

Intrinsic RGB and multispectral images recovery by independent quadratic programming

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This work presents a method to estimate reflectance, shading and specularities from a single image. Reflectance, shading and specularities are intrinsic images derived from the dichromatic model. Estimation of these intrinsic images has many applications in computer vision such as shape recovery, specularities removal, segmentation or classification. The proposed method allows to recover the dichromatic model parameters thanks to two independent quadratic programming steps. Compared to the state of the art in this domain, our approach has the advantage to address a complex inverse problem into two parallelizable optimization steps that are easy to solve and do not require learning. The proposed method is assessed qualitatively and quantitatively on standard RGB and multispectral datasets.

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ABSTRACT

This work presents a method to estimate reflectance, shading and specularly from a single image. Reflectance, shading and specularity are intrinsic images derived from the dichromatic model. Estimation of these intrinsic images has many applications in computer vision such as shape recovery, specularly removal, segmentation or classification. The proposed method allows to recover the dichromatic model parameters thanks to two independent quadratic programming steps. Compared to the state of the art in this domain, our approach has the advantage to address a complex inverse problem into two parallelizable optimization steps that are easy to solve and do not require learning. The proposed method is assessed qualitatively and quantitatively on standard RGB and multispectral datasets.

1 INTRODUCTION

Light reflected on the surface of an object could be either diffuse or specular. Diffuse reflection is produced by rough surfaces that tend to reflect light in all directions while specular reflection is defined as light reflected at a definite angle, like a mirror reflection. These two phenomena appear on an image, thus, a challenging task is to isolate their contributions. Shape, colour and geometry are very useful information that could be obtained from the decomposition of diffuse and specular reflection. For example, the colour of an object can be used for segmentation, classification or recolouring and the shape and the geometry gives 3D information about the environment and could be used for object recognition. Several models have been proposed to model light reflected on a surface. One of the simplest model is the lambertian model proposed by Lambert in 1760. The model is expressed by

$$I(u, \lambda) = \frac{1}{\pi} l(\lambda) S(u, \lambda) \cos(\theta_i) dw_i \quad (1)$$

where $I(u, \lambda)$ is the diffuse radiance at pixel u and wavelength λ , $S(u, \lambda)$ is the surface reflectance, l is the light source radiance, θ_i is the incident angle and dw_i is the solid angle of the light source viewed from pixel u as explained by Robles-Kelly and Huynh (2012).

The dichromatic model is also widely used in the literature. It was first proposed by Shafer (1985) for modelling dielectric objects. The model is mathematically defined by the equation:

$$I(u, \lambda) = l(\lambda)(g(u)S(u, \lambda) + k(u)) \quad (2)$$

where I , l and S are defined as previously, g is the shading factor and k is the specular coefficient. In the dichromatic model, an image can be split into a diffuse and a specular part. The shading factor g governs the proportion of diffuse light reflected from the object and k models the irregularities of the micro-facet structure that cause specularly in the scene. Compared to the lambertian model, the dichromatic model adds the specular part k and for a purely diffuse lambertian surface, $g(u) = \frac{1}{\pi} \cos(\theta_i) dw_i$ and $I(u, \lambda) = l(\lambda)g(u)S(u, \lambda)$.

28 The dichromatic model can be seen as a special case of the Bidirectional Reflectance Distribution
29 Function (BRDF) simplified with the assumption of a uniform illumination across the spatial domain
30 as explained in the book of Robles-Kelly and Huynh (2012). Other models exist like SIRFS (Shape,
31 Illumination and Reflectance From Shading), developed by Barron and Malik (2015). The model is
32 parametrized with a *rendering engine* and a spherical harmonic model of illumination. To the contrary
33 of the dichromatic model, SIRFS is based on computer graphics and not on phenomenology. All these
34 models can be used to decompose an image or to generate synthetic images.

35 Methods to inverse the dichromatic model are often based on the neighbourhood analysis of each pixel:
36 Tan et al. (2004); Tan and Ikeuchi (2005) have described a specular-to-diffuse mechanism which is applied
37 on local neighbourhood having the same reflectance. Fast recovery of intrinsic images from a single image
38 already exists. Yoon et al. (2006) create specular-free 2-channel images and Yang et al. (2015) use guided
39 filtering (originally proposed by He et al. (2013)) to remove specularity. Recent progress in deep learning
40 encourage researchers in the field to use CNN (convolutional neural network) based approaches to solve
41 the inversion problem like Son and Lee (2016) or Shi et al. (2017). There are few works that consider
42 non-local strategy like Xie et al. (2016). They encourage distant clusters that have the same colour to have
43 the same reflectance. Shen et al. (2008) use intensity-normalized colour information as texture vectors
44 and encourage distant pixels that have the same texture vectors to have the same reflectance.

45 The decomposition of multispectral images into photometric invariants is recent. For example, Huynh
46 and Robles-Kelly (2008, 2010) have worked on multispectral images. Their method consists on minimizing
47 objective functions based on the dichromatic model to recover intrinsic images. The decomposition
48 was then used for skin recognition, material clustering and specular removal. Koirala et al. (2011)
49 have another approach. They detect and remove specularity with a filter which coefficients are found
50 by constrained energy minimization. The dichromatic model parameters recovery can also be achieved
51 with the inversion of linear model like demonstrated by Fu et al. (2006). They have applied Orthogonal
52 Subspace Projection to remove specularity. In a similar way, Zheng et al. (2015) and Chen et al. (2017)
53 separate the illumination spectra from the reflectance using low rank matrix factorization following the
54 lambertian model.

55 The purpose of this work is to inverse the dichromatic model. Precisely, three photometric invariants g ,
56 S , and k are recovered. These photometric invariants are recovered thanks to two quadratic programming
57 steps. The presented inversion method has the advantages to be learning free and to be applicable to
58 RGB images as well as multispectral images. Thus, the rest of the paper is organized as follows: in
59 section 2, the inversion problem and its underdetermination is analyzed. The solutions and the limitations
60 found in literature are explored to compare our approach to existing methods. Then, the proposed method
61 is detailed in section 3. Finally, we assess the robustness of the proposed method qualitatively and
62 quantitatively in section 4.

63 2 UNDERDETERMINATION AND RELATED WORK

64 Even if the dichromatic model is rather simple, its inversion is still complex. The inversion process is
65 an underdetermined problem. One single image could have been obtained by a large combination of
66 illumination, shape and reflectance.

67 Mathematically, we note that in equation (2), there could be any balancing factor between g and S *i.e.*
68 if S^* and g^* are solution of the inverse problem, then αS^* and $\frac{g^*}{\alpha}$ are also solution of the problem for
69 any positive scalar α . From a numerical point of view, there are fewer equations than unknowns. Let
70 us define N_p as the number of pixels and N_c as the number of wavelengths. According to equation (2),
71 there are $N_p \times N_c$ equations for $N_c + N_p + N_p \times N_c + N_p$ unknowns. This comparison shows clearly that
72 the problem is underdetermined, thus the inversion algorithm should include soft or hard constraints to
73 overcome the underdetermination. For example g , S and k have a physical meaning, they must be positive
74 numbers.

One of the simplest way to reduce the number of unknowns is to assume that the illumination spectrum
is known or can be experimentally estimated. This can be done by imaging a white standard reference
and define l as the spatial mean spectra. l can also be obtained thanks to one of the reference methods
taken from literature like the White-Patch method, the Grey-World method or the Grey-Edge method as
explained by Huynh and Robles-Kelly (2010). Recent deep learning based algorithms compete these
methods like the Convolutional Neural Network (CNN) of Bianco et al. (2015) or the mixed pooling
neural networks of Fourure et al. (2016). Once the spectrum of the illumination is known the equation (2)

becomes:

$$R(u, \lambda) = \frac{I(u, \lambda)}{I(\lambda)} = g(u)S(u, \lambda) + k(u). \quad (3)$$

75 This simplification suggests that the reflectance spectra S is related to R with a scalar and an offset.
 For some applications, if only one material is considered, the number of unknowns can be further reduced because the reflectance S is no more pixel dependent and the equation (3) is simplified by

$$R(u, \lambda) = g(u)S(\lambda) + k(u). \quad (4)$$

76 This system is overdetermined and can be solved by linear regression as suggested by Robles-Kelly
 77 and Huynh (2012). The uniqueness of the material is unfortunately a strong assumption and is rarely
 78 applicable in practice.

79 Barron and Malik (2012) and Barron and Malik (2015) have expressed priors on the illumination,
 80 the reflectance and the shape of an object. These constraints are soft constraints and even if we are not
 81 using the same model, we use some of their ideas to build our own optimization algorithm. For example,
 82 Barron *et al.* explain that surfaces tend to be smooth, thus the shading image g is also smooth. This
 83 assumption is also used in the papers of Gu and Robles-Kelly (2016) and Huynh and Robles-Kelly (2008).
 84 The smoothness is expressed by a regularization term that minimizes the gradient and the mean curvature
 85 respectively. Barron and Malik (2015) also expressed the fact that the number of different reflectances
 86 in an image tends to be small. This means that the palette used for an image is small. These priors
 87 reflect good assumptions but require learning. In this case, learning based methods would require a lot of
 88 training samples and would be dependent on the number of channels of the image. In practice Barron and
 89 Malik (2015) have trained their priors for gray-scale image (1 channel) and RGB images (3 channels)
 90 independently.

91 Some works overcome the underdetermination by increasing the amount of data available, for example
 92 by combining multiple views of the scene. Using multiple images makes easier the separation of diffuse
 93 and specular components. For example, Umeyama and Godin (2004) use a rotating polarizer to acquire
 94 several images and then apply Independent Component Analysis (ICA) assuming the probabilistic
 95 independence between diffuse and specular components. Feris *et al.* (2004) use multi-flash images to
 96 reduce specularly and Xie *et al.* (2016) use stereoscopic images and inverse the lambertian model
 97 $R(u, \lambda) = g(u)S(u, \lambda)$. Zhou *et al.* (2015) increase the amount of information by asking the user to order
 98 image patches according to their brightness, thus producing a data-driven reflectance prior. The use of
 99 several views of the same scene clearly decreases the underdetermination but is also more cumbersome.

100 The proposed method takes into account the numerical constraints that were observed. As most of
 101 real life objects are smooth, a soft smoothness is also introduced. The illumination spectra is known thus,
 102 the efforts are focused on the resolution of equation (3). The next section details the complete method to
 103 recover the intrinsic images and explains the constraints that were used.

104 3 METHOD

In a previous paper, we have proposed a method to solve the decomposition problem with two quadratic programming steps (Krebs *et al.* (2017)). The shading factor g and the specular image k were indirectly recovered as the minimum of quadratic objective functions subject to linear constraints. It means that the decomposition is obtained by solving two problems under the general form:

$$\begin{cases} \mathbf{x}^* = \underset{\mathbf{x}}{\operatorname{argmin}} \frac{1}{2} \mathbf{x}' \mathbf{Q} \mathbf{x} + \mathbf{c}' \mathbf{x} \\ \text{subject to} \\ \mathbf{A} \mathbf{x}^* \leq \mathbf{b} \\ \mathbf{A}_{\text{eq}} \mathbf{x}^* = \mathbf{b}_{\text{eq}} \end{cases} \quad (5)$$

105 where \mathbf{x}^* is the desired solution vector, \mathbf{Q} , \mathbf{A} and \mathbf{A}_{eq} are matrices and \mathbf{c} , \mathbf{b} and \mathbf{b}_{eq} are column vectors.

106 Quadratic programming is the process of solving this kind of optimization problem. Nowadays, these
 107 problems are well known and it exists a variety of methods to solve them like the interior point, the active
 108 set, the augmented Lagrangian or the conjugate gradient detailed by Nocedal and Wright (2006).

109 In the following parts, an improved version of our algorithm written in 2017 is introduced while
 110 preserving the quadratic formulation to keep the simplicity of resolution. The objective functions have
 111 been changed to be in the logarithmic domain. Working in the logarithmic domain allow us to reach better
 112 stability and much better results. The new algorithm keeps all advantages of the previous version, it is
 113 still learning free and is now applicable to RGB images as well as multispectral images.

114 3.1 Indirect recovery of the shading factor

115 The first goal of the proposed method is to recover the shading factor g . g is actually indirectly recovered:
 116 we define the unknown of the optimization problem as $x = \ln(g)$.

It has been shown that if two pixels u and v belong to the same material, the ratio between g_u and g_v (values of the image g at pixels u and v) is equal to the ratio between σ_u and σ_v (the standard deviations of R along the wavelengths axis):

$$\frac{g_u}{g_v} = \frac{\sigma_u}{\sigma_v} \quad (6)$$

because

$$\frac{\sigma_u}{\sigma_v} = \frac{std(R_u, \lambda)}{std(R_v, \lambda)} = \frac{g_u std(S_u, \lambda)}{g_v std(S_v, \lambda)} = \frac{g_u}{g_v}. \quad (7)$$

Thus, applying the logarithm transforms ratios into differences

$$x_u - x_v = \ln(\sigma_u) - \ln(\sigma_v). \quad (8)$$

The key idea is then to write an objective function as a weighted sum of squared residuals:

$$f_1(x) = \sum_u \sum_{v \in \mathcal{N}(u)} \zeta_{u,v} (x_u - x_v - \ln(\sigma_u) + \ln(\sigma_v))^2 \quad (9)$$

where $\mathcal{N}(u)$ denotes the neighbourhood of u , $\zeta_{u,v}$ is a weight between 0 and 1 corresponding to the similarity measure between two spectra at pixels u and v :

$$\zeta_{u,v} = \exp\left(\frac{-SAM(R_u, R_v)^2}{r}\right) \quad (10)$$

with r as the bandwidth parameter and SAM as the Spectral Angle Mapper, one spectral similarity measure explored by Galal et al. (2012).

$$SAM(R_u, R_v) = \arccos\left(\frac{\sum_{i=1}^{N_c} R_{ui} R_{vi}}{\sqrt{\sum_{i=1}^{N_c} R_{ui}^2} \sqrt{\sum_{i=1}^{N_c} R_{vi}^2}}\right). \quad (11)$$

In case pixels u and v do not belong to the same material (*i.e.* $\zeta_{u,v}$ is close to zero), x is assumed to be smooth *i.e.* $x_u \approx x_v$. The complementary objective function is thus created under the form:

$$f_2(x) = \sum_u \sum_{v \in \mathcal{N}(u)} (1 - \zeta_{u,v}) (x_u - x_v)^2. \quad (12)$$

117 From an image processing point of view, minimizing the distance between x_u and x_v is intuitively like
 118 applying an averaging filter on x which is also equivalent to applying a geometric mean filter on g . This
 119 smoothing is more robust to positive outliers than the classical averaging filter.

120 There is a third case which is more difficult. If two pixels u and v are grey, then the standard deviation
 121 is close or equal to zero and thus f_1 could be unstable. A grey pixel is defined as a pixel for which R_u
 122 is nearly constant for every wavelength and thus cannot be separated into a diffuse part and a specular part.
 123 In this case, the mean over the wavelengths μ is used instead of the standard deviation σ .

This case corresponds to the assumption that there is no specularity on grey objects (*i.e.* that $k = 0$). A third part of the objective function is thus written:

$$f_3(x) = \sum_u \sum_{v \in \mathcal{N}(u)} \zeta_{u,v} \zeta_u \zeta_v (x_u - x_v - \ln(\mu_u) + \ln(\mu_v))^2 \quad (13)$$

124 New symbols are introduced with the following definition: $\zeta_u = \zeta(R_u, \mathbf{1})$ and $\bar{\zeta}_u = 1 - \zeta(R_u, \mathbf{1})$ ($\mathbf{1}$ being
125 a vector of ones). ζ_u is an indicator, based on SAM metric, that emphasizes the spectra that are nearly
126 grey (value close to 1) or not (value close to 0).

On the same time, f_1 and f_2 are slightly modified with an additional factor that discards grey pixels:

$$f_1(x) = \sum_u \sum_{v \in \mathcal{N}(u)} \zeta_{u,v} \bar{\zeta}_u \bar{\zeta}_v (x_u - x_v - \ln(\sigma_u) + \ln(\sigma_v))^2, \quad (14)$$

$$f_2(x) = \sum_u \sum_{v \in \mathcal{N}(u)} (1 - \zeta_{u,v} \zeta_u \zeta_v - \zeta_{u,v} \bar{\zeta}_u \bar{\zeta}_v) (x_u - x_v)^2. \quad (15)$$

The final objective function is written as the sum of f_1 , f_2 and f_3 so that all three cases are well encompassed.

$$f_{shading}(x) = f_1(x) + f_2(x) + f_3(x). \quad (16)$$

127 As this objective function is the sum of quadratic functions, $f_{shading}$ is also quadratic and its minimiza-
128 tion can be seen as a quadratic programming task as presented in the system (5).

129 Once the objective function is built, hard constraints are considered. Mathematically, we have seen
130 in section 2 that there could be any balancing factor between g and S . Thus, in the logarithmic domain,
131 considering x^* instead of g^* , there can be any offset ε we can add to x^* , the solution will still hold. Thus,
132 we can constraint the sum of all elements of x to be equal to an arbitrarily chosen constant c .

133 3.2 Indirect recovery of the specular factor

134 The second goal of the proposed method is to recover the specular factor k . This part of the method is
135 independent from the previous calculus of g , and thus, the two optimizations are perfectly interchangeable
136 or can be parallelized to speed up the algorithm. The intermediate variable $y = \ln(gS)$ is computed as
137 the minimum of a constrained quadratic objective function. The symbol \bar{S} being the mean of S over the
138 wavelengths.

If two pixels u and v belong to the same material, then:

$$y_u - y_v = \ln(\sigma_u) - \ln(\sigma_v) \quad (17)$$

139 for the same reason as for equation (8).

Thus our objective function can be written as the square of the difference between y_u and y_v weighted
by $\zeta_{u,v}$ to express the similarity of the material and $\bar{\zeta}_u \bar{\zeta}_v$ to express the fact that the pixels cannot be grey:

$$f_4(y) = \sum_u \sum_{v \in \mathcal{N}(u)} \zeta_{u,v} \bar{\zeta}_u \bar{\zeta}_v (y_u - y_v - \ln(\sigma_u) + \ln(\sigma_v))^2. \quad (18)$$

140 f_4 is analogous to the function f_1 in equation (14).

Like in previous part, a complementary function is written. In this case, we assume that the specularity
is negligible compared to the diffuse part i.e. $y_u \approx \mu_u$.

$$f_5(y) = \sum_u \sum_{v \in \mathcal{N}(u)} (1 - \zeta_{u,v} \bar{\zeta}_u \bar{\zeta}_v) (y_u - y_v - \ln(\mu_u) + \ln(\mu_v))^2. \quad (19)$$

f_4 and f_5 are also quadratic and the final objective function can be written as:

$$f_{specular}(y) = f_4(y) + f_5(y). \quad (20)$$

Because of the physical constraint, k is bounded below by 0, all elements of k must be positive.
Moreover, the consideration of the minimum of R over the wavelength:

$$\min(R_u, \lambda) = g_u \min(S_u, \lambda) + k_u \quad (21)$$

shows that k_u is also upper-bounded by $\min(R_u, \lambda)$. Thus, y_u is also bounded:

$$\ln(\mu - \min(R_u, \lambda)) \leq y_u \leq \ln(\mu). \quad (22)$$

As for x , y is recovered thanks to a quadratic programming algorithm. g , k and S are then obtained with

$$\begin{aligned}g &= \exp(x) \\k &= \mu - \exp(y) \\S &= \frac{R-k}{g}.\end{aligned}\tag{23}$$

141 To conclude on this section, two quadratic objective functions have been built allowing us to recover
142 indirectly g , k and S . Only simple statistical tools (standard deviation and mean) have been used making
143 the method applicable to RGB images as well as multispectral images. These functions decompose all
144 pixels into three category: neighbouring pixels that belong to the same material, pixels from different
145 materials and grey pixels.

146 4 RESULTS AND DISCUSSION

147 This section presents the qualitative and the quantitative results of the presented method. First, qualitative
148 results are presented and then, metrics are introduced to assess the quality of the method quantitatively. It
149 is very important to compare our work to current methods in the literature, thus, in the following parts,
150 the references Barron and Malik (2015), Yang et al. (2010), Gu et al. (2013), Huynh and Robles-Kelly
151 (2010) and the previous version of the algorithm (Krebs et al. (2017)) are used as comparative methods.
152 Afterwards, we will use the following abbreviations to refer to each of these methods:

- 153 • *LS* for Gu et al. (2013)
- 154 • *KL* for Huynh and Robles-Kelly (2010)
- 155 • *Barron* for Barron and Malik (2015)
- 156 • *Yang* for Yang et al. (2010)
- 157 • *Krebs* for Krebs et al. (2017)

158 *LS* employs shapelets to recover the shading of an image. *KL* is based on objective functions with a
159 regularization term that enforces the smoothness of g . *Barron* uses priors to recover the most probable
160 illumination, shape and reflectance and *Yang* uses guided image filtering to iteratively remove specularity.

161 We have tested the different algorithms on the Massachusetts Institute of Technology (MIT) intrinsic
162 dataset created by Grosse et al. (2009). This dataset provides 20 images along with a ground truth image
163 for the reflectance S , the shading g and the specularity k , namely S_{true} , g_{true} and k_{true} . We have also
164 applied our algorithm on the CAVE (Computer Vision Laboratory at Columbia University) Multispectral
165 Image Dataset which provides multispectral images without ground truth. The dataset is available thanks
166 to Yasuma et al. (2010).

167 For visualisation purpose, the multispectral images are transformed to RGB images via a multilinear
168 transformation. All images are padded with black pixels to be square and scaled. All images are also
169 divided by the illumination spectrum. For the MIT database, we assume l is white and for the CAVE
170 database, l is obtained thanks to the white patch of ColorCheckerTM appearing on each image.

171 4.1 Qualitative results

172 Fig. 1 presents shading images g resulting from all methods on five examples. The two first rows are
173 images coming from the MIT dataset, the two other rows are multispectral images from the CAVE dataset.
174 The first column contains the ground truth shading images. The next rows are respectively the results
175 given by *LS*, *KL*, *Barron*, *Yang*, *Krebs* and the proposed method.

176 Considering the apple, the specular spot still appears for *LS*, *KL* and *Yang* while the proposed method
177 is more robust and is not corrupted by specularity. For the phone, strong gradients appear for methods *LS*,
178 *KL* and *Yang* that are not due to the shape of the object but are induced by colour changes and thus should
179 not appear.

180 The smoothness term introduced in equation (15) makes the difference with other recent works. The
181 key is that this term only acts on colours gradients and not on uniform areas.

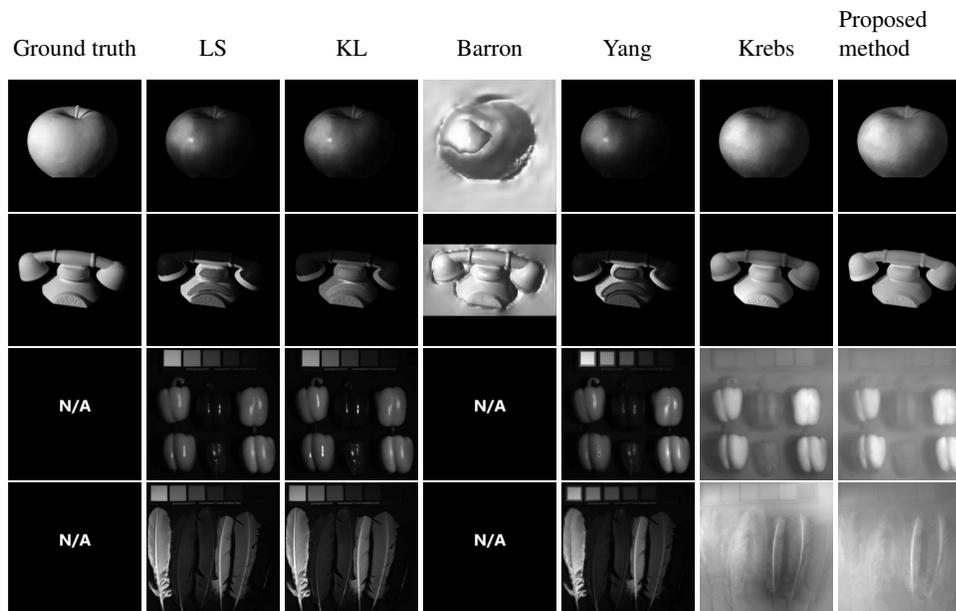


Figure 1. Results of shading images for the different methods: from left to right, Ground truth, *LS*, *KL*, *Barron*, *Yang*, *Krebs* and the proposed method.

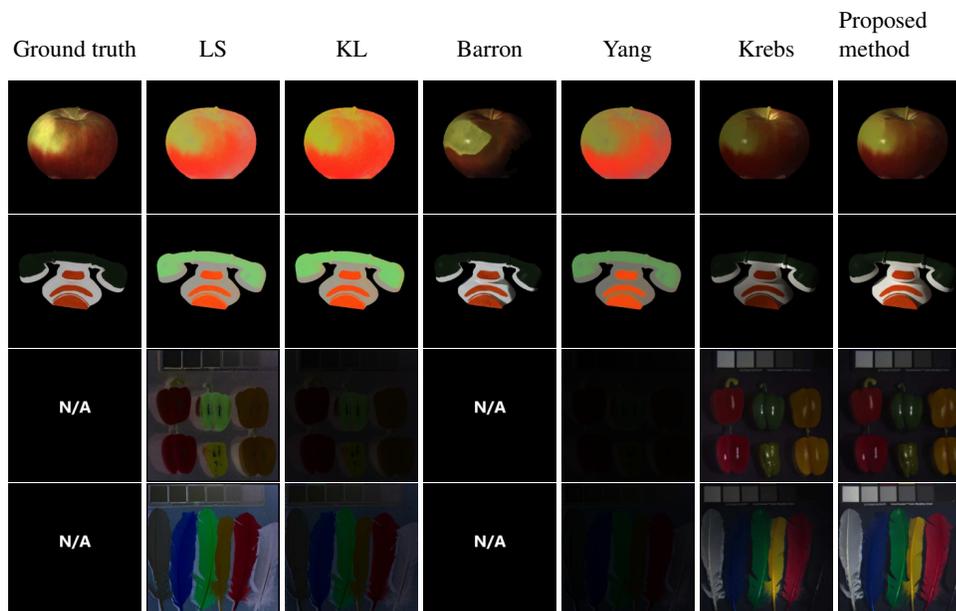


Figure 2. Results of reflectance images for the different methods: from left to right, Ground truth, *LS*, *KL*, *Barron*, *Yang*, *Krebs* and the proposed method.

182 On the multispectral peppers image, we can see that the specularity is successfully removed while
 183 it is still appearing with the other methods. The shading image g for the coloured feathers seems flat
 184 and blurry. But, as this scene is flat, g is also flat. Still, the method tends to oversmooth the shading
 185 image on multispectral images. This is due to the fact that the smoothness term in equation (15) is a good
 186 assumption if there is only one object on the image which is not the case on the images from the CAVE
 187 dataset (6 peppers and 6 feathers for example).

188 In Fig. 2, resulting reflectance images are also compared between all methods. The ground truth
 189 reflectance is presented in the first column. The next columns are the results obtained with *LS*, *KL*, *Barron*,
 190 *Yang*, *Krebs* and the proposed method. The observation is that for the methods *LS*, *KL*, and *Yang*, the

191 colour are corrupted. These methods fails to conserve the good ratio between colours. For example, the
 192 white part of the panther and the phone look grey for *LS*, *KL*, and *Yang*. That means that g was over-rated
 193 on the white part. Another manifestation of this non-conservation of colour ratios can be seen with the
 194 feathers image: the first feather on the left should be white and appears black for all other methods. It
 195 is exactly the opposite with the first feather on the right which is black and appears white for the other
 196 methods. The smoothness constraint (15) helps also to keep the colour ratio which is essential for the
 197 visualisation of S . We can note that, on the case of white object, the objective function (13) helps not to
 198 be confused with specularity.

199 We do not present results on specular images k because the images are very dark, the specularity is
 200 only the white spots we can see on objects (for example on the apple). Thus, excepting these white spots,
 201 the rest of the k images are completely black and thus are difficult to compare.

202 4.2 Quantitative results

203 Qualitative analysis is not sufficient to prove the robustness of the proposed method. Thus, metrics
 204 between g , S and k are computed.

205 As explained in part 2 there can be any scaling factor between g and S . Thus, we need to normalize
 206 g and g_{true} and S and S_{true} for a fair comparison. For this purpose, they are scaled so that the sum of
 207 all pixels is 1. After normalization, the Sum of Squared Errors (SSE) is computed. For k and k_{true} , we
 208 compute the mean squared error MSE without normalizing the images. For S and S_{true} the mean value of
 209 the SAM is also computed. We add this metric because it is usually used to compare spectra. The spectral
 210 angle is more suitable to express changes in chromaticity while the SSE is more suitable to express the
 211 mean aspect of the image. SAM is already a scaling invariant metric thus, there is no need to normalize S
 212 for this metric.

Table 1. Quantitative Results on the MIT Dataset

| | <i>LS</i> | <i>KL</i> | <i>Barron</i> | <i>Yang</i> | <i>Krebs</i> | Proposed Method |
|---|-----------|-----------|---------------|-------------|--------------|-----------------|
| SSE on normalized g ($\times 10^{-5}$) | 0.780 | 0.504 | 1.765 | 0.883 | 0.384 | 0.183 |
| SSE on normalized S ($\times 10^{-5}$) | 0.225 | 0.233 | 0.980 | 0.240 | 0.267 | 0.144 |
| MSE on k ($\times 10^8$) | 0.102 | 1.223 | N/A | 0.206 | 0.053 | 0.007 |
| SAM on S | 0.021 | 0.041 | 0.032 | 0.025 | 0.030 | 0.020 |

213 Table 1 presents the results over the 20 images of the MIT dataset by giving the mean value for all
 214 metrics. The quantitative analysis is unfortunately not possible on the CAVE dataset as there is no ground
 215 truth.

216 Now, the proposed method outperforms the other methods for the four metrics, which was not the
 217 case in Krebs et al. (2017). Concerning g , the proposed method has the lowest error with an SSE of
 218 0.183×10^{-5} , our previous algorithm is second with 0.384×10^{-5} (almost a factor 2 is gained) and *KL*
 219 is third with 0.504×10^{-5} . This is consistent with the results shown in the qualitative results section (Fig. 1).

220 Results on the MSE of k are also favourable to the proposed method with a MSE of 0.007×10^8 . It is
 221 7 times lower than the previous version and 10 times lower than *LS* (0.102×10^8). *Barron*'s method does
 222 not return the specular component. The whole image is supposed to be diffuse.

223 Results for S are also good with a mean angular error of 0.020 radians (1.1 degree) and a SSE of
 224 0.144×10^{-5} . These results are directly correlated to a better estimation of g and k as S is recovered
 225 to respect the equality (23). The quantitative results are consistent with the qualitative analysis. The
 226 proposed method outperforms the state of the art by recovering a good estimation of the three components
 227 g , S and k . Moreover, the updated method have a significant gain compared to the one proposed in 2017.

228 5 CONCLUSION

229 In this paper, a novel method to recover the parameters of the dichromatic model using a single image
230 has been introduced. The algorithm is learning free because it is simply expressed as two independent
231 quadratic programming problems. The method is an updated version compared to the one proposed in
232 2017. The method is now applied to multispectral images and offers a significant gain on RGB images.
233 Two datasets were used for this study, a set of RGB images from the MIT and a set of multispectral images
234 named CAVE. We have assessed our results in a qualitative and a quantitative way to insure the quality of
235 the algorithm. The proposed method has better accuracy than recent advances in the field. The good results
236 are coming from the choice of the objective functions, expressed in the logarithmic domain and based
237 on soft and hard constraints. A smoothness constraint helps to improve the quality of the photometric
238 invariants recovery. The specific architecture of our algorithm, *i.e.* two simple constrained quadratic
239 programming steps, open opportunities in the field to create memory and time efficient algorithms for the
240 recovery of intrinsic images.

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