

Lipid extraction from microalgae using pure caprolactam-based ionic liquids and with organic co-solvent

Rania A Naiyl^{Corresp., 1, 2, 3}, Fredrick O Kengara^{Corresp., 1, 2, 4}, Kirimi H Kiriamiti^{2, 5}, Yousif A Ragab³

¹ Department of Chemistry and Biochemistry, School of science & aerospace studies., Moi University, ELDORET, Uasin Gishu, Kenya

² Africa Centre of Excellence II in Phytochemicals Textile and Renewable Energy (ACE II PTRE), Moi University, ELDORET, Uasin Gishu, Kenya

³ Department of Chemistry, & Mohamed Obaid Mubarak (MOM) laboratories, Faculty of Engineering and Technology, University of Gezira, Wad Medani, Gezira state, Sudan

⁴ School of Pure and Applied Sciences, Bomet University College, Bomet, Rift Valley, Kenya

⁵ Department of Chemical and Process Engineering, School of Engineering, Moi University, Eldoret, Uasin Gishu, Kenya

Corresponding Authors: Rania A Naiyl, Fredrick O Kengara

Email address: raniaawad@mu.ac.ke, fkengara@googlemail.com

Background The main process limitation of microalgae biofuel technology is lack of cost-effective and efficient lipid extraction methods. Thus, the aim of this study was to investigate the effectiveness and efficiency of six caprolactam-based ionic liquids (CPILs) namely, Caprolactamium chloride, Caprolactamium methyl sulphonate, Caprolactamium trifluoromethane sulfonate, Caprolactamium acetate, Caprolactamium hydrogen sulphate and Caprolactamium trifluoromethane-acetate - for extraction of lipids from wet and dry *Spirulina platensis* microalgae biomass. Of these, the first three are novel CPILs.

Methods The caprolactam-based ionic liquids (CPILs) were formed by a combination of caprolactam with different organic and inorganic Brønsted acids, and used for lipid extraction from wet and dry *Spirulina platensis* microalgae biomass. Extraction of microalgae was performed in a reflux at 95 °C for 2 h using pure CPILs and mixtures of CPIL with methanol (as co-solvent) in a ratio of 1:1 (w/w). The microalgae biomass was mixed with the ILs/ methanol in a ratio of 1:19 (w/w) under magnetic stirring.

Results The yield by control experiment from dry and wet biomass was found to be 9.5 % and 4.1 %, respectively. A lipid recovery of 10 % from dry biomass was recorded with both caprolactamium acetate (CPAA) and caprolactamium trifluoroacetate (CPTFA), followed by caprolactamium chloride (CPHA, 9.3 ± 0.1 %). When the CPILs were mixed with methanol, observable lipids' yield enhancement of 14 % and 8 % (CPAA), 13 % and 5 % (CPTFA), and 11 % and 6 % (CPHA) were recorded from dry and wet biomass, respectively. The fatty acid composition showed that C₁₆ and C₁₈ were dominant, and this is comparable to results obtained from the traditional solvent (methanol-hexane) extraction method. The lower level of pigments in the lipids extracted with CPHA and CPTFA is one of the advantages of using CPILs because they lower the cost of biodiesel production by reducing the purification steps.

Conclusion In conclusion, the three CPILs, CPAA, CPHA and CPTFA can be considered as promising green solvents in terms of energy and cost saving in the lipid extraction and thus biodiesel production process.

Important declarations

Please remove this info from manuscript text if it is also present there.

Associated Data

Data supplied by the author:

The raw data are provided in the supplementary files. It is showing the lipid extraction yields produced by caprolactam ionic liquids and their mixtures with a co-solvent. The free fatty acids composition in extracted lipids by conventional method and caprolactam-based ionic liquids. Reduction of pigments in the extracted lipids by the caprolactam ionic liquids

Required Statements

Competing Interest statement:

The authors declare that they have no competing interests.

Funding statement:

This work was supported by the Africa Centre of Excellence II in Phytochemicals Textile and Renewable Energy (ACE II PTRE).

Lipid extraction from microalgae using pure caprolactambased ionic liquids and with organic co-solvent

Rania A Naiyl^{Corresp., 1, 2, 3}, Fredrick O Kengara^{Corresp., 1, 2, 4}, Kirimi H Kiriamiti^{2, 5}, Yousif A Ragab³

¹ Department of Chemistry and Biochemistry, School of science & aerospace studies., Moi University, ELDORET, Uasin Gishu, Kenya

² Africa Centre of Excellence II in Phytochemicals Textile and Renewable Energy (ACE II PTRE), Moi University, ELDORET, Uasin Gishu, Kenya

³ Department of Chemistry, & Mohamed Obaid Mubarak (MOM) laboratories, Faculty of Engineering and Technology, University of Gezira, Wad Medani, Gezira state, Sudan

⁴ School of Pure and Applied Sciences, Bomet University College, Bomet, Rift Valley, Kenya

⁵ Department of Chemical and Process Engineering, School of Engineering, Moi University, Eldoret, Uasin Gishu, Kenya

Corresponding Authors: Rania A Naiyl, Fredrick O Kengara

Email address: raniaawad@mu.ac.ke, fkengara@googlemail.com

Background The main process limitation of microalgae biofuel technology is lack of cost-effective and efficient lipid extraction methods. Thus, the aim of this study was to investigate the effectiveness and efficiency of six caprolactam-based ionic liquids (CPILs) namely, Caprolactamium chloride, Caprolactamium methyl sulphonate, Caprolactamium trifluoromethane sulfonate, Caprolactamium acetate, Caprolactamium hydrogen sulphate and Caprolactamium trifluoromethane-acetate - for extraction of lipids from wet and dry *Spirulina platensis* microalgae biomass. Of these, the first three are novel CPILs.

Methods The caprolactam-based ionic liquids (CPILs) were formed by a combination of caprolactam with different organic and inorganic Brønsted acids, and used for lipid extraction from wet and dry *Spirulina platensis* microalgae biomass. Extraction of microalgae was performed in a reflux at 95 °C for 2 h using pure CPILs and mixtures of CPIL with methanol (as co-solvent) in a ratio of 1:1 (w/w). The microalgae biomass was mixed with the ILs/ methanol in a ratio of 1:19 (w/w) under magnetic stirring.

Results The yield by control experiment from dry and wet biomass was found to be 9.5 % and 4.1 %, respectively. A lipid recovery of 10 % from dry biomass was recorded with both caprolactamium acetate (CPAA) and caprolactamium trifluoroacetate (CPTFA), followed by caprolactamium chloride (CPHA, 9.3 ± 0.1 %). When the CPILs were mixed with methanol, observable lipids' yield enhancement of 14 % and 8 % (CPAA), 13 % and 5 % (CPTFA), and 11 % and 6 % (CPHA) were recorded from dry and wet biomass, respectively. The fatty acid composition showed that C₁₆ and C₁₈ were dominant, and this is comparable to results obtained from the traditional solvent (methanol-hexane) extraction method. The lower level of pigments in the lipids extracted with CPHA and CPTFA is one of the advantages of using CPILs because they lower the cost of biodiesel production by reducing the purification steps.

Conclusion In conclusion, the three CPILs, CPAA, CPHA and CPTFA can be considered as promising green solvents in terms of energy and cost saving in the lipid extraction and thus biodiesel production process.

Lipid Extraction from Microalgae using Pure Caprolactam-based Ionic Liquids and with Organic Co-solvent

Rania A. Naiyl,^{1,2,3} Fredrick O. Kengara,*^{1,2,4} Kirimi H. Kiriamiti,^{2,5} and Yousif A. Ragab³

¹Department of Chemistry and Biochemistry, Moi University, Eldoret, Uasin Gishu, Kenya. ²Africa Centre of Excellence II in Phytochemicals Textile and Renewable Energy (ACE II PTRE), ³Eldoret, Uasin Gishu, Kenya.

³Faculty of Engineering and Technology, Department of Chemistry, & Mohamed Obaid Mubarak (MOM) laboratories, University of Gezira, Wad Medani, Gezira state, Sudan.

⁴School of Pure and Applied Sciences, Bomet University College, Bomet, Rift Valley, Kenya.

⁵Department of Chemical and Process Engineering, Moi University, Eldoret, Uasin Gishu, Kenya

Corresponding Author:

Fredrick Orori Kengara¹

P.O. Box 701-20400, Bomet, Rift Valley, Kenya

Email address: fkengara@gmail.com

20

38 Abstract

39 40 Background

41
42 The main process limitation of microalgae biofuel technology is lack of cost-effective and 43 efficient lipid extraction methods. Thus, the aim of this study was to investigate the 44 effectiveness and efficiency of six caprolactam-based ionic liquids (CPILs) namely, 45 Caprolactamium chloride, Caprolactamium methyl sulphonate, Caprolactamium 46 trifluoromethane sulfonate, Caprolactamium acetate, Caprolactamium hydrogen sulphate 47 and Caprolactamium trifluoromethane-acetate - for extraction of lipids from wet and dry 48 *Spirulina platensis* microalgae biomass. Of these, the first three are novel CPILs.

49 50 Methods

51
52 The caprolactam-based ionic liquids (CPILs) were formed by a combination of 53 caprolactam with different organic and inorganic Brønsted acids, and used for lipid 54 extraction from wet and dry *S. platensis* microalgae biomass. Extraction of microalgae 55 was performed in a reflux at 95 °C for 2 h using pure CPILs and mixtures of CPIL with 56 methanol (as co-solvent) in a ratio of 1:1 (w/w). The microalgae biomass was mixed with 57 the ILs/ methanol in a ratio of 1:19 (w/w) under magnetic stirring.

58 59 Results

60
61 The yield by control experiment from dry and wet biomass was found to be 9.5 % and 4.1 62 %, respectively. A lipid recovery of 10 % from dry biomass was recorded with both 63 caprolactamium acetate (CPAA) and caprolactamium trifluoroacetate (CPTFA), followed 64 by caprolactamium chloride (CPHA, 9.3 ± 0.1 %). When the CPILs were mixed with 65 methanol, observable lipids' yield enhancement of 14 % and 8 % (CPAA), 13 % and 5 % 66 (CPTFA), and 11 % and 6 % (CPHA) were recorded from dry and wet biomass, 67 respectively. The fatty acid composition showed that C₁₆ and C₁₈ were dominant, and this 68 is comparable to results obtained from the traditional solvent (methanol-hexane) 69 extraction method. The lower level of pigments in the lipids extracted with CPHA and 70 CPTFA is one of the advantages of using CPILs because they lower the cost of biodiesel 71 production by reducing the purification steps.

72 Conclusion

73
74 In conclusion, the three CPILs, CPAA, CPHA and CPTFA can be considered as promising 75 green solvents in terms of energy and cost saving in the lipid extraction and thus biodiesel 76 production process.

77 78 Introduction

79

80 Biodiesel is a clean and renewable energy source that is considered as an important 81
option to petroleum consumption (Gonçalves et al., 2013). Petroleum retails at a high 82
cost, thus threatening energy security, in addition to causing global climate change 83
concerns (Pragya et al. 2013). Biodiesel is primarily made from oil obtained from both 84
edible and non-edible plants, and residual waste (Pragya et al., 2013). The use of these 85
plants has serious drawbacks, including high costs, food shortages, and a lack of steady 86
and reliable supply. These difficulties could be mitigated by the synthesis of biodiesel from 87
microalgae, that has long been considered as a promising potential alternative biomass 88
for biodiesel production due to its extremely fast biomass productivity rate (Arumugam et 89
al., 2013). Other advantages of microalgae include higher lipid accumulation capacity and 90
its requirement for lesser land compared to other biofuel crops.

91

92 Nevertheless, the major constraint in the biofuel production from microalgae, is the lack 93
of cost-effective and efficient extraction and transesterification of lipids. Although higher 94
lipid yields have been recorded after pre-treatment of microalgae with various cell 95
disruption methods (Halim et al., 2012) such as bead milling, microwave, and 96
ultrasonication, the additional energy needed makes the process economically unviable. 97
On the other hand, conventional lipid extraction methods also require refluxing with 98
flammable and highly toxic organic solvents. Therefore, the exploration of alternative
99 microalgal lipid processing methods that are simpler, cost-effective, and environmentally
100 friendly, has become increasingly necessary.

101

102 Ionic liquids (ILs) have lately been identified as promising green solvents in the extraction
103 of microalgal lipids based on their fascinating physicochemical properties such as being
104 non-volatile, non-flammable, chemically and thermally stable, and having the potential for
105 recovery and design (Zhao & Baker, 2013). Moreover, ILs can dissolve essential 106
biopolymers like cellulose and lignin and, as a result, induce the structure disruption of 107
algae cells or affect the permeability of cell walls, depending on their cation and anion 108
structure (Cevasco & Chiappe, 2014).

109

Majority of the research on ILs extraction of lipids from algae has been based on the use of imidazole-based ILs. The high cost of imidazole-based ionic liquids may limit their availability and applicability (Andreani & Rocha, 2012); (George et al., 2015). Thus, the use of protic ionic liquids (PILs) has attracted significant attention as a novel technology for microalgal lipid extraction and biodiesel production (Kim et al., 2012); (Kim et al., 2013); (Choi et al., 2014); (Chiappe et al., 2016). PILs are substantially less expensive than common ILs because they can be synthesized by neutralizing a selected base with a protic acid under mild conditions (Greaves & Drummond, 2008); (Hayes et al., 2015); (Xu & Angell, 2003). Besides, PILs are less toxic (Oliveira et al., 2016); (Mukund et al., 2019); (Bodo et al., 2021) and are known to form strong hydrogen bonds due to their labile protons (Chhotaray et al., 2014). In particular, caprolactam-based ionic liquids (CPILs) have recently been identified as lipid extraction solvents (Mukund et al., 2019) and catalysts for lipid transesterification reactions (Luo et al., 2017). The findings showed the capability of these CPILs to disrupt cells and extract lipids in a single step. Despite their many potential advantages, CPILs are rarely synthesized and their application is therefore limited. However, CPILs researchers are currently working to produce new forms of CPILs that could be used as green solvents. In spite of these efforts, the efficacy of CPILs extraction of lipids from *S. platensis* is hardly reported. To establish whether it is possible to improve the long-term viability and sustainability of the extraction procedure for lipids, we have investigated the effectiveness and efficiency of six CPILs – Caprolactamium chloride (CPHA), Caprolactamium methyl sulphonate (CPMS), Caprolactamium trifluoromethane sulfonate (CPTFS), Caprolactamium acetate (CPAA), Caprolactamium hydrogen sulphate (CPSA) and Caprolactamium trifluoromethane- acetate (CPTFA) - for extraction of lipids from wet and dry *S. platensis* microalgae biomass. Of these, the first three are novel ILs (Naiyl et al., 2021), whereas the others - except for Caprolactam acetate - were used for the first time in lipids' extraction. Extractions with both pure ionic liquids and ionic liquids/methanol mixtures were done to establish whether organic co-solvents could improve lipid extraction yields.

138 **Materials & Methods**

139 **Sources of microalgae, chemicals and reagents**

140 *S. platensis* dried biomass was obtained from an algae cultivation pond at Masinde Muliro

141 University of Science and Technology (coordinates: 0.5947° N, 34.7803° E), Kenya. 142

Caprolactam (CP 99%), Methane sulphonic acid (CH₃SO₃H, 99 %),

143 Trifluoromethanesulphonic acid (CF₃SO₃H, 99%) and n-hexane were supplied by Sigma144

Aldrich (Germany). Hydrochloric acid (HCl, 37%), Sulfuric acid (H₂SO₄, 98%), 145

Trifluoroacetic acid (CF₃CO₂H, 98%) and Methanol (CH₃OH 99.8%) were supplied by 146

Labo Chem PVT (India), (Toluene, 99.6%) was supplied by VWR (China) and Acetic acid 147

(CH₃COOH, 99.6%) was obtained from M&B (England).

148 **Caprolactam ionic liquid synthesis and characterization**

149 The CPILs used for lipid extraction experiments are listed in (Table 1), and were 150
synthesized by adding equimolar quantities of caprolactam and an acid (hydrochloric,

151 methane sulphonic, trifluoromethanesulphonic, acetic, Trifluoroacetic, and sulfuric), 152

followed by stirring at room temperature for 24h. Four of these ILs are liquids at room 153
temperature, namely CPAA, CPSA, CPTFA and CPTFS. The methodologies for

154 synthesis and characterization are explained in detail elsewhere in the literature (Naiyl et

155 al., 2021).

156 **Extraction of lipids using the traditional Hexane: Methanol method**

157 As described by (Chiappe et al., 2016) a hexane-methanol mixture (54:46, v/v, 150 ml)

158 was used to extract lipids from wet (80 %) and dry microalgae (3.0 g) by the Soxhlet 159

extraction method, which has three main compartments. 250 ml round bottom flask 160

holding the solvent, extraction chamber and condenser. First, the sample was placed in 161 a

porous thimble, the flask was heated, and then the solvent was evaporated and carried 162 to

a condenser, where it was converted to a liquid and collected in the extraction chamber 163

containing the sample. As the solvent passed through the sample, the lipids were 164

extracted and transported to the flask. This process lasted 10 hours. After extraction, a 165

rotary evaporator was used to remove the solvent. Then extracted lipids fraction was 166

transferred into a weighed beaker and dried in an oven at 60 °C until it reached a constant 167

weight. The experiments were carried out in duplicate and the crude lipids extraction yield was then calculated using the following formula:

$$R_{lipid}\% = \frac{W_{lipid}}{W_{biomass}} \times 100 \quad (1)$$

169

170

Where the R_{lipid} and W_{lipid} are the recovery and the weight of crude lipid extracts, respectively. $W_{biomass}$ is the initial dry biomass weight (g).

173Lipid extraction using ionic liquids

174The effect of reaction time and temperature on lipid extraction.

175Three ionic liquids, CPAA, CPHA, and CPSA were utilized for lipid extraction for 5 h at 17675 °C and for 2 h at 95 °C using reflux (150 ml round bottom flask fitted with a condenser).

The dry biomass of microalgae was mixed with the ionic liquid in a ratio of 1:19 (w/w) under magnetic stirring. After extraction, a tri-phasic system was obtained by centrifugation. The top phase contained lipids, the middle phase contained IL with methanol, and the bottom phase contained the algae residue. The upper lipid phase could not be easily retrieved due to the small scale of the experiment and, therefore, the mixture was treated with n-hexane (10 ml) or a mixture of hexane: methanol 2:1 (v/v) to ascertain the actual lipid yield. The recovered n-hexane phase was washed two times with water to remove polar compounds. The lipid fraction was dried in a thermostat oven at 60 °C until it reached a constant weight, and the residue was weighed to calculate the gravimetric yield using formula (1). The overall lipid extraction process is shown in Fig 1.

186 Lipid extraction using pure ionic liquids

187 Lipid extraction using the six-caprolactam ionic liquids was performed at 95 °C for 2 h. Afterwards, lipids were extracted from the ionic liquids following the same procedure described above. In the case of CPSA, CPMS, and CPHA, hexane: methanol 2:1 (v/v) was used, rather than hexane, because these CPILs solidify after mixing with hexane. The solidification happened, due to the fact that, CPHA and CPMS are solid at room temperature, whereas CPSA is highly viscous. Thus, when hexane was added at room

temperature (in our case this ranged from 18 - 20 °C), and being immiscible with the ILs, there was a decrease in temperature which led to solidification of the mixture. Therefore, methanol was added because it is miscible with the ILs, to ease their transfer to centrifuge tubes.

The effect of organic co-solvents on ionic liquid extraction of lipids

Lipids were extracted using mixtures of IL and methanol (1:1 w/w) as co-solvent. Methanol (MeOH) was also used separately as a negative control. Dry biomass of 200 microalgae (0.5 g) was mixed with 4.8 g each of ionic liquid and methanol in a round bottom flask (150 cm³) equipped with a condenser.

The extraction was conducted at 95 °C for 2 h under magnetic stirring at 600 rpm. Thereafter, lipids were extracted with hexane (2 × 5 ml). To facilitate the faster separation of layers, the centrifugation of the mixture was further performed at 4000 rpm for 30 min.

Extraction of lipids from wet biomass

To find out the effect of water content on lipids extracted from microalgae, 4 g of distilled water were added to 1 g of dry biomass of *S. platensis* to form a wet biomass with 80% water content. The lipids extraction was performed using mixtures of IL/ methanol (1:1 w/w) to wet biomass at the ratio of 19:1 at 95 °C for 2h, following the procedure described earlier. The lipids extraction yield was calculated using formula (1).

Lipid transesterification and FAMES analysis

Using the method reported by (Christie & Han, 2012) various amounts of extracted lipids (10 to 70 mg) were converted to FAMES-biodiesel via acid/catalyzed esterification/transesterification reaction. Lipids were dissolved in hexane (1 mL) in a stoppered tube, and 2 ml of 1% sulfuric acid in methanol was added. The mixture was left overnight at 50 °C. 5 ml of water containing sodium chloride (5%) was added and the esters were extracted with n-hexane (2 × 5 mL) using a Pasteur pipette, and the layers were separated. The n-hexane layer was washed with water (4 mL) containing potassium bicarbonate (2%) and dried over anhydrous sodium sulphate. The solution was filtered and the solvent was evaporated.

221 The recovered FAMES were analyzed using gas chromatograph (MRC GC3420A, 222
Germany) equipped with a flame ionization detector (FID) and an Agilent CPSil 88 223
capillary column was used to analyze the recovered FAME, using nitrogen as a carrier 224 gas
and other gases such as hydrogen and air. The FID and the injector port temperatures 225
were kept at 260 °C and 240 °C, respectively. The injection volume was 0.5 µL and gas 226
flow rate was 100 ml/min. The temperature program was held at 150 °C for 1 min, 227
increased to 220 °C at 10 °C/min and held for 2 min, then increased to 240 °C at 3 °C/min, 228
and finally maintained at 240 °C for 8 min. For external calibration, a 37-component 229
FAMES standard mixture was used.

230 Data Analysis

231 The analysis was performed in duplicate and the obtained data was expressed as (Mean
232 \pm standard deviation). The T-test was used to compare results with controls, and an effect
233 was considered to be significant when $P \leq 0.05$.

234 Results and discussion

235 Optimization of lipid extraction time and temperature

236 Figure 2 shows the comparison of lipids yield between extraction for 5 h at 75 °C and for
237 2 h at 95 °C of three selected CPILs. In order to minimize reaction time, long period/low
238 temperature and short period/high temperature were compared. The results showed that
239 there was no significant difference in lipids extraction yields (P -value = 0.23) of CPHA, 240
CPAA and CPSA at 75 °C for 5 h and at 95 °C for 2 h. Therefore, a synthetic ionic liquid 241
was used for lipids extraction at 95 °C for 2 hours.

242 Extraction of lipids by a conventional method and pure ILs

243 The total recovered lipids obtained by conventional Soxhlet extraction using (Hexane– 244
MeOH) as solvents, as well as the extraction yields of the synthesized CPILs, are shown 245
in Fig. 3. The yield from the conventional organic solvent extraction method was $9.5 \pm$ 246
0.23 %, which is similar to the yield obtained by (Mandal, Patnaik, Singh, & Mallick, 2013), 247
who used the following conditions: Chloroform: methanol (2:1), 6 h at r.t. Three of the 248
CPILs had no significant differences in extraction yields compared to the control 249

experiment ($P > 0.05$). In particular, CPAA, CPTFA and CPHA had lipid yields of (10.1 ± 250 0.28 %, P -value = 0.153), ($10.1 \pm 0.25\%$, P -value = 0.159), and (9.3 ± 0.1 %, P -value = 251 0.326), respectively. This contrasts with previous findings on lipid extracts from dried and 252 dehydrated marine *Nannochloropsis oculata* and *Chlorella salina* microalgae, which 253 showed that using CPAA for extraction resulted in the lowest yield compared to the control 254 (Mukund et al., 2019). The reason for this can be due to the fact that the cell wall 255 structures of microalgae, which contains cellulose, glycoprotein, silica, and peptidoglycan 256 (Zhou et al., 2019), may vary from one type to another. Therefore, the ability of different 257 ILs to penetrate the cell wall may also vary. Hence, the wall structure of *S. platensis* might 258 be more affected by CPAA. On the other hand, lower yields (circa. 5%) were obtained 259 using CPSA (P -value = 0.003), CPTFS (P -value = 0.031), and CPMS (P -value = 0.01). 260 Overall, the CPILs containing sulphate and sulphonate anions recorded the lowest lipids 261 yield relative to the control experiment.

262 The effect of organic co-solvents on ionic liquid extraction of lipids

263 Fig 4 shows the yields for extraction of lipids from dry biomass using mixtures of ionic 264 liquids and methanol (as co-solvent). Methanol (MeOH) was also used separately as a 265 negative control for comparative purposes and the obtained lipids yield was (1.31 ± 266 0.27%). The highest yield was ($14.2 \pm 0.11\%$, P -value = 0.007) and ($13.1 \pm 0.1\%$, P -value 267 = 0.02) for the CPAA/ MeOH and CPHA/ MeOH mixtures, respectively. Here, a significant 268 increase ($P < 0.05$) over that of pure CPAA and CPHA was observed. However, the lipids 269 yield of the CPTFA/ MeOH mixture (11.1 ± 0.13 %, P -value = 0.028) is slightly increased 270 compared to the pure one, but was still significantly different from that of the control 271 experiment ($P < 0.05$). This can be attributed to different interaction mechanisms between 272 the ILs and methanol. The mixtures of methanol with CPSA, CPTFA, and CPMS showed 273 an improvement in lipid yield of around (6%).

274 The co-solvent effect can be explained by enhancement of microalgae cell disruption 275 through the action of the polar methanol, which improves the efficiency of lipid extraction 276 from biomass (Halim et al., 2012); (Dong et al., 2016). The reason behind this is that 277 some non-polar lipids are found in the cytoplasm as a complex with polar lipids. This 278 complex is strongly bound to proteins in the cell membrane via hydrogen bonds. 279

Therefore, the ILs and MeOH, which are hydrophilic in nature, can disrupt lipid-protein associations by forming hydrogen bonds with the polar lipids of the complex (Halim et al., 2012). As a result, whereas ILs improve the permeability of the cell wall, methanol accelerates the precipitation of lipids from the cell (Zhou et al., 2019). It is also thought that the action of the ILs – Methanol system creates a more hydrophobic environment, which makes lipid transfer easier (Zhou et al., 2019). The same author has also reported that, the addition of methanol may reduce the viscosity of the ILs, boosting the possibility of hydrogen bonds forming between fibres on the microalgae cell wall and ionic liquids. Moreover, the differences in intra molecular interactions of these ionic liquids seem to be the main reason for their capability to form hydrogen bonds with the microalgae cell walls, and thus lead to the differences in their effectiveness for lipid extraction.

290 **The effect of IL/Methanol mixture on lipid extraction from wet biomass**

291 In order to reduce the cost of extraction of lipids from microalgae, we have also studied
292 the potential of these CPILs to extract the lipids from wet biomass to avoid the drying
stage, which is considered a major cause for the high cost of biodiesel production.
294 For this investigation, we used the three mixtures of CPAA/ MeOH, CPTFA/ MeOH and
295 CPHA / MeOH, which recorded the highest lipid yields from dry biomass. The control
sample of wet *S. platensis* biomass (80 %) provided a lipid yield of (4.1 ± 0.06 %). The
results show that CPAA/ MeOH mixture provided the maximum yield of (8.07 ± 0.09 %, P -
value = 0.001), followed by CPTFA/ MeOH (6.1 ± 0.1 %, P -value = 0.005) and CPHA/
MeOH mixture recorded the lowest yield of (5.1 ± 0.08 %, P -value = 0.008). As can be
seen the three mixtures provided a higher yield ($P < 0.05$) over that of the control (Fig 5).
On the other hand, the lipids yield of CPAA is almost similar (P -value = 0.047) to that of
the control sample from dry biomass (9.5 ± 0.23 %). Therefore, from an economic point of
view, CPAA could be the most promising ionic liquid for production of biodiesel from *S.*
platensis biomass - in terms of energy and cost savings, when compared to conventional
extraction processes.

306 **Determination of fatty acids composition in the lipid fraction**

307 The experiments of CPILs lipids extraction with dried microalgae show that CPAA/MeOH,
308 CPHA/MeOH, and CPTFA/MeOH mixtures gave the highest lipid yields. However, it is

necessary to compare the nature of these lipids with those obtained from the control sample. Thus, the lipids recovered from the control, CPAA/MeOH, CPHA/MeOH, and CPTFA/MeOH mixtures were trans-esterified and their FAME composition identified using GC-FID analysis. The percentages of FAMES in the lipid fractions of microalgae are shown in Fig. 6. The fatty acids methyl esters found in *S. platensis* biomass-based biodiesel were myristic (14:0), palmitic (16:0), stearic (18:0), palmitoleic (16:1), oleic (18:1), linoleic (18:2), eicosenic (20:1) and lignoceric (24:0). Among all fatty acid methyl esters, palmitic acid methyl ester (PAME) was the most abundant in all the lipid extracts, followed by oleic and stearic methyl esters. Similar observations were made by (Fattah et al., 2020) who found FAME compositions obtained by enzymatic synthesis from *Chlorella sp.* and *Spirulina sp.*, were mainly predominated by palmitic acid, followed by oleic and stearic methyl esters. The lipid profiles of the CPAA (Fig 6b) and CPHA (Fig 6c) extracts produced C₁₆ (75 and 70 %), respectively, which is higher compared to the control (C₁₆, 65%) as shown in Fig 6a, whereas both CPILs produced C₁₈ (10% and 18 %, respectively), which were quite similar to the control sample (C₁₈, 14 %). In contrast, the lipid profile of the CPTFA extract (Fig 6d) produced C₁₆ (55%) and C₁₈ (8%), which were lower than those produced by the control.

On the other hand, the biodiesel produced by the CPILs had a higher proportion of saturated fatty acids (80-90%) than unsaturated fatty acids (9-21%) as shown in Fig 7, which could be advantageous because saturated FAMES have superior burning qualities while unsaturated FAMES have better fluidity in cold temperatures (Knothe, 2005). Long chain saturated fatty acids like palmitic and stearic acid are quite desirable (Hayyan et al., 2011) due to the fact that biodiesel with a high saturated fatty acid content has a higher oxidation resistance (Mostafa & El-Gendy, 2013).

Overall, the lipid profiles and yields obtained indicate that the CPIL solvents, CPAA, CPHA, and CPTFA, are better than or as effective as the conventional organic solvents in the extraction of lipids from microalgae, and can thus be used as alternative green solvents. Moreover, the CPILs can be separated as discrete phases at the end of the process, which means that they can be recycled for more extractions.

338 **The influence of CPILs on the color of the lipid fractions**

339 The undesirable presence of lipid-soluble carotenoids and chlorophyll is responsible for
 340 the green color of plant-derived lipids (Mukund et al., 2019). The high intensity of the 341
 colour would increase the number and length of biodiesel production processes, resulting 342
 in less sustainable economics. Fig. 8(A)–(C) shows equal lipids fractions (w/w, diluted in 343
 hexane to facilitate color observation) produced by CPAA/Me, CPHA/Me and CPTFA/Me 344
 mixtures, respectively. The color intensity of the lipids extracted by CPAA in Figure 8(A) 345
 seems to be much darker than the lipids extracted by CPHA and CPTFA in Figures 8(B) 346
 and (C), respectively. This indicates that utilization of the last two CPILs causes pigments' 347
 deterioration, particularly CPHA - which produces a yellow extract, a beneficial outcome 348
 that would potentially minimize the number of steps required in processing biodiesel. In 349
 general, the results reveal that the three CPILs can efficiently extract lipids from *S.* 350
platensis microalgae and that CPHA aids in lowering the pigment content in the lipid 351
 samples.

352 **Conclusions**

353 Six caprolactam-based ionic liquids (CPILs) were investigated for lipid extraction from wet
 354 and dried *S. platensis* microalgae, using both pure CPILs and co-solvent mixtures 355
 (CPILs/methanol), and compared to the conventional organic solvent (methanol/hexane) 356
 extraction method. The pure forms and IL/methanol mixtures of three of these CPILs - 357
 Caprolactamium acetate (CPAA), Caprolactamium chloride (CPHA), and caprolactam 358
 trifluoromethane acetate (CPTFA) - showed higher or similar lipid recovery efficiency from 359
 dry biomass compared to the conventional organic solvent (hexane –methanol) extraction 360
 method. The use of CPAA provided a maximum lipid recovery of 14 % and 8 % from dry 361
 and wet biomass, respectively. On other hand, CPHA and CPTFA minimized pigment co362
 extraction, resulting in reduced purification steps in biodiesel production. Furthermore, 363 the
 lipids profiles of the three CPILs were dominated by palmitic acid, oleic and stearic 364 fatty
 acids, comparable to those produced by the conventional method. Therefore, the 365 three
 CPILs are promising green solvents, with potential energy and cost savings in 366 biodiesel
 production from microalgae. Further studies should investigate the intra 367 molecular
 interactions of these ILs and their effectiveness for extraction of lipids.

368

369 Acknowledgements

370 The authors would like to acknowledge Africa Centre of Excellence II in Phytochemicals
371 Textile and Renewable Energy (ACE II PTRE) for their financial support and Moi 372
University for providing access to research facilities.

373

374 References

375

376 Andreani, L., & Rocha, J. D. (2012). Use of ionic liquids in biodiesel production: A
377 review. *Brazilian Journal of Chemical Engineering*, 29(1), 1–13.

378 <https://doi.org/10.1590/S0104-66322012000100001>

379 Arumugam, M., Agarwal, A., Arya, M. C., & Ahmed, Z. (2013). Influence of nitrogen
380 sources on biomass productivity of microalgae *Scenedesmus bijugatus*.

381 *Bioresource Technology*, 131, 246–249.

382 <https://doi.org/10.1016/j.biortech.2012.12.159>

Bodo, E., Bonomo, M., & Mariani, A. (2021). Assessing the structure of protic ionic liquids
based on triethylammonium and organic acid anions. *Journal of Physical Chemistry B*,
125(10), 2781–2792. <https://doi.org/10.1021/acs.jpcc.1c00249>

Cevasco, G., & Chiappe, C. (2014). Are ionic liquids a proper solution to current 384
environmental challenges? *Green Chemistry*, 16(5), 2375–2385.

385 <https://doi.org/10.1039/c3gc42096e>

386 Chhotaray, P. K., Jella, S., & Gardas, R. L. (2014). Physicochemical properties of
low

387 viscous lactam based ionic liquids. *Journal of Chemical Thermodynamics*, 74, 255–
388 262. <https://doi.org/10.1016/j.jct.2014.02.009>

389 Chiappe, C., Mezzetta, A., Pomelli, S., & Iaquaniello, G. (2016). *microalgae using*
protic

390 *ionic liquids*. <https://doi.org/10.1039/c6gc00923a>

391 Choi, S. A., Lee, J. S., Oh, Y. K., Jeong, M. J., Kim, S. W., & Park, J. Y. (2014).
Lipid

392 extraction from *Chlorella vulgaris* by molten-salt/ionic-liquid mixtures. *Algal*

393 *Research*, 3(1), 44–48. <https://doi.org/10.1016/j.algal.2013.11.013>

394 Christie, W. W., & Han, X. (2012). Preparation of derivatives of fatty acids. *Lipid*
395 *Analysis*, 145–158. <https://doi.org/10.1533/9780857097866.145>

396 Dong, T., Knoshaug, E. P., Pienkos, P. T., & Laurens, L. M. L. (2016). Lipid
recovery 397 from wet oleaginous microbial biomass for biofuel production : A
critical review. 398 *Applied Energy*, 177, 879–895.

<https://doi.org/10.1016/j.apenergy.2016.06.002>

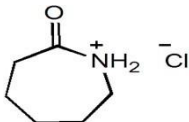
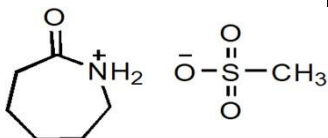
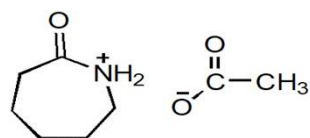
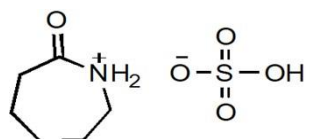
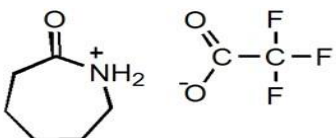
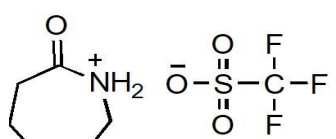
399 Fattah, I. M. R., Noraini, M. Y., Mofijur, M., & Silitonga, A. S. (2020). applied
sciences 400 Lipid Extraction Maximization and Enzymatic Synthesis of Biodiesel from 401
Microalgae. *Appl. Sci*, 10(6103).

- George, A., Brandt, A., Tran, K., Zahari, S. M. S. N. S., Klein-Marcuschamer, D., Sun, N., Hallett, J. P. (2015). Design of low-cost ionic liquids for lignocellulosic biomass pretreatment. *Green Chemistry*, 17(3), 1728–1734. <https://doi.org/10.1039/c4gc01208a>
- Greaves, T. L., & Drummond, C. J. (2008). ChemInform Abstract: Protic Ionic Liquids: Properties and Applications. *ChemInform*, 39(18), 206–237. <https://doi.org/10.1002/chin.200818249>
- Halim, R., Danquah, M. K., & Webley, P. A. (2012). Extraction of oil from microalgae for biodiesel production : A review. *Biotechnology Advances*, 30(3), 709–732. <https://doi.org/10.1016/j.biotechadv.2012.01.001>
- Hayes, R., Warr, G. G., & Atkin, R. (2015). Structure and Nanostructure in Ionic Liquids. *Chemical Reviews*, 115(13), 6357–6426. <https://doi.org/10.1021/cr500411q>
- Hayyan, A., Alam, M. Z., Mirghani, M. E. S., Kabbashi, N. A., Hakimi, N. I. N. M., Siran, Y. M., & Tahiruddin, S. (2011). Reduction of high content of free fatty acid in sludge palm oil via acid catalyst for biodiesel production. *Fuel Processing Technology*, 92(5), 920–924. <https://doi.org/10.1016/j.fuproc.2010.12.011>
- Kim, Y., Choi, Y., Park, J., Lee, S., Yang, Y., Joo, H., ... Hyun, S. (2012). Bioresource Technology Ionic liquid-mediated extraction of lipids from algal biomass. *Bioresource Technology*, 109, 312–315. <https://doi.org/10.1016/j.biortech.2011.04.064>
- Kim, Y., Park, S., Hoo, M., Choi, Y., Yang, Y., Joo, H., ... Hyun, S. (2013). Ultrasound assisted extraction of lipids from *Chlorella vulgaris* using [Bmim][MeSO₄]. *Biomass and Bioenergy*, 56, 99–103. <https://doi.org/10.1016/j.biombioe.2013.04.022>
- Knothe, G. (2005). Dependence of biodiesel fuel properties on the structure of fatty acid alkyl esters. *Fuel Processing Technology*, 86(10), 1059–1070. <https://doi.org/10.1016/j.fuproc.2004.11.002>
- Luo, H., Yin, H., Wang, R., Fan, W., & Nan, G. (2017). Caprolactam-Based Brønsted Acidic Ionic Liquids for Biodiesel Production from *Jatropha Oil*. 2. <https://doi.org/10.3390/catal7040102>
- Mostafa, S. S. M., & El-Gendy, N. S. (2013). Evaluation of fuel properties for microalgae *Spirulina platensis* bio-diesel and its blends with Egyptian petro-diesel. *Arabian Journal of Chemistry*, S2040–S2050. <https://doi.org/10.1016/j.arabjc.2013.07.034>
- Mukund Shankara, Pratap K. Chhotarayb, Ramesh L. Gardasc, K. T., & Mathur Rajeshd. (2019). Biomass and Bioenergy Application of carboxylate protic ionic liquids in simultaneous microalgal pretreatment and lipid recovery from marine

- 438 Nannochloropsis sp. and. *Biomass and Bioenergy*, 123(February), 14–
24.
439 <https://doi.org/10.1016/j.biombioe.2019.02.005>
440 Naiyl, R. A., Kengara, F. O., Kiriamiti, K. H., & Ragab, Y. A. (2021). Synthesis and
441 Characterization of Caprolactam- based Ionic Liquids as Green
Solvents. *Asian Journal of Applied Chemistry Research*, 8(May), 74–87.
443 <https://doi.org/10.9734/AJACR/2021/v8i430201>
Oliveira, M. V. S., Vidal, B. T., Melo, C. M., de Miranda, R. de C. M., Soares, C. M. F., Coutinho,
J. A. P., ... Lima, Á. S. (2016). (Eco)toxicity and biodegradability of protic ionic liquids.
Chemosphere, 147, 460–466. <https://doi.org/10.1016/j.chemosphere.2015.11.016>
444 Pragma, N., Pandey, K. K., & Sahoo, P. K. (2013). A review on harvesting, oil
extraction
445 and biofuels production technologies from microalgae. *Renewable and Sustainable*
446 *Energy Reviews*, 24, 159–171. <https://doi.org/10.1016/j.rser.2013.03.034>
447 Taylor, P., Mandal, S., Patnaik, R., Singh, A. K., & Mallick, N. (2013). *Comparative*
448 *assessment of various lipid extraction protocols and optimization of*
449 *transesterification process for microalgal biodiesel production*. (December), 37–41.
450 <https://doi.org/10.1080/09593330.2013.827730>
451 Xu, W., & Angell, C. A. (2003). Solvent-Free Electrolytes with Aqueous Solution-
Like Conductivities. *Science*, 302(5644), 422–425.
453 <https://doi.org/10.1126/science.1090287>
454 Zhao, H., & Baker, G. A. (2013). Ionic liquids and deep eutectic solvents for
biodiesel
455 synthesis: A review. *Journal of Chemical Technology and Biotechnology*, 88(1), 3–
456 12. <https://doi.org/10.1002/jctb.3935>
457 Zhou, W., Wang, Z., Alam, A., Xu, J., Zhu, S., Yuan, Z., ... Ma, L. (2019). *Repeated*
458 *Utilization of Ionic Liquid to Extract Lipid from Algal Biomass*. 2019.
459

Table 1(on next page)

Table 1. Caprolactam- based ionic liquids used in the study.

Chemistry Journals CPILs Analytical, Inorganic, Organic, Phy	Abbreviation ical, Materials Science	Structural formula	to be review Water content (w/w %)
Caprolactamium chloride	CPHA		0.74
Caprolactamium methanesulphonate	CPMS		1.85
Caprolactamium acetate	CPAA		4.52
Caprolactamium hydrogen sulphate	CPSA		1.21
Caprolactamium trifluoromethane acetate	CPTFA		0.65
Caprolactamium trifluoromethane sulphonate	CPTFS		1.53

1

Figure 1

Figure 1. A schematic presentation of the lipid extraction process

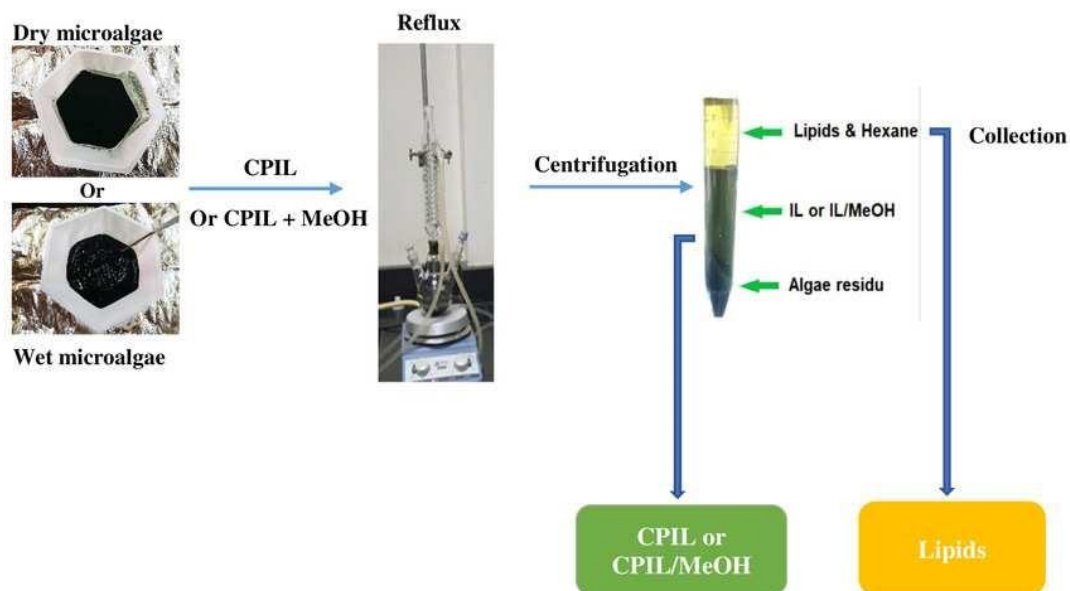


Figure 1. A schematic presentation of the lipid extraction process

Figure 2

Fig. 2. The yield of extracted Lipids by ionic liquids at 75 °C for 5 h and 95 °C for 2 h

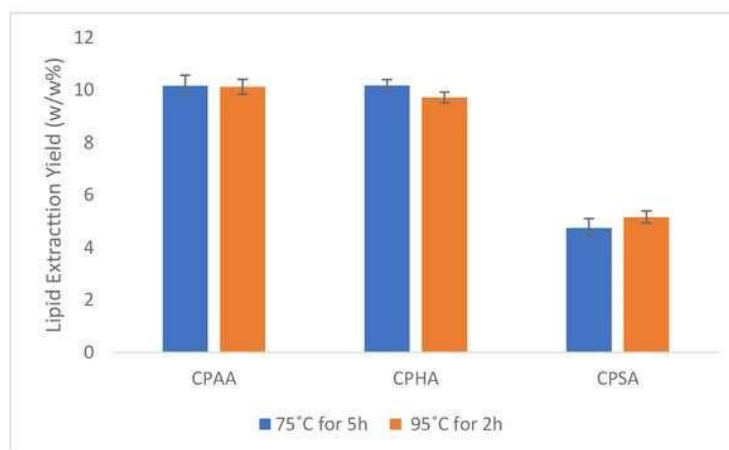


Fig. 2. The yield of extracted Lipids by ionic liquids at 75 °C for 5 h and 95 °C for 2 h

Figure 3

Fig 3. The yields of extracted lipid by pure ionic liquids and Hexane: MeOH (control)

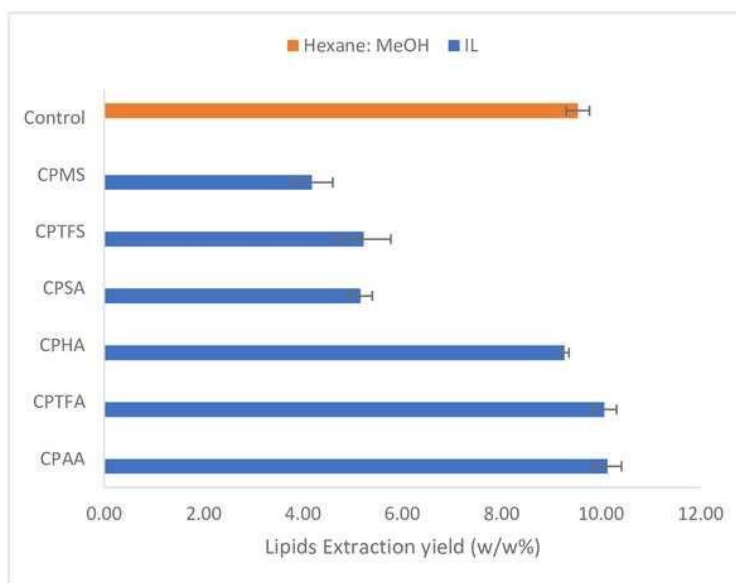


Fig 3. The yields of extracted lipid by pure ionic liquids and Hexane: MeOH (control)

Figure 4

Fig. 4. Comparison of lipid extraction yields by ILs and mixtures of IL/Methanol (1:1)

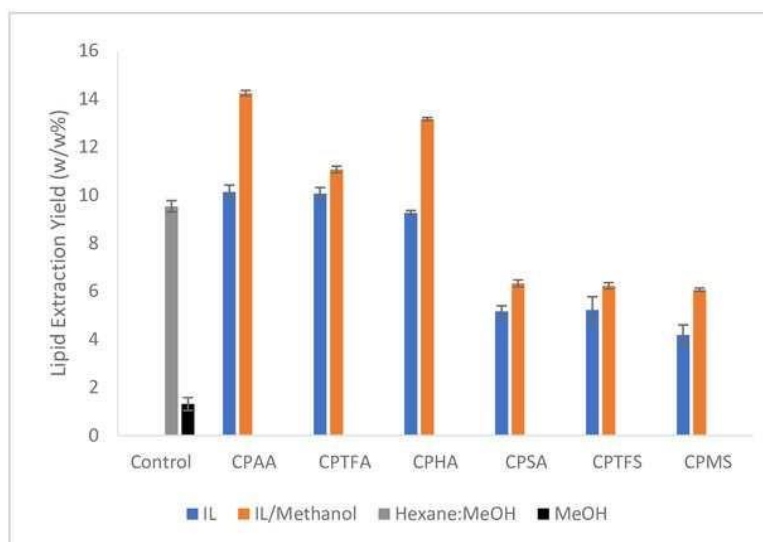


Fig. 4. Comparison of lipid extraction yields by ILs and mixtures of IL/Methanol (1:1)

Figure 5

Fig 5. Lipid extraction yields from wet *Spirulina platensis* biomass

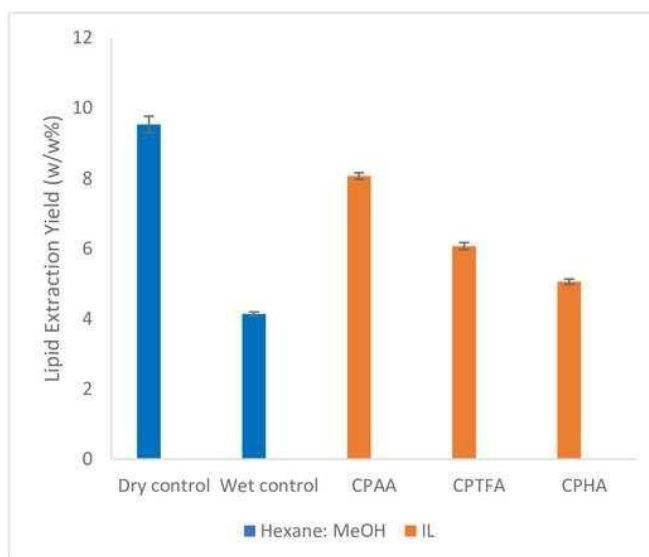


Fig 5. Lipid extraction yields from wet *Spirulina platensis* biomass

Figure 6

Fig. 6. The relative fatty acids composition in lipids recovered by (a) control (Hexane: MeOH), (b) by CPAA/MeOH, (c) by CPHA/MeOH, and (d) by CPTFA/MeOH mixtures



Fig. 6. The relative fatty acids composition in lipids recovered by (a) control (Hexane: MeOH), (b) by CPAA/MeOH, (c) by CPHA/MeOH, and (d) by CPTFA/MeOH mixtures

Figure 7

Fig. 7. Saturated and unsaturated fatty acid methyl esters profile in biodiesel produced by IL/MeOH mixtures

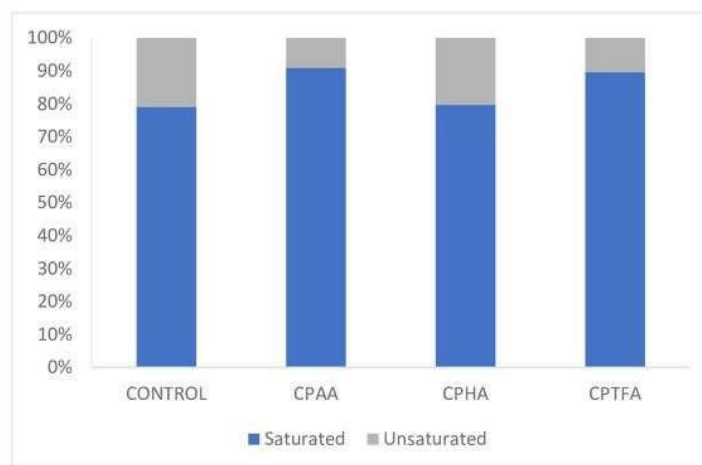


Fig. 7. Saturated and unsaturated fatty acid methyl esters profile in biodiesel produced by IL/MeOH mixtures

Figure 8

Figure. 8. Extracted lipid samples by CPAA (A), CPHA (B), and CPTFA (C).

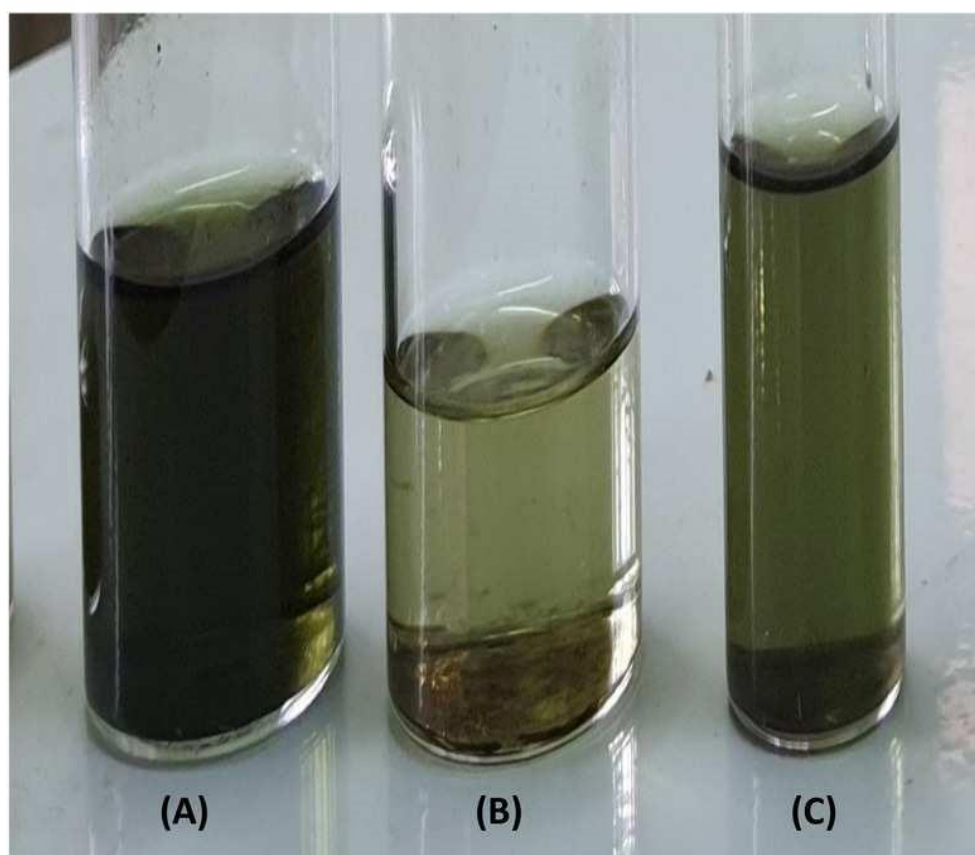


Figure. 8. Extracted lipid samples by CPAA (A), CPHA (B), and CPTFA (C).

Table 1 (on next page)

Table 1. Caprolactam- based ionic liquids used in the study.

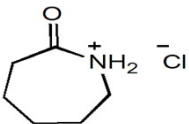
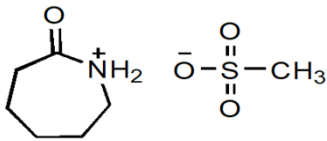
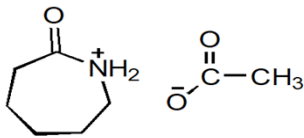
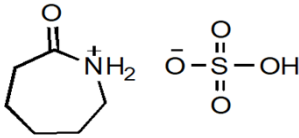
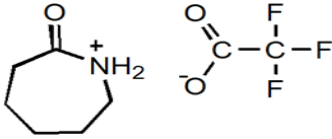
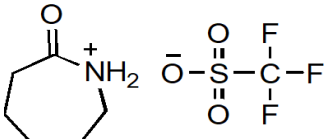
Caprolactamium chloride	CPHA		0.74
Caprolactamium methanesulphonate	CPMS		1.85
Caprolactamium acetate	CPAA		4.52
Caprolactamium hydrogen sulphate	CPSA		1.21
Caprolactamium trifluoromethane acetate	CPTFA		0.65
Caprolactamium trifluoromethane sulphate	CPTFS		1.53

Figure 1

Figure 1. A schematic presentation of the lipid extraction process

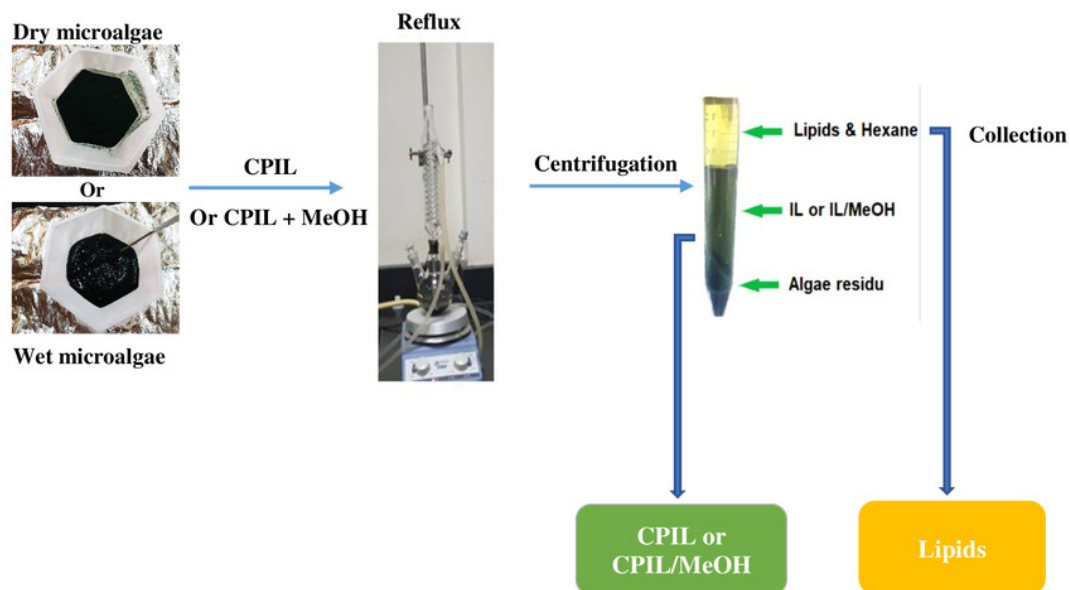


Figure 1. A schematic presentation of the lipid extraction process

Figure 2

Fig. 2. The yield of extracted Lipids by ionic liquids at 75 °C for 5 h and 95 °C for 2 h

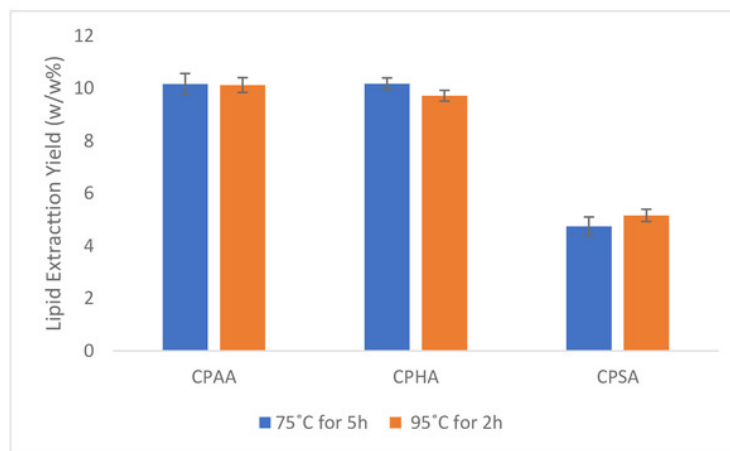


Fig. 2. The yield of extracted Lipids by ionic liquids at 75 °C for 5 h and 95 °C for 2 h

Figure 3

Fig 3. The yields of extracted lipid by pure ionic liquids and Hexane: MeOH (control)

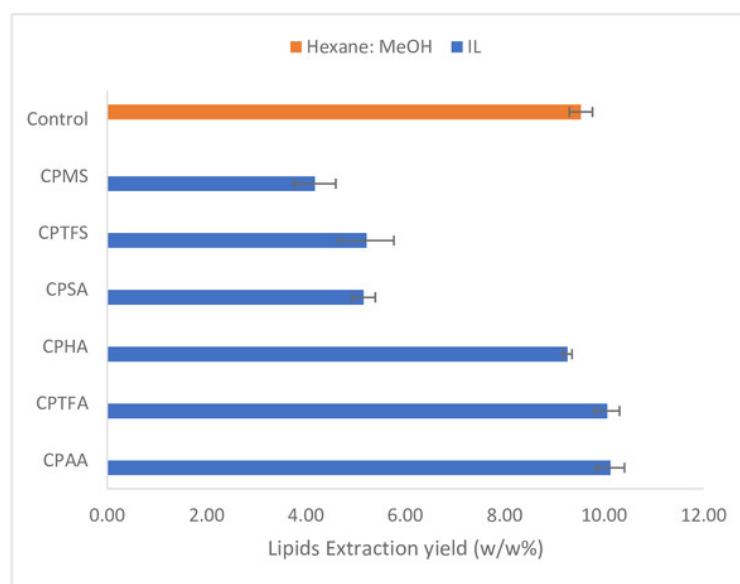


Fig 3. The yields of extracted lipid by pure ionic liquids and Hexane: MeOH (control)

Figure 4

Fig. 4. Comparison of lipid extraction yields by ILs and mixtures of IL/Methanol (1:1)

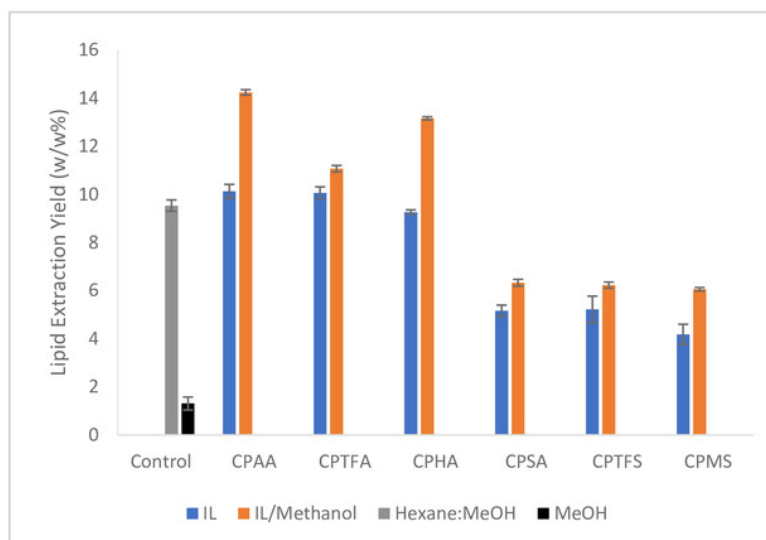


Fig. 4. Comparison of lipid extraction yields by ILs and mixtures of IL/Methanol (1:1)

Figure 5

Fig 5. Lipid extraction yields from wet *Spirulina platensis* biomass

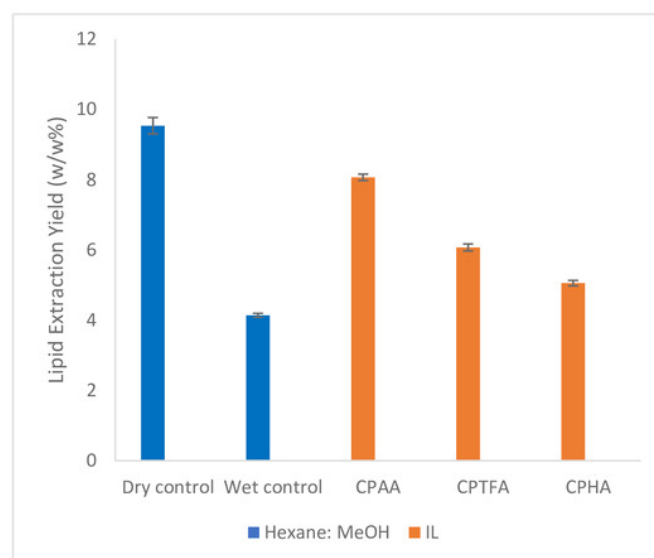


Fig 5. Lipid extraction yields from wet *Spirulina platensis* biomass

Figure 6

Fig. 6. The relative fatty acids composition in lipids recovered by (a) control (Hexane: MeOH), (b) by CPAA/MeOH, (c) by CPHA/MeOH, and (d) by CPTFA/MeOH mixtures

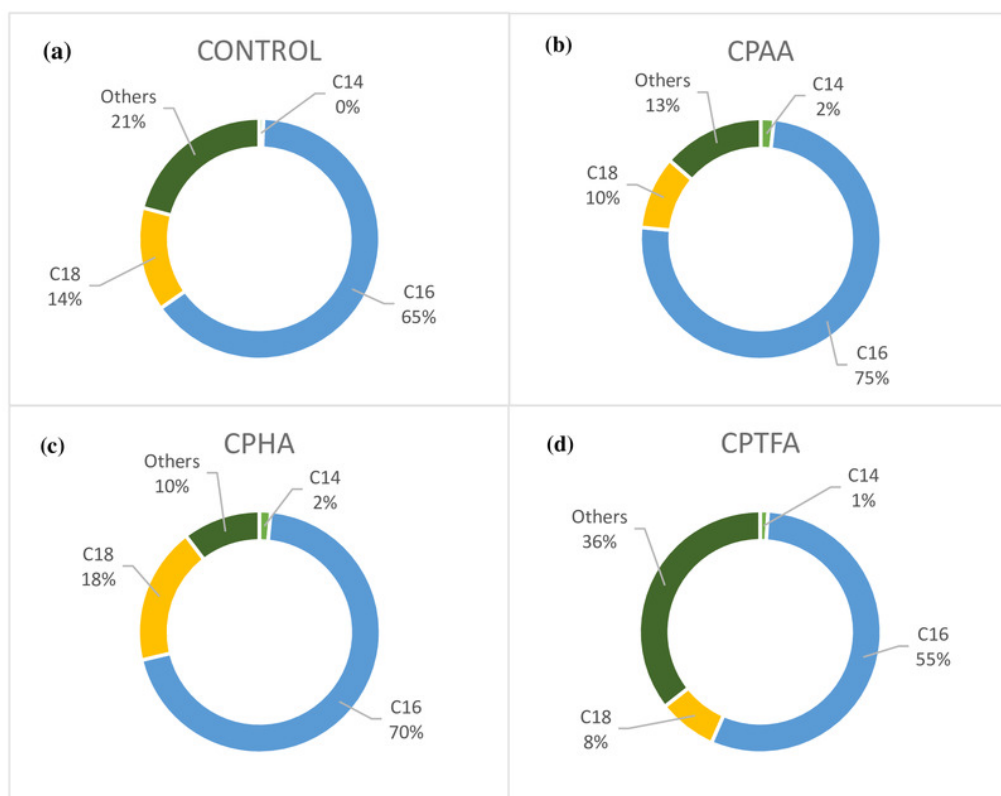


Fig. 6. The relative fatty acids composition in lipids recovered by (a) control (Hexane: MeOH), (b) by CPAA/MeOH, (c) by CPHA/MeOH, and (d) by CPTFA/MeOH mixtures

Figure 7

Fig. 7. Saturated and unsaturated fatty acid methyl esters profile in biodiesel produced by IL/MeOH mixtures

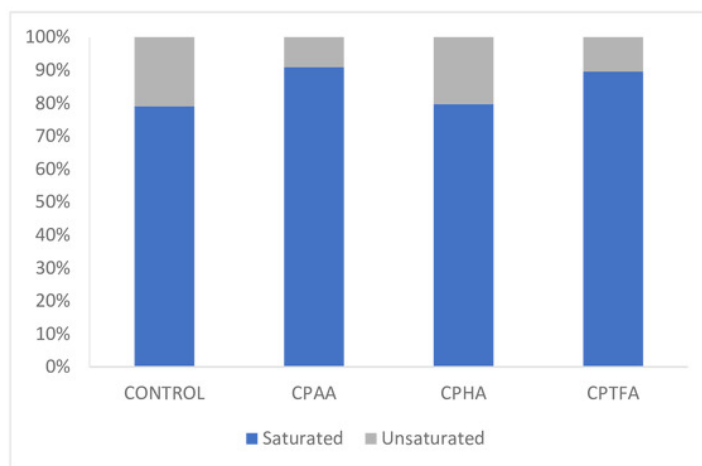


Fig. 7. Saturated and unsaturated fatty acid methyl esters profile in biodiesel produced by IL/MeOH mixtures

Figure 8

Figure. 8. Extracted lipid samples by CPAA (A), CPHA (B), and CPTFA (C).

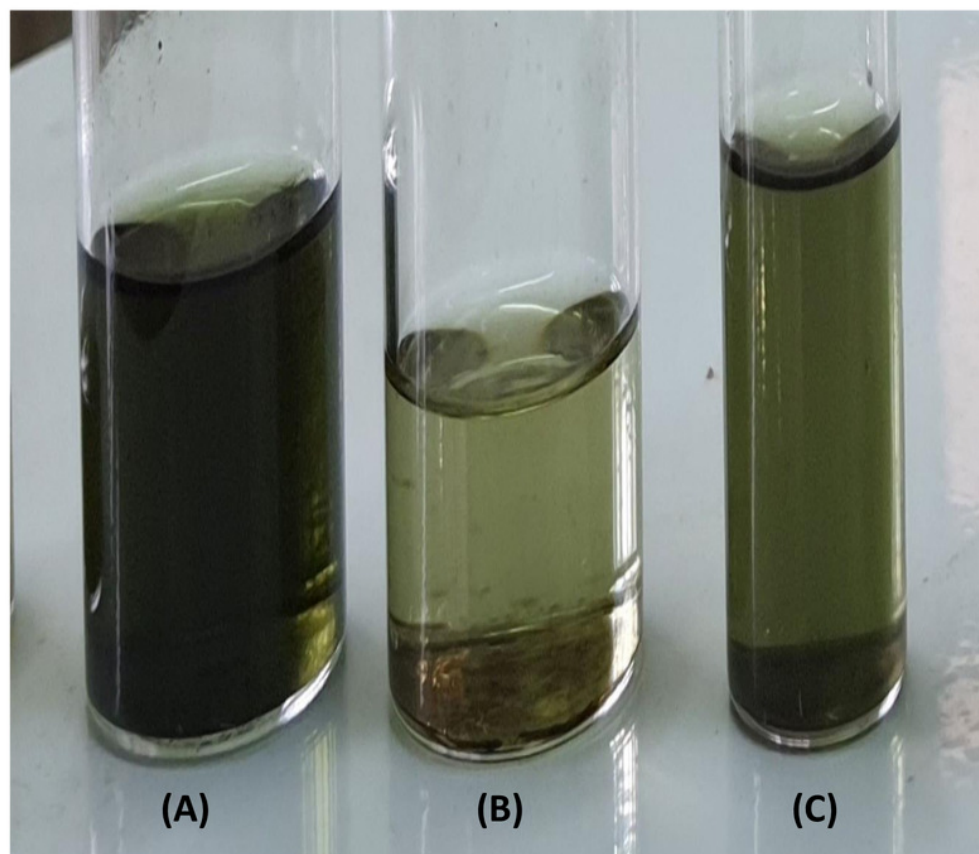


Figure. 8. Extracted lipid samples by CPAA (A), CPHA (B), and CPTFA (C).