

# Effect on speech emotion classification of a feature selection approach using a convolutional neural network

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Speech emotion recognition (SER) is a challenging issue because it is not clear which features are effective for classification. Emotionally related features are always extracted from speech signals for emotional classification. Handcrafted features are mainly used for emotional identification from audio signals. However, these features are not sufficient to correctly identify the emotional state of the speaker. The advantages of a deep convolutional neural network (DCNN) are investigated in the proposed work. A pretrained framework is used to extract the features from speech emotion databases. In this work, we adopt the feature selection (FS) approach to find the discriminative and most important features for SER. Many algorithms are used for the emotion classification problem. We use the random forest (RF), decision tree (DT), support vector machine (SVM), multilayer perceptron classifier (MLP), and k-nearest neighbors (KNN) to classify seven emotions. All experiments are performed by utilizing four different publicly accessible databases. Our method obtains accuracies of 92.02%, 88.77%, 93.61%, and 77.23% for Emo-DB, SAVEE, RAVDESS, and IEMOCAP, respectively, for speaker-dependent (SD) recognition with the feature selection method. Furthermore, compared to current handcrafted feature-based SER methods, the proposed method shows the best results for speaker-independent SER. For EMO-DB, all classifiers attain an accuracy of more than 80% with or without the feature selection technique.

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## ABSTRACT

Speech emotion recognition (SER) is a challenging issue because it is not clear which features are effective for classification. Emotionally related features are always extracted from speech signals for emotional classification. Handcrafted features are mainly used for emotional identification from audio signals. However, these features are not sufficient to correctly identify the emotional state of the speaker. The advantages of a deep convolutional neural network (DCNN) are investigated in the proposed work. A pretrained framework is used to extract the features from speech emotion databases. In this work, we adopt the feature selection (FS) approach to find the discriminative and most important features for SER. Many algorithms are used for the emotion classification problem. We use the random forest (RF), decision tree (DT), support vector machine (SVM), multilayer perceptron classifier (MLP), and k-nearest neighbors (KNN) to classify seven emotions. All experiments are performed by utilizing four different publicly accessible databases. Our method obtains accuracies of 92.02%, 88.77%, 93.61%, and 77.23% for Emo-DB, SAVEE, RAVDESS, and IEMOCAP, respectively, for speaker-dependent (SD) recognition with the feature selection method. Furthermore, compared to current handcrafted feature-based SER methods, the proposed method shows the best results for speaker-independent SER. For EMO-DB, all classifiers attain an accuracy of more than 80% with or without the feature selection technique.

## 1 INTRODUCTION

Recently, there has been much progress in artificial intelligence. However, we are still far short of interacting naturally with machines because machines can neither understand our emotional state nor our emotional behavior. In previous studies, some modalities have been proposed for identifying emotional states, such as extended text (Khan et al. (2021)), speech (El Ayadi et al. (2011)), video (Hossain and Muhammad (2019)), facial expressions (Alreshidi and Ullah (2020)), short messages (Sailunaz et al. (2018)), and physiological signals (Qing et al. (2019)). These modalities vary across applications. The most common modalities in social media are emoticons and short text; video is the most common modality for gaming systems. Electroencephalogram signal-based emotion classification methods have also been introduced recently (Liu et al. (2020); Bazgir et al. (2018); Suhaimi et al. (2020)); however, the use of electroencephalogram signals is invasive and annoying for people.

Due to some inherent advantages, speech signals are the best source for affective computing. Speech signals can be obtained more economically and readily than other biological signals. Therefore, most researchers have focused on automatic speech emotion recognition (SER). There are numerous applications for identifying emotional persons, such as interactions with robots, entertainment, cardboard systems,

46 commercial applications, computer games, audio surveillance, call centers, and banking.

47 Three main issues should be addressed to obtain a successful SER framework: (i) selecting an excellent  
48 emotional database, (ii) performing useful feature extraction, and (iii) using deep learning algorithms  
49 to design accurate classifiers. However, emotional feature extraction is a significant problem in an SER  
50 framework. In prior studies, many researchers have suggested significant features of speech, such as  
51 energy, intensity, pitch, standard deviation, cepstrum coefficients, Mel-frequency cepstrum coefficients  
52 (MFCCs), zero-crossing rate (ZCR), formant frequency, filter bank energy (FBR), linear prediction  
53 cepstrum coefficients (LPCCs), modulation spectral features (MSFs) and Mel-spectrograms. In (Sezgin  
54 et al. (2012)), several distinguishing acoustic features were used to identify emotions: spectral, qualitative,  
55 continuous, and Teager energy operator-based (TEO) features. Thus, many researchers have suggested  
56 that the feature set comprises more speech emotion information (Rayaluru et al. (2019)). However,  
57 combining feature sets complicates the learning process and enhances the possibility of overfitting. In  
58 the last five years, researchers have presented many classification algorithms, such as the hidden Markov  
59 model (HMM) (Mao et al. (2019)), support vector machine (SVM) (Kurpukdee et al. (2017)), deep  
60 belief network (DBN) (Shi (2018)), K-nearest neighbors (KNN) (Zheng et al. (2020)) and bidirectional  
61 long short-term memory networks (BiLSTMs) (Mustaqeem et al. (2020)). Some researchers have also  
62 suggested different classifiers; in the brain emotional learning model (BEL) (Mustaqeem et al. (2020)),  
63 a multilayer perceptron (MLP) and adaptive neuro-fuzzy inference system are combined for SER. The  
64 multikernel Gaussian process (GP) (Chen et al. (2016b)) is another proposed classification strategy with  
65 two related notions. These provide for learning in the algorithm by combining two functions: the radial  
66 basis function (RBF) and the linear kernel function. In (Chen et al. (2016b)), the proposed system  
67 extracted two spectral features and used these two features to train different machine learning models.  
68 The proposed technique estimated that the combined features had high accuracy, above 90 percent on the  
69 Spanish emotional database and 80 percent on the Berlin emotional database. Han et al. adopted both  
70 utterance- and segment-level features to identify emotions.

71 Some researchers have weighted the advantages and disadvantages of each feature. However, no one  
72 has identified which feature is the best feature among feature categories (El Ayadi et al. (2011); Sun  
73 et al. (2015); Anagnostopoulos et al. (2015)). Many deep learning models have been proposed in SER  
74 to determine the high-level emotion features of utterances to establish a hierarchical representation of  
75 speech. The accuracy of handcrafted features is relatively high, and this feature extraction technique  
76 always requires manual labor (Anagnostopoulos et al. (2015); Chen et al. (2016a, 2012)). The extraction  
77 of handcrafted features usually ignores the high-level features. However, the best and most appropriate  
78 features that are emotionally powerful must be selected by effective performance for SER.

79 Therefore, it is more important to select specific speech features that are not affected by country,  
80 speaking style of the speaker, culture, or region. Feature selection (FS) is also essential after extraction  
81 and is accompanied by an appropriate classifier to recognize emotions from speech. A summary of FS  
82 is presented in (Kerkeni et al. (2019)). Both feature extraction and FS effectively reduce computational  
83 complexity, enhance learning effectiveness, and reduce the storage needed. To extract the local features,  
84 we use a convolutional neural network (CNN) (AlexNet). The CNN automatically extracts the appropriate  
85 local features from the augmented input spectrogram of an audio speech signal. When using CNNs for  
86 the SER system, the spectrogram is frequently used as the CNN input to obtain high-level features. In  
87 recent years, numerous studies have been presented, such as (Abdel-Hamid et al. (2014); Krizhevsky et al.  
88 (2017)). The authors used a CNN model for feature extraction of audio speech signals. Recently, deep  
89 learning models such as AlexNet (Li et al. (2021)), VGG (Simonyan and Zisserman (2015)), and ResNet  
90 (He et al. (2015)) have been used extensively to perform different classification tasks. Additionally, these  
91 deep learning models regularly perform much better than shallow CNNs. The main reason is that deep  
92 CNNs extract mid-level features from the input data using multilevel convolutional and pooling layers.  
93 The detailed abbreviations and definitions used in the paper are listed in Table 1.

94 The main contributions of this paper are as follows: 1). In the proposed study, AlexNet is used to  
95 extract features for a speech emotion recognition system. 2). A feature selection approach is used to  
96 enhance the accuracy of SER. 3). The proposed approach performs better than existing handcrafted and  
97 deep-learning methods for SD and SI experiments.

98 The rest of the paper is organized as follows: Part 2 reviews the previous work in SER related to this  
99 paper's current study. A detailed description of the emotional dataset used in the presented work and the  
100 proposed method for FS and the classifier are discussed in Part 3. The results are discussed in Part 4. Part

101 5 contains the conclusion and outlines future work.

## 102 2 BACKGROUND

103 In this study, five different machine learning algorithms are used for emotion recognition tasks. There are  
104 two main parts of SER. One part is based on distinguishing feature extraction from audio signals. The  
105 second part is based on selecting a classifier that classifies emotional classes from speech utterances.

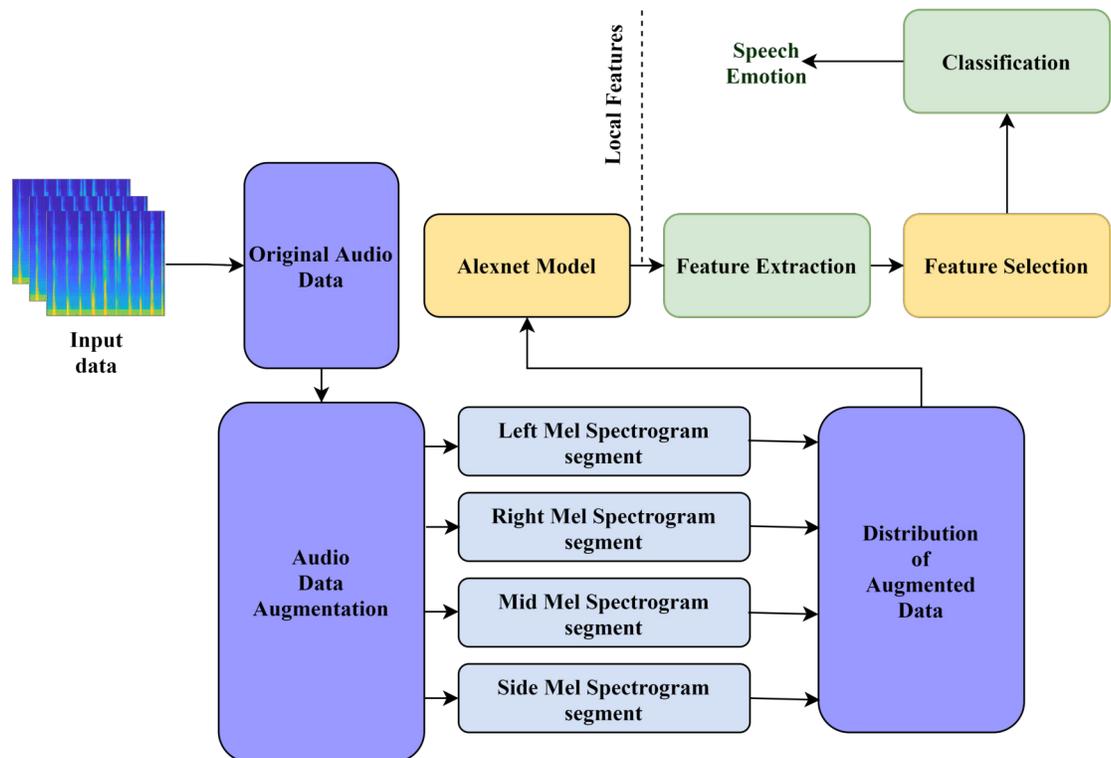
### 106 2.1 Speech Emotion Recognition Using Machine Learning Approaches

107 Researchers have used different machine learning classifiers to identify emotional classes from speech:  
108 SVM (Sezgin et al. (2012)), random forest (RF) (Noroozi et al. (2017)), Gaussian mixture models (GMMs)  
109 (Patel et al. (2017)), HMMs (Mao et al. (2019)), CNNs (Christy et al. (2020)), k-nearest neighbors (KNN)  
110 (Kapoor and Thakur (2021)) and MLP. These algorithms have been commonly used to identify emotions.  
111 Emotions are categorized using two approaches: categorical and dimensional approaches. Emotions  
112 are classified into small groups in the categorical approach. Ekman (Ekman (1992)) proposed six basic  
113 emotions: anger, happiness, sadness, fear, surprise, and disgust. In the second category, emotions are  
114 defined by axes with a combination of several dimensions (Costanzi et al. (2019)). Different researchers  
115 have described emotions relative to one or more dimensions. Pleasure-arousal-dominance (PAD) is  
116 a three-dimensional emotional state model proposed by (Mehrabian (1996)). Different features are  
117 essential in identifying speech emotions from voice. Spectral features are significant and widely used  
118 to classify emotions. A decision tree was used to identify emotions from the CASIA Chinese emotion  
119 corpus in (Tao et al. (2008)) and achieved 89.6% accuracy. AB Kandali et al. introduced an approach  
120 to classify emotion-founded MFCCs as the main features and applied a GMM as a classifier (Kandali  
121 et al. (2009)). Milton, A. et al. presented a three-stage traditional SVM classifying different Berlin  
122 emotional datasets (Milton et al. (2013)). VB Waghmare et al. adopted spectral features (MFCCs) as  
123 the main feature and classified emotions from the Marathi speech dataset (Waghmare et al. (2014)).  
124 Demircan, S. et al. extracted MFCC features from the Berlin EmoDB database. They used the KNN  
125 algorithm to recognize speech emotions (Demircan and Kahramanli (2014)). The Berlin emotional speech  
126 database (EMO-DB) was used in the experiment, and the accuracy obtained was between 90% and 99.5%.  
127 Hossain et al. proposed a cloud-based collaborative media system that uses emotions from speech signals  
128 and uses standard features such as MFCCs (Hossain.M. Shamim (2014)). Paralinguistic features and  
129 prosodic features were utilized to detect emotions from speech in (Alonso et al. (2015)). SVM, a radial  
130 basis function neural network (RBFNN), and an autoassociative neural network (AANN) were used to  
131 recognize emotions after combining two features, MFCCs and the residual phase (RP), from a music  
132 database (Nalini and Palanivel (2016)). SVMs and DBNs were examined utilizing the Chinese academic  
133 database (Zhang et al. (2017)). The accuracy using DBNs was 94.5%, and the accuracy of the SVM was  
134 approximately 85%. In (C.K. et al. (2017)), particle swarm optimization-based features and high-order  
135 statistical features were utilized. Chourasia et al. implemented an SVM and HMM to classify speech  
136 emotions after extracting the spectral features from speech signals (Chourasia et al. (2021)).

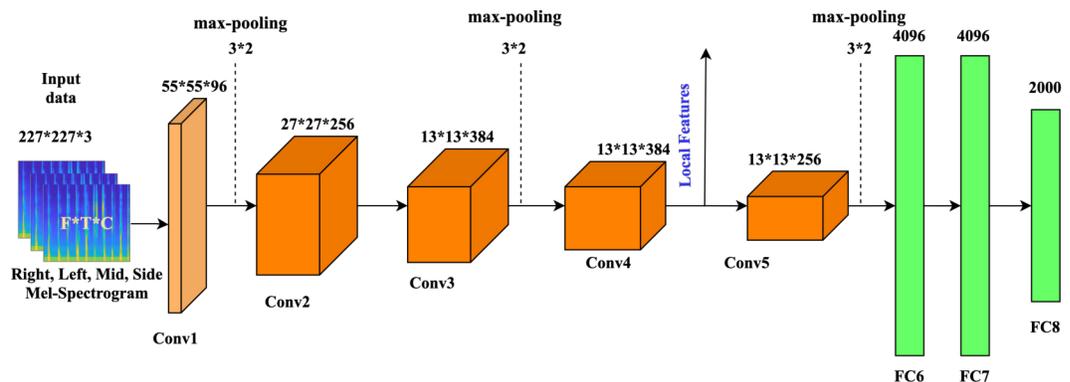
### 137 2.2 Speech Emotion Recognition Using Deep Learning Approaches

138 Low-level handcrafted features are very useful in distinguishing speech emotions. With many successful  
139 deep neural network (DNN) applications, many experts have started to target in-depth emotional feature  
140 learning. Schmidt et al. used an approach based on linear regression and deep belief networks to identify  
141 musical emotions (Schmidt and Kim (2011)). They used the MoodSwings Lite music database and  
142 obtained a 5.41% error rate. Duc Le et al. implemented hybrid classifiers, which were a set of DBNs and  
143 HMMs, and attained good results on FAU Aibo (Le and Provost (2013)). Deng et al. presented a transfer  
144 learning feature method for speech emotion recognition based on a sparse autoencoder. Several databases  
145 were used, including the eNTERFACE and EMO-DB databases (Deng et al. (2013)). In (Poon-Feng  
146 et al. (2014)), a generalized discriminant analysis method (Gerda) was presented with several Boltzmann  
147 machines to analyze and classify emotions from speech and improve the previous reported baseline by  
148 traditional approaches. Erik M. Schmidt et al. proposed a regression-based DBN to recognize music  
149 emotions and a model based on three hidden layers to learn emotional features (Han et al. (2014)).

150 Trentin et al. proposed a probabilistic echo-state network-based emotion recognition framework that  
151 obtained an accuracy of 96.69% using the WaSep database (Trentin et al. (2015)). More recent work  
152 introduced deep retinal CNNs (DRCNNs) in (Niu et al. (2017)), which showed good performance in



**Figure 1.** The structure of our proposed model for audio emotion recognition



**Figure 2.** The general architecture of AlexNet, The parameters of the convolutional layer are represented by the "Conv(kernel size)-[stride size]-[number of channels]". The parameters of the max-pooling layer are indicated as "Maxpool-[kernel size]-[stride size]".

153 recognizing emotions from speech signals. The presented approach obtained the highest accuracy, 99.25%,  
 154 in the IEMOCAP database. In (Fayek et al. (2017)), the authors suggested deep learning approaches. A  
 155 speech signal spectrogram was used as an input. The signal may be represented in terms of time and  
 156 frequency. The spectrogram is a fundamental and efficient way to describe emotional speech impulses  
 157 in the time-frequency domain. It has been used with particular effectiveness for voice and speaker  
 158 recognition and word recognition (Stolar et al. (2017)). In (Stolar et al. (2017)), the existing approach used  
 159 ALEXNet-SVM, experiments were performed on the EMO-DB database with seven emotions. Satt A and  
 160 S. Rozenberg et al. suggested another efficient convolutional LSTM approach for emotion classification.  
 161 The introduced model learned spatial patterns and spatial spectrogram patterns representing information  
 162 on the emotional states (Satt et al. (2017)). The experiment was performed on the IEMOCAP database  
 163 with four emotions. Two different databases were used to extract prosodic and spectral features with  
 164 an ensemble softmax regression approach (Sun and Wen (2017)). For the identification of emotional

**Table 1.** Nomenclature

ACRNN	Attention Convolutional Recurrent Neural Network	KNN	K-Nearest Neighbors
BEL	Brain Emotional Learning	LPCC	Linear Predictive Cepstral Coefficients
BiLSTM	Bidirectional Long Short-Term Memory	MFCC	Mel Frequency Cepstral Coefficients
CNN	Convolutional Neural Network	MLP	Multilayer Perceptron
CL	Convolutional Layer	MSF	Modulation Spectral Features
CNN	Convolutional Neural Network	PAD	Pleasure-Arousal-Dominance
CFS	Correlation-Based Feature Selection	PL	Pooling Layer
DBN	Deep Belief Network	RBFNN	Radial Basis Function Neural Network
DCNN	Deep Convolutional Neural Network	RBF	Radial Basis Function
DNN	Deep Neural Network	RF	Random Forest
DRCNN	Deep Retinal CNNs	RP	Residual Phase
DT	Decision Tree	RNN	Recurrent Neural Network
FS	Feature Selection	SAVEE	Surrey Audio-Visual Expressed Emotion
FCL	Fully Connected Layer	SD	Speaker-Dependent
FBR	Filter Bank Energy	SI	Speaker- Independent
GMM	Gaussian Mixture Model	SVM	Support Vector Machine
GP	Gaussian Process	SER	Speech Emotion Recognition
HMM	Hidden Markov Model	TEO	Teager Energy Operator
KELM	Kernel Extreme Learning Machine	ZCR	Zero-Crossing Rate

165 groups, experiments were performed on the two different datasets. A CNN was used in (Fayek et al.  
 166 (2017)) to classify four emotions from the IEMOCAP database: happy, neutral, angry, and sad. In (Xia  
 167 and Liu (2017)), multitasking learning was used to obtain activation and valence data for speech emotion  
 168 detection using the DBN model. IEMOCAP was used in the experiment to identify the four emotions.  
 169 However, high computational costs and a large amount of data are required for deep learning techniques.  
 170 The majority of current speech emotional databases have a small amount of data. Deep learning model  
 171 approaches are insufficient for training with large-scale parameters. A pretrained deep learning model is  
 172 used based on the above studies. In (Badshah et al. (2017)), a pretrained DCNN model was introduced  
 173 for speech emotion recognition. The outcomes were improved with seven emotional states. In (Badshah  
 174 et al. (2017)), The authors suggested a DCNN accompanied by a discriminant temporal pyramid matching  
 175 with four different databases. In the suggested approach, the authors used six emotional classes for  
 176 BAUM-1s, eNTERFACE05, RML databases and used seven emotions for the Emo-DB databases. DNNs  
 177 were used to divide emotional probabilities into segments (Gu et al. (2018)), which were utilized to  
 178 create utterance features; these probabilities were fed to the classifier. The IEMOCAP database was  
 179 used in the experiment, and the obtained accuracy was 54.3%. In (Zhao et al. (2018)), the suggested  
 180 approach used integrated attention with a fully convolutional network (FCN) to automatically learn the  
 181 optimal spatiotemporal representations of signals from the IEMOCAP database. The hybrid architecture  
 182 proposed in (Etienne et al. (2018)) included a data augmentation technique. In (Wang and Guan (2008);  
 183 Zhang et al. (2018)), the fully connected layer (FC7) of AlexNet was used for the extraction process. The  
 184 results were evaluated on four different databases with six emotional states. In (Guo et al. (2018)), an  
 185 approach for SER that combined phase and amplitude information utilizing a CNN was investigated. In  
 186 (Chen et al. (2018)), a three-dimensional convolutional recurrent neural network including an attention  
 187 mechanism (ACRNN) was introduced. The identification of emotion was evaluated using the Emo-DB  
 188 and IEMOCAP databases. The attention process was used to develop a dilated CNN and BiLSTM in

**Table 2.** (a) Alexnet Layers Architecture and (b) Number of selected features after CFS

(a) Layer Type	Size	Kernels Size	Number of Features
Image input	227×227×3		150,528
Convolution Layer#1 Activation Function Channel normalization Pooling	11×11×3	96	253,440
Convolution Layer#2 Activation Function	5×5×48	256	186,624
Convolution Layer#3 Activation Function Channel normalization pooling	3×3×256	384	64,896
Convolution Layer#4 Activation Function	3×3×192	384	<b>64,896</b>
Convolution Layer#5 Activation Function Pooling	3×3×192	256	43,264
Fully Connected Layer Activation Function Dropout			4096
Fully Connected Layer Activation Function Dropout			4096
Fully Connected Layer			1000
(b) Database	Number of extracted features	No. of best features using CFS	
Emo-DB	64,896	458	
SAVEE	64,896	150	
IEMOCAP	64,896	445	
RAVDESS	64,896	267	

189 (Meng et al. (2019)). To identify speech emotion, 3D log-Mel spectrograms were examined for global  
190 contextual statistics and local correlations. The OpenSMILE package was used to extract features in  
191 (Özseven (2019)). The accuracy obtained with the Emo-DB database was 84%, and it was 72% with the  
192 SAVEE database. Pretrained networks have many benefits, including the ability to reduce the training  
193 time and improve accuracy. Kernel extreme learning machine (KELM) features were introduced in (Guo  
194 et al. (2019)). An adversarial data augmentation network was presented in (Yi and Mak (2019)) to create  
195 simulated samples to resolve the data scarcity problem. Energy and pitch were extracted from each  
196 audio segment in (Ververidis and Kotropoulos (2005); Rao et al. (2013); Daneshfar et al. (2020)). They  
197 also needed fewer training data and could deal directly with dynamic variables. Two different acoustic  
198 paralinguistic feature sets were used in (Haider et al. (2020)). An implementation of real-time voice  
199 emotion identification using AlexNet was described in (Lech et al. (2020)). When trained on the Berlin  
200 Emotional Speech (EMO-DB) database with six emotional classes, the presented method obtained an  
201 average accuracy of 82%. According to existing research (Stolar et al. (2017); Badshah et al. (2017);  
202 Lech et al. (2020)) most of the studies used simulated databases with few emotional states. On the other  
203 hand, in the proposed study, we utilized eight emotional states for RAVDESS, seven emotional states  
204 for SAVEE, six emotional states for Emo-DB, and four emotional states for the IEMOC AP database.  
205 Therefore, our results are state-of-the-art for simulated and semi-natural databases.

**Table 3.** (a) Detailed description of the datasets, (b) Categories of emotional speech databases, their features, and some examples of each category

(a) Datasets	Speakers	Emotions	Languages	Size
RAVDESS	24 Actors (12 male, 12 female)	eight emotions ( calm, neutral, angry, happy, fear, surprise, sad, disgust )	North American English	7356 files (total size: 24.8 GB).
SAVEE	4 (male)	seven emotions (sadness, neutral, frustration, happiness, disgust ,anger, surprise)	British English	480 utterances (120 utterances per speaker)
Emo-DB	10 (5 male, 5 female)	seven emotions (neutral, fear, boredom, disgust, sad, angry, joy)	German	535 utterances
IEMOCAP	10 (5 male, 5 female)	nine emotions (surprise, happiness, sadness, anger, fear, excitement, neutral, frustration and others)	English	12 hours of recordings
(b)	Simulated		Semi Natural	
Description	generated by trained and experienced actors delivering the same sentence with different degrees of emotion		created by having individuals read a script with a different emotions	
Single emotion at a time	yes		yes	
Widely used	yes		no	
Copyrights and privacy protection	yes		yes	
Includes contextual information	no		yes	
Includes situational information	no		yes	
Emotions that are separate and distinct	yes		no	
Numerous emotions	yes		yes	
Simple to model	yes		no	
Numerous emotions	yes		yes	
Examples	EMO-DB,SAVEE, RAVDESS		IEMOCAP	

### 206 3 PROPOSED METHOD

207 This section describes the proposed pretrained CNN (AlexNet) algorithm for the SER framework. We  
208 fine-tune the pretrained model (Krizhevsky et al. (2017)) on the created image-like Mel-spectrogram  
209 segments. We do not train our own deep CNN framework owing to the limited emotional audio dataset.  
210 Furthermore, computer vision experiments (Ren et al. (2016); Campos et al. (2017)) have depicted that  
211 fine-tuning the pretrained CNNs on target data is acceptable to relieve the issue of data insufficiency.  
212 AlexNet is a model pretrained on the extensive ImageNet dataset, containing a wide range of different  
213 labeled classes, and uses a shorter training time. AlexNet (Krizhevsky et al. (2017); Stolar et al. (2017);  
214 Lech et al. (2020)) comprises five convolution layers, three max-pooling layers, and three fully connected  
215 layers. In the proposed work, we extract the low-level features from the fourth convolutional layer (CL4).

216 The architecture of our proposed model is displayed in Figure 1. Our model comprises four processes:  
217 (a) development of the audio input data, (b) low-level feature extraction using AlexNet, (c) feature  
218 selection, and (d) classification. Below, we explain all four steps of our model in detail.

#### 219 3.1 Creation of the Audio Input

220 In the proposed method, the Mel-spectrogram segment is generated from the original speech signal. We  
221 create three channels of the segment from the original 1D audio speech dataset. Then, the generated  
222 segments are converted into fixed-size  $227 \times 227 \times 3$  inputs for the proposed model. Following (Zhang  
223 et al. (2018)), 64 Mel-filter banks are used to create the log Mel-spectrogram, and each frame is multiplied  
224 by a 25 ms window size with a 10 ms overlap. Then, we divide the log Mel spectrogram into fixed  
225 segments by using a 64-frame context window. Finally, after extracting the static segment, we calculate  
226 the regression coefficients of the first and second order around the time axis, thereby generating the delta  
227 and double-delta coefficients of the static Mel spectrogram segment. Consequently, three channels with  
228  $64 \times 64 \times 3$  Mel-spectrogram segments can be generated as the inputs of AlexNet, and these channels are  
229 identical to the color RGB image. Therefore, we resize the original  $64 \times 64 \times 3$  spectrogram to the new  
230 size  $227 \times 227 \times 3$ . In this case, we can create four (middle, side, left, and right) segments of the Mel  
231 spectrogram, as shown in Figure 2.

#### 232 3.2 Emotion Recognition Using AlexNet

233 In the proposed method, CL4 of the pretrained model is used for feature extraction. The CFS feature  
234 selection approach is used to select the most discriminative features. The CFS approach selects only very  
235 highly correlated features with output class labels. The five different classification models are used to test  
236 the accuracy of the feature subsets.

#### 237 3.3 Feature Extraction

238 In this study, feature extraction is performed using a pretrained model. The original weight of the model  
239 remains fixed, and existing layers are used to extract the features. The pretrained model has a deep  
240 structure that contains extra filters for every layer and stacked CLs. It also includes convolutional layers,  
241 max-pooling layers, momentum stochastic gradient descent, activation functions, data augmentation, and  
242 dropout. AlexNet uses a rectified linear unit (ReLU) activation function. The layers of the network are  
243 explained below.

##### 244 3.3.1 Input Layer

245 This layer of the pretrained model is a fixed-size input layer. We resample the Mel spectrogram of the  
246 signal to a fixed size  $227 \times 227 \times 3$ .

##### 247 3.3.2 Convolutional Layer (CL)

248 The convolutional layer is composed of convolutional filters. Convolutional filters are used to obtain  
249 many local features in the input data from local regions to form various feature groups. AlexNet contains  
250 five CLs, in which three layers follow the max-pooling layer. CL1 includes 96 kernels with a size of  
251  $11 \times 11 \times 3$ , zero padding, and a stride of 4 pixels. CL2 contains 256 kernels, each of which is  $5 \times 5 \times 48$   
252 in size and includes a 1-pixel stride and a padding value of 2. The CL3 contains 384 kernels of size  
253  $3 \times 3 \times 256$ . CL4 contains 384 kernels of size  $3 \times 3 \times 192$ . For the output value of each CL, the ReLU  
254 function is used, which speeds up the training process.

### 255 **3.3.3 Pooling Layer (PL)**

256 After the CLs, a pooling layer is used. The goal of the pooling layer is to subsample the feature groups.  
 257 The feature groups are obtained from the previous CLs to create a single data convolutional feature  
 258 group from the local areas. Average pooling and max-pooling are the two basic pooling operations. The  
 259 max-pooling layer employs maximum filter activation across different points in a quantified frame to  
 260 produce a modified resolution type of CL activation.

### 261 **3.3.4 Fully Connected Layers (FCLs)**

262 Fully connected layers incorporate the characteristics acquired from the PL and create a feature vector for  
 263 classification. The output of the CLs and PLs is given to the fully connected layers. There are three fully  
 264 connected layers in AlexNet: FC6, FC7, and FC8. A 4096-dimensional feature map is generated by FC6  
 265 and FC7, while FC8 generates 1000-dimensional feature groups.

266 Feature maps can be created using FCLs. These are universal approximations, but fully connected  
 267 layers do not work fully in recognizing and generalizing the original image pixels. CL4 extracts relevant  
 268 features from the original pixel values by preserving the spatial correlations inside the image. Conse-  
 269 quently, in the experimental setup, features are extracted from the CL4 employed for SER. A total of  
 270 64,896 features are obtained from CL4. Certain features are followed by a FS method and pass through a  
 271 classification model for identification. Table 2(a) represents a detailed layers architecture of proposed  
 272 model. AlexNet required 227x227 size RGB images as input. Each convolution filter yields a stack of the  
 273 feature map. The learning approach starts with an initial learning rate of 0.001 and gradually decreases  
 274 with a drop rate of 0.1. By using 96 filters of 11x11x3, CL1 creates an array of activation maps. As a  
 275 consequence, CL4 generates 384 activation maps (3x3x192 filters).

## 276 **3.4 Feature Selection**

277 The discriminative and related features for the model are determined by feature selection. FS approaches  
 278 are used with several models to minimize the training time and enhance the ability to generalize by  
 279 decreasing overfitting. The main goal of feature selection is to remove insignificant and redundant  
 280 features.

## 281 **3.5 Correlation-Based Measure**

We can identify an excellent feature if it is related to the class features and is not redundant with respect to any other class features. For this reason, we use entropy-based information theory. The equation of entropy-based information theory is defined as:

$$F(E) = -\sum S(e_j) \log_2(S(e_j)). \quad (1)$$

The entropy of E after examining the values of G is defined in the equation below:

$$F(E/G) = -\sum S(g_k) \sum S(e_j/g_k) \log_2(S(e_j/g_k)) \quad (2)$$

$S(e_j)$  denotes the probability for all values of E, whereas  $S(e_j/g_k)$  denotes the probabilities of E when the values of G are specified. The percentage by which the entropy of E decreases reflects the irrelevant information about E given by G, which is known as information gain. The equation of information gain is given below:

$$I(E/G) = (F(E) - F(E/G)). \quad (3)$$

If  $I(E/G) \geq I(H/G)$ , then we can conclude that feature G is much more closely correlated to feature E than to feature H. We possess one more metric, symmetrical uncertainty, which indicates the correlation between features, defined by the equation below:

$$SU(E, G) = 2[I(E/G)/F(E) + I(H/G)/F(H)]. \quad (4)$$

282 SU balances the information gain bias toward features with more values by normalizing its value to  
 283 the range [0,1]. SU analyzes a pair of features symmetrically. Entropy-based techniques need nominal  
 284 features. These features can be used to evaluate the correlations between continuous features if these  
 285 features are discretized properly.

We use the correlation feature-based approach (CFS) (Wosiak and Zakrzewska (2018)) in the proposed work based on the previously described techniques. It evaluates a subset of features and selects only highly correlated discriminative attributes. CFS ranks the features by applying a heuristic correlation evaluation function. It estimates the correlation within the features. CFS drops unrelated features that have limited similarity with the class label. The CFS equation is as follows:

$$FS = \max_{Sk} \frac{r_{cf1} + r_{cf2} + r_{cf3} + \dots + r_{cfk}}{\sqrt{k + 2(r_{f1f2} + \dots + r_{fifj} + \dots + r_{fjfk-1})}}, \quad (5)$$

286

287 where  $k$  represents the total number of features,  $r_{cfi}$  represents the classification correlation of the features,  
288 and  $r_{fifj}$  represents the correlation between features. The extracted features are fed into classification  
289 algorithms. CFS usually deletes (backward selection) or adds (forward selection) one feature at a time.  
290 Table 2(b) gives the most discriminative number of selected features.

### 291 3.6 Classification Methods

292 The discriminative features provide input to the classifiers for emotion classification. In the proposed  
293 method, five different classifiers, KNN, RF, decision tree, MLP, and SVM, are used to evaluate the  
294 performance of speech emotion recognition.

### 295 3.7 Support Vector Machine (SVM)

SVMs are used for binary regression and classification. They create an optimal higher-dimensional space with a maximum class margin. SVMs identify the support vectors  $v_j$ , weights  $w_{fj}$ , and bias  $b$  to categorize the input information. For classification of the data, the following expression is used:

$$sk(v, v_j) = (\rho v^e v_j + k)^z. \quad (6)$$

In the above equations,  $k$  is a constant value, and  $b$  represents the degree of the polynomial. For a polynomial  $\rho \neq$  zero:

$$v = (\sum_{i=0}^n w_{fj} sk(v_j, v) + b). \quad (7)$$

296

297 In the above equation,  $sk$  represents the kernel function,  $v$  is the input,  $v_j$  is the support vector,  $w_{fj}$  is  
298 the weight, and  $b$  is the bias. In our study, we utilize the polynomial kernel to translate the data into a  
higher-dimensional space.

### 299 3.8 k-Nearest Neighbors (KNN)

300 This classification algorithm keeps all data elements. It identifies the most comparable  $N$  examples and  
301 employs the target class emotions for all data examples based on similarity measures. In the proposed  
302 study, we fixed  $N = 10$  for emotional classification. The KNN method finds the ten closest neighbors  
303 using the Euclidean distance, and emotional identification is performed using a majority vote.

### 304 3.9 Random Forest (RF)

305 An RF is a classification and regression ensemble learning classifier. It creates a class of decision trees  
306 and a meaningful indicator of the individual trees for data training. The RF replaces each tree in the  
307 database at random, resulting in unique trees, in a process called bagging. The RF splits classification  
308 networks based on an arbitrary subset of characteristics per tree.

### 309 3.10 Multilayer Perceptron (MLP)

MLPs are neural networks that are widely employed in feedforward processes. They consist of multiple computational levels. Identification issues may be solved using MLPs. They use a supervised back-propagation method for classifying occurrences. The MLP classification model consists of three layers: the input layer, the hidden layers, and the output layer. The input layer contains neurons that are directly proportional to the features. The degree of the hidden layers depends on the overall degree of the emotions in the database. It features dimensions after the feature selection approach. The number of output neurons in the database is equivalent to the number of emotions. The sigmoid activation function utilized in this study is represented as follows:

$$p_i = \frac{1}{1 + e^{-qi}} \quad (8)$$

310 In the above equation, the state is represented by  $\pi_i$ , whereas the entire weighted input is represented by  
311  $q_i$ . When using the Emo-DB database, there is only one hidden layer in the MLP. It has 232 neurons.  
312 When using the SAVEE database, there is only one hidden layer in the MLP, and it comprises 90 neurons.  
313 The MLP contains a single hidden layer, and 140 neurons are present in the IEMOCAP database. In  
314 comparison, one hidden layer and 285 neurons are present in the RAVDESS dataset. The MLP is a  
315 two-level architecture; thus, identification requires two levels: training and testing. The weight values are  
316 set throughout the training phase to match them to the particular output class.

## 317 4 EXPERIMENTS

### 318 4.1 Datasets

319 This experimental study contains four emotional speech databases, and these databases are publicly  
320 available, represented in Table 3(a).

- 321 • **Ryerson Audio-Visual Database of Emotional Speech and Song (RAVDESS):** RAVDESS is  
322 an audio and video database consisting of eight acted emotional categories: calm, neutral, angry,  
323 surprise, fear, happy, sad, and disgust, and these emotions are recorded only in North American  
324 English. RAVDESS was recorded by 12 male and 12 female professional actors.
- 325 • **Surrey Audio-Visual Expressed Emotion (SAVEE):** The SAVEE database contains 480 emo-  
326 tional utterances. The SAVEE database was recorded in British English by four male professional  
327 actors with seven emotion categories: sadness, neutral, frustration, happiness, disgust, anger, and  
328 surprise.
- 329 • **Berlin Emotional Speech Database (Emo-DB):** The Emo-DB dataset contains 535 utterances  
330 with seven emotion categories: neutral, fear, boredom, disgust, sad, angry, and joy. The Emo-DB  
331 emotional dataset was recorded in German by five male and five female native-speaker actors.
- 332 • **Interactive Emotional Dyadic Motion Capture (IEMOCAP):** The IEMOCAP multispeaker  
333 database contains approximately 12 hours of audio and video data with seven emotional states,  
334 surprise, happiness, sadness, anger, fear, excitement, and frustration, as well as neutral and other  
335 states. The IEMOCAP database was recorded by five male and five female professional actors. In  
336 this work, we use four (neutral, angry, sadness, and happiness) class labels. Table 3(b) illustrates  
337 the features of databases, which are used in a proposed method.

### 338 4.2 Experimental Setup

339 All the experiments are completed in version 3.9.0 of the Python language framework. Numerous API  
340 libraries are used to train the five distinct models. The framework uses Ubuntu 20.04. The key objective  
341 is to implement an input data augmentation and feature selection approach for the five different models.  
342 The feature extraction technique is also involved in the proposed method. The lightweight and most  
343 straightforward model presented in the proposed study has excellent accuracy. In addition, low-cost  
344 complexity can monitor real-time speech emotion recognition systems and show the ability for real-time  
345 applications.

#### 346 4.2.1 Anaconda

347 Anaconda is the best data processing and scientific computing platform for Python. It already includes  
348 numerous data science and machine learning libraries. Anaconda also includes many popular visualization  
349 libraries, such as matplotlib. It also provides the ability to build a different environment with a few unique  
350 libraries to carry out the task.

#### 351 4.2.2 Keras

352 The implementation of our model for all four datasets was completed from scratch using Keras. It makes  
353 it extremely simple for the user to add and remove layers and activate and utilize the max-pooling layer in  
354 the network.

#### 355 4.2.3 Librosa

356 Librosa (McFee et al. (2015)) is a basic Python library used for this research. Librosa is used to examine  
357 the audio signal recordings. The four (side, middle, left, and right) segments of the Mel spectrogram were  
358 obtained through Librosa.

## 359 5 EXPERIMENTAL RESULTS AND ANALYSIS

360 (Chau and Phung (2013)).

### 361 5.1 Speaker-Dependent (SD) Experiments

362 The performance of the proposed SER system is assessed using benchmark databases for the SD exper-  
 363 iments. We use ten-fold cross-validation in our studies. All databases are divided randomly into ten  
 364 equal complementary subsets with a dividing ratio of 80:20 to train and test the model. Table 4 gives the  
 365 results achieved by five different classifiers utilizing the features extracted from CL4 of the model. The  
 366 SVM achieved 92.11%, 87.65%, 82.98%, and 79.66% accuracies for the Emo-DB, RAVDESS, SAVEE  
 367 and IEMODB databases, respectively. The proposed method reported the highest accuracy of 86.56%  
 368 on the Emo-DB database with KNN. The MLP classifier obtained 86.75% accuracy for the IEMOCAP  
 369 database. In contrast, the SVM reported 79.66% accuracy for the IEMOCAP database. The MLP classifier  
 370 reported the highest accuracy, 91.51%, on the Emo-DB database. The RF attained 82.47% accuracy on  
 the Emo-DB database, while DT achieved 80.53% accuracy on Emo-DB.

**Table 4.** Standard deviation and weighted average recall of the SD experiments without FS

	SVM	RF	KNN	MLP	DT
RAVDESS	87.65±1.79	78.65±4.94	78.15±3.39	80.67±2.89	76.28±3.24
SAVEE	82.98±4.87	78.38±4.10	79.81±4.05	81.13±3.63	69.15±2.85
Emo-DB	92.11±2.29	82.47±3.52	86.56±2.78	91.51±2.09	80.53±4.72
IEMODB	79.66±4.44	80.93±3.75	74.33±3.37	86.75±3.64	67.25±2.33

371 Table 5 represents the results of the FS approach. The proposed FS technique selected 458 distin-  
 372 guishing features out of a total of 64,896 features for the Emo-DB dataset. The FS method obtained  
 373 150,445,267 feature maps for the SAVEE, RAVDESS, and IEMOCAP datasets.

374 The experimental results illustrate a significant accuracy improvement by using data resampling  
 375 and the FS approach. We consider the standard deviation and average weighted recall to evaluate the  
 376 performance and stability of the SD experiments using the FS approach. The SVM classifier reached  
 377 93.61% and 96.02% accuracy for RAVDESS and Emo-DB, respectively, while the obtained accuracies  
 378 were 88.77% and 77.23% for SAVEE and IEMOCAP, respectively, through the SVM. The MLP classifier  
 379 obtained 95.80% and 89.12% accuracies with the Emo-DB and IEMOCAB databases, respectively.

380 The KNN classifier obtained the highest accuracy, 92.45% and 88.34%, with the Emo-DB and  
 381 RAVDEES datasets. The RF classifier reported the highest accuracy, 93.51%, on the Emo-DB dataset and  
 382 86.79% accuracy on the SAVEE dataset with the feature selection approach. Table 5 shows that the SVM  
 383 obtained better recognition accuracy than the other classification models with the FS method. A confusion  
 384 matrix is an approach for describing the accuracy of the classification technique. For instance, if the  
 385 data contains an imbalanced amount of samples in every group or more than two groups, the accuracy  
 386 of the classification alone may be deceptive. Thus, calculating a confusion matrix provides a clearer  
 387 understanding of what our classification model gets right and what kinds of mistakes it makes. It is  
 388 common used in related researches(Zhang et al. (2018); Chen et al. (2018); Zhang et al. (2019)). The  
 389 row means the actual emotion classes in the confusion matrix, while the column indicates the predicted  
 390 emotion classes. The results of the confusion matrix are used to evaluate the identification accuracy of  
 391 the individual emotional labels. The Emo-DB database contains seven emotional categories, three of  
 392 which, "sad", "disgust", and "neutral," were identified with accuracies of 98.88%, 98.78%, and 97.45%,  
 393

**Table 5.** Standard deviation and weighted average recall of the SD experiments with FS

Database	SVM	RF	KNN	MLP	DT
RAVDESS	93.61±1.32	85.21±3.55	88.34±2.67	84.50±2.23	78.45±2.67
SAVEE	88.77±2.45	86.79±2.96	83.45±3.21	85.45±3.12	75.68±3.82
Emo-DB	96.02±1.07	93.51±2.21	92.45±2.45	95.80±2.34	79.13±4.01
IEMODB	77.23±2.66	86.23±2.54	82.78±2.17	89.12±2.57	72.32±1.72

**Table 6.** Standard deviation and weighted average recall of the SI experiment results without FS

	SVM	RF	KNN	MLP	DT
RAVDESS	75.34±2.58	65.78±2.32	69.12±2.20	71.01±2.84	67.41±2.37
SAVEE	63.02±3.21	59.66±3.79	71.81±3.81	65.18±2.05	59.55±2.23
Emo-DB	87.65±2.56	79.45±2.11	75.30±2.19	88.32±2.67	76.27±2.35
IEMODB	61.85±3.20	60.11±4.20	55.47±2.96	63.18±1.62	54.69±3.72

**Table 7.** Standard deviation and weighted average recall of the SI experiment results with FS

Database	SVM	RF	KNN	MLP	DT
RAVDESS	80.94±2.17	76.82±2.16	75.57±3.29	82.75±2.10	76.18±1.33
SAVEE	70.06±3.33	65.55±2.42	60.58±3.84	75.38±2.74	63.69±2.22
Emo-DB	90.78±2.45	85.73±2.58	81.32±2.12	92.65±3.09	78.21±3.47
IEMODB	84.00±2.76	78.08±2.65	76.44±3.88	80.23±2.77	75.78±2.25

**Table 8.** Comparison of the SD experiments with existing methods.

Database	Reference	Feature	Accuracy(%)
RAVDESS	(Bhavan et al. (2019))	Spectral Centroids, MFCC and MFCC derivatives	75.69
RAVDESS	<b>Proposed Approach</b>	<b>AlexNet+FS+RF</b>	<b>86.79</b>
RAVDESS	<b>Proposed Approach</b>	<b>AlexNet+FS+SVM</b>	<b>88.77</b>
SAVEE	(Özseven (2019))	OpenSmile Features	72.39
SAVEE	<b>Proposed Approach</b>	<b>AlexNet+FS+RF</b>	<b>86.79</b>
SAVEE	<b>Proposed Approach</b>	<b>AlexNet+FS+SVM</b>	<b>88.77</b>
Emo-DB	(Guo et al. (2018))	Amplitude spectrogram and phase information	91.78
Emo-DB	(Chen et al. (2018))	3-D ACRNN	82.82
Emo-DB	(Meng et al. (2019))	Dilated CNN + BiLSTM	90.78
Emo-DB	(Özseven (2019))	OpenSMILE features	84.62
Emo-DB	(Bhavan et al. (2019))	Spectral Centroids, MFCC and MFCC derivatives	92.45
Emo-DB	<b>Proposed Approach</b>	<b>AlexNet+FS+MLP</b>	<b>95.80</b>
Emo-DB	<b>Proposed Approach</b>	<b>AlexNet+FS+SVM</b>	<b>96.02</b>
IEMOCAP	(Satt et al. (2017))	3 Convolution Layers + LSTM	68.00
IEMOCAP	(Chen et al. (2018))	3-D ACRNN	64.74
IEMOCAP	(Zhao et al. (2018))	Attention-BLSTM-FCN	64.00
IEMOCAP	(Etienne et al. (2018))	CNN+LSTM	64.50
IEMOCAP	(Meng et al. (2019))	Dilated CNN + BiLSTM	74.96
IEMOCAP	<b>Proposed Approach</b>	<b>AlexNet+FS+MLP</b>	<b>89.12</b>
IEMOCAP	<b>Proposed Approach</b>	<b>AlexNet+FS+RF</b>	<b>86.23</b>

**Table 9.** Comparison of SI experiments with existing methods.

Database	Reference	Feature	Accuracy (%)
RAVDESS	<b>Proposed Approach</b>	<b>AlexNet+FS+MLP</b>	<b>82.75</b>
RAVDESS	<b>Proposed Approach</b>	<b>AlexNet+FS+SVM</b>	<b>80.94</b>
SAVEE	(Sun and Wen (2017))	Ensemble soft-MarginSoftmax (EM-Softmax)	51.50
SAVEE	(Haider et al. (2020))	eGeMAPs and emobase	42.40
SAVEE	<b>Proposed Approach</b>	<b>AlexNet+FS+MLP</b>	<b>75.38</b>
SAVEE	<b>Proposed Approach</b>	<b>AlexNet+FS+SVM</b>	<b>70.06</b>
Emo-DB	(Badshah et al. (2017))	DCNN + DTPM	87.31
Emo-DB	(Sun and Wen (2017))	Ensemble soft-MarginSoftmax (EM-Softmax)	82.40
Emo-DB	(Yi and Mak (2019))	OpenSmile Features + ADAN	83.74
Emo-DB	(Guo et al. (2019))	Statistical Features and Empirical Features+ KELM	84.49
Emo-DB	(Meng et al. (2019))	Dilated CNN+ BiLSTM	85.39
Emo-DB	(Haider et al. (2020))	eGeMAPs and emobase	76.90
Emo-DB	(Lech et al. (2020))	AlexNet	82.00
Emo-DB	(Mustaqeem et al. (2020))	Radial Basis Function Network(RBFN) + Deep BiLSTM	85.57
Emo-DB	<b>Proposed Approach</b>	<b>AlexNet+FS+MLP</b>	<b>92.65</b>
Emo-DB	<b>Proposed Approach</b>	<b>AlexNet+FS+SVM</b>	<b>90.78</b>
IEMOCAP	(Xia and Liu (2017))	SP + CNN	64.00
IEMOCAP	(Chen et al. (2018))	Dilated CNN+ BiLSTM	69.32
IEMOCAP	Guo et al. (2019)	Statistical Features and Empirical Features+ KELM	57.10
IEMOCAP	(Yi and Mak (2019))	OpenSmile Features + ADAN	65.01
IEMOCAP	(Daneshfar et al. (2020))	IS10 + DBN	64.50
IEMOCAP	(Mustaqeem et al. (2020))	Radial Basis Function Network(RBFN) + Deep BiLSTM	72.2
IEMOCAP	<b>Proposed Approach</b>	<b>AlexNet+FS+MLP</b>	<b>89.12</b>
IEMOCAP	<b>Proposed Approach</b>	<b>AlexNet+FS+RF</b>	<b>86.23</b>

	anger	fear	sad	neutral	boredom	disgust	happy
anger	93.42	1.68	0	0	0	0	4.88
fear	3.52	94.81	0	0.55	0.55	0	0.55
sad	0	0.55	98.88	0	0.55	0	0
neutral	0	0	0	97.45	2.53	0	0
boredom	0	0	0.55	2.87	96.56	0	0
disgust	0	0	0	0.65	0.55	98.78	0
happy	4.88	0.55	0	0.55	0	0.55	93.45

**Figure 3.** Confusion matrix obtained by the SVM on the Emo-DB database for the SD experiment

	anger	surprise	sad	neutral	frustration	disgust	happy
anger	91.32	0	1.67	0	0	1.67	5.32
surprise	3.00	89.63	0	0.44	0.44	0.44	6.03
sad	0.44	0	87.20	9.00	0	2.90	0.44
neutral	0.55	0	0.44	92.45	0.53	6.99	0.55
frustration	0.44	0	0.44	0.44	97.78	0.44	0.44
disgust	0.44	0	6.74	8.34	0	81.90	2.56
happy	10	0.44	0.44	0.44	5.54	2.56	80.56

**Figure 4.** Confusion matrix obtained by the SVM on the SAVEE database for the SD experiment

394 respectively, by the SVM illustrated in Figure 3. As shown in Figure 4, the SVM recognized "frustration"  
 395 and "neutral" with the highest accuracies, 97.78% and 92.45%, with the SAVEE dataset. As shown in  
 396 Figure 5, the RAVDESS dataset contains eight emotions, including "anger", "calm", "fear", and "neutral",  
 397 which are listed with accuracies of 96.32%, 97.65%, 95.54%, and 99.98%, respectively. The IEMOCAP  
 398 database identified "anger" with the highest accuracy of 93.23%, while "happy," "sad," and "neutral"  
 399 were recognized with the highest accuracies of 83.41%, 91.45%, and 89.65% with the MLP classifier

	anger	surprise	sad	neutral	fear	disgust	happy	calm
anger	<b>96.32</b>	0	0.44	0.56	0.44	0.75	1.47	0
surprise	1.25	<b>93.11</b>	0.30	2.55	0.98	0.44	1.35	0
sad	2.31	1.12	<b>85.20</b>	3.32	0.32	3.41	1.85	2.45
neutral	0	0	0	<b>99.98</b>	0	0	0	0
fear	0.55	1.24	0.34	0.55	<b>95.54</b>	0	1.22	0.20
disgust	4.44	1.45	1.56	0.98	0	<b>90.78</b>	0.78	0.78
happy	1.98	3.29	1.78	2.51	1.25	0.44	<b>88.61</b>	0.12
calm	0	0	0.66	1.23	0.44	0	0	<b>97.65</b>

**Figure 5.** Confusion matrix obtained by the SVM on the RAVDESS database for the SD experiment

	anger	neutral	sad	happy
anger	<b>93.23</b>	4.07	1.23	1.45
neutral	2.52	<b>89.65</b>	5.03	2.78
sad	1.07	3.79	<b>91.45</b>	3.67
happy	1.54	16.57	1.88	<b>83.41</b>

**Figure 6.** Confusion matrix obtained by the MLP on the IEMOCAP database for the SD experiment

400 illustrated in Figure 6, respectively.

## 401 5.2 Speaker-Independent (SI) Experiments

402 We adopted the single-speaker-out (SSO) method for the SI experiments. One annotator was used for  
 403 testing, and all other annotators were used for training. In the proposed approach, the IEMOCAP dataset

	anger	surprise	sad	neutral	frustration	disgust	happy
anger	<b>94.22</b>	0.22	0.22	0	0.44	2.44	2.44
surprise	9.14	<b>70</b>	2.44	0.44	10.54	2.44	4.98
sad	2.44	0	<b>85.33</b>	5.77	1.78	2.22	2.44
neutral	0.22	0.44	4.46	<b>90.66</b>	0.22	3.76	0.22
frustration	2.44	11.54	4.98	2.44	<b>69.08</b>	2.44	7.06
disgust	2.44	0.22	8.72	16.33	4.78	<b>58.77</b>	8.72
happy	19.71	8.72	2.44	0.44	10.90	0.44	<b>57.33</b>

**Figure 7.** Confusion matrix obtained by the SVM on the RAVDESS database for the SI experiment

	anger	surprise	sad	neutral	fear	disgust	happy	calm
anger	<b>91.35</b>	2.58	0.75	0	0.44	1.78	1.61	1.47
surprise	7.45	<b>80.55</b>	5.37	0	0.98	1.66	3.43	0.54
sad	6.23	1.86	<b>72.10</b>	6.77	1.78	1.75	1.88	7.61
neutral	0	2.65	2.66	<b>84.97</b>	0	1.45	2.65	5.60
fear	2.38	2.39	1.10	0.44	<b>90.56</b>	1.10	1.45	0.56
disgust	3.45	1.15	1.98	0.44	0.78	<b>88.62</b>	1.15	2.41
happy	5.78	5.26	4.54	0.44	5.78	1.56	<b>75.34</b>	1.28
calm	0.33	1.56	2.98	0	0	0.33	<b>0</b>	<b>94.78</b>

**Figure 8.** Confusion matrix obtained by the MLP on the RAVDESS database for the SI experiment

404 was split into testing and training sessions. By switching all of the testing annotators, the process was  
 405 repeated, and the average accuracy was obtained for every testing speaker. Table 6 lists the identification  
 406 results of five classification models for the SI experiments without the FS technique. The MLP obtained  
 407 the highest accuracy, 88.32%, with the Emo-DB dataset. With the SAVE database, MLP obtained the  
 408 highest accuracy, 65.18%. The SVM achieved the highest accuracy of 87.65% with Emo-DB and 75.34%  
 409 with the RAVDESS database. The random forest achieved the highest accuracies, 79.45% and 65.78%,

	anger	neutral	sad	happy
anger	<b>88.54</b>	3.78	2.19	5.47
neutral	5.88	<b>72.12</b>	17.77	4.21
sad	1.35	16.99	<b>77.64</b>	4.00
happy	6.83	19.54	8.77	<b>64.84</b>

**Figure 9.** Confusion matrix obtained by the SVM on the IEMOCAP database for the SI experiment

410 with Emo-DB and RAVDESS, respectively. Table 6 shows that the SVM obtained better recognition  
 411 accuracy than the other classification models without the FS method. Table 7 represents the outcomes for  
 412 the SI experiments with the feature extraction approach with data resampling and the FS method. The FS  
 413 and data resampling approach improved the accuracy, according to the preliminary results.

414 We report the average weighted recall and standard deviation to evaluate the SI experiment's perfor-  
 415 mance and stability utilizing the FS method. The SVM obtained the highest accuracies, 90.78%, 84.00%,  
 416 80.94%, and 70.06%, for the Emo-DB, IEMOCAP, RAVDESS, and SAVEE databases, respectively,  
 417 followed by the FS method in the SI experiments. However, the MLP achieved the highest accuracies,  
 418 92.65%, 80.23%, 82.75%, and 75.38%, for the Emo-DB, IEMOCAP, RAVDESS, and SAVEE databases,  
 419 respectively, followed by the FS method in the SI experiments. The confusion matrices of the results  
 420 obtained for the SI experiments are shown in Figs. 7-9 to analyze the individual emotional groups'  
 421 identification accuracies. The average accuracies achieved with the IEMOCAP and Emo-DB databases  
 422 were 78.90% and 85.73%, respectively. The RAVDESS database contains eight emotion categories, three  
 423 of which, "calm", "fear", and "anger," were identified with accuracies of 94.78%, 91.35%, and 84.60%,  
 424 respectively, by the MLP. In contrast, the other five emotions were identified with less than 90.00%  
 425 accuracy, as represented in Figure 8. The MLP achieved an average accuracy with the SAVEE database  
 426 of 75.38%. With the SAVEE database, "anger," "neutral," and "sad" were recognized with accuracies  
 427 of 94.22%, 90.66%, and 85.33%, respectively, by the MLP classifier. IEMOCAP achieved an average  
 428 accuracy of 84.00% with the SVM, while the MLP achieved an average accuracy of 80.23%. Figure 9  
 429 shows that the average accuracy achieved by the SVM with the IEMOCAP database is 84.00%.

430 Four publicly available databases are used to compare the proposed method. As illustrated in Table 8,  
 431 the developed system outperformed (Guo et al. (2018); Chen et al. (2018); Meng et al. (2019); Özseven  
 432 (2019); Bhavan et al. (2019)) on the Emo-DB dataset for the SD experiments. The OpenSMILE package  
 433 was used to extract features in (Özseven (2019)). The accuracies obtained with the SAVEE and Emo-DB  
 434 databases were 72% and 84%, respectively. In comparison to (Chen et al. (2018); Meng et al. (2019); Satt  
 435 et al. (2017); Zhao et al. (2018)), the proposed method performed well on the IEMOCAP database. The  
 436 models in (Chen et al. (2018); Meng et al. (2019); Etienne et al. (2018)) are computationally complex and  
 437 require extensive periods of training. In the proposed method, AlexNet is used for the extraction process,  
 438 and the FS technique is applied. The FS approach reduced the classifier's workload while also improving

439 efficiency. When using the RAVDESS database, the suggested technique outperforms (Zeng et al. (2019);  
440 Bhavan et al. (2019)) in terms of accuracy.

441 Table 9 illustrates that the suggested approach outperforms (Meng et al. (2019); Sun and Wen (2017);  
442 Haider et al. (2020); Yi and Mak (2019); Guo et al. (2019); Badshah et al. (2017); Mustaqeem et al.  
443 (2020)) for SI experiments using the Emo-DB database. The authors extracted low-level descriptor  
444 feature emotion identification and obtained accuracies with the Emo-DB database of 82.40%, 76.90%,  
445 and 83.74%, respectively, in (Sun and Wen (2017); Haider et al. (2020); Yi and Mak (2019)). Different  
446 deep learning methods were used for SER with the Emo-DB database in (Meng et al. (2019); Guo et al.  
447 (2019); Badshah et al. (2017); Mustaqeem et al. (2020)). In comparison to other speech emotion databases,  
448 the SAVEE database is relatively small. The purpose of using a pretrained approach is that it can be  
449 trained effectively with limited data. In comparison to (Sun and Wen (2017); Haider et al. (2020)), the  
450 suggested technique provides better accuracy with the SAVEE database. When using the IEMOCAP  
451 database, the proposed methodology outperforms (Yi and Mak (2019); Guo et al. (2019); Xia and Liu  
452 (2017); Daneshfar et al. (2020); Mustaqeem et al. (2020); Meng et al. (2019)). The classification results  
453 of the proposed scheme show a significant improvement over current methods. With the RAVDESS  
454 database, the proposed approach achieved 73.50 percent accuracy. Our approach allowed us to identify  
455 multiple emotional states with Multiple languages with a higher classification accuracy while using a  
456 smaller model size and lower computational costs. In addition, our approach included a simple design and  
457 user-friendly operating characteristics, which can make it suitable for implementations such as monitoring  
458 people's behavior.

## 459 6 CONCLUSIONS AND FUTURE WORK

460 In this research, the primary emphasis was on learning discriminative and important features from  
461 advanced emotional speech databases. Therefore, the main objective of the present research was advanced  
462 feature extraction using AlexNet. The proposed CFS approach explored the predictability of every feature.  
463 The results showed the superior performance of the proposed strategy with four datasets in both SD and  
464 SI experiments.

465 To analyze the classification performance of each emotional group, we display the results in the form  
466 of confusion matrices. The main benefit of applying the FS method is to reduce the abundance of features  
467 by selecting the most discriminative features and eliminating the poor features. We noticed that the  
468 pretrained AlexNet framework is very successful for feature extraction techniques that can be trained with  
469 a small number of labeled datasets. The performance in the experimental studies empowers us to explore  
470 the efficacy and impact of gender on speech signals. The proposed model is also useful for multilanguage  
471 databases for emotion classification.

472 In future studies, we will perform testing and training techniques using different language databases,  
473 which should be a useful evaluation of our suggested technique. We will test the proposed approach in the  
474 cloud and in an edge computing environment. We would like to evaluate different deep architectures to  
475 enhance the system's performance when using spontaneous databases.

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