

Sharing diverse information gets driver agents to learn faster: an application in *en route* trip building

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With the increase in the use of private transportation, developing more efficient ways to distribute routes in a traffic network has become more and more important. Several attempts to address this issue have already been proposed, either by using a central authority to assign routes to the vehicles, or by means of a learning process where drivers select their best routes based on their previous experiences. The present work addresses a way to connect reinforcement learning to new technologies such as car-to-infrastructure communication in order to augment the drivers knowledge in an attempt to accelerate the learning process. Our method was compared to both a classical, iterative approach, as well as to standard reinforcement learning without communication. Results show that our method outperforms both of them. Further, we have performed robustness tests, by allowing messages to be lost, and by reducing the storage capacity of the communication devices. We were able to show that our method is not only tolerant to information loss, but also points out to improved performance when not all agents get the same information. Hence, we stress the fact that, before deploying communication in urban scenarios, it is necessary to take into consideration that the quality and diversity of information shared are key aspects.

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10 ABSTRACT

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12 in a traffic network has become more and more important. Several attempts to address this issue
13 have already been proposed, either by using a central authority to assign routes to the vehicles, or by
14 means of a learning process where drivers select their best routes based on their previous experiences.
15 The present work addresses a way to connect reinforcement learning to new technologies such as
16 car-to-infrastructure communication in order to augment the drivers knowledge in an attempt to accelerate
17 the learning process. Our method was compared to both a classical, iterative approach, as well as to
18 standard reinforcement learning without communication. Results show that our method outperforms both
19 of them. Further, we have performed robustness tests, by allowing messages to be lost, and by reducing
20 the storage capacity of the communication devices. We were able to show that our method is not only
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22 information. Hence, we stress the fact that, before deploying communication in urban scenarios, it is
23 necessary to take into consideration that the quality and diversity of information shared are key aspects.

24 INTRODUCTION

25 With the COVID-19 related pandemic, there has been several reports that the use of private transportation
26 means (e.g., individual vehicles) is increasing as people try to avoid public transit as much as possible.
27 This leads to even more congestion and hence makes the question of selecting a route to go from A to
28 B more and more prominent. This is especially the case for commuters, who make a given trip nearly
29 every day and, hence, have the opportunity to learn and/or adapt to the traffic patterns faced daily. To
30 address the challenges posed by an ever increasing demand, transportation authorities and traffic experts
31 try to distribute the flow among existing routes in order to minimize the overall travel time. Often, this
32 task involves some form of communication with the drivers. Traditional approaches such as variable
33 message panels or radio broadcast are now being replaced by directed (and potentially personalized)
34 communication, via new kinds of communication devices.

35 While the current pattern is that each individual driver selects a route based on his/her own experi-
36 ence, this is changing as new technologies allow all sorts of information exchange. Examples of these
37 technologies are not only based on broadcast (e.g., GPS or cellphone information) but also a two-way
38 communication channel, where drivers not only receive traffic information but also provide them. Hence,
39 currently, many traffic-related applications for cellphones deal with the idea of a central authority in
40 charge of somehow assigning routes for drivers. Examples are Waze, Google apps, etc. Since their
41 specific algorithms are not published, one can only guess that they try to find a feasible solution, given
42 a set of constraints that they are able to infer from the current data they collect. What seems certain is
43 that these platforms work in a centralized way, based on data they collect when their customers or users
44 use their specific apps. Also, they do not handle locally collected and processed data. This leads to them
45 being ineffective when the penetration of their services is low as, e.g., during the initial stages of the 2020

46 pandemics, when few drivers were using the system. A way to mitigate this could be to decentralize the
47 processing of information, as proposed here, and passing it to drivers to make their route choices.

48 Our method has some resemblance with the notion of traffic assignment (see next section), since it is
49 based on the fact that drivers collect experience by trying out several routes until they settle on those that
50 lead to the least travel time.

51 Traffic assignment approaches work (and indeed were developed for this purpose) well for *planning*
52 *tasks*, i.e., how to plan a traffic network (or change an existing one) in order to minimize travel costs.
53 However, route choice is not related to planning tasks but, rather, is an operational aspect, especially
54 in commuting situations, where drivers repeatedly travel from the same origin to the same destination.
55 Besides, traffic assignment is a centralized approach, in which the drivers do not actively select routes.
56 Rather, routes are assigned to them. Thus, it is important to investigate how drivers do select routes in
57 their daily commuting tasks.

58 Multi-agent reinforcement learning (MARL) can be used for such purpose, as it fits the task of letting
59 agents decide, autonomously, how to select routes to go from A to B. This is realized by letting agents
60 iteratively choose their least costly route based on their own learning experiences. Such approach has been
61 tried before, as described in the section on related works. In fact, it has been shown that reinforcement
62 learning is a good technique to investigate route choice. However, the learning process can be inefficient,
63 as for instance, it may take time, since the agents have to collect experiences by themselves. As this
64 happens to be a very noisy environment, the signal an agent gets can be little discriminatory (e.g., due
65 to the presence of other learning agents, an agent may get the same signal for very different actions,
66 or, conversely, different signals for the same action). Thus, our long term aim is to investigate forms
67 of accelerating the learning process. One of these forms is by giving more information to the agents.
68 There are only few works that consider new technologies to this experience, as for instance those tied to
69 vehicular communication in general.

70 In the present paper, we extend a method that connects MARL to new technologies such as car-
71 to-infrastructure communication (C2I). These were formulated with the goal of investigating how C2I
72 communication could act to augment the information drivers use in their learning processes associated
73 with choices of routes. In such approach, whole routes are not imposed or recommended to drivers, but
74 rather, these receive local information about the most updated state of the links that happen to be near their
75 current location. This way, drivers can change their route on-the-fly (the so-called en route trip building).
76 Further, that approach assumes that the infrastructure is able to communicate with the vehicles, both
77 collecting information about their most recent travel times (on given links), as well as providing them
78 with information that was collected from other vehicles. However, another assumption is that messages
79 are never lost, which is not realistic. Thus, in the present paper, we relax this assumption and admit losses
80 of messages, as well as investigate the impact of them on the overall performance.

81 As a result of such extension, we are able to confirm that the MARL technique combined with a C2I
82 model can accelerate the learning process. Moreover, our approach is tolerant to information losses.

83 In short, the contribution of the present work is manifold. First, we employ MARL to the task of
84 learning how to go from A to B. Second, we do this using a non trivial scenario (as it is the case in most
85 of the literature), in which there are more than one origin-destination pair. Third, we depart from most of
86 the literature where the learning task considers that the driver agents already know a set of (pre-computed)
87 routes to select among. Rather, we let these agents build their trips *en route*. This in turn requires the use
88 of a microscopic, agent-based approach, where agents can potentially use different pieces of information
89 in order to perform en route choice. This again contrasts to most of the literature, which uses macroscopic
90 modeling (e.g., by means of abstract cost functions to compute travel times). Fourth, we connect MARL
91 with the aforementioned communication technologies, in order to investigate whether the learning process
92 can be accelerated by exchange of local information only. Lastly, we extend a previous approach by
93 investigating its robustness to losses of messages.

94 This paper is organized as follows. The next section briefly presents some background concepts on
95 traffic assignment and reinforcement learning, as well as the panorama on the related work. Following,
96 our methods and experimental results are presented and discussed. We review the general conclusions
97 and outline the future work in the last section.

98 BACKGROUND AND RELATED WORK

99 The Traffic Assignment Problem

100 In transportation, the traffic assignment problem (TAP) refers to how to connect a supply (traffic infrastruc-
101 ture) to its demand, so that the travel time of vehicles driving within a network is reduced. This network
102 can be seen as a graph $G = (N, E)$, where N is the set of nodes that operate as junctions/intersections,
103 and E is a set of directed links (or edges, as both terms are used interchangeably) that connect the nodes.
104 Hence the goal is then to assign vehicles to routes so that the travel time is minimized.

105 For more details, the reader is referred to Chapter 10 in Ortúzar and Willumsen (2011). For our
106 purposes it suffices to mention that classical approaches aim at planning tasks, are centralized (i.e., trips
107 are *assigned* by a central authority, not *selected* by individual drivers). Also, the main approaches are
108 based on iterative methods that seeks convergence to the user equilibrium (see next).

109 User Equilibrium

110 When it comes to reaching a solution to the TAP, one can take into account two perspectives: one
111 that considers the system as a whole, and one that considers each user's point of view. In the system
112 perspective, the best solution refers to the system reaching the best average travel time possible; this is the
113 so called system optimum (SO), or Wardrop's second principle (Wardrop, 1952). We stress that the SO is
114 a desirable property, but hardly achievable given that it comes at the cost of some users, who are not able
115 to select a route leading to their personal best travel times.

116 On the other hand, and most relevant for our current work, at the user's perspective, the system reaches
117 the user (or Nash) equilibrium (UE) when there is no advantage for any individual to change its routes in
118 order to minimize their travel time, as stated in the first Wardrop's principle (Wardrop, 1952). The UE can
119 be achieved by means of reinforcement learning, as discussed next.

120 Reinforcement Learning

121 Reinforcement learning (RL) is a machine learning method whose main objective is to make agents learn
122 a policy, i.e., how to map a given state to a given action, by means of a value function. RL can be modeled
123 as a Markov decision process (MDP), where there is a set of states S , a set of actions A , a reward function
124 $R : S \times A \rightarrow \mathbb{R}$, and a probabilistic state transition function $T(s, a, s') \rightarrow [0, 1]$, where $s \in S$ is a state the
125 agent is currently in, $a \in A$ is the action the agent takes, and $s' \in S$ is a state the agent might end up, taking
126 action a in state s , so the tuple (s, a, s', r) states that an agent was in state s , then took action a , ended up
127 in state s' and received a reward r . The key idea of RL is to find an optimal policy π^* , which maps states
128 to actions in a way that maximizes future reward.

129 RL methods fall within two main categories: model-based and model-free. While in the model-based
130 approaches the reward function and the state transition are known, in the model-free case, the agents learn
131 R and T by interacting with an environment. One method that is frequently used in many applications is
132 Q-Learning (Watkins and Dayan, 1992), which is a model-free approach.

133 In Q-learning, the agent keeps a table of Q-values that estimate how good it is for it to take an action a
134 in state s , in other words, a Q-value $Q(s, a)$ holds the maximum discounted value of going from state s ,
135 taking an action a and keep going through an optimal policy. In each learning episode, the agents update
136 their Q-values using the Equation 1, where α and γ are, respectively, the learning rate and the discounting
137 factor for future values.

$$Q(s, a) = Q(s, a) + \alpha(r + \gamma \max_a [Q(s', a') - Q(s, a)]) \quad (1)$$

138 In a RL task, it is also important to define how the agent selects actions, while also exploring the
139 environment. A common action selection strategy is the ϵ -greedy, in which the agent chooses to follow
140 the optimal values with a probability $1 - \epsilon$, and takes a random action with a probability ϵ .

141 While this basic approach also works in MARL, it is important to stress some challenging issues that
142 arise in an environment where multiple agents are learning simultaneously. Complicating issues arise
143 firstly due to the fact that while one agent is trying to model the environment (other agents included), the
144 others are doing the same and potentially changing the environment they share. Hence the environment is
145 inherently non-stationary. In this case, convergence guarantees, as previously known from single agent
146 reinforcement learning (e.g., Watkins and Dayan (1992) regarding Q-learning), no longer hold.

147 A further issue in multi-agent reinforcement learning is the fact that aligning the optimum of the
148 system (from the perspective of a central authority) and the optimum of each agent in a multi-agent system
149 is even more complicated when there is a high number of agents interacting.

150 Related Work

151 Solving the TAP is not a new problem; there have been several works that aim at solving it. In one
152 front, there are have classical methods (see Chapter 10 in Ortúzar and Willumsen (2011)), which, as
153 aforementioned, mostly deal with planning tasks. Further, the TAP can also be solved by imposing tolls on
154 drivers (e.g., Sharon et al. (2017); Buriol et al. (2010); Tavares and Bazzan (2014)). The latter specifically
155 connects road pricing with RL. However, the focus is on learning which prices to charge. Besides these
156 two fronts, RL for route choice is turning popular.

157 When we refer to RL methods to solve the TAP, these usually fall into two categories: a traditional
158 RL method, and a stateless one. Contrarily to the traditional approach, in the stateless case, the agents
159 actually have only one state that is associated with its origin-destination pair, and they choose which
160 actions to take. Actions here correspond to the selection of one among k pre-computed routes. Works in
161 this category are Ramos and Grunitzki (2015) (using a learning automata approach), and Grunitzki and
162 Bazzan (2017) (using Q-learning). In Zhou et al. (2020) the authors used a learning automata approach
163 combined with a congestion game to reach the UE. Tumer et al. (2008) adds a reward shaping component
164 (difference utilities) to Q-learning, aiming at aligning the UE to a socially efficient solution.

165 Apart from the stateless formulation, in the traditional case, agents may found themselves in multiple
166 states, which are normally the nodes (intersections) of the network. Actions then correspond to the
167 selection of one particular link (edge) that leaves that node. In Bazzan and Grunitzki (2016) this is used
168 to allow agents to learn how to build routes. However, they use a macroscopic perspective by means
169 of cost functions that compute the abstract travel time. In the present paper, the actual travel time is
170 computed by means of a microscopic simulator (details ahead). A microscopic approach is required to
171 handle communication issues.

172 As aforementioned, our approach also includes C2I communication, as these kinds of new technologies
173 may lead agents to benefit from sharing their experiences (in terms of travel times), thus reducing the
174 time needed to explore, as stated in Tan (1993). The use of communication in transportation systems, as
175 proposed in the present paper, has also been studied previously (Grunitzki and Bazzan, 2016; Bazzan et al.,
176 2006; Koster et al., 2013; Auld et al., 2019). However, these works handle communication at abstract
177 levels, using macroscopic approaches. In some cases, the information is manipulated to bias the agents
178 to reach an expected outcome. Moreover, most of these works deal with vehicular communication (i.e.,
179 messages are shared among the vehicles), or are based on broadcast of messages by one or few entities.
180 This scheme approaches either systems such as traffic apps we see nowadays (Waze, etc.), or messages
181 distributed by the traffic authority (as it used to be the case some time ago, using radio or variable message
182 panels on main roads as in Wahle et al. (2000)). Neither vehicular communication nor broadcast are
183 appropriate to investigate the impact of sharing local information, as we do here. A previous work by us
184 (Santos and Bazzan, 2020) has presented preliminary results about the performance of combining RL with
185 C2I against RL without communication. However, in this work, it is assumed that messages exchanged
186 among the various actors do not get lost, which is unrealistic. Therefore, in the present paper we focus on
187 the impact of communication failure and also on what type of information yields better results.

188 In a different perspective, works such as Yu et al. (2020) evaluate the impact of incomplete information
189 sharing in the TAP. They do not employ a RL-based but rather a classical approach, namely multinomial
190 Logit model.

191 More recently, Bazzan and Klügl (2020) discuss the effects of a travel app, in which driver agents
192 share their experiences. The idea is to "mimic" what happens in an office where colleagues chat about
193 their habits and route choice experiences. In the present paper, driver agents do not directly share their
194 experiences since the work in Bazzan and Klügl (2020) has shown that this process may lead to sub-
195 optimal results, due to agents not taking local issues into account. This is hardly possible in that work
196 since Bazzan and Klügl (2020) use a macroscopic simulator, where location is an abstract concept. Rather,
197 the present paper proposes – as shown in the next section – that the information is exchanged via an
198 intersection manager, i.e., a manager of a portion of the network.

199 In any case, this sharing of knowledge was proposed in other scenarios (Tan, 1993) and refers generally
200 to the research on transfer learning (Taylor et al. (2014); Torrey and Taylor (2013); Fachantidis et al.

201 (2019); Zimmer et al. (2014)). It is important to note though, that virtually all these works deal with
 202 cooperative environments, where it makes sense to transfer knowledge. In non-cooperative learning tasks,
 203 as it is the case of route choice, naive transfer of learned policies may lead to every agent behaving the
 204 same, which runs against the notion of efficient distribution of agents in the road network.

205 METHODS

206 Our approach is based on using communication to augment the information each agent¹ has and, hence,
 207 the learning performance. The next three subsections discuss, respectively: how the infrastructure is
 208 represented; how communication occurs; and the details of the RL algorithm. We then formalize the
 209 details as an algorithm.

210 Representing the Infrastructure

211 We assume that every node $n \in N$ present in the network G is equipped with a communication device
 212 (henceforth, CommDev) that is able to send and receive messages in a short range signal (e.g., with
 213 vehicles around the intersection). Figure 1 shows a scheme that represents G and CommDevs within G .

214 Using the short-range signal, the CommDevs are able to communicate with vehicles that are close
 215 enough, and are able to exchange information related to local traffic data (refer to next section for details).
 216 Moreover, these CommDevs are able to store the data exchanged with the agents in order to propagate
 217 this information to other agents that may use nearby intersections in the near future.

218 The arrows that connect CommDevs in Figure 1 represent a planar graph, meaning that every
 219 CommDev is connected and can communicate to its neighboring devices. This permits that CommDevs
 220 get information about the traffic situation in neighboring edges, which is then passed to the agents.

221 How Communication Works

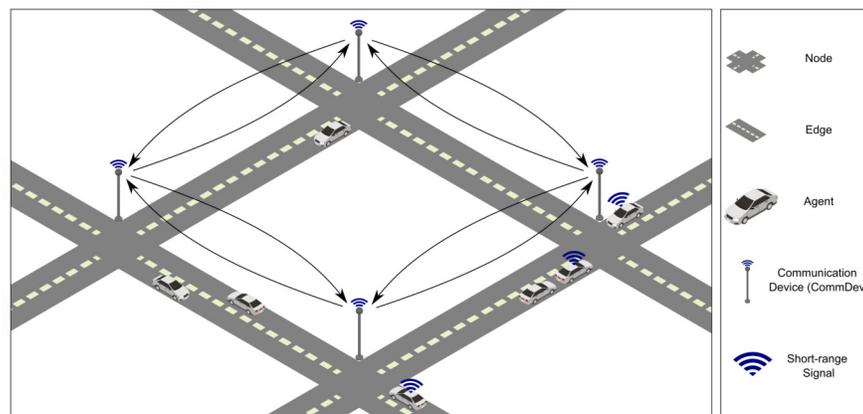


Figure 1. Scheme of the communication infrastructure²

222 Every time an agent reaches an intersection, prior to choosing an action (the next intersection to visit),
 223 it communicates with the intersection's CommDev (see Figure 1) to exchange information. The actual
 224 piece of information sent from agents to CommDevs is travel times (hence, rewards) received by the
 225 agents, regarding their last action performed.

226 Conversely, the infrastructure communicates to the agent information about the state of the nearby
 227 edges, in terms of which rewards an agent can expect if it selects to use that particular link. This
 228 information can be of various forms. In all cases, the expected reward is computed by taking into account
 229 the rewards informed by other agents, when they have used nearby links. In the experiments, we show
 230 results where CommDevs communicate expected rewards that are either an aggregation (over a time
 231 window) or just a single value.

¹Henceforth, the term agent is used to refer to a vehicle and/or driver agent.

²This figure was designed using assets from <https://www.vectorportal.com/>, and <https://www.freepik.com>. All assets used fall under license CC BY 4.0.

232 In any of these cases, an agent receiving such information will then take it into account when selecting
 233 an action (choice of a link) in that particular state (a node). Next, details about how the information is
 234 processed, by both the CommDevs and the vehicle agents, are given.

235 **Information Hold by Infrastructure**

236 Each CommDev uses queue based data structures to hold the rewards informed by each agent that passes
 237 through it. Specifically, each edge is associated with one data queue. These queues have a maximum size,
 238 and when new information arrives after the queue is full, the oldest reward stored is discarded to make
 239 room to the most recent one.

240 When an agent requests information, the CommDev retrieves the rewards collected for the agent's
 241 possible actions and passes it to that agent. Recall that an action corresponds to a link to be traveled next,
 242 in order to form a route to the agent's destination.

243 **Information Used by the Agent**

244 In a standard Q-learning algorithm, the agents update their Q-values based on the feedback from the
 245 action they have just taken. However, in our case agents also update their Q-values based on the expected
 246 rewards received by the infrastructure. This means that every time they reach an intersection, they update
 247 their Q-values with the information provided by the CommDevs. We do this in order to accelerate the
 248 learning process. Instead of just considering its own past experiences, the information provided by the
 249 CommDevs augment the knowledge each agent has.

250 It is worth noting that a distinguishing characteristic of our approach is that it deals with local
 251 information, thus the information received from the CommDev only concerns actions that can be selected
 252 from that particular node.

253 **Algorithm**

Algorithm 1 Q-learning with C2I

```

1: Input:  $G, D, P, M, \alpha, \gamma, \epsilon, B$ 
2:  $s \leftarrow 0$ 
3: while  $s < M$  do
4:   for  $v$  in  $V$  do
5:     if  $v.finished\_trip()$  then
6:        $v.update\_Q\_table(B - v.last\_edge\_travel\_time)$ 
7:        $G.commDev[v.curr\_node].update\_queue(v.last\_reward, v.last\_edge)$ 
8:        $v.start\_new\_commuting\_trip()$ 
9:     else if  $v.has\_reached\_a\_node()$  then
10:       $v.update\_Q\_table(-v.last\_edge\_travel\_time)$ 
11:       $G.commDev[v.curr\_node].update\_queue(v.last\_reward, v.last\_edge)$ 
12:       $v.update\_Q\_values(G.commDev[v.curr\_node].info)$ 
13:       $v.choose\_action()$ 
14:     end if
15:   end for
16:    $s \leftarrow s + 1$ 
17: end while

```

254 Given a network G , every agent (vehicle) $v \in V$ has a pair $(o, d) \in N \times N$, that defines its origin-
 255 destination pair (OD-pair). Nodes $n \in N$ are seen as states the agents might be in, and the outgoing edges
 256 of a node n are the possible actions for that given state. Hence, the agents build their routes on-the-fly by
 257 visiting nodes and edges.

258 Upon choosing an action (edge) e , v perceives its reward. We recall that being a microscopic model,
 259 this reward is actually computed by the simulator, rather than by an abstract cost function, as it would be
 260 the case in a macroscopic model.

261 Assuming that the simulator reports a travel time of t_e^v for agent v traveling on edge e , the reward is
 262 $-t_e^v$, as we want to make sure the agents prefer to take edges that minimize travel times.

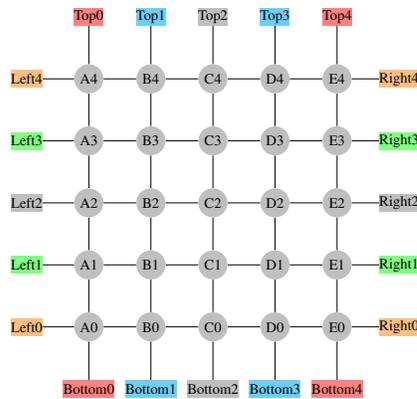


Figure 2. 5x5 Grid Network

Origin	Destination	Demand
Bottom0	Top4	102
Bottom1	Top3	86
Bottom3	Top1	86
Bottom4	Top0	102
Left0	Right4	102
Left1	Right3	86
Left3	Right1	86
Left4	Right0	102

Table 1. Demand per OD-pair

263 This alone does not guarantee that the agents will reach their destination fast, as they might end up
 264 running in loops throughout the network. Hence a positive bonus B is given to each agent that reaches its
 265 destination, giving them incentives to end their trips as fast as possible.

266 We deal with a commuting scenario, where each agent performs day-to-day experiments in order to
 267 reach an equilibrium situation, in which no agent can reduce its travel time by changing routes. Because
 268 agents belong to different OD pairs and/or select different routes, their trips take different number of
 269 simulation steps. These steps represent elapsed seconds in simulation time. Hence, this means that not
 270 every agent finishes its trip simultaneously and, therefore, the standard notion of a learning episode cannot
 271 be used here. Rather, each agent has its own learning episode that will take as many simulation steps as
 272 necessary to reach its destination.

273 Next, we explain the main parts of our approach, which can be seen in Algorithm 1.

274 Line 1 list the inputs of Algorithm 1: G is the topology of the network, D is the demand (flow rate) that
 275 is inserted in the network, P is the set of OD-pairs, and M is the maximum number of steps to simulate.
 276 It is also necessary to set α , γ (both relating to Eq. 1), ϵ for controlling the action selection and the
 277 exploration-exploitation strategy, and the bonus B .

278 The main loop is presented between lines 3 – 17, where the learning and the communication actually
 279 take place. The first *if* statement shown in line 5 takes care of all agents that finished their trips in the
 280 current step: agents perceive their reward plus the bonus for finishing the trip. At Line 7, each agent
 281 informs the corresponding CommDevs the rewards, and since its trip has ended, it gets reinserted at the
 282 origin node to start a new learning episode (as this is a commuting scenario).

283 The *if* statement at line 9 represents the intermediary nodes, where each agent also perceives its
 284 reward and informs the CommDev (line 11) about the reward just experienced, so that the CommDev can
 285 update its queue structure. In line 10, each agent updates its Q-value for the last action based on its own
 286 experience, i.e., with the actual reward received for traveling through the last link.

287 Following, a CommDev also informs agents about the rewards that can be expected from the actions
 288 each agent might take next (line 12). Each agent then updates its Q-table and chooses an action.

289 EXPERIMENTS, RESULTS, AND ANALYSIS

290 Scenario: Network and Demand

291 Simulations were performed using a microscopic tool called Simulation of Urban Mobility (SUMO,
 292 Lopez et al. (2018)). SUMO's API was used to allow vehicle agents to interact with the simulator *en*
 293 *route*, i.e., during simulation time.

294 The scenario chosen is a 5x5 grid depicted in Figure 2; each line in the figure represents bi-directed
 295 edges containing two lanes, one for each traffic direction. It is also worth noting that each directed edge is
 296 200m long.

297 The demand was set to maintain the network populated at around 20 – 30% of its maximum capacity,
 298 which is considered a medium to high density. Recall that no real-world network is fully occupied at all
 299 times, and that the just mentioned density level does not mean that there will not be edges fully occupied,
 300 which happens from time to time; this percentage is just the average over all 50 edges.

Table 2. Travel time measured for DUA and QL with C2I at time step 50,000

Method	Travel Time at Step 50k
DUA	≈ 560
QL with C2I	≈ 470

301 This demand was then distributed between the OD-pairs as represented in Table 1. The last column
 302 represents the volume of vehicles per OD-pair. Those values were selected so that the shorter the path, the
 303 smaller the demand, which seems to be a more realistic assumption than a uniform distribution of the
 304 demand.

305 Two points are worth reinforcing here. First, vehicles get reinserted at their corresponding origin
 306 nodes, so that we are able to keep a roughly constant insertion rate of vehicles in the network, per OD
 307 pair. However, this does not mean that the flow *per link* is constant, since the choice of which link to take
 308 varies a lot from vehicle to vehicle, and from time to time. Second, despite being a synthetic grid network,
 309 it is not trivial, since it has 8 OD pairs, which makes the problem complex as routes from each OD pair
 310 are coupled with others. As seen in Table 1, we have also increased such coupling by designing the OD
 311 pairs so that all routes traverse the network, thus increasing the demand for using the central links.

312 Q-learning Parameters

313 A study conducted by Bazzan and Grunitzki (2016) shows that, in an *en route* trip building approach,
 314 the learning rate α does not play a major role, while the discount factor γ usually needs to be high in
 315 discounted future rewards, as it is the case here. Thus a value of $\alpha = 0.5$ suits our needs. We remark
 316 however that we have also played with this parameter.

317 As for the discount factor γ , we have performed extensive tests and found that a value of $\gamma = 0.9$
 318 performs best.

319 For the epsilon-greedy action selection, empirical analysis pointed to using a fixed value of $\epsilon = 0.05$.
 320 This guarantees that the agents will mostly take a greedy action (as they only have a 5% chance to make
 321 a non-greedy choice), and also take into account that the future rewards have a considerable amount of
 322 influence in the agent's current choice, since γ has a high value.

323 For the bonus at the end of each trip, after tests, a value of $B = 1000$ was used. Recall that this bonus
 324 aims at compensating the agent for selecting a jammed link, if it is close to its destination, rather than
 325 trying out detours via a link that, locally, seems less congested, but that will lead the agent to wander
 326 around, rather than directly go to its destination. We remark that trips take a rough average of 450 time
 327 steps thus this value of B fits the magnitude of the rewards.

328 Performance Metric and Results

329 While each agent perceives its own travel time, both after traversing each link, and after finishing its trip,
 330 we need an overall performance to assess the quality of the proposed method. For this, we use a moving
 331 average (over 100 time steps) of the complete route travel time, for each agent that has finished its trip.

332 Given the probabilistic nature of the process, it is necessary to run repetitions of simulations. Thus, 30
 333 runs were performed. Plots shown ahead thus depict the average and the standard deviations. In order to
 334 evaluate how the communication affects the learning process, some different policies and comparisons
 335 were performed, these different methods are described in the following sections.

336 QL with C2I versus Dynamic User Assignment

337 For sake of contrasting with a classical approach, Figure 3 shows a comparison between our QL with
 338 C2I approach and a method called Dynamic User Assignment (DUA), which is an interactive method
 339 implemented by the SUMO developers. We remark that DUA is a centralized, not based on RL approach.

340 DUA works as follows: it performs iterative assignment of pre-computed, full routes to the given
 341 OD-pairs in order to find the UE³. In our tests, DUA was run for 100 iterations. Note that a DUA
 342 iteration corresponds to a trip, and a new iteration only starts when all trips have reached their respective

³For details on how the DUA method is made the reader may refer to https://sumo.dlr.de/docs/Demand/Dynamic_User_Assignment.html

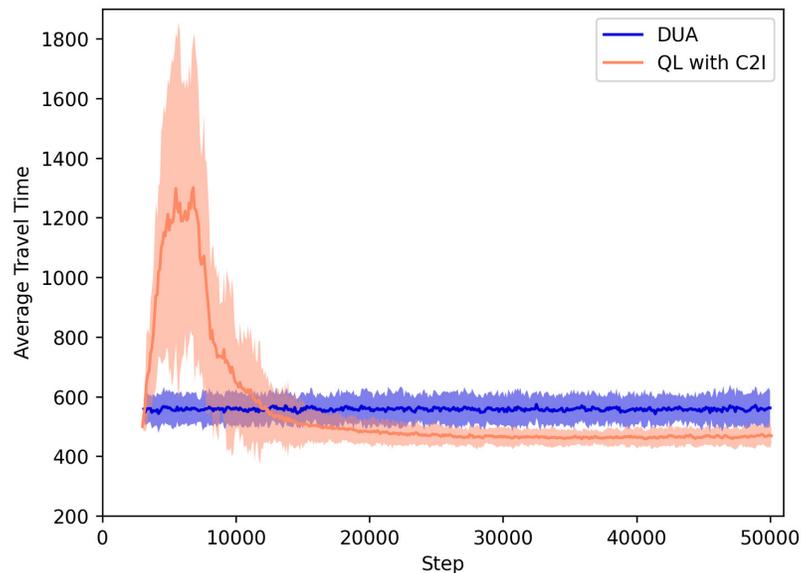


Figure 3. QL with C2I vs DUA

343 destinations. The output of DUA is a route that is then followed by each vehicle, without *en route* changes.
 344 Since DUA also has a stochastic nature, our results correspond to 30 repetitions of DUA as well.

345 Figure 3 shows that, obviously, at the beginning, the performance of our approach reflects the fact that
 346 the agents are still exploring, whereas DUA has a better performance since a central authority determines
 347 which route each agent should take. This is possible since this central authority holds all the information,
 348 which is not the case in the MARL based approach, where each agent has to explore in order to gain
 349 information.

350 In our approach, after a certain time, the agents have learned a policy to map states to action and, by
 351 using it, they are able to reduce their travel times.

352 Before discussing the actual results, we remark that a SUMO time step corresponds roughly to one
 353 second. Our experiments were run for about 50,000 time steps. A learning episode comprehends hundreds
 354 of time steps, as the agent has to travel from its origin to its destination. In short, a learning episode is not
 355 the same as a simulation time step. Given that the agents re-start their trips immediately, different agents
 356 have different lengths for their respective learning episodes, thus the learning process is non-synchronous.
 357 Using our approach, on average, an episode takes roughly 500 time steps, thus agent reach the user
 358 equilibrium in about 100 episodes. For RL standards, this is a fast learning process, especially considering
 359 that we deal with a highly non-stationary environment, where agents get noisy signals. However, we also
 360 remark that, for practical purposes, the policy can be learned off-line, and, later, embedded in the vehicle.

361 To give a specific picture, Table 2 shows the actual travel times after time step 50,000. We remark
 362 that we could have measured roughly the same around step 30,000. It can be seen that our approach
 363 outperforms DUA shortly after time step 10,000. Also noteworthy is the fact that, at any time step,
 364 agents still explore with probability $\epsilon = 5\%$ thus there is room for improvements if other forms of action
 365 selection are used.

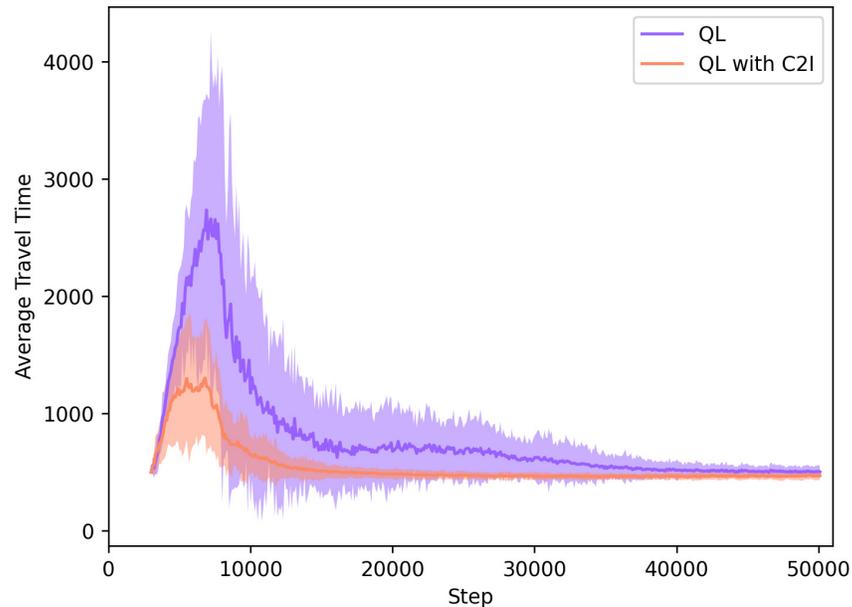
366 **QL with C2I versus QL Without Communication**

367 Our approach is also compared to standard Q-learning, thus without communication, which means that
 368 the agents learn their routes only by their own previous experiences, without any augmented knowledge
 369 regarding the traffic situation and the experiences of other agents.

370 In Figure 4, we can divide the learning process in both cases shown in Figure 4 in two distinct phases:
 371 the exploration phase, where the agents have yet no information about the network and explore it to find
 372 their destination – that is when the spikes in the learning curves can be seen –; and the exploitation phase,

Table 3. Travel time measured for QL and QL with C2I at time step 20,000

Method	Travel Time at Step 20k
QL	≈ 676
QL with C2I	≈ 483

**Figure 4.** QL with C2I vs QL Without Communication

373 when agents know the best actions to take in order to experience the lowest travel time possible.

374 Both approaches converge to the same average travel times in the exploitation phase. However, the
 375 advantage of our approach comes in the exploration phase. As we see in Figure 4, the exploration phase
 376 in the QL with C2I algorithm is reduced by a considerable amount when compared to the traditional QL
 377 algorithm, meaning that in our case the user equilibrium is reached earlier.

378 Table 3 compares the travel time measured in both cases at the time step 20,000, when our approach
 379 has already converged, but the standard Q-learning has not.

380 **Communication Success Rate**

381 In the real world, it might be the case that some information gets lost due to failure in the communication
 382 devices. In order to test what happens when not all messages reach the recipient, a success rate was
 383 implemented to test how the our approach performs if communication does not work as designed.

384 Specifically, every time an agent needs to communicate with the infrastructure, the message will
 385 reach the destination with a given success rate. This was implemented by means of a randomly generated
 386 value, which is then compared to the success rate to determine whether or not the simulator should ignore
 387 the message, thus being a metaphor for a non-delivered message. Such a scheme is applied to any kind
 388 of communication between the infrastructure and the agent, i.e., regardless if it is from an agent to a
 389 CommDev, or vice-versa.

390 If a message is lost, then: (i) a CommDev does not get to update its data structure, and (ii) an
 391 agent does not get to update its Q-table. Other than that, the method behaves exactly as described by
 392 Algorithm 1.

393 Experiments were performed varying the target success rate. For clarity, we show the results in two
 394 plots.

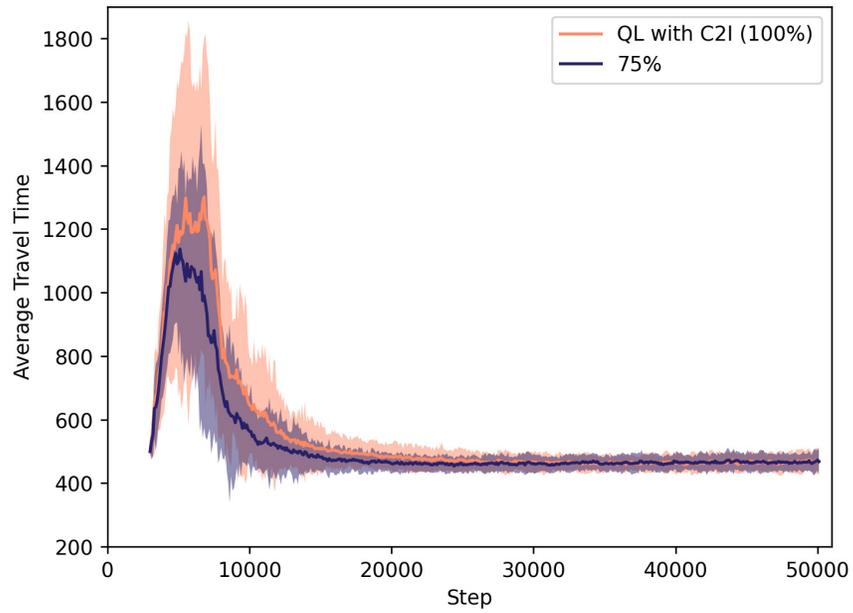


Figure 5. QL with C2I: Comparison Between 75% and 100% Success Rate

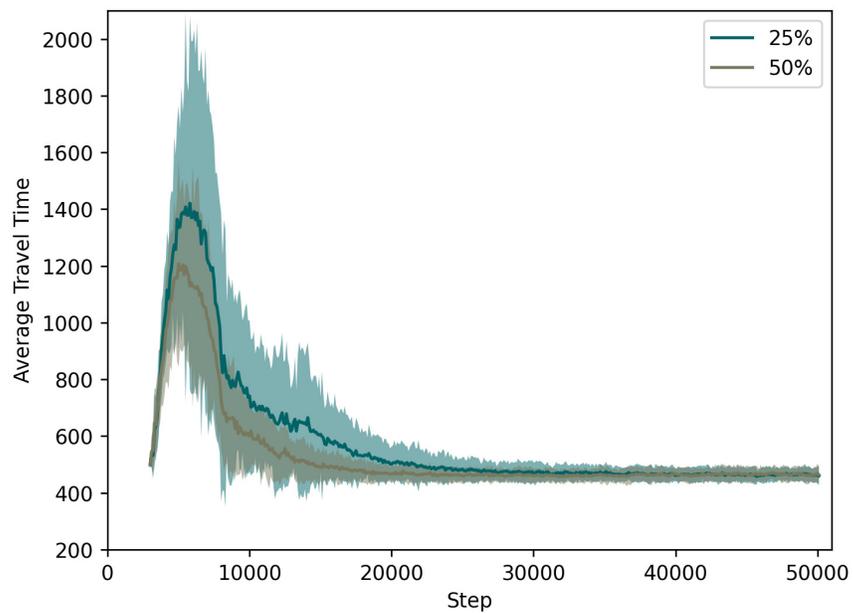


Figure 6. QL with C2I: Comparison Between 25% and 50% Success Rate

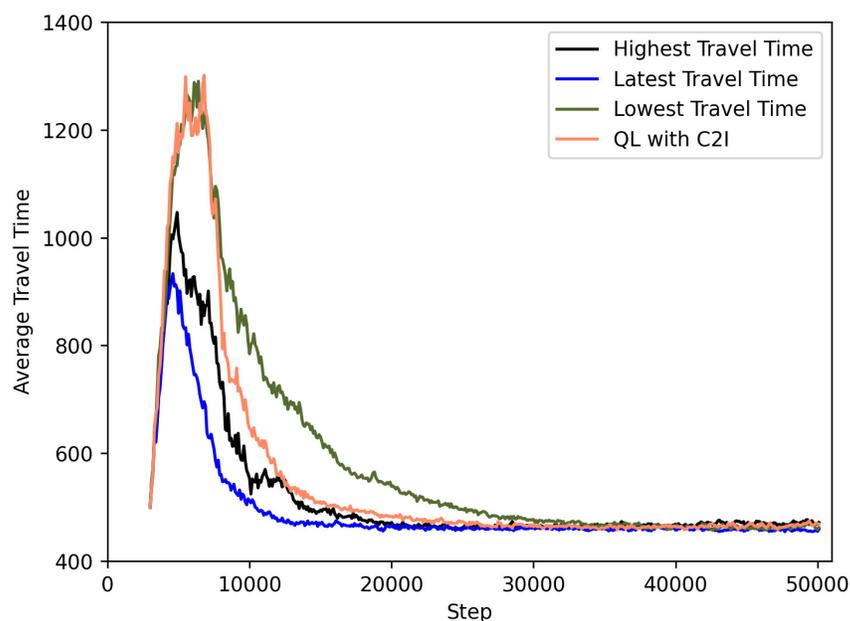


Figure 7. QL with C2I With Different Strategies

Table 4. Travel time measured for each success rate at time step 20,000

Success Rate	Travel Time at Step 20k
25%	≈ 501
50%	≈ 467
75%	≈ 461
100%	≈ 483

395 Figure 5 compares the approach when the success rate is with 100% (thus the performance already
 396 discussed regarding the two previous figures), to one where the communication succeeds in only 75% of
 397 the times. In Figure 6, we depict the cases for success rate of 25% and 50%.

398 For specific values, Table 4 lists the average travel times for all these cases at time step 20,000, since
 399 at that time the learning processes have nearly converged.

400 It is remarkable that the system not only tolerates some loss of information, but also performs slightly
 401 better when the success rate is 75% or even 50%. If one compares this case to the one in which 100% of
 402 the messages reach their destinations, one sees that the learning process is accelerated if agents do not
 403 have the very same information that other agents also receive. This is no surprise, as pointed out in the
 404 literature on the disadvantages of giving the same information to everyone. What is novel here is the fact
 405 that we can show that this is also the case when information is shared only at local level, as well as when
 406 the communication is between vehicles and the infrastructure, not among all vehicles themselves.

407 As expected, when we look at the case with a low success rate of 25%, we observe several drawbacks
 408 since the communication rate is getting closer to no communication at all: (i) the average travel time
 409 increases, (ii) the learning process takes longer, and (iii) the standard deviation also increases (meaning
 410 that different trips may take very different travel times and, possibly, different routes).

411 ***Different Strategies for Storing Information at the Infrastructure***

412 Apart from investigating what happens when information is lost, we also change the way CommDevs
 413 compute and share the reward information to the driver agents. Here the main motivation was to test what
 414 happens when the infrastructure is constrained by a simpler type of hardware, namely one that can store

Table 5. Travel time measured for each strategy at time step 20,000

Strategy	Travel Time at Step 20k
Highest Travel Time	≈ 472
Latest Travel Time	≈ 467
Lowest Travel Time	≈ 538
QL with C2I	≈ 483

415 much less information (recall that the original approach is based on a queue-like data structure).

416 To this aim, we conducted experiments in which the goal was to test which type of information is best
417 for the infrastructure to hold and pass on to the agents. We have devised three ways to do this: (i) the
418 infrastructure only holds and informs the highest travel time (hence the most negative reward) value to the
419 agents; (ii) the infrastructure informs the lowest reward (hence the least negative) to the agents; (iii) the
420 infrastructure holds only the latest (most recent) travel time value received. Note that, in all these cases,
421 the infrastructure only needs to store a single value, as opposed to the case in which the infrastructure
422 stores a queue of values in order to compute a moving average.

423 Figure 7 shows a comparison between the different policies. For clarity, we omit the deviations but
424 note that they are in the same order as the previous ones.

425 The best case seems to be associated with the use of the most recent travel time information, as seen
426 both in Figure 7 and Table 5. Communicating the lowest travel time might look good at first sight. But
427 it has as drawback that this leads all agents to act greedily and thus using the option with least travel
428 time. This ends up not being efficient as seen in Figure 7. Conversely, communicating the highest travel
429 time is motivated by the fact that the infrastructure might want to distribute the agents among the options
430 available, thus communicating a high travel time leads to not all agents considering it: since some would
431 have experienced a better option before and hence have this knowledge in their Q-tables, they will not
432 use the information received. This proves to be the second best strategy, only behind the aforementioned
433 strategy on communicating the latest information. The reason for the good performance of the latter is the
434 fact that the latest information is diverse enough (i.e., varies from recipient agent to agent) so that it also
435 guarantees a certain level of diversity in the action selection, thus contributing to a more even distribution
436 of routes.

437 CONCLUSIONS AND FUTURE WORK

438 A wise route choice is turning more and more important when the demand is increasing and road
439 networks are not being expanded in the same proportion. MARL is an attractive method for letting agents
440 autonomously learn how to construct routes while they are traveling from A to B.

441 This paper presented a method that combines MARL with C2I communication. Vehicles interact with
442 the infrastructure every time they reach an intersection. While they communicate the travel times they
443 have experienced in nearby links, they also receive the expected travel times regarding their next possible
444 link choices. We have extended a previous approach by relaxing the assumption that all messages are sent
445 and received, i.e., there is no loss of messages. To the best of our knowledge, this is a novel investigation
446 to scenarios dealing with learning based route choice, where there is a sharing of local information via
447 C2I.

448 This work thus has the following contributions: we employ MARL to the task of learning; we do this
449 using a non trivial scenario with more than one origin-destination pair; we depart from the assumption
450 that driver agents already know a set of (pre-computed) routes to select among; we use a microscopic,
451 agent-based approach; we connect MARL with new communication technologies, in order to investigate
452 whether the learning process can be accelerated. Also, we have employed our method to test some
453 real-world situations that may arise, namely communication losses and the need to use simpler hardware
454 devices to store information by the infrastructure.

455 Our results show that, before deploying C2I communication in the real-world, one has to take into
456 account the various effects of sharing information, even at local level. We were able to show that one has
457 to strive to communicate information that is diverse enough, in order to avoid sub-optimal route choices,
458 i.e., those that are made by drivers having similar information. As these drivers tend to act greedily, a wise

459 strategy on sharing information is key.

460 Specifically, our results point out to our approach being tolerant to information losses; further, there
461 was even a slight improvement in the overall performance (i.e., learning speed) since less information
462 also mean that not all agents will act the same way. As for the different strategies regarding storage of
463 information in the infrastructure, we could show that communicating only the latest known travel time is
464 able to speed up the learning process.

465 We remark that in all cases we have tested, MARL was able to reach the user equilibrium. The major
466 difference is the speed of such process.

467 For future work, one possible investigation is the addition of a biased information provided by the
468 infrastructure in order to reach a different outcome, namely, to reach the system optimum (socially efficient
469 distribution of routes to vehicles), rather than converging to the user equilibrium. We also plan to change
470 the demand during simulation time, to check how the learners deal with such changes. Preliminary work
471 on using Q-learning in such dynamic environments point out that it is able to handle different situations.
472 However, it remains to be investigated whether this is also the case for changes in flow rates. Moreover,
473 we would like to study whether the proposed combination of Q-learning with C2I is able to speed up the
474 learning processes as much as it was the case in the present work.

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