

# Adaptive neural PD controllers for mobile manipulator trajectory tracking

Jose de Jesus Hernandez Barragan <sup>Corresp., 1</sup>, Jorge Daniel Rios Arrañaga <sup>1</sup>, Javier Enrique Gomez Avila <sup>1</sup>, Nancy Guadalupe Arana Daniel <sup>1</sup>, Carlos Alberto Lopez Franco <sup>1</sup>, Alma Yolanda Alanis Garcia <sup>1</sup>

<sup>1</sup> Department of Computer Science, University of Guadalajara, Guadalajara, Jalisco, México

Corresponding Author: Jose de Jesus Hernandez Barragan  
Email address: josed.hernandezb@academicos.udg.mx

Artificial intelligence techniques have been used in the industry to control complex systems; among these proposals, adaptive PID (Proportional, Integrative, Derivative) controllers are intelligent versions of the most used controller in the industry. This work presents an adaptive neuron PD controller and a multilayer neural PD controller for position tracking of a mobile manipulator. Both controllers are trained by an extended Kalman filter (EKF) algorithm. Neural networks trained with the EKF algorithm show faster learning speeds and convergence times than the training based on backpropagation. The integrative term in PID controllers eliminates the steady-state error, but it provokes oscillations and overshoot. Moreover, the cumulative error in the integral action may produce windup effects such as high settling time, poor performance, and instability. The proposed neural PD controllers adjust their gains dynamically, which eliminates the steady-state error. Then, the integrative term is not required, and oscillations and overshoot are highly reduced. Removing the integral part also eliminates the need for anti-windup methodologies to deal with the windup effects. Mobile manipulators are popular due to their mobile capability combined with a dexterous manipulation capability, which gives them the potential for many industrial applications. Applicability of the proposed adaptive neural controllers is presented by simulating experimental results on a KUKA Youbot mobile manipulator, presenting different tests and comparisons with the conventional PID controller.

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3 Jesus Hernandez-Barragan, Jorge D. Ríos, Javier Gomez-Avila, Nancy  
4 Arana-Daniel, Carlos Lopez-Franco, and Alma Y. Alanis

5 University of Guadalajara, Center of Exact Sciences and Engineering, Department of  
6 Computer Science, Blvd. Gral. Marcelino García Barragán 1421, Olímpica, 44430  
7 Guadalajara, Jalisco, Mexico.

8 Corresponding author:  
9 Jesus Hernandez-Barragan\*

10 Email address: josed.hernandezb@academicos.udg.mx

## 11 ABSTRACT

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13 proposals, adaptive PID (Proportional, Integrative, Derivative) controllers are intelligent versions of the  
14 most used controller in the industry. This work presents an adaptive neuron PD controller and a multilayer  
15 neural PD controller for position tracking of a mobile manipulator. Both controllers are trained by an  
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17 learning speeds and convergence times than the training based on backpropagation. The integrative term  
18 in PID controllers eliminates the steady-state error, but it provokes oscillations and overshoot. Moreover,  
19 the cumulative error in the integral action may produce windup effects such as high settling time, poor  
20 performance, and instability. The proposed neural PD controllers adjust their gains dynamically, which  
21 eliminates the steady-state error. Then, the integrative term is not required, and oscillations and overshoot  
22 are highly reduced. Removing the integral part also eliminates the need for anti-windup methodologies to  
23 deal with the windup effects. Mobile manipulators are popular due to their mobile capability combined  
24 with a dexterous manipulation capability, which gives them the potential for many industrial applications.  
25 Applicability of the proposed adaptive neural controllers is presented by simulating experimental results on  
26 a KUKA Youbot™ mobile manipulator, presenting different tests and comparisons with the conventional  
27 PID controller.

## 28 INTRODUCTION

29 Artificial intelligence (AI) has been very present in our society in the past few years; however, its use  
30 in the industry dates back to decades, Bryson (2019). Due to the recent interest in AI, many works  
31 have been reported in the literature in many research areas: control, internet of things, natural language  
32 processing, machine vision, medicine, robotics, security, social application, among others, Bryson (2019);  
33 Maglogiannis et al. (2020). When facing a control problem, the PID (Proportional Integral, Derivative)  
34 controllers are commonly used as the first approach. PID controllers still among the most popular  
35 controllers in the industry, mainly for their simplicity and good results, even if these results can vary due  
36 to uncertainties in operating conditions and environmental parameters Åström and Hägglund (1995); Ogata  
37 (2010). The main drawbacks of PID controllers are they are only adequate for a nominal process, they  
38 have a bad performance under systems uncertainties in operating conditions, and changing environmental  
39 conditions, Tian et al. (1999). It is well-known that with the knowledge of the system plan model, there  
40 are techniques to improve the selection of PID parameters; however, most of these techniques are offline  
41 methodologies, Johnson and Moradi (2006); Visioli (2006); Ogata (2010). Due to the above drawbacks,  
42 artificial intelligence has been used as a tool to solve these inconveniences.

43 In the literature, there are several works for adapting PID parameters, some based on artificial  
44 intelligence methodologies; among them, neural PID controllers stand out Hernandez-Barragan et al.  
45 (2020). Neural PID controllers learning capabilities allow them to adapt to unmodeled dynamics,  
46 communication time-delays, actuator saturation, among others, Ge et al. (2004); Lopez-Franco et al.

47 (2017); Sarangapani (2018); Gomez-Avila (2019). Moreover, they are capable of being trained online,  
48 which is necessary for the task of adapting while operating. Adaptive neural PID controllers trained with  
49 the Extended Kalman filter (EKF) algorithm have proved to show faster learning speeds and convergence  
50 times than adaptive neural PID based on backpropagation, which makes them ideal for experimental and  
51 real-time tests Hernandez-Barragan et al. (2020). Additionally, training algorithms based on Extended  
52 Kalman filter (EKF) have been proven reliable for recurrent and feedforward neural networks for control  
53 applications, which some of them are real-time applications Haykin (2004); Sanchez et al. (2010); Alanis  
54 et al. (2019); Rios et al. (2020) .

55 Besides the already mentioned withdraws of PID controllers, another common problem is a windup  
56 effect due to the accumulative error action of integral part of the controller. This effect produces saturation  
57 on actuators and contributes to low-performance, overshoot, high settling time, and instability, losing  
58 controllability, Visioli (2006); Kumar and Negi (2012); Hernandez-Barragan et al. (2020). Considering  
59 the previously said, including anti-windup strategies when using PID controllers is something to consider.  
60 Among proposed anti-windup strategies limiter integrator, back-calculation, and observer approach,  
61 Visioli (2006); Kumar and Negi (2012); Kheirkhahan (2017); Angel et al. (2019). The integral term is  
62 important as it allows to eliminate the steady-state error that the proportional term cannot suppress with  
63 a fixed proportional gain. However, the integral action causes oscillations and overshoot. This work  
64 proposes an adaptive neural PD controller that not requires the use of an integral part. This approach  
65 adapts its weights dynamically, eliminating the steady-state error, and oscillations and overshoot are  
66 highly suppressed. Additionally, the proposed approach does not suffer from windup effects because there  
67 is no cumulative error of an integral part.

68 Mobile manipulator robots combine mobile platforms and robotic arms, extending operational range  
69 and functionality, allowing mobile manipulators to accomplish tasks that are difficult or non-doable  
70 for a manipulator or a mobile platform by themselves, Sheng Lin and Goldenberg (2001); Li and Ge  
71 (2017). Among these applications: construction, health-care, nuclear reactor maintenance, manufacturing,  
72 military operations, and planetary exploration. Some of those tasks can put human lives at risk, Sheng  
73 Lin and Goldenberg (2001); Li and Ge (2017). However, these advantages come with complexity and  
74 difficulty at the time of designing controllers, Li and Ge (2017), which for some tasks, conventional PID  
75 performances may not be enough for control objectives, and adaptive intelligent techniques stand out  
76 as plausible solutions. This work proposes adaptive neural PD controllers trained online with extended  
77 Kalman filter (EKF) based training algorithms for trajectory tracking of mobile robots. The proposal  
78 includes a single neuron and a multilayer neuron controllers. Without the integral part of a PID controller,  
79 these adaptive controllers achieve a good performance, reduce overshoot and steady-state errors, having  
80 better performance than conventional PID controllers. Also, the proposed adaptive neural PD controllers  
81 are more robust than classic PID.

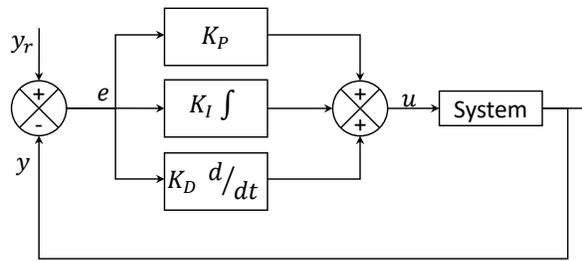
82 The remaining of this paper is organized as follows: Section presents a summary of PID and PD  
83 controllers and the description of the adaptive neural PD controller use in this work. Section includes the  
84 implementation of the proposal on mobile manipulators. Section shows the performance of the adaptive  
85 neural PD controller on simulation and experimental results on a KUKA<sup>TM1</sup> mobile manipulator.

## 86 ADAPTIVE NEURAL PD CONTROLLERS

87 PID controllers consist of applying the sum of three types of control actions, proportional, integral, and  
88 derivative correctly, Visioli (2006); Temel et al. (2013). Moreover, simpler controllers can be used P, PD,  
89 and PI, which may be enough for some applications, especially linear ones and under regulated conditions.  
90 Nevertheless, the PID controller appears as the better of them. Even if there are more robust control  
91 schemes reported in the literature, the popularity of PID is mainly due to its simple implementation.  
92 Inspire in the popularity of the PID, several works have been proposed to improve PID controllers, but  
93 most of those works introduce complex methodologies.

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<sup>1</sup>KUKA is a registered trademark of KUKA AG



**Figure 1.** Control PID scheme.

94 The primary use of the P controller is to reduce the steady-state error of the system. As the proportional  
 95 gain  $k_p$  increases, the steady-state error decreases. However, the steady-state error will not be eliminated  
 96 because increasing  $k_p$  leads to overshoot, smaller amplitude, phase margin, faster dynamics, and more  
 97 sensitivity to noise. This control is recommended when the system is tolerable to a constant steady-state  
 98 error. The use of PI controllers is to eliminate the steady-state error resulting from the P controller.  
 99 However, it harms the speed of response and system stability. This control is used when the speed of  
 100 the system is not an issue. PI controller cannot decrease the rise time and eliminate the oscillations, and  
 101 overshoot is always present. PD controller increases system stability by improving control since it can  
 102 predict the future error of the system response. Derivative controllers respond to changing error signals,  
 103 but they do not respond to constant error signals. Due to this, derivative control D is combined with  
 104 proportional control P. PID controller needs the derivative gain component in addition to the PI controller  
 105 to reduce the overshoot and oscillations occurring in the output response of the system. A control scheme  
 106 of the PID controller is presented in 1. The manual tuning of the proportional  $K_P$ , integrative  $K_I$ , and  
 107 derivative  $K_D$  gains represent an inconvenience of conventional PID controllers. This paper introduces the  
 108 use of neural PID controllers to adjust themselves online during the operation of the system, even with  
 109 changes in the nature of the problem.

### 110 Adaptive neural PD controller

111 The proposed adaptive single neuron PD (SNPD) controller is illustrated in Figure 2. The value  $e$   
 112 represents an error (1) between the reference  $y_r$  and the system output  $y$ . The inputs  $x_1$  and  $x_2$  are defined  
 113 as the proportional (2) and the derivative (3) errors. The weights  $\omega_1$  and  $\omega_2$ , are adapted online using  
 114 the EKF algorithm. The weight  $\omega_1$  represents the proportional gain, and  $\omega_2$  represents the derivative  
 115 gain. The value  $v$  is computed as the weighted sum of the inputs of the neuron (4). Finally, the output  
 116 of the neuron  $\hat{y}$  is computed with (5), where the activation function is selected as  $\tanh(\cdot)$  and  $\alpha$  scales its  
 117 amplitude. The activation function reacts in the range  $[-1, 1]$ . However, the parameter  $\alpha$  can be selected  
 118 to adequate the control action, since the output of the neuron is directly considered as the control law  
 119  $u(k) = \hat{y}(k)$ .

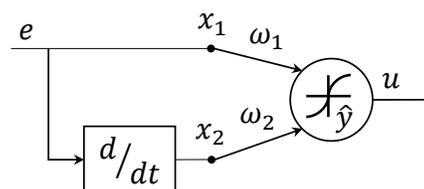
$$e(k) = y_r(k) - y(k), \quad (1)$$

$$x_1(k) = e(k), \quad (2)$$

$$x_2(k) = e(k) - e(k-1), \quad (3)$$

$$v(k) = \omega_1(k)x_1(k) + \omega_2(k)x_2(k), \quad (4)$$

$$\hat{y}(k) = \alpha \tanh(v(k)). \quad (5)$$



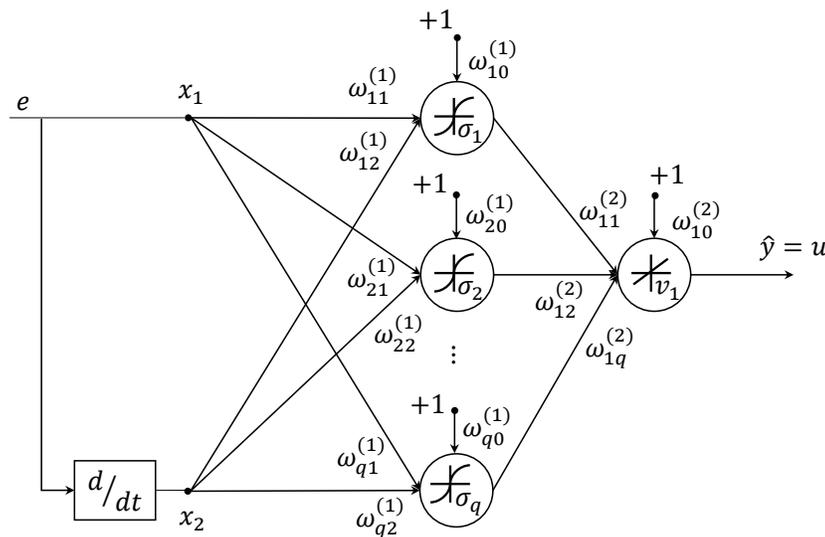
**Figure 2.** Adaptive single neuron PID controller.

120 This work proposed an adaptive neuron PD trained with the EKF algorithm. The EKF provides faster  
121 learning rates and convergence time than backpropagation, which is crucial for online training.

### 122 Adaptive multilayer PD controller

123 The most critical disadvantage of conventional PD controllers is that it is not suitable for nonlinear,  
124 time-variant systems. The Multilayer network PD (MNPDP) scheme is depicted in Figure 3, and it consists  
125 of a fully connected neural network with one hidden layer with multiples nodes and one node at the output  
126 layer. The network input is the error and the derivative between a reference value and the system output.  
127 The neural network is trained online using an extended Kalman filter-based algorithm; the objective is to  
128 reduce the tracking error by adapting online the output of the network, which is the control signal to the  
129 system, it is  $u(k) = \hat{y}(k)$ .

130 Consider a neural network as shown in Figure 3 with 2 input signals and  $q$  nodes in the hidden layer.



**Figure 3.** MLP architecture. In this case, the network has one hidden layer whose weights are denoted by  $\omega_{ij}^{(1)}$  and the output layer has one node and its weights are represented with  $\omega_{1j}^{(2)}$ .

131 The output of the network is given by

$$\sigma_i(k) = \tanh(n_i(k)), \quad i = 1 \dots q, \quad (6)$$

$$n_i(k) = \sum_{j=0}^2 \omega_{ij}^{(1)}(k)x_j(k), \quad x_0(k) = +1, \quad (7)$$

$$v_1(k) = \sum_{k=0}^q \omega_{1j}^{(2)}(k)u_k(k), \quad u_0(k) = +1, \quad (8)$$

$$\hat{y}(k) = v_1(k). \quad (9)$$

### 132 Extended Kalman filter based training algorithm for neural networks

133 The most critical disadvantage of conventional PD controllers is that it is not suitable for nonlinear,  
134 time-variant systems. The Multilayer network PD (MNPDP) scheme is depicted in Figure 3, and it consists  
135 of a fully connected neural network with one hidden layer with multiples nodes and one node at the output  
136 layer. The network input is the error and the derivative between a reference value and the system output.  
137 The neural network is trained online using an extended Kalman filter-based algorithm; the objective is to  
138 reduce the tracking error by adapting online the output of the network, which is the control signal to the  
139 system; it is  $u(k) = \hat{y}(k)$ .

$$\mathbf{K}(k) = \mathbf{P}(k)\mathbf{H}(k) \left[ \mathbf{R}(k) + \mathbf{H}^T(k)\mathbf{P}(k)\mathbf{H}(k) \right]^{-1}, \quad (10)$$

$$\boldsymbol{\omega}(k+1) = \boldsymbol{\omega}(k) + \eta\mathbf{K}(k)\mathbf{e}(k), \quad (11)$$

$$\mathbf{P}(k+1) = \mathbf{P}(k) - \mathbf{K}(k)\mathbf{H}^T(k)\mathbf{P}(k) + \mathbf{Q}(k), \quad (12)$$

$$\mathbf{h}_{ij}(k) = \left[ \frac{\partial y_i(k)}{\partial \omega_j(k)} \right]. \quad (13)$$

140 where  $\boldsymbol{\omega} \in \mathbb{R}^n$  is the weight vector,  $\mathbf{K} \in \mathbb{R}^{n \times m}$  is the Kalman gain vector with  $n$  as the number of weights,  
 141 and  $m$  the number of outputs of the neural network;  $\mathbf{P} \in \mathbb{R}^{n \times n}$ ,  $\mathbf{Q} \in \mathbb{R}^{n \times n}$ , and  $\mathbf{R} \in \mathbb{R}^{m \times m}$  are covariance  
 142 matrices of weight estimation error, estimation noise, and error noise, respectively;  $\eta \in \mathbb{R}$  is the Kalman  
 143 filter learning rate, and  $\mathbf{H} \in \mathbb{R}^{n \times m}$  is a matrix whose entries  $h_{ij}$  are the derivative of the neural network  
 144 output with respect to each weight Eq. (13),  $y_i \in \mathbb{R}$  is the  $i$ -th output of the neural network and  $j = 1 \cdots n$ ,  
 145 the error  $\mathbf{e} \in \mathbb{R}^m$  is defined as the difference between the desired output and the neural network output,  
 146 Sanchez and Alanis (2006).

147 **Single neuron EKF training algorithm.** The EKF algorithm adjusts the weights  $\omega_1$  and  $\omega_2$  for the single  
 148 neuron using an online training. The single neuron scheme is composed by  $n = 2$  weights and  $m = 1$   
 149 neuron output. Then, the dimension of EKF matrices are  $\mathbf{K} \in \mathbb{R}^{2 \times 1}$ ,  $\mathbf{P} \in \mathbb{R}^{2 \times 2}$ ,  $\mathbf{Q} \in \mathbb{R}^{2 \times 2}$ ,  $\mathbf{R} \in \mathbb{R}^{1 \times 1}$  and  
 150  $\mathbf{H} \in \mathbb{R}^{2 \times 1}$ . The weight vector is defined as  $\boldsymbol{\omega} \in \mathbb{R}^2$  that includes  $\omega_1$  and  $\omega_2$ , and the error  $e \in \mathbb{R}$  is given  
 151 by (1). The matrix  $\mathbf{H}$  can be computed as

$$\mathbf{H}(k) = \begin{bmatrix} \frac{\partial \hat{y}(k)}{\partial \omega_1(k)} & \frac{\partial \hat{y}(k)}{\partial \omega_2(k)} \end{bmatrix}^T = \begin{bmatrix} \frac{\partial \hat{y}(k)}{\partial v(k)} \frac{\partial v(k)}{\partial \omega_1(k)} & \frac{\partial \hat{y}(k)}{\partial v(k)} \frac{\partial v(k)}{\partial \omega_2(k)} \end{bmatrix}^T = \begin{bmatrix} \alpha \operatorname{sech}^2(v(k))x_1(k) \\ \alpha \operatorname{sech}^2(v(k))x_2(k) \end{bmatrix}. \quad (14)$$

152 **Multilayer network EKF training algorithm.** The EKF algorithm adjusts the weights  $\omega_{ij}^{(1)}(k)$  and  $\omega_{j1}^{(2)}(k)$   
 153 for the multilayer network using an online training. The multilayer network scheme is composed by  $n$   
 154 weights and  $m = 1$  neuron output. Then, the dimension of EKF matrices are  $\mathbf{K} \in \mathbb{R}^{n \times 1}$ ,  $\mathbf{R} \in \mathbb{R}^{1 \times 1}$  and  
 155  $\mathbf{H} \in \mathbb{R}^{n \times 1}$ . The error  $e \in \mathbb{R}$  is given by (1). The matrix  $\mathbf{H}$  can be expressed as

$$\mathbf{H}(k) = \begin{bmatrix} \frac{\partial \hat{y}(k)}{\partial w_{10}^{(1)}(k)} & \frac{\partial \hat{y}(k)}{\partial w_{11}^{(1)}(k)} & \cdots & \frac{\partial \hat{y}(k)}{\partial w_{1q}^{(2)}(k)} \end{bmatrix}, \quad (15)$$

$$= \begin{bmatrix} \gamma(n_1(k))x_0(k) & \cdots & \gamma(n_1(k))x_p(k) & \gamma(n_2(k))x_0(k) & \cdots \\ & & u_0(k) & u_1(k) & \cdots & u_q(k) \end{bmatrix}, \quad (16)$$

156 with

$$\gamma(n_i(k)) = w_{1i}^{(2)}(k) (\operatorname{sech}^2(n_i(k))), \quad i = 1, \dots, q, \quad (17)$$

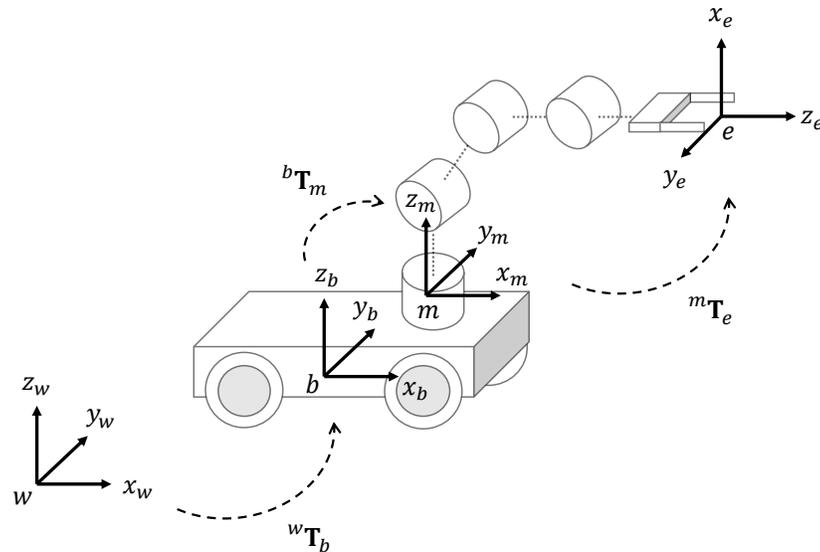
## 157 IMPLEMENTATION TO MOBILE MANIPULATOR TRAJECTORY TRACKING

158 This section presents a kinematics model for omnidirectional mobile manipulators. Then, the main  
 159 concepts of differential kinematics are introduced for position control. Finally, the conventional PID and  
 160 the proposed adaptive PD controllers are provided for the trajectory tracking of omnidirectional mobile  
 161 manipulators.

### 162 Mobile manipulator kinematics

163 Mobile manipulators are composed of one or more manipulators attached to a mobile platform. Con-  
 164 ventional mobile robots such as unicycles, differential drives, and car-like mobile robots are used to  
 165 increase the workspace of manipulators. However, these platforms have limited movement capabilities  
 166 due to their nonholonomic kinematics constraints, Li et al. (2016). In contrast, omnidirectional mobile

167 platforms improve the movement capabilities, allowing them to move towards any position and reach any  
 168 desired orientation, Zhang et al. (2016); Wu et al. (2017); Kundu et al. (2017). This section introduces a  
 169 kinematic model of a mobile manipulator composed of a robotic manipulator of  $n$  Degrees of Freedom  
 170 (DOF) attached to an omnidirectional mobile platform.



**Figure 4.** Kinematic chain of mobile manipulators. The transformation  ${}^w\mathbf{T}_b$  is the homogeneous matrix from the world frame  $w$  to the mobile platform base frame  $b$ ,  ${}^b\mathbf{T}_m$  is the homogeneous matrix from  $b$  to the manipulator base frame  $m$ ,  ${}^m\mathbf{T}_e$  is the homogeneous matrix from  $m$  to the end-effector frame  $e$ .

171 The Kinematics chain of mobile manipulators is described in Figure 4. The homogeneous matrix  
 172  ${}^w\mathbf{T}_b$  defines the position and orientation of the mobile platform. The transformation  ${}^b\mathbf{T}_m$  is a constant  
 173 homogeneous matrix between the mobile platform frame and the manipulator base. The matrix  ${}^m\mathbf{T}_e$   
 174 can be computed based on the Denavit-Hartenberg (DH) model of the manipulator, Spong and Vidyasagar  
 175 (2008); Lopez-Franco et al. (2018).

176 Considering an omnidirectional mobile platform, the pose of the robot with respect to the world frame  
 177  $w$  is given by 3 DOF, which are the positions  $x_b$  and  $y_b$ , and the orientation  $\theta_b$ . Then, the matrix  ${}^w\mathbf{T}_b$   
 178 can be defined as

$${}^w\mathbf{T}_b = \begin{bmatrix} \cos(\theta_b) & -\sin(\theta_b) & 0 & x_b \\ \sin(\theta_b) & \cos(\theta_b) & 0 & y_b \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}. \quad (18)$$

179 The matrix  ${}^b\mathbf{T}_m$  is constant, and it adjusts the distance from the mobile platform base frame  $b$  to the  
 180 manipulator base frame  $m$ . The values  $t_x$ ,  $t_y$  and  $t_z$  are used to adjust the distance in the direction of the  
 181 x-axis, y-axis and z-axis, respectively. If it does not need to adjust the frame orientation, then the matrix  
 182  ${}^b\mathbf{T}_m$  can be described by

$${}^b\mathbf{T}_m = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix}, \quad (19)$$

183 Let consider a joint variable  $\mathbf{q}$  to represent the platform configuration  $\mathbf{q}_b = [x_b \ y_b \ \theta_b]^T$  and the  
 184 manipulator configuration  $\mathbf{q}_m = [q_1 \ q_2 \ q_3 \ \cdots \ q_n]^T$ , where  $q_i$  is a joint value for the articulation  $i$ .  
 185 The joint variable for the mobile manipulator is given by  $\mathbf{q} = [\mathbf{q}_b^T \ \mathbf{q}_m^T]^T$ .

186 Given the joint variable  $\mathbf{q}$ , the computation of  ${}^w\mathbf{T}_e(\mathbf{q})$  which is the forward kinematics of the mobile  
187 manipulator can be obtained as

$${}^w\mathbf{T}_e(\mathbf{q}) = {}^w\mathbf{T}_b(\mathbf{q}_b) {}^b\mathbf{T}_m {}^m\mathbf{T}_e(\mathbf{q}_m), \quad (20)$$

188 where  ${}^w\mathbf{T}_e(\mathbf{q})$  represents the end-effector pose respect to the world frame  $w$ . The matrix  ${}^w\mathbf{T}_e$  is expressed  
189 as

$${}^w\mathbf{T}_e(\mathbf{q}) = \begin{bmatrix} r_{11} & r_{12} & r_{13} & t_x \\ r_{21} & r_{22} & r_{23} & t_y \\ r_{31} & r_{32} & r_{33} & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} \mathbf{R} & \mathbf{t} \\ \mathbf{0} & 1 \end{bmatrix}, \quad (21)$$

190 where the orientation of the end-effector is represented by the matrix  $\mathbf{R}$ , and its Cartesian position is given  
191 by the vector  $\mathbf{t}$ . More information about homogeneous matrices, manipulators kinematics, and forward  
192 kinematics can be found in, Spong and Vidyasagar (2008); J.Craig (2005); Sciavicco and Siciliano (2008).

### 193 Differential kinematics

194 The inverse kinematics consists in the computation of the joint variables  $\mathbf{q}$  given the end-effector pose  
195  ${}^0\mathbf{T}_n$ . This computation can be solved by minimizing an error function using an iterative process based  
196 on the differential kinematics, Sciavicco and Siciliano (2008). Differential kinematics aims to find the  
197 relationship between the joint velocities  $\dot{\mathbf{q}}$  and the end-effector velocity  $\dot{\mathbf{t}}$ . The following differential  
198 kinematics equation gives this relationship

$$\dot{\mathbf{t}} = \mathbf{J}(\mathbf{q}) \dot{\mathbf{q}}, \quad (22)$$

199 where  $\mathbf{J}$  is the matrix relating the contribution of the joint velocities  $\dot{\mathbf{q}}$  to the end-effector velocity  $\dot{\mathbf{t}}$ . The  
200 matrix  $\mathbf{J}$  is called the geometric Jacobian. This Jacobian matrix can be computed as

$$\mathbf{J}(\mathbf{q}) = \begin{bmatrix} \frac{\partial t_x}{\partial q_1} & \frac{\partial t_x}{\partial q_2} & \dots & \frac{\partial t_x}{\partial q_n} \\ \frac{\partial t_y}{\partial q_1} & \frac{\partial t_y}{\partial q_2} & \dots & \frac{\partial t_y}{\partial q_n} \\ \frac{\partial t_z}{\partial q_1} & \frac{\partial t_z}{\partial q_2} & \dots & \frac{\partial t_z}{\partial q_n} \\ \frac{\partial q_1}{\partial q_1} & \frac{\partial q_2}{\partial q_2} & \dots & \frac{\partial q_n}{\partial q_n} \end{bmatrix}, \quad (23)$$

201 where  $\mathbf{t} = [t_x \ t_y \ t_z]^T$  is the end-effector position related to the joint variable  $\mathbf{q} = [q_1 \ q_2 \ \dots \ q_n]^T$ .

202 An inverse kinematics approach consists in minimizing the error between an actual end-effector  
203 position  $\mathbf{t}$  and the desired position  $\mathbf{t}^*$ . This error is defined as  $\mathbf{e} = \mathbf{t}^* - \mathbf{t}$ . The error  $\mathbf{e}$  can be mapped to the  
204 joint velocities  $\dot{\mathbf{q}}$  based on the differential kinematics equation. Equation (22) is rewritten to compute  $\dot{\mathbf{q}}$   
205 given  $\mathbf{e}$  as

$$\dot{\mathbf{q}} = \mathbf{J}(\mathbf{q})^\dagger \dot{\mathbf{t}} = \mathbf{J}(\mathbf{q})^\dagger \mathbf{e}, \quad (24)$$

206 where  $\mathbf{J}^\dagger$  is the pseudo-inverse of  $\mathbf{J}$ .

207 A robot system with a Jacobian matrix  $\mathbf{J} \in \mathbb{R}^{3 \times n}$  where  $n > 3$ , the robot is considered redundant.  
208 Because there are more  $n$  DOF than necessary to perform a task with 3 DOF. Commonly, the combination  
209 of DOF of the mobile platform and the manipulator, represent a redundant robot. In the case of a redundant  
210 robot, the solution (24) can be generalized into

$$\dot{\mathbf{q}} = \mathbf{J}(\mathbf{q})^\dagger \mathbf{e} + \left( \mathbf{I} - \mathbf{J}(\mathbf{q})^\dagger \mathbf{J}(\mathbf{q}) \right) \dot{\mathbf{q}}_0, \quad (25)$$

211 where the first term minimizes the error  $e$ , the matrix  $(\mathbf{I} - \mathbf{J}^\dagger \mathbf{J})$  allows the protection of vector  $\dot{\mathbf{q}}_0$  in  
 212 the null space of  $\mathbf{J}$ , and  $\mathbf{I}$  is the identity matrix. In the case that  $\mathbf{e} = \mathbf{0}$ , the result of the second term  
 213  $(\mathbf{I} - \mathbf{J}^\dagger \mathbf{J}) \dot{\mathbf{q}}_0$  can reconfigure the joint variable  $\mathbf{q}$  without changing the end-effector position  $\mathbf{t}$ .

214 In this work, it is proposed to design the vector  $\dot{\mathbf{q}}_0$  to avoid singularities based on the manipulability  
 215 measure  $m(\mathbf{q})$ , which is defined as

$$m(\mathbf{q}) = \sqrt{\det(\mathbf{J}(\mathbf{q})\mathbf{J}(\mathbf{q})^T)}. \quad (26)$$

216 Then, vector  $\dot{\mathbf{q}}_0$  can be computed as

$$\dot{\mathbf{q}}_0 = k_0 \left( \frac{\partial m(\mathbf{q})}{\partial \mathbf{q}} \right), \quad (27)$$

217 where  $k_0 > 0$ . By maximizing the manipulability measure, redundancy is exploited to move away  
 218 from singularities. More detailed information about differential kinematics can be found in, Spong and  
 219 Vidyasagar (2008); J.Craig (2005); Sciavicco and Siciliano (2008).

### 220 PID control design

221 To solve a position tracking for the mobile manipulator, the controller has to compute the joint velocities  
 222  $\dot{\mathbf{q}}(k)$  at step time  $k$ , to control the motion of the mobile manipulator from the actual end-effector  
 223 position  $\mathbf{t}(k)$  to the desired position  $\mathbf{t}(k)^*$ . This section introduces the use of a discrete PID to control  
 224 the mobile manipulator motion based on the error  $\mathbf{e}(k) = \mathbf{t}(k)^* - \mathbf{t}(k)$ , which is described as  $\mathbf{e}(k) =$   
 225  $[e_x(k) \ e_y(k) \ e_z(k)]^T$ .

226 A discrete PID control Moradi et al. (2001) can be used for each error  $e_x(k)$ ,  $e_y(k)$ , and  $e_z(k)$  as  
 227 follows

$$u_x(k) = K_P^x e_x(k) + K_I^x \sum_{j=1}^k e_x(j) + K_D^x [e_x(k) - e_x(k-1)], \quad (28)$$

$$u_y(k) = K_P^y e_y(k) + K_I^y \sum_{j=1}^k e_y(j) + K_D^y [e_y(k) - e_y(k-1)], \quad (29)$$

$$u_z(k) = K_P^z e_z(k) + K_I^z \sum_{j=1}^k e_z(j) + K_D^z [e_z(k) - e_z(k-1)], \quad (30)$$

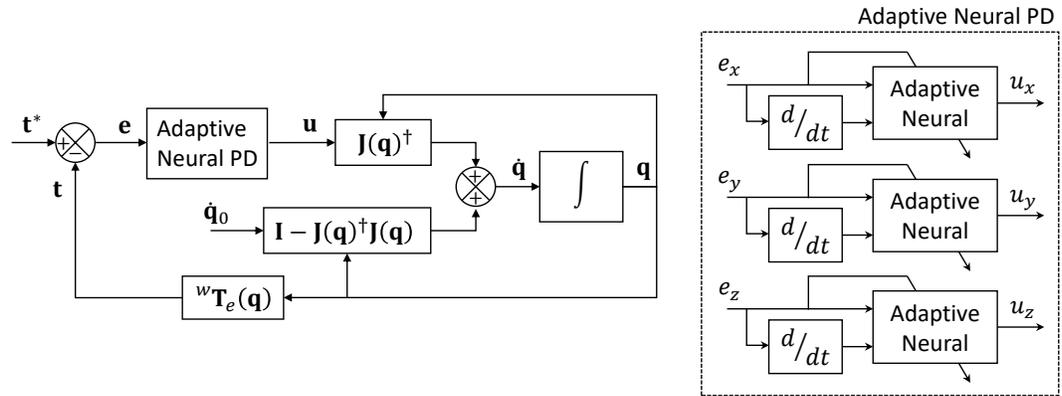
228 where  $K_P^x$ ,  $K_I^x$  and  $K_D^x$  are the proportional, integrative and derivative gains for error  $e_x$ , respectively.  
 229 Similarly, the parameters  $K_P^y$ ,  $K_I^y$  and  $K_D^y$  are the gains for error  $e_y$ , and  $K_P^z$ ,  $K_I^z$  and  $K_D^z$  are the gains for  
 230 error  $e_z$ . The control output  $\mathbf{u}(k) = [u_x(k) \ u_y(k) \ u_z(k)]^T$  can be mapped to the joint velocities  $\dot{\mathbf{q}}(k)$   
 231 based on (25) to control the system. This is

$$\dot{\mathbf{q}}(k) = \mathbf{J}(\mathbf{q}(k))^\dagger \mathbf{u}(k) + (\mathbf{I} - \mathbf{J}(\mathbf{q}(k))^\dagger \mathbf{J}(\mathbf{q}(k))) \dot{\mathbf{q}}_0, \quad (31)$$

### 232 Neural PD controllers implementation

233 In general, PID controllers are widely used due to their simplicity and performance. However, the  
 234 inconvenience of PID controllers is the manual tuning of the proportional, integrative, and derivative gains.  
 235 This paper presents an adaptive PID approach to overcome this inconvenience. The proposed approach  
 236 can adjust this gains itself online during the tracking task. The implementation of the mobile manipulator  
 237 consists of implementing both schemes presented in sections and , with their respective extended Kalman  
 238 filter-based training algorithm. Figure 5 shows the general scheme for both implementation.

239 An adaptive neural PD control module is designed to minimize the error  $e_x$ ,  $e_y$  and  $e_z$ . Each  
 240 control output  $u_x$ ,  $u_y$  and  $u_z$ , are provided for each control module. These control signals  $\mathbf{u}(k) =$   
 241  $[u_x(k) \ u_y(k) \ u_z(k)]^T$  are mapped to the joint velocities  $\dot{\mathbf{q}}(k)$  using (25) to control the system.



**Figure 5.** Adaptive Neuronal PD control scheme for the position control of mobile manipulators. The block called Adaptive Neural, can represent the single neuron scheme or the multilayer network scheme.

## 242 RESULTS

243 In order to show the effectiveness of the algorithms, the performance of the proposed adaptive single  
 244 neuron PD (SNPD) and multilayer network PD (MNPD) controllers are compared against the conventional  
 245 PD and PID controllers. Trajectories with different degrees of difficulty are considered for simulations,  
 246 and real experiments on the KUKA Youbot™ mobile manipulator, see Figure 6.



**Figure 6.** Omnidirectional mobile manipulator KUKA Youbot™.

247 The KUKA Youbot™ is composed of a manipulator of 5 DOF, and an omnidirectional mobile platform  
 248 of 3 DOF. Respect to the mobile manipulator kinematics, the transformation  ${}^w\mathbf{T}_b$  can be computed with  
 249 the mobile platform pose, which is given by  $x_b$ ,  $y_b$  and  $\theta_b$ , see (18). The constant transformation  ${}^b\mathbf{T}_m$  is  
 250 considered to be

$${}^b\mathbf{T}_m = \begin{bmatrix} 1 & 0 & 0 & 0.140 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0.151 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

251 these values were obtained based on the KUKA Youbot™ technical specifications. Finally, the DH table  
 252 in Table 1, is used to compute the transformation  ${}^m\mathbf{T}_e$ . The joint variable  $\mathbf{q}$  for the mobile manipulator is

$$\mathbf{q} = [x_b \quad y_b \quad \theta_b \quad \theta_1 \quad \theta_2 \quad \theta_3 \quad \theta_4 \quad \theta_5]^T$$

**Table 1.** DH table for KUKA Youbot™ manipulator. Values  $a$ ,  $\alpha$ , and  $d$  are parameters of the DH convention.

Joint	$a$ (mm)	$\alpha$ (rad)	$d$ (mm)	$\theta$ (rad)
1	33	$\pi/2$	147	$\theta_1$
2	155	0	0	$\theta_2$
3	135	0	0	$\theta_3$
4	0	$\pi/2$	0	$\theta_4$
5	0	0	217.5	$\theta_5$

253 where the joint values  $\theta_1 - \theta_5$  represent the joint configuration of the manipulator.

254 For simulations and real experiments, the weights in the SNPD and MNPD controllers are set randomly  
 255 in every trajectory test. For PD and PID controllers, proportional gains are set as  $K_p^x = K_p^y = K_p^z = 1.5$ ,  
 256 integrative gains  $K_I^x = K_I^y = K_I^z = 0.001$ , and derivative gains  $K_D^x = K_D^y = K_D^z = 0.5$ . The gains of the  
 257 PD and PID controllers were heuristically selected. The parameter setting for the EFK are: matrices  $\mathbf{P}$   
 258 and  $\mathbf{Q}$  are initialized as diagonal matrices with  $\mathbf{P}_{ii} = 1$  and  $\mathbf{Q}_{ii} = 0.1$  with  $i = 1, 2, \dots, n$ , the parameter  
 259  $\mathbf{R} = 0.001$ , the Kalman filter learning rate  $\eta = 0.2$  and  $\alpha = 1$ . The selection of these parameters was  
 260 chosen experimentally.

261 The considered trajectories, at step time  $k$  are generated as follows:

#### Circular trajectory

$$\begin{aligned}x_r(k) &= 0.5, \\y_r(k) &= 0.05 \cos(0.2k\pi), \\z_r(k) &= 0.45 + 0.05 \sin(0.2k\pi).\end{aligned}$$

#### Rose curve trajectory

$$\begin{aligned}x_r(k) &= 0.5, \\y_r(k) &= r(k) \cos(0.2k\pi), \\z_r(k) &= 0.45 + r(k) \sin(0.2k\pi), \\r(k) &= 0.035 + 0.015 \cos(0.6k\pi).\end{aligned}$$

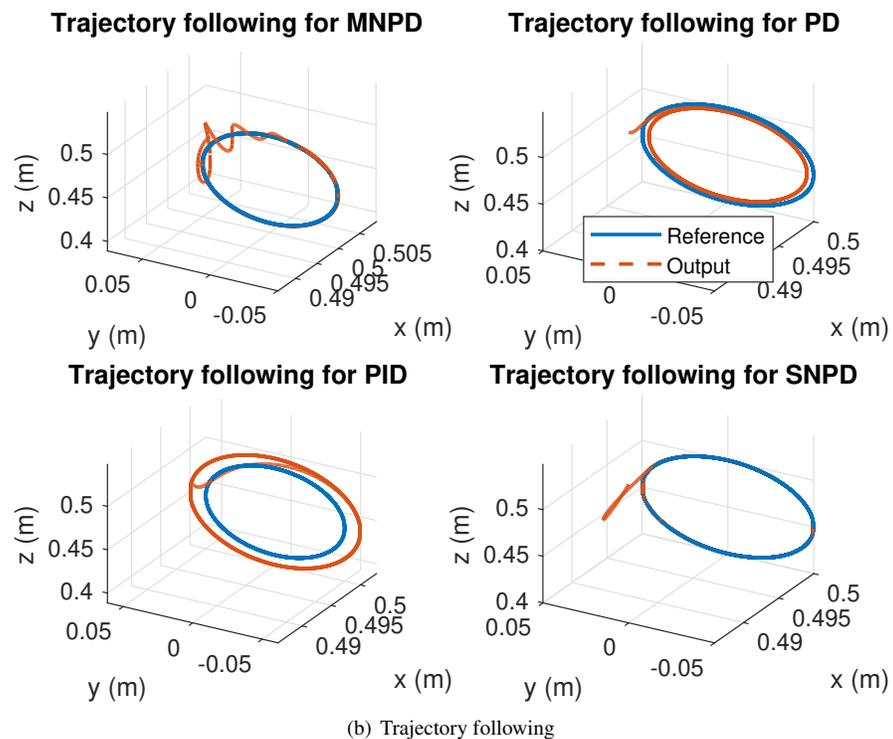
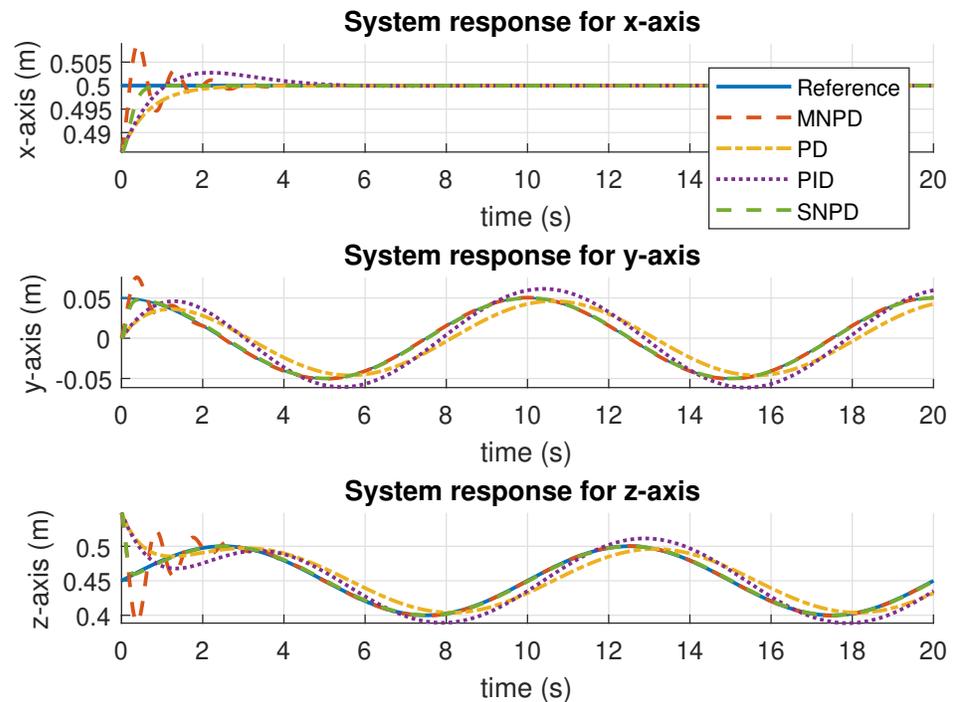
#### Trapezoidal trajectory

$$\begin{aligned}x_r(k) &= 0.5, \\y_r(k) &= 0.1 * k, \\r(k) &= 0.45 + 0.08 \sin(2y_r(k)\pi), \\z_r(k) &= \begin{cases} 0.5 & \text{if } r(k) > 0.5 \\ 0.4 & \text{if } r(k) < 0.4 \\ r(k) & \text{otherwise} \end{cases}.\end{aligned}$$

262 The desired position for the end-effector is defined as  $\mathbf{t}(k)^* = [x_r(k) \ y_r(k) \ z_r(k)]^T$ . A circular  
 263 and rose curve trajectories are considered for simulations. A rose curve and trapezoidal trajectories are  
 264 considered for real experiments.

#### 265 Simulations

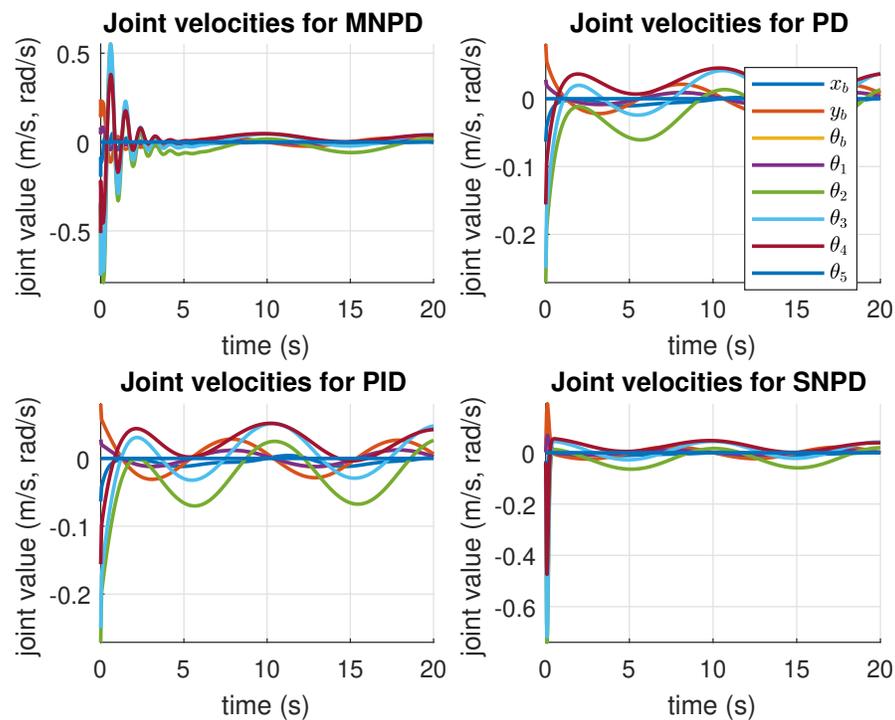
266 The first trajectory for simulation is circular. Although conventional controllers present a good response,  
 267 their gains remain constant, and they cannot adapt them to changes in the system. On the other hand, the  
 268 MNPD and SNPD approaches can correctly follow the reference once the weights are adapted.



**Figure 7.** System response and trajectory following results for the circular trajectory.

269 The trajectory tracking and system response results for the circular trajectory are given in Figure 7.  
 270 As shown in Figure 7 (a), the settling is almost the same for all the approaches. Although MNPD presents  
 271 oscillations while the weights are adapting, the neural algorithms can follow the sinusoidal trajectory  
 272 better than the conventional PID and PD. This can also be seen in Figure 7 (b), where three axes are  
 273 plotted at the same time. The conventional PD reports steady-state errors in the system response for the

274 y-axis and z-axis. The PID control minimizes this error, but overshoot is presented. Figure 7 (b) shows  
 275 that PID passes over the reference caused by the integral part.



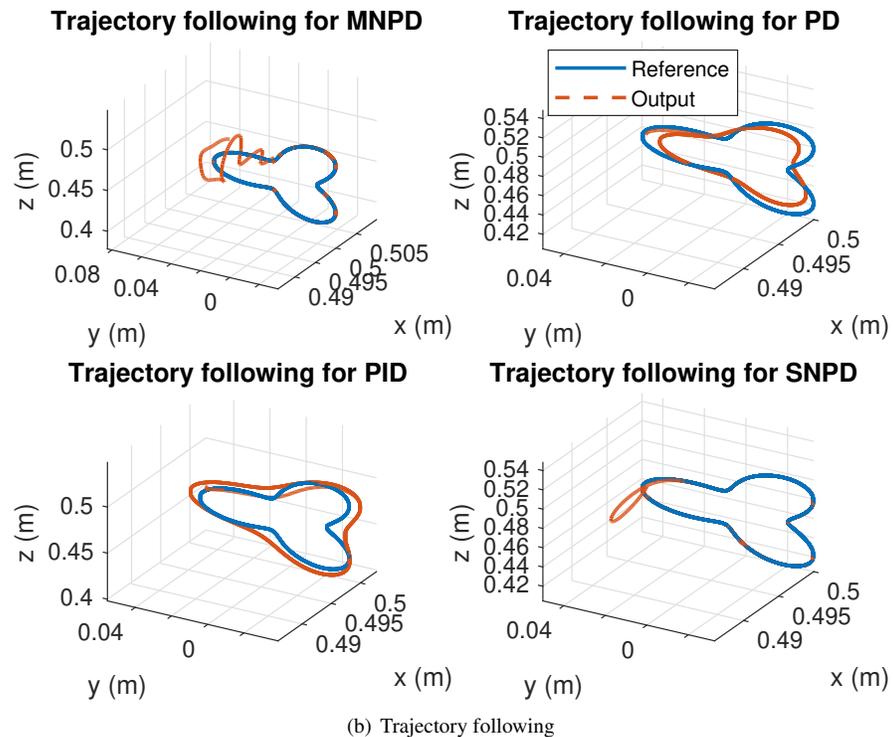
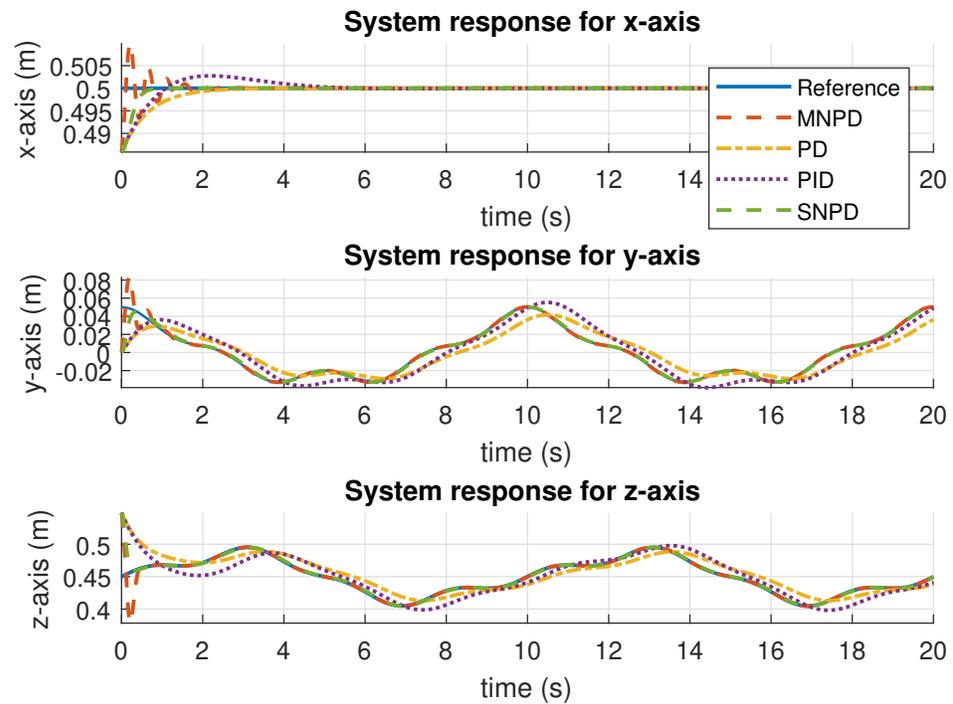
**Figure 8.** Velocity control signal results for the circular trajectory.

276 In 8, the velocity control signals for the circular trajectory are presented. At first steps, adaptive  
 277 weights compute bigger control signals than PD and PID results. However, it is necessary to reach the  
 278 reference with a small tracking error. Conversely, the adaptation ability of both MNPD and SNPD is  
 279 shown.

**Table 2.** Simulation results for the circular trajectory. The best results are highlighted in bold.

Measure	Method	$e_x$	$e_y$	$e_z$
RMS	MNPD	$8.6035 \times 10^{-4}$	$2.5297 \times 10^{-3}$	$6.7063 \times 10^{-3}$
	PD	$1.0546 \times 10^{-3}$	$1.4023 \times 10^{-2}$	$1.4686 \times 10^{-2}$
	PID	$1.0547 \times 10^{-3}$	$1.2872 \times 10^{-2}$	$1.3803 \times 10^{-2}$
	SNPD	<b><math>7.8284 \times 10^{-4}</math></b>	<b><math>2.1269 \times 10^{-3}</math></b>	<b><math>3.5693 \times 10^{-3}</math></b>
MAD	MNPD	$1.3391 \times 10^{-4}$	<b><math>5.5760 \times 10^{-4}</math></b>	$1.3227 \times 10^{-3}$
	PD	$2.9518 \times 10^{-4}$	$1.2545 \times 10^{-2}$	$1.2406 \times 10^{-2}$
	PID	$2.1417 \times 10^{-4}$	$1.1419 \times 10^{-2}$	$1.1686 \times 10^{-2}$
	SNPD	<b><math>1.2753 \times 10^{-4}</math></b>	$6.0505 \times 10^{-4}$	<b><math>6.4863 \times 10^{-4}</math></b>

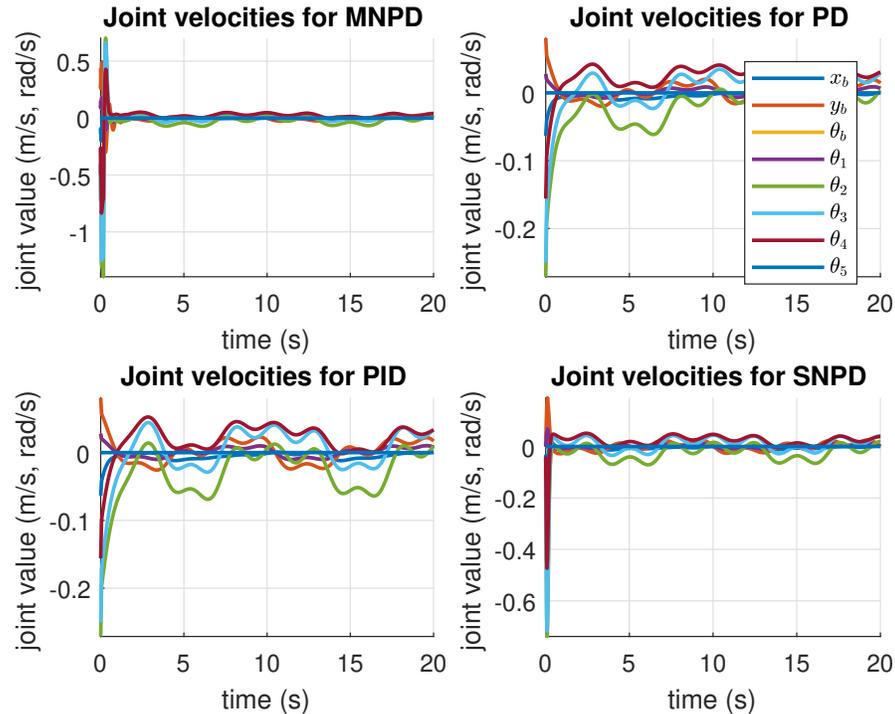
280 The Root Mean Square (RMS) and the Median Absolute Deviation (MAD) for the circular trajectory  
 281 are shown in Table 2. As can be seen, the adaptive approaches present the best results, which are  
 282 highlighted in bold. In this case, the SNPD control scheme reported the smallest RMS results in general.



**Figure 9.** System response and trajectory following results for the rose curve trajectory.

283 Using the same gains and parameters for the four approaches, a new trajectory is tested, and the  
 284 system response and trajectory following results are shown in Figure 9. Similar results can be seen in the  
 285 system response (Figure 9 (a)); the settling time is the same, and the MNPD present oscillations during  
 286 the adaptations of its weights. However, in Figure 9 (b), it can be seen that the adapting approaches  
 287 outperform the conventional controllers. The PD controller shows the biggest steady-state error, while

288 MNPDP and SNPD report the smallest. The PID control improved the performance of PD, but it is needed  
289 to tune its gains to improve the performance.



**Figure 10.** Velocity control signal results for the rose curve trajectory.

290 In Figure 10, the velocity control signals for the rose curve trajectory are reported. Similarly to the  
291 previous trajectory, at the beginning of the trajectory, the weights adaptation of the MNPDP and the SNPD  
292 compute bigger control signals than PD and PID results, which are necessary to reach the reference with a  
293 small tracking error.

**Table 3.** Simulation results for the rose curve trajectory. The best results are highlighted in bold.

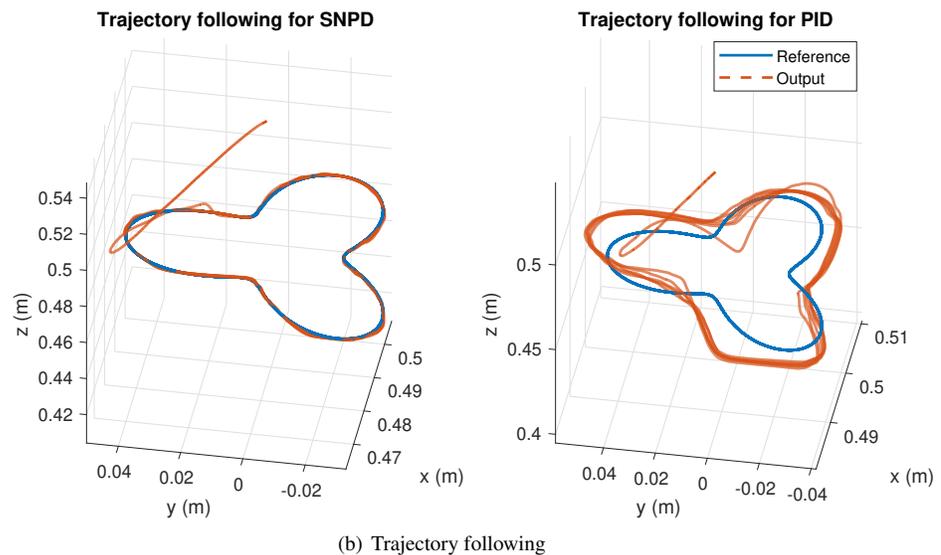
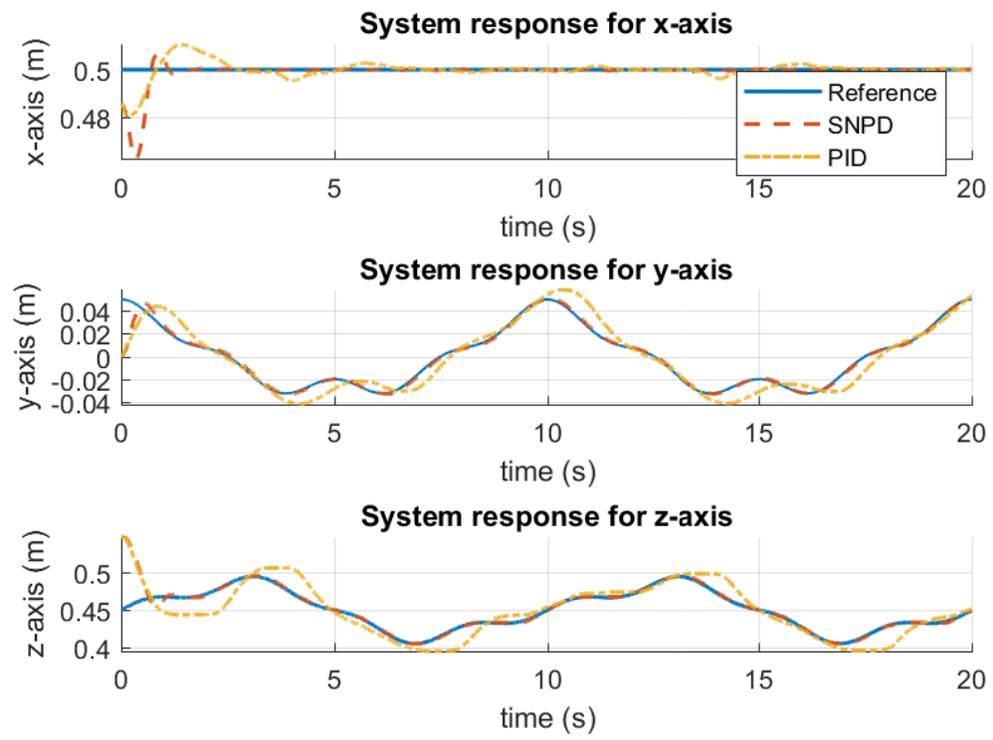
Measure	Method	$e_x$	$e_y$	$e_z$
RMS	MNPDP	<b><math>6.9811 \times 10^{-4}</math></b>	<b><math>2.1346 \times 10^{-3}</math></b>	$4.4524 \times 10^{-3}$
	PD	$1.0546 \times 10^{-3}$	$1.1447 \times 10^{-2}$	$1.2728 \times 10^{-2}$
	PID	$1.0547 \times 10^{-3}$	$1.1191 \times 10^{-2}$	$1.2804 \times 10^{-2}$
	SNPD	$7.7519 \times 10^{-4}$	$2.1415 \times 10^{-3}$	<b><math>3.5652 \times 10^{-3}</math></b>
MAD	MNPDP	<b><math>8.7982 \times 10^{-5}</math></b>	<b><math>3.6619 \times 10^{-4}</math></b>	<b><math>4.9729 \times 10^{-3}</math></b>
	PD	$2.9520 \times 10^{-4}$	$9.9740 \times 10^{-3}$	$1.0730 \times 10^{-2}$
	PID	$2.1404 \times 10^{-4}$	$9.1301 \times 10^{-3}$	$9.9235 \times 10^{-3}$
	SNPD	$1.2586 \times 10^{-4}$	$5.8940 \times 10^{-4}$	$6.4607 \times 10^{-4}$

294 Table 3 shown the RMS and MAD results for the rose curve trajectory. The adaptive scheme has  
295 demonstrated to have better results than conventional PID and PD controllers. In this case, the MNPDP  
296 controller shows the smallest RMS results in general.

## 297 Experiments

298 For real-time experiments, two trajectories were tested. The adaptive SNPD and MNPDP controllers  
299 performed similarly in simulations. However, MNPDP shows oscillations during the adaptations of its  
300 weights at the beginning. These oscillations can be eliminated if pre-trained weights are used instead of  
301 initializing them randomly every time. For this reason, it is considered to compare the SNPD controller to

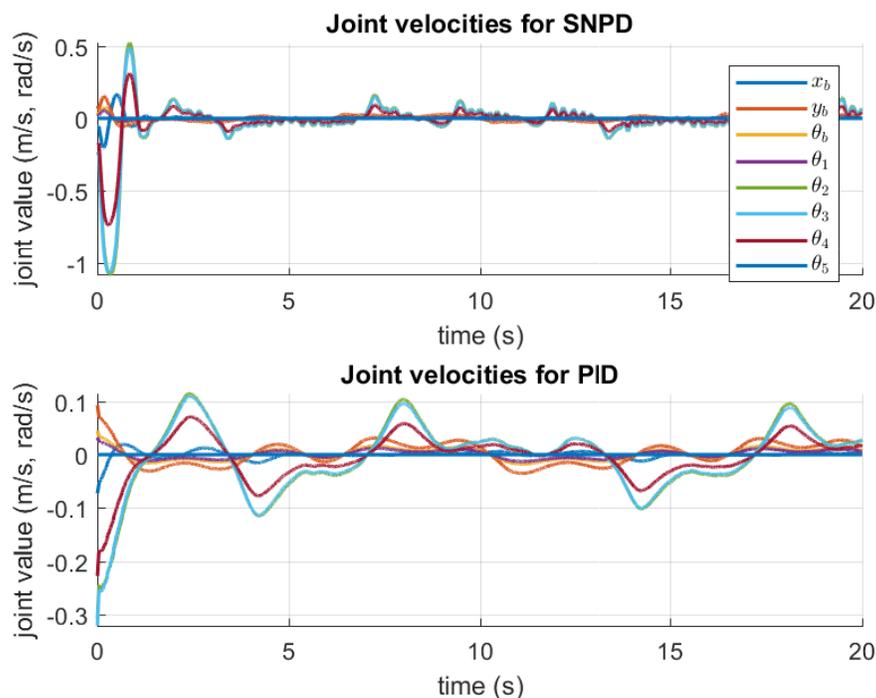
302 the PID controller since PID performed better than PD. Moreover, the same gains and parameters used for  
 303 simulation were used for real-time experiments. The weights in the SNPD were randomly initialized.



**Figure 11.** System response and trajectory following results for the rose curve trajectory in real experiments.

304 In Figure 11, the system response and trajectory following for both approaches are shown. As can be  
 305 seen in Figure 11 (a), the real system is not the same in simulation, and the gains of the conventional PID

306 must be tuned again. Otherwise, it will not be able to follow the trajectory correctly and present a longer  
 307 settling time. In contrast, using the same parameters as in simulation, the SNPD was able to adapt and  
 308 showed shorter settling time. In Figure 11 (b) the response for the rose curve trajectory is shown. As can  
 309 be seen, PID cannot follow the trajectory correctly, and it is confirmed in Table 4.



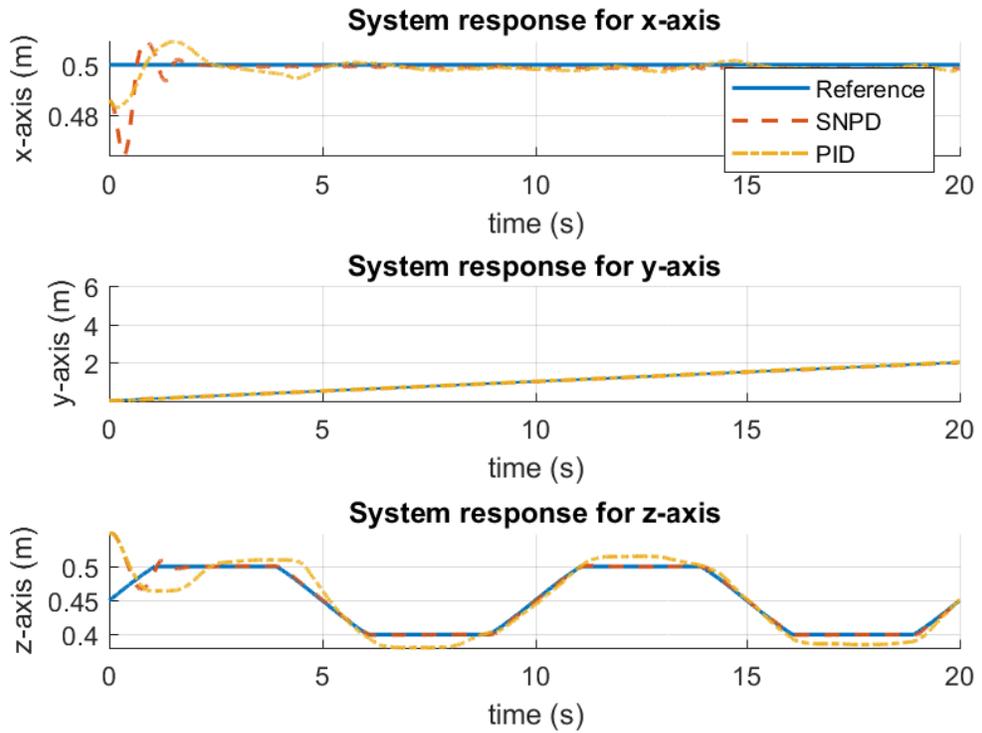
**Figure 12.** Velocity control signal results for the rose curve trajectory in real experiments.

310 The velocity control signals for the rose curve trajectory are illustrated in Figure 12. Once again,  
 311 adaptive SNPD computes bigger control signals than PID. However, this demonstrates that SNPD is  
 312 adjusting itself to reject perturbation and changes during experimental tests.

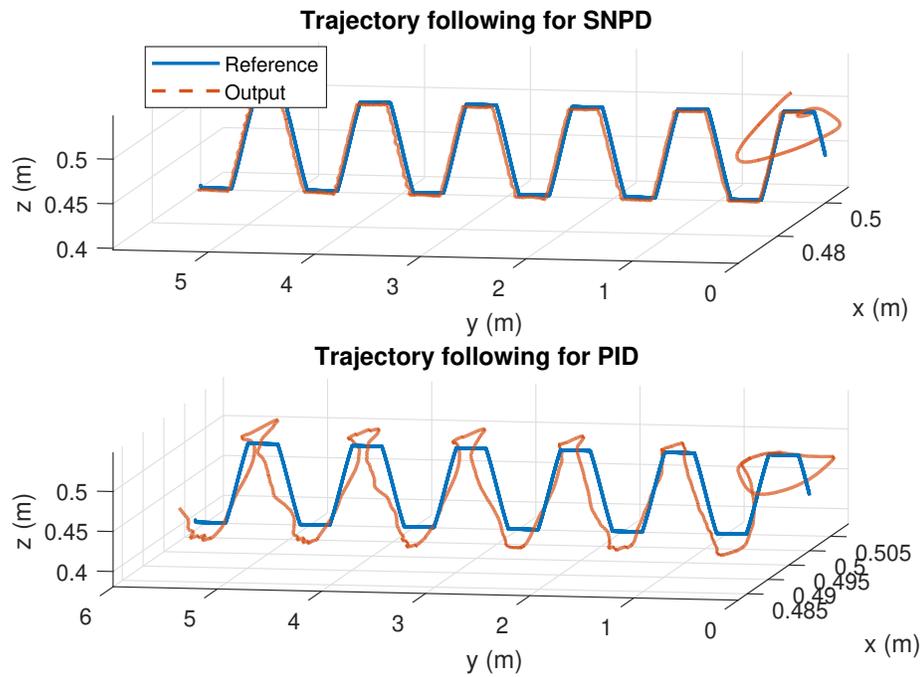
**Table 4.** Experimental results for the rose curve trajectory. The best results are highlighted in bold.

Measure	Method	$e_x$	$e_y$	$e_z$
RMS	SNPD	$3.7452 \times 10^{-3}$	<b><math>3.3248 \times 10^{-3}</math></b>	<b><math>8.4861 \times 10^{-3}</math></b>
	PID	<b><math>2.9319 \times 10^{-3}</math></b>	$1.7032 \times 10^{-2}$	$2.1101 \times 10^{-2}$
MAD	SNPD	<b><math>9.5960 \times 10^{-4}</math></b>	<b><math>1.1829 \times 10^{-3}</math></b>	<b><math>2.0750 \times 10^{-3}</math></b>
	PID	$1.3942 \times 10^{-3}$	$1.2963 \times 10^{-2}$	$1.6109 \times 10^{-2}$

313 Table 3 reported the RMS and MAD results for the rose curve trajectory in real experiments. The  
 314 SNPD scheme has demonstrated to have better results than conventional PID with the smallest RMS and  
 315 MAD results in general.



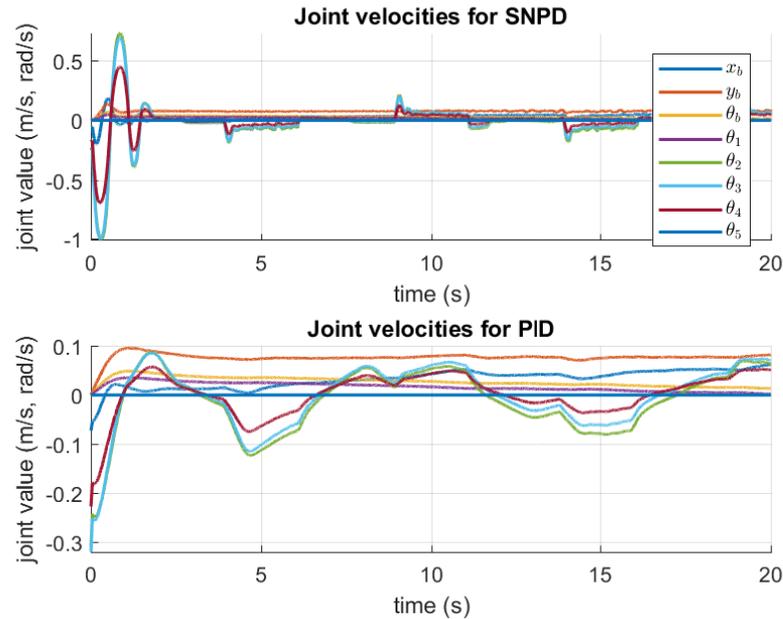
(a) System response



(b) Trajectory following

**Figure 13.** System response and trajectory following results for the trapezoidal trajectory in real experiments.

316 A new trajectory is tested, and the system response and trajectory following results are shown in  
 317 Figure 13. As can be seen, the SNPD control presents better than PID for the results for the trapezoidal  
 318 trajectory. Figure 13 (a) shows the system response, where it is exhibited the adaptation ability of the  
 319 SNPD, while PID control requires the tune of its gains. The PID scheme reported bigger tracking error  
 320 that are presented in Figure 13 (b).



**Figure 14.** Velocity control signal results for the trapezoidal trajectory.

321 The velocity control signals results for the trapezoidal trajectory are given in Figure 14 . It is clear  
 322 that bigger control action is required to be able to follow the trajectory with minimum error tracking. This  
 323 is achieved with the online adaptation of SNPD controller.

**Table 5.** Experimental results for the trapezoidal trajectory. The best results are highlighted in bold.

Measure	Method	$e_x$	$e_y$	$e_z$
RMS	SNPD	$3.8064 \times 10^{-3}$	<b><math>3.4122 \times 10^{-3}</math></b>	<b><math>8.1596 \times 10^{-3}</math></b>
	PID	<b><math>3.0025 \times 10^{-3}</math></b>	$5.5684 \times 10^{-2}$	$1.8825 \times 10^{-2}$
MAD	SNPD	<b><math>8.8564 \times 10^{-4}</math></b>	<b><math>1.3090 \times 10^{-3}</math></b>	<b><math>2.0708 \times 10^{-3}</math></b>
	PID	$1.7514 \times 10^{-3}$	$3.5206 \times 10^{-2}$	$1.5032 \times 10^{-2}$

324 Finally, table 5 reported the RMS and MAD results for the trapezoidal trajectory in real experiments.  
 325 The SNPD scheme outperformed the PID controller with the smallest RMS and MAD results in general.

## 326 CONCLUSIONS

327 In this work, an adaptive single neuron PD (SNPD) and multilayer network PD (MNPDP) controllers  
 328 trained with the EKF algorithm were proposed. The performance of these approaches were considered  
 329 for trajectory tracking of the KUKA Youbot™ mobile manipulator. Simulation and real experiments  
 330 were performed to compare the classical PD and PID controllers against the proposals. Simulation and  
 331 experiment results reported that PD control presented steady-state errors, while PID control overcomes  
 332 this inconvenience but with overshoot results. In contrast, the adaptive neural PD controllers eliminated  
 333 the steady-state error and highly suppressed the overshoot in general. Moreover, adaptive PD schemes  
 334 show better settling time and high performance with smaller tracking results. The results also showed that

335 even without an integral part, the PD neural controllers trained with extended Kalman filter offer better  
336 overall performance than a conventional PID. They present a small overshoot, and the offset is reduced.  
337 Additionally, the experimental results indicate that the SNPD controller shows a superior system response  
338 under perturbations and changes during the operation than the PID controller. The conventional PID  
339 controller requires the tuning of its gains to improve the performance. The SNPD controller shows better  
340 performance than MNPD, mainly due to more weights present in MNPD. It is shown that they present  
341 similar settling times, and the oscillations present with MNPD can be eliminated if trained weights are  
342 used instead of initializing them randomly every time. However, it was exposed that this is unnecessary,  
343 and both approaches exhibit good adaptation to uncertainties in the system. One of the main reasons  
344 for PI, PD, and PID controllers' success is their implementation simplicity. Some works have been  
345 proposed to deal with the drawbacks of the conventional PID, adding in some cases a fair complexity at  
346 implementation time. The proposed adaptive neural PD controllers are easy to implement, having good  
347 performances.

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