

A novel CAPTCHA solver framework using deep skipping Convolutional Neural Networks

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A Completely Automated Public Turing Test to tell Computers and Humans Apart (CAPTCHA) is used in web systems to secure authentication purposes; it may break using Optical Character Recognition (OCR) type methods. CAPTCHA breakers make web systems highly insecure. However, several techniques to break CAPTCHA suggest CAPTCHA designers about their designed CAPTCHA's need improvement to prevent computer vision-based malicious attacks. This research primarily used deep learning methods to break state-of-the-art CAPTCHA codes; however, the validation scheme and conventional Convolutional Neural Network (CNN) design still need more confident validation and multi-aspect covering feature schemes. Several public datasets are available of text-based CAPTCHA, including Kaggle and other dataset repositories where self-generation of CAPTCHA datasets are available. The previous studies are dataset-specific only and cannot perform well on other CAPTCHA's. Therefore, the proposed study uses two publicly available datasets of 4- and 5-character text-based CAPTCHA images to propose a CAPTCHA solver. Furthermore, the proposed study used a skip-connection-based CNN model to solve a CAPTCHA. The proposed research employed 5-folds on data that delivers 10 Different CNN models on two datasets with promising results compared to the other studies.

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ABSTRACT

A Completely Automated Public Turing Test to tell Computers and Humans Apart (CAPTCHA) is used in web systems to secure authentication purposes; it may break using Optical Character Recognition (OCR) type methods. CAPTCHA breakers make web systems highly insecure. However, several techniques to break CAPTCHA suggest to CAPTCHA designers that their designed CAPTCHAs need improvement to prevent computer-vision-based malicious attacks. These existing validation schemes and conventional Convolutional Neural Network (CNN) design still need more confident validation and multi-aspect covering feature schemes to solve a CAPTCHA. This research primarily used deep learning methods to break state-of-the-art CAPTCHA using skip-connection-based multi-features covering method. Many public datasets of text-based CAPTCHAs are available, including Kaggle and other dataset repositories, where many studies also use the self-generation of CAPTCHA datasets. The previous studies are dataset-specific only and cannot perform well on other CAPTCHAs. Therefore, the proposed study uses two publicly available datasets of four- and five-character text-based CAPTCHA images to propose a CAPTCHA solver. Furthermore, the proposed research employed skip-CNN using a five-fold validation method on data that deliver ten different CNN models on two datasets, with promising results compared to the other studies.

INTRODUCTION

The first secure and fully automated mechanism, named CAPTCHA, was developed in 2000. Alta Vista first used the term CAPTCHA in 1997. It reduces spamming by 95% Baird and Popat (2002). CAPTCHA is also known as a reverse Turing test. The Turing test was the first test to distinguish human, and machine Von Ahn et al. (2003). It was developed to determine whether a user was a human or a machine. It increases efficiency against different attacks that seek websites Danchev (2014), Obimbo et al. (2013). It is said that CAPTCHA should be generic such that any human can easily interpret and solve it and difficult for machines to recognize it Bostik and Klecka (2018). To protect against robust malicious attacks, various security authentication methods have been developed Goswami et al. (2014), Priya and Karthik (2013), Azad and Jain (2013). CAPTCHA can be used for authentication in login forms, spam text reducer, e.g., in email, as a secret graphical key to log in for email. In this way, a spam-bot would not be able to recognize and log in to the email Sudarshan Soni and Bonde (2017). However, recent advancements make the CAPTCHA's designs to be at high risk where the current gaps and robustness of models that are the concern is discussed in depth (Roshanbin and Miller, 2013). Similarly, the image, text, colorful CAPTCHA's, and other types of CAPTCHA's are being attacked by various malicious attacks. However, most of them have used Deep Learning based methods to crack them due to their robustness

46 and confidence (Xu et al., 2020).

47 Many prevention strategies against malicious attacks have been adopted in recent years, such as cloud
48 computing-based voice-processing Gao et al. (2020b,a), mathematical and logical puzzles, and text and
49 image recognition tasks Gao et al. (2020c). Text-based authentication methods are mostly used due to
50 their easier interpretation, and implementation Madar et al. (2017); Gheisari et al. (2021). A set of rules
51 may define a kind of automated creation of CAPTCHA-solving tasks. It leads to easy API creation and
52 usage for security web developers to make more mature CAPTCHAs Bursztein et al. (2014), Cruz-Perez
53 et al. (2012). The text-based CAPTCHA is used for Optical Character Recognition (OCR). OCR is strong
54 enough to solve text-based CAPTCHA challenges. However, it still has challenges regarding its robustness
55 in solving CAPTCHA problems Kaur and Behal (2015). These CAPTCHA challenges are extensive with
56 ongoing modern technologies. Machines can solve them, but humans cannot. These automated, complex
57 CAPTCHA-creating tools can be broken down using various OCR techniques. Some studies claim that
58 they can break any CAPTCHA with high efficiency. The existing work also recommends strategies to
59 increase the keyword size and another method of crossing lines from keywords that use only straight
60 lines and a horizontal direction. It can break easily using different transformations, such as the Hough
61 transformation. It is also suggested that single-character recognition is used from various angles, rotations,
62 and views to make more robust and challenging CAPTCHAs. Bursztein et al. (2011).

63 The concept of reCAPTCHA was introduced in 2008. It was initially a rough estimation. It was
64 later improved and was owned by Google to decrease the time taken to solve it. The un-solvable
65 reCAPTCHA's were then considered to be a new challenge for OCRs Von Ahn et al. (2008). The usage
66 of computer vision and image processing as a CAPTCHA solver or breaker was increased if segmentation
67 was performed efficiently George et al. (2017), Ye et al. (2018). The main objective or purpose of
68 making a CAPTCHA solver is to protect CAPTCHA breakers. By looking into CAPTCHA solvers, more
69 challenging CAPTCHAs can be generated, and they may lead to a more secure web that is protected
70 against malicious attacks Rai et al. (2021). A benchmark or suggestion for CAPTCHA creation was
71 given by Chellapilla et al.: Humans should solve the given CAPTCHA challenge with a 90% success rate,
72 while machines ideally solve only one in every 10,000 CAPTCHAs Chellapilla et al. (2005).

73 Modern AI yields CAPTCHAs that can solve problems in a few seconds. Therefore, creating
74 CAPTCHAs that are easily interpretable for humans and unsolvable for machines is an open challenge. It
75 is also observed that humans invest a substantial amount of time daily solving CAPTCHAs Von Ahn et al.
76 (2008). Therefore, reducing the amount of time humans need to solve them is another challenge. Various
77 considerations need to be made, including text familiarity, visual appearance, distortions, etc. Commonly
78 in text-based CAPTCHAs, the well-recognized languages are used that have many dictionaries that make
79 them easily breakable. Therefore, we may need to make unfamiliar text from common languages such as
80 phonetic text is not ordinary language that is pronounceable Wang and Bentley (2006). Similarly, the
81 color of the foreground and the background of CAPTCHA images is also an essential factor, as many
82 people have low or normal eyesight or may not see them. Therefore, a visually appealing foreground
83 and background with distinguishing colors are recommended when creating CAPTCHAs. Distortions
84 from periodic or random manners, such as affine transformations, scaling, and the rotation of specific
85 angles, are needed. These distortions are solvable for computers and humans. If the CAPTCHAs become
86 unsolvable, then multiple attempts by a user are needed to read and solve them Yan and El Ahmad (2008).

87 In current times, Deep Convolutional neural networks (DCNN) are used in many medical Meraj
88 et al. (2019), Manzoor et al. (2022), Mahum et al. (2021) and other real-life recognition applications
89 Namasudra (2020) as well as insecurity threat solutions Lal et al. (2021). The security threats in IoT and
90 many other aspects can also be controlled using blockchain methods Namasudra et al. (2021). Utilizing
91 deep learning, the proposed study uses various image processing operations to normalize text-based
92 image datasets. After normalizing the data, a single-word-caption-based OCR was designed with skipping
93 connections. These skipping connections connect previous pictorial information to various outputs in
94 simple Convolutional Neural Networks (CNNs), which possess visual information in the next layer only
95 Ahn and Yim (2020).

96 The main contribution of this research work is as follows:

- 97 • A skipping-connection-based CNN framework is proposed that covers multiple aspects of features.
- 98 • We segment the characters from the given dataset images based on skipping-connection.

- 99 • A 5-fold validation scheme is used in a deep-learning-based network to remove bias, if any, which
100 leads to more promising results.
- 101 • The data are normalized using various image processing steps to make it more understandable for
102 the deep learning model.

103 LITERATURE REVIEW

104 Today in the growing and dominant field of AI, many real-life problems have been solved with the help
105 of deep learning and other evolutionary optimized intelligent algorithms Rauf et al. (2021), Rauf et al.
106 (2020). Various problems of different aspects using DL methods are solved, such as energy consumption
107 analysis Gao et al. (2020b), time scheduling of resources to avoid time and resources wastage Gao et al.
108 (2020c). Similarly, in cybersecurity, a CAPTCHA solver has provided many automated AI solutions,
109 except OCR. Multiple proposed CNN models have used various types of CAPTCHA datasets to solve
110 CAPTCHAs. The collected datasets have been divided into three categories: selection-, slide-, and
111 click-based. Ten famous CAPTCHAs were collected from google.com, tencent.com, etc. The breaking
112 rate of these CAPTCHAs was compared. CAPTCHA design flaws that may help to break CAPTCHAs
113 easily were also investigated. The underground market used to solve CAPTCHAs was also investigated,
114 and findings concerning scale, the commercial sizing of keywords, and their impact on CAPTCHAs were
115 reported Weng et al. (2019). A proposed sparsity-integrated CNN used constraints to deactivate the fully
116 connected connections in CNN. It ultimately increased the accuracy results compared to transfer learning,
117 and simple CNN solutions Ferreira et al. (2019).

118 Image processing operations regarding erosion, binarization, and smoothing filters were performed
119 for data normalization, where adhesion-character-based features were introduced and fed to a neural
120 network for character recognition Hua and Guoqin (2017). The backpropagation method was claimed
121 as a better approach for image-based CAPTCHA recognition. It has also been said that CAPTCHA
122 has become the normal, secure authentication method in the majority of websites and that image-based
123 CAPTCHAs are more valuable than text-based CAPTCHAs Saroha and Gill (2021). Template-based
124 matching is performed to solve text-based CAPTCHAs, and preprocessing is also performed using
125 Hough transformation and skeletonization. Features based on edge points are also extracted, and the
126 points of reference with the most potential are taken. It is also claimed that the extracted features are
127 invariant to position, language, and shapes. Therefore, it can be used for any merged, rotated, and other
128 variation-based CAPTCHAs WANG (2017).

129 PayPal CAPTCHAs have been solved using correlation, and Principal Component Analysis (PCA)
130 approaches. The primary steps of these studies include preprocessing, segmentation, and the recognition
131 of characters. A success rate of 90% was reported using correlation analysis of PCA and using PCA
132 only increased the efficiency to 97% Rathoura and Bhatiab (2018). A Faster Recurrent Neural Network
133 (F-RNN) has been proposed to detect CAPTCHAs. It was suggested that the depth of a network could
134 increase the mean average precision value of CAPTCHA solvers, and experimental results showed that
135 feature maps of a network could be obtained from convolutional layers Du et al. (2017). Data creation
136 and cracking have also been used in some studies. For visually impaired people, there should be solutions
137 to CAPTCHAs. A CNN network named CAPTCHANet has been proposed.

138 A 10-layer network was designed and was improved later with training strategies. A new CAPTCHA
139 using Chinese characters was also created, and it removed the imbalance issue of class for model training.
140 A statistical evaluation led to a higher success rate Zhang et al. (2021). A data selection approach
141 automatically selected data for training purposes. The data augments later created four types of noise
142 to make CAPTCHAs difficult for machines to break. However, the reported results showed that, in
143 combination with the proposed preprocessing method, the results were improved to 5.69% Che et al.
144 (2021). Some recent studies on CAPTCHA recognition are shown in Table 1.

145 The pre-trained model of object recognition has an excellent structural CNN. A similar study used
146 a well-known VGG network and improved the structure using focal loss Wang and Shi (2021). The
147 image processing operations generated complex data in text-based CAPTCHAs, but there may be a high
148 risk of breaking CAPTCHAs using common languages. One study used the Python Pillow library to
149 create Bengali-, Tamil-, and Hindi-language-based CAPTCHAs. These language-based CAPTCHAs were
150 solved using D-CNN, which proved that the model was also confined by these three languages Ahmed
151 and Anand (2021). A new, automatic CAPTCHA creating and solving technique using a simple 15-layer

Table 1. Recent CAPTCHA recognition-based studies and their details.

Reference	Year	Dataset	Method	Results
Wang and Shi (2021)	2021	CNKI CAPTCHA, Random Generated, Zhengfang CAPTCHA	Binarization, smoothing, segmentation and annotation with Adhesion and more interference	Recognition rate= 99%, 98.5%, 97.84%
Ahmed and Anand (2021)	2021	Tamil, Hindi and Bengali	Pillow Library, CNN	~
Bostik et al. (2021)	2021	Private created Dataset	15-layer CNN	Classification accuracy= 80%
Kumar and Singh (2021)	2021	Private	7-Layer CNN	Classification Accuracy= 99.7%
Dankwa and Yang (2021)	2021	4-words Kaggle Dataset	CNN	Classification Accuracy=100%
Wang et al. (2021b)	2021	Private GAN based dataset	CNN	Classification Accuracy= 96%, overall = 74%
Thobhani et al. (2020)	2020	Weibo, Gregwar	CNN	Testing Accuracy= 92.68% Testing Accuracy= 54.20%

152 CNN was proposed to remove the manual annotation problem.

153 Various fine-tuning techniques have been used to break 5-digit CAPTCHAs and have achieved 80%
 154 classification accuracies Bostik et al. (2021). A privately collected dataset was used in a CNN approach
 155 with 7 layers that utilize correlated features of text-based CAPTCHAs. It achieved a 99.7% accuracy
 156 using its image database, and CNN architecture Kumar and Singh (2021). Another similar approach was
 157 based on handwritten digit recognition. The introduction of a CNN was initially discussed, and a CNN
 158 was proposed for twisted and noise-added CAPTCHA images Cao (2021). A deep, separable CNN for
 159 four-word CAPTCHA recognition achieved 100% accurate results with the fine-tuning of a separable
 160 CNN concerning their depth. A fine-tuned, pre-trained model architecture was used with the proposed
 161 architecture and significantly reduced the training parameters with increased efficiency Dankwa and Yang
 162 (2021).

163 A visual-reasoning CAPTCHA (known as a Visual Turing Test (VTT)) has been used in security
 164 authentication methods, and it was easy to break using holistic and modular attacks. One study focused
 165 on a visual-reasoning CAPTCHA and showed an accuracy of 67.3% against holistic CAPTCHAs and
 166 an accuracy of 88% against VTT CAPTCHAs. Future directions were to design VTT CAPTCHAs to
 167 protect against these malicious attacks Gao et al. (2021). To provide a more secure system in text-based
 168 CAPTCHAs, a CAPTCHA defense algorithm was proposed. It used a multi-character CAPTCHA
 169 generator using an adversarial perturbation method. The reported results showed that complex CAPTCHA
 170 generation reduces the accuracy of CAPTCHA breaker up to 0.06% Wang et al. (2021a). The Generative
 171 Adversarial Network (GAN) based simplification of CAPTCHA images adopted before segmentation
 172 and classification. A CAPTCHA solver is presented that achieves 96% success rate character recognition.
 173 All other CAPTCHA schemes were evaluated and showed a 74% recognition rate. These suggestions
 174 for CAPTCHA designers may lead to improved CAPTCHA generation Wang et al. (2021b). A binary

175 image-based CAPTCHA recognition framework is proposed to generate a certain number of image copies from a given CAPTCHA image to train a CNN model. The Weibo dataset showed that the 4-character recognition accuracy on the testing set was 92.68%, and the Gregwar dataset achieved a 54.20% accuracy on the testing set Thobhani et al. (2020).

179 The studies discussed above yield information about text-based CAPTCHAs as well as other types of CAPTCHAs. Most studies used DL methods to break CAPTCHAs, and time and unsolvable CAPTCHAs are still an open challenge. More efficient DL methods need to be used that, though they may not cover other datasets, should be robust to them. The locally developed datasets are used by many of the studies make the proposed studies less robust. However, publicly available datasets could be used so that they could provide more robust and confident solutions.

185 METHODOLOGY

186 Recent studies based on deep learning have shown excellent results to solve a CAPTCHA. However, simple CNN approaches may detect lossy pooled incoming features when passing between convolution and other pooling layers. Therefore, the proposed study utilizes skip connection. To remove further bias, a 5-fold validation approach is adopted. The proposed study presents a CAPTCHA solver framework using various steps, as shown in Figure. 1. The data are normalized using various image processing steps to make it more understandable for the deep learning model. This normalized data is segmented per character to make an OCR-type deep learning model that can detect each character from each aspect. At last, the 5-fold validation method is reported and yields promising results.

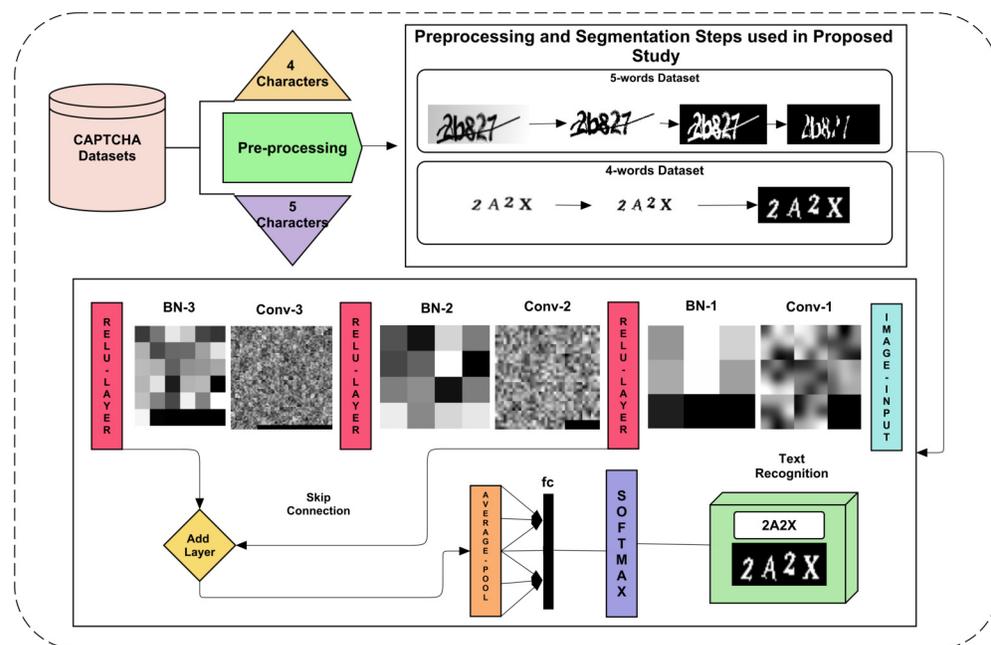


Figure 1. The Proposed Framework for CAPTCHA Recognition.

194 The two datasets used for CAPTCHA recognition have 4 and 5 words in them. The 5-word dataset has
 195 a horizontal line in it with overlapping text. Segmenting and recognizing such text is challenging due to its
 196 un-clearance. The other dataset of 4 characters was not as challenging to segment, as no line intersected
 197 them, and character rotation scaling needs to be considered. Their preprocessing and segmentation
 198 are explained in the next section. The dataset is explored in detail before and after preprocessing and
 199 segmentation.

200 Datasets

201 There are two public datasets available on Kaggle that are used in the proposed study. There are 5 and 4
 202 characters in both datasets. There are different numbers of numeric and alphabetic characters in them.
 203 There are 1040 images in the five-character dataset (d_1) and 9955 images in the 4-character dataset (d_2).

204 There are 19 types of characters in the d_1 dataset, and there are 32 types of characters in the d_2 dataset.
 205 Their respective dimensions and extension details before and after segmentation are shown in Table 2.
 206 The frequencies of each character in both datasets are shown in Figure 2.

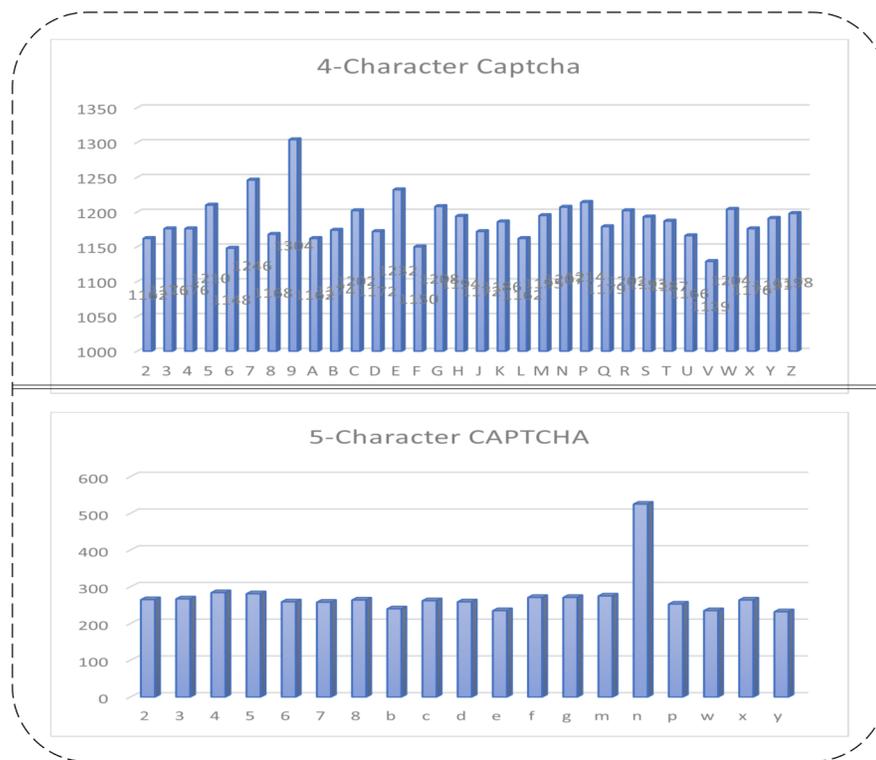


Figure 2. Character-wise Frequencies (Row-1: 4-Character Dataset 1 (d_2); Row-2: five-character Dataset 2 (d_1)).

207 The frequency of each character varies in both datasets, and the number of characters also varies. In
 208 the d_2 dataset, although there is no complex inner line intersection and a merging of texts is found, more
 209 characters and their frequencies are. However, the d_1 dataset has complex data and a low number of
 210 characters and frequencies, as compared to d_2 . Initially, d_1 has the dimensions $50 \times 200 \times 3$, where 50
 211 represents the rows, 200 represents the columns, and 3 represents the color depth of the given images. d_2
 212 has image dimensions of $24 \times 72 \times 3$, where 24 is the rows, 72 is the columns, and 3 is the color depth of
 213 given images. These datasets have almost the same character location. Therefore, they can be manually
 214 cropped to train the model on each character in an isolated form. However, their dimensions may vary for
 215 each character, which may need to be equally resized. The input images of both datasets were in Portable
 216 Graphic Format (PNG) and did not need to change. After segmenting both dataset images, each character
 217 is resized to 20×24 in both datasets. This size covers each aspect of the visual binary patterns of each
 218 character. The dataset details before and after resizing are shown in Table 2.

219 The summarized details of the used datasets in the proposed study are shown in Table 2. The
 220 dimensions of the resized image per character mean that, when we segment the characters from the
 221 given dataset images, their sizes vary from dataset to dataset and from character type to character type.
 222 Therefore, the optimal size at which the image data for each character is not lost is 20 rows by 24 columns,
 223 which is set for each character.

224 Preprocessing and Segmentation

225 d_1 dataset images do not need any complex image processing to segment them into a normalized form.
 226 d_2 needs this operation to remove the central intersecting line of each character. This dataset can be
 227 normalized to isolate each character correctly. Therefore, three steps are performed on the d_1 dataset.
 228 It is firstly converted to greyscale; it is then converted to a binary form, and their complement is lastly
 229 taken. In the d_2 dataset, 2 additional steps of erosion and area-wise selection are performed to remove

Table 2. Description of the employed dataset.

Properties	d1	d2
Image dimension	50x200x3	24x72x3
Extension	PNG	PNG
Number of Images	9955	1040
Character Types	32	19
Resized Image Dimension (Per Character)	20x24x1	20x24x1

230 the intersection line and the edges of characters. The primary steps of both datasets and each character
 231 isolation are shown in Figure 3.

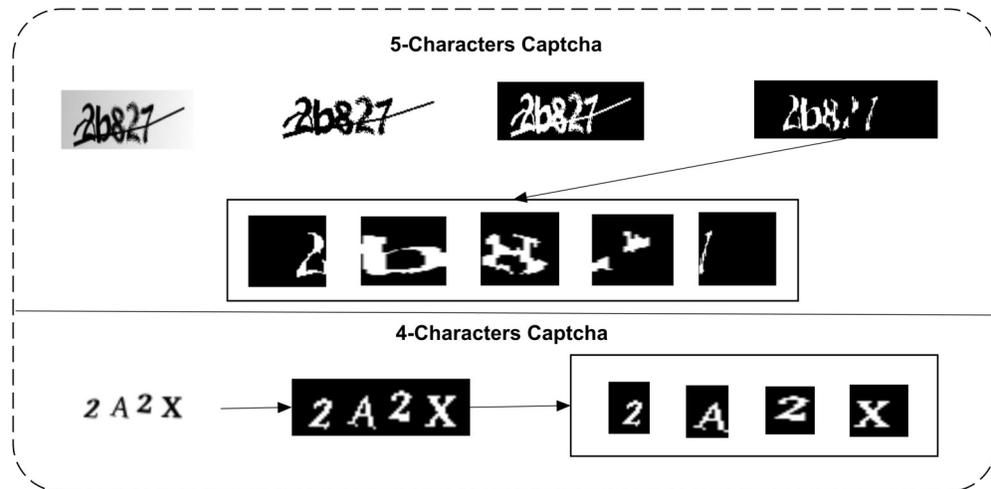


Figure 3. Preprocessing and Isolation of characters in both datasets (Row-1: the d1 dataset, binarization, erosion, area-wise selection, and segmentation; Row-2: binarization and isolation of each character).

232 Binarization is the most needed step in order to understand the structural morphology of a certain
 233 character in a given image. Therefore, grayscale conversion of images is performed to perform binarization,
 234 and images are converted from greyscale to a binary format. The RGB format image has 3 channels in
 235 them: Red, Green, and Blue. Let Image $I_{(x,y)}$ be the input RGB image, as shown in Eq. 1. To convert
 236 these input images into grayscale, Eq. 2 is performed.

$$\text{Input Image} = I_{(x,y)} \quad (1)$$

237 In Eq. 1, I is the given image, and x and y represent the rows and columns. The grayscale
 238 conversion is performed using Eq. 2:

$$\text{Grey}(x,y) \leftarrow \sum_{i=n}^j (0.2989 * R, 0.5870 * G, 0.1140 * B) \quad (2)$$

239 In Eq. 2, i is the iterating row position, j is the interacting column position of the operating pixel at
 240 a certain time, and R , G , and B are the red, green, and blue pixel values of that pixel. The multiplying
 241 constant values convert to all three values of the respective channels to a new grey-level value in the range

242 of 0–255. $Grey(x, y)$ is the output grey-level of a given pixel at a certain iteration. After converting to grey-
 243 level, the binarization operation is performed using Bradly’s method, which calculates a neighborhood
 244 base threshold to convert into 1 and 0 values to a given grey-level matrix of dimension 2. The neighborhood
 245 threshold operation is performed using Eq. 3.

$$B(x, y) \leftarrow 2 * \lfloor \text{size} \left(\frac{Grey(x, y)}{16} + 1 \right) \rfloor \quad (3)$$

246 In Eq. 3, the output $B(x, y)$ is the neighborhood-based threshold that is calculated as the $1/8^{th}$
 247 neighborhood of a given $Grey(x, y)$ image. However, the floor is used to obtain a lower value to avoid
 248 any miscalculated threshold value. This calculated threshold is also called the adaptive threshold method.
 249 The neighborhood value can be changed to increase or decrease the binarization of a given image. After
 250 obtaining a binary image, the complement is necessary to highlight the object in a given image, taken as a
 251 simple inverse operation, calculated as shown in Eq. 4.

$$C(x, y) \leftarrow \frac{1}{B(x, y)} \quad (4)$$

252 In Eq. 4, the available 0 and values are inverted to their respective values of each pixel position x and
 253 y . The inverted image is used as an isolation process in the case of the d_2 dataset. In the case of the d_1 ,
 254 further erosion is needed. Erosion is an operation that uses a structuring element concerning its shape. The
 255 respective shape is used to remove pixels from a given binary image. In the case of a CAPTCHA image,
 256 the intersected line is removed using a line-type structuring element. The line-type structuring element
 257 uses a neighborhood operation. In the proposed study case, a line of size 5 with an angle dimension of 90
 258 is used, and the intersecting line for each character in the binary image is removed, as we can see in Figure
 259 3, row 1. The erosion operation with respect to a 5 length and a 90 angle is calculated as shown in Eq. 5.

$$C \ominus L \leftarrow x \in E | B_x \subseteq C \quad (5)$$

260 In Eq. 5, C is the binary image, L is the line type structuring element of line type, and x is the resultant
 261 eroded matrix of the input binary image C . B_x is the subset of a given image, as it is extracted from a given
 262 image C . After erosion, there is noise in some images that may lead to the wrong interpretation of that
 263 character. Therefore, to remove noise, the neighborhood operation is again utilized, and 8 neighborhood
 264 operations are used to a given threshold of 20 pixels for 1 value, as the noise value remains lower than the
 265 character in that binary image. To calculate it, an area calculation using each pixel is necessary. Therefore,
 266 by iterating an 8 by 8 neighborhood operation, 20 pixels consisting of the area are checked to remove
 267 those areas, and other more significant areas remain in the output image. The sum of a certain area with a
 268 maximum of 1 is calculated as shown in Eq. 6.

$$S(x, y) \leftarrow \sum_{i=1}^j \max(B_x |xi - xj|, B_x |yi - yj|) \quad (6)$$

269 In Eq. 6, the given rows (i) and columns (j) of a specific eroded image B_x are used to calculate the
 270 resultant matrix by extracting each pixel value to obtain one’s value from the binary image. The max will
 271 return only values that will be summed to obtain an area that will be compared with threshold value T .
 272 The noise will then be removed, and final isolation is performed to separate each normalized character.

273 CNN Training for Text Recognition

$$convo(I, W)_{x,y} = \sum_{a=1}^{N_C} \sum_{b=1}^{N_R} W_{a,b} * I_{x+a-1, y+b-1} \quad (7)$$

274 In the above equation, we formulate a convolutional operation for a 2D image that represents $I_{x,y}$,
 275 where x and y are the rows and columns of the image, respectively. $W_{x,y}$ represents the convolving window
 276 concerning rows and columns x and y . The window will iteratively be multiplied with the respective
 277 element of the given image and then return the resultant image in $convo(I, W)_{x,y}$. N_C and N_R are the
 278 numbers of rows and columns starting from 1, a represents columns, and b represents rows.

279 **Batch Normalization Layer**

280 Its basic formula is to calculate a single component value, which can be represented as

$$Bat' = \frac{a - M[a]}{\sqrt{var(a)}} \quad (8)$$

281 The calculated new value is represented as Bat' , a is any given input value, and $M[a]$ is the mean of
 282 that given value, where in the denominator the variance of input a is represented as $var(a)$. The further
 283 value is improved layer by layer to give a finalized normal value with the help of alpha gammas, as shown
 284 below:

$$Bat'' = \gamma * Bat' + \beta \quad (9)$$

285 The extended batch normalization formulation improved in each layer with the previous Bat' value.286 **ReLU**

287 ReLU excludes the input values that are negative and retains positive values. Its equation can be written as

$$reLU = \begin{cases} x = x & \text{if } x > 0 \\ x = 0 & \text{if } x \leq 0 \end{cases} \quad (10)$$

288 where x is the input value and directly outputs the value if it is greater than zero; if values are less
 289 than 0, negative values are replaced with 0.

290 **Skip-Connection**

291 The Skip connection is basically concatenating the previous sort of pictorial information to the next
 292 convolved feature maps of network. In proposed network, the ReLU-1 information is saved and then after
 293 2nd and 3rd ReLU layer, these saved information is concatenated with the help of an addition layer. In
 294 this way, the skip-connection is added that makes it different as compared to conventional deep learning
 295 approaches to classify the guava disease. Moreover, the visualization of these added feature information
 296 is shown in Figure 1.

297 **Average Pooling**

298 The average pooling layer is superficial as we convolve to the input from the previous layer or node. The
 299 coming input is fitted using a window of size $m \times n$, where m represents the rows, and n represents the
 300 column. The movement in the horizontal and vertical directions continues using stride parameters.

301 Many deep learning-based algorithms introduced previously, as we can see in Table 1, ultimately
 302 use CNN-based methods. However, all traditional CNN approaches using convolve blocks and transfer
 303 learning approaches may take important information when they pool down to incoming feature maps
 304 from previous layers. Similarly, the testing and validation using conventional training, validation, and
 305 testing may be biased due to less data testing than the training data. Therefore, the proposed study uses a
 306 1-skip connection while maintaining other convolve blocks; inspired by the K-Fold validation method, it
 307 splits up both datasets' data into five respective folds. The dataset, after splitting into five folds, is trained
 308 and tested in a sequence. However, these five-fold results are taken as a means to report final accuracy
 309 results. The proposed CNN contains 16 layers in total, and it includes three major blocks containing
 310 convolutional, batch normalization, and ReLU layers. After these nine layers, an additional layer adds
 311 incoming connections, a skip connection, and 3rd-ReLU-layer inputs from the three respective blocks.
 312 Average pooling, fully connected, and softmax layers are added after skipping connections. All layer
 313 parameters and details are shown in Table 3.

314 In Table 3, all learnable weights of each layer are shown. For both datasets, output categories of
 315 characters are different. Therefore, in the dense layer of the five-fold CNN models, the output class was
 316 19 for five models, and the output class was 32 categories in the other five models. The skip connection
 317 has more weights than other convolution layers. Each model is compared regarding its weight learning
 318 and is shown in Figure 4.

319 The figure shows convolve 1, batch normalization, and skip connection weights. The internal layers
 320 have a more significant number of weights or learnable parameters, and the different or contributing

Table 3. Parameters setting and learnable weights for proposed framework

Number	Layers Name	Category	Parameters	Weights/Offset	Padding	Stride
1	Input	Image Input	24 x 20 x 1	-	-	-
2	Conv (1)	Convolution	24 x 20 x 8	3x3x1x8	Same	1
3	BN (1)	Batch Normalization	24 x 20 x 8	1x1x8	-	-
4	ReLU (1)	ReLU	24 x 20 x 8	-	-	-
5	Conv (2)	Convolution	12 x 10 x 16	3x3x8x16	Same	2
6	BN (2)	Batch Normalization	12 x 10 x 16	1x1x16	-	-
7	ReLU (2)	ReLU	12 x 10 x 16	-	-	-
8	Conv (3)	Convolution	12 x 10 x 32	3x3x16x32	Same	1
9	BN (3)	Batch Normalization	12 x 10 x 32	1x1x32	-	-
10	ReLU (3)	ReLU	12 x 10 x 32	-	-	-
11	Skip-connection	Convolution	12 x 10 x 32	1x1x8x32	2	0
12	Add	Addition	12 x 10 x 32	-	-	-
13	Pool	Average Pooling	6 x 5 x 32	-	2	0
14	FC	Fully connected	1 x 1 x 19 (d2) 1 x 1 x 32 (d1)	19 x 960 (d2) 32 x 960 (d1)	-	-
15	Softmax	Softmax	1 x 1 x 19	-	-	-
16	Class Output	Classification	-	-	-	-

321 connection weights are shown in Figure 4. Multiple types of feature maps are included in the figure.
 322 However, the weights of one dataset are shown. In the other dataset, these weights may vary slightly. The
 323 skip-connection weights have multiple features that are not in a simple convolve layer. Therefore, we
 324 can say that the proposed CNN architecture is a new way to learn multiple types of features compared
 325 to previous studies that use a traditional CNN. This connection may be used in other aspects of text and
 326 object recognition and classification.

327 Later on, by obtaining these significant, multiple features, the proposed study utilizes the K-fold
 328 validation technique by splitting the data into five splits. These multiple splits remove bias in the training
 329 and testing data and take the testing results as the mean of all models. In this way, no data will remain
 330 for training, and no data will be untested. The results ultimately become more confident than previous
 331 conventional approaches of CNN. The d_2 dataset has a clear, structured element in its segmented images;
 332 in d_1 , the isolated text images were not much clearer. Therefore, the classification results remain lower
 333 in this case, whereas in the d_2 dataset, the classification results remain high and usable as a CAPTCHA
 334 solver. The results of each character and dataset for each fold are discussed in the next section.

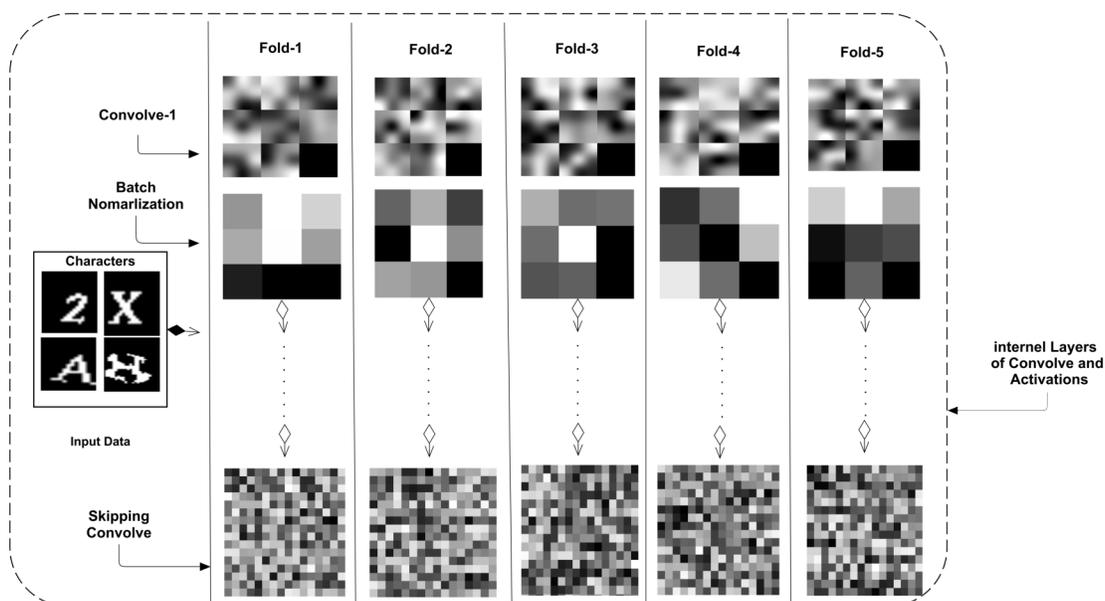


Figure 4. Five-fold weights with respective layers shown for multiple proposed CNN architectures.

335 RESULTS AND DISCUSSION

336 As discussed earlier, there are two datasets in the proposed framework. Both have a different number
 337 of categories and a different number of images. Therefore, separate evaluations of both are discussed
 338 and described in this section. Firstly, the five-character dataset is used by the 5-CNN models of same
 339 architecture, with a different split in the data. Secondly, the four-character dataset is used by the same
 340 architecture of the model, with a different output of classes.

341 Five-character Dataset (d_1)

342 The five-character dataset has 1040 images in it. After segmenting each type of character, it has 5200 total
 343 images. The data are then split into five folds: 931, 941, 925, 937, and 924. The remaining data difference
 344 is adjusted into the training set, and splitting was adjusted during the random selection of 20-20% of the
 345 total data. The training on four-fold data and the testing on the one-fold data are shown in Table 4.

346 In Table 4, there are 19 types of characters that have their fold-by-fold varying accuracy. The mean of
 347 all folds is given. The overall or mean of each fold and the mean of all folds are given in the last row. We
 348 can see that the Y character has a significant or the highest accuracy rate (95.40%) of validation compared
 349 to other characters. This may be due to its almost entirely different structure from other characters. The
 350 other highest accuracy is of the G character with 95.06%, which is almost equal to the highest with a
 351 slight difference. However, these two characters have a more than 95% recognition accuracy, and no other
 352 character is nearer to 95. The other characters have a range of accuracies from 81 to 90%. The least
 353 accurate M character is 62.08, and it varies in five folds from 53 to 74%. Therefore, we can say that M
 354 matches with other characters, and for this character recognition, we may need to concentrate on structural
 355 polishing for M input characters. To prevent CAPTCHA from breaking further complex designs among
 356 machines and making it easy for humans to do so, the other characters that achieve higher results need a
 357 high angle and structural change to not break with any machine learning model. This complex structure
 358 may be improved from other fine-tuning of a CNN, increasing or decreasing the skipping connection. The
 359 accuracy value can also improve. The other four-character dataset is more important because it has 32
 360 types of characters and more images. This five-character dataset's lower accuracy may also be due to
 361 little data and less training. The other character recognition studies have higher accuracy rates on similar
 362 datasets, but they might be less confident than the proposed study due to an unbiased validation method.
 363 The four-character dataset recognition results are discussed in the next section.

Table 4. Five-character Dataset Accuracy (%) with five-fold text recognition testing on the CNN.

Character	Fold 1	Fold 2	Fold 3	Fold 4	Fold 5	Overall
2	87.23	83.33	89.63	83.33	78.72	84.48
3	87.76	75.51	87.75	85.71	93.87	86.12
4	84.31	88.46	90.196	90.19	92.15	89.06
5	84.31	80.39	90.00	94.11	84.00	86.56
6	86.95	76.59	82.61	91.304	80.43	87.58
7	89.36	87.23	86.95	85.10	84.78	86.68
8	89.58	79.16	91.66	89.58	87.50	87.49
B	81.81	73.33	97.72	82.22	90.09	85.03
C	87.23	79.16	85.10	80.85	80.85	82.64
D	91.30	78.26	91.30	86.95	95.55	88.67
E	62.79	79.54	79.07	93.18	79.07	78.73
F	92.00	84.00	93.87	94.00	81.63	89.1
G	95.83	91.83	100	93.87	93.75	95.06
M	64.00	56.00	53.061	74.00	67.34	62.08
N	81.40	79.07	87.59	76.74	82.35	81.43
P	97.78	78.26	82.22	95.65	97.78	90.34
W	95.24	83.72	90.47	100	83.33	90.55
X	89.58	87.50	82.97	85.41	82.98	85.68
Y	93.02	95.45	97.67	95.53	95.35	95.40
Overall	86.14	80.77	87.24	87.73	85.71	85.52

364 **Four-Character Dataset (d_2)**

365 The four-character dataset has a higher frequency of each character compared to the five-character dataset,
 366 and the number of characters is also higher. The same five-fold splits were performed on this dataset
 367 characters as well. After applying the five folds, the number of characters in each fold was 7607, 7624,
 368 7602, 7617, and 7595, respectively, and the remaining images from the 38,045 images of individual
 369 characters were adjusted into the training sets of each fold. The results of each character w.r.t each fold
 370 and the overall mean are given in Table 5.

371 From Table 5, it can be observed that almost every character was recognized with 99% accuracy. The
 372 highest accuracy of character D was 99.92 and remained 100% in the four-folds. Only one fold showed a
 373 99.57% accuracy. From this point, we can state that the proposed study removed bias, if there was any,
 374 from the dataset by doing splits. Therefore, it is necessary to make folds in a deep learning network.
 375 Most studies use a 1-fold approach only. The 1-fold approach is at a high risk. It is also important
 376 that the character m achieved the lowest accuracy in the case of the five-character CAPTCHA. In this
 377 four-character CAPTCHA, 98.58% was accurately recognized. Therefore, we can say that the structural
 378 morphology of M in the five-character CAPTCHA better avoids any CAPTCHA solver method. The
 379 highest results show that this four-character CAPTCHA is at a high risk, and line intersection, word
 380 joining, and correlation may break prevent the CAPTCHA from breaking. Many approaches before
 381 have been proposed to recognize the CAPTCHA, and most of them have used a conventional structure.
 382 The proposed study has used a more confident validation approach with multi-aspect feature extraction.
 383 Therefore, it can be used as a more promising approach to break CAPTCHA images and to test the
 384 CAPTCHA design made by CAPTCHA designers. In this way, CAPTCHA designs can be protected
 385 against new approaches to deep learning. The graphical illustration of validation accuracy and the losses
 386 for both datasets on all folds is shown in Figure 5.

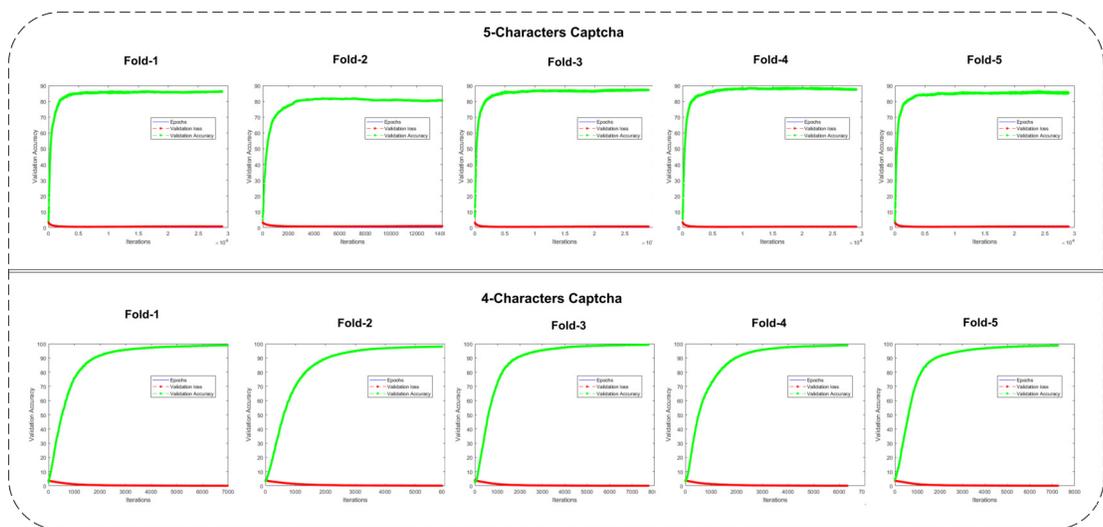


Figure 5. The validation loss and validation accuracy graphs are shown for each fold of the CNN (Row-1: five-character CAPTCHA; Row-2: four-character CAPTCHA).

387 The five- and four-character CAPTCHA fold validation losses and accuracies are shown. It can be
 388 observed that the all folds of the five-character CAPTCHA reached close to 90%, and only the 2nd fold
 389 value remained at 80.77%. It is also important to state that, in this fold, there were cases that may not be
 390 covered in other deep learning approaches, and their results remain at risk. Similarly, a four-character
 391 CAPTCHA with a greater number of samples and less complex characters should not be used, as it can
 392 break easily compared to the five-character CAPTCHA. CAPTCHA-recognition-based studies have used
 393 self-generated or augmented datasets to propose CAPTCHA solvers. Therefore, the number of images,
 394 their spatial resolution sizes and styles, and other results have become incomparable. The proposed study
 395 mainly focuses on a better validation technique using deep learning with multi-aspect feature via skipping
 396 connections in a CNN. With some character-matching studies, we performed a comparison to make the
 397 proposed study more reliable.

Table 5. Four-character dataset Accuracy (%) with five-fold text recognition testing on the CNN.

Character	Fold 1	Fold 2	Fold 3	Fold 4	Fold 5	Overall
2	97.84	99.14	99.57	99.14	98.27	98.79
3	97.02	94.92	98.72	95.75	96.17	96.52
4	97.87	97.46	99.15	98.72	99.57	98.55
5	98.76	98.76	99.17	100	98.35	99.01
6	100	95.65	99.56	99.13	99.13	98.69
7	98.80	99.60	99.19	100	99.20	99.36
8	99.15	98.72	97.42	97.86	98.28	98.29
9	98.85	96.55	98.08	98.46	100	98.39
A	97.85	98.71	99.13	98.71	98.28	98.54
B	99.57	96.59	98.72	98.72	96.15	97.95
C	99.58	98.75	99.16	99.58	99.17	99.25
D	100	100	100	99.57	100	99.92
E	99.18	97.57	100	99.59	98.37	98.94
F	98.69	98.26	100	97.82	97.83	98.52
G	98.76	97.93	100	96.69	98.75	98.43
H	99.58	97.90	100	99.58	99.58	99.33
J	100	98.72	99.57	100	100	99.66
K	99.15	99.58	100	99.16	100	99.58
L	97.41	98.28	100	99.14	99.14	98.79
M	99.16	96.23	99.16	100	98.33	98.58
N	99.58	97.10	99.17	99.58	98.76	98.83
P	98.35	97.94	98.77	97.94	96.28	97.86
Q	100	100	99.58	99.58	99.57	99.75
R	99.58	99.17	99.17	99.59	97.50	99.00
S	98.75	99.58	100	100	98.74	99.42
T	97.47	97.90	98.73	97.47	98.31	97.98
U	100	97.43	99.57	98.28	98.71	98.80
V	100	98.67	98.67	98.67	98.22	98.47
W	100	100	100	99.17	99.17	99.67
X	99.15	97.46	100	99.15	100	99.15
Y	97.90	98.33	98.74	98.74	99.58	98.66
Z	99.17	98.75	99.16	99.58	99.16	99.16
Overall	98.97	98.18	99.32	98.92	98.71	98.82

Table 6. Four-character dataset with five-fold text recognition testing on a CNN.

References	No. of Characters	Method	Results
Du et al. (2017)	6	Faster R-CNN	Accuracy= 98.5%
	4		Accuracy=97.8%
	5		Accuracy=97.5%
Chen et al. (2019)	4	Selective D-CNN	Success rate= 95.4%
Bostik et al. (2021)	Different	CNN	Accuracy= 80%
Bostik and Klecka (2018)	Different	KNN	Precision=98.99%
		SVN	99.80%
		Feed forward-Net	98.79%
Proposed Study	4	Skip-CNN with 5-Fold Validation	Accuracy= 98.82%
	5	-	Accuracy=85.52%

398 In Table 6, we can see that various studies have used different numbers of characters with self-collected
 399 and generated datasets, and comparisons have been made. Some studies have considered the number of
 400 dataset characters. Accuracy is not comparable, as it uses the five-fold validation method, and the others
 401 only used 1-fold. Therefore, the proposed study outperforms in each aspect, in terms of the proposed
 402 CNN framework and its validation scheme.

403 CONCLUSION

404 The proposed study uses a different approach to deep learning to solve CAPTCHA problems. It proposed
 405 a skip-CNN connection network to break text-based CAPTCHA's. Two CAPTCHA datasets are discussed
 406 and evaluated character by character. The proposed study is confident to report results, as it removed
 407 biases (if any) in datasets using a five-fold validation method. The results are also improved as compared
 408 to previous studies. The reported higher results claim that these CAPTCHA designs are at high risk, as any
 409 malicious attack can break them on the web. Therefore, the proposed CNN could test CAPTCHA designs
 410 to solve them more confidently in real-time. Furthermore, the proposed study has used the publicly
 411 available datasets to perform training and testing on them, making it a more robust approach to solve
 412 text-based CAPTCHA's.

413 Many studies have used deep learning to break CAPTCHAs, as they have focused on the need to
 414 design CAPTCHAs that do not consume user time and resist CAPTCHA solvers. It would make our web
 415 systems more secure against malicious attacks. However, In the future, the data augmentation methods and
 416 more robust data creation methods can be applied on CAPTCHA datasets where intersecting line-based
 417 CAPTCHA's are more challenging to break that can be used. Similarly, the other local languages based
 418 CAPTCHA's also can be solved using similar DL models.

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