

# Accelerating covering array generation by combinatorial join for industry scale software testing

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Combinatorial interaction testing, which is a technique to verify a system with numerous input parameters, employs a mathematical object called a covering array as a test input. This technique generates a limited number of test cases while guaranteeing a given combinatorial coverage. Although this area has been studied extensively, handling constraints among input parameters remains a major challenge, which may significantly increase the cost to generate covering arrays. In this work, we propose a mathematical operation, called "weaken-product based combinatorial join", which constructs a new covering array from two existing covering arrays. The operation reuses existing covering arrays to save computational resource by increasing parallelism during generation without losing combinatorial coverage of the original arrays. Our proposed method significantly reduce the covering array generation time by 13-96% depending on use case scenarios.

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## 11 ABSTRACT

12 Combinatorial interaction testing, which is a technique to verify a system with numerous input parameters,  
13 employs a mathematical object called a covering array as a test input. This technique generates a  
14 limited number of test cases while guaranteeing a given combinatorial coverage. Although this area  
15 has been studied extensively, handling constraints among input parameters remains a major challenge,  
16 which may significantly increase the cost to generate covering arrays. In this work, we propose a  
17 mathematical operation, called “weaken-product based combinatorial join”, which constructs a new  
18 covering array from two existing covering arrays. The operation reuses existing covering arrays to save  
19 computational resource by increasing parallelism during generation without losing combinatorial coverage  
20 of the original arrays. Our proposed method significantly reduce the covering array generation time by  
21 13–96% depending on use case scenarios.

## 22 1 INTRODUCTION

23 Modern software systems consist of multiple components, each of which is comprised of several ele-  
24 ments and each element has a number of parameters. Due to combinatorial explosion, testing possible  
25 combinations of inputs exhaustively is impractical during product testing even if all possible values for  
26 each parameter are limited by equivalence partitioning. One way to handle this situation is to employ a  
27 technique called Combinatorial Interaction Testing (CIT) (Kuhn et al. (2013)). CIT applies a mathemati-  
28 cal object called a covering array that incorporates all possible  $t$ -way combinations of parameter values  
29 as a test input to a certain system under test (SUT). The variable  $t$ , which is called testing strength (use  
30 strength for short hereafter), guarantees all the possible value combinations of  $t$  parameters to be covered  
31 in the test. Previous studies intensively investigated how to reduce both the size of a covering array and  
32 time to generate it.

33 However, challenges remain when applying CIT techniques to the real-world software products. First,  
34 real-world software product has a very large number of input parameters that will result in a very long  
35 time to generate a covering array of very large size. Second, a value for each parameter cannot be assigned  
36 independently but needs to be chosen to satisfy a certain set of conditions of it and the values of other  
37 parameters. Such conditions are called *constraints* and handling of them makes the size and generation  
38 time of a covering array sometimes impractically large. At the same time, constraints to describe a  
39 software product’s specification may become complicated and will increase the size and time even more.

40 In order to mitigate this situation, where a covering array needs to be generated for a target software  
41 product which has numerous parameters under complex constraints, it is more efficient to apply a “divide-  
42 and-conquer” approach instead of generating it at once. This approach will split a set of parameters into  
43 multiple groups, generate covering arrays for each group, and combine them into one. It will require  
44 constructing a new covering array from existing ones.

45 Methods to construct a new covering array from existing ones are relatively less studied (Kampel et al.  
46 (2017a); Kruse (2016); Zamansky et al. (2017); Ukai et al. (2019)). There are three categories of methods  
47 have been studied. One is to construct a combined array from the input arrays by viewing each input array  
48 as a parameter whose values are its rows (Kampel et al. (2017b)). The second is to reuse and *extend* an  
49 existing covering array (Cohen et al. (1997), Czerwonka (2006), Nie and Leung (2011)). Many popular  
50 tools (Kuhn et al. (2008), Cohen et al. (1997), Czerwonka (2006)) are implemented for this method, they  
51 can handle new parameters that are not present in the initial covering array and generate an output that  
52 covers all combinations. This feature is usually called ‘seeding’ or ‘incremental generation’. The third is  
53 to apply an operation called *combinatorial join* (Ukai et al. (2019)), which generates a new covering array  
54 by combining rows in input covering arrays while ensuring all value combinations across input arrays are  
55 covered.

56 By separating the implementation method from the operation introduced in the third method, in this  
57 paper we present a design of a novel algorithm to implement the *combinatorial join* operation, which is  
58 called “weaken-product based combinatorial join”. We also evaluate the efficiency and practicality of  
59 our method by comparing to the conventional methods (i.e., new generation and incremental generation)  
60 implemented in a popular tool called ACTS (Kuhn et al. (2008)). Our experiments are conducted on  
61 modelled systems with various constraints and sizes, measured by generation time. Since our approach  
62 constructs a new covering array from existing ones without creating a new row, it has less measures to  
63 minimize the size of output and we also conduct experiments to ensure the increases in the output sizes  
64 (“Size Penalty”) remain reasonable. The results of our evaluation (RQ1 and RQ2) show that our approach  
65 delivers significant reduction in generation time by 33-88% in strength 2 and 3, while its increase in size  
66 remains practical.

67 Furthermore, as also evaluated in our study, our approach delivers other benefits. First, in a real  
68 software project, it is not practical to conduct combinatorial testing in the same strength regardless of  
69 each component’s importance. A variable strength covering array (VSCA) is a mathematical object to  
70 handle this situation (Cohen et al. (2003); Cohen et al. (1997)), where subsets of attributes in the entire  
71 array may have higher strength than the others. Various methods to construct it are proposed (Bansal et al.  
72 (2015), Wang and He (2013)). Since our combinatorial join operation is transparent to the input covering  
73 array’s strength, if we give covering arrays of strength  $u$  as the input and perform the operation in strength  
74  $t$ , it will result in a VSCA. The results of our study (RQ4) show a 10%-60% reduction in generation time.

75 Second, in some other practical situations, it is possible and desired to reuse test oracles designed for  
76 an earlier testing phase in a later one (Ukai et al. (2019)). However, existing CIT tools allow to reuse test  
77 oracles defined for only one single component among all, through “incremental generation”, the second  
78 of the aforementioned methods of constructing a new array from existing arrays (Kuhn et al. (2013)). The  
79 test oracle reuse is very limited in this method because the incremental generation allows to use only one  
80 covering array as the seeds and therefore a completely new covering array is generated for attributes that  
81 are not included in the seeds. This forces testers to redefine new test oracles for those attributes not in  
82 the seeds even if they already have ones for a covering array generated from the attributes outside the  
83 incremental generation procedure. The combinatorial join operation allows to give two input covering  
84 arrays as the inputs without creating any new row from scratch and it will enhance possibility to reuse  
85 test oracles for testers. In this work (RQ3), we define the operation using the characteristics of its inputs  
86 and outputs declaratively so that one can provide other implementation of the operation by satisfying the  
87 definitions. We also qualitatively discuss the conditions and assumptions, where test oracle reuse by the  
88 combinatorial join is able to deliver benefits for testers.

89 Furthermore, in order to describe a software product’s specification, sometimes a sufficiently high-level  
90 abstraction of constraints is required and otherwise the constraint definition will become impractically  
91 complicated. Such a capability is provided only by limited tools. Various tools, which generates covering  
92 arrays of specified strength under constraints, have been developed and proposed, such as ACTS (Kuhn  
93 et al. (2008)), PICT (Czerwonka (2006)), JCUunit (Ukai, Hiroshi and Qu, Xiao (2017)), etc., each of which  
94 has its own strengths and weaknesses. Among all of them, ACTS is utilized most widely because of its  
95 rich functionality and outstanding performance in both time and the size of its output, on the other hand,  
96 its capability to model constraints only provides the most basic operators and data types. Nevertheless, to  
97 the best of our knowledge, no single tool is capable of handling all of these challenges mentioned above  
98 in a large scale software product development. With the combinatorial join operation, we can consider an  
99 approach where parameters are split into groups and the final covering array is constructed by combining

100 sub-covering arrays each of which is generated by an optimal tool for each group. In this work (RQ5), we  
101 examine whether this approach is beneficial and possible in what circumstances, qualitatively.

102 In summary, the contributions of this work are as follows, which altogether enhance the applicability  
103 of CIT toward the larger and more complex software products in the real world.

104 • Our proposed algorithm and implementation of combinatorial join makes CIT technique more  
105 efficient and flexible in large scale software system with complex constraints.

106 – we improved our previous work by introducing a new algorithm, where the strengths of the  
107 input covering arrays are reduced and then connected so that the desired strength in the output  
108 is achieved.

109 – our tool generates covering arrays (with same strength) and VSCAs with constraints faster  
110 than a very popular tool (RQ1).

111 • Our tool makes reuse of oracles with higher possibilities (RQ3).

112 • Our tool makes it possible to use multiple tools to generate one test suite, by taking advantage of  
113 each tool to generate of sub-arrays in different situations (RQ4).

114 The remainder of this paper is organized as follows. In Section 2, we introduce the background and  
115 related work of CIT technique and its related topics, such as constraint handling support, incremental  
116 generation, and variable strength covering arrays. In Section 3, we describe our algorithm to implement  
117 the combinatorial join operation and provide proofs that it can generate a new covering array from two  
118 given covering arrays. Then we conduct experiments to acquire the performance characteristics of an  
119 existing tool and examine whether our approach is beneficial. In Sections 4 and 5, we evaluate different  
120 use cases, parameter sizes, and constraint sets to determine whether our method accelerates covering array  
121 generation and realizes practical covering array sizes. We finish in Section 6, by discussing the efficiency  
122 and benefit of our approach with its limitations and future works.

## 123 2 BACKGROUND AND RELATED WORKS

### 124 2.1 Combinatorial Interaction Testing

125 Combinatorial Interaction Testing (CIT) technique generates a test suite that contains all combinations of  
126 values among any  $t$  parameters for a system under test. A test suite generated by a CIT tool is called a  
127 *covering array*. It is denoted as  $CA(N; t, k, v)$ , where  $N$  is the number of rows,  $t$  is called testing *strength*,  
128  $k$  is the number of columns (i.e., parameters), and  $v$  is the number of possible values for each parameter.  
129 (here we assume each parameter has the same number of possible values)  $k$  and  $v$  are called *degree* and  
130 *order* respectively (Kuhn et al. (2013)).

131 CIT is useful to shrink the full Cartesian product space of a set of parameters, which becomes  
132 impractical for large-scale applications, into a reasonable test suite. The test suite generated by a CIT tool  
133 is called a *covering array*.

134 The most common type of covering array in CIT is pairwise ( $t = 2$ ) in which all two-way combinations  
135 of parameter values are tested together in at least one test case. Numerous algorithms have been proposed  
136 to generate such artifacts (Nie and Leung (2011); Anand et al. (2013)), from greedy algorithm (e.g.,  
137 AETG (Cohen et al. (1997)), IPOG (Lei et al. (2008), and PICT (Czerwonka (2006))), simulated-annealing  
138 (Garvin et al. (2011)) to heuristic search-based technique (Shiba et al. (2004)).

139 CIT has been applied to various applications including GUI testing, configuration-aware system  
140 testing (such as product line testing), and unit testing. A study in 2018 reported 40 commercial or open  
141 source tools have been developed to generate CIT test suites (Jacek Czerwonka (2018)).

142 The generation of a covering array has been extensively studied, to minimize the size of a covering  
143 array, to deal with constraints defined in a test model (Grindal et al. (2006), Wu et al. (2019)), or to  
144 generate a covering array by extending an existing covering array (i.e. incremental generation, Kampel  
145 et al. (2017a); Kruse (2016); Zamansky et al. (2017); Ukai et al. (2019)), rather than from scratch.

## 146 2.2 Constraint Support by Existing Tools

147 In a practical software system each parameter cannot be assigned independently. Instead, parameter values  
 148 must be selected so that a certain set of conditions are satisfied. Such conditions are called *constraints*.  
 149 For example, when we test a system equipped with web-based GUI, **OS** (Windows, Mac OS, Linux,  
 150 etc.) and **browser** (Edge, Safari, Chrome, Firefox, etc), **OS** and **browser** are parameters and their values  
 151 specified in the parentheses are different settings that a user may access to the system. In a test case where  
 152 Safari or Edge is chosen as the parameter **browser**, Linux cannot be assigned as an **OS** parameter. This  
 153 is an example of a constraint. If a test case that violates a constraint is introduced in a test suite, it will  
 154 fail to cover the expected combinations of values that are even not related to the constraint, because this  
 155 whole test case will be discarded. As a result, the combinatorial coverage of the whole test suite will be  
 156 damaged. Specifically in our example, when we create a test case where Safari is chosen for **Browser**  
 157 and Linux for **OS**, the test case is expected to cover valid value combinations for other parameters such  
 158 as **Font, Language, Timezone**. Now the test case is violating a constraint about **OS** and **browser** and it  
 159 makes the entire test case invalid. This means combinations for the other parameters (**Font, Language,**  
 160 etc.) will not be executed unless they are accidentally covered by other test cases. This will happen much  
 161 less frequently than one expects because test cases are generated as less as possible in CIT technique  
 162 because the technique tries to avoid repeating the same value combinations in order to minimize the test  
 163 suite to be generated.

164 Constraints are often denoted in a format of tuples that are forbidden to be present in the output  
 165 covering array. For example, the constraint that *Linux* of *OS* cannot be tested together with *Safari* of  
 166 browser is denoted as  $(OS_{Linux}, browser_{Safari})$ , where *OS* and *browser* are names of parameters and *Linux*  
 167 and *Safari* are their values.

168 ACTS has a superior performance with respect to both generation speed of covering arrays and  
 169 covering arrays size without constraints, based on a comparison between various tools conducted by Kuhn  
 170 et al. (Kuhn et al. (2013)). For example, when ACTS generates a covering array of  $CA(2, 2, 100)$  with no  
 171 constraint, it takes less than 1.0 [sec] and the size of the generated covering array is 14. Another popular  
 172 tool, PICT can generate a covering array of  $CA(2, 2, 100)$  in less than 1.0 [sec] with 15 rows, but it shows  
 173 quite unpractical performance when a complex constraint set is present (Czerwonka, Jacek (2016)).

174 However, in terms of ability to define or describe complicated constraints and parameters (we call  
 175 it *flexibility*), other tools (e.g., PICT and JUnit) do better. Flexibility of defining constraints is less  
 176 researched than performance of generating covering arrays under constraints, but it is very important  
 177 in practice. The effort to define constraints is necessary to model relationships between parameters  
 178 and such a model sometimes becomes so complex that it requires a notation as powerful as a popular  
 179 programming language, where products under testing are developed. On the other hand, introducing such  
 180 a rich feature into the notation to describe constraints makes it difficult to implement an efficient covering  
 181 array generator because constraint handling sometimes relies on an external SAT solver, which is not as  
 182 powerful as a general purpose programming language such as Java.

183 In short, no single CIT tool provides superior performances for all requirements such as size, speed,  
 184 and flexibility in constraint handling, simultaneously.

185 We next describe three tools studied in our research, ACTS, PICT, and JUnit, with a focus in their  
 186 different characteristics in defining constraints.

### 187 2.2.1 ACTS

188 ACTS supports four data types, which are bool, number, enum, and range. The following code block  
 189 contains examples to define factors of those types.

```

190 <Parameters>
191 <Parameter id="2" name="enum1" type="1">
192 <values>
193 <value>elem1</value>
194 <value>elem2</value>
195 </values>
196 <basechoices />
197 <invalidValues />
198 </Parameter>
199 <Parameter id="3" name="num1" type="0">
200 <values>
201 <value>0</value>
202 <value>100</value>
203 ...
204 <value>2000000000</value>
205 </values>
206 </Parameter>
207 <Parameter id="4" name="bool1" type="2">
208 <values>
209 <value>true</value>
210
  
```

```

211     <value>false</value>
212   </value>
213 </Parameter>
214 <Parameter id="5" name="range1" type="0">
215   <values>
216     <value>0</value>
217     <value>1</value>
218     <value>2</value>
219     <value>3</value>
220   </values>
221 </Parameter>
222 ...
223 </Parameters>

```

225 ACTS has a very primitive set of mathematical and logical operators that can be used in constraint  
 226 definitions. For instance, it supports  $<$  but not  $>$ . Although  $>$  can be expressed using the  $<$  and negate  
 227 operator (!), it complicates the readability of the constraint definition. Also it lacks conditional operators  
 228 such as a ternary operator or if-then-else structure. This can also be substituted with a combination of  
 229 supported logical operators such as negate and conjunction or negate and disjunction, however, such  
 230 substitutions also complicate the readability.

231 In our experience, lacks of those operators result in impractical constraint definitions that are hard to  
 232 read and understand. Following is an example to define a constraint with ACTS.

```

233 <Constraints>
234   <Constraint text="I01 &lt;= I02 || I03 &lt;= I04
235   ----- || I05 &lt;= I06 || I07 &lt;= I08 || I09 &lt;= I02">
236     <Parameters>
237       <Parameter name="I01" />
238       <Parameter name="I02" />
239       <Parameter name="I03" />
240       <Parameter name="I04" />
241       <Parameter name="I05" />
242       <Parameter name="I06" />
243       <Parameter name="I07" />
244       <Parameter name="I08" />
245       <Parameter name="I09" />
246     </Parameters>
247   </Constraint>
248 </Constraints>
249

```

This is equivalent to the following formula:

$$I01 \leq I02 \vee I03 \leq I04 \vee I05 \leq I06 \vee I07 \leq I08 \vee I09 \leq I02 \quad (1)$$

251 We can also define a constraint that checks if values satisfy a certain formula using mathematical  
 252 operators such as  $+$ ,  $-$ ,  $*$ , and  $/$ .

### 253 2.2.2 PICT

254 PICT supports a couple of data types, which are enum and numeric. Following is an example to define a  
 255 test model in PICT (Czerwonka, Jacek (2015)).

```

256 PLATFORM: x86, ia64, amd64
257 CPUS:      Single, Dual, Quad
258 RAM:      128MB, 1GB, 4GB, 64GB
259 HDD:      SCSI, IDE
260 OS:       NT4, Win2K, WinXP, Win2K3
261 IE:       4.0, 5.0, 5.5, 6.0
262

```

264 Unlike ACTS, PICT does not support data types such as bool or range, but this is not an essential  
 265 drawback of the tool, because these types can be represented by enum with appropriate symbols as an  
 266 alternative, and such substitutions will not affect readability severely. For constraint handling, PICT  
 267 provides quite readable notation as shown below.

```

268 IF [PLATFORM] in {"ia64", "amd64"} THEN [OS] in {"WinXP", "Win2K3"};
269 IF [PLATFORM] = "x86" THEN [RAM] <> "64GB";
270

```

272 In this example, PICT uses IF-THEN-ELSE structure to define constraints. Without this structure, the  
 273 same constraints need to be converted in a more complicated way, as shown below. This is how constraints  
 274 are defined using ACTS. Though such conversion is not difficult, it is usually an error prone manual  
 275 process. Moreover, as we pointed out already, the converted constraints are hard to read and understand  
 276 by engineers, since they lost their original designs mapped back to the system test model.

```

277 ! PLATFORM = ia64 && ! PLATFORM = amd64 || (OS = WinXP || Win2K3)
278 ! PLATFORM = x86 || ! RAM = 64GB
279

```

281 On the other hand, however, PICT does not support mathematical operators between parameters,  
 282 hence it cannot define a constraint that requires such operators, which can be done by ACTS.

### 283 2.2.3 JCUNIT

284 Given that both ACTS and PICT have their own limitations in constraint definition, we introduced a new  
285 tool in our previous work Ukai, Hiroshi and Qu, Xiao (2017).

286 JUnit allows a user to define a constraint as a method written in Java, which takes values for factors  
287 as parameters and returns a boolean value. The following example defines a constraint for a set of integer  
288 parameters a, b, and c. These parameters are coefficients in a quadratic equation,  $ax^2 + bx + c$ , and the  
289 constraint checks if this equation has a solution in real.

```
290 @Condition(constraint = true)
291 public boolean discriminantIsNonNegative(
292     @From("a") int a,
293     @From("b") int b,
294     @From("c") int c) {
295     return b * b - 4 * c * a >= 0;
296 }
297
```

298 For programmers, this style delivers a benefit that they can define constraints in the same way as  
299 they write their product code, and the definition can be as readable as a regular Java language program.  
300 However the tool is unable to employ external tools such as SAT libraries because the constraints are  
301 expressed as a normal Java program that external tools do not understand. Hence, it needs to rely on its  
302 internal logic to handle constraints. This makes overall constraint handling cost less efficient, although it  
303 is still faster than PICT (Ukai, Hiroshi (2017)). JUnit also allows any values as levels for a factor as  
304 long as they are an appropriately implemented Java object.

```
306 @ParameterSource
307 public Simple.Factory<Integer> depositAmount() {
308     return Simple.Factory.of(asList(100, 200, 300, 400, 500, 600, -1));
309 }
310
311 @ParameterSource
312 public Regex.Factory<String> scenario() {
313     return Regex.Factory.of("open_deposit(deposit|withdraw|transfer){0,2}getBalance");
314 }
315
```

316 The code block shown above illustrates how a normal factor (e.g., depositAmount) and a regex type  
317 factor (e.g., scenario) can be defined. “depositAmount” is a factor of an Integer type defined in a method  
318 with the same name, which has 100, 200, 300, 400, 500, 600, and -1 as its levels. As mentioned already  
319 any Java object can be used as a possible value (level) of a parameter (factor), users are able to use  
320 methods defined for the class in the constraint definition. This makes it possible to define a constraint  
321 which examines whether the length of a string parameter exceeds a certain amount or not, for instance,  
322 and contributes to the readability of the constraint definition.

323 In addition, it provides a special data type “regex”, which produces a set of factors that represents a  
324 sequence of values conforming to a given expression (“scenario” method in the example). Through this  
325 method, a user can access a parameter “scenario” whose possible values are list of Strings, which are  
326 [open, deposit, getBalance], [open, deposit, deposit, getBalance], [open, deposit, withdraw, getBalance],  
327 etc. This feature is implemented by expanding the parameter into multiple small factors, each of which  
328 represents an element in the list and constraints over them. JUnit internally generates those factors and  
329 constraints and constructs a covering array from them.  
330

### 331 2.3 Reuse Covering Arrays

332 Generating a covering array is an expensive task, especially when executed under complex constraints,  
333 a higher strength than two, and/or there are a number of parameters. Since a large software system can  
334 have a complex internal structure and hundreds or even more parameters, divide-and-conquer approach is  
335 desirable. If the time of covering array generation grows non-linearly along with the number of parameters  
336  $n$  (e.g.,  $n^2$ ,  $n^3$ ), this approach may accelerate the overall generation because a set of parameters can be  
337 divided into multiple groups. Dividing into groups can prevent an explosive increase in the generation  
338 time for each group, even if there is overhead to recombine them into one.

339 To enable such an approach, a method to construct a new covering array reusing existing ones is  
340 necessary. However, such methods are not as well studied as methods to generate covering array from  
341 scratch (Kampel et al. (2017a); Kruse (2016); Zamansky et al. (2017); Ukai et al. (2019)).

342 The most popular method for reusing a covering array is a feature called “seeding” (Cohen et al.  
343 (1997)). Seeding takes an existing covering array and parameters to be added as inputs. Hereafter, we  
344 refer to this method as *incremental generation*. This allows mandatory combinations to be specified for  
345 a tool, minimizing changes in the output. Minimizing changes is important because the output, which  
346 represents a test suite, sometimes contains fundamental parameters that are expensive to control such as

347 OS or filesystem to be used in test execution. Popular tools for CIT such as ACTS (Kuhn et al. (2008)),  
348 PICT (Czerwonka (2006)), and JUnit (Ukai, Hiroshi and Qu, Xiao (2017)) can add parameters not  
349 presented in an initial covering array and generate an output as by assigning values to them so that the  
350 combinations between the values of the given parameters and the existing ones are covered. However, this  
351 limits reuse of only one covering array.

352 Another approach is to apply a CIT technique by setting each input covering array is a parameter  
353 whose rows are possible values (Zamansky et al. (2017)). One drawback to this approach is that it makes  
354 the final array's size larger than  $M \times N$ , where  $M$  is the maximum array's size in the input and  $N$  is the  
355 second maximum's size. This results in an output with an impractical size for large-scale software product  
356 development.

357 As a third approach, in our previous work, we proposed an operation called *combinatorial join* (Ukai  
358 et al. (2019)) to reuse covering arrays. Combinatorial join assumes that input arrays are already covering  
359 arrays and a new row in the output is created by connecting rows in the input arrays so that the entire  
360 output becomes a new covering array which has all the parameters to test. Ukai et al. (2019) presented an  
361 implementation of the combinatorial join operation based on a covering array generation algorithm called  
362 IPOG (Lei et al. (2008)). However, the implementation was impractically expensive in terms of time and  
363 memory usage when there are more than 100 parameters or strength  $t$  exceeds 2.

## 364 2.4 Variable Strength Covering Array

365 A variable strength covering array (VSCA) is a covering array where the strength  $t$  can be different  
366 depending a set of parameters among all of them (Cohen et al. (2003)). It is considered useful to  
367 apply VSCA for testing a system which consists of multiple components since some components are  
368 more critical than others in a large system. Methods to generate VSCA have been proposed in related  
369 work (Bansal et al. (2015); Wang and He (2013)).

370 As introduced later in Section 3, our proposed combinatorial join operation can also generate a VSCA,  
371 because this approach guarantees to include all the rows in input arrays at least once, if one array has a  
372 higher strength than the other, the portion corresponding to the array will have the same strength as the  
373 input.

## 374 3 WEAKEN-PRODUCT-BASED COMBINATORIAL JOIN TECHNIQUE

375 A real-world software product has numerous parameters, which causes a combinatorial explosion when  
376 conducting a fully exhaustive testing. A CIT technique provides a way to handle this situation while  
377 guaranteeing reasonable coverage over all combinations of possible parameter values. However, generating  
378 a test suite employing the CIT technique is an expensive process, particularly when complicated constraints  
379 over the parameters are present. One approach to solve this issue is to generate test suites for components  
380 in the system separately and then combine them into one. The *combinatorial join* operation can realize  
381 this idea as it takes two inputs *LHS* (Left Hand Side) and *RHS* (Right Hand Side) and generates one output  
382 covering array from them. *LHS* and *RHS* are pre-generated covering arrays and there is no constraint  
383 across them as the precondition of the operation.

384 This output array contains all the rows from *LHS* and *RHS*, covers all the  $t$ -way combinations across  
385 them, but not include any extraneous rows that are not found in *LHS* or *RHS*. In a simple case, the input  
386 covering arrays (i.e., *LHS* and *RHS*) can be test suites generated for individual components. But when  
387 we employ the technique to apply “divide-and-conquer” approach with this technique for a large scale  
388 software product, we can split the parameters of the product into two groups as *LHS* and *RHS*, regardless  
389 of actual components. The split needs to be done in a way that parameters from *LHS* and *RHS* may not  
390 exist together in one constraint. It is also preferable to make both *LHS* and *RHS* have the same number of  
391 parameters and constraints in order to maximize the benefit of parallelism.

392 The technique weaken-product based combinatorial join proposed in this paper implements the  
393 operation, which has practical performance for industry scale software developments.

394 The method proposed in our previous work(Ukai et al. (2019)) intended to achieve the same goal of  
395 this work, but it was based on an algorithm similar to IPO and worked only when strength=2 and degree  
396 is less than hundred in practice. The method proposed in this paper improves the previous work in several  
397 ways: (1) it constructs a new covering array from input arrays so that the strengths of the input arrays can  
398 be reduced, hence the cost of generating the input arrays are reduced. (2) the new method is studied for  
399 strength greater than 2 and it handles degrees as large as one thousand.

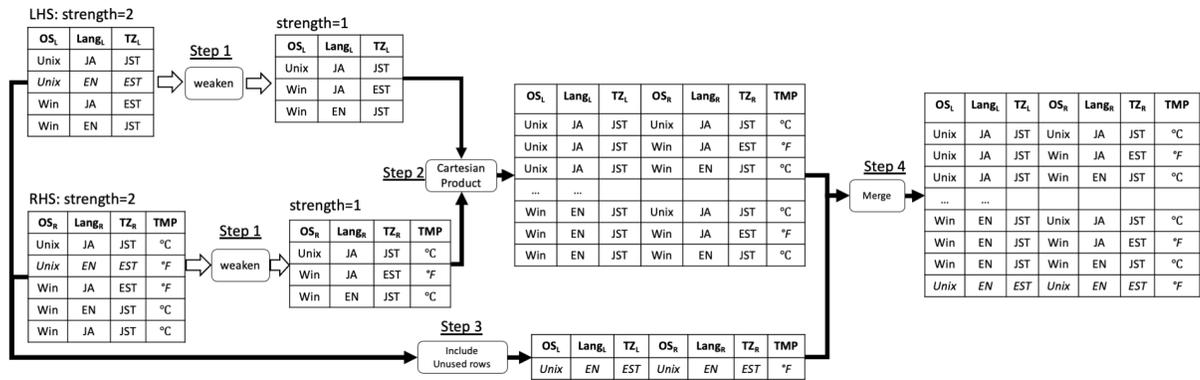


Figure 1. Running example of weaken-product based combinatorial join

400 This approach will be beneficial for systems like listed below:

- 401
- 402 • A system consists of multiple components whose parameters are too expensive to change for each test case, generating a covering array from existing ones provides an efficient way of testing while guaranteeing combinatorial coverage over the entire system.
  - 403
  - 404 • A peer-to-peer communication system is tested and we desire to detect failures triggered by combinations of such parameter values across computers, for instance, OSeS, browsers, languages, regions, and time-zones.
  - 405
  - 406

407 As mentioned earlier, constraint handling is supported by various tools but in different ways, where each tool has its own strengths and weaknesses. Since the combinatorial join is an operation which can create a new covering array from already generated ones, we can utilize an optimal tool for each input.

408 We also expect it to accelerate the overall generation even with the overhead of combining smaller input covering arrays and enhance the applicability of CIT technique toward the larger and more complicated software products. In this section, we first illustrate the procedure of our proposed technique “weaken-product based combinatorial join” with a running example, which implements the “combinatorial join” operation. We next introduce some notations and a formal definition of this technique. After the formal definition of the technique, we define the operation “combinatorial join” in a more general way that allows other implementations of this operation, in addition to our “weaken-product based” method.

### 417 3.1 A Running Example

418 We present a running example of our proposed algorithm weaken-product based combinatorial join with a concrete example (Figure 1) where both the input arrays’ and the output array’s strength are  $t = 2$ . In this example, the original LHS is a covering array that contains 3 parameters (i.e.,  $OS_L$ ,  $Lang_L$ , and  $TZ_L$ ), each of which has 2 possible values Unix, Win, JA, EN, and EST, JST respectively. There is no constraint across LHS and RHS. Note that LHS and RHS can have different numbers of rows (i.e., different sizes) and columns as shown in the diagram (Figure 1). The original RHS is also a covering array that contains 3 parameters which are  $OS_R$ ,  $Lang_R$ , and  $TZ_R$  and they have the same possible values as the corresponding one in LHS. The goal of our algorithm (or method) is to combine them into one covering array that covers all the  $t$ -way combinations (in this example,  $t = 2$ ) across the LHS and the RHS arrays without creating a new row neither in LHS nor RHS part.

428 First, the *weaken* operation, which shrinks the input covering array into another one with lower strength, is executed for both LHS and RHS (Step 1). The operation can have only one output. In general, the output arrays of this step in LHS will be covering arrays with strength  $t - 1, t - 2, \dots, 1$ , while the corresponding arrays from RHS will be  $1, 2, \dots, t - 1$ . In this example, after this step, the output of LHS is only one covering array with strength 1 because the strength of the original LHS is  $t = 2$ , and the output of RHS is also one covering array whose strength is 1. Next, for each pair of output arrays of Step 1, a *Cartesian Product* is performed and the results are merged into one (Step 2). As it is seen in the figure, for each row in the output of Step 1 from LHS, every row in the output of Step 1 from RHS is connected.

436 For instance, for a row (*Unix, JA, JST*) in LHS, every row in the output of the *weaken* operation for RHS  
 437 (*Unix, JA, JST*), (*Win, JA, EST*), (*Win, EN, JST*) is associated.

438 In this step, rows in the output with exactly the same values for all parameters are removed. This  
 439 removal is necessary when the *weaken – product* is performed for the strength higher than 2 because the  
 440 Step 1 is repeated multiple times and it may generate duplicated rows in the output.

441 Then, the remaining rows in LHS and RHS that do not appear in the output of Step 2 are connected  
 442 and included in the final output in (Step 3). For example, the row (*Unix, EN, EST*) in LHS and RHS  
 443 is not found in the output of Step 2 and unless step 3 is done to make up the missing tuples, not all  
 444 the *t*-way combinations inside the LHS and RHS are ensured to be covered. Step 2 guarantees that  
 445 *t*-way combinations of parameter values across LHS and RHS are covered. Step 3 guarantees *t*-way  
 446 combinations of parameters inside LHS and RHS are covered. Therefore, the entire output becomes a  
 447 covering array of strength *t*. Finally, the rows generated in Step 2 and 3 are merged into one array (Step  
 448 4).

### 449 3.2 Notation

450 Now we define some notations in order to formalize our proposed method ”weaken-product based  
 451 combinatorial join” in Section 3.3. We first introduce a set of necessary functions before describing  
 452 our proposed function, *weaken\_product(LHS, RHS, t)* that builds a new covering array from two input  
 453 arrays. The function takes three parameters, *LHS*, *RHS*, and *t*. The output of the function is an array  
 454 containing all the factors held by the input arrays. *LHS* and *RHS* are arrays that do not have the same  
 455 factors in common. In general, they are covering arrays of strength greater than *t*, although this condition  
 456 is not mandatory. For simplicity, we assume that *LHS* and *RHS* do not have any constraints inside them.  
 457 However, the proposed mechanism can handle those under constraints transparently. If the input has  
 458 higher strength, it will be kept in the output, too, and if its rows do not violate given constraints, rows in  
 459 output will also not violate the constraints. This is given as

$$weaken(A, i) = A_w \quad (2)$$

460 where *weaken* is a function that returns a new array from input *A*. The output has the following  
 461 features:

- 462 • It has all the factors in *A* and only those factors.
- 463 • It contains all the tuple of strength *i* that appear in *A*.
- 464 • It contains rows that appear in *A* and only those.
- 465 • Each row in the array is unique.

466 When output of the *weaken(A, i)* is constructed, depending on the order of selecting rows from *A*, the  
 467 size of the output can be different. Our implementation chooses to select a row that contains the most  
 468 key-value pairs that are not covered in the output so far.

469 In the case the input *A* is a covering array of strength *i* or greater, *weaken(A, i)* will be a covering array  
 470 of strength *i* and its size can be smaller than *A*. This is expressed as

$$|weaken(A, i)| \leq |A| \quad (3)$$

471 *factors* is a function that returns a set of factors on which a given array is constructed.

$$factors(A) = F \quad (4)$$

472 *F* is a set of all the factors that appear in an array *A*

473 *project(A, f)* is a function that returns an array created from an input array *A* and a set of factors *f*.

$$project(A, f) = P \quad (5)$$

474 The returned array *P* satisfies the following characteristics.

- 475 • It has all the factors given by  $f$  only.
- 476 • For each row in  $P$ , a row in  $A$ , which contains the row, can be found.

477  $connect$  is a function that returns an array created from a couple of given arrays,  $L$  and  $R$ .

$$connect(L, R) = C \quad (6)$$

478 The returned array satisfies following the characteristics.

- 479 • It has all the factors that appear in  $L$  and  $R$ .
- 480 •  $project(C, factors(L))$  contains all the rows found in  $L$  and all the rows in it are contained by  $L$ .
- 481 •  $project(C, factors(R))$  contains all the rows found in  $R$  and all the rows in it are contained by  $R$ .
- 482 • Each row in  $C$  has values for all the factors from  $L$  and  $R$ .
- 483 • Each row in the array is unique.

484 Since there is not a requirement for combinations of rows from  $A$  and  $B$ ,  $|C|$  can be as small as  
485  $\max(L, R)$ .

$$set(A) = S \quad (7)$$

486  $S$  is a set that contains all the identical rows in an array  $A$ .

### 487 3.3 Method of “weaken-product based combinatorial join”

488 Based on the formulae in 3.2, the operation we propose  $weaken\_product$  can be defined as follows.

$$\begin{aligned}
 WP &= weaken\_product(LHS, RHS, t) \\
 &= \left[ \bigcup_{i=1}^t weaken(LHS, i) \times weaken(RHS, t - i) \right] \cup connect(LHS_{unused}, RHS_{unused})
 \end{aligned} \quad (8)$$

489 where

$$\begin{aligned}
 LHS_{unused} &= LHS \setminus project(W, factors(LHS)) \\
 RHS_{unused} &= RHS \setminus project(W, factors(RHS)) \\
 W &= weaken\_product(LHS, RHS, i)
 \end{aligned} \quad (9)$$

490 Figure 2 illustrates the idea of the  $weaken\_product$  function.

491 Next, we describe the characteristics of the output arrays generated by our proposed algorithm, in  
492 order to explain why we can use our algorithm to combine covering arrays generated under constraints.  
493 Given a set of parameters with their possible values, as well as a set of  $t$  – way tuples that is called  
494 “Forbidden tuples”, an array that covers all the possible  $t$ -way tuples but the forbidden ones is called a  
495 “constrained covering array” or CCA (Cohen et al. (2008)). The set of forbidden tuples are determined by  
496 the constraints under which a covering array is generated for the system under test.

497 Suppose that  $LHS$  and  $RHS$  are constrained covering arrays generated under constraints with strength  
498  $t$ . All rows in  $LHS$  are ensured to exist in  $WP$  and no new row is introduced according to Eq.(2) and  
499 Eq.(8). This is also true for  $RHS$ . This leads to Theorem 1.

#### Theorem 1.

$$set(project(WP, factors(LHS))) = set(LHS) \quad (10)$$

$$set(project(WP, factors(RHS))) = set(RHS) \quad (11)$$

500

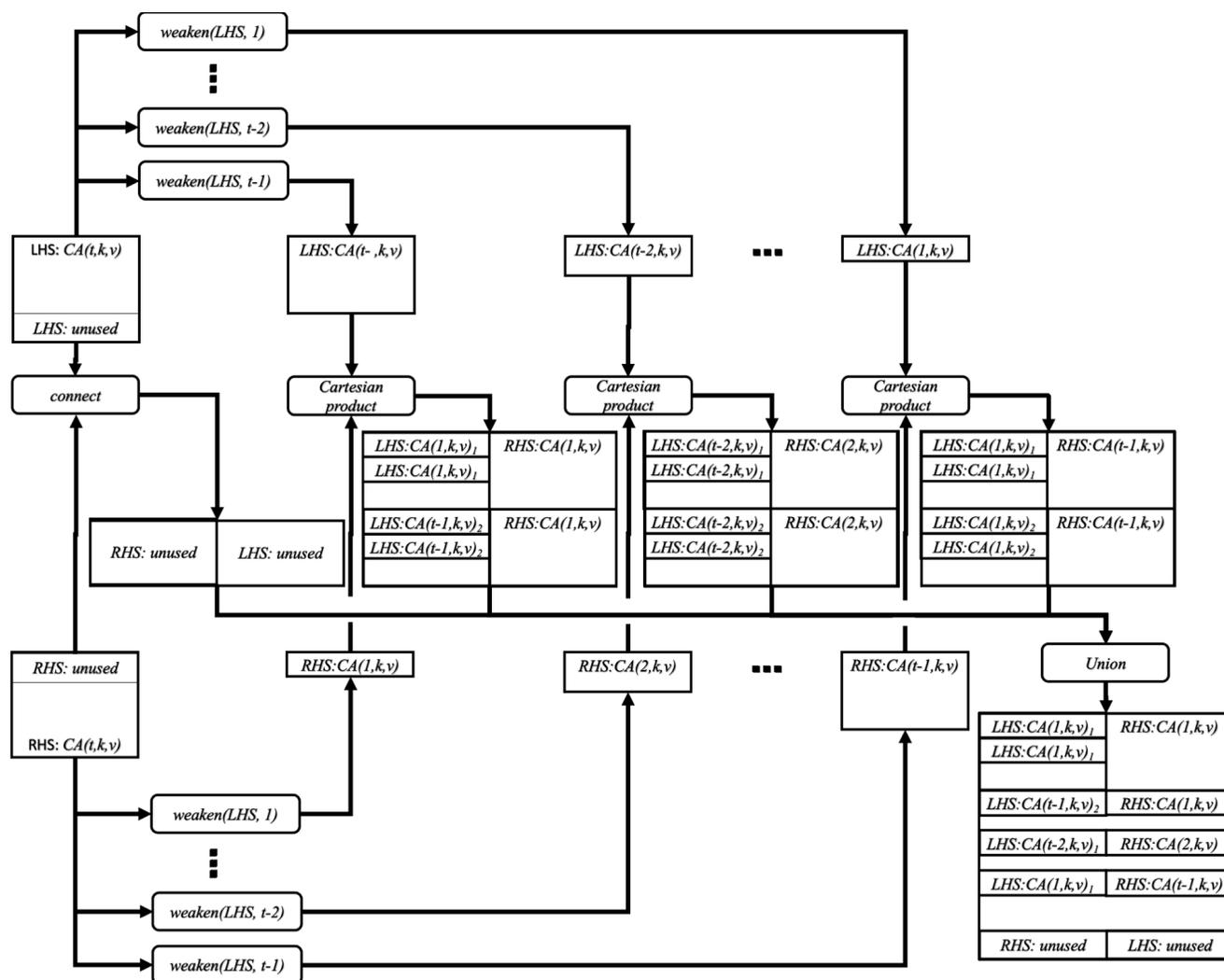


Figure 2. Joining two covering arrays by weaken-product based combinatorial join

501 We demonstrate that  $WP$  is a  $CCA$  generated under the constraints of  $LHS$  and  $RHS$ . From the  
 502 precondition of the operation, there is no constraint across  $LHS$  and  $RHS$ . It is clear that there is no  
 503 row that violates given constraints in  $WP$ . A tuple  $T$  ( $|T| = t$ ) that should be covered by  $WP$ , can be  
 504 categorized into three.

- 505 • A tuple inside  $LHS$  (Eq. (10)).
- 506 • A tuple inside  $RHS$  (Eq. (11)).
- 507 • A tuple across  $LHS$  and  $RHS$ .

508 All the tuples that should be covered by  $WP$  inside  $LHS$  and  $RHS$  are found in the array (Theorem 1).  
 509 In order to guarantee all the tuples across  $LHS$  and  $RHS$  are found in the  $WP$ , it is sufficient to include:

$$weaken(LHS, i) \times weaken(RHS, t - i) \quad (12)$$

510 where  $0 < i < t$ . Those are guaranteed to be in  $WP$  by the definition of the *weaken-product* operation  
 511 defined as Eq. (8).

512 Thus, we can construct a new  $CCA$  from the existing  $CCA$ 's without inspecting into neither the  
 513 semantics of the constraints nor the forbidden tuples defined for the input arrays. This allows users to  
 514 employ an approach, where different CIT tools to construct input covering arrays and then combine them  
 515 into one, later.

516 The same discussion holds for constructing  $VSCA$ , when input arrays are the covering arrays of the  
 517 higher strength than  $t$ .

### 518 3.4 General Definition of Combinatorial Join

519 We can generalize the operation we discussed in a way where our proposed method and Ukai et al. (2019)  
 520 can be considered as implementations of one abstract operation based on the ideas introduced in 3.2. This  
 521 improves the approach in our last work. The characteristics that are desired for the output of the operation  
 522 can be described as follows.

$$set(project(combinatorial\_join(LHS, RHS, t), factors(LHS))) = set(LHS) \quad (13)$$

$$set(project(combinatorial\_join(LHS, RHS, t), factors(RHS))) = set(RHS) \quad (14)$$

$$tuples(project(combinatorial\_join(LHS, RHS, t), t)) \supseteq tuples(LHS \times RHS, t) \setminus (tuples(LHS, t) \cup tuples(RHS, t)) \quad (15)$$

523 where  $tuples(A, t)$  is a function that returns a set of all the  $t$ -way tuples in an array  $A$ .

524 In this definition, note that any requirements are not placed on the input arrays. They do not need to  
 525 be even any sort of covering arrays. These characteristics ensure that the operation does not introduce a  
 526 new row that may violate constraints given to  $LHS$  or  $RHS$  and that it covers all the possible  $t$ -way tuples  
 527 in and across  $LHS$  and  $RHS$ .

## 528 4 EVALUATION

### 529 4.1 Research Questions

530 In order to evaluate our technique from the aforementioned perspectives, we are going to answer the  
 531 following research questions:

- 532 • **RQ1: Can our weaken-product combinatorial join technique accelerate the existing CIT**  
 533 **tools in covering array generation?**
- 534 • **RQ2: How are the sizes of covering arrays generated through our combinatorial join tech-**  
 535 **nique compared to the sizes of covering arrays generated without it?**
- 536 • **RQ3: Can our approach reuse test oracles?**

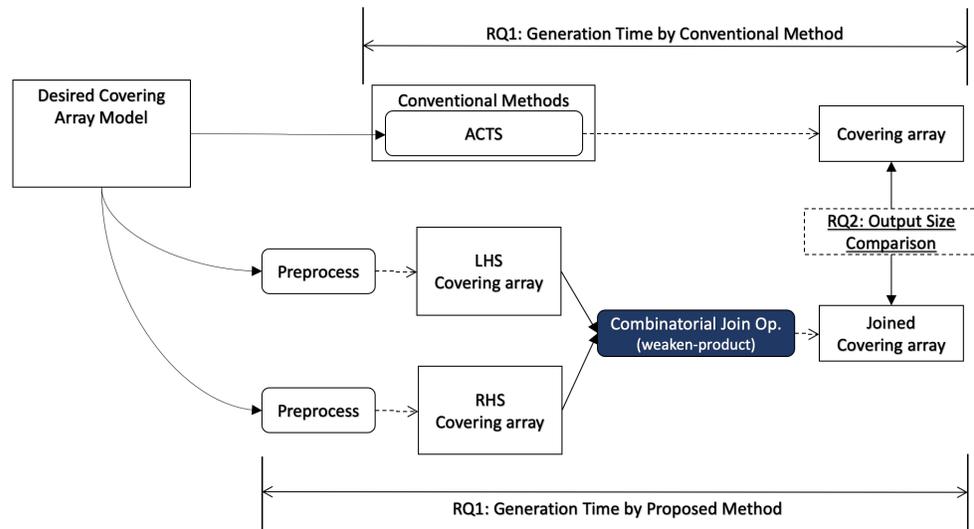


Figure 3. Research questions (overview)

537 • **RQ4: How can our approach handle constraints with flexibility?**

538 There is another approach that constructs a new covering array from existing ones (Zamansky et al.  
 539 (2017)). However it relies on converting an input array into a factor by reckoning each row in it as a level  
 540 of the factor. This approach is not practical unless the number of factors are small. Due to the scalability  
 541 issue, it is inapplicable to the experiment subjects used in our study. Hence, we are not going to compare  
 542 our approach's performance with their method but with that of ACTS.

543 **4.2 Evaluation Methodology**

544 In this section, we describe how we conduct evaluation to answer each research question, and we illustrate  
 545 how each research question relates to the covering array generation process in Figure 3.

546 In order to answer RQ1, we measure the execution time of our algorithm including necessary  
 547 preprocesses for the input data for a desired input model. The preprocess may contain a covering array  
 548 generation since our algorithm does not generate a covering array but it takes two covering arrays as input.  
 549 It will be compared with the execution time to generate a covering array using a conventional method for  
 550 the same desired output model.

551 In order to generate covering arrays in our experiments, we need an external tool that executes  
 552 the process and we chose ACTS for it. The reason why we chose ACTS is because it is not only  
 553 widely used but also the fastest one among the tools available for us. We considered PICT as another  
 554 choice, however it turned out to be too slow for our experiments because of its specification, where its  
 555 covering array construction with constraint handling requires exponential time along with the number of  
 556 factors (Czerwonka, Jacek (2016)).

557 Similarly, the sizes of the generated covering arrays by the proposed method and conventional method  
 558 are compared (RQ2).

559 When covering array generation is executed from scratch, the preprocess for the desired covering  
 560 array model consists of two parts as illustrated in Figure 4. One is to split the mode into LHS and RHS  
 561 and the other is to generate covering arrays for them respectively. For splitting the model, we can think of  
 562 some strategies. One is to divide the input into two groups each of which has the same number of factors.

563 Moreover, well-known covering array generation tools support a feature called “seeds” or “incremental  
 564 generation”, where an existing covering array is given as input whose rows are ensured to appear in output.  
 565 This feature enables users to reuse test cases, test results, test oracles, etc. along with the input covering  
 566 array. In this scenario (Figure 5), the requirements for the final output (“Desired Covering Array Model”  
 567 in the diagram) and base covering array for the conventional method are given as input. On the other hand,  
 568 for our method, the factors to be added to the seeds are given separately (“RHS Model” in the diagram)

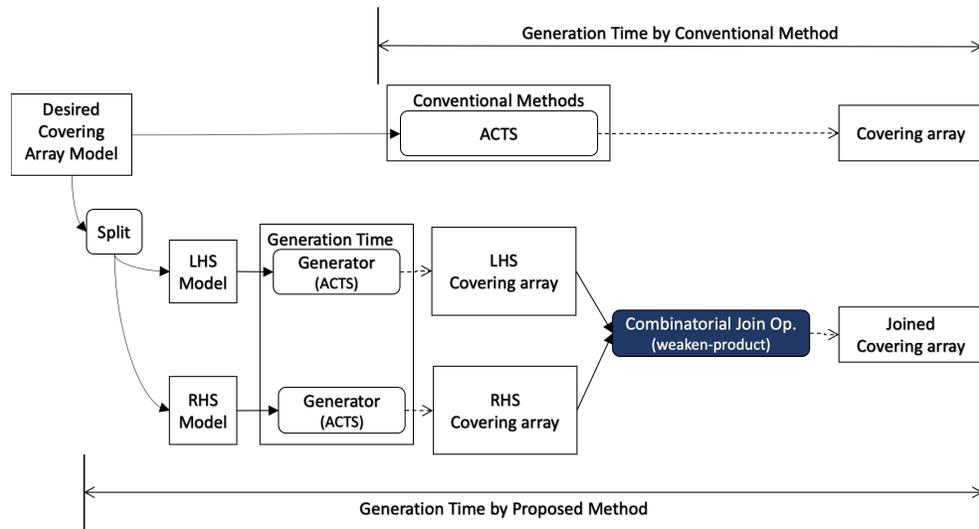


Figure 4. Scratch generation

569 and it is necessary to take into account the time to generate a covering array for it. However, the base  
 570 covering array can be used as LHS without any preprocessing.

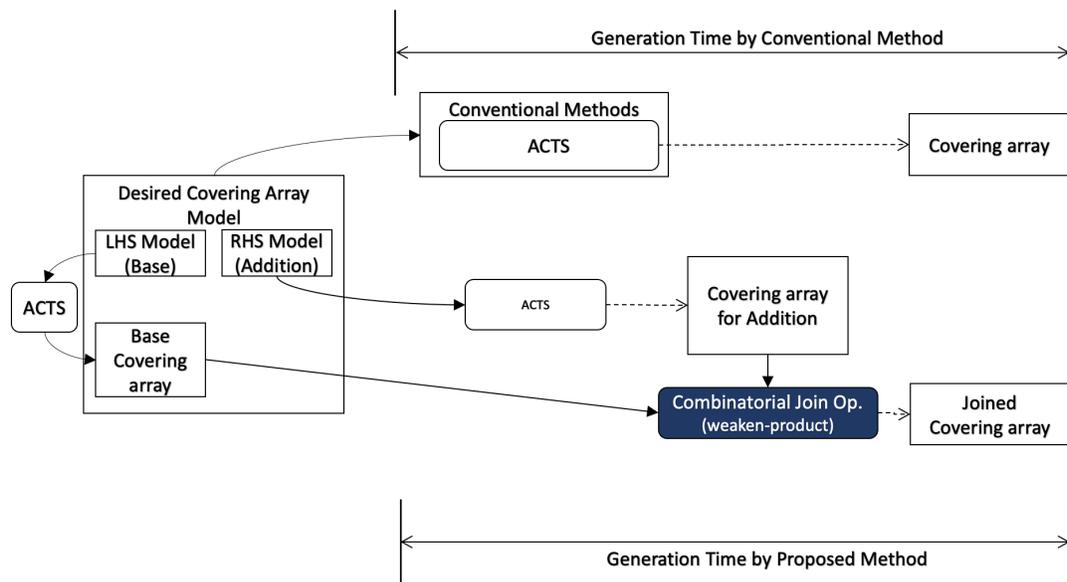


Figure 5. Incremental generation

571 Our approach constructs a new row by selecting rows from input arrays instead of constructing it  
 572 from scratch, so it has less options to optimize (minimize) the size of its output. As a result, our approach  
 573 cannot generate a smaller output array than the conventional method (RQ2). In order to answer RQ2, we  
 574 will compare the size of covering arrays generated by our method and the conventional method.

575 Those comparisons are conducted for artificial models designed based on our experience and well-  
 576 known models distributed as Real-world benchmark (Shaowei Cai (2020)). The artificial models cover  
 577 degrees from 20 up to 1,000.

578 Our approach allows us to reuse test cases defined as input covering arrays, but the reusability of test  
 579 oracles along with the input covering arrays is an independent question. In order to answer RQ3, we will

580 extend our previous work (Ukai et al. (2019)) by examining various scenarios where test oracles may be  
581 reusable or not.

582 Since constraint handling in CIT is an area actively being studied, there are a number of techniques  
583 each of which has its own pros-and-cons in performance, flexibility, and other aspects. Hence, it is  
584 beneficial to apply "divide-and-conquer" approach to generation of a covering array so that we can utilize  
585 multiple covering array generators in combination. We will answer RQ4 by examining the detail of the  
586 procedure to employ the technique to implement the approach.

### 587 4.3 Independent Variables

588 As mentioned already, we measure the generation time and size of output covering arrays (the **dependent**  
589 **variables** of our evaluation), for various set of settings along with different number of parameters. One  
590 suite of settings is characterized by *Generation Scenario* and *Desired Covering Array Model*, which  
591 usually consists of *Degree*, *Rank*, *Strength*, and *Constraint Set*. We describe each of these **independent**  
592 **variables** in our evaluation in the next sections.

#### 593 4.3.1 Generation Scenario

594 We define a couple of scenarios to generate a covering array using our weaken-product based combinatorial  
595 join approach.

- 596 1. Generating a covering array from scratch
- 597 2. Generating a covering array incrementally

598 The first one refers to a scenario, where a covering array is generated from a couple of given models.  
599 In this scenario, we can expect our approach can improve the overall generation time by executing a CIT  
600 tool concurrently and then combining the arrays generated by it. To maximize the improvement, the input  
601 arrays for the join operation should be generated in the same amount of time. Hence we use the same  
602 model for generating both *LHS* (left hand side) and *RHS* (right hand side) covering arrays.

603 For the second one, from an existing covering array, a new covering array with the specified degree  
604 and constraint set is generated. Incremental generation is useful when, for instance, you already have a  
605 covering array for a certain component and some attributes are added to the component. In this use case,  
606 there is already a test suite whose test oracles are defined for a covering array. By employing incremental  
607 join, you do not need to define test oracles for a completely new covering array. Such use case can be  
608 considered to happen when relatively a small number of new parameters are added to an existing system.

#### 609 4.3.2 Desired Covering Array Model

610 We use two different types of models for our experiments, one is an artificial model designed based on our  
611 experience. The other is a well known benchmark model distributed as "CASA" benchmarks (Shaowei  
612 Cai (2020)).

613 For the first one, we use a model for generating input covering array where there are  $n \times 20$  factors,  
614 each of which has 4 levels, where  $n$  is from 1 up to 49 depending on testing conditions, which results in  
615 980 factors at maximum. Hence, the number of parameters, *degree*, is ranging from 20 to 980 and the  
616 *rank* is always fixed to 4.

617 In the incremental generation scenario, the RHS array degree is also fixed to 10, while  $n$  moves from 1  
618 to 370 for the *LHS*, which is used as seeds. This is because it is considered that incremental generation is  
619 useful when you need to reuse test oracles defined with the initial covering arrays and it happens when  
620 you already have a test suite for a system under test and some parameters added to the system.

621 *strength* is the overall combinatorial coverage guaranteed in the output. In our experiments, we use 2  
622 and 3 because higher strength covering array generation in this degree is not practical since both of ACTS  
623 and our *weaken-product* algorithm were too much time consuming.

624 We can also think of a covering array some of whose factors can be considered a higher strength  
625 covering array, which is called a variable strength covering array (VSCA). By employing weaken-product  
626 based combinatorial join, we can think of a method to construct a VSCA. That is, if we give a couple of  
627 covering arrays each of whose strength is 3 or higher and perform a combinatorial join operation with  
628 strength 2, the operation results in a new VSCA. For VSCAs, we only conduct the scratch generation  
629 experiments.

630 The second one, real-world benchmark models, we use the original factors and constraints as they are  
 631 provided. The factors are split into two groups of factors, which are referenced by a constraint at least  
 632 once and which are not referenced by any constraints.

### 633 4.3.3 Constraint Set

634 In our evaluation for the artificial model, three *constraintsets* are prepared and used, which are none,  
 635 basic, and basic+.

636 There are real world practices that generate a combinatorial test suite from a high-level model such  
 637 as a regular expression or a finite state machine(Usaola et al. (2017), Bombarda and Gargantini (2020)).  
 638 Such high-level input models are turned into large parameter models with complex constraint sets and  
 639 then they are processed by CIT tools, hence it's hard to find any good benchmark factor-constraint sets for  
 640 such models. In order to simulate this situation, we expand and use a software model originally designed  
 641 to evaluate ACTS (Kuhn et al. (2008); Yu et al. (2013); Computer Security Research Center, NIST (2016))  
 642 by designing and generating various constraint sets for it.

643 The original model had only ten factors, we expand it by repeating the same factors and constraint set  
 644  $n$  times.

645 In order to observe how dependent variable behave when a different set of constraints is given, we  
 646 prepared three sets, which are “none”, “basic”, and “basic+”. The value “none” means no constraint was  
 647 specified on a covering array generation. If the value “basic” is specified, a set of constraint defined by a  
 648 following Equation (16) is used.

$$p_{10i+1} > p_{10i+2} \vee p_{10i+3} > p_{10i+4} \vee p_{10i+5} > p_{10i+6} \vee p_{10i+7} > p_{10i+8} \vee p_{10i+9} > p_{10i+2} (0 \leq i < n) \quad (16)$$

649  $n$  is a variable, which is used to control the number of degrees in an experiment. The other constraint  
 650 set is defined as follows.

$$\begin{aligned} (p_{10i+1} > p_{10i+2} \vee p_{10i+3} > p_{10i+4} \vee p_{10i+5} > p_{10i+6} \vee p_{10i+7} > p_{10i+8} \vee p_{10i+9} > p_{10i+2}) \\ \wedge p_{10i+10} > p_{10i+1} \\ \wedge p_{10i+9} > p_{10i+2} \\ \wedge p_{10i+8} > p_{10i+3} \\ \wedge p_{10i+7} > p_{10i+4} \\ \wedge p_{10i+6} > p_{10i+5} (0 \leq i < n) \end{aligned} \quad (17)$$

651 This was designed by adding several conditions to the “basic” set and made more complex than it in  
 652 order to understand how covering array generation is affected by complexity of given constraints.<sup>1</sup>

## 653 5 RESULTS

654 In this section, we present and discuss the results of our evaluation. All the experiments in this section are  
 655 executed on the computer with Intel(R) Core(TM) i9 2.40GHz (8 cores) CPU and 32GB memory working  
 656 on macOS Catalina Version 10.15.7.

### 657 5.1 Covering Array Generation Time

#### 658 5.1.1 Scratch Generation

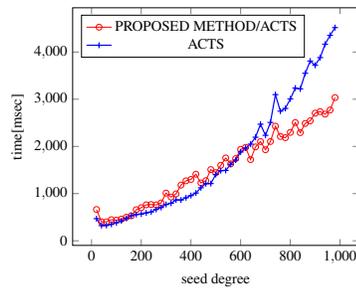
659 Figures 6, 7 and 8 compare the generation time between the covering arrays generated by our method  
 660 and ACTS, given a degree set to 1,000, as it represents a large scale industrial system specification 4.3.2.

661 As shown in the figures, our approach reduces the generation time by 21% to 25% or more, compared  
 662 to ACTS, when the strength is 2 and degree is 980.

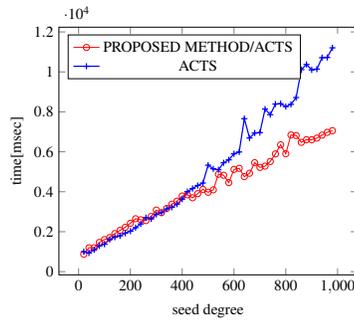
663 Figures 9, 10 and 11 compare the generation time between the covering arrays generated by our  
 664 method and ACTS, given a degree set to 380.

665 As shown in the figures, our approach reduces the generation time by 89% to 91% compared to ACTS,  
 666 when the strength is 3 and degree is 380.

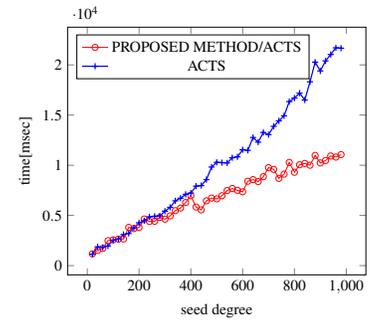
<sup>1</sup>This constraint can be simplified by manual transformation. However ACTS does not perform such a transformation by itself.



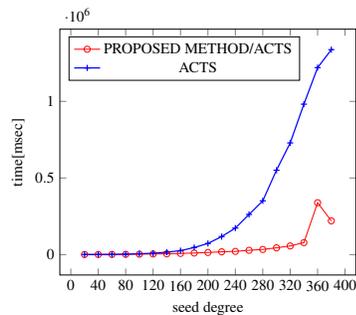
**Figure 6.** Scratch Generation;  $t=2$ ; constraint=none



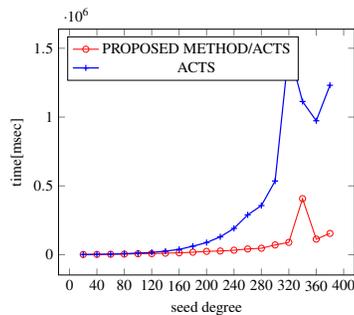
**Figure 7.** Scratch Generation;  $t=2$ ; constraint=basic



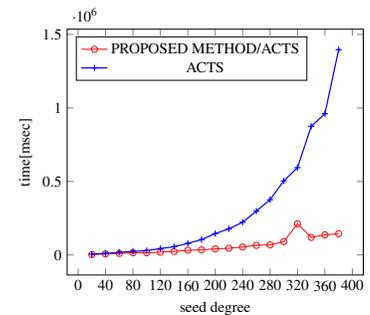
**Figure 8.** Scratch Generation;  $t=2$ ; constraint=basic+



**Figure 9.** Scratch Generation;  $t=3$ ; constraint=none



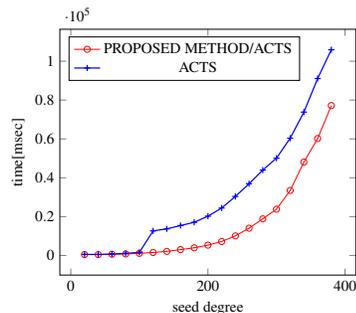
**Figure 10.** Scratch Generation;  $t=3$ ; constraint=basic



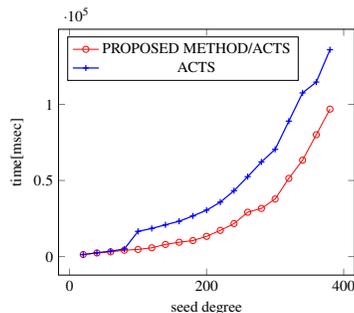
**Figure 11.** Scratch Generation;  $t=3$ ; constraint=basic)

### 667 5.1.2 Variable Strength Covering Array Generation Scenario

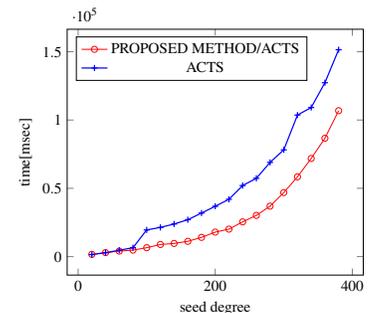
668 Figures 12, 13 and 14 compare the VSCA ( $t = 2, 3$ ) generation time between our method and ACTS,  
669 given a degree ranging from 20 to 380.



**Figure 12.** VSCA Generation;  $t=2$  and  $t=3$ ; constraint=none



**Figure 13.** VSCA Generation;  $t=2$  and  $t=3$ ; constraint=basic



**Figure 14.** VSCA Generation;  $t=2$  and  $t=3$  (constraint = basic+)

670 As shown in the figures, our approach reduces the generation time by 28% to 30% compared to ACTS,  
671 when the mixed strengths are 2 and 3 and degree is 380.

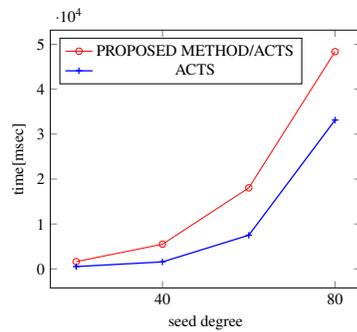
672 Figures 15, 16 and 17 compare the VSCA ( $t = 2, 4$ ) generation time between our method and ACTS,  
673 given a degree ranging from 20 to 160.

### 674 5.1.3 Incremental Generation Scenario

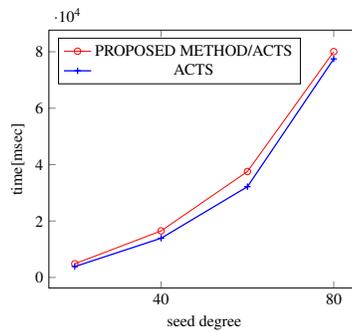
675 Figures 18, 19 and 20 compare the generation time between the covering arrays generated by our method  
676 and ACTS, given a degree set to 380.

677 As shown in the figures, our approach reduces the generation time by 84% to 98% compared to ACTS,  
678 when the strength is 2 and degree is 380.

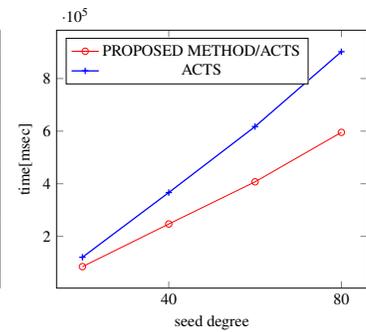
679 Figures 21, 22 and 23 compare the generation time between the covering arrays generated by our  
680 method and ACTS, given a degree set to 380.



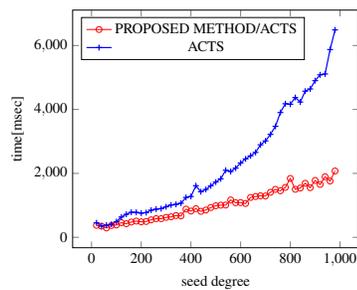
**Figure 15.** VSCA Generation;  $t=2$  and  $t=4$ ; constraint=none



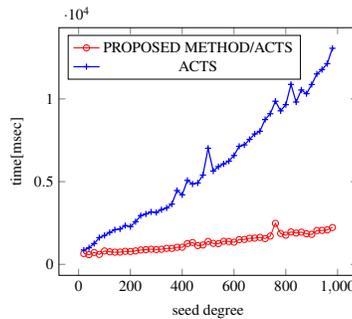
**Figure 16.** VSCA Generation;  $t=2$  and  $t=4$ ; constraint = basic



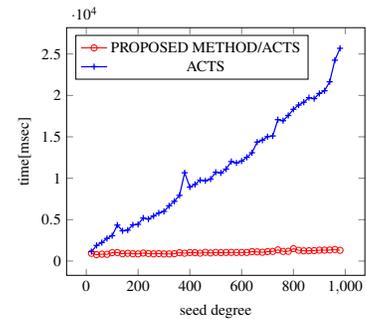
**Figure 17.** VSCA Generation;  $t=2$  and  $t=4$ ; constraint = basic+



**Figure 18.** Incremental Generation;  $t=2$  ; constraint=none)



**Figure 19.** Incremental Generation;  $t=2$ (constraint = basic)



**Figure 20.** Incremental Generation;  $t=2$ (constraint = basic+)

681 As shown in the figures, our approach reduces the generation time by 99% compared to ACTS, when  
682 the strength is 3 and degree is 380.

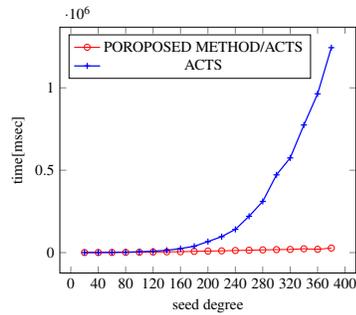
#### 683 5.1.4 Summary

RQ1: Can our weaken-product combinatorial join technique accelerate the existing CIT tools?

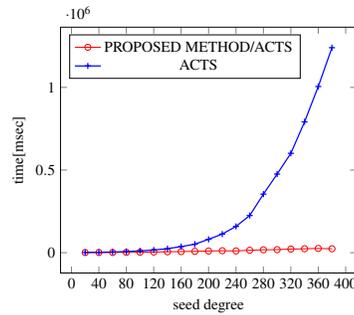
**Yes. When the degree is high (380 – 980), the acceleration is more significant. In strength 2, our approach reduces the covering generation time of synthetic systems by 33%–95%. It can accelerate the process by 84% – 99% in strength 3.**

684

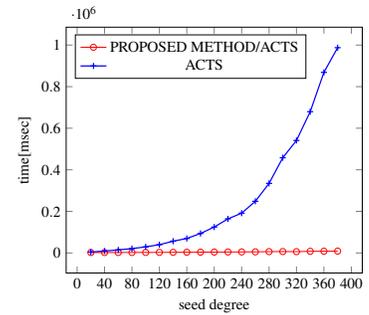
685



**Figure 21.** Generation time ;  
t=3(constraint = none)



**Figure 22.** Generation time ;  
t=3(constraint = basic)



**Figure 23.** Generation time ;  
t=3(constraint = basic+)

## 5.2 Generated Covering Array Size

### 5.2.1 Scratch Generation

Tables 1 and 2 show the sizes of generated covering arrays in *strength* is 2 and 3 respectively. In strength 2, the degree of output covering array was moved from 20 to 980.

The “size penalty” represents how much percentage the size is increased by our proposed method comparing to the conventional approach (ACTS), which is named as a “penalty” (in size) for gaining a faster generation time.

**Table 1.** Size of covering arrays; scratch;  $t = 2$ ;  $d = [20, 980]$

constraint set	none		basic		basic+	
	min	max	min	max	min	max
<b>PROPOSED METHOD based on ACTS</b>	75	117	74	116	31	65
<b>ACTS</b>	41	82	39	80	23	50
<b>Size Penalty with ACTS</b>	83%	43%	90%	45%	35%	30%

The size penalty is about 35% to 90% depending on the constraint set at degree=20 and it is decreased to 30 to 43% when the degree is increased to 980 in strength=2.

**Table 2.** Size of covering arrays; scratch;  $t = 3$ ;  $d = [20, 380]$

constraint set	none		basic		basic+	
	min	max	min	max	min	max
<b>PROPOSED METHOD based on ACTS</b>	295	1356	455	1214	176	724
<b>ACTS</b>	208	562	228	567	118	301
<b>Size Penalty with ACTS</b>	42%	141%	100%	114%	49%	141%

In strength 3, the size penalty is about 42% to 100% depending on the constraint set at degree=20 and it is increased to 114% to 141% when the degree is increased to 980 and constraint set is present.

### 5.2.2 Variable Strength Covering Array Generation Scenario

Tables 3 and 4 show the sizes of generated covering arrays in *strength* is 2 and 3 respectively.

We constructed a VSCA by splitting all the factors into two groups whose sizes are the same and they have higher strength than 2 ( $t=3$  and 4) inside while the strength across each other is 2.

When the VSCA’s strengths are 2 and 3, at the degree 20, the size penalty is 48-51% and it decreases down to 17-18% when the degree grows up to 380 (Table 3).

When the VSCA’s strengths are 2 and 4, we needed to limit the degree up to 80 since it was too much time consuming (over 5 minutes) for conducting experiments this time to construct such covering arrays by ACTS. The size penalty was 13-21% at *degree* = 20 and 4-21%(Table 3)

At the degree 20, the size penalty is -5-2.4% and it increases up to 2-2.7% when the degree grows up to 80(Table 4).

**Table 3.** Size of covering arrays; VSCA;  $t = 2, 3$ ;  $d = [20, 380]$ 

constraint set	none		basic		basic+	
	min	max	min	max	min	max
<b>PROPOSED METHOD based on ACTS</b>	163	330	191	339	88	176
<b>ACTS</b>	162	295	166	296	163	298
<b>Size Penalty with ACTS</b>	0%	8%	15%	8.8%	-46%	-43%

**Table 4.** Size of covering arrays; VSCA;  $t = 2, 4$ ;  $d = [20, 80]$ 

constraint set	none		basic		basic+	
	min	max	min	max	min	max
<b>PROPOSED METHOD based on ACTS</b>	721	1763	773	1786	297	806
<b>ACTS</b>	760	1735	774	1742	290	785
<b>Size Penalty with ACTS</b>	-5%	2%	0%	2.5%	2.4%	2.7%

### 708 5.2.3 Incremental Generation Scenario

709 Tables 5 and 6 show the sizes of generated covering arrays in *strength* is 2 and 3 respectively. We ran  
 710 experiments moving *LHS* (seeds) degree from 10 to 370 while the *RHS* degree is fixed to 10 for each  
 711 setting.

**Table 5.** Size of covering arrays; incremental;  $t = 2$ ;  $d = [20, 80]$ 

constraint set	none		basic		basic+	
	min	max	min	max	min	max
<b>PROPOSED METHOD based on ACTS</b>	75	124	74	122	31	65
<b>ACTS</b>	41	82	39	81	23	50
<b>Size Penalty</b>	83%	51%	90%	51%	35%	30%

712 In strength 2, the “size penalty” ranges from 80% to 89% in the *degree* = 20 while it decreases to  
 713 57% – 59%(Table 5) in strength 3.

714 The “size penalty” ranges from 41% to 100% in the *degree* = 20 while it decreases to 41% – 49%  
 715 when the degree is increased to 380(Table 6) in strength 3.

### 716 5.2.4 Summary

RQ2: How are the sizes of covering arrays generated by our combinatorial join technique compared to the sizes of covering arrays generated by the existing tools?

**In strength 2, our approach increases the size of output covering array by 35% – 90%, and the increase becomes 41% – 141% in strength 3. For VSCA generation, whose strengths are 2 and 3, it is -46% – 15%. When the strengths are 2 and 4, it becomes -5% – 2.7%. The increase in the size becomes smaller when the more factors and more complex constraints are given.**

717

718

**Table 6.** Size of covering arrays; incremental;  $t = 3$ ;  $d = [20, 380]$ 

constraint set	none		basic		basic+	
	min	max	min	max	min	max
<b>PROPOSED METHOD based on ACTS</b>	295	810	455	900	176	424
<b>ACTS</b>	208	562	228	567	118	301
<b>Size Penalty</b>	41%	44%	100%	60%	49%	41%

### 719 5.3 Reusability of Test Oracles by Our Method

720 Our previous work (Ukai et al. (2019)) discussed how combinatorial join technique is employed to reuse  
 721 test oracles over multiple software testing phases, in order to reduce total testing costs. The approach  
 722 reuses the test oracles manually designed in component level test in later testing phases such as integration  
 723 test, etc., by applying combinatorial join. The results of the work show that combinatorial join can reduce  
 724 overall testing cost by more than 55%, depending on the complexity of the SUT and the ratio of oracle  
 725 designing cost to test execution cost. However, there are further implicit assumptions behind that work,  
 726 which we intend to study and discuss more in this paper, list as follows:

- 727 1. Test oracles designed for one testing phase can be reused in the next testing phase.
  - 728 (a) Under what conditions the product under test should display the same behavior those oracles  
 729 expect in the phase they are reused?
  - 730 (b) Under what conditions such test oracles can detect what sort of bugs in the product under  
 731 test?
- 732 2. In a testing phase, where these oracles are reused, no or small amount of extra test oracles are  
 733 required.

734 We first examine these assumptions and further clarify the conditions where combinatorial join can  
 735 reduce overall testing costs. For simplicity, in this discussion, we model the testing effort into two phases,  
 736 “component level testing” and “system level testing”. We then evaluate our method proposed in this paper  
 737 based on those conditions.

738 The assumption 1 is based on a couple of other underlying assumptions: first, the same test oracles  
 739 can detect new bugs in later phases when a component is integrated into a larger system; the component  
 740 for which test oracles are designed should behave in the same way as before the integration.

741 In general, each component should be designed as much independent of each other as possible, and  
 742 therefore as long as factors included in a test suite for a certain component cover all the input that affects  
 743 the behaviour of it, the oracles defined in the component level are also valid in the system level testing  
 744 (1a).

745 If a bug is detected in system level testing but not component level testing given the same values, it  
 746 means some value combinations across multiple components are exercised in the system level testing,  
 747 which is impossible to find inside on single component. We can think of a few bug classes that would be  
 748 detected by this approach in the system level testing, such as “resource conflict”, “incorrect abstraction”,  
 749 and “unintended dependency”. Particularly “Resource conflict” refers to a bug that is triggered by  
 750 conflicting usage of resources shared among multiple components. A list of typical bug examples of this  
 751 class is shown as follows.

- 752 • **Data Corruption:** A component modifies shared data (such as system configuration, etc.) in a way  
 753 others do not expect. Or a component removes a directory in which other components expect their  
 754 data files to be placed, etc.
- 755 • **Out Of Resources:** A component consumes or occupies resources (e.g., memory, disk space,  
 756 network band width) more than it is allowed.
- 757 • **Dead Lock:** A component locks a resource (database table, file, shared memory), which others try  
 758 to access, but does not unlock it.

759 Oracles to detect the “Out Of Resources” and “Dead Lock” are defined in a way agnostic to input  
760 parameters. That is, for instance, an oracle for “Out Of Resource” will be described as “An out of memory  
761 error should not be thrown during a test execution”, which does not require any cost to re-design for new  
762 input parameters. Therefore the “Data Corruption” is the only group of bugs among above, where reusing  
763 test oracles by combinatorial join leads to a testing cost reduction. A bug reported by Yoonsik Park (2018)  
764 is one instance in this class, where a bug that survived all unit tests for the Linux Kernel eventually caused  
765 data corruption in the QEMU virtual machine on the kernel.

766 Another class of bugs is “Incorrect Abstraction”. A system sometimes has a component responsible  
767 for “abstracting” yet a lower level of components. For instance a graphic card driver is such an abstracting  
768 component and a graphics card is an example of a lower level component. When another component is  
769 accessing system’s graphics capability, it expects the capability works transparently regardless of the type  
770 of graphics card and its performance parameter settings. However, when a depending component assumes  
771 a specific behavior for the abstracting component (graphics driver), but it is only satisfied by specific  
772 implementations (a graphics card), this class of bugs will be observed. These bugs remain undetected  
773 until system level tests when the specification of the abstraction component is not sufficiently defined  
774 or the testing coverage over the abstraction component is insufficient. A bug found for Ubuntu (Linux)  
775 and Nvidia graphics card combination that produced unintended noise belongs to this class (Nvidia  
776 Corporation (2019)) and it could be avoided if they had an appropriate test oracle for the input.

777 Sometimes a component unintentionally depends on a fact not always true when it is used as a part of  
778 the entire system. For instance, if a developer misses a requirement for the product, where it needs to be  
779 run not only on Linux but also on Windows and assumes a file separator can only be “/”, the product will  
780 break at the system level test, if the “OS” component is integrated in the testing phase. This is a class  
781 of bugs we referred to as “unintended dependency”. For instance, bugs are introduced by lack of such  
782 dependency considerations (Netty Project Community (2016), Kohsuke Kawaguchi (2020)) sometimes.

783 These could be detected if there were test oracles for normal functionality of SUT (i.e., checking if  
784 the Netty or Jenkins starts up and it responds to basic requests) and the test cases with these oracles were  
785 exercised with the properly set-up configuration (i.e., *installation=upgrade*, *OS=MicrosoftWindows*,  
786 *dotNetVersion=4.0*). However, the parameters are coming from different components (*installation* mode  
787 is a parameter of Jenkins and the *OS* and *dotNetVersion* are platform parameters) and only the specific  
788 combination can trigger the issue. This means just reusing oracles is not sufficient to detect them but also  
789 guaranteeing to cover combinations between parameters is necessary, which our method enables without  
790 resorting to Cartesian product between two covering arrays.

791 The assumption 2 is satisfied if there exists a component which faces a consumer of the entire system  
792 among the components under the test and a test suite for the component can also be used as a test suite for  
793 the entire system level testing. This can be valid when the system level testing phase is only focusing  
794 on functionality, but this is not true in general. In usual testing practices, aspects that are not examined  
795 in earlier phases, such as performance, availability, scalability, etc., need to be more focused in later or  
796 the last testing phase and thus, the assumption is not always valid for all software development projects.  
797 Although having discussed that, when a consumer facing component is present, costs in system level  
798 testing for functionality aspect of the system will be reduced by the approach.

799 Briefly, reusing test oracles by combinatorial join makes it possible to detect some classes of bugs  
800 which were not found in component level testing can be detected in system-level testing without re-  
801 defining test oracles such as “Data Corruption caused by Resource conflict”, “Incorrect abstraction”, and  
802 “Unintended dependencies between components”. At the same time by allowing test oracles to be reused,  
803 functionality testing cost can be reduced in system level testing.

804 In order to make the functionality testing cost reduction happen, there are prerequisites as follows:  
805 First, test execution cost is much less expensive than oracle designing cost, which is made possible by  
806 testing automation. Second, there exists a component or components that cover most of consumer facing  
807 functionalities. Lastly, system level testing is mainly focusing on functionality testing.

808 Since our proposed algorithm “weaken-product based combinatorial join” is just an implementation  
809 of the operation, those benefits and requirements are also held for it.

RQ3: What benefits does reusing test oracles across testing phases by weaken-product based combinatorial join deliver and in what conditions?

**Reusing test oracles by combinatorial join can detect new bugs in system-level testing that are not found in earlier testing phases without extra manual effort.**

810

811

#### 812 5.4 Flexibility of Weaken Product Combinatorial Join

813 The combinatorial join operation produces a new covering array from two existing covering arrays, it does  
814 not create a new combination of values or handle constraints by itself. In other words, it does not matter  
815 how the existing arrays are generated. In our experiments so far, we only chose ACTS for generating the  
816 input arrays due to its popularity and high performance, but in actual use case scenarios, any combinations  
817 of CIT tools can be utilized for the generation, depending on the actual requirements, characteristics and  
818 availability of tools, among other factors. Known CIT tools have different characteristics in performance  
819 (i.e., generation time), size efficiencies and functionalities, as described in Table 7. As we can see from  
820 the table, each tool has its own strengths and weaknesses. We summarize them as follows.

- 821 • ACTS has the best efficiency in time and size almost all the time.
- 822 • PICT provides more readable notation for defining data and constraints than ACTS, though ACTS  
823 is still able to define the same data and constraints with much less readability.
- 824 • JUnit has the richest functionalities in handling various data types and constraints and its notations  
825 are the most readable among the three. Some of its functionalities (e.g., defining a constraint using  
826 a regular expression) cannot be replaced with neither ACTS nor PICT.

827 Given these characteristics, an optimal approach to build a covering array is proposed as follows:

- 828 1. Generate a covering array *A* using ACTS for factors with constraints that can be implemented easily  
829 and directly by ACTS, or factors without any constraint.
- 830 2. Generate a covering array *B* using JUnit for factors with constraints that cannot be implemented  
831 by ACTS.
- 832 3. Combine covering arrays *A* and *B* using the combinatorial join operation.

833 This approach enhances applicability of CIT where any single tool cannot generate an appropriate  
834 test suite easily, efficiently, or even possibly. For instance, if an SUT has specification that involves too  
835 complex constraints for ACTS and/or too many factors for JUnit to generate a test suite, this proposed  
836 approach makes it possible to use CIT methodology for testing such SUT.

837 In summary, the combinatorial join operation is agnostic to how input arrays are generated and  
838 therefore it makes possible to combine multiple methods to build one covering array. As shown in this  
839 discussion, there are various tools each of which has its own distinct pros and cons and it is beneficial to  
840 employ the combinatorial join technique to combine covering arrays built by different tools.

**Table 7.** Data types, Constraint Handlings, and Covering Array Generation Performance for  $2^{100}$  by Various Tools

	Types	Available Operators				Performance	
		Comparison	Mathematical	Logical	Conditional	Size	Time
<b>ACTS</b>	bool, number, enum, range	<, <=, =	+, -, *, /	&&,   , !	Not Supported	14	< 1.0 sec
<b>PICT</b>	string, numeric	>, >=, <, <=, <>, =	Not supported	AND, OR, NOT	IF/THEN/ELSE	15	< 1.0 sec
<b>JUnit</b>	All Java types	All Java operators	All Java operators	All Java operators	All Java operators	18	6.5 sec

RQ4: How can our approach handle constraints with flexibility?

**It enables to build a covering array for a model with numerous parameters and complex constraints using multiple CIT tools in combination, by taking full advantage of the strength of each tool, such as ACTS for its high performance and JUnit for its rich constraint handling support.**

841

## 842 5.5 Performance in Various Scenarios

843 We also examine the proposed method's performance in time and size with a few settings to verify its  
844 applicability.

### 845 5.5.1 Higher Strength

846 We examine the behavior of our proposed method in strength 4 and 5. Since the generation time by ACTS  
847 itself becomes very long rapidly and it takes more than 20 to 30 minutes for one execution, the experiment  
848 was limited in degree and constraint sets. In strength 4, the maximum strength was 60. In strength 5,  
849 the maximum strength was 40 and it was not possible to conduct the experiment with the constraint set  
850 "BASIC+".

**Table 8.** Covering array generation performance; scratch;  $t = 4$

CONSTRAINT SET	DEGREE	ACTS		ACTS + PROPOSED METHOD		SIZE PENALTY	TIME REDUCTION
		SIZE	TIME[msec]	SIZE	TIME[msec]		
NONE	20	1,134	990	1,405	2,259	23.9%	128.2%
	40	2,027	196,226	4,649	73,864	129.4%	-62.4%
BASIC	20	1,236	8,756	1,958	4,884	58.4%	-44.2%
	40	2,041	247,151	4,261	123,471	108.8%	-50.0%
BASIC+	20	537	197,244	729	82,074	35.8%	-58.4%
	40	945	909,183	1,817	453,700	92.3%	-50.1%

**Table 9.** Covering array generation performance; scratch;  $t = 5$

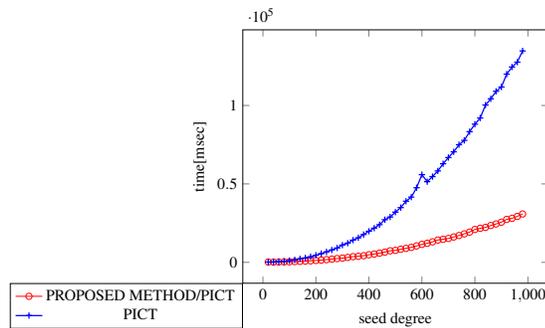
CONSTRAINT SET	DEGREE	ACTS		ACTS + PROPOSED METHOD		SIZE PENALTY	TIME REDUCTION
		SIZE	TIME[msec]	SIZE	TIME[msec]		
NONE	20	5,746	12,771	6,187	54,122	7.7%	-50.1
	40	N/A	N/A	45,108	4,773,071	N/A	N/A
BASIC	20	6,192	152,885	8,637	86,623	39.5	-43.3
	40	N/A	N/A	N/A	N/A	N/A	N/A
BASIC+	20	N/A	N/A	N/A	N/A	N/A	N/A
	40	N/A	N/A	N/A	N/A	N/A	N/A

### 851 5.5.2 PICT

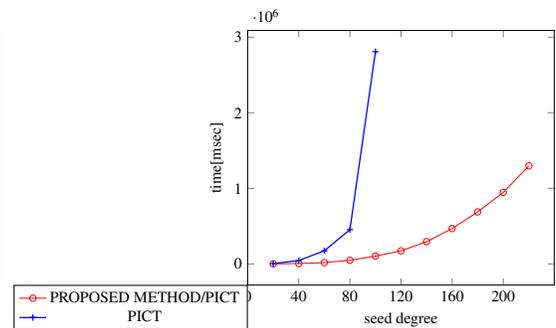
852 The proposed method does not generate a covering array itself but it construct a new covering array from  
853 ones generated by an external tool, which can be any CIT tool. To make sure our method can be applied  
854 to non-ACTS tool, we measure its performance using PICT as the underlying covering array generation  
855 engine. PICT was not able to generate covering arrays when the constraint sets we prepared were present  
856 even when the *degree* = 20 in 30 minutes. Also, when  $t = 3$ , it took more than 30 minutes to generate a  
857 covering array for degrees greater than 100.

858 The Figure 24 and 25 compare the generation time between our method with PICT and PICT itself  
859 in strength 2 and 3 respectively. The Table 10 and 11 show the size of the generated covering array in  
860 strength 2 and 3. The proposed method accelerates the covering array generation up to 76% and the size  
861 penalty was 16% – 56 % in strength 2.

862 In strength 3, the acceleration was 96% and the size penalty was 71%.



**Figure 24.** Scratch Generation;  $t=2$ ;  
constraint=none



**Figure 25.** Scratch Generation ;  $t=3$ ;  
constraint=none

**Table 10.** Size of covering arrays; scratch;  $t = 2$ ;  $d = [20, 980]$ ; *PICT*

constraint set	none		basic		basic+	
	min	max	min	max	min	max
<b>PROPOSED METHOD based on PICT</b>	61	94	N/A	N/A	N/A	N/A
<b>PICT</b>	39	81	N/A	N/A	N/A	N/A
<b>Size Penalty with ACTS</b>	56%	16%	N/A	N/A	N/A	N/A

### 863 5.5.3 Real World benchmark

864 There is a data model suite called CASA for CIT tools and we applied ACTS and the proposed method to  
865 it.

866 Table 12 compares the time to generate covering arrays and the size of the generated covering array  
867 from the models contained in the real-world benchmark data set in strength=2.

868 As shown in the table no significant difference was observed in strength 2.

869 Table 13 compares the performance for generating covering arrays from the models in strength=3.

870 In strength 2, up to 24% acceleration is seen, while 38-130% increase in size is seen and the penalty is  
871 in general larger in models whose degrees are smaller (Table 12). Similarly, the method accelerates the  
872 generation process maximum 42%, while 16-90% increase in size is seen in strength 3, and the penalty is  
873 the larger in the smaller models (Table 13).

**Table 11.** Size of covering arrays; scratch;  $t = 3$ ;  $d = [20, 100]$ ; *PICT*

constraint set	none		basic		basic+	
	min	max	min	max	min	max
<b>PROPOSED METHOD based on PICT</b>	363	363	N/A	N/A	N/A	N/A
<b>PICT</b>	226	692	N/A	N/A	N/A	N/A
<b>Size Penalty with ACTS</b>	60%	71%	N/A	N/A	N/A	N/A

**Table 12.** Covering array generation performance; scratch;  $t = 2$ ; *CASA*

	ACTS		ACTS + PROPOSED METHOD		SIZE PENALTY	TIME REDUCTION
	SIZE	TIME[msec]	SIZE	TIME[msec]		
<b>APCHE</b>	33	939	60	712	45.0%	-31.9%
<b>BUGZILLA</b>	19	499	28	476	32.1%	-4.8%
<b>GCC</b>	23	719	30	698	23.3%	-3.0%
<b>SPINS</b>	26	472	38	520	31.6%	9.2%
<b>SPINV</b>	45	644	84	630	46.4%	-2.2%
<b>TCAS</b>	100	446	120	498	16.7%	10.4%

**Table 13.** Covering array generation performance; scratch;  $t = 3$ ; *CASA*

	ACTS		ACTS + PROPOSED METHOD		SIZE PENALTY	TIME REDUCTION
	SIZE	TIME[msec]	SIZE	TIME[msec]		
<b>APCHE</b>	173	5151	269	3382	35.7%	-52.3%
<b>BUGZILLA</b>	68	572	104	596	34.6%	4.0%
<b>GCC</b>	108	5,615	203	3,251	46.8%	-72.7%
<b>SPINS</b>	98	497	186	516	47.3	3.7%
<b>SPINV</b>	286	982	495	939	42.2%	-4.6%
<b>TCAS</b>	405	488	471	537	14.0%	9.1%

## 874 5.6 Summary and Discussion

875 The proposed method offers a way to reuse test oracles designed in earlier testing phases (e.g., unit  
876 testing) in later ones such as integration and system testing phases. Moreover, the method can accelerate  
877 covering array generation under complex constraint sets and this enhances applicability of combinatorial  
878 interaction testing tools with richer functionalities and poorer performance since the method is transparent  
879 to underlying generation algorithms.

880 Therefore, in situations where the test execution time matters much less than the test generation  
881 time, the proposed method will be useful, from a comprehensive perspective. For example, in a software  
882 development project, in which test execution is highly automated not only in unit testing but also in later  
883 testing phases such as integration testing and system testing. Specifically, the increase in size goes up to  
884 141% while it reduces generation time by up to 99% when the method is applied to enhance a covering  
885 array. Besides, in a situation where the constraints are more complex or the degree is larger, our proposed  
886 method shows more significant benefit, because the size penalty becomes smaller and the time reduction  
887 is greater when the constraint set is more complex and the degree is larger. The aforementioned situations  
888 show that our proposed method is beneficial, even with the non-trivial size penalty at times.

889 The proposed method accelerates an existing covering array generation algorithm by combining output  
890 of it at the cost of increase in output size.

891 The reduction varies from 13% to 99% depending on generation scenarios and degrees of the method's  
892 output.

893 However, a size of a generated covering array becomes significantly larger especially when the degree  
894 is low. This is because the method can only utilize existing rows input arrays and not allowed to construct  
895 a new row to optimize the output size.

896 The increase in size goes up to 141% while it reduces generation time by up to 99% when the method  
897 is applied to enhance a covering array. In general, The size penalty becomes smaller and the time reduction  
898 is greater when the constraint set is more complex and the degree is larger.

899 Although the increase in size is significant and it needs to be used with consideration, it will still be  
900 beneficial.

901 First, as shown in Figures 6 – 11, the generation time grows more rapidly along with the degree  
902 than linear, it becomes impractical quickly as the degree increases. Our approach first uses the engine  
903 to generate two smaller covering arrays and then combines them later. This approach enhances the  
904 applicability of current generation tools to areas where it has not been practical due to too many parameters  
905 and too long generation time. But with our approach, the large number of parameters are split into two sets,  
906 and the generation engine only handles half of the parameters, which may largely reduce the generation  
907 time.

908 There are situations where a cost to change a value for a testing parameter is extremely different,  
909 such as some parameters require OS re-installation while some others can be changed just by operating  
910 an application. In this situation, we can generate covering arrays for OS parameters and for application  
911 parameters independently and combine them into one by our approach. Since the proposed method does  
912 not create a new row, the overall test execution cost will be reduced because the size penalty of the method  
913 is 140% at maximum while the OS installation cost is far more expensive than application operating cost.

914 When a user needs to add some parameters to an existing test suite, "seeding" functionality of a CIT  
915 tool has been used. As it was shown in Figure 20 and 19, the generation time was dramatically reduced,  
916 when the method is applied to this use case. This is because the conventional method needs to examine  
917 coverage of the input covering array first, which is time consuming and unnecessary for the proposed  
918 method. Since the size penalty for this use case is relatively modest (30%–60%), if test case design  
919 time matters more than execution time because of testing automation, for instance, it will be a practical  
920 solution.

921 It is a limitation of the proposed method not to be able handle constraints defined across LHS and  
922 RHS and we will address this point in future.

## 923 6 CONCLUSION

924 The "combinatorial join" operation, which was first introduced in Ukai et al. (2019), combines two  
925 existing covering arrays to create a new covering array horizontally. In this paper, we proposed a novel  
926 algorithm called the "weaken-product based combinatorial join", which implements the operation.

927 We evaluated the algorithm from several aspects with regard to execution time and the size of an  
928 output array. We examined its performance in three scenarios as follows:

- 929 • Scratch generation
- 930 • Incremental generation
- 931 • VSCA generation

932 The improvements by our method in time efficiency were 33%–90%, 66%–99%, and 13%–34%  
933 respectively for Scratch, Incremental, and VSCA generation scenarios (RQ1). Although this method  
934 produces larger covering arrays than the conventional method, the increase in size remained reasonable in  
935 some practical use cases (RQ2). For instances, test execution is highly automated and the number of test  
936 cases less matters; the costs to change parameter values in test cases are very unbalanced; or several new  
937 parameters are added to an existing test suite.

938 In addition, our algorithm has other benefits as follows:

- 939 • Reusing test oracles across multiple testing phases (Oracle Reuse).
- 940 • Employing multiple covering array generation tools (Divide-and-Conquer).

941 For Oracle Reuse, we reviewed the discussion in the original paper (Ukai et al. (2019)) and clarified the  
942 assumptions that were not explicitly stated. We identified three classes of bugs that can be detected by that  
943 approach, which are “resource conflict”, “incorrect abstraction”, and “unintended dependencies between  
944 components”. To detect such bugs, a test suite for each component must be designed as independently  
945 as possible and must be fully described so that a consumer of the component can expect it to behave as  
946 defined by the reused oracle. The original paper asserted that the method can significantly reduce the total  
947 testing costs. We clarified that such a reduction is possible when the consumer-facing component of the  
948 test suite can be reused as a system-level test for functionality testing (RQ3).

949 To evaluate the benefits of the “Divide-and-Conquer”, we examined three well-known CIT tools,  
950 ACTS, PICT, and JUnit. Specifically we evaluated their abilities to define test models, generation time  
951 and size efficiencies. Because existing tools have drastically different characteristics, it may be beneficial  
952 to apply multiple tools to construct one covering array. We had the following observations in this study:

- 953 1. Of the three CIT tools, ACTS was the fastest and produced the smallest covering array for factors  
954 without constraints or with simple constraints.
- 955 2. JUnit had the most powerful notation to describe constraints for factors with a complex constraint  
956 set.
- 957 3. No single CIT tool is capable of handling software with industry scale and complexity.

958 As discussed in 5.6, testing parameters sometimes have quite different value changing costs. An OS-level  
959 parameter such as file system type might take hours to change, while an application level parameter value  
960 such as a text font type takes less than a second. In this situation, it becomes possible with this approach  
961 to generate an LHS covering array for OS parameters and RHS for application parameters and join them  
962 to construct a t-way-combination-covering test suite. This approach offers a way to guarantee t-way  
963 coverage among the OS parameters and application parameters without preparing a new OS installation  
964 nor executing all the test cases coming from the RHS(application) covering array on a configuration  
965 defined based on each row in LHS(OS).

966 Different CIT tools have different characteristics in terms of generation time, output size, and  
967 especially constraint describing capability. Our proposed method combines covering arrays regardless  
968 of the generation tools, therefore for each given input covering array (or sub-model), we may choose  
969 the most effective (e.g., that can describe complex constraints) and efficient (e.g., short time and small  
970 size) CIT tool for generation. In addition, different CIT tools may use different modeling languages to  
971 describe models, our proposed method does not require an universal modeling language to construct a  
972 single covering array, given its capability to combine all sub-models which may describe in different  
973 languages.

974 In summary, our approach can enhance the applicability of the CIT technique for software whose  
975 specifications are typically considered too complex for ACTS or too large for JUnit (RQ4).

976 The proposed method delivers acceleration of covering array generation while it requires an increase  
977 in output size. It provides a new efficient option to generate a covering array for non simple use cases,  
978 such as incremental generations, input models with complex constraint sets, and VSCA generations,  
979 which have been relatively less studied, by enabling "divide-and-conquer" approach. The increase in the  
980 size comes from the step to ensure all the input rows appear in the output (Step 3 in Figure 1). We will  
981 improve this point to minimize the output size and the applicability of the method in our future works.

## 982 **6.1 Threats to Validity**

983 We designed the artificial model to simulate a situation where factors and constraints are automatically  
984 generated from a human friendly model. However to what extent it is representing practical situations is  
985 arguable. For instance, the rank is fixed to four, while in practice it may vary and the same constraint is  
986 repeated in the model, while its complexity also varies in the more realistic situations. We assumed that it  
987 is possible to convert such a high-level constraint into ACTS's notation in a short amount of time, which  
988 is also arguable.

989 The evaluation of the output size was based on the best practices and experiences of the first author's  
990 development team for an industry-scale software product. The conclusion may not be applicable to teams  
991 and/or other software products in different sizes.

### 992 **6.1.1 Conclusion Validity**

993 We did not conduct statistical verification over our experiments results and this can be a threats to  
994 conclusion validity. However, the elements involved in the experiments all consist of deterministic  
995 algorithms and we do not need such a procedure for the output sizes. On the other hand, the generation  
996 time grew monotonically along with the degree always except for scratch generation scenario in  $t=3$  and  
997 degree is 340 overall. Hence we consider that the threat is not major in our conclusion.

### 998 **6.1.2 External Validity**

999 Our experiments were mainly conducted on synthetic data models. The intention was to simulate tools  
1000 that generate a large number of factors and constraints from high-level models such as regular expressions  
1001 and finite state machines. However, there is no general best practice for converting a high level model into  
1002 an input parameter model and the data model we used might not reflect practical situations. To mitigate  
1003 this, we conducted experiments using real world data sets called CASA.

## 1004 **6.2 Future Work**

1005 Our approach assumes that there is no constraint defined across *LHS* and *RHS*. However, it is usual not to  
1006 have such an assumption in practical situations, especially when we construct a VSCA for a system with  
1007 multiple components. From the technical point, sometimes it is even impossible to define a combinatorial  
1008 join operation when constraints across *LHS* and *RHS* are present. For instance, if the strength of *LHS*  
1009 and *RHS* is  $t$  and there is a constraint across them which involves more than  $t$  parameters in either *LHS*  
1010 or *RHS*, there might not exist sufficient rows to cover all  $t$ -way tuples or even any row that satisfies the  
1011 constraint at all. As one of our future works, we intend to study the exact criteria where the operation can  
1012 be meaningful, and design an efficient algorithm to perform the operation under the situation that satisfies  
1013 such criteria.

1014 Our approach generates covering arrays of larger size than other tools, particularly when the strength  
1015 is higher than 2. As one of the future work, we intend to apply a squashing technique to diminish a  
1016 redundant covering array.

1017 Lastly, our current algorithm is sufficiently fast in strength 2 and 3, but it may become less efficient in  
1018 strength 4 or greater. It is known that a bug can be found in a strength up to 6 or 7 (Kuhn et al. (2016)).  
1019 Therefore, in order to improve the applicability of our approach in practice in high strength, our algorithm  
1020 needs further improvement.

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