

TCP adaptation with network coding and opportunistic data forwarding in multi-hop wireless networks

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Opportunistic data forwarding significantly increases the throughput in multi-hop wireless mesh networks by utilizing the broadcast nature of wireless transmissions and the fluctuation of link qualities. Network coding strengthens the robustness of data transmissions over unreliable wireless links. However, opportunistic data forwarding and network coding are rarely incorporated with TCP because the frequent occurrences of out-of-order packets in opportunistic data forwarding and long decoding delay in network coding overthrow TCP's congestion control. In this paper, we propose a solution dubbed TCPFender, which supports opportunistic data forwarding and network coding in TCP. Our solution adds an adaptation layer to mask the packet loss caused by wireless link errors and provides early positive feedbacks to trigger a larger congestion window for TCP. This adaptation layer functions over the network layer and reduces the delay of ACKs for each coded packet. The simulation results show that TCPFender significantly outperforms TCP/IP in terms of the network throughput in different topologies of wireless networks.

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ABSTRACT

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Keywords: TCP, Network Coding, Opportunistic Data Forwarding, Multi-Hop Wireless Networks

1 INTRODUCTION

Wireless mesh networks have emerged as the most common technology for the last mile of Internet access. The Internet provides a platform for rapid and timely information exchanges among clients and servers. Transmission Control Protocol (TCP) has become the most prominent transport protocol on the Internet. Since TCP was originally designed primarily for wired networks that have low bit error rates, moderate packet loss, and packet collisions, the performance of TCP degrades to a greater extent in multi-hop wireless networks, where several unreliable wireless links may be involved in data transmissions (Aguayo et al., 2004; Jain and Das, 2005). However, multi-hop wireless networks have several advantages, including rapid deployment with less infrastructure and less transmission power over multiple short links. Moreover, a high data rate can be achieved by novel cooperation or high link utilization (Larsson, 2001). Some important issues are being addressed by researchers to utilize these capabilities and increase TCP performance in multi-hop wireless networks, such as efficiently searching the ideal path from a source to a destination, maintaining reliable wireless links, protecting nodes from network attacks, reducing energy consumption, and supporting different applications.

In multi-hop wireless networks, data packet collision and link quality variation can cause packet losses. TCP often incorrectly assumes that there is congestion, and therefore reduces the sending rate. However, TCP is actually required to transmit continuously to overcome these packets losses. As a result, such a problem causes poor performance in multi-hop wireless networks. There are extensive studies working on these harmful effects. Some studies were proposed to reduce the collision between TCP data packets and TCP acknowledgements or dynamically adjust the congestion window. Other relief may come from network coding. The pioneering paper proposed by Ahlswede et al. (2000) presents the fundamental theory of network coding. Instead of forwarding a single packet at each time, network coding allows nodes to recombine input packets into one or several output packets. Furthermore, network coding is also very well suited for environments where only partial or uncertain data is available for making a

46 decision (Mehta and Narmawala, 2011).

47 The link quality variation in multi-hop wireless networks is widely studied in the opportunistic
48 data forwarding under User Datagram Protocol (UDP). It was traditionally treated as an adversarial
49 factor in wireless networks, where its effect must be masked from upper-layer protocols by automatic
50 retransmissions or strong forwarding error corrections. However, recent innovative studies utilize the
51 characteristic explicitly to achieve opportunistic data forwarding (Biswas and Morris, 2005; Chen et al.,
52 2009; Wang et al., 2012). Unlike traditional routing protocols, the forwarder in opportunistic routing
53 protocols broadcasts the data packets before the selection of next-hop forwarder. Opportunistic routing
54 protocols allow multiple downstream nodes as candidates to forward data packets instead of using a
55 dedicated next-hop forwarder.

56 Since the broadcasting nature of wireless links naturally supports both network coding and oppor-
57 tunistic data forwarding, many studies work on improving UDP performance in multi-hop wireless
58 networks by opportunistic data forwarding and network coding. However, opportunistic data forwarding
59 and network coding are inherently unsuitable for TCP. The frequent dropping of packets or out-of-order
60 arrivals overthrow TCP's congestion control. Specifically, opportunistic data forwarding does not attempt
61 to forward packets in the same order as they are injected in the network, so the arrival of packets will be
62 in a different order. Network coding also introduces long coding delays by both the encoding and the
63 decoding processes; besides, it is possible along with some scenarios of not being able to decode packets.
64 These phenomena introduce duplicated ACK segments and frequent timeouts in TCP transmissions, which
65 reduce the TCP throughput significantly.

66 Our proposed protocol, called *TCPFender*, uses opportunistic data forwarding and network coding to
67 improve TCP throughputs. *TCPFender* adds an adaptation layer above the network layer to cooperate
68 with TCP's control feedback loop; it makes the TCP's congestion control work well with opportunistic
69 data forwarding and network coding. *TCPFender* proposes a novel feedback-based scheme to detect the
70 network congestion and distinguish duplicated ACKs caused by out-of-order arrivals in opportunistic data
71 forwarding from those caused by network congestion. We compared the throughput of *TCPFender* and
72 TCP/IP in different topologies of wireless mesh networks, and analyzed the influence of batch sizes on
73 the TCP throughput and the end-to-end delay. Since our work adapts the *TCPFender* to functioning over
74 the network layer without any modification to TCP itself, it is easy to deploy in wireless mesh networks.

75 2 RELATED WORK

76 2.1 Opportunistic data forwarding

77 ExOR (Extreme Opportunistic Routing) is a seminal effort in opportunistic routing protocols (Biswas
78 and Morris, 2005). It is an integrated routing and MAC protocol that exploits the broadcast nature of
79 wireless media. In a wireless mesh network, when a source transmits a data packet to a destination
80 by several intermediate nodes which are decided by the routing module, other downstream nodes not
81 in the routing path, can overhear the transmission. If the dedicated intermediate node, which is in the
82 routing path, fails to receive this packet, other nearby downstream nodes can be scheduled to forward
83 this packet instead of the sender retransmitting. In this case, the total transmission energy consumption
84 and the transmission delay can be reduced, and the network throughput will be increased. Unfortunately,
85 traditional IP forwarding dictates that all nodes without a matching receiver address should drop the
86 packet, and only the node that the routing module selects to be the next hop can keep it for forwarding
87 subsequently, so traditional IP forwarding is easily affected by link quality variation. However, ExOR
88 allows multiple downstream nodes to coordinate and forward packets. The intermediate nodes, which are
89 'closer' to the destination, have a higher priority in forwarding packets towards the destination. ExOR
90 can utilize the transient high quality of links and obtains an opportunistic forwarding gain by taking
91 advantage of transmissions that reach unexpectedly far or fall unexpectedly short. In ExOR, a forwarding
92 schedule is proposed to reduce duplicate transmissions. This schedule guarantees that only the highest
93 priority receiver will forward packets to downstream nodes. However, this 'strict' schedule also reduces
94 the possibilities for spatial reuse. The study in (Chachulski et al., 2007) shows that ExOR can have better
95 spatial reuse of wireless media. Furthermore, this schedule may be violated due to frequent packet loss
96 and packet collision.

97 2.2 Opportunistic data forwarding with network coding

98 Studies show that network coding can reduce the data packet collision and approach the maximum
99 theoretical capacity of networks (Ahlsvede et al., 2000; Li et al., 2003; Koetter and Médard, 2003;
100 Laneman et al., 2004; Jaggi et al., 2005; Ho et al., 2006). Many researchers incorporate network coding
101 in opportunistic data forwarding to improve the throughput performance (Chachulski et al., 2007; Lin
102 et al., 2008, 2010; Zhu et al., 2015). MORE (MAC-independent Opportunistic Routing and Encoding) is
103 practical opportunistic routing protocol based on random linear network coding (Chachulski et al., 2007).
104 In MORE, the source node divides data packets from the upper layer into batches and generates coded
105 packets of each batch. Similar to ExOR, packets in MORE are also forwarded based on a batch. The
106 destination node can decode these coded packets to original packets after receiving enough independently
107 coded packets in the same batch. The destination receives enough packets when the decoding matrix
108 reaches the full rank, then these original packets will be pushed to the upper layer. MORE coordinates the
109 forwarding of each node using a transmission credit system, which is calculated based on how effective it
110 would be in forwarding coded data packets to downstream nodes. This transmission credit system reduces
111 the possibility that intermediate nodes forward the same packets in duplication. However, MORE uses a
112 ‘stop-and-wait’ design with a single batch in transmission, which is not efficient utilizing the bandwidth
113 of networks. COPE focuses on inter-session network coding; it is a framework to combine and encode
114 data flows through joint nodes to achieve a high throughput (Basagni et al., 2008). CAOR (Coding Aware
115 Opportunistic Routing) proposes a localized coding-aware opportunistic routing mechanism to increase
116 the throughput of wireless mesh networks. In this protocol, the packet carries out with the awareness
117 of coding opportunities and no synchronization is required among nodes (Yan et al., 2008). NC-MAC
118 improves the efficiency of coding decisions by verifying the decodability of packets before they are
119 transmitted (Argyriou, 2009). The scheme focuses on ensuring correct coding decisions at each network
120 node, and it requires no cross-layer interactions.

121 CodeOR (Coding in Opportunistic Routing) improves MORE in a few important ways (Lin et al.,
122 2008). In MORE, the source simply keeps transmitting coded packets belonging to the same batch until
123 the acknowledgment of this batch from the destination has been received. CodeOR allows the source to
124 transmit multiple batches of packets in a pipeline fashion. They also proposed a mathematical analysis in
125 tractable network models to show the way of ‘stop-and-wait’ affects the network throughput, especially in
126 large or long topology. The timely ACKs are transmitted from downstream nodes to reduce the penalty of
127 inaccurate timing in transmitting the next batch. CodeOR applies the ideas of TCP flow control to estimate
128 the correct sending window and the flow control algorithm is similar to TCP Vegas, which uses increased
129 queueing delay as congestion signals. SlideOR works with online network coding (Lin et al., 2010), in
130 which data packets are not required to be divided into multiple batches or to be encoded separately in
131 each batch. In SlideOR, the source node encodes packets in overlapping sliding windows such that coded
132 packets from one window position may be useful towards decoding the packets inside another window
133 position. Once a coded packet is ‘seen’ by the destination node, the source node only encodes packets
134 after this seen packet. Since it does not need to encode any packet that is already seen at the destination,
135 SlideOR can transmit useful coded packets and achieve a high throughput.

136 CCACK (Cumulative Coded ACKnowledgment) allows nodes to acknowledge coded packets to
137 upstream nodes with negligible overhead (Koutsonikolas et al., 2011). It utilizes a null space-based
138 (NSB) coded feedback vector to represent the entire decoding matrix. CodePipe is a reliable multicast
139 protocol, which improves the multicast throughput by exploiting both intra and inter network coding (Li
140 et al., 2012). CORE (Coding-aware Opportunistic Routing mEchanism) combines inter-session and
141 intra-session network coding (Krigslund et al., 2013). It allows nodes in the network to setup inter-session
142 coding regions where packets from different flows can be XORed. Packets from the same flow uses
143 random linear network coding for intra-session coding. CORE provides a solution to cope with the
144 unreliable overhearing and improves the throughput performance in multi-hop wireless networks. NCOR
145 focuses on how to select the best candidate forwarder set and allocate traffic among candidate forwarders
146 to approach optimal routing (Cai et al., 2014). It contracts a relationship tree to describe the child-parent
147 relations along the path from the source to the destination. The cost of the path is the sum of the costs of
148 each constituent hyperlink for delivering one unit of information to the destination. The nodes, which
149 create the path with the minimum cost, can be chosen as candidate forwarders. Hsu et al. (2015) proposed
150 a stochastic dynamic framework to minimize a long-run average cost. They also analyzed the problem of
151 whether to delay packet transmission in hopes that a coding pair will be available in the future or transmit

152 a packet without coding. Garrido et al. (2015) proposed a cross-layer technique to balance the load
153 between relaying nodes based on bandwidth of wireless links, and they used an intra-flow network coding
154 solution modelled by means of Hidden Markov Processes. However, the schemes above were designed to
155 utilize opportunistic data forwarding and network coding, but none of these was designed to support TCP.

156 **2.3 Network coding in TCP**

157 A number of recent papers have utilized network coding to improve TCP throughput. In particular, Huang
158 et al. introduce network coding to TCP traffic, where data segments in one direction and ACK segments
159 in the opposite direction can be coded at intermediate nodes (Huang et al., 2008). The simulation showed
160 that making a small delay at each intermediate node can increase the coding opportunity and increase
161 the TCP throughput. TCP/NC enables a TCP-compatible sliding-window approach to utilize network
162 coding (Sundararajan et al., 2011). Such a variant of TCP is based on ACK-based sliding-window network
163 coding approach and improves the TCP throughput in lossy links. It uses the degree of freedom in the
164 decoding matrix instead of the number of received original packets as the sequence number in ACK.
165 If a received packet increases the degree of freedom in the decoding matrix, this packet is called an
166 innovative packet and this packet is 'seen' by the destination. The destination node will generate an
167 acknowledgment whenever a coded packet is seen instead of producing an original packet. However,
168 TCP/NC cannot efficiently control the waiting time for the decoding matrix to become full rank, and the
169 packet loss can make TCP/NC's decoding matrix very large, which causes a long packet delay (Sun et al.,
170 2015). TCP-VON introduces online network coding (ONC) to TCP/NC, which can smoothly increase the
171 receiving data rate and packets can be decoded quickly by the destination node. However, these protocols
172 are variants of RTT-based congestion control TCP protocols (e.g., Vegas), which limits their applications
173 in practice since most TCP protocols are loss-based congestion control (Bao et al., 2012). TCP-FNC
174 proposes two algorithms to increase the TCP throughput (Sun et al., 2015). One is a feedback based
175 scheme to reduce the waiting delay. The other is an optimized progressive decoding algorithm to reduce
176 computation delay. It can be applied to loss-based congestion control, but it does not take advantage of
177 opportunistic data forwarding. Since TCP-FNC is based on traditional IP forwarding, it is easily affected
178 by link quality variation. ComboCoding (Chen et al., 2011) uses both inter- and intra-flow networking to
179 support TCP with deterministic routing. The inter-flow coding is done between the data flows of the two
180 directions of the same TCP session. The intra-flow coding is based on random linear coding serving as a
181 forward-error correction mechanism. It has an adaptive redundancy to overcome variable packet loss rates
182 over wireless links. However, ComboCoding was not designed for opportunistic data forwarding.

183 **2.4 Contribution of TCPFender**

184 Opportunistic data forwarding and network coding do not inherently support TCP, so many previous
185 research on opportunistic data forwarding and network coding were not designed for TCP. Other studies
186 modified TCP protocols by cooperating network coding into TCP protocols; these work created different
187 variants of TCP protocols to improve the throughput. However, TCP protocols (especially, TCP Reno) are
188 widely deployed in current communication systems, it is not easy work to modify all TCP protocols of the
189 communication systems. Therefore, we propose an adaptation layer (TCPFender) functioning below TCP
190 Reno. With the help of TCPFender, TCP Reno do not make any change to itself and it can take advantage
191 of both network coding and opportunistic data forwarding.

192 **3 DESIGN OF TCPFENDER**

193 **3.1 Overview of TCPFender**

194 We introduce TCPFender as an adaptation layer above the network layer, which hides network coding
195 and opportunistic forwarding from the transport layer. The process of TCPFender is shown in Fig. 1.
196 It confines the modification of the system only under the network layer. The goal of TCPFender is
197 to improve TCP throughput in wireless mesh networks by opportunistic data forwarding and network
198 coding. However, opportunistic data forwarding in wireless networks causes many dropped packets and
199 out-of-order arrivals, and it is difficult for TCP sender to maintain a large congestion window. Especially
200 the underlying link layer is the stock IEEE 802.11, which only provides standard unreliable broadcast or
201 reliable unicast (best effort with a limited number of retransmissions). TCP has its own interpretation
202 of the arrival (or absence) of the ACK segments and their timing. It opens up its congestion window
203 based on continuous ACKs coming in from the destination. The dilemma is that when packets arrive out

204 of order or are dropped, the TCP receiver cannot signal the sender to proceed with the expected ACK
 205 segment. Unfortunately, opportunistic data forwarding can introduce many out-of-order arrivals, which
 206 can significantly reduce the congestion window size of regular TCP since it increases the possibility of
 207 duplicated ACKs. Furthermore, the long decoding delay for batch-based network coding does not fare
 208 well with TCP, because it triggers excessive time-out events.

209 The TCPFender adaptation layer at the receiving side functions over the network layer and provides
 210 positive feedback early on when innovative coded packets are received, i.e. suggesting that more informa-
 211 tion has come through the network despite not being decoded for the time being. This process helps the
 212 sender to open its congestion window and trigger fast recovery when the receiving side acknowledges the
 213 arrival of packets belonging to a later batch, in which case the sending side will resend dropped packets of
 214 the unfinished batch. On the sender side, the ACK signalling module is able to differentiate duplicated
 ACKs and filter useless ACKs (shown in Fig. 1).

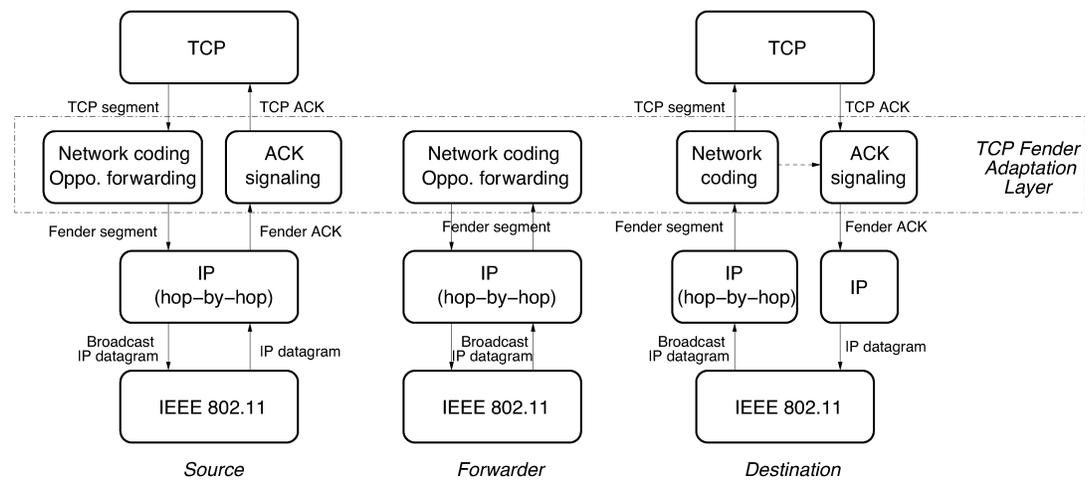


Figure 1. TCPFender design scheme.

215

216 3.2 TCPFender Algorithm

217 To better support TCP with opportunistic data forwarding and network coding, TCPFender inserts the TCP
 218 adaptation layer above the network work layer at the source, the forwarder, and the destination. The main
 219 work of the TCP adaptation layer is to interpret observations of the network layer phenomena in a way that
 220 is understandable by TCP. The network coding module in the adaptation layer is based on a batch-oriented
 221 network coding operation. The original TCP packets are grouped into batches, where all packets in
 222 the same batch carry encoding vectors on the same basis. At the intermediate nodes, packets will be
 223 recoded and forwarded following the schedule of opportunistic data forwarding proposed by MORE,
 224 which proposes a transmission credit system to describe the duplication of packets. This transmission
 225 credit system can compensate the packet loss, increase the reliability of the transmission, and represent
 226 the schedule of opportunistic data forwarding. The network coding module in the destination node will
 227 try to decode received coded packets to original packets when it receives any coded packet. The ACK
 228 signalling modules at the source and the destination are responsible for translation between TCP ACKs
 229 and TCPFender ACKs.

230 3.2.1 Network Coding in TCPFender

231 We implement batch-oriented network coding operations at the sender and receiver to support TCP
 232 transmissions. All data pushed down by the transport layer in sender are grouped into batches, and each
 233 batch has a fixed number β ($\beta = 10$ in our implementation) of packets of equal length (with possible
 234 padding). When the source has accumulated packets in a batch, these packets are coded with random
 235 linear network coding, tagged with the encoding vectors, and transmitted to downstream nodes. The
 236 downstream nodes are any nodes in the network closer to the destination. Any downstream node can
 237 recode and forward packets when it receives a sufficient number of them. We use transmission credit
 238 mechanism, as proposed in MORE, to balance the number of packets to be forwarded in intermediate
 239 nodes.

240 We make two important changes to improve the network coding process of MORE for TCP transmis-
241 sions. For a given batch, the source does not need to wait until the last packet of a batch from the TCP
242 before transmitting coded packets. We call this accumulative coding. That is, if k packets ($k < \beta$) have
243 been sent down by TCP at a point of time, a random linear combination of these k packets is created and
244 transmitted. Initially, the coded packets only include information for the first few TCP data segments of
245 the batch, but will include more towards the end of the batch. The reason for this “early release” behaviour
246 is for the TCP receiving side to be able to provide early feedback for the sender to open up the congestion
247 window. On the other hand, we use a deeper pipelining than MORE where we allow multiple batches
248 to flow in the network at the same time. To do that, the sending side does not need to wait for the batch
249 acknowledgement before proceeding with the next batch. In this case, packets of a batch are labeled
250 with a batch index for differentiation, in order for TCP to have a stable, large congestion window size
251 rather than having to reset it to 1 for each new batch. The cost of such pipelining is that all nodes need to
252 maintain packets for multiple batches.

253 **3.2.2 Source adaptation layer**

254 The source adaptation layer buffers all original packets of a batch that have not been acknowledged. The
255 purpose is that when TCP pushes down a new data packet or previously sent data packet due to a loss
256 event, the source adaptation layer can still mix it with other data packets of the same batch. The ACK
257 signalling module can discern duplicated ACKs which are not in fact caused by the network congestion.
258 Opportunistic data forwarding may cause many extra coded packets, specifically when some network links
259 are of the high quality at a certain point. This causes the destination node to send multiple ACKs with same
260 sequence number. In this case, such duplicated ACKs are not a signal for the network congestion, and
261 should be treated differently by the ACK signalling module in the source. These two cases of duplicated
262 ACKs can actually be differentiated by tagging the ACKs with the associated sequence numbers of the
263 TCP data segment. These ACKs are used by the TCPFender adaptation layer at the source and the
264 destination and should be converted to original TCP ACKs before being delivered to the upper layer.

265 The flow of data or ACKs transmissions is shown in the left of Fig. 1. Original TCP data segments
266 are generated and delivered to the module of “network coding and opportunistic forwarding”. Here,
267 TCP data segments may be distributed to several batches based on their TCP segment sequences, so the
268 retransmitted packets will be always in the same batch as their initial distribution. After the current TCP
269 data segment mixes with packets in a batch, TCPFender data segments will be generated and injected to
270 network via hop-by-hop IP forwarding, which is essentially broadcasting of IP datagrams. On the ACK
271 signalling module, when it receives TCPFender ACKs, if the ACK’s sequence number is greater than the
272 maximum received ACK sequence number, this ACK will be translated into a TCP ACK and delivered
273 to the TCP sender. Otherwise, the ACK signalling module will check whether this duplicated ACK is
274 caused by opportunistic data forwarding or not. Then it will decide whether to forward a TCP ACK to the
275 TCP or not. The reason for differentiating duplicated ACKs at the source instead of at the destination is to
276 reduce the impact of ACK loss on TCP congestion control.

277 **3.2.3 Destination adaptation layer**

278 The main function of the destination adaptation layer is to generate ACKs and detect congestion in the
279 network. It expects packets in the order of increasing batch index. For example, when it is expecting the
280 b th batch, it implies that it has successfully received packets of the previous $b - 1$ batches and delivered
281 them up to the TCP layer. In this case, it is only interested in and buffers packets of the b th batch or
282 later. However, the destination node may receive packets of any batch. Suppose that the destination node
283 is expecting the b th batch, and that the rank of the decoding matrix of this batch is r . In this case, the
284 destination node has “almost” received $\beta \times (b - 1) + r$ packets of the TCP flow, where $\beta \times (b - 1)$ packets
285 have been decoded and pushed up the TCP receiver, and r packets are still in the decoding matrix. When
286 it receives a coded packet of the b' th batch, if $b' < b$, the packet is discarded. Otherwise, this packet is
287 inserted into the corresponding decoding matrix. Such an insertion can increase r by 1 if $b' = b$ and this
288 received packet is an innovative packet. The received packet is defined as an innovative packet only if the
289 received packet is linearly independent with all the buffered coded packets within the same batch. In either
290 case, it generates an ACK of sequence number $\beta \times (b - 1) + r$, which is sent over IP back to the source
291 node. One exception is that if $r = \beta$ (i.e. decoding matrix become full rank), the ACK sequence number
292 is $\beta \times (\hat{b} - 1) + \hat{r}$, where \hat{b} is the next batch that is not full and \hat{r} is its rank. At this point, the receiver
293 moves on to the \hat{b} th batch. This mechanism ensures that the receiver can send multiple duplicate ACKs

294 for the sender to detect congestion and start fast recovery. It also supports multiple-batch transmissions in
295 the network and guarantees the reliable transmission at the end of the transmission of each batch.

296 The design of the destination adaptation layer is shown on the right of Fig. 1. The network coding
297 module has two functions. First, it will check whether the received TCPFender data segment is innovative
298 or not. In either case, it will notify the ACK signalling to generate a TCPFender ACK. Second, it will
299 deliver original TCP data segments to TCP layer if one or more original TCP data segment are decoded
300 after receiving an innovative coded data packet. This mechanism can significantly reduce the decoding
301 delay of the batch-based network coding. On the other hand, TCPFender has its own congestion control
302 mechanism, so TCP ACK that is generated by the TCP layer will be dropped by the ACK signalling
303 module at the destination.

304 **3.2.4 Forwarder adaptation layer**

305 The flow of data at forwarders is shown in the middle of Fig. 1. The ACK is unicast from the destination
306 to the source by IP forwarding, which is standard forwarding mechanism and is not shown in the diagram.
307 The intermediate node receives TCPFender data segment from below and this segment will be distributed
308 into corresponding batches and regenerates a new coded TCPFender data segment. This new TCPFender
309 data segment will be sent to downstream forwarders via hop-by-hop IP broadcasting based on the credit
310 transmission system proposed by MORE.

311 **4 PERFORMANCE EVALUATION**

312 In this section, we investigate the performance of TCPFender through computer simulations using NS-2.
313 The topologies of the simulations are made up of three exemplar network topologies and one specific
314 mesh. These topologies are depicted in Fig. 2 “diamond topology”, Fig. 3 “string topology”, Fig. 4 “grid
315 topology”, and Fig. 5 “mesh topology”. The packet delivery rates at the physical layer for the mesh
316 topology are marked in Fig. 5, and the packet delivery rates for other topologies are described in Table. 1.
317 The source node and the destination node are at the opposite ends of the network. One FTP application
318 sends long files from the source to the destination. The source node emits packets continuously until the
319 end of the simulation, and each simulation lasts for 100 seconds. All the wireless links have a bandwidth
320 of 1Mbps and the buffer size on the interfaces is set to 100 packets. To compensate for the link loss, we
321 used the hop-to-hop redundancy factor for TCPFender on a lossy link. Recall that the redundancy factor
322 is calculated based on the packet loss rate, which was proposed in MORE (Chachulski et al., 2007). This
323 packet loss rate should incorporate the loss effect at both the Physical and Link layers, which is higher
324 than the marked physical layer loss rates. The redundancy factors of the links are thus set according to
325 these revised rates. We compared our protocol against TCP and TCP+NC in four network topologies. In
326 our simulations, TCP ran on top of IP, and TCP+NC has batch-based network coding enabled but still
327 over IP. The version of TCP is TCP Reno for TCPFender and both baselines. The ACK packet for the
328 three protocols are routed to the source by shortest-path routing.

329 In this paper, we examined whether TCPFender can effectively utilize opportunistic forwarding and
330 network coding. TCPFender can provide reliable transmissions in these four topologies and the analysis
331 metrics we took are the network throughput and the end-to-end packet delay at the application layer.
332 We repeated each scenario 10 times with different random seeds for TCPFender, TCP+NC, and TCP/IP,
333 respectively. In TCPFender, every intermediate node has the opportunity to forward coded packets and all
334 nodes operate in the 802.11 broadcast mode. By contrast, for TCP/IP and TCP+NC, we use the unicast
335 model of 802.11 with ARQ and the routing module is the shortest-path routing of ETX Couto et al. (2003).

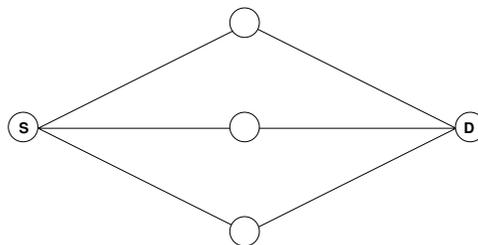


Figure 2. Diamond topology



Figure 3. String topology

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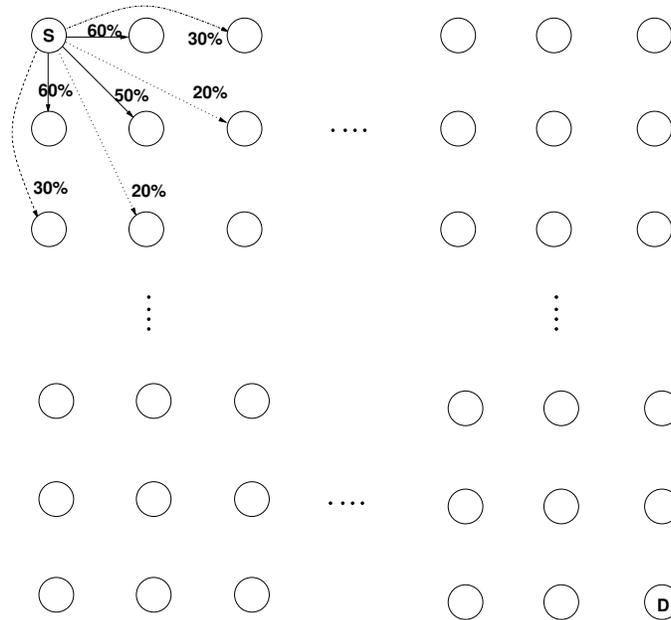


Figure 4. Grid topology

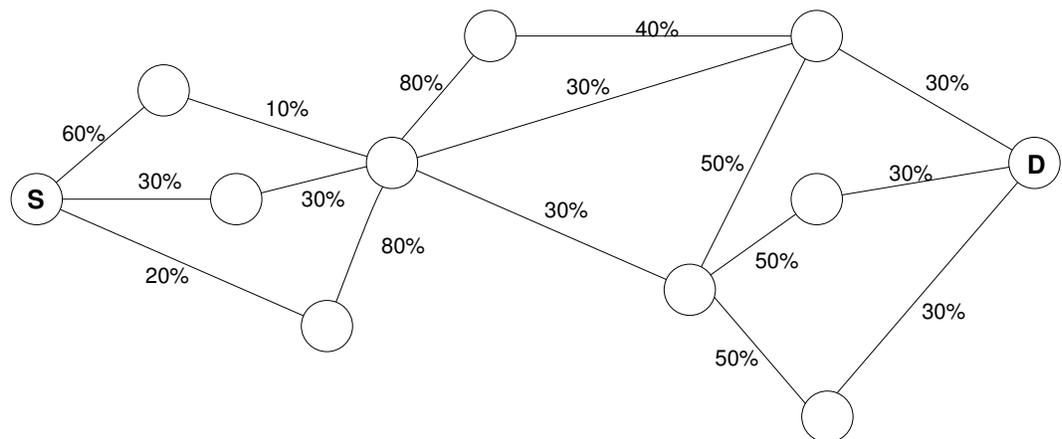


Figure 5. Mesh topology

337

338 In the diamond topology (Fig. 2), the source node has three different paths to the destination. TCP and
 339 TCP+NC only use one path to the destination, but TCPFender could utilize more intermediate forwarders
 340 thanks to the opportunistic routing. The packet delivery rates for each link are varied between 20%, 40%,
 341 60% and 80%. We plotted the throughput of these three protocols in Fig. 6. In all cases, the TCPFender
 342 has the highest throughput, and the performance gain is more visible for poor link qualities.

343 Next, we tested these protocols in the string topology (Fig. 3) with 6 nodes. The distance between the
 344 two nodes is 100 meters, and the transmission range is the default 250 meters. Different combinations

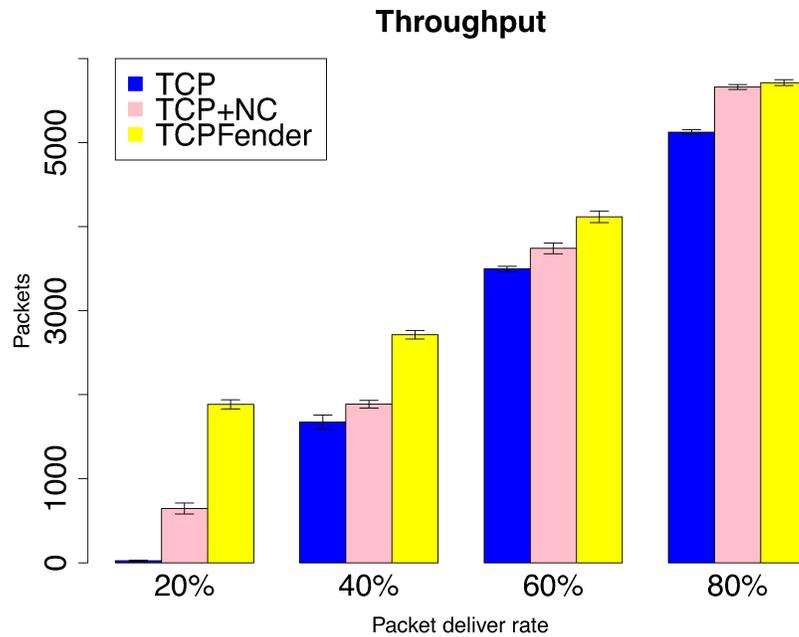


Figure 6. Throughput for diamond topology

Table 1. Packet delivery rate

100m	200 m			
100%	80%	60%	40%	20%
80%		60%	40%	20%
60%			40%	20%
40%				20%

345 of packet delivery rates for 100-meter and 200-meter distances are described in Table 1. As a result, the
 346 shortest path routing used by TCP and TCP+NC can decide to use the 100m or 200m links depending on
 347 their relative reliability. The throughputs of the three protocols are plotted in Fig. 7, where we observed
 348 how they perform under different link qualities. Except for the one case where both the 100m and
 349 200m links are very stable (i.e 100% and 80%, respectively), the gains of having network coding and
 350 opportunistic forwarding are fairly significant in maintaining TCP's capacity to the application layer.
 351 When the links are very stable, the cost of the opportunistic forwarding schedule and the network coding
 352 delay will slightly reduce the network throughput.

353 We also plotted these three protocols' throughputs in a grid topology (Fig. 4) and a mesh topology
 354 (Fig. 5). Each node has more neighbours in these two topologies, compared to string topology (Fig. 3),
 355 which increases the chance of opportunistic data forwarding. The packet delivery rates are indicated
 356 in these two Figures (Fig. 4 and Fig. 5). In general, the packet delivery rates drop when the distance
 357 between a sender and a receiver increases. In our experiment, the source and destination nodes deploy
 358 at the opposite ends of the network. The throughput of TCPFender is depicted in Fig. 8 and it is much
 359 higher than TCP/IP because opportunistic data forwarding and network coding increase the utilization of
 360 network capacity. The gain is about 100% in our experiment. The end-to-end delays of the grid topology
 361 and the mesh topology are plotted in Fig. 8. In general, TCP+NC has long end-to-end delays because
 362 packets need be decoded before delivered to the application layer, this is an inherent feature of batch-based
 363 network coding. TCPFender can benefit from backup paths and receive packets early, so it reduces the
 364 time-consumption of waiting for decoding and its end-to-end delay is shorter than TCP+NC.

365 Next, we are interested in the impact of batch sizes on the throughput and the end-to-end delay. Fig. 9
 366 shows the throughput of TCPFender in the mesh topology for batch sizes of 10, 20, 30, ..., 100 packets.
 367 In general, batch sizes will have an impact on then TCP throughput (as exemplified in Fig. 11). When the

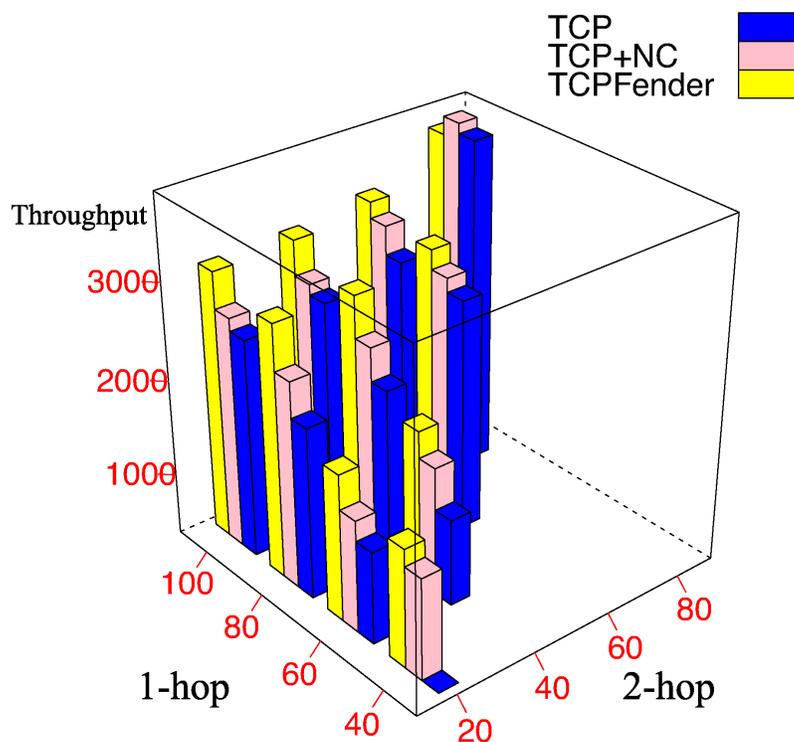


Figure 7. Throughput for string topology

368 batch size is small (≤ 40), the increment of the batch size can increase the throughput, since it expands
 369 the congestion window. However, if the batch size is too large (> 40), the increment of the batch size will
 370 decrease the throughput because the increase of batch size will amplify the fluctuation of the congestion
 371 window and also increase packet overhead by long encoding vectors. The Fig. 11 also describes how
 372 many packets are transmitted in the network. Each intermediate node will keep all unfinished batches.
 373 From the Fig. 11, since the number of packets transmitted in the network is smaller than two batch sizes,
 374 intermediate nodes only need to keep two batches of packets and the memories required to store the
 375 packets are acceptable. The nature of batch-based network coding will also introduce decoding delays, so
 376 the batch size has a direct impact on the end-to-end delay, as summaries in Fig. 9. In Fig. 10, we plotted
 377 the end-to-end delays of all packets over time in two sample simulations. Note that these tests were done
 378 for files that need many batches to carry. On the other hand, when the file size is comparable to the batch
 379 size, the file-wise delay will be comparable to the decoding delay of an entire batch, which may seem
 380 large relatively. However, because the file size is small, this delay is not overly significant as the delay is
 381 at the order of its transmission time. Nevertheless, network coding does add considerable amount of delay
 382 in comparison to pure TCP/IP.

383

384

385 5 CONCLUDING REMARKS

386 In this paper, we proposed TCPFender, which is a novel mechanism to support TCP with network
 387 coding and opportunistic data forwarding. TCPFender completes the control feedback loop of TCP by
 388 creating a bridge between the adaptation modules of the sender and the receiver. The sender adaptation
 389 layer in TCPFender differentiates duplicate ACKs caused by network congestion from these caused

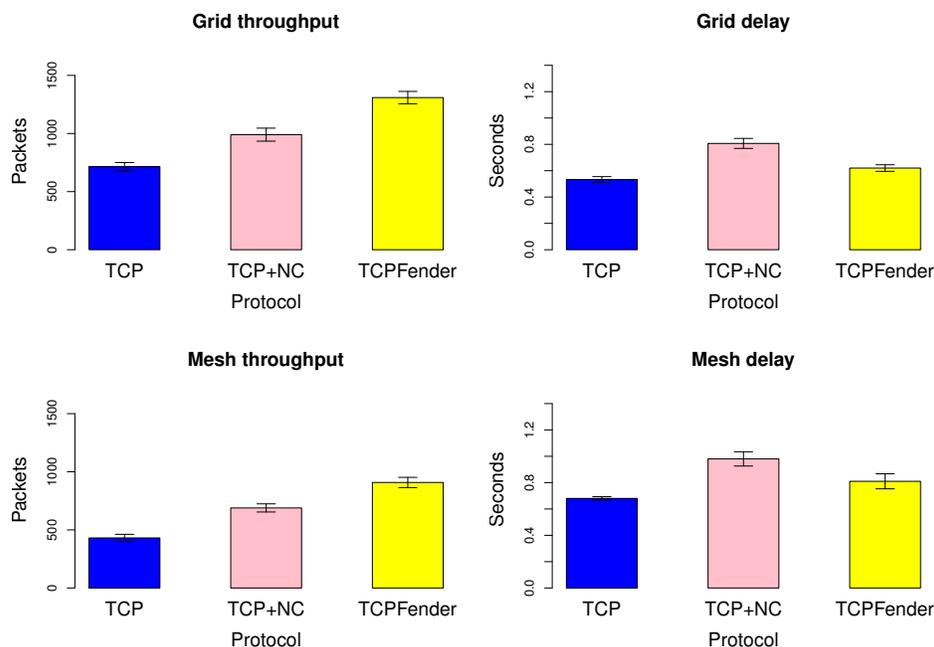


Figure 8. Throughput and delay for grid topology and mesh topology.

390 by opportunistic data forwarding, and the receiver side releases ACK segments whenever receiving
 391 an innovative packet. In current work, we implemented our algorithm to support TCP Reno. In fact,
 392 TCPFender can also support other TCP protocols with loss-based congestion control (e.g., TCP-NewReno,
 393 TCP-Tahoe). The adaptive modules are designed generally enough to not only support network coding and
 394 opportunistic data forwarding, but also any packet forwarding techniques that can cause many dropping
 395 packets or out-of-order arrivals. One example will be multi-path routing, where IP packets of the same
 396 data flow can follow different paths from the source to the destination. By simulating how TCP receiver
 397 will signal the TCP sender, we are able to adapt TCPFender to functioning over such the multi-path
 398 routing without having to modify TCP itself.

399 In the simulation results, we compared TCPFender and TCP/IP in four different network topologies.
 400 The result shows that TCPFender has a sizeable throughput gain over TCP/IP, and the gain will be very
 401 distinct from each other when the link quality is not that good. We also discussed the influence of batch
 402 size on the network throughput and end-to-end packet delay. In general, the bath size has a small impact
 403 on the network throughput, but it has direct impact on end-to-end packet delay.

404 In future, we will consider TCP protocols with RTT-based congestion control and also analyze how
 405 multiple TCP flows interact with each other in a network coded, opportunistic forwarding network layer,
 406 or a more generally error-prone network layer. We will refine the redundancy factor and the bandwidth
 407 estimation to optimize the congestion control feedback of TCP. Finally, we will propose a theoretical
 408 model of TCP with opportunistic forwarding and network coding, which will enable us to study the
 409 TCPFender as a function in various communication systems.

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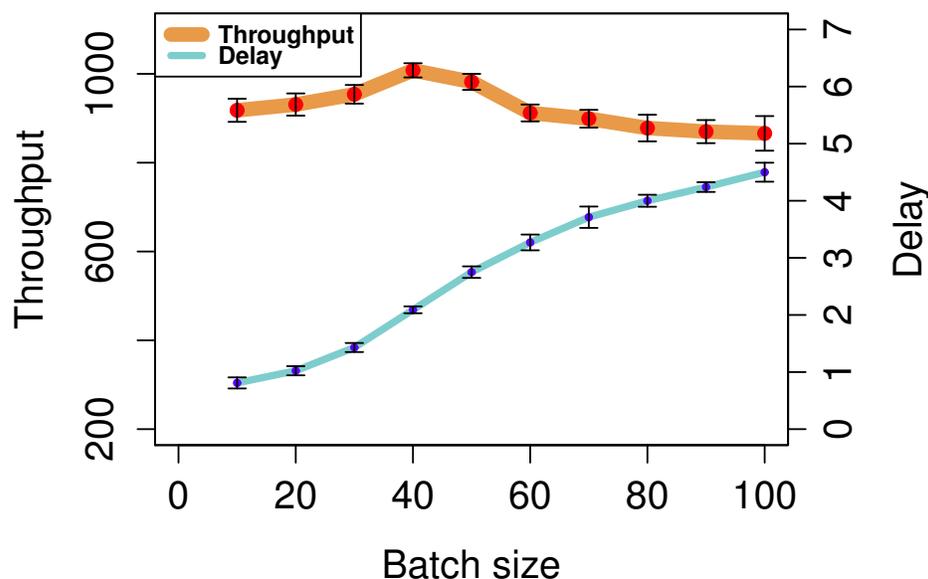


Figure 9. Throughput and delay for different batch sizes

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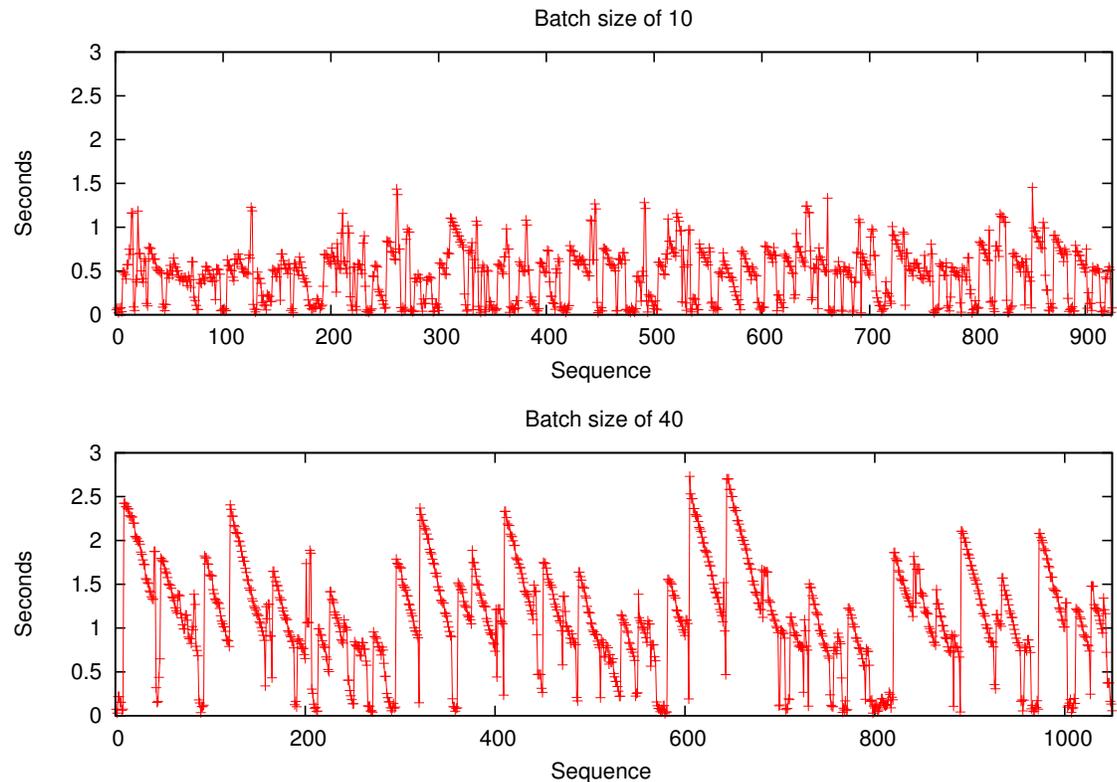


Figure 10. Delay for two specific cases with batch sizes of 10 and 40

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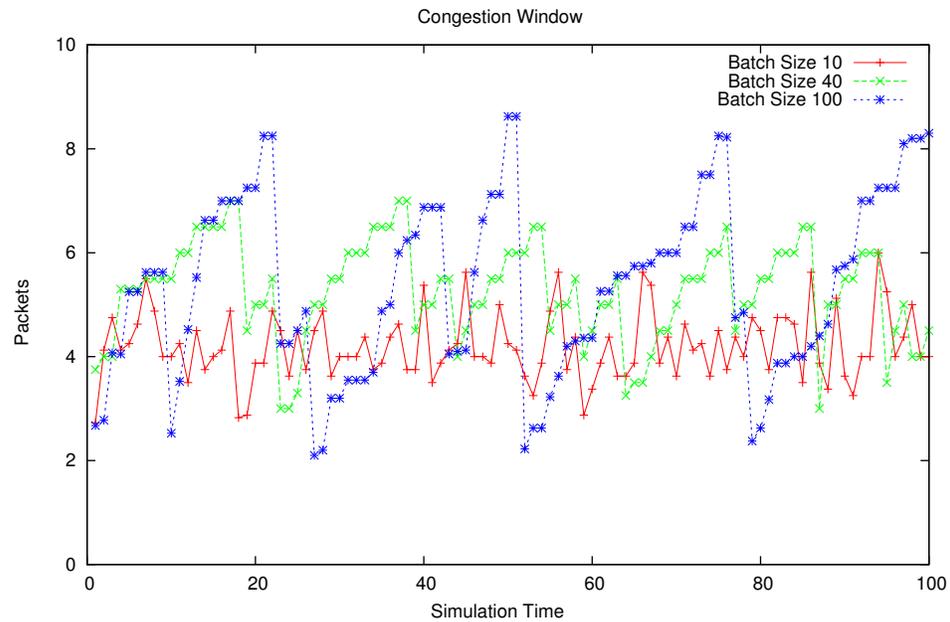


Figure 11. Evolution of congestion window for three different batch sizes simulated in the mesh topology.

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