applied Biosystems) using the dye set D and G5 and 400HD ROX  
213 size standard at the Sequencing Service of the Department of Biology at the Ludwig-  
214 Maximilians-Universität in Munich (Germany). The software GeneMapper<sup>®</sup> v.4.1 was  
215 used to call allele sizes.

#### 216 *Data Analysis*

217 The Markov chain algorithm implemented in the software GENEPOP v.4.2 (Raymond  
218 & Rousset 1995; Rousset 2008) was used to test each locus per location for departure  
219 from Hardy-Weinberg equilibrium (HWE). The same software was used to assess  
220 linkage disequilibrium (LD) between different combinations of paired loci. The analysis  
221 of HWE was conducted with a dememorisation period of 10000 generations, 100  
222 batches and 5000 iterations per batch. In the case of LD, the number of batches was  
223 increased to 1000. Additionally, the software Micro-Checker v.2.2.3 (van Oosterhout et  
224 al. 2006) was used to test for systematic distortion of HWE in each locus, which is an  
225 indication for the presence of null alleles, large allele dropout or other scoring errors.  
226 Sequential Bonferroni corrections for multiple comparisons were used to adjust the  
227 threshold of statistical significance in both analyses (Holm 1979; Rice 1989). Loci that  
228 departed from HWE, showed LD or evidence of errors in scoring were not included in  
229 subsequent analyses.

230 Genetic diversity within each locality was determined through the estimation of number  
231 of alleles per locus and locality, gene diversity, observed and expected heterozygosity  
232 and allelic richness using the software ARLEQUIN v.3.5.1.2 (Excoffier & Lischer  
233 2010) and GENEPOP v.4.2 (Raymond & Rousset 1995; Rousset 2008). The



234 permutation of localities (1000 randomizations) was used to determine differences in  
235 genetic diversity using the software FSTAT v.2.9.3.2 (Goudet 2001).

236 A hierarchical analysis of molecular variance (AMOVA) loci by loci was carried out in  
237 ARLEQUIN v.3.5.1.2 (Excoffier et al. 1992; Excoffier & Lischer 2010). AMOVA  
238 (with 20,000 permutations) was used to determine genetic diversity (as a source of  
239 covariance) and its significance within and between localities, and between islands.  
240 AMOVA was also performed by grouping islands according to the connectivity  
241 predicted by oceanic currents and assuming passive larval dispersal (Trembl et al. 2008),  
242 and by grouping them based on clusters obtained from the program STRUCTURE (see  
243 below). The purpose of this last analysis was to evaluate the strength of the separation  
244 between the inferred clusters. Population pairwise *Fst* values were estimated and  
245 significance was assessed using 20,000 permutations and Bonferroni correction.  
246 Confidence intervals were estimated with the package *diveRsity* (Keenan et al. 2013).

247 The estimation of the number of distinct populations and the assignment of individual  
248 samples to populations was done using the software STRUCTURE v.2.3.4 (Falush et al.  
249 2003; Falush et al. 2007; Hubisz et al. 2009; Pritchard et al. 2000). The number of  
250 potential populations or clusters (K) was evaluated using values for K from 1 to 10,  
251 with at least 12 independent runs for each value. Uniform priors in an admixture  
252 ancestry model were used in each run with a burn-in period of 200,000 generations, a  
253 posterior sampling chain of 1,000,000 generations and the assumption of correlated  
254 allele frequencies among samples. The determination of the most accurate value for K  
255 was evaluated using the statistic  $\Delta K$  following the methodology of Evanno et al. (2005).

256 Finally, genetic structure and differentiation between localities was also determined by  
257 a discriminant analysis of principal components (DAPC; Jombart et al. 2010). This last  
258 analysis was performed using the package *adegenet* (Jombart et al. 2010) implemented  
259 in R v.3.0.1 (<http://www.r-project.org/>). Individuals with missing data were not  
260 included in this analysis.

261

## 262 **Results**

263 *Hardy-Weinberg Equilibrium, Linkage disequilibrium and possible genotyping errors*

264 Based on an exact test using one Markov chain for each locus per sampled location,  
265 three loci deviated significantly from HWE in almost all locations (initial p-value < 0.05  
266 after sequential Bonferroni correction). These loci were *Tama01*, *Maki11* and *Tama11*,  
267 which deviated in more than 8 (out of 14) locations. Additionally, the analysis with  
268 Micro-Checker showed a consistent excess of homozygotes in the same markers,  
269 suggesting the presence of null alleles, polymerase stuttering or large allele dropout.  
270 Because these results were consistently biased for most of the sampled localities, those  
271 three loci were not included in subsequent analyses.

272 The remaining markers showed HWE, with punctual deviations in some populations  
273 (i.e. *Yukina05*, *Mak03*, *Aya2* and *AyU03* in non-HWE in 2, 1, 3 and 4 localities,  
274 respectively). In these cases the tests used did not show evidence of linkage  
275 disequilibrium, null alleles, or biases in the identification of genotypes. Thus, these loci  
276 were included in all subsequent analyses.

#### 277 *Gene diversity within populations*

278 Most of the microsatellite loci were highly polymorphic. Two exceptions were found:  
279 *Yukina08* in Johnston Atoll and *Maki12* in Moorea and Johnston Atoll, where only one  
280 allele was fixed in the populations. These two markers, as well as *Aya2*, showed the  
281 lowest number of alleles (6 to 8) for the entire set of samples and the lowest number of  
282 alleles per location (1 to 6) (Table 1 and Supplementary Table S1). On the other hand,  
283 the rest of the markers were highly polymorphic with a total number of alleles ranging  
284 between 9 and 18, with 2 to 14 alleles per locus per locality.

285 The high genetic diversity suggested by the number of alleles in each location  
286 contrasted with the findings on allelic richness. The high genetic variability within  
287 localities precluded observing differences in allelic richness between localities  
288 (Supplementary Table S1). After correction for different sampling sizes using a  
289 rarefaction analysis, the lowest value of allelic richness was found at Johnston Atoll  
290 (2.85), the highest values were observed for the localities in Guam (around 5.11), Japan  
291 (5.20) and the GBR (5.22). Despite these differences, the allelic accumulation function,  
292 which predicts the expected number of alleles to be observed if the localities would  
293 have had the same sample size (Van Loon et al. 2007), did not show differences in  
294 allelic richness between localities due to a broad confidence interval (Supplementary  
295 Figure 1).

296 *Genetic structure*

297 Pairwise *Fst* values obtained ranged from 0.000 to 0.480 (Table 2). In general, the  
298 lowest values were found between localities inside Guam and the highest in most  
299 comparisons involving Johnston Atoll. *Fst* analysis showed three significantly  
300 differentiated groups: (1) all the localities immediately around Guam, as well as  
301 Kingman and Swains Island (2) Japan, Philippines, GBR, and Vanuatu; and (3)  
302 Johnston Atoll, which was significantly different from all other localities. Pairwise *Fst*  
303 values between localities inside regional groups were significantly lower (around 0.05  
304 as the highest value) than between localities from different regional groups (*Fst* values  
305 higher than 0.15) (Table 2, confidence intervals in Supplementary table S2). Moorea  
306 was significantly different from the other localities, showing the lowest pairwise *Fst*  
307 with Vanuatu (0.203) and an overall average value of 0.260. However, due to the wide  
308 confidence intervals for the *Fst* values (see Supplementary Table 2) obtained from  
309 comparisons involving Moorea, likely resulting from the low number of samples  
310 available from this locality (N=5), the genetic similarity of Moorea with other localities  
311 cannot be precisely assessed and the status of Moorea as significantly different from all  
312 other localities must be taken with caution. Thus, to avoid artifacts, Moorea was not  
313 segregated as fourth group and was not included in some subsequent analyses (e.g.,  
314 DAPC).

315 Within the first group, it is important to note that all the localities around Guam, except  
316 Tipalao Bay (G1), had statistically significant genetic similarities with Kingman Reef  
317 and/or Swains Island. This is noteworthy because the population in Tipalao Bay was the  
318 only aggregation in the southwest coast of Guam during surveys and COTS were almost  
319 absent in adjacent reefs (Caballes pers. obs.). Moreover, the *Fst* values obtained for  
320 several of the comparisons between Guam populations were higher than those obtained  
321 in comparisons between Kingman or Swains and localities around Guam. For example,  
322 the comparison between North Haputo point (G4) and Tagachan point (G6), and  
323 Tipalao Bay (G1) and Taguan Point (G3) resulted in *Fst* values of 0.034 and 0.032,  
324 respectively. In contrast, the *Fst* between Kingman Island (K) and Taguan Point (G3) in  
325 Guam was 0.006 and between Swains Island (S) and Urunao Point (G2) was 0.010.  
326 However, the genetic differences observed among localities around Guam and between  
327 Guam and Kingman or Swains Island were not statistically significant due to the broad  
328 confidence intervals for the *Fst* values.

329 According to the results of the AMOVA, the percentage of genetic covariance explained  
330 by individual variation was 84% (variation within localities in Table 3); while 15% of  
331 the genetic variation can be attributed to differentiation between islands and only 1% is  
332 explained by variation among localities (i.e., sampling sites). The same analysis  
333 grouping islands according to the three groups found in the pairwise *Fst* analysis and in  
334 Bayesian clustering with STRUCTURE (see below) resulted in a reduction in the  
335 percentage of genetic variance explained by individual variation (Variation within  
336 localities = 80% in case 4 and 5 from Table 3) and in an increase in the percentage of  
337 variance explained by regions (18%). Grouping islands based on oceanic currents  
338 (Trembl et al. 2008) also increased the variance explained by regions (14 and 17% in  
339 case 2 and 3 from table 3) and *Fst* values, but the values were lower than in the previous  
340 two analyses (case 4 and 5 from table 3).

#### 341 *Population structure*

342 The Bayesian clustering analysis with STRUCTURE and the estimation of  $\Delta K$  (Evanno  
343 et al. 2005) indicated the existence of two peaks in the most likely number of ancestral  
344 gene pools. The highest value of  $\Delta K$  was obtained for  $K = 2$ . A second peak was  
345 observed at  $K = 4$ . This last value corresponds with the point where a significant change  
346 in the slope of the likelihood distribution is observed. Moreover, DAPC showed a  
347 significantly low Bayesian Information Criterion (BIC) value for the existence of 5  
348 genetically different groups (Figure 2 and Supplementary Figure 2).

349 When samples were assigned to two genetic groups ( $K = 2$ ), Guam, Kingman Reef and  
350 Swains Island clustered together and were significantly different from all other locations  
351 sampled in this study (Figure 2). When the number of genetic groups was increased to  
352 four and five, the initial differentiation in two groups was maintained with additional  
353 information within each group. First, mixing between Guam, Kingman and Swains was  
354 evident, with a different proportion of individuals belonging to each predicted  
355 population inside localities (represented as blue and red colours in the bar plot with  $K =$   
356 4, and blue, red and yellow in the plot with  $K = 5$ ). In the second group, the change in  
357 the number of predicted populations from 2 to 5 revealed the differentiation of islands  
358 such as Moorea and Johnston Atoll, and increased the heterogeneity observed inside the  
359 GBR.

360

361 *Differentiation and relatedness between localities*

362 The first two components of the DAPC explained 41.5% and 40.7% of the variation in  
363 the dataset and these results were consistent with the results found with STRUCTURE  
364 (Figure 3A). In this analysis, the level of genetic similarity was represented by a  
365 clustering of the genotypes by locality. When all localities sampled were included in the  
366 analysis, Johnston Atoll stood out as a strongly divergent group, possibly isolated and  
367 without gene flow to/from the two main locality groups.

368 Because the genetic differentiation of Johnston Atoll with the other islands was strong,  
369 additional DAPC analyses were performed (Figure 3B and C). Aiming to gain insights  
370 into the genetic differentiation within each group, in one analysis Johnston Atoll was  
371 excluded and in a second one only localities from Guam, Kingman and Swain Islands  
372 were included. Excluding Johnston Atoll, the level of clustering between localities was  
373 more pronounced for the first group of localities (Guam, Kingman and Swains) than for  
374 the second group (GBR, Japan, Philippines and Vanuatu). This is of considerable  
375 interest, because geographic distance between Guam, Kingman, and Swains was higher  
376 compared to distances between localities in the second group. Additionally, although  
377 gene flow between all the locations of the second group appears likely, there was an  
378 apparent subdivision inside this group with the GBR grouping with Vanuatu, and Japan  
379 grouping together with the Philippines (Figure 3B). The differentiation between these  
380 two subgroups was given only by the second axis (Y axis) of the DAPC and the  
381 percentage of variance explained by this axis was low (6.4% of the variance).

382 Localities within Guam (Figure 3C) showed higher levels of genetic similarity.  
383 However, it is important to observe that this genetic similarity is variable, with some  
384 localities in Guam more related to Kingman (for example G3, G4, G5 and G6) and with  
385 a slight overlap with Swains and other localities more isolated from Kingman and  
386 Swains (locality G1). These results suggest the existence of genotypes in Guam that are  
387 different to those found in Kingman and Swains Islands (unique haplotypes).

388

389

390

391 **Discussion**392 *Contemporary long-distance dispersal across the Pacific*

393 This study found genetic structure within large geographical regions in the Pacific but  
394 also suggests that gene-flow between distant locations likely occurs in *A. 'planci'*.  
395 Given the broad geographical distances separating the localities evaluated here, it is  
396 likely that this dispersal occurs in a stepping stone model involving intermediate  
397 localities not sampled in this study. The sampled localities in the Pacific were found to  
398 be structured in at least three large groups with apparently limited larval dispersal  
399 between them. The first group comprised Guam, Kingman Reef and Swains Islands; the  
400 second group included the Japan, Philippines, GBR and Vanuatu; and Johnston Atoll  
401 was isolated in a third group. Although a general high genetic diversity was found  
402 inside each sampled island, our analyses showed strong genetic similarities between  
403 localities in Guam, Kingman and Swains Island suggesting larval dispersal between  
404 these geographically distant regions. Gene-flow between these localities in the Pacific  
405 Ocean has been also inferred using allozymes from other marine organisms with high  
406 larval dispersal potential (e.g., *Linckia laevigata*, Williams & Benzie 1997; some  
407 species of corals, Ayre & Hughes 2000; sea cucumbers, Uthicke & Benzie 2003).

408 Connectivity of marine organisms has been modelled as a diffusion process in which  
409 larvae and juveniles are transported by oceanic currents between suitable habitats  
410 (Treml et al. 2008; Kool et al. 2011; Treml et al. 2012; Wood et al. 2014; Treml et al.  
411 2015). In these models, one of the criteria determining the connectivity between  
412 localities is the duration of the larval stage (planktonic larval duration, PLD) —  
413 assuming that organisms with longer PLDs are capable of migrating longer distances.  
414 According to these models, the dispersal potential of *A. 'planci'*, with a PLD of up to 42  
415 days (reviewed in Pratchett et al. 2014), would allow the migration of individuals across  
416 long distances (see also Vogler et al. 2013), potentially connecting the islands of Guam,  
417 Kingman and Swains in a stepping-stone model. Our results from microsatellite data are  
418 consistent, in part, with this model as evidenced by the lack of genetic structure between  
419 Guam and geographically distant COTS samples from Kingman Reef and Swains  
420 Island. However, intermediate islands/reefs between Guam and Kingman/Swains (e.g.,  
421 Marshall Islands, Pohnpei) need to be sampled in future to test the stepping-stone model  
422 proposed here.

423 Long distance connectivity is especially important during events like *El Niño* (Trembl et  
424 al. 2008), when some current systems in the Pacific deviate from established patterns.  
425 Trembl et al. (2008) suggested that for corals, Pacific-wide connectivity is strongly  
426 reduced when a probability of successful dispersal of 0.5 is selected (Trembl et al. 2008).  
427 The strong genetic differentiation observed between Guam and other Western Pacific  
428 localities, namely Philippines and Japan, can be interpreted as a westward drop in larval  
429 dispersal, likely caused by the reduced strength of the oceanic currents flowing East-  
430 West and the lack of stepping stones between these localities. This combination would  
431 make East-West larval dispersal difficult even for organisms with long PLDs (Trembl et  
432 al. 2008; Kool et al. 2011; Trembl et al. 2015).

433 There are important discrepancies between our study and previous studies using the  
434 control region of the mtDNA (Timmers et al. 2012; Vogler et al. 2013) in terms of  
435 population structure and connectivity between localities in the Pacific Ocean. According  
436 to Timmers et al. (2012), populations in the Central Pacific are genetically differentiated  
437 into three main regions: North, South and North-West Pacific. They found that the  
438 Johnston Atoll is part of the North region; Kingman, Swains and Moorea Islands  
439 belonged to the South region and Guam to the North-West region. Additionally, Vogler  
440 et al. (2013) grouped Guam in a large western region with Japan, Philippines and the  
441 GBR. Our results, using microsatellites, agree with these results in the broad  
442 geographical zonation in the Pacific, but contrary to mtDNA data, this study suggests  
443 the possible larval dispersal (and gene flow) between supposedly distinct regions  
444 mentioned above, i.e. the south-central Pacific and the north-west Pacific regions as  
445 evidenced by the strong genetic similarity observed between Guam, Kingman and  
446 Swains Islands.

447 Discordances between mtDNA and microsatellites data had been previously reported  
448 for *A. 'planci'* populations from the Pacific Ocean. Using microsatellites, Yasuda et al.  
449 (2009) found that *A. 'planci'* populations in the western Pacific (i.e., Japan and  
450 Philippines), the GBR, and the North Pacific Islands (i.e. Palau, Majuro and Pohnpei,  
451 which are geographically close to Guam) belonged to different genetic groups. In  
452 contrast, when using mtDNA control region, Vogler et al. (2013) found that *A. 'planci'*  
453 samples from Palau were closer to the western Pacific population, while Majuro and  
454 Pohnpei were more related to the GBR.

455 Several authors have highlighted the importance to distinguish between recent  
456 contemporary events and evolutionary history (e.g., Eytan & Hellberg 2010;  
457 Peijnenburg et al. 2006; Selkoe & Toonen 2006; van der Meer et al. 2012; van der Meer  
458 et al. 2013). The high mutation rate of microsatellites results in rapid allelic changes in  
459 populations, thus these markers are more adequate for the study of contemporary  
460 patterns of genetic differentiation between populations (Selkoe & Toonen 2006). Other  
461 markers with lower mutation rate, like mtDNA, can uncover the signature of events that  
462 affected populations in a more distant past.

463 Along these lines, our data suggest that localities like Guam, Kingman Reef and Swains  
464 Island that were likely isolated from each other in the past (Timmers et al. 2012), may  
465 be currently connected by contemporary gene flow. Patterns of low mitochondrial  
466 divergence have been interpreted as a result of occasional exchange of larvae between  
467 distant areas, the retention of ancestral polymorphism or a signature of ancient gene  
468 flow (Timmers et al. 2012). In addition, Vogler et al. (2013) also found signatures of a  
469 recent population expansion in a large group of Pacific populations (including Guam).  
470 Our analysis suggests that the mitochondrial divergence detected between distant  
471 localities may gradually erode due to the likely existence of contemporary gene flow  
472 between these localities. We would like to note that in this study the direction,  
473 frequency and magnitude of the gene flow could not be assessed, and that this will  
474 require more extensive sampling of intermediate localities. Other explanations for the  
475 discrepancy between control region mtDNA and microsatellite data exist and include  
476 the non-neutral evolution of mtDNA (Ballard & Whitlock 2004) with the potential for  
477 sex-biased migration or selection on specific haplotypes; differences in the effective  
478 population size, resulting in differences in the effect of genetic drift (Shaw et al. 2004);  
479 or the higher likelihood for homoplasmy in microsatellites over longer periods of time,  
480 due to higher mutation rates (O'reilly et al. 2004). A more dense spatial sampling would  
481 allow to better understand which processes are involved in the mito-nuclear discordance  
482 observed.

#### 483 *Differences within Guam*

484 Despite the apparent lack of genetic structure between some geographically distant  
485 regions, some evidence of genetic differentiation among localities around Guam were  
486 found. For example, it was found that some localities around Guam (e.g., Tupalao Bay



487 (G1), Urunao Point (G2) and North Haputo Point (G4)) were genetically differentiated  
488 from Kingman Reef or/and Swains Islands (considering pairwise *Fst* values), but other  
489 localities around the same Island (e.g. Taguan (G3) or Tanguisson(G5)) are suggesting  
490 dispersal from and to these distant localities. A similar pattern was found in the  
491 discriminant analysis of principal components (DAPC, Figure 3), however, these  
492 genetic differences were not statistically significant due to the large confidence intervals  
493 of the *Fst* values. Additional studies are needed to determine if those differences are  
494 biologically relevant or result from an increased variance inherent to the implemented  
495 methodology. The exact factors causing the observed differentiation remain to be  
496 determined, especially considering that previous studies using less variable markers,  
497 such as allozymes or control region mtDNA, have also identified genetic differences  
498 between local COTS populations (Benzie 1999a; Benzie & Stoddart 1992; Nash et al.  
499 1988; Nishida & Lucas 1988; see Timmers et al. 2012 for within island differentiation).

500 The genetic structure of COTS populations can be affected by a number of factors, such  
501 as different oceanographic conditions, climatic fluctuations, local adaptation and  
502 differential mortality of pre-settlement larval stages (Benzie & Stoddart 1992; Yasuda et  
503 al. 2009). In addition, the distribution and dynamics of *A. 'planci'* populations are  
504 sensitive to changes in food availability (abundance of coral prey), food quality  
505 (preferred coral species), and population densities (De'ath & Moran 1998; Kayal et al.  
506 2012). The sampled coastal localities in Guam differ in terms of the amounts of riverine  
507 discharge and hydrodynamic patterns (Wolanski et al. 2003), and the reefs vary in coral  
508 cover and community structure (Burdick et al. 2008). Changes in local conditions  
509 linked to anthropogenic activities (e.g. increased sedimentation, terrestrial runoff and  
510 overfishing; Brodie et al. 2005) are capable of triggering primary outbreaks and may  
511 also facilitate larval survival and settlement success, leading to increased adult numbers  
512 and secondary outbreaks. All these risk factors have increased magnitude and frequency  
513 during the last decades in Guam (Burdick et al. 2008; Gawel 1999) and may explain the  
514 increase in the frequency and impact of *A. 'planci'* in this island and the structuring of  
515 its populations. Further studies are warranted to assess the relative importance of these  
516 local environmental factors on the genetic structure of COTS populations.

517

518

519 **Conclusions**

520 In this study, the genetic structure of the crown-of-thorns seastar (*Acanthaster 'planci'*)  
521 around Guam was evaluated using microsatellites and compared to spatially distinct  
522 localities in the Pacific. Genetic structure was detected within the sampled Pacific  
523 localities, which suggests clustering of reefs into broad geographic groups, some of  
524 them consistent with previous findings based on the control region of the mtDNA. A  
525 lack of genetic structure was suggested between Guam Island and distant reefs, such as  
526 Kingman and Swains, previously considered isolated regions. Additional studies  
527 including a denser spatial sampling are needed to test the strength and direction of  
528 putative gene flow between these localities and whether such putative long-distance  
529 dispersal events have an impact at the local demographic level.

530

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537

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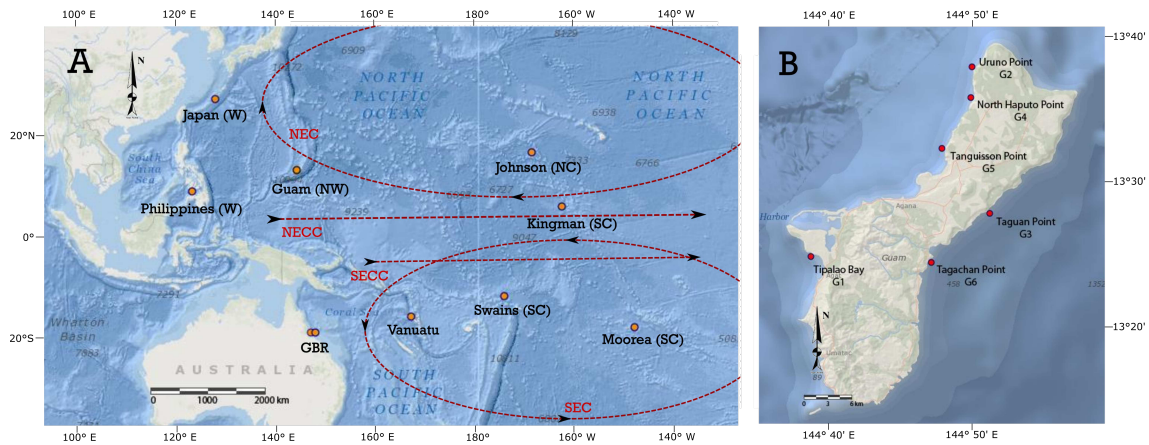
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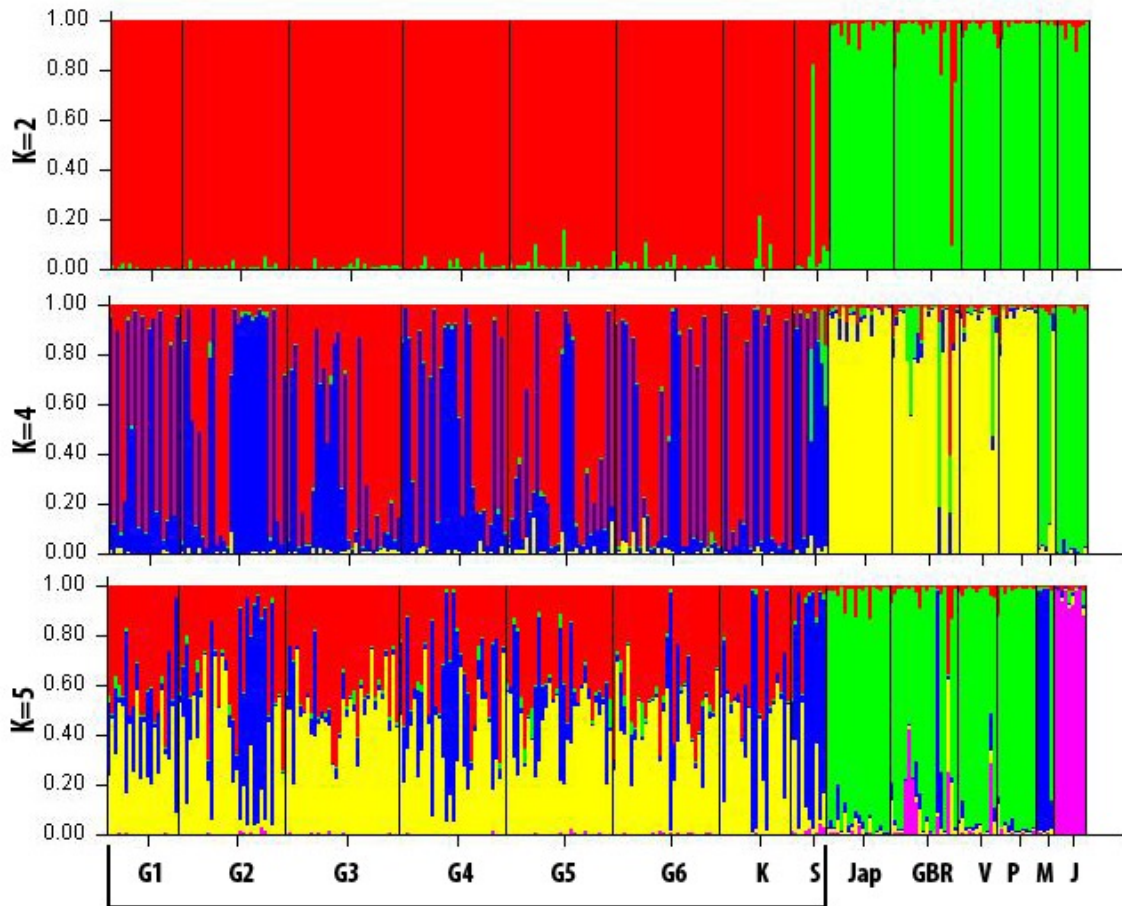
## 740 FIGURES AND TABLES

741 **Figure 1. A:** *Acanthaster 'planci'* localities sampled in the Pacific Ocean. Localities are coded by  
 742 geographical regions: west Pacific (W), north-west Pacific (NW), north-central Pacific (NC), south  
 743 central Pacific (SC). GBR represents the Great Barrier Reef. Current paths are presented in dashed line:  
 744 North Equatorial Countercurrent (NECC), North Equatorial Current (NEC), South Equatorial  
 745 Countercurrent (SECC), and South Equatorial Current (SEC). **B:** Details of sampling locations around  
 746 Guam Maps are taken and modified from [www.arcgis.com](http://www.arcgis.com). Source: Esri, GEBCO, DeLorme, NaturalVue  
 747 | Esri, GEBCO, IHO-IOC GEBCO, DeLorme, NGS.



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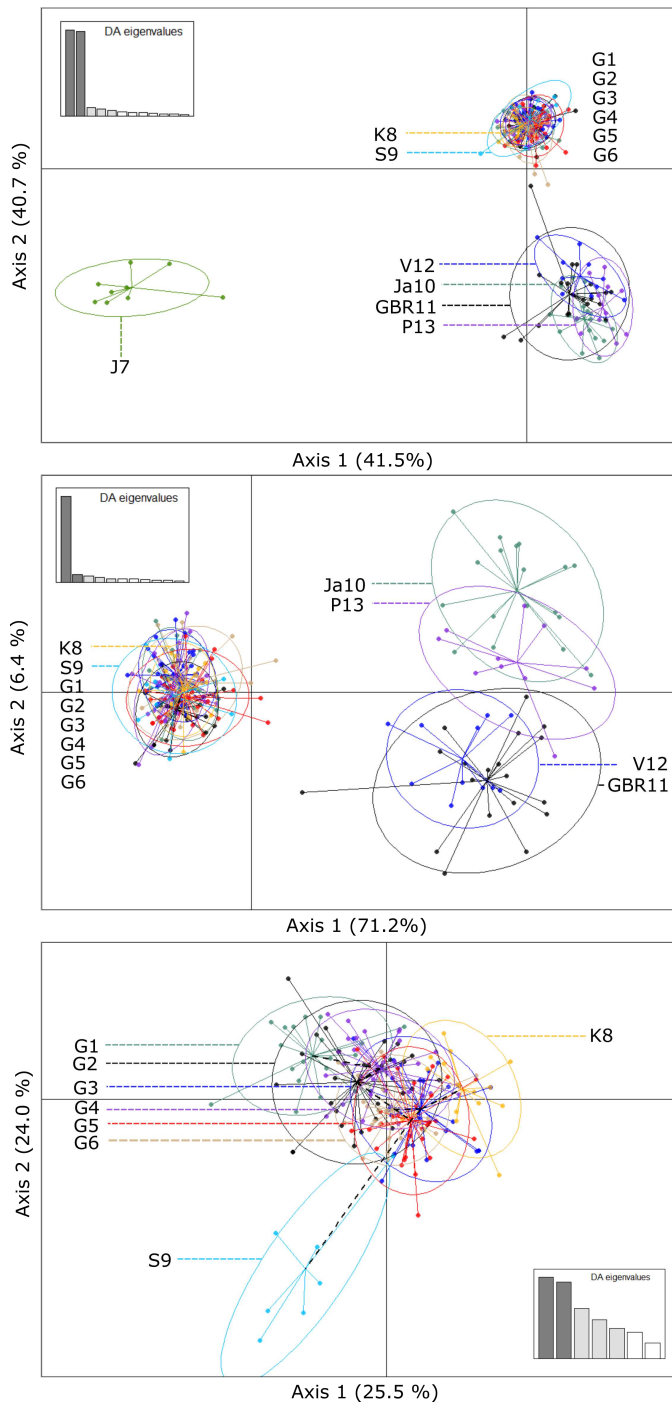
750 **Figure 2.** Graphical summary of Bayesian clustering results. Samples were assigned among 2, 4 and 5  
751 genetic clusters (K). Each colour represents the probability of corresponding to a specific cluster. Each  
752 locality is separated by a black line. The Guam group (Guam, Kingman and Swains Islands) is  
753 highlighted with the black line.



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756 **Figure 3.** Scatterplots of the discriminant analysis of principal components (DAPC) for all localities (A),  
 757 group 1 (Guam, Kingman and Swains Islands) and 2 (GBR, Japan, Philippines, Vanuatu) (B) and only the  
 758 group 1 (C). Individual genotypes appear as dots surrounded by 95% inertia ellipses. Eigenvalues show  
 759 the amount of genetic information contained in each successive principal component with x- and y-axes  
 760 constituting the first two principal components, respectively.



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762 **Table 1.** Summary information of sampled localities, code of locality, collection year, number of samples (N), number of alleles (Na), allelic richness (Ar), observed  
 763 heterozygosity (Ho), expected heterozygosity (He), and inbreeding coefficient (Fis).

Sample Location	Code	Collection Year	N	Na	Ar	Ho	He	Fis	HW - test P-value
Tipalao, Guam	G1	2006	20	6,9	5,01	0,6708	0,6896	0,028	0,593
Uruno, Guam	G2	2006	30	8,0	5,42	0,6745	0,7361	0,085	0,022
Taguan, Guam	G3	2006	32	7,3	5,04	0,6987	0,7047	0,009	0,171
North Haputo, Guam	G4	2006	30	7,5	5,14	0,6533	0,7008	0,069	0,015
Tanguisson, Guam	G5	2006	30	7,3	5,00	0,6900	0,7176	0,039	0,185
Tagachan, Guam	G6	2006	30	7,3	5,07	0,6467	0,7055	0,085	0,184
Johnston Atoll	J	2006	9	3,1	2,85	0,3333	0,4575	0,284	0,053
Kingmanreef	K	2006	20	5,5	4,37	0,5833	0,6704	0,133	0,004
Swains	S	2006	10	5,4	4,87	0,6643	0,7228	0,085	0,042
Japan	Ja		18	6,8	5,02	0,4923	0,6869	0,290	0,000
GBR	GBR	1999	19	7,0	5,22	0,3458	0,7442	0,542	0,000
Valuatu	V		11	5,0	4,52	0,3685	0,7101	0,494	0,000
Moorea	M	2006	5	3,3		0,3556	0,6173	0,617	0,006
Phillipines	P		11	5,4	4,70	0,2795	0,6558	0,588	0,000

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765 **Table 2.** Pairwise *F<sub>st</sub>* values for 13 *Acanthaster 'planci'* localities. Bold numbers indicate statistical significance after Bonferroni correction at  $P < 0.05$ . The red and blue  
 766 squares show regional groups found in this study.

	G1	G2	G3	G4	G5	G6	K7	S8	Ja9	GBR10	V11	P12	J13
<b>G1</b>	0,000												
<b>G2</b>	0,003	0,000											
<b>G3</b>	<b>0,032</b>	0,015	0,000										
<b>G4</b>	0,008	-0,002	<b>0,025</b>	0,000									
<b>G5</b>	0,019	0,007	0,000	0,009	0,000								
<b>G6</b>	<b>0,026</b>	0,015	-0,004	<b>0,034</b>	0,007	0,000							
<b>K7</b>	<b>0,048</b>	<b>0,032</b>	0,006	<b>0,039</b>	0,011	0,018	0,000						
<b>S8</b>	<b>0,045</b>	0,010	0,024	0,021	0,021	0,027	0,039	0,000					
<b>Ja9</b>	<b>0,235</b>	<b>0,208</b>	<b>0,207</b>	<b>0,222</b>	<b>0,192</b>	<b>0,200</b>	<b>0,229</b>	<b>0,200</b>	0,000				
<b>GBR10</b>	<b>0,184</b>	<b>0,155</b>	<b>0,164</b>	<b>0,169</b>	<b>0,149</b>	<b>0,167</b>	<b>0,174</b>	<b>0,147</b>	0,050	0,000			
<b>V11</b>	<b>0,189</b>	<b>0,148</b>	<b>0,160</b>	<b>0,168</b>	<b>0,143</b>	<b>0,155</b>	<b>0,184</b>	<b>0,157</b>	0,047	0,037	0,000		
<b>P12</b>	<b>0,257</b>	<b>0,218</b>	<b>0,214</b>	<b>0,235</b>	<b>0,201</b>	<b>0,213</b>	<b>0,226</b>	<b>0,225</b>	0,027	0,062	0,051	0,000	
<b>J13</b>	<b>0,335</b>	<b>0,295</b>	<b>0,313</b>	<b>0,323</b>	<b>0,322</b>	<b>0,313</b>	<b>0,354</b>	<b>0,328</b>	<b>0,446</b>	<b>0,373</b>	<b>0,410</b>	<b>0,480</b>	0,000

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768 **Table 3.** Results from AMOVA grouping localities by islands and regional groups. In all cases p-values were highly significant (<0.001). SS: sum of squares; VC: variance  
 769 component; PV: percentage of covariance. In all cases all localities were included in the analysis, but the regional groups change. Groups in case 2 and 3 are based on models  
 770 using oceanic currents and different PLB (30 and 60 days) (Trembl et al. 2008). Groups in case 4 and 5 are based on findings from Bayesian analyses.

Factor	Islands	Localities within islands	Within localities	Total	Regions	Localities within regions	Within localities	Total	Regions	Localities within regions	Within localities	Total	Regions	Localities within regions	Within localities	Total	Regions	Localities within regions	Within localities	Total
SS	216,80	29,47	1790,13	2036,39	208,16	38,11	1790,13	2036,39	127,67	118,60	1790,13	2036,39	175,03	71,24	1790,13	2036,39	201,32	44,95	1790,13	2036,39
VC	0,62	0,04	3,48	4,14	0,61	0,06	3,48	4,14	0,77	0,22	3,48	4,46	0,80	0,09	3,48	4,36	0,79	0,06	3,48	4,33
PV	15,02	1,03	83,95	100,00	14,65	1,35	84,00	100,00	17,17	4,84	77,99	100,00	18,34	1,97	79,69	100,00	18,34	1,38	80,28	100,00
FST				0,160				0,160				0,220				0,203				0,197
FSC				0,012				0,016				0,058				0,024				0,017
FCT				0,150				0,147				0,172				0,183				0,183

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