

Reaching for upper bound ROUGE score of extractive summarization methods

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The Extractive Text Summarization (ETS) method for finding the salient information from a text automatically uses the exact sentences from the source text. In this paper, we answer the question of what quality of a summary we can achieve with ETS methods? To maximize the ROUGE-1 score, we used five approaches: (1) adapted Reduced Variable Neighborhood Search (RVNS), (2) Greedy algorithm, (3) VNS initialized by Greedy algorithm results, (4) Genetic algorithm, and (5) Genetic algorithm initialized by the Greedy algorithm results. Furthermore, we ran experiments on articles from the arXiv dataset. As a result, we found 0.59 and 0.25 scores for ROUGE-1 and ROUGE-2, respectively achievable by the approach, where the Genetic algorithm initialized by the Greedy algorithm results, which happens to yield the best results out of the tested approaches. Moreover, those scores appear to be higher than scores obtained by the current state-of-the-art text summarization models: the best score in the literature for ROUGE-1 on the same data set is 0.46. Therefore, we have room for the development of ETS methods, which are now undeservedly forgotten.

Reaching for Upper Bound ROUGE Score of Extractive Summarization Methods

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ABSTRACT

The *Extractive Text Summarization* (ETS) method for finding the salient information from a text automatically uses the exact sentences from the source text. In this paper, we answer the question of what quality of a summary we can achieve with ETS methods. To maximize the ROUGE-1 score, we used five approaches: (1) adapted Reduced Variable Neighborhood Search (RVNS), (2) Greedy algorithm, (3) VNS initialized by Greedy algorithm results, (4) Genetic algorithm, and (5) Genetic algorithm initialized by the Greedy algorithm results. Furthermore, we ran experiments on articles from the arXive dataset. As a result, we found 0.59 and 0.25 scores for ROUGE-1 and ROUGE-2, respectively achievable by the approach, where *the Genetic algorithm initialized by the Greedy algorithm results*, which happens to yield the best results out of the tested approaches. Moreover, those scores appear to be higher than scores obtained by the current state-of-the-art text summarization models: the best score in the literature for ROUGE-1 on the same data set is 0.48. Therefore, we have room for the ETS methods development, which are now undeservedly forgotten.

1 INTRODUCTION

Automatic Text Summarization (ATS) is a process of generating a relatively small-sized text out of a bigger one while preserving all the critical information. The research on the problem started in 1958 (Luhn, 1958) and saw a huge development in terms of methods, approaches, and applications. The most numerous advancements in the ATS happened after 2003 (Parker et al., 2011) when the large data sets and powerful computational resources became available to researchers.

Generally, ATS methods can be classified on the type of Input (Multi-/Single-document), Output (Extractive/Abstractive) and Content (Informative/Indicative); see Figure 1.

The methods shown in Figure 1 described as follows:

1. Input

(a) *Single-document* summarization is when we summarize one single document, using only the textual information within and no additional sources.

(b) *Multi-document* summarization produces a summary of a set of documents related to a common subject but varying by the time of appearance, size, and source. Applications of the method cover many areas, including literature review in scientific research, business intelligence, government reports, and legal document processing.

2. Output

(a) *Extractive* summary contains only original sentences from the source text, without any change or recombination. Such summaries often lack cohesion between consequent sentences as they are extracted from different parts of the text, taking into account solely the statistical significance of the words they contain.

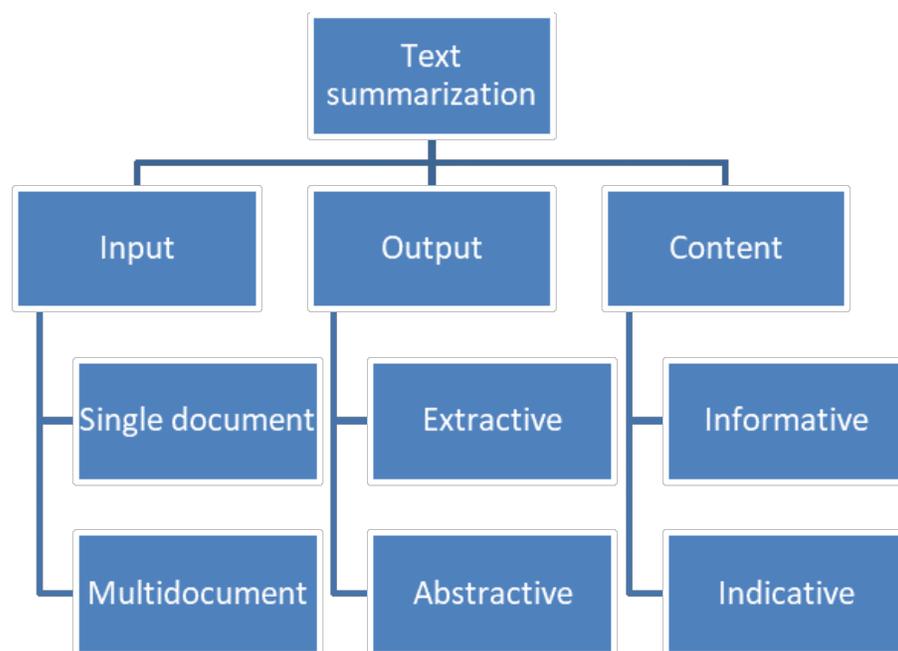


Figure 1. Classification Automatic Text Summarization methods (Radev et al., 2002; Abualigah et al., 2020).

- 44 (b) *Abstractive* summary is a completely new text generated relying on the information in
 45 the source text put through the prism of the opinion and understanding of the information
 46 consumed by the reporter. The method requires more sophisticated Natural Language
 47 Generation (NLG) models and approaches than Extractive methods.

48 3. Content

- 49 (a) *Informative* summaries contain all the critical information from the source text and avoid
 50 redundancy. Generally, it is achievable at the 20% compression rate (Kupiec and Pedersen,
 51 1995).
- 52 (b) *Indicative* summaries aim at teasing the reader to consume the whole article to stimulate the
 53 article purchase or spend time on a long read.

54 Thus, Extractive Summarization methods “extract” sentences or other text items, such as words
 55 or paragraphs, from the original text to make summaries without making up even a single word. The
 56 advantage of these methods is that they are always factually correct according to the processed text. On
 57 the other hand, abstractive summarization methods often give related information from sources other than
 58 the original text.

59 The challenging question we want to answer in this paper is whether we have room for developing
 60 *Extractive Text Summarization* (ETS) methods. Or are they outdated and have to be replaced by *Abstractive*
 61 *Text Summarization* methods? Additionally, we question what maximum summary quality we can achieve
 62 using ETS methods.

63 In this paper, to assess the quality of generated summaries, we use ROUGE-1 and ROUGE-2 scoring,
 64 which are the quantitative evaluations of the number of words shared by a candidate summary with the
 65 reference (or “golden”) summary, divided by the number of words in these summaries, and the harmonic
 66 mean between these two numbers; see section 3.3.

67 Therefore, we define the ATS optimization problem as finding the ultimate set of sentences for the
 68 summary to yield the maximum ROUGE score possible. However, the problem belongs to NP-full class
 69 of problems, and solving it with the Brute Force algorithm would not be feasible, and we need to find a
 70 better way by applying a heuristic algorithm.

71 For this purpose we compare the use of the *Variable Neighborhood Search* (VNS) (Hansen and
72 Mladenović, 2018; Hansen et al., 2010) method; see section 3.2.1, with a *greedy algorithm*, which extracts
73 sentences from the source text containing the maximum number of words from the “golden” summary;
74 see section 4.2, and finally, with the *genetic algorithm*.

75 We also run experiments with Variable Neighborhood Search (VNS) and Genetic algorithms initialized
76 by the Greedy solution; see section 4.3 and 4.5.

77 The contribution of our research to the scientific knowledge is in 1) discovery of the ETS methods
78 ROUGE score upper bound, 2) a dataset of scientific texts with high-ROUGE score extractive summaries
79 produced by the algorithms discussed in this paper, and valuable text statistics¹, 3) code to replicate the
80 implemented research².

81 At the same time, we raise a discussion on several important topics for further research in section 6.

82 In section 2, we gave a short overview of the research and developments made in the area of ATS.
83 Then, in section 3 we describe the data used for our experiments and the methods and the Experiment
84 setup is described in section 4. In section 5, we show the obtained results, followed by discussion of the
85 issues and thoughts we found during our research in section 6, and concluding the work in section 7 with
86 setting out prospects for future work.

87 2 RELATED WORK

88 Most Automatic Text Summarization (ATS) research papers are devoted to summarization methods.
89 However, few papers research the upper bound of quality achievable by the summaries generated.

90 Ceylan et al. (2010), working on the texts in the domains of scientific, legal, and news texts, used an
91 exhaustive search strategy to explore the summary space of each domain and found respective Probability
92 Density Function (PDF) of the ROUGE score distributions. Then using the obtained PDF function, they
93 ranked the summarization systems that existed for the time by percentiles.

94 Further, Verma and Lee (2017) explored the upper bound limits for Single and Multi-Document
95 summary quality on DUC01/02 datasets. However, they made it only for the recall part of the ROUGE
96 scoring metrics, stating that the upper limit for the recall is achieved by using the whole source text as
97 a summary leading to that metric going up as far as 90-100%. Nevertheless, using the entire text as a
98 summary is not what we are looking for in the ATS task.

99 Abstractive summaries composed by humans using their own words leave little chance for Extractive
100 Summarization to get a high ROUGE score. W. M. Wang et al. propose nine heuristic methods for
101 generating high-quality sentence-based summaries for long texts from five different corpora. They
102 demonstrated that the results achieved by their heuristics methods are close to those of Exhaustive (or
103 Brute Force) algorithms but work much faster (Wang et al., 2017).

104 In this work, we used the VNS heuristic algorithm (Hansen and Mladenović, 2001) for finding the set
105 of sentences in the original text to assemble the best ROUGE score summary. VNS iteratively changes
106 the initial random solution and updates the rate of change if no improvement occurs, fixing the best result.

107 We also applied a Greedy algorithm (Black, 2005), widely applied in different text summarization
108 approaches:

- 109 • Maximal Marginal Relevance (MMR) Carbonell and Goldstein (1998) struggles to increase rele-
110 vance while reducing redundancy of the selected sentences.
- 111 • Integer Linear Programming (ILP) Gillick et al. (2009), identifying the key concepts in the summa-
112 rized text and then greedily selecting the sentences covering those concepts at maximum.
- 113 • Submodular selection: optimized semantic graph submodule extraction, built on the text being
114 summarized Lin et al. (2009).

115 Nevertheless, in this paper, we use the Greedy algorithm to find the upper bound of the ROUGE score
116 achievable by the Extractive Summarization models.

117 We applied Genetic Algorithm (Mitchell, 1998), a nature-inspired technique used in many optimization
118 problems applying the concepts of mutation and crossover. The algorithm is popular in the summarization
119 models, both Single and Multi-document methods:

¹<https://data.mendeley.com/datasets/nvxfcbzdk/1>

²<https://github.com/iskander-akhmetov/Reaching-for-Upper-Bound-ROUGE-Score-of-Extractive-Summarization-Methods>

- 120 • Genetic Algorithm application to maximize the fitness function, which mathematically expresses
121 such summary properties as topic relation, readability, and cohesion (Chatterjee et al., 2012) in
122 documents represented as a weighted Directed Acyclic Graphs (DAG) Li and McCallum (2006)
123 applying the popular Graph Methods in NLP Mihalcea and Radev (2011)
- 124 • The strength of Genetic Algorithms was demonstrated in finding optimal sentence feature weights
125 for ETS methods. It was discovered that sentence location, proper noun, and named entity features
126 get relatively higher weights because they are more critical for summary sentence selection (Meena
127 and Gopalani, 2015).
- 128 • Vector representations produced by identifying and extracting the relationship between the input
129 text main features and repetitive patterns, optimized by the Genetic Algorithm, used to generate
130 precise, continuous, and consistent summaries (Ebrahim et al., 2021).

131 In the scope of our research, we are to apply a Genetic Algorithm to find the upper bound for summary
132 quality achievable with the ETS methods. For example, Simón et al. (2018) described a method based on a
133 Genetic Algorithm to find the best sentence combinations of DUC01/DUC02 datasets in Multi-Document
134 Text Summarization (MDS) through a Meta-document representation.

135 3 METHODS AND DATA

136 3.1 Data

137 The arXiv³ dataset, firstly introduced in 2018 (Cohan et al., 2018), contains 215K scientific articles in
138 the English language from the astrophysics, math, and physics domains. The dataset comprises article
139 texts, abstracts (reference or “golden” summary), article section lists, and main texts divided into sections.

140 Articles with abstracts that were accidentally longer than the main text and those with extremely long
141 or short texts were excluded from the dataset. Thus, we end up with 17,038 articles with abstracts of 10 to
142 20 sentences; see Table 1.

	Text length	Abstract length
count		17,038
mean	263.44	11.75
std	102.57	2.13
min	100.00	10.00
25%	179.00	10.00
50%	252.00	11.00
75%	338.00	13.00
max	500.00	20.00

Table 1. Cleaned arXive dataset description.

143 3.2 Methods

144 3.2.1 Variable Neighborhood Search (VNS)

145 VNS is a heuristics method, exploiting the idea of gradual and systematical change in initial random
146 solution space to find the approximate optimum of the objective function (Burke and Graham, 2014).

147 The VNS bases on the following facts (Burke and Graham, 2014):

- 148 1. Local minima of different neighborhood structures are not necessarily the same.
- 149 2. The global minimum is the same for all existing neighborhood structures.
- 150 3. In many problems, neighborhood structures local minima are close to each other.

151 The pseudo-code of the Reduced VNS, a variant of VNS that is not using the local search algorithm
152 applied in this paper, is given in Figure 2.

³arXiv.org

Initialization. Select the set of neighborhood structures \mathcal{N}_k , for $k = 1, \dots, k_{\max}$, that will be used in the search; find an initial solution x ; choose a stopping condition;
Repeat the following sequence until the stopping condition is met:
 (1) Set $k \leftarrow 1$;
 (2) *Repeat* the following steps until $k = k_{\max}$:
 (a) *Shaking.* Generate a point x' at random from the k th neighborhood of x ($x' \in \mathcal{N}_k(x)$);
 (b) *Move or not.* If this point is better than the incumbent, move there ($x \leftarrow x'$), and continue the search with \mathcal{N}_k ($k \leftarrow 1$); otherwise, set $k \leftarrow k + 1$;

Figure 2. Pseudo-code for the Reduced VNS

153 **3.2.2 Greedy algorithm**

154 A Greedy algorithm is any algorithm that follows the problem-solving heuristic of taking the best local
 155 solution for an optimization task (Black, 2005). For some problems, a greedy heuristic can yield locally
 156 optimal solutions approximating a globally optimal solution for a reasonable amount of time.

157 **3.2.3 Genetic algorithm**

158 A *genetic algorithm* is a meta-heuristic method inspired by the natural process of selection belonging to
 159 the larger class of evolutionary algorithms. Genetic algorithms are widely used to generate solutions to
 160 optimization and search problems by using such operators as a crossover, mutation, and selection, which
 161 meet in adaptation and evolutionary processes of living species reproduction (Mitchell, 1998).

162 **3.3 Evaluation**

163 We use Recall-Oriented Understudy for Gisting Evaluation (ROUGE) scoring (Lin, 2004) for summary
 164 evaluation. The metric basic idea is in calculating the n-grams intersection percentage of reference (*recall*;
 165 see Equation 1) and candidate (*precision* summaries; see Equation 2). The harmonic mean integration
 166 between *recall* and *precision* is called the *F1* score (Equation 3).

$$recall = \frac{len(R \cap C)}{len(R)}, \quad (1)$$

167 where R and C are the set of unique n-grams in reference and candidate summaries, and $len()$ is the
 168 number of words in a set.

$$precision = \frac{len(R \cap C)}{len(C)}. \quad (2)$$

$$F1\ score = 2 \times \frac{precision \times recall}{precision + recall}. \quad (3)$$

169 **4 EXPERIMENTS**

170 In our previous article (Akhmetov et al., 2021b) we searched for the best possible ROUGE-1 score using
 171 the VNS heuristic algorithm only. However, in this paper, we added the ROUGE-2 score and applied
 172 greedy and genetic algorithms for comparison.

173 Using the Brute Force algorithm to find the combination of sentences yielding the highest ROUGE
 174 score has the $O(n!)$ computational complexity and therefore is not feasible; see Equation 4. Therefore, we
 175 need to apply a heuristic algorithm to approximate the achievable upper level of summary quality.

We need to apply optimization algorithms because selecting the best possible combination of sentences
 for a summary from the original text using the Brute Force algorithm has the $O(n!)$ computational

complexity and therefore is not feasible; see Equation 4.

$$\binom{N_t}{N_a} = \frac{N_t!}{N_a!(N_t - N_a)!} \quad (4)$$

176 where N_a and N_t - are the respective number of sentences in summary and text.

177 Optimization algorithms provide a better alternative to Brute Force algorithms by generating not exact
178 but an approximate and satisfactory solution using fewer computational resources and for a reasonable
179 amount of time.

180 Therefore, we use VNS, Greedy and Genetic algorithms to find the best combinations of sentences
181 from article texts yielding the highest ROUGE-1 score with original article abstracts as a reference.

182 4.1 VNS

183 Using the VNS terminology, for every article in our dataset (Table 1), we cyclically applied the following
184 procedures:

- 185 1. **Initial solution:** which is a randomly selected set of sentences x in $\mathcal{N}_k = \binom{N_t}{N_a}$ possible neighborhood
186 structure space, for which we get the ROUGE-1 (Lin, 2004) score as the initial best solution to
187 improve on.
- 188 2. **Shaking:** we change the initial solution by replacing a randomly selected sentence with a different
189 one from the source text, increasing the rate of changes k up to k_{max} if no improvement in the
190 ROUGE-1 score occurs, limiting the magnitude of the changes to a k_{max} parameter ($k_{max} = 3$, three
191 sentence replacements at a time in our case).
- 192 3. **Incumbent solution:** if the obtained summary ROUGE-1 score is better than the previous best
193 solution, we fix the result and reset the k to one sentence.
- 194 4. **Stop condition:** we limit the cycle by 60 seconds, 5,000 iterations, or 700 consecutive iterations
195 without improvement of the ROUGE-1 score.

196 4.2 Greedy algorithm

197 We used the following Greedy algorithm realization based on the general idea of the optimization algorithm
198 of this class, where we try to find the most feasible immediate solution.

199 Given a source text (T) split into Sentences (S), and accompanied by its “golden” summary (A):

- 200 1. Compile a vocabulary of words from A as (V).
- 201 2. Create a word occurrence matrix (M), where we treat each item in V as columns, sentences in T as
202 rows, and binary values indicating the presence of a word in a sentence.
- 203 3. Until matrix M is exhausted:
 - 204 • Sum the values in rows of M and get the maximum value sentence index, which is the index
205 of the sentence containing the maximum number of words from the “golden” summary A .
206 Store the obtained index in the Index List (IL).
 - 207 • Delete the columns in M for which the current maximum row values sum sentence has
208 non-zero values.
- 209 4. To determine the optimal number of summary sentences for maximum ROUGE score:
 - 210 • Compute ROUGE score for every top- n sentences combination in IL ($1 \leq n \leq len(IL)$).
 - 211 • Select the n corresponding to the maximum ROUGE score.
 - 212 • Truncate IL to n top sentences.
- 213 5. To restore the initial sentence order in T , sort items in IL in the ascending order and assemble a
214 summary by picking sentences from T with the respective indices in sorted IL .
- 215 6. Calculate the ROUGE score of the generated summary concerning A .

216 4.3 VNS initialized by the Greedy

217 We worked on VNS initialized by the best results achieved by the Greedy algorithm. It is simply the
218 modification of the algorithm described in section 4.1 where we, instead of random initialization, use the
219 sentences from the best summaries attained by the Greedy algorithm. Initialization of the VNS algorithm
220 with a combination of sentences with a relatively high ROUGE score saves the time to achieve this initial
221 result. Moreover, it sets the perspective to improve on top of the result achieved by a different algorithm.

222 4.4 Genetic algorithm

223 Inspired by the results which Evolutionary Algorithms show in different applications (Mitchell, 1998), we
224 developed a Genetic algorithm realization for finding the upper bound for the ROUGE score.

225 Given a text (T) and its abstract (A):

- 226 1. Calculate lengths of T and A in number of sentences (len_T and len_A).
- 227 2. Shuffle the sentences in T .
- 228 3. Generate the initial generation of summary candidates by cutting the sentence list in T to chunks of
229 the size len_A .
- 230 4. Set the number of offsprings to half the number of initial candidates ($n_{offsprings}$).
- 231 5. Proceed for six generations:
 - 232 (a) Crossover all candidates between each other by mixing the sentences of two candidates,
233 shuffling them, and randomly selecting len_A number of sentences.
 - 234 (b) Calculate the ROUGE-1 score for all the offspring.
 - 235 (c) Select top $n_{offsprings}$ by ROUGE-1 score and repeat.
- 236 6. Select the offspring from the last generation with the highest ROUGE-1 score and return it as the
237 generated summary.

238 4.5 Genetic algorithm initialized by the Greedy

239 This algorithm is the same as a randomly initialized Genetic algorithm (section 4.4). Nevertheless, in step
240 3, we add to the initial candidates the summary generated by the Greedy algorithm (section 4.2). The
241 rationale behind initializing the Genetic algorithm with Greedy algorithm results is to improve on top of
242 already high results, similar to the case in section 4.3.

243 5 RESULTS

244 Applying the the algorithms described in section 4 we show that the best results were achieved by the
245 Genetic algorithm initialized by the results of Greedy algorithm 0.59/0.25 for the ROUGE-1/ROUGE-2
246 scores; see Table 2 and Figure 3. While the best modern neural network models (Zhang et al., 2019;
247 Liu and Lapata, 2019; Lloret et al., 2018) can achieve ROUGE-1 of just 0.48 and ROUGE-2 of 0.22 on
248 arXive dataset; see Table 3. So there is room for improvement in the ETS methods. Examples of the sum-
249 maries produced by the algorithms employed in this article can be found at [https://github.com/
250 iskander-akhmetov/Reaching-for-Upper-Bound-ROUGE-Score-of-Extractive-
251 Summarization-Methods/blob/main/arXive_examples.md](https://github.com/iskander-akhmetov/Reaching-for-Upper-Bound-ROUGE-Score-of-Extractive-Summarization-Methods/blob/main/arXive_examples.md)

252 Curiously, the maximum-ROUGE summaries from the five algorithms we used (VNS, Greedy, Genetic,
253 VNS, and Genetic initialized by Greedy) differ in the average number of sentences: 15, 7, 12, 10, and
254 12, respectively. We attribute the reason that summaries generated by the Greedy Algorithm have seven
255 sentences on average to the fact that the algorithm purposefully chooses the lexically richest sentences,
256 which are longer than average. The issue of selecting long sentences in favor of shorter ones was addressed
257 in MMR paper (Carbonell and Goldstein, 1998), and the proposed solutions sought the balance between
258 the relevance of the sentences and their length by weighing them according to the lexical unit's content.
259 Conversely, VNS tries random sentence combinations not accounting for their properties. Thus, the
260 Greedy algorithm maximizes the ROUGE score with fewer sentences than other algorithms. Moreover,
261 determining the optimal number of sentences to maximize the summary ROUGE score is also challenging.

	VNS		Greedy		VNS_Greedy		Genetic		Genetic_Greedy	
	R-1	R-2	R-1	R-2	R-1	R-2	R-1	R-2	R-1	R-2
count	17,038									
mean	0.55	0.21	0.55	0.23	0.58	0.25	0.58	0.24	0.59	0.25
std	0.07	0.08	0.08	0.10	0.08	0.10	0.07	0.09	0.08	0.10
min	0.07	0.01	0.04	0.01	0.09	0.02	0.09	0.01	0.09	0.01
25%	0.52	0.16	0.51	0.16	0.54	0.18	0.55	0.18	0.56	0.19
50%	0.56	0.20	0.55	0.21	0.58	0.22	0.59	0.23	0.60	0.24
75%	0.59	0.25	0.60	0.28	0.62	0.29	0.63	0.29	0.64	0.30
max	0.84	0.78	0.97	0.93	0.97	0.95	0.86	0.84	0.92	0.88

Table 2. The best ROUGE scores (R-1 and R-2) achievable using ETS methods. Numbers in bold indicate the highest values by row.

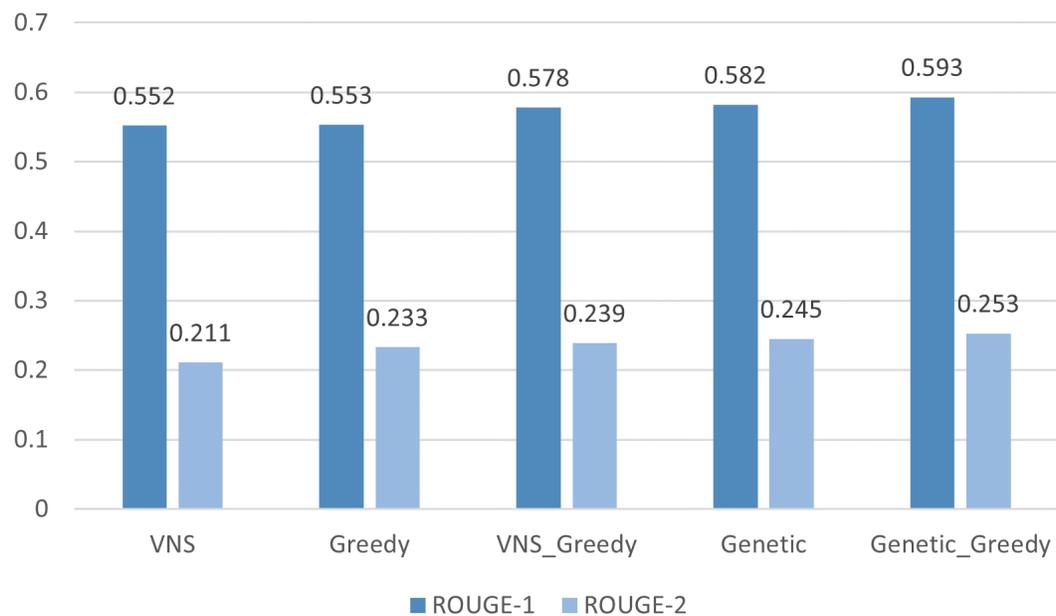


Figure 3. Upper bound ROUGE scores comparison for different methods.

5.1 Error analysis

We have performed an error analysis on the data obtained on ROUGE1/2 calculations for all of the methods employed in this research; see Table 4 and Table 5. For each algorithm we have calculated the Coefficient of Variation (CV) defined in Equation 5, and Confidence Interval (CI) defined in Equation 6, with the confidence level of 95%.

$$CV = \frac{\sigma}{\mu}, \quad (5)$$

where σ is the standard deviation (std) and μ is the mean.

$$CI = \mu \mp Z \times \frac{\sigma}{\sqrt{N}}, \quad (6)$$

where Z is the Z-value associated with the desired confidence level (for 95% confidence level in our case, Z-score = 1.956), and N is the number of observations.

We see in Table 4 that the Genetic algorithm initialized by the Greedy algorithm results demonstrates

Class	Model	ROUGE-1	ROUGE-2
	Genetic_Greedy upper bound	0.59	0.25
Extractive	SumBasic (Cohan et al., 2018; Lin, 2004; Vanderwende et al., 2007)	0.30	0.07
	LexRank (Cohan et al., 2018; Erkan and Radev, 2004)	0.34	0.11
	LSA (Cohan et al., 2018; Jezek et al., 2004)	0.30	0.07
Abstractive	Attn-Seq2Seq (Cohan et al., 2018; Nallapati et al., 2016)	0.29	0.06
	Pntr-Gen-Seq2Seq (Cohan et al., 2018; See et al., 2017)	0.32	0.09
	Discourse-att (Cohan et al., 2018)	0.36	0.11
	PEGASUS _{BASE} (Zhang et al., 2019)	0.35	0.10
	PEGASUS _{LARGE} (Zhang et al., 2019)	0.45	0.17
	BigBird-Pegasus (Zaheer et al., 2020)	0.47	0.19
	BertSumExtMulti (Sotudeh et al., 2020)	0.48	0.19
	LongT5 (Guo et al., 2022)	0.48	0.22
	PRIMERA (Xiao et al., 2022)	0.48	0.21

Table 3. Comparison of the upper bound obtained with the leading modern ATS models results on the arXive dataset. Numbers in bold indicate maximum values by column.

Table 4. ROUGE1 error analysis.

Algorithm	mean	std	CV	CI +/- mean	CI lower	CI upper
VNS	0.5500	0.0700	0.1273	0.0011	0.5489	0.5511
Greedy	0.5500	0.0800	0.1455	0.0012	0.5488	0.5512
VNS_Greedy	0.5800	0.0800	0.1379	0.0012	0.5788	0.5812
Genetic	0.5800	0.0700	0.1207	0.0011	0.5789	0.5811
Genetic_Greedy	0.5900	0.0800	0.1356	0.0012	0.5888	0.5912

271 the highest ROUGE1 score mean (0.5900) and highest values of upper(0.5912) and lower (0.5888) bounds
272 of the CI. However, the Genetic algorithm alone has the lowest CV (0.1207).

273 Table 5 shows that for ROUGE2 score means and CI upper and lower bounds are highest for both the
274 VNS and Genetic algorithms initialized by the results of the Greedy algorithm. Moreover, we see that
275 CV values for the ROUGE2 score almost tripled, which means that these values are more dispersed and
276 volatile than the ROUGE1 score average values. Moreover, again Genetic algorithm has the lowest CV
277 value.

278 6 DISCUSSION

279 As we saw in our experiments, for ETS methods, selecting the optimal number of sentences to extract
280 from the source text is detrimental to maximizing the ROUGE score of summaries. However, we detected
281 no strong correlation between the optimal number of sentences for any of the algorithms and other factors
282 such as the number of characters, words, and sentences in a source text and their derivative features
283 (number of words per sentence or characters per word).

284 The summary length importance has been studied previously by Ježek and Steinberger (Jezek et al.,
285 2004). However, they inferred by the Latent Semantic Analysis (LSA) evaluation only that the more
286 extended summaries are, the better. Their article was published the same year the ROUGE score was

Table 5. ROUGE2 error analysis.

Algorithm	mean	std	CV	CI +/- mean	CI lower	CI upper
VNS	0.2100	0.0800	0.3810	0.0012	0.2088	0.2112
Greedy	0.2300	0.1000	0.4348	0.0015	0.2285	0.2315
VNS_Greedy	0.2500	0.1000	0.4000	0.0015	0.2485	0.2515
Genetic	0.2400	0.0900	0.3750	0.0014	0.2386	0.2414
Genetic_Greedy	0.2500	0.1000	0.4000	0.0015	0.2485	0.2515

287 introduced by Lin (2004) to assess the summary quality automatically, which is now the summary
288 evaluation “industry” standard. However, using the ROUGE score implies that more extended summaries
289 increase the recall at the expense of precision. So further research is needed to determine the optimal
290 number of summary sentences to maximize the ROUGE score value.

291 Another issue is that using the ROUGE scoring methodology presumes that the reference summaries
292 are ground truth. However, we still have to check the “golden” summaries relative to their source text as
293 they might be a teaser-style indicative summary. Alternatively, the reference summary we use in ROUGE
294 scoring might be very abstractive, containing different wording than the source text, which leads ETS
295 methods to failure.

296 A different question of whether the ROUGE metric suits the goal of measuring the information overlap
297 of the generated summary with the golden summary was researched by Deutsch and Roth (2021), and it
298 was found that the metric instead measures the extent to which both summaries have the same topic. So
299 there is a need to develop evaluation metrics to account for the informativeness of the generated summary
300 relative to the source text and golden summary.

301 7 CONCLUSION

302 We showed five algorithms to approximate the highest possible ROUGE score for ETS methods tested
303 on the extract from the arXive dataset Cohan et al. (2018). We used the VNS technique in our prior
304 publication (Akhmetov et al., 2021b), and in this paper, we explored Genetic and Greedy algorithms. The
305 latter inspired us to develop a novel type of summarization algorithms (Akhmetov et al., 2021a). We
306 showed that there is still a way to improve the ETS methods to reach the 0.59 ROUGE-1 score, while the
307 latest contemporary summarization models do not surpass 0.48.

308 Our future work plan is to research:

- 309 1. Determine the optimal number of sentences in summary to maximize the ROUGE score in each
310 case.
- 311 2. Narrowing the sentence search space for heuristic algorithms by excluding presumably unfit
312 sentences (ex., too short sentences, and others).
- 313 3. Test the heuristic algorithms described here on different text summarization datasets.

314 8 ACKNOWLEDGEMENT

315 This research was conducted with the support from Committee of Science of the Ministry of Education
316 and Science of the Republic of Kazakhstan in the course of “Development of language-independent
317 unsupervised semantic analysis methods large amounts of text data” project.

318 The work was done with the support from the Mexican Government as well. The authors thank the
319 CONACYT for the computing resources brought to them through the Plataforma de Aprendizaje Profundo
320 para Tecnologías del Lenguaje of the Laboratorio de Supercómputo of the INAOE, Mexico.

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