

Entropy based C4.5-SHO algorithm with information gain optimization in data mining

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Information efficiency is gaining more importance in the development as well as application sectors of information technology. Data mining is a computer-assisted process of massive data investigation that extracts meaningful information from the datasets. The mined information is used in decision-making to understand the behavior of each attribute. Therefore, a new classification algorithm is introduced in this paper to improve information management. The classical C4.5 decision tree approach is combined with Selfish Herd Optimization (SHO) algorithm to tune the gain of given datasets. The optimal weights for the information gain will be updated based on SHO. Further, the dataset is partitioned into two classes based on quadratic entropy calculation and information gain. Decision tree gain optimization is the main aim of our proposed C4.5-SHO method. The robustness of the proposed method is evaluated on various datasets and compared with classifiers, such as ID3 and CART. The accuracy and area under ROC (AUROC) parameters are estimated and compared with existing algorithms like ant colony optimization, particle swarm optimization and cuckoo search.

1 ENTROPY BASED C4.5-SHO ALGORITHM WITH INFORMATION GAIN OPTIMIZATION IN DATA 2 MINING

3 **Abstract.** Information efficiency is gaining more importance in the development as well as application sectors of
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6 behavior of each attribute. Therefore, a new classification algorithm is introduced in this paper to improve
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9 based on SHO. Further, the dataset is partitioned into two classes based on quadratic entropy calculation and
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14 **Keywords:** C4.5 decision tree, Selfish herd Optimization (SHO), entropy, AUROC, Information gain, C4.5-SHO.

15 1. Introduction

16 Information management is comprised of mining the information, managing data warehouses, visualizing the
17 data, knowledge extraction from data and so on [Chen et al., 2018]. Consequently, different information
18 management techniques are now being applied to manage the data to be analyzed. Hence, it is necessary to create
19 repositories and consolidate data as well as warehouses. However, most of the data may be unstable; so it is essential
20 to decide the data to be stored and discarded [Amin, Chiam & Varathan, 2019]. In addition, individual storage is
21 required to manage real-time data to conduct research and predict trends. Data mining techniques are becoming
22 more popular, recently getting attention towards rule mining methods, such as link analysis, clustering and
23 association rule mining [Elmaizi et al., 2019]. Data mining discovers the substantial information, reasons and
24 possible rules from huge datasets. It stands as an important source for information system based decision-making
25 processes, such as classification, machine learning and so on [Sun et al., 2019]. Data mining is generally a specific
26 term to define certain computational analysis and results that comply with three main properties like comprehension,
27 accuracy and user requirements. Data mining techniques are very useful while dealing with large datasets having
28 vast amount of data. The data mining research community has been active for many years in analyzing various
29 techniques and different applications of data mining [Jadhav, He & Jenkins, 2018].

30 A system that is combined with both data analysis and classification is suggested to create mining rules for
31 several applications. For extracting the relevant information from systems, functional knowledge or rules
32 automatically activates the mining process to provide rapid, real-time and significant operational basis. The
33 classification approaches broadly used in data mining applications is efficient in processing large datasets [Gu et al.,
34 2018]. It maps an input data object into one of the pre-defined classes. Therefore, a classification model must be
35 established for the given classification problem [Junior & Carmo, 2019]. To perform the classification task, the
36 dataset is converted into several target classes. The classification approach assigns a target type for each event of the
37 data and allots the class label to a set of unclassified cases. This process is called supervised learning because all the
38 training data are assigned as class tags. Therefore, classification is used to refer the data items as various pre-defined
39 classes [Xie et al., 2020]. The classifier is categorized into two approaches namely logical reasoning and statistical
40 analysis. To create a well-trained classifier, training data are used to signify the key features of the classification
41 problem under analysis [Meng et al., 2020]. Once the classifier is trained, then the test dataset is evaluated by the
42 classifier. The overall performance of any classifier algorithm is comparatively estimated through the sensitivities of
43 minority target classes. However, the minority target class predictions are usually found below optimal because of
44 the initial algorithm designs that consider identical class distribution in both model and usage [Ebenuwa et al.,
45 2019].

46 The most popular and simple classification technique is decision tree. Decision trees are popular learning
47 tool utilized in functional research, especially in results analysis to achieve a goal. As a general logical model, a
48 decision tree repeats the given training data to create hierarchical classification [Es-sabery & Hair, 2020]. It is a
49 simplest form of classifier that can be stored densely and effectively in order to categorize the new data. It takes
50 inputs in the form of training data set, attribute list and attribute selection method. A tree node is created by the
51 algorithm in which attribute selection is applied to compute optimal splitting criteria. Then the final node generated
52 is named based on the selected attributes [Damanik et al., 2019]. The training tuples subset is formed to split the

53 attributes. Hence, parameters (like purity, number of samples, etc.) are still needed for a decision tree. Moreover, it
54 is capable of handling multidimensional data that offers good classification performance for common datasets [Ngoc
55 et al., 2019]. Decision tree is also known as decision support tool which utilizes the model of tree-like or graph and
56 the consequences are resource costs, utility and event outcomes [Lee, 2019]. In practical, the methods utilized to
57 create decision trees normally produce trees with a low node factor and modest tests at each node. Also, the
58 classifier contains different algorithms, such as C4.5, ID3 and CART. The C4.5 algorithm is the successor of ID3
59 which uses gain ratio by splitting criterion for splitting the dataset. The information gain measure used as a split
60 criterion in ID3 is biased to experiments with multiple outcomes as it desires to select attributes with higher number
61 of values [Jimnez et al., 2019]. To overcome this, the C4.5 algorithm undergoes information gain normalization
62 using split information value which in turn avoids over fitting errors as well.

63 In C4.5, two criterions are used to rank the possible tests. The first criterion of information gain is to
64 minimize the entropy of subsets and the second criterion of gain ratio is to divide the information gain with the help
65 of test outcome information. As a result, the attributes might be nominal or numeric to determine the format of test
66 outcomes. [Kuncheva et al., 2019]. On the other hand, the C4.5 algorithm is also a prominent algorithm for data
67 mining employed for various purposes. The generic decision tree method is created default for balanced datasets; so
68 it can deal with imbalanced data too [Lakshmanaprabu et al., 2019]. The traditional methods for balanced dataset
69 when used for imbalanced datasets cause low sensitivity and bias to the majority classes [Lakshmanaprabu et al.,
70 2019]. Some of the imbalance class problems include image annotations, anomaly detection, detecting oil spills
71 from satellite images, spam filtering, software defect prediction, etc. [Li et al., 2018]. The imbalanced dataset
72 problem is seen as a classification problem where class priorities are very unequal and unbalanced. In this imbalance
73 issue, a majority class has larger pre-probability than the minority class [Liu, Zhou & Liu, 2019]. When this
74 problem occurs, the classification accuracy of the minority class might be disappointing [Tang & Chen, 2019].
75 Thus, the aim of the proposed work is to attain high accuracy in addition to high efficiency.

76 In data classification, accuracy is the main challenge of all applications. Information loss in dataset is
77 problematic during attribute evaluation and so, the probability of attribute density is estimated. For this, the
78 information theory called entropy based gain concept is utilized to enhance the classification task. Furthermore,
79 common uncertainties of numerical data are used to measure the decision systems. A population based algorithm is
80 utilized to optimize the gain attributes and to enhance the classification in complex datasets. The Selfish Herd
81 Optimization (SHO) enhances the feature learning accuracy by effectively removing redundant features thereby
82 providing good global search capability. The main contribution of the proposed work is summarized as follows.

- 83 ❖ To solve the data classification problem using entropy based C4.5 decision tree approach and gain estimation.
- 84 ❖ Selfish Herd Optimization (SHO) algorithm is utilized to optimize the information gain attributes of decision
85 tree.
- 86 ❖ The data are classified with high accuracy and AUROC of datasets is compared with existing techniques.

87 The organization of this paper is described as follows: introduction about the research paper is presented in
88 Section 1, survey on existing methods and challenges are depicted in Section 2. The preliminaries are explained in
89 Section 3. The working of proposed method is detailed in Section 4. Efficiency of optimization algorithm is
90 evaluated in Section 5 and the conclusion of the proposed method is presented in Section 6.

91 2. Related Works

92 Multiple learning process and multi-label datasets are widely used in different fields nowadays. [Yahya, 111
93 2019] evaluated the efficacy of Particle Swarm Classification (PSC) in data mining. PSC was utilized to design the
94 classification model which classifies the queries into Bloom's taxonomy six cognitive-levels. Rocchio algorithm
95 (RA) was used to mitigate the dimensionality of adverse effects in PSC. Finally, RA-based PSC was investigated
96 with various feature selection methods for a scheme of queries. But it is identified that the multi-label classification
97 dealt with some problems where the classifier chain label order has a strong effect on the performance of
98 classification. Nevertheless, it is too hard to find the proper order of chain sequences. Hence, [Sun et al., 2019] had
99 proposed an ordering method based on the conditional entropy of labels where a single order was generated by this
100 method. Reduced attributes can improve the accuracy of classification performances. The missed attribute values
101 were typically not used in entropy or gain calculation. Information gain based algorithms tend to authenticate the
102 attribute sets. Various measures were certainly affected from redundancy and non-monotonicity during attribute
103 reduction. Therefore, a forward heuristic attribute reduction algorithm was proposed to solve the uncertainties in

104 attribute selection. It simultaneously selects information attributes though unnecessary attributes were reduced in
105 practice. [Gao et al., 2019] proposed granular maximum decision entropy (GMDE) based on the measurement of
106 monotonic uncertainty. Extreme decision entropy was developed in which the uncertainties of entropy are integrated
107 with granulation knowledge. This investigation was validated with various UCI datasets and found to be
108 computationally inexpensive.

109 The choice of dataset selection allows the extraction of highly representative information from high-level
110 data; so computational efforts were reduced among other tasks. A hybrid optimization based feature selection was
111 proposed by [Ibrahim et al., 2019]. The suggested technique is combined with slap swarm algorithm (SSA) and
112 particle swarm optimization methods to enhance the efficacy of global and local search steps. Therefore, the hybrid
113 algorithm was examined on mixed datasets. It requires less time while the nodes quantity is reduced making it more
114 desirable for large datasets. The SSA-PSO was employed to acquire best features from various UCI datasets. Also,
115 redundant features were detached from the original datasets resulting in better accuracy. However, the accuracy is
116 affected in complex datasets. To improve the classification performance of complex data, [Lin et al., 2019]
117 introduced an attribute reduction method utilizing neighborhood entropy measures. The systems should have the
118 ability to handle continuous data while maintaining its information on attribute classification. The concept of
119 neighborhood entropy was explored to deal with uncertainty and noise of neighborhood systems. It fully reflects the
120 decision-making ability by combining the degree of reliability with the coverage degree of neighborhood systems.

121 A clustering method based on functional value sequences has been proposed to accurately identify the
122 functional equivalent programs with index variations. Because existing clustering programs were limited to
123 structured metric vectors as in [Wang et al., 2020]. This strategy is implemented for automated program repair to
124 identify the sample programs from a large set of template programs. The average accuracy and average entropy were
125 0.95576 and 0.15497, respectively. However, the problem turned out to uncertain as the number of predictions is
126 higher than the number of previous results. This issue was overcome by an alternative solution of priori weights and
127 maximum entropy principle to attain the posteriori weights. [Arellano, Bory-Reyes & Hernandez-Simon, 2018]
128 utilized a machine learning approach with single aggregated prediction from a set of individual predictions. A new
129 factor presents a problem departing from the well-known maximal entropy hypothetical method and taking the
130 distance among original and estimated integrated predictions. The suggested method was applied to estimate and
131 measure predictive capability using prediction datasets.

132 It is difficult to perform feature selection (FS) for multi-label dimension curse in numerous learning
133 processes. Hence, [Paniri, Dowlatshahi & Nezamabadi-pour, 2020] proposed a multi-label relevance–redundancy
134 FS scheme based on Ant Colony Optimization (ACO) called ML-ACO. ML-ACO seeks to find the best features
135 with lowest redundancy and many repetitions with class labels. To speed up the convergence, the cosine similarities
136 between features as well as class labels are used as starting pheromone for each ant, and can be classified as a filter-
137 based method. Various parametric entropies of decision tree algorithms are investigated by [Bret et al., 2019].
138 Partial empirical evidences were provided to support the notion that parameter adjustment of different entropy
139 activities influences the classification. Receiver operating characteristic (ROC) and Area under the ROC (AUROC)
140 curve analysis provides an accurate criterion for evaluating decision trees based on parametric entropy. Various
141 entropies, such as Shannon entropy, Renyi entropy, Tsallis entropy, Abe entropy and Landsberg–Vedral entropy
142 were discussed.

143 A new information classification algorithm has been introduced to improve the information management of
144 restricted properties in [Wang et al., 2019]. Information management efficiency has gained more importance for the
145 development of information technology through its expanded use. Reduce leaf based on optimization ratio (RLBOR)
146 algorithm was utilized to optimize the decision tree ratios. ID3 algorithm is a classical method of data mining that
147 selects attributes with maximum information gain from the dataset at split node. However, decision tree algorithms
148 have some drawbacks; it is not always optimal and it is biased in favor of properties that have higher values. In data
149 classification, accuracy is the main challenge of all datasets. The resulting information loss is problematic for
150 attribute evaluation while estimating the probability density of attributes. Due to the absence of classification
151 information, it is challenging to perform potential classification. Consequently, an improved algorithm is utilized to
152 solve the data classification issues.

153 3. Preliminaries

154 Entropy based measurements understands the decision system knowledge, its properties and some relations
 155 about the measurements. An optimization model is explored to enhance the performance of complex dataset
 156 classification. During prediction, the information gain optimal weights will be updated with the help of SHO
 157 algorithm. The nominal attributes of the dataset were designed by the ID3 algorithm. The attributes with missing
 158 values are not permitted. C4.5 algorithm, an extension of ID3 can handle datasets with unknown-values, numeric
 159 and nominal attributes [Agrawal & Gupta, 2013]. C4.5 is one of the best learning based decision tree algorithm in
 160 data mining because of its distinctive features like classifying continuous attributes, deriving rules, handling missing
 161 values and so on [Wu et al., 2008]. In decision tree based classification, the training set is assumed as M and the
 162 number of training samples is mentioned as $|M|$. Here, the samples are divided into N for various kinds of
 163 K_1, K_2, \dots, K_n where the class sizes are labeled into $|K_1|, |K_2|, \dots, |K_n|$. A set of training sample is denoted as
 164 M , and the sample probability formula of class K_i is given in Equation (1).

$$165 \quad p(M_i) = \frac{|K_i|}{|M|} \quad (1)$$

166 3.1 Quadratic Entropy

167 Entropy is used to measure the uncertainty of a class using the probability of particular event or attribute. The
 168 gain is inversely proportional to entropy. The information gain is normally dependent on the facts of how much
 169 information was offered before knowing the attribute value and after knowing the attribute value. Different types of
 170 entropies are utilized in data classification. For a better performance, quadratic entropy is used in our work
 171 [Adewole & Udeh, 2018]. This entropy considers a random variable X as finite discrete with complete probability
 172 collection as mentioned in Equation (2).

$$173 \quad p_i \geq 0 (i = 1, 2, \dots, n), \sum_{i=1}^k p_i = 1 \quad (2)$$

174 Here, the probability of event is denoted as p_i . The quadratic entropy of information is calculated by Equation (3).

$$175 \quad Entropy M(x) = \sum_{i=1}^n p_i (1 - p_i) \quad (3)$$

176 Here, (M) specifies the information entropy of M (training sample set). For this particular attribute, the entropy
 177 of information is determined by Equation (4).

$$178 \quad entropy(M, H) = \sum_{g \in G} \left(\frac{|M_g|}{|M|} \right) * entropy(M_g) \quad (4)$$

179 The entropy of attribute H is represented by Entropy (M, H) , where H signifies attribute value. G Denotes all
 180 sets of values of g and M_g denotes the subset of M which is the value of H . $|M_g|$ denotes the number of
 181 elements in M_g , and number of elements of $|M|$ in M .

182 3.2 Information Gain

183 The information gain is determined by Equation (5).

$$184 \quad gain(M, H) = entropy(M) - entropy(M, H) \quad (5)$$

185 In a dataset M , Gain (M, H) denotes the information gain of attribute H . Entropy (M) signifies the
 186 sample set of information entropy and Entropy (M, H) denotes the information entropy of attribute H . In
 187 Equation (5), information gain is employed to find additional information that provides high information gain on
 188 classification. C4.5 algorithm chooses the attribute that has high gain in the dataset and use as the split node
 189 attribute. Based on the attribute value, the data subgroup is subdivided and the information gain of each subgroup is
 190 recalculated. The decision tree trained process is enormous and deep compared to neural networks, such as KNN,
 191 ANN and etc. as it does not take into account the number of leaf nodes. Moreover, the gain ratio is different from
 192 information gain. Gain ratio measures the information related to classification obtained on the basis of same
 193 partition. C4.5 uses the information gain and allows measuring a gain ratio. Gain ratio is described in Equation (6).

$$194 \quad \text{gain_ratio}(M, H) = \frac{\text{gain}(M, H)}{\text{split_info}(M, H)} \quad (6)$$

195 Where,

$$196 \quad \text{split_info}(M, H) = \sum_{g=1}^n -\frac{M_g}{M} \log_2 \frac{M_g}{M} \quad (7)$$

197 The attribute with a maximum gain rate is selected for splitting the attributes. When the split information tactics is 0,
 198 the ratio becomes volatile. A constraint is added to avoid this, whereby the information gain of the test selected must
 199 be large at least as great as the average gain over all tests examined.

200 3.3 C4.5 decision tree

201 [Quinlan, 2014] developed the C4.5 algorithm to generate a decision tree. Many scholars have made various
 202 improvements in the tree algorithm. However, the problem is that tree algorithms require multiple scanning and
 203 deployment of data collection during the building process of decision trees. For example, large datasets provided
 204 into the ID3 algorithm improves the performance but not effective whereas small datasets are more effective in
 205 several fields like assessing prospective growth opportunities, demographic data, etc. This is because the processing
 206 speed is slow and the larger dataset is too large to fit into the memory. Besides, C4.5 algorithm gives most effective
 207 performance with large amount of datasets. Hence, the advantages of C4.5 algorithm are considerable but a dramatic
 208 increase in demand for large data would be improved to meet its performance.

209 Algorithm 1: Pseudo code for C4.5 decision tree algorithm

```

Input: Dataset
Output: Decision tree
// Start
  for all attributes in data
    Calculate information gain
  end
  HG= Attribute with highest information gain
  Tree = Create a decision node for splitting attribute HG
  New data= Sub datasets based on HG
  for all New data
    Tree new= C4.5(New data)
    Attach tree to corresponding branch of Tree
  end
return

```

210

211 The C4.5 algorithm builds a decision tree by learning from a training set in which every sample is built on an
 212 attribute-value pair. The current attribute node is calculated based on the information gain rate in which the root

node is selected based on the extreme information gain rate. The data is numeric with only the classification as nominal leading category of labeled dataset. Hence, it is necessary to perform supervised data mining on the targeted dataset. This reduces the choice of classifiers in which a pre-defined classification could handle numerical data and classification in decision tree application. Each attribute is evaluated to find its ratio and rank during the learning phase of decision trees. Additionally, correlation coefficient is found to investigate the correlation between attributes as some dataset could not give any relevant result in data mining. In C4.5 decision tree algorithm, the gain is optimized by proposed SHO technique. The information gain is a rank based approach to compute the entropy. In this algorithm, the node with a highest normalized gain value is allowed to make decision, so there is a need to tune the gain parameter. The gain fitness is calculated based on the difference between actual gain value and new gain value. This is the objective function of the gain optimization technique which is described in Equation (8).

$$fitness = \min\{G_i - \hat{G}_i\} \quad (8)$$

Here, G_i and \hat{G}_i denotes actual and new gain, respectively. Based on this fitness, the gain error is minimized by SHO and the gain value will be computed by using Equation (5). SHO can improve the learning accuracy, remove the redundant features and update the weight function of decision trees. The feature of SHO is random initialization generating strategy.

4. Proposed Method: Selfish Herd Optimization (SHO)

SHO is utilized to minimize the gain error in a better way in optimization process. It improves the balancing between exploration and exploitation phase without changing the population size [Fausto et al., 2017]. SHO algorithm is mainly suitable for gain optimization in decision trees. In meta-heuristic algorithms, SHO is a new branch inspired from group dynamics for gain optimization. SHO is instigated from the simulations of herd and predators searching their food or prey. The algorithm uses search agents moving in n-dimensional space to find solution for optimization problem. The populations of SHO are herd and predators where the individuals are known as search agents. In optimization areas, SHO is proved to be competitive with particle swarm optimization (PSO) [Fausto et al., 2017] for many tasks. The theory of Selfish Herd has been establishing the predation phase. Every herd hunts a possible prey to enhance the survival chance by accumulating with other conspecifics in ways that could increase their chances of surviving a predator attack without regard for how such behavior affects other individuals' chances of survival. This may increase the likelihood of a predator escaping from attacks regardless of how such activities disturb the survival probabilities of other individuals. The proposed SHO algorithm consists of different kinds of search agents like a flock of prey that lives in aggregation (mean of selfish herd), package of predators and predators within the said aggregate. This type of search agents is directed separately through fixed evolutionary operators which are centered on the relationship of the prey and the predator [Anand & Arora, 2020]. The mathematical model of SHO algorithm is given as follows.

4.1 Initialization

The iterative process of SHO's first step is to initialize the random populations of animals as prey and predators thereby having one set of separable locations $S = \{s1, s2, \dots, sN\}$. Here, the population size is denoted by N . The position of animals is limited into lower and upper boundaries and the groups are classified into two, like prey and predator. Equation (9) is utilized to calculate the number of members in prey group.

$$n_p = \text{floor}(n \times \text{rand}(0.7, 0.9)) \quad (9)$$

Here, the quantity of prey group members is denoted as n_p where n denotes the population of the prey and the predators. In SHO, the number of prey (herd's size) is randomly selected within range 70% and 90% of the total population n , while the remainder individuals are labeled as predators. Therefore, 0.7 and 0.9 were the selected random values.

4.2 Assignment of survival value

256 The survival value (SV) of every animal is assigned and it is associated with the current best and worst
 257 positions of a known SV of whole population members. By optimization process, the present best and worst values
 258 are mentioned in the optimization problem. Then, the survival value will be determined by using Equation (10).

$$259 \quad SV = \frac{f(x_i) - f_b}{f_w - f_b} \quad (10)$$

260 Where, worst and best fitness values are denoted by f_w and f_b , respectively. Here, x_i represents the location of
 261 the prey or the predator.

262 4.3 Herd's leader movement

263 All herd members' movement is one of the significant steps in SHO. The location of leader of the herd is updated by
 264 Equation (11) as given in [Femando et al., 2017].

$$265 \quad h_L = \begin{cases} h_L + 2 \times r \times \varphi_{l,p_m} \times (P_m - h_m) & \text{if } SV_{h_L} = 1 \\ h_L + 2 \times r \times \psi_{l,y_{best}} \times (y_{best} - h_L) & \text{if } SV_{h_L} < 1 \end{cases} \quad (11)$$

266 Here, the tested selfish repulsion towards predators by current herd leader is denoted as φ_l , and r denotes the
 267 random number in the range (0, 1). h_L , h_m and p_m are indicated as herd leader, herds center of mass and predators
 268 center of mass, respectively. ψ_L Indicates the selfish attraction examined by the leader of the flock toward the
 269 global best location y_{best} .

270 Moreover, the location of the herd member h_a is updated based on two selections. Equation (12) is utilized to
 271 follow the herd and Equation (14) is utilized to recompense the group. Also, the selection is prepared based on some
 272 random variables.

$$273 \quad h_a = h_a + f_a \quad (12)$$

274 Where,

$$275 \quad f_a = \begin{cases} 2 \times (\beta \times \psi_{h_a,h_L} \times (h_L - h_a) + \gamma \times \psi_{h_a,h_b} (h_b - h_a)) & SV_{h_L} \leq SV_{h_u} \\ 2 \times \delta \times \psi_{h_i,h_m} \times (h_m - h_a) & \text{otherwise} \end{cases} \quad (13)$$

$$276 \quad h_a = h_a + 2 \times \beta \times \psi_{h_L,y_{best}} \times (y_{best} - h_a) + \gamma \times (1 - SV_{h_a}) \times \hat{r} \quad (14)$$

277 Here, ψ_{h_a,h_m} and ψ_{h_a,h_L} indicates the selfish attractions examined through the herd member h_a towards h_b and
 278 h_L , while β , γ and δ indicates the random numbers in the range (0, 1) and present herds' leader position is
 279 denoted as h_b . Also, \hat{r} represents the random direction unit vector.

280 4.4 Predator movement

281 The movement of every separable set of predators, the endurance of entities in the attacked flock and the distance
 282 between the predators from assault predators are taken into account in SHO. Based on the pursuit probability, the
 283 predator movement is determined as given in Equation (15).

$$284 \quad P_i = \frac{\varpi_{p_i, j_j}}{\sum_{m=1}^{N_h} \varpi_{p_i, j_j}} \quad (15)$$

285 The prey attractiveness amongst p_i and h_j is denoted as ϖ_{p_i, j_j} . Then the predator position X_p is updated by
286 Equation (16).

$$287 \quad X_p = X_p + 2 \times r \times (h_r - X_p) \quad (16)$$

288 Where, h_r indicates randomly chosen herd member. In advance, each member of the predator and the prey group
289 survival rate is recomputed by Equation (9).

290 4.5 Predation phase

291 The predation process is executed in this phase. Domain danger is defined by SHO which is signified as area of
292 finite radius around each prey. The domain danger radius R_r of each prey is computed by Equation (17).

$$293 \quad R_r = \frac{\sum_{j=1}^n}{|y_j^l - y_j^u|} \quad (17)$$

294 Where, upper and lower boundary members are represented by y_j^u and y_j^l , respectively and the dimensions are
295 denoted as n . After the radius calculation, a pack of targeted prey is computed by Equation (18).

$$296 \quad T_{p_i} = h_j \in H \mid SV_{h_j} < SV_{p_i} \parallel P_i - h_j \parallel \leq R_r, h_j \notin K \quad (18)$$

297 Here, SV_{h_j} and SV_{p_i} denotes the endurance tenets of P_i and h_j correspondingly. $\parallel p_i - h_j \parallel$ signifies the Euclidean
298 distance amongst the entities P_i and h_i , respectively. Also the herds' population is denoted as H . The probabilities
299 of the existence hunted are computed for every member of the set and is formulated in Equation (19) where K is set
300 of killed herd members $\{K = K, h_j\}$.

$$301 \quad H_{p_i, h_j} = \frac{\varpi_{p_i, h_j}}{\sum_{(h_m \in T_{p_i})} \varpi_{p_i, h_m}}, h_j \in T_{p_i} \quad (19)$$

302 4.6 Restoration phase

303 Finally, the restoration is accomplished by making a set $M = h_j \notin K$. Here, K represents the set of herd
304 member slayed for the duration of the predation phase. The mating probabilities are also determined by each
305 member as in Equation (20),

$$306 \quad P_r = \frac{SV_{h_j}}{\sum_{(h_m \in M)} SV_{h_m}}, h_j \in M \quad (20)$$

307 Each $h_j \in K$ is changed by a different result by SHO's mating operation which is $mix([h_{r_1}, h_{r_2}, \dots, h_{r_m}])$. This
 308 SHO algorithm is utilized to optimize the gain function in data classification operation. Figure 1 displays the flow
 309 diagram of SHO algorithm.

310 **Algorithm 2: Peseudo code for the proposed SHO algorithm in data classification**

```

Start
Initialize the paramtrs and locations of SHO by eq (9)
  For
    Each individual
    Compute survival by eq (10)
  End for
  While  $K < K_{\max}$ 
    For every prey movement
      If prey's leader
        Update the location of prey leader by (11)
      Else
        Update prey location by (14)
      End if
    End for
    For
      Every predator's movement
      For each prey
        Determine predation probability (15)
      End for
      Update predator location by (16)
    End for
    Re-compute survival value using eq (10)
    Compute dangerous radius by (17)
    Predation performance by (18) & (19)
    Restoration performance by eqn (20)
     $K = K + 1$ 
  End while
  Global optimal output
  Fitness for global optimal output
End

```

311

314 **5. Result and Discussion**

315 The efficiency of our proposed method is assessed by comparing its accuracy with other popular
 316 classification methods like Particle Swarm Optimization (PSO) [Chen et al., 2014], Ant Colony Optimization
 317 (ACO) [Otero, Freitas & Johnson, 2012], and Cuckoo Search (CS) Optimization [Cao et al., 2015]. We estimated
 318 the performance of proposed algorithm based on the accuracy as tested in 10 UCI datasets. The accuracy of our
 319 proposed method is comparable to other optimization methods and various classifiers. But the cross validation is not
 320 performed in the proposed approach. The proposed method is greater than all existing methods taken for
 321 comparison. SHO is combined with C4.5 classifier to produce greater accuracy than a standard C4.5 classifier. The
 322 proposed decision tree classifier named C4.5-SHO is further compared with C4.5, ID3 and CART. The description
 323 of ten data sets is tabulated in Table 1. These datasets include Monks, Car, Chess, Breast-cancer, Hayes, Abalone,
 324 Wine, Ionosphere, Iris, and Scale [Arellano, Bory-Reyes & Hernandez-Simon, 2018]. Table 2 shows the
 325 algorithm parameters. Table 3 shows the algorithm parameters for decision tree.

Data set	No of attributes	No of samples	Classes
Monks	7	432	2
Car	6	1728	4
Chess	6	28056	36
Breast-cancer	10	699	2
Hayes	5	160	3
Abalone	8	4177	2
Wine	13	178	2
Ionosphere	34	351	2
Iris	4	150	2
Scale	4	625	2

326
327**Table 1:** Description of data set

SHO		ACO		PSO		CS	
Number of populations	50	Number of populations	50	Number of populations	100	Number of populations	50
Maximum iterations	500	Maximum iterations	500	Maximum iterations	500	Maximum iterations	500
Dimension	5	Phromone Exponential Weight	-1	Inertia weight	-1	Dimension	5
Lower boundary	-1	Heuristic Exponential Weight	1	Inertia weight damping ratio	0.99	Lower bound and upper bound	-1 & 1
Upper boundary	1	Evaporation rate	1	Personal and global learning coefficient	1.5 & 2	Number of nests	20
Prey's rate	0.7, 0.9	Lower bound and upper bound	-1 & 1	Lower bound and upper bound	-10 & 10	Transition probability coefficient	0.1
Number of runs	100	Number of runs	100	Number of runs	100	Number of runs	100

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Table 2: Algorithms parameters and values

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C4.5		ID3		CART	
Confidence factor	0.25	Minimum number of instances in split	10	Complexity parameter	0.01
Minimum instance per leaf	2	Minimum number of instances in a leaf	5	Minimum number of instances in split	20
Minimum number of instances in a leaf	5	Maximum depth	20	Minimum number of instances in a leaf	7
use binary splits only	False	-		Maximum depth	30

332 Table 3: Algorithms parameters for decision tree

333 The proposed method is compared with existing entropies, optimization algorithms and different classifiers.
 334 The effectiveness is estimated based on the accuracy, AUROC and classifier.

335 *a) Accuracy*

336 The classification accuracy is measured based on Equation (21) [Polat & Gne, 2009],

$$337 \quad accuracy(A) = \frac{\sum_{i=1}^{|A|} assess(a_i)}{|A|}, a_i \in A \quad (21)$$

$$338 \quad assess(a) = \begin{cases} 1, & \text{if } classify(a) = a.c \\ 0, & \text{otherwise} \end{cases} \quad (22)$$

339 Here, A is denoted as the dataset to be classified (test set) $a \in A$, $a.c$ is the class of item a and $classify(a)$
 340 returns the classification through C4.5 classifier.

341 In Table 4, the proposed C4.5-SHO decision tree classification accuracy is compared with other classifiers like C4.5,
 342 ID3 and CART. The accuracy of our proposed work is more stable compared to the accuracy achieved by the other
 343 considered algorithms. The accuracy of classification is depended on the training dataset. The dataset is split up into
 344 a training set and test set. The classifier model is trained with training set. Then to evaluate the accuracy of the
 345 classifier, we use test set to predict the labels (which we know) in the test set. The accuracy of Iris data set is high
 346 (0.9986) compared to other data sets. The lowest accuracy of the proposed C4.5-SHO is 0.9437 in Scale data set. In
 347 comparison with existing classifiers, it is observed that the proposed approach has obtained a good accuracy.

Data set	C4.5-SHO	C4.5	ID3	CART
Monks	0.9832	0.966	0.951	0.954
Car	0.9725	0.923	0.9547	0.8415
Chess	0.9959	0.9944	0.9715	0.8954
Breast-cancer	0.9796	0.95	0.9621	0.9531
Hayes	0.9553	0.8094	0.9014	0.7452
Abalone	0.9667	0.9235	0.9111	0.9111
Wine	0.9769	0.963	0.9443	0.9145
Ionosphere	0.9899	0.9421	0.9364	0.9087
Iris	0.9986	0.9712	0.7543	0.8924
Scale	0.9437	0.7782	0.7932	0.7725
Average value	0.97623	0.92208	0.908	0.87884

348 Table 4: Classification accuracy of the proposed classifier C4.5 with C4.5, ID3 and CART

Data set	C4.5-SHO	ACO	PSO	CS
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Monks	0.9832	0.9600	0.9435	0.9563
Car	0.9725	0.9322	0.9298	0.9202
Chess	0.9959	0.9944	0.9944	0.9742
Breast-cancer	0.9796	0.9555	0.954	0.9621
Hayes	0.9553	0.90311	0.9322	0.9415
Abalone	0.9667	0.9500	0.9345	0.9247
Wine	0.9769	0.9240	0.8999	0.8924
Ionosphere	0.9899	0.9583	0.9645	0.9645
Iris	0.9986	0.9796	0.9741	0.9764
Scale	0.9437	0.9060	0.9177	0.8911
Average value	0.97623	0.946311	0.94446	0.94034

349 **Table 5:** Classification accuracy of the proposed Algorithm with ACO, PSO and CS

350 In Table 5, the proposed C4.5-SHO decision tree classification accuracy is compared with other algorithms
 351 like ACO, PSO and CS. The accuracy of our proposed work is more stable compared to the accuracy achieved by
 352 the other considered algorithms. The accuracy of Iris data set is high (0.9986) compared to other data sets. The
 353 lowest accuracy of the proposed C4.5-SHO is 0.9437 in Scale data set. In comparison with existing algorithms, the
 354 proposed approach achieved good accuracy.

355 *b) Area under ROC (AUROC)*

356 The performance of classification model is shown through graph analysis of area under the Receiver
 357 Operating Characteristic curve (AUROC). This is dependent upon the attributes as well as classes. The proposed
 358 C4.5-SHO is compared with other classifiers like C4.5, ID3 and CART. The AUROC results presented in Table 6
 359 which shows that the AUROC value of proposed method is better than other algorithms.

Dataset	C4.5-SHO	C4.5	ID3	CART
Monks	0.9619	0.95713	0.9636	0.9791
Car	0.9819	0.9393	0.9891	0.8933
Chess	0.9673	0.9252	0.9090	0.9049
Breast-cancer	0.9793	0.9171	0.9730	0.9218
Hayes	0.9874	0.9069	0.9108	0.8360
Abalone	0.9647	0.9224	0.9573	0.9082
Wine	0.9914	0.9772	0.9497	0.9739
Ionosphere	0.9943	0.9680	0.9059	0.9560
Iris	0.9890	0.9048	0.7945	0.9481
Scale	0.9850	0.8562	0.7845	0.8007
Average value	0.98022	0.92742	0.91374	0.9122

360 **Table 6:** Area under the ROC curve of proposed C4.5 with ID3 and CART

Dataset	C4.5-SHO	ACO	PSO	CS
Monks	0.9935	0.9874	0.97668	0.9733
Car	0.98452	0.97908	0.97583	0.9659
Chess	0.99931	0.98612	0.9815	0.9503
Breast-cancer	0.9854	0.9795	0.9695	0.9581
Hayes	0.99616	0.92611	0.9442	0.9571
Abalone	0.9885	0.9828	0.9694	0.9566
Wine	0.9932	0.9830	0.8977	0.8964
Ionosphere	0.9954	0.9741	0.9630	0.9569
Iris	0.9873	0.9687	0.9656	0.9578
Scale	0.9858	0.9266	0.9165	0.8968

Average value	0.9909	0.96934	0.95599	0.94692
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361 **Table 7:** Area under ROC curve of the proposed Algorithm with ALO, PSO and CS

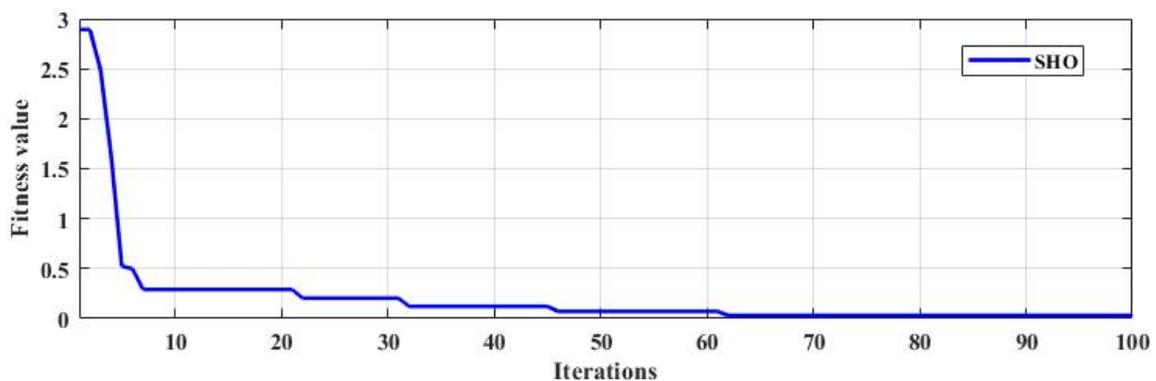
362 The proposed C4.5-SHO is compared with other optimization algorithms like ACO, PSO and CS. The AUROC
 363 results are presented in Table 7 which shows that the proposed AUROC value is better than existing algorithms. It is
 364 revealed that SHO not only reduces the complexity of decision trees but also enhances the accuracy.

365 *c) Different entropy comparison*

366 Based on the Ray's quadratic entropy, the information gain is optimized through SHO algorithm. The entropy
 367 with SHO is compared to traditional SHO in terms of other entropies, such as C4.5-SHO (Shanon entropy), C4.5-
 368 SHO (Havrda & charvt entropy), C4.5- SHO (Renyi entropy) and C4.5- SHO (Taneja entropy). The quadratic
 369 entropy is the measure of disorder in the range between entire arranged (ordered) and unarranged (disordered) data
 370 in the given dataset. The Quadratic entropy is successfully measured for the disorders in the datasets. The
 371 classification accuracy is improved by the quadratic entropy than other entropies. Hence, the proposed work follows
 372 Ray's quadratic entropy to get a better output. Compared to other entropies, the Quadratic entropy achieved better
 373 accuracy in data classification for all data sets. Table 8 shows the entropy comparisons with proposed SHO.

Dataset	C4.5-SHO (Shanon entropy)	C4.5 – SHO (Havrda & charvt entropy)	C4.5 – SHO (Quadratic entropy)	C4.5- SHO (Renyi entropy)	C4.5- SHO (Taneja entropy)
Monks	0.9429	0.9756	0.9859	0.9926	0.9415
Car	0.9585	0.9527	0.9753	0.9895	0.9700
Chess	0.9510	0.9535	0.9907	0.9809	0.9401
Breast-cancer	0.9852	0.9558	0.9863	0.9564	0.9672
Hayes	0.9579	0.9460	0.9981	0.9476	0.9102
Abalone	0.9556	0.9618	0.9789	0.9715	0.9447
Wine	0.9485	0.9731	0.9823	0.9297	0.9317
Ionosphere	0.9319	0.9415	0.9665	0.9636	0.9036
Iris	0.9465	0.9807	0.9832	0.9514	0.9428
Scale	0.9725	0.8936	0.9747	0.9617	0.9031
Average Value	0.95505	0.95343	0.98219	0.96449	0.93549

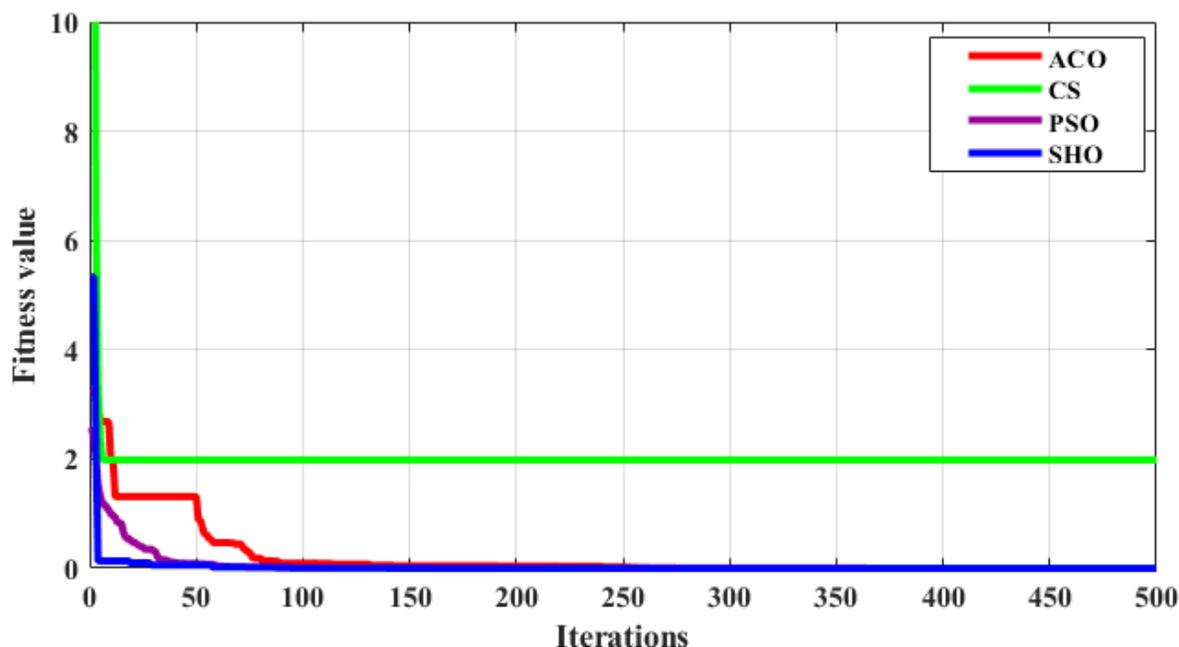
374 **Table 8:** Entropy comparison



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Figure 2: Convergence evaluation of SHO



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Figure 3: Comparison of convergence plot

379 The gain parameter is optimized by proposed C4.5-SHO algorithm in order to make a decision. An optimal
 380 gain value is selected through the fitness function mentioned in Equation (8). Initially, gain is calculated for each
 381 attribute used in the decision tree. If the number of iteration increases, the gain value will be changed on every
 382 iteration. Further, the fitness is nothing but the difference between actual gain and new gain. Therefore, the gain
 383 values of the attributes are noted for every iteration. The proposed optimization algorithm provided the optimal best
 384 gain value at 100th iteration as seen in the convergence plot in Figure 2. Finally, the gain error was minimized with
 385 the help of C4.5-SHO algorithm.

386 Figure 3 illustrates the convergence plot of proposed SHO and similar existing algorithms for average of all
 387 datasets. The proposed SHO achieved good convergence compared to existing techniques. The proposed work is
 388 based on gain optimization with SHO algorithm whereas the execution time is also the most important factor in data
 389 classification approach. On comparing the time-taken for analysis, the proposed method needs low computational
 390 time than the existing algorithms like ACO (0.974s), PSO (0.54s) and CS (0.6s). Table 9 and Figure 4 illustrate the
 391 computational time comparison for average of all datasets.

Algorithm	Time(sec)
ACO	0.974
PSO	0.54
CS	0.6
SHO	0.49

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Table 9: Computational Time

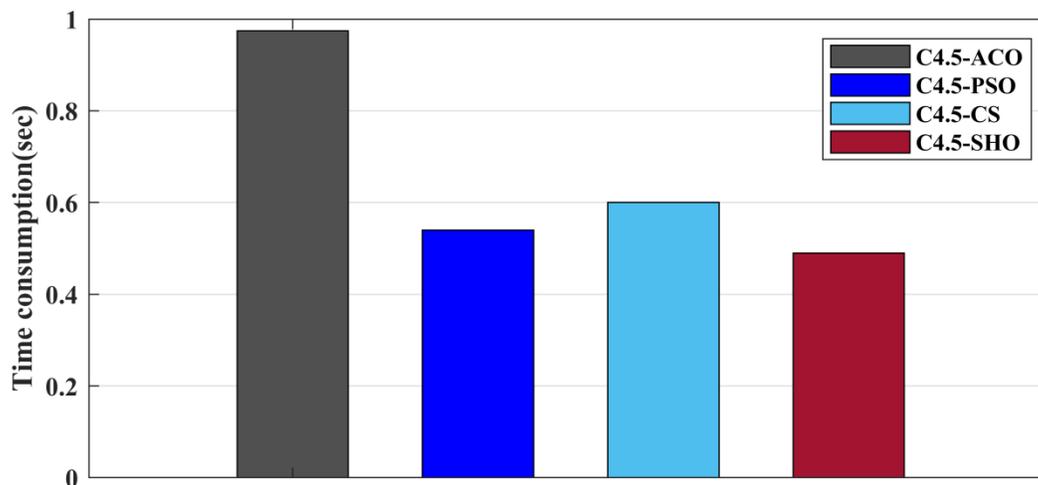


Figure 4: Comparison of computational time

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395 6. Conclusion

396 Data mining is a broad area that integrates techniques from several fields including machine learning,
 397 statistics, artificial intelligence, and database systems for the analysis of a large amount of data. This paper presented
 398 a gain optimization technique termed as C4.5-SHO. The effectiveness of quadratic entropy is estimated and
 399 discussed to evaluate the attributes in different datasets. This article presents the most influential algorithms for
 400 classification. The gain of data classification information is optimized by the proposed SHO algorithm. The
 401 evaluation of C4.5 decision tree based SHO results show that the AUROC is the best measure because of the
 402 classification of unbalanced data. The accuracy of proposed C4.5-SHO technique is higher than the existing
 403 techniques like C4.5, ID3 and CART. The proposed approach is compared with the algorithms of ACO, PSO and
 404 CS for AUROC. A better accuracy (average 0.9762), better AUROC (average 0.9909) and a better computational
 405 time (0.49s) are obtained from the gain optimized technique of C.5-SHO. In future, hybrid optimization technique is
 406 utilized to improve the data classification information gain.

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Figure 1

Flow diagram of SHO

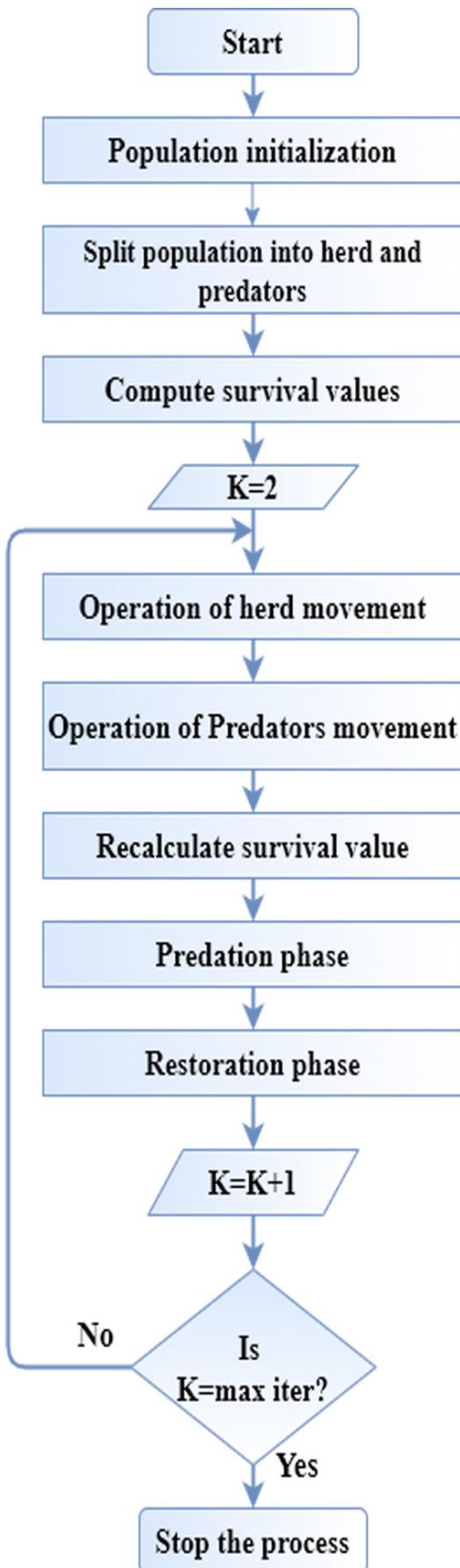


Figure 2

Convergence evaluation of SHO

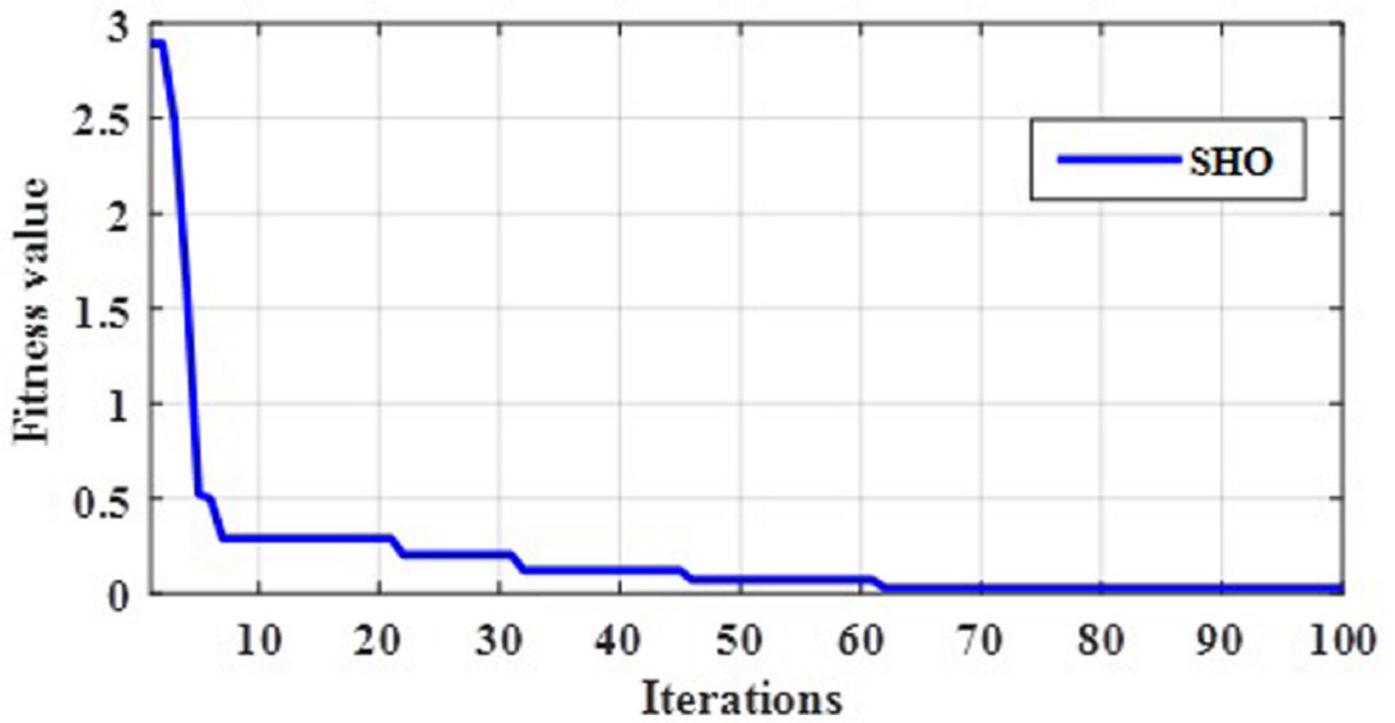


Figure 3

Figure 3

Comparison of convergence plot

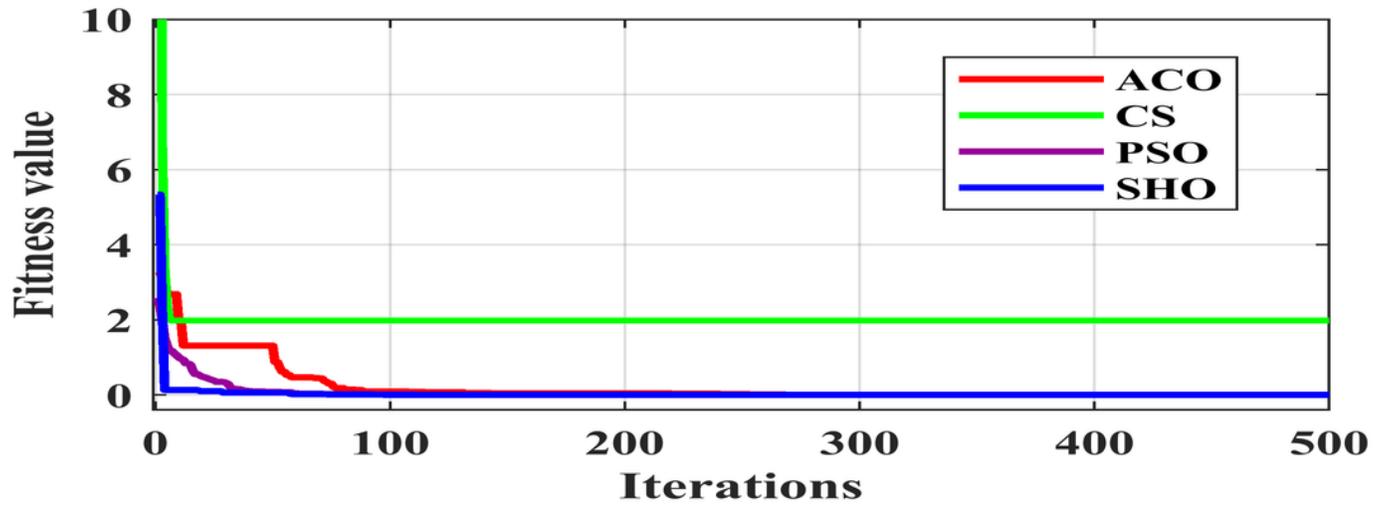


Table 1 (on next page)

Description of data set

Data set	No of attributes	No of samples	Classes
Monks	7	432	2
Car	6	1728	4
Chess	6	28056	36
Breast-cancer	10	699	2
Hayes	5	160	3
Abalone	8	4177	2
Wine	13	178	2
Ionosphere	34	351	2
Iris	4	150	2
Scale	4	625	2

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Table 1: Description of data set

Figure 4

Comparison of computational time

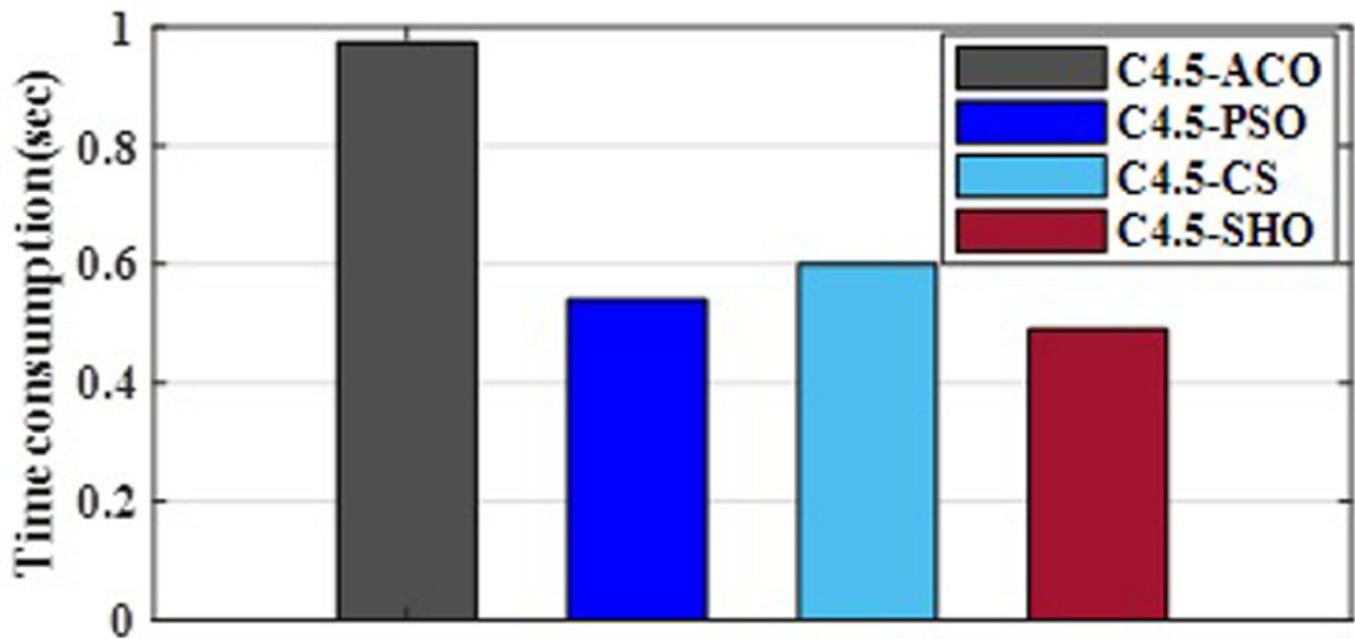


Table 2 (on next page)

Algorithms parameters and values

SHO		ACO		PSO		CS	
Number of populations	50	Number of populations	50	Number of populations	100	Number of populations	50
Maximum iterations	500	Maximum iterations	500	Maximum iterations	500	Maximum iterations	500
Dimension	5	Phromone Exponential Weight	-1	Inertia weight	-1	Dimension	5
Lower boundary	-1	Heuristic Exponential Weight	1	Inertia weight damping ratio	0.99	Lower bound and upper bound	-1 & 1
Upper boundary	1	Evaporation rate	1	Personal and global learning coefficient	1.5 & 2	Number of nests	20
Prey's rate	0.7, 0.9	Lower bound and upper bound	-1 & 1	Lower bound and upper bound	-10 & 10	Transition probability coefficient	0.1
Number of runs	100	Number of runs	100	Number of runs	100	Number of runs	100

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Table 2: Algorithms parameters and values

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Table 3 (on next page)

Algorithms parameters for decision tree

C4.5		ID3		CART	
Confidence factor	0.25	Minimum number of instances in split	10	Complexity parameter	0.01
Minimum instance per leaf	2	Minimum number of instances in a leaf	5	Minimum number of instances in split	20
Minimum number of instances in a leaf	5	Maximum depth	20	Minimum number of instances in a leaf	7
use binary splits only	False	-		Maximum depth	30

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Table 3: Algorithms parameters for decision tree

Table 4(on next page)

Classification accuracy of the proposed classifier C4.5 with C4.5, ID3 and CART

Data set	C4.5-SHO	C4.5	ID3	CART
Monks	0.9832	0.966	0.951	0.954
Car	0.9725	0.923	0.9547	0.8415
Chess	0.9959	0.9944	0.9715	0.8954
Breast-cancer	0.9796	0.95	0.9621	0.9531
Hayes	0.9553	0.8094	0.9014	0.7452
Abalone	0.9667	0.9235	0.9111	0.9111
Wine	0.9769	0.963	0.9443	0.9145
Ionosphere	0.9899	0.9421	0.9364	0.9087
Iris	0.9986	0.9712	0.7543	0.8924
Scale	0.9437	0.7782	0.7932	0.7725
Average value	0.97623	0.92208	0.908	0.87884

1

2

Table 4: Classification accuracy of the proposed classifier C4.5 with C4.5, ID3 and CART

3

Table 5 (on next page)

Classification accuracy of the proposed Algorithm with ACO, PSO and CS

Data set	SHO-C4.5	ACO	PSO	CS
Monks	0.9832	0.9600	0.9435	0.9563
Car	0.9725	0.9322	0.9298	0.9202
Chess	0.9959	0.9944	0.9944	0.9742
Breast-cancer	0.9796	0.9555	0.954	0.9621
Hayes	0.9553	0.90311	0.9322	0.9415
Abalone	0.9667	0.9500	0.9345	0.9247
Wine	0.9769	0.9240	0.8999	0.8924
Ionosphere	0.9899	0.9583	0.9645	0.9645
Iris	0.9986	0.9796	0.9741	0.9764
Scale	0.9437	0.9060	0.9177	0.8911
Average value	0.97623	0.946311	0.94446	0.94034

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Table 5: Classification accuracy of the Proposed Algorithm with ALO, PSO and CS

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Table 6 (on next page)

Area under the ROC curve of proposed C4.5 with ID3 and CART

Dataset	C4.5-SHO	C4.5	ID3	CART
Monks	0.9619	0.95713	0.9636	0.9791
Car	0.9819	0.9393	0.9891	0.8933
Chess	0.9673	0.9252	0.9090	0.9049
Breast-cancer	0.9793	0.9171	0.9730	0.9218
Hayes	0.9874	0.9069	0.9108	0.8360
Abalone	0.9647	0.9224	0.9573	0.9082
Wine	0.9914	0.9772	0.9497	0.9739
Ionosphere	0.9943	0.9680	0.9059	0.9560
Iris	0.9890	0.9048	0.7945	0.9481
Scale	0.9850	0.8562	0.7845	0.8007
Average value	0.98022	0.92742	0.91374	0.9122

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Table 6: Area under the ROC curve of proposed C4.5 with ID3 and CART

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Table 7 (on next page)

Area under ROC curve of the proposed Algorithm with ALO, PSO and CS

Dataset	C4.5-SHO	ACO	PSO	CS
Monks	0.9935	0.9874	0.97668	0.9733
Car	0.98452	0.97908	0.97583	0.9659
Chess	0.99931	0.98612	0.9815	0.9503
Breast-cancer	0.9854	0.9795	0.9695	0.9581
Hayes	0.99616	0.92611	0.9442	0.9571
Abalone	0.9885	0.9828	0.9694	0.9566
Wine	0.9932	0.9830	0.8977	0.8964
Ionosphere	0.9954	0.9741	0.9630	0.9569
Iris	0.9873	0.9687	0.9656	0.9578
Scale	0.9858	0.9266	0.9165	0.8968
Average value	0.9909	0.96934	0.95599	0.94692

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Table 7: Area under ROC curve of the proposed Algorithm with ALO, PSO and CS

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Table 8(on next page)

Entropy comparison

Dataset	C4.5-SHO (Shanon entropy)	C4.5 – SHO (Havrda & charvt entropy)	C4.5 – SHO (Quadratic entropy)	C4.5- SHO (Renyi entropy)	C4.5- SHO (Taneja entropy)
Monks	0.9429	0.9756	0.9859	0.9926	0.9415
Car	0.9585	0.9527	0.9753	0.9895	0.9700
Chess	0.9510	0.9535	0.9907	0.9809	0.9401
Breast-cancer	0.9852	0.9558	0.9863	0.9564	0.9672
Hayes	0.9579	0.9460	0.9981	0.9476	0.9102
Abalone	0.9556	0.9618	0.9789	0.9715	0.9447
Wine	0.9485	0.9731	0.9823	0.9297	0.9317
Ionosphere	0.9319	0.9415	0.9665	0.9636	0.9036
Iris	0.9465	0.9807	0.9832	0.9514	0.9428
Scale	0.9725	0.8936	0.9747	0.9617	0.9031
Average Value	0.95505	0.95343	0.98219	0.96449	0.93549

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Table 8: Entropy comparison

Table 9 (on next page)

Computational Time

Algorithm	Time(sec)
ACO	0.974
PSO	0.54
CS	0.6
SHO	0.49

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Table 9: Computational Time