

SANgo: a storage infrastructure simulator with reinforcement learning support

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We introduce SANgo (Storage Area Network in the Go language) – a Go-based package for simulating the behavior of modern storage infrastructure. The software is based on the discrete-event modeling paradigm and captures the structure and dynamics of high-level storage system building blocks. The flexible structure of the package allows us to create a model of a real storage system with a configurable number of components. The granularity of the simulated system can be defined depending on the replicated patterns of actual system behavior. Accurate replication enables us to reach the primary goal of our simulator – to explore the stability boundaries of real storage systems. To meet this goal, SANgo offers a variety of interfaces for easy monitoring and tuning of the simulated model. These interfaces allow us to track the number of metrics of such components as storage controllers, network connections, and hard-drives. Other interfaces allow altering the parameter values of the simulated system effectively in real-time, thus providing the possibility for training a realistic digital twin using, for example, the reinforcement learning (RL) approach. One can train an RL model to reduce discrepancies between simulated and real SAN data. The external control algorithm can adjust the simulator parameters to make the difference as small as possible. SANgo supports the standard OpenAI gym interface; thus, the software can serve as a benchmark for comparison of different learning algorithms.

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16 ABSTRACT

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18 the behavior of modern storage infrastructure. The software is based on the discrete-event modeling
19 paradigm and captures the structure and dynamics of high-level storage system building blocks. The
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28 example, the reinforcement learning (RL) approach. One can train an RL model to reduce discrepancies
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31 the software can serve as a benchmark for comparison of different learning algorithms.

32 INTRODUCTION

33 A storage system is a critical part of any IT infrastructure. A significant effort is put into developing
34 reliability techniques and failure protection schemes of storage area network systems (SAN), which is an
35 example of a general concept of storage infrastructure. There are various means of achieving and increasing
36 the system's reliability in terms of both accessibility and data preservation: replication of the system's
37 physical components or software solutions such as cluster management and RAID technology. The
38 requirements for reliability and scalability of the SAN system result in its complexity, and consequently,
39 the SAN architecture becomes challenging to supervise. A dedicated computer simulation model or a
40 digital twin can be created to observe the behavior of the storage infrastructure virtually. Such computer
41 model granularity depends on the simulation tasks, which may be performance optimization, failure
42 diagnostics/prediction, or any other data-driven method for improving the storage system's functionality.

43 There are three qualitatively different approaches to create a SAN simulator:

- 44 1. a purely physical model with detailed hardware/software processes;
- 45 2. a pure Machine Learning (ML) model, based on data from previous SAN operations;

3. a hybrid of the above – when a simplified SAN architecture is implemented in simulation, and its parameters are adjusted by a trained Deep Learning algorithm.

The first method gives the best results in simulation accuracy and has outstanding potential for extrapolation beyond the known operation domain. It predicts the system's behavior with a configuration that might not have been implemented yet. However, this approach requires significant expertise in the field of storage architecture and a deep understanding of the software stack used on-board the SAN. It also takes enormous resources to implement the model with all the physical and logical details of the system components when trying to achieve the required simulation quality.

The pure ML model is based on the data-driven approach, meaning that the model is trained on the data collected from the existing storage system. This method, on the contrary, may not require as much knowledge of the SAN structure and its operation principles. It may produce satisfactory accuracy of the simulated parameters, but lack the vital feature of scalability – the model is bonded with the data collected for particular SAN architecture and configuration and is unable to extrapolate beyond the scope of the training parameters.

The hybrid simulation technique is a good trade-off in terms of the quality and depth of the domain expertise needed. It requires a relatively simple model of the SAN architecture, implementing only basic components with their functionalities and logical associations. The components must have effective adjustable parameters with meanings similar to the real ones, for example, the CPU clock speed or link bandwidth. These parameters must be adjusted by a reinforcement learning (RL) algorithm to improve the simulation quality. Similarly to the second approach, the hybrid approach needs real data for training.

The development of SANgo was motivated by research aimed to create a monitoring tool able to diagnose the current SAN state and predict possible failures of its components. The diagnostic algorithms were designed to analyze the time series of different parameters collected during storage system operation. Since the algorithms are based on the ML paradigm, they needed plenty of training data, which, in this particular case, could only be obtained from a simulated environment. An essential requirement was the physical consistency of the synthetic data, and with reasonable efforts, it became plausible with the hybrid approach to the simulation.

Such a coupling of the simplified SAN simulator with runtime control by an RL agent required a dedicated study. With many deep learning technologies available, one has to select an approach and its configuration to obtain the best combination of simulation quality, training effort, and speed. The corresponding study was conducted and presented in (Karpov et al., 2018; Sapronov et al., 2018).

The simulator is developed to provide a user with the simplicity of configuration. The user needs to specify the following files: a file that sets the architecture of a storage array in an XML format, a file that defines the impact of external effects (temperature, humidity, atmospheric pressure, and vibration) and finally a set of functions that defines the behavioral logic of each component of a storage array.

There are several open-source storage system simulators available, for example, a software package "CODES project" (Cope et al., 2011; Mubarak et al., 2017) developed by a team of researchers from the Computer Science and Math department of Argonne National Laboratory and Rensselaer Polytechnic Institute (US, Illinois). The CODES simulator is based on the technologies of the Rensselaer's Optimistic Simulation System (ROSS), which allows the parallel execution of an event-driven system that can significantly decrease the runtime of the simulation. The main uses of CODES include large-scale storage systems, scientific distributed applications, parallel and high-performance computing systems with high-load input/output operations, and computational complexity. Another simulator, the C++ based ns3 framework (Riley and Henderson, 2010), is also popular among researchers. The general approach to network-like structure simulation is the OMNeT++ framework (Varga and Hornig, 2008). Another work presents a simulation compliant with the fiber channel technology often used in contemporary SAN architectures, developed as the SANSim tool (Wang et al., 2003). More simulation method descriptions and studies dedicated to SAN system modeling can be found in (Molero et al., 2000a, 2001; Perles et al., 2001; Molero et al., 2000b; Muknahallipatna et al., 2010).

SOFTWARE DESCRIPTION

SANgo is a modular framework for the Discrete Event Simulation (DES) of storage infrastructure. Its metadata description is given in Table 1. DES is a method of simulating the behavior and performance of a real-life process, facility, or system. DES models the system as a series of events (e.g., a beginning/end

of file writing, data block transfer or a start of TCP connection), which happens over time. The main assumption of the DES paradigm is the invariability and consistency in the modeled system between the events. It proves itself as a viable approach to effectively evaluating diverse sets of algorithms. More information can be found in (Fishman, 1978).

Nr.	Code metadata	Description
C1	Current code version	v 0.8
C2	Permanent link to code/repository used for this code version	https://github.com/HSE-LAMBDA/sango
C3	Code Ocean compute capsule	https://codeocean.com/capsule/9707185
C4	Legal Code License	GPL
C5	Code versioning system used	git
C6	Software code languages, tools, and services used	Golang 1.8+, Python 3.6, Jupyter Notebook
C7	Compilation requirements, operating environments & dependencies	pytorch 0.4.0+, OpenAI/gym, libzeromq-dev
C8	If available, link to developer documentation/-manual	
C9	Support email for questions	karzymatov@hse.ru

Table 1. Code metadata

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SANgo provides the functionality to build a simple model for specific computing environments, especially storage area networks. The primary site of interest is the exploration of the behavior of the storage machine under stress testing or exploitation in the medium- or long-term, for observing failures of its components.

In SANgo, each file to be written to the storage is modeled as an independent entity that has corresponding attributes, such as name, size, and block size. The fundamental principle of the simulation process is resource modeling and control. SANgo focuses on algorithms that have the following common objective: assigning a set of tasks to a set of resources in a way that is optimal with respect to some metric. The three basic types of resources are provided: CPU, network interface, and storage. Other types are built upon these basic ones. For example, a storage controller, PCIe-fabric can use CPU type as its basis; RAM, SSD, hard-drives, and JBOD (as a collection of hard-drives) use storage type to implement additional functionality. An example of SAN architecture with these primary resources collected into SAN components is shown in Figure 1.

The SANgo core library is written in the Go programming language. This choice was made because Go can efficiently create lightweight threads, so-called goroutines. We use a goroutine as a representation of the SAN component logic that a user wants to simulate. In other words, the user creates a function and specifies a sequence of actions and/or behaviors that a resource should follow. Such functions are given in Table 2. When sequences of 'behaviors' are specified, the simulation starts.

Simulation sequence

The general algorithm of the SANgo operation is shown in Figure 2. The entry point is the definition of the input parameters: the storage system topology and component parameters and the definition of the load scenario. The latter means the sequence of I/O requests from a virtual client that the simulated SAN must process. Depending on the load scenario, a specific process (component activity) and mode (asynchronous or synchronous) are chosen. Further, an option of component failure, either spontaneous or planned, is processed. With or without this failure, the process of event simulation continues until the load scenario is complete. During each simulation stage, metrics are collected from the components and written out. These metrics describe the state of the simulated system and provide primary information on its operation. Unlike other simulators, the framework allows online adjustments of the components

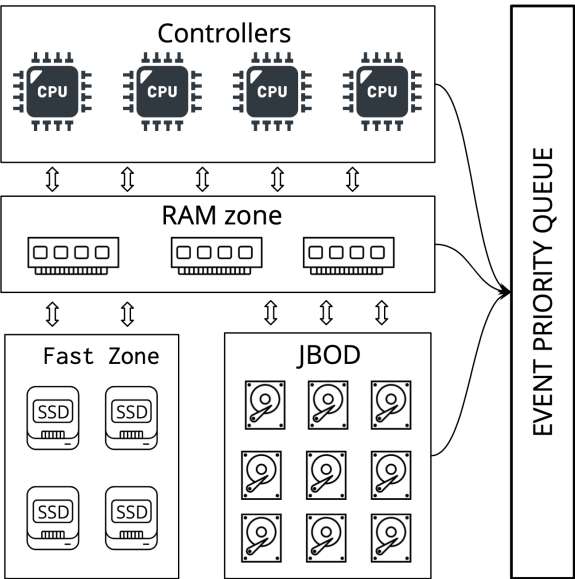


Figure 1. An example of SAN architecture implemented in the SANgo framework.

Component name	Behavior	Description
Contoller	SendPacketSync	Send a packet to the dest and wait until the end of transmission (two side communication)
	SendPacketAsync	Send a packet without waiting for the end of the transmission (two side communication)
	BroadCastSendPacket	Send a broadcasting message with a packet to all processes which listen to 'dest' address
	DetachedSendPacket	Send a packet and wait until the end of the transmission (one side communication)
	ExecutePacket	Process packet
	Wait	Turn on waiting mode
Hard-drive	WriteAsync	Write data blocks in a logical volume in an async/sync mode
	WriteSync	
	ReadAsync	Read data blocks from a logical volume in an async/sync mode
	ReadSync	

Table 2. Functions that define the simulated behaviors of SAN components

parameters. This feature makes possible coupling with an RL agent as described in Section “Illustrative Examples”.

Software functionalities

Online configuration The simulation models created within the SANgo framework are configurable during runtime. It is an important feature, created intentionally, for coupling with a controlling agent and implementing the hybrid simulation method. The details of such cooperation can be found in Section “Illustrative Examples”.

Load generation One of the main interests is how a storage array behaves under different loads. The complete list of the fully measured output metrics is shown in Table 3.

Output format. During the runtime of the simulation, the program opens a file descriptor and saves the simulated metrics in a JSON (Crockford, 2018) array format. Each item in the array is a key-value representation of the resource objects (storage controllers, links, and hard-drives) created in the simulation. Go allows users to change the encoding of each object by the format string stored under the "json" key in

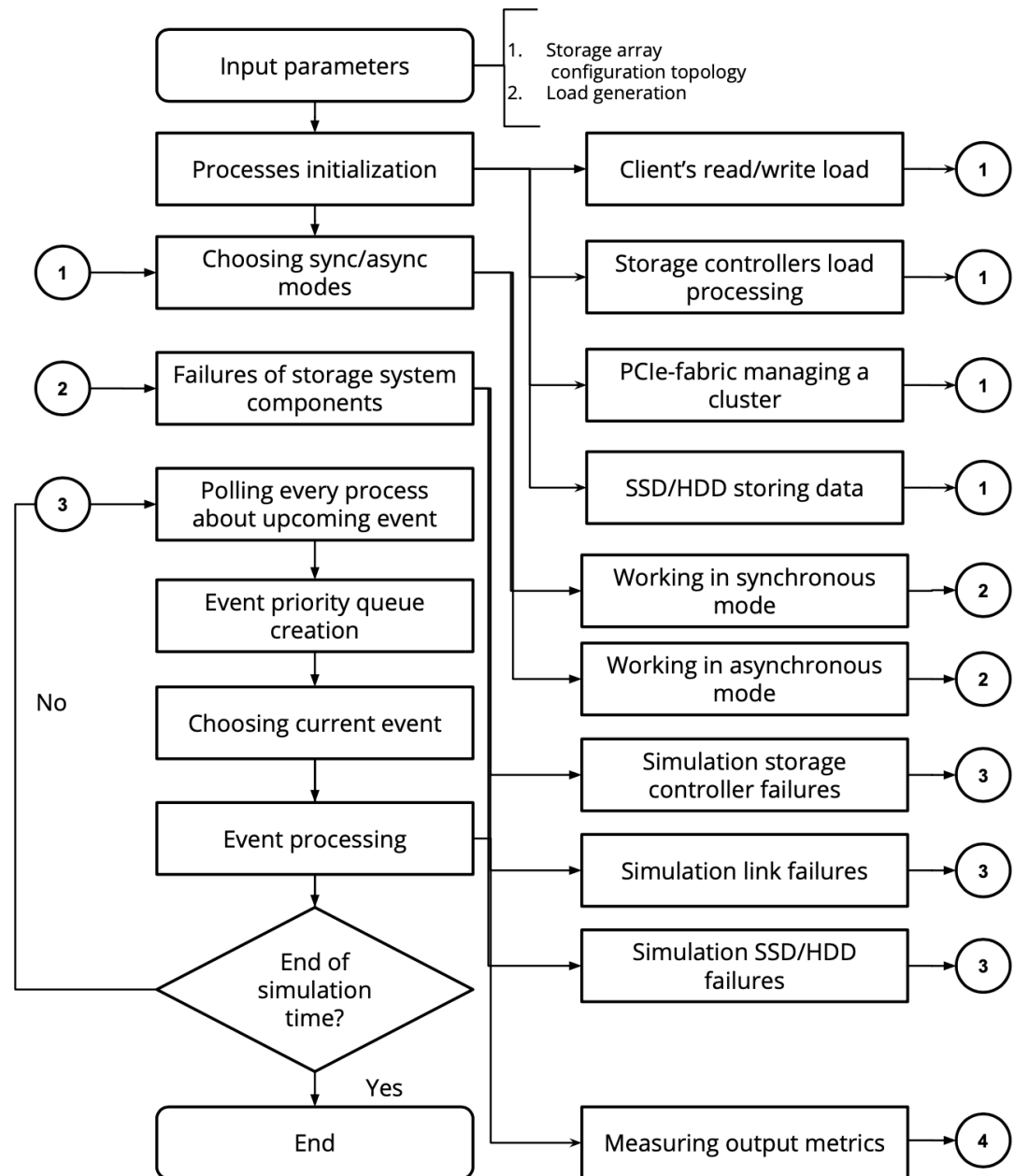


Figure 2. SANGo discrete event simulation workflow.

Parameters	Component name	Output name	Description
Inner	Storage controller	cpu_usr	User CPU utilization, %
		cpu_sys	System CPU utilization, %
		cpu_idle	CPU idle time, %
		mem_used_MB	Used RAM memory, MB
		mem_free_MB	Free RAM memory, MB
		cpu_temp	CPU temperature, °C
		fan_speed	Fan angular velocity, Hz
	Network interface	RxKB	Input traffic through interface, MB
		TxKB	Output traffic through interface, MB
	Hard drive	total_cap_MB	Raw capacity, MB
		used_cap_MB	Used capacity, MB
		alloc_MB	Memory used by system, MB
		len_MB	Requested volume size, MB
		r_KBps	Disk read/write speed, KB/s
		w_KBps	
		r_ops	Read/write operations per second, IOPS
		w_ops	
		r_await_ms	Average read/write request processing time, ms
		w_await_ms	
		ccrm	Number of denied requests, n
		rrqm	Number of read/write requests, n
		wrqm	
		avgrq_sz	Average size of request, n
		avgqu_sz	Average client queue size, n
		MB_read	Total amount of read/write data, MB
		MB_wrtn	
		blk_read	Number of read/write blocks with given size, n
		blk_wrtn	
	Each component	Health state	One of OK, MISSED, BAD or LOST
Outer	Atmospheric	humidity	Current values of humidity, atmosphere pressure, temperature, and vibration measured inside storage system %, kPa, °C, Hz respectively
		atm_pressure	
		temperature	
		vibration	

Table 3. Output characteristics

the resource field's tag. This language feature allows the user to additional mute parameters. Together with native struct embedding (inheritance), it is possible to adapt the output format needed for different hardware configurations easily.

Anomalies. For the simplified simulation, we chose to split the failure factors into two categories: internal and external. The former relates to the component operation mode, its load, and neighboring component conditions. The internal factors are better described by the RL counterpart of the SANG, which takes into account operation history and composite state of the system. For the latter category, we consider four ways in which the external parameters could affect the failure of parts of the system, such as the impact of temperature, humidity, atmospheric pressure, and vibration level.

Temperature. There are multiple failure mechanisms in electronic components related to their temperature: electromigration (d'Heurle, 1971), high temperature stress migration (Aoyagi, 2005), thermal fatigue (Zhou and Hashida, 2002), mechanical stresses induced by differential thermal expansion of materials (Rabiei and Evans, 2000), the drift of parameters (frequency, current, voltage) of devices (Rabiei and Evans, 2000), solder joint failures (Yeh et al., 2002), ionic effects (Berg and Paulson, 1980),

increase in leakage current (Hamidi and Coquery, 1997) and bond-wire fatigue (Roesch and Jittinorasett, 2004) (Matsunaga and Uegai, 2006). The detailed review of electronics failure modes that are influenced by temperature is given in (Blanks, 1990). The temperature of individual simulated components we consider as an internal factor and, therefore, not implement in the SANgo code. Inclusion of all or even some of these mechanisms into simulation would require a very detailed simulation of the circuit boards and electronic parts of the SAN components.

In general, the Arrhenius model (Lakshminarayanan and Sriraam, 2014) is a good approximation for exact failure mechanisms, including electromigration, corrosion, and certain manufacturing defects when dealing with slowly changing ambient temperature. The model is derived from the observed dependence of chemical-reaction rates on temperature changes. We use the Arrhenius model solely to take into account the external factor of ambient temperature for the failure rate of the electronic components. According to this model, the reaction acceleration rate is given by:

$$K = A \exp \{E_a / k [1/T_{ref} - 1/T]\}, \quad (1)$$

where K is the resulting failure rate, A is a rate constant empirically derived, E_a is the activation energy (eV), k is the Boltzmann's constant ($8.6 \times 10^{-5} \text{ eV/K}$), T is the ambient temperature (K) and T_{ref} is the component's reference temperature (K).

For non-electronic components (such as hard-drives), the failure rate is calculated based on fail-safe operation time T (Sankar et al., 2011). Using the maximum likelihood method, β (form factor), ζ (scale coefficient) the failure probability is estimated:

$$K_{\beta, \zeta} = \frac{\beta T^{\beta-1} \exp -\frac{T}{\zeta}}{\zeta^{\beta}}, \quad (2)$$

where $\beta > 0, \zeta > 0, T > 0$.

Humidity. Atmospheric pressure. Vibration. The impacts of these three external factors are modeled similarly. The predefined mappings from the environment factor value to failure rates for humidity, pressure, and vibration are taken from (Mitchel, 1996; Strom et al., 2007; Dutta and Barnard, 2017) correspondingly. At each timestamp t of the simulation, current values of humidity, pressure, and vibration are taken. Then the corresponding failure rates are calculated using the said relationships.

For all of the external factors the failure-triggering pseudo-code looks like:

```

184 WHILE simulation is going:
185     READ current values of temperature, humidity,
186                             pressure, vibration;
187     CALCULATE failure rates imposed by:
188         f1 ← temperature;
189         f2 ← humidity;
190         f3 ← pressure;
191         f4 ← vibration;
192     GENERATE a random number R from [0, 1) uniformly;
193     IF R < (f1 + f2 + f3 + f4): CREATE breakdown;
194     ELSE: nothing happens;
195     WAIT until next time step;

```

ILLUSTRATIVE EXAMPLES

An example of the SANgo application for the simulation of a mid-range storage array with basic structure is described below. The simulated architecture was configured with parameters corresponding to the real SAN components parameters. Most underlying effects of the SAN functioning, such as the operating system and software logic, load distribution algorithms, and other more complex hardware details are not described by the simulator. In order to better approximate the behavior of the real-life system, SANgo was coupled with DeepController (DC) – an RL optimizer. The scheme of cooperation between SANgo and DC is shown in Figure 3.

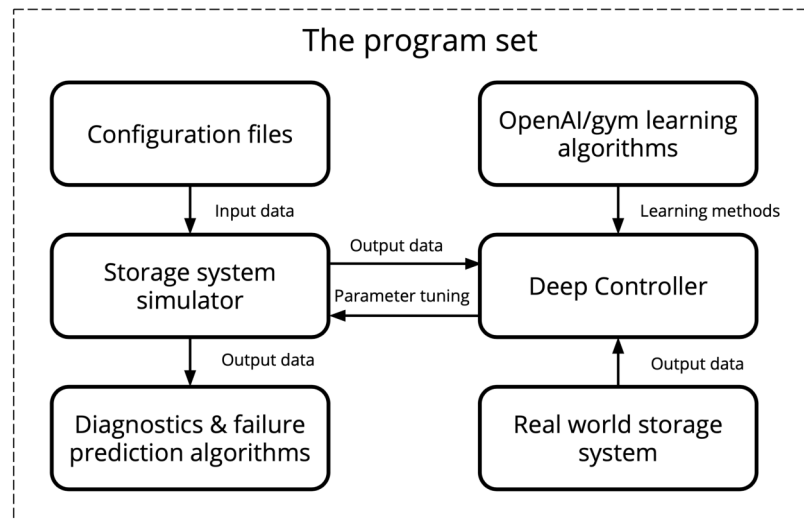


Figure 3. The hybrid simulation scheme using OpenAI/gym interfaces.

DC represents the RL and deep learning paradigm for controlling the simulator by tuning the effective parameters of its components. The simulation process is split into two phases.

Training phase : DC takes as input the real SAN data (load and metrics), and the initial effective parameters of SANgo. By varying the effective parameters, DC learns what needs to be done to obtain a simulator state, similar to the real SAN under the same load conditions. The output for this phase is a trained model with corresponding neural network (NN) weights.

Control phase : during the actual simulation, DC takes as input the load and current effective parameters and simulated metrics. The corrections to the effective SANgo parameters are given to the output for every next simulation step.

In this context, it is important to note that a special wrapper was implemented to create an OpenAI Gym environment (Brockman et al., 2016) using DC, SANgo, real SAN data, and initial effective parameters. Such an environment provides an advantageous opportunity to use multiple state-of-the-art RL-algorithm libraries, implemented in the OpenAI toolkit (Dhariwal et al., 2017; Hill et al., 2018; Kolesnikov, 2018). In particular, we used a DDPG model to train DC as one of the efficient approaches for Continuous Control problem (Lillicrap et al., 2015). Also, the obtained gym-environment could be used as a benchmark for other RL-algorithms, especially since there are not so many environments for digital twins (Koch et al., 2019).

The real SAN data were used to validate the behavior of the hybrid simulator. A sequence of load requests was generated on the real storage system prototype together with artificially induced failures of one of the components: a storage controller, a network interface, or a storage device. The labeled metrics of the healthy and broken systems were collected and used to train the hybrid simulator with the same workload and failure scenarios. In the end, the simulated metrics are compared against the real ones. An example of one of the metrics – the storage controller CPU load is shown in Figure 4.

One can observe a qualitative agreement between the simulated and the real data. The more component parameters added, the closer the DC model distributions were to the real data.

For generating data in this scenario, the simulator was launched by the following command:

```

go run main.go -sim_run=210000 -platform=virt_setup.xml
-num_jobs_config=num_jobs.json -packet=packet.json
-controlling_mode=0 -num_jobs=1 -atm_dep=temp.json
-atm_control=atm_control.json -file_amount_w=10
-file_size_w=10GB -output=output.json

```

In this command snippet, several flags are used. They define the following behavior of the simulation. It runs for 210000 seconds, writes 10 files, each with size 10GB, uses 1 job, a topology information

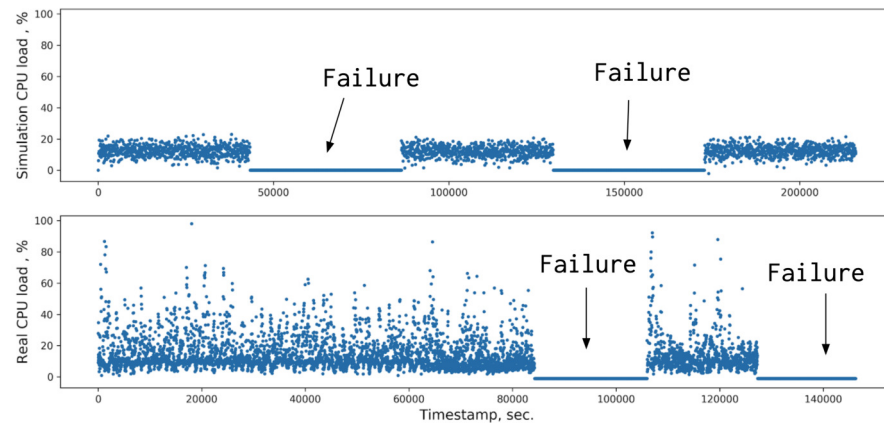


Figure 4. Comparison of the CPU load metrics between simulated (upper) and real data (lower). The periods marked 'Failure' correspond to a storage processor being offline.

is provided in `virt_setup.xml`, the external dependencies are defined in `atm_control.json`,
`packet.json` provides a packet block timing information, and finally, output data is written to the
`output.json` file. There are other flags available to configure the simulator functionality. A complete
list of execution options is given in Table 4.

The hardware parameters configuration is an essential step in the SANgo setup. For example, we used
empirically obtained parameters of the storage devices affecting the speed of writing and reading data
using Flexible I/O tester. We performed data transfer in different modes, such as reading, writing, random
reading, random writing, reading/writing, and random reading/writing. These modes were combined
with different data block sizes – from 2 Kb to 2⁶ Gb to cover a full range of possible IO conditions. The
FIO tool allows user to directly measure some parameters, such as latency, transmission, and processing
time. Other parameters, such as rate and seek time, had to be calculated from the parameters that FIO
allowed to measure. An example of hard drive parameter values for 8 Kb block size, measured with such
an approach, is provided in Table 5.

IMPACT

The SANgo simulator helps researchers to address several issues in the area of SAN architecture devel-
opment. The primary purpose of the developed SAN digital twin (Sapronov et al., 2018), in which the
SANgo is a critical component, is to provide realistic data for the SAN optimization. In conditions where
the real data are scarce, for example, during the early stages of development, the synthetic data may help
to improve the system's stability, study its behavior under different load regimes and observe how the
system reacts to various failures of its components. Besides, synthetic data allows the development of
diagnostic and prediction tools for system malfunction based on data-driven algorithms available in the
Machine Learning domain (Hushchyn et al., 2019). The SAN architecture optimization and parameter
tuning is another possible application of such a digital twin.

For example, the SANgo code was used to produce synthetic data for training diagnostic and predictive
software. The software relies on data-driven algorithms to assess the state of the storage system, diagnose
its malfunction, and calculate the probability of failures in the near future. Using SANgo helps to
significantly reduce the amount of collected real SAN operation data, which is quite expensive in most
cases.

The application of SANgo software is somewhat limited outside the intended scope. The simulator
intentionally describes the storage infrastructure in low detail and, therefore, can be used only for
deterministic and approximate emulation of the SAN operation. However, the general approach of
combining the basic simulator with an RL agent is very scalable for other uses where one needs to
simulate a complex apparatus or a system with many loosely controlled parameters, but with available
real data collected during its operation.

Type	Flag's name	Type	Description
Common	-sim_run	float	Total simulation runtime
	-disk_amount	int	Amount of hard-drives
	-num_jobs	int	The analog of the fio numjobs
Input files	-platform	string	Data block's timing parameters
	-packet	string	A change of atmosphere parameters over time
	-atm_control	string	Impact of atmosphere parameters on the system components
	-atm_dep	string	
	-client	string	Client's load & quota specification
Load	-num_jobs_config	string	
	-file_amount_w	int	Number of files to be written
	-file_size_w	float	Write files size range
	-load_range_w	float	File write rate
	-file_amount_r	int	Number of files to be read
	-file_size_r	float	Read files size range
Anomaly	-load_range_r	float	File read rate
	-anomaly_type	string	Controller, link, disk type anomaly
	-anomaly_amount	int	Number of anomalies
	-anomaly_time_range	float	Anomaly occurring rate
DC ¹ mode	-anomaly_duration	float	Duration of anomalies
	-controlling_mode	bool	Enabling DC
	-host	string	Hostname
	-port	int	A communication endpoint
	-protocol	tcp, udp	SANgo-DC communication protocol
	-delay	float	Delay in messaging between GT and DC

Table 4. A complete description of the SANgo execution options.

transmission t	latency t	read processing t	write processing t	sequential read rate t
3.96e-05	0.01784	0.01229	0.02331	0.00065

Table 5. An example of hard drive parameter values for 8 Kb block size, where "t" is time in seconds.

272 The SANgo alone has limited precision in terms of simulation quality. The storage system model
 273 describes only high-level components and provides a very simplified description of their failure mechanics.
 274 However, the strong side of our approach is the adjustable parameters of these components. When the
 275 parameters are controlled by an RL-trained agent, the simulation quality can be improved. At the same
 276 time, the physical model within the SANgo framework ensures the results are interpretable and physically
 277 consistent.

278 While the software itself is not used in any commercial setting, the product of its application, the
 279 diagnostic and prediction tool for the SAN malfunction, is bundled with an undisclosed commercial
 280 storage system. The tool is developed and trained using real data and synthetic data obtained from the
 281 digital twin of the SAN.

282 CONCLUSION

283 A flexible framework SANgo for the creation of an event-driven storage simulator was presented. Within
 284 this framework, the storage system models have simplified design, but researchers are allowed to adjust
 285 the components' effective parameters during runtime to improve the quality of the simulation. This
 286 approach complies with a hybrid simulation method, where a reinforcement learning algorithm adjusts a
 287 physical model.

288 The SANgo framework allows the user to emulate the I/O load on the storage system, as well as

failures of its components. It was initially designed to work in tandem with the DeepController program, implementing the RL algorithm. The simulator can also be used as a benchmark for comparison of different learning algorithms, due to support of the OpenAI/gym interface.

The purpose of such a hybrid simulator is to serve as a storage system digital twin and provide large amounts of synthetic data. This data can be further used for storage system optimization, diagnostic, and failure prediction.

CONFLICT OF INTEREST

No conflict of interest exists: We wish to confirm that there are no known conflicts of interest associated with this publication, and there has been no significant financial support for this work that could have influenced its outcome.

ACKNOWLEDGMENTS

The research was carried out with the financial support of the Ministry of Science and Higher Education of the Russian Federation within the framework of the Federal Target Program Research and Development in Priority Areas of the Development of the Scientific and Technological Complex of Russia for 2014-2020. Unique identifier RFMEFI58117X0023, agreement 14.581.21.0023 on 03.10.2017.

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