Radiolarian assemblages in the shelf area of the East China Sea and Yellow Sea and their ecological indication of the Kuroshio Current derivative branches (#44305)

First revision

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Radiolarian assemblages in the shelf area of the East China Sea and Yellow Sea and their ecological indication of the Kuroshio Current derivative branches

Hanxue Qu Equal first author, 1, 2, 3, 4, Yong Xu Equal first author, 1, 2, 3, 4, Jinbao Wang 1, 3, 4, Xinzheng Li Corresp. 1, 2, 3, 4

Corresponding Author: Xinzheng Li Email address: lixzh@qdio.ac.cn

We analyzed the radiolarian assemblages of 59 surface sediment samples collected from the Yellow Sea and East China Sea of the northwestern Pacific. In the study region, the Kuroshio Current and its derivative branches exerted a crucial impact on radiolarian composition and distribution. Radiolarians in the Yellow Sea shelf showed a quite low abundance, as no tests were found in 15 of 25 Yellow Sea samples. Radiolarians in the East China Sea shelf could be divided into three regional groups, including the East China Sea north region group, the East China Sea middle region group, and the East China Sea south region group. The results of the redundancy analysis suggested that the Sea Surface Temperature and Sea Surface Salinity were primary environmental variables explaining species-environment relationship. The gradients of temperature, salinity, and species diversity reflect the powerful influence of the Kuroshio Current in the study area.

¹ Institute of Oceanology, Chinese Academy of Sciences, Qingdao, China

² University of Chinese Academy of Sciences, Beijing, China

³ Center for Ocean Mega-Science, Chinese Academy of Sciences, Qingdao, China

 $^{^{4}}$ Laboratory for Marine Biology and Biotechnology, Qingdao, China



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2 China Sea and Yellow Sea and their ecological

3 indication of the Kuroshio Current derivative branches

 $5 \quad \text{Hanxue Qu$^{1,\,2,\,3,\,4}$, Yong Xu$^{1,\,2,\,3,\,4}$, Jinbao Wang$^{1,\,3,\,4}$, Xinzheng Li$^{1,\,2,\,3,\,4}$}$

- 7 ¹ Institute of Oceanology, Chinese Academy of Sciences, 7 Nanhai Road, Qingdao 266071,
- 8 China

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- 9 ² University of Chinese Academy of Sciences, Beijing 100049, China
- 10 ³ Center for Ocean Mega-Science, Chinese Academy of Sciences, 7 Nanhai Road,
- 11 Qingdao, 266071, China
- 12 ⁴ Laboratory for Marine Biology and Biotechnology, Pilot National Laboratory for
- 13 Marine Science and Technology (Qingdao), 1 Wenhai Road, Qingdao 266237, China
- 15 Corresponding Author:
- 16 Xinzheng Li
- 17 Nanhai Road, Qingdao, 266071, China
- 18 Email address: lixzh@qdio.ac.cn

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Abstract

- 21 We analyzed the radiolarian assemblages of 59 surface sediment samples collected from the
- 22 Yellow Sea and East China Sea of the northwestern Pacific. In the study region, the Kuroshio
- 23 Current and its derivative branches exerted a crucial impact on radiolarian composition and
- 24 distribution. Radiolarians in the Yellow Sea shelf showed a quite low abundance, as no tests
- 25 were found in 15 of 25 Yellow Sea samples. Radiolarians in the East China Sea shelf could be
- 26 divided into three regional groups, including the East China Sea north region group, the East
- 27 China Sea middle region group, and the East China Sea south region group. The results of the
- 28 redundancy analysis suggested that the Sea Surface Temperature and Sea Surface Salinity were
- 29 primary environmental variables explaining species-environment relationship. The gradients of
- 30 temperature, salinity, and species diversity reflect the powerful influence of the Kuroshio Current
- 31 in the study area.

Introduction

- 33 Polycystine Radiolaria (hereafter Radiolaria), with a high diversity of 1192 Cenozoic fossil to
- Recent species, are a crucial group of marine planktonic protists (Lazarus et al., 2015; Suzuki,
- 35 2016). Living Radiolaria are widely distributed throughout the shallow-to-open oceans (Lombari
- 36 & Boden, 1985; Wang, 2012), and a proportion of their siliceous skeletons settle on the seafloor
- 37 after death (Takahashi, 1981; Yasudomi et al., 2014). The distribution of Radiolaria in a given
- 38 region is associated with the pattern of water mass, such as temperature, salinity and nutrients
- 39 (Abelmann & Nimmergut, 2005; Anderson, 1983; Hernández Almeida et al., 2017).
- 40 The East China Sea (ECS) and Yellow Sea (YS) are marginal seas of the northwestern Pacific
- 41 (Xu et al., 2011). The two regions are divided by the line connecting the northern tip of the
- 42 mouth of the Changiang and the southern tip of the Jeju Island (Jun. 2014). Hydrographic
- 43 conditions of the shelf area of both the ECS and YS, where the depth is generally less than 100
- 44 meters, vary remarkably with the season (Oi, 2014). Generally, the annual sea surface
- 45 temperature (SST) and sea surface salinity (SSS) show a decreasing trend from the southeast to
- 46 northwest in study area (Fig. 1).
- 47 The Kuroshio Current originates from the Philippine Sea, flows through the ECS, and afterwards
- 48 forms the Kuroshio Extension (Hsueh, 2000; Qiu, 2001). The Kuroshio Current and its derivative
- 49 branch-the Taiwan Warm Current (TWC), form the main circulation systems in the ECS shelf
- area, while the Yellow Sea Warm Current, one derivative branch of the Kuroshio Current,
- dominates in the YS shelf area (Hsueh, 2000; Tomczak & Godfrey, 2001).
- 52 In the ECS shelf region's summer (Fig. 2A), the Kuroshio subsurface water gradually upwells
- 53 northwestward from east of Taiwan, and finally reaches 30.5°N off the Changjiang estuary along
- 54 ~60 m isobaths, forming the Nearshore Kuroshio Branch Current (Yang et al., 2012; Yang et al.,
- 55 2011). Meanwhile, the TWC is formed by the mixing of the Taiwan Strait Warm Current and
- 56 Kuroshio Surface Water (Qi, 2014). In winter (Fig. 2B), the Kuroshio Surface Water shows
- 57 relatively intense intrusion as part of the Kuroshio Surface Water northwestward reaches
- 58 continental shelf area across 100 m isobaths (Zhao & Liu, 2015). At this point, the TWC is
- 59 mainly fed from the Kuroshio Current northeast of Taiwan (Qi, 2014).



- 60 In the YS shelf region's summer (Fig. 2A), the Yellow Sea Cold Water Mass, characterized by
- low temperature, occupies the central low-lying area mostly below the 50 m isobaths while the
- 62 Yellow Sea Warm Current shows little influence (Guan, 1963). In winter (Fig. 2B), the impact of
- 63 the Yellow Sea Warm Current on shelf region is enhanced, while the Yellow Sea Cold Water
- 64 Mass disappears (Weng et al., 1988). The continuous water circulation in the YS is mainly
- 65 comprised of the Yellow Sea Warm Current and the China Coastal Current (UNEP, 2005).
- 66 The radiolarian assemblages in surface sediments have been investigated in the ECS whereas
- 67 there are few reports in the YS. These reports cover the ECS including the Okinawa Trough
- 68 (Chang et al., 2003; Cheng & Ju, 1998; Wang & Chen, 1996) and continental shelf region
- 69 extensively (Chen & Wang, 1982; Tan & Chen, 1999; Tan & Su, 1982). They summarize the
- 70 distribution patterns of the dominant species and the environmental conditions that affect the
- 71 composition of radiolarian fauna in the ECS in their excellent taxonomic works. On the basis of
- 72 these valuable works, we rigorously investigate the relationships between radiolarians and
- 73 environmental variables. In addition, to which the ECS and YS are influenced by the Kuroshio
- 74 Current and its derivative branch are specially focused in this study. The radiolarian data
- 75 collected from 59 surface sediment samples are associated with environmental variables of the
- 76 upper water to explore the principal variables explaining radiolarian species composition. The
- 77 influences of the Kuroshio Current and its derivative branch on radiolarian assemblages in the
- 78 study area are also considerably discussed.

Materials & Methods

79

80 Sample collection and treatment

- 81 The surface sediments were collected at 59 sites (Fig. 3A) in the Yellow Sea and East China Sea
- 82 using a box corer. The sediment samples in the study area were divided into four groups
- 83 geographically and were labeled the Yellow Sea region (YSR) samples, the ECS north region
- 84 (ECSNR) samples, the ECS middle region (ECSMR) samples, and the ECS south region
- 85 (ECSSR) samples. The samples were prepared using the method described by Chen et al. (2008).
- 86 30% hydrogen peroxide and 10% hydrochloric acid were added to each dry sample to remove
- 87 organic component and the calcium tests, respectively. Then the treated sample was sieved with
- a 50 µm sieve and dried in an oven. After flotation in carbon tetrachloride, the cleaned residue
- 89 was sealed with Canada balsam for radiolarian identification and quantification under a light
- 90 microscope with a magnification of 200X or 400X. To reduce counting uncertainty,
- 91 Dictyocoryne profunda Ehrenberg, Dictyocoryne truncatum (Ehrenberg), Dictyocoryne
- 92 bandaicum (Harting) were combined as Dictyocoryne group. Photographs of some radiolarians
- 93 encountered in this study are exhibited in Figure 4.

94 Environmental data

- 95 Grain size analysis of the surface sediments was conducted with a Laser Diffraction Particle Size
- 96 Analyzer (Cilas 1190, CILAS, Orleans, Loiret, France). The data were used to categorise grain
- 97 size classes as clay (1-4 μm), silt (4-63 μm) and sand (63-500 μm), and to determine different
- 98 sediment types according to the Folk classification (Folk, Andrews & Lewis, 1970). In addition,
- 99 the mean grain size was calculated for each site.



- 100 The values of annual temperature (SST), salinity (SSS), oxygen, phosphate, nitrate, and silicate
- of sea surface with a 0.5° resolution for the period of 1930 to 2009 were derived from the
- 102 CARS2009 dataset (Ridgway, Dunn & Wilkin, 2002). The sea surface chlorophyll-a and
- particulate organic carbon with a 9 km resolution for the period of 1997 to 2010 were obtained
- from https://oceancolor.gsfc.nasa.gov/l3/. The values of the environmental variables mentioned
- above for each surface sediment site were estimated by linear interpolation. These values,
- together with depth, are shown in Supplementary material Table 1.

107 Statistical processing

- 108 The minimum number of specimens counted in each sample is customarily 300. However, low
- 109 radiolarian concentrations are frequent in the shelf type sediments comprised mainly of
- terrigenous sources (Chen et al., 2008). Given small sediment samples, it was difficult to find
- 111 300 tests in some sites. According to Fatela & Taborda (2002), counting 100 tests allows less
- than 5% probability of losing those species with a proportion of 3%. Balanced between the
- insufficient samples and the accuracy of the statistical analysis, the threshold number of
- radiolarians was adjusted to 100 (Fatela & Taborda, 2002; Rogers, 2016). Based on this
- threshold, 24 samples (Fig. 3B) were retained for detailed statistical analysis. Seven of 24
- samples had less than 300 tests, containing six ECSNR samples and one ECSSR sample. The
- proportion of each dominant species in the ECSNR group was higher than 3%, guaranteeing a
- 118 reliable interpretation of species proportions.
- We calculated the absolute abundance (tests.(100g)⁻¹) and the diversity indices, including the
- species number (S), Shannon-Wiener's index ($H'(\log_e)$). To ensure a creditable estimate of
- diversity indices, which may be biased by different counting numbers, the specimens of
- radiolarians in each sample was randomly subsampled and normalized to the equal size of 100
- tests by using rrarefy() function in vegan package in R program. For each site, S and H' of
- sample containing all tests and subsample containing 100 tests were calculated.
- Relative abundance (%) of each radiolarian taxon was also calculated. Then the hierarchical
- 126 cluster analysis with group-average linking was applied to analyze the variations of radiolarian
- assemblage among different regions. The percentage data of the relative abundance was
- transformed by square root for normalize the dataset. Afterwards, triangular resemblance matrix
- was constructed based on the Bray-Curtis similarity (Clarke & Warwick, 2001). Analysis of
- similarity (ANOSIM) was employed to determine the differences among different assemblages.
- 131 Similarity percentage procedure (SIMPER) analysis was used to identify the species that
- 132 contributed most to the similarities among radiolarian assemblages.
- Detrended correspondence analysis (DCA) was applied to determine the character of the species
- data. The gradient length of the first DCA axis was 1.773 < 3, suggesting that redundancy
- analysis (RDA, linear ordination method) was more suitable than Canonical correspondence
- analysis (CCA, unimodal ordination method) (Lepš & Šmilauer, 2003). RDA was used to
- evaluate the relationship between environmental variables and radiolarian assemblages identified
- by SIMPER analysis. The species abundance data was square root transformed before analysis to
- reduce the effect of extremely high values (Ter Braak & Smilauer, 2002). Variance inflation



- 140 factors (VIF) was calculated to screen the environmental variables with VIF > 5 (Lomax &
- Hahs-Vaughn, 2013). Sand percentage, mean grain size, chlorophyll-a, silicate, particulate
- organic carbon, oxygen, depth, nitrate, and silt percentage were removed from the RDA model
- step by step, in order to avoid collinearity (Naimi et al., 2014). Finally, four variables, SST, SSS,
- 144 clay percentage, and phosphate, were employed in the RDA. The significant environmental
- variables were determined by automatic forward selection with Monte Carlo tests (999)
- permutations). Station DH 8-5 was excluded from the RDA model for lack of environmental
- 147 data.
- 148 Correlation analysis was employed to investigate the relationship between the dominant
- 149 radiolarian taxa and significant environmental variables.
- 150 The diversity indices calculation, cluster analysis, ANOSIM, and SIMPER were performed by
- PRIMER 6.0. Correlation analysis was performed by SPSS 20. DCA and RDA were conducted
- 152 by CANOCO 4.5.

153 **Results**

- 154 A total of 137 radiolarian taxa were identified from the surface sediments of study area,
- including 75 genera, 14 families, and 3 orders The raw radiolarian counting data is shown in
- 156 Supplementary material Table 2. Approximately 91.0% of the species belonged to Spumellaria,
- accounting for the vast majority of the radiolarian fauna. Nassellaria and Collodaria accounted
- for 8.4% and 0.6%, respectively. Pyloniidae definitely dominated in the species composition as it
- occupied approximately 61%, followed by Spongodiscidae 18%, and Coccodiscidae 8% (Fig.
- 160 5A).
- Radiolarian abundance in surface sediments varied greatly in study area (Fig. 5B), showing a
- tendency of ECSMR (2776 tests.(100g)⁻¹) > ECSSR (1776 tests.(100g)⁻¹) > ECSNR (500
- tests. $(100g)^{-1}$ > YSR (8 tests. $(100g)^{-1}$). The distribution pattern of species number (Fig. 5C) was
- similar to that of the abundance, exhibiting a trend of ECSMR (38 species) > ECSSR (35 species)
- > ECSNR (16 species) > YSR (1 species). The top 9 species taxa, accounting for 79.6% of the
- total assemblages in the study area, were as follows: *Tetrapyle octacantha* group Mueller
- 167 (55.6%), Didymocyrtis tetrathalamus (Haeckel) (7.5%), Dictyocoryne group (3.7%), Spongaster
- tetras Ehrenberg (2.5%), Stylodictya multispina Haeckel (2.2%), Spongodiscus resurgens
- 169 Ehrenberg (2.2%), Zygocircus piscicaudatus Popofsky (2.1%), Phorticium pylonium Haeckel
- 170 (2.0%), and Euchitonia furcata Ehrenberg (1.8%).

171 The radiolarian assemblages in the YS shelf area

- 172 In general, radiolarians showed a quite low abundance value in the YS, as no tests were found in
- 173 15 samples (Fig. 5). For the remaining 10 samples, only 49 tests were originally counted,
- belonging to 21 species taxa. The radiolarian abundance for 25 samples of the YS ranged from 0
- tests.(100g)⁻¹ to 91 tests.(100g)⁻¹, and species number ranged from 0 to 12. Based on the
- abundance data, T. octacantha (17.4%), Spongodiscus sp. (10.9%), Didymocyrtis tetrathalamus
- 177 (9.1%), Acrosphaera spinosa (6.1%), and P. pylonium (6.1%) were the top 5 abundant species
- taxa in the YS, occupying a proportion of 49.7% of the total assemblages.
- 179 Selected stations in the ECS shelf area with radiolarian tests ≥ 100



- According to Table 1, among three regions, there exists a significant difference in radiolarian
- abundance (ANOVA, p = 0.001). Diversity indices, including S and H', displayed an overall
- ranking of ECSSR > ECSMR > ECSNR both in samples (S, Kruskal-Wallis Test, p = 0.000; H',
- 183 ANOVA, p = 0.000) and subsamples (S_{sub} , ANOVA, p = 0.000; H'_{sub} , ANOVA, p = 0.000).
- 184 Cluster analysis based on the relative abundance classified all but one site into three regional
- groups at the 60% Bray-Curtis similarity level, including the ECSNR group, ECSMR group and
- 186 ECSSR group (Fig. 6). The significant differences among the three groups were examined by
- 187 ANOSIM (Global R = 0.769, p = 0.001).
- 188 The dominant species in each regional group were identified by SIMPER analysis with a cut-off
- of 50% (Table 2). Tetrapyle octacantha, Didymocyrtis tetrathalamus, and Spongodiscus
- 190 resurgens dominated in the ECSNR group, with contribution of 41.70%, 9.79%, and 8.89%,
- 191 respectively. The radiolarian taxa, including *T. octacantha*, *Didymocyrtis tetrathalamus*,
- 192 Dictyocoryne group, Stylodictya multispina, and Spongodiscus resurgens, contributed most to
- the ECSMR group. The dominant species in the ECSSR group were composed of *T. octacantha*,
- 194 Didymocyrtis tetrathalamus, Dictyocoryne group, Spongaster tetras, Z. piscicaudatus, P.
- 195 pylonium, Stylodictya multispina, and E. furcata.
- 196 It was indicated by the RDA that the first two axes explained 39.9% (RDA1 30.0%, RDA2
- 197 9.9%) of the species variance, and 86.5% of the species-environment relation variance (Table
- 198 3A). Forward selection with Monte Carlo test (999 Permutation) revealed that SST and SSS were
- the most significant environmental variables associated with radiolarian composition (Table 3B).
- 200 The RDA plot showed a clear distribution pattern of regional samples (Fig. 7A). The ECSNR
- samples generally occupied the left part of the ordination, showing a feature of comparatively
- 202 lower SST and an extensive fitness to SSS. The ECSMR samples were mostly located in the
- 203 middle part, suggesting an adaption to higher values of SST and SSS than the ECSNR samples.
- The ECSSR samples distributed mainly at right part, characterized by the higher value of SST
- and SSS.

213

- The dominant species identified by the SIMPER analysis (Table 2) were displayed in the RDA
- 207 plot (Fig. 7B). Species taxa, including Spongaster tetras, Dictyocorvne group and P. pylonium,
- were related to higher SST, while showed little relationship with SSS. Zygocircus piscicaudatus,
- 209 E. furcata, and Stylodictya multispina displayed a preference of higher SST and lower SS
- 210 Didymocyrtis tetrathalamus was positively related to SST and SSS. Tetrapyle octacantha
- showed a better fitness to higher SSS and lower SST. Additionally, Spongodiscus resurgens was
- 212 negatively associated with SST and SSS.

Discussion

- 214 Generally, the number of the radiolarian tests in continental shelf sediments of the ECS and YS
- 215 is several orders of magnitude lower than that of the adjacent Okinawa trough (Chang et al.,
- 216 2003; Cheng & Ju, 1998). First, due to the continental runoff input, coastal area water is featured
- 217 of lower temperature and salinity, resulting in lower number of living radiolarians (Chen &
- Wang, 1982; Matsuzaki, Itaki & Kimoto, 2016; Tan & Su, 1982). Also, deposition rate in study



- area is considerably high as 0.1–0.8 cm/yr in the YS, and 0.1–3 cm/yr in the ECS (Dong, 2011),
- which greatly masks the concentration of radiolarian skeleton in sediments (Chang et al., 2003).
- 221 The radiolarian assemblages in the YS shelf area
- 222 Based on our results, though radiolarian assemblages varied greatly between the YS and ECS,
- 223 there are some common species as all of the 21 radiolarian species in the YS can be found in the
- ECS, that is, no endemic species were observed in the YS. The top 5 species taxa, except
- 225 Spongodiscus sp., were reported as typical warm species (Chang et al., 2003; Chen et al., 2008;
- 226 Matsuzaki & Itaki, 2017), suggesting a warm-water origin of radiolarians in the YS.
- As a semi-enclosed marginal sea mostly shallower than 80 m, YS is influenced by a continuous
- 228 circulation, primarily comprised by the Yellow Sea Warm Current and China Coastal Current
- 229 (UNEP, 2005). The mean values of SST and SSS in the YS are 15°C and 32psu, respectively
- 230 (Fig. 1), making it quite difficult for radiolarians to survive and proliferate. For the surface
- 231 sediments in the YS in our study, only a small number of radiolarians were detected at the
- 232 margin of the YS shelf area, whereas no radiolarians were detected in the 15 sites within the
- range of the central YS (Fig. 5B). For the planktonic samples in the southern YS, low radiolarian
- 234 stocks were also reported previously (Tan & Chen, 1999). Sporadic radiolarians were merely
- documented in winter, with radiolarian stocks less than 200 tests.m⁻³ (Tan & Chen, 1999). We
- 236 thus infer the radiolarians in the YS (Fig. 5) were probably introduced by the Yellow Sea Warm
- 237 Current, and transported by the China Coastal Current. The question whether the absence of
- 238 radiolarians in the central YS is controlled by the Yellow Sea Cold Water Mass remains unclear
- and needs future investigations.
- Selected stations in the ECS shelf area with radiolarian tests ≥ 100
- 241 In the ECS, the gradients of SST and SSS are controlled by the interaction of the Kuroshio
- branch current, TWC and Changjiang Diluted Water (Yang et al., 2012). SST and SSS both
- show an increase from north to south, corresponding well with the overall distribution of
- radiolarians (Fig. 1, Fig. 5).
- 245 Revealed by the RDA, SST was the most significant environmental variable related to the
- 246 radiolarian composition, followed by SSS (Table 3B). SST is generally regarded as having an
- 247 extremely important role in controlling the composition and distribution of radiolarians
- 248 (Boltovskoy & Correa, 2017; Hernández Almeida et al., 2017; Ikenoue et al., 2015). According
- 249 to Matsuzaki, Itaki & Tada (2019), the species diversity in the northern ECS was higher during
- 250 interglacial period than during glacial period. For a long time, the relationship between
- radiolarian assemblages and SST is used to construct past changes in hydrographic conditions
- 252 (Matsuzaki & Itaki, 2017). In this study, SST showed a significant correlation with abundance,
- 253 species number, and H' (Table 4), suggesting that higher SST may often correspond to higher
- 254 diversity.
- 255 SSS was also crucial for explaining species-environment correlations in the ECS shelf area. At
- 256 the offshore Western Australia, salinity is strongly significant in determining radiolarian species
- distributions (Rogers, 2016). Hernández Almeida et al. (2017) and Liu et al. (2017a) stated that
- 258 the composition and distribution pattern of the radiolarian fauna in the western Pacific responds



- 259 mainly to SST and SSS. Gupta (2002) found that the relative abundance of Pyloniidae exhibits a
- 260 positive correlation with salinity. In this study SSS was positively correlated to abundance and
- species number (Table 4), possibly suggesting a positive influence of SSS on radiolarian
- 262 diversity.
- The radiolarian assemblages of the ECSSR group were influenced by the Kuroshio Current and
- 264 TWC, while the TWC predominated. The surface water of the TWC is mainly characterised by
- 265 high temperature (23-29°C) and salinity (33.3-34.2psu) (Weng & Wang, 1988). Some of the
- 266 TWC waters are supplemented from the South China Sea (Liu et al., 2017b), where radiolarians
- show high diversity (Chen et al., 2008; Liu et al., 2017a; Zhang et al., 2009). The dominant
- species in the ECSSR group included *T. octacantha*, *Didymocyrtis tetrathalamus*, *Dictyocoryne*
- 269 group, Spongaster tetras, Z. piscicaudatus, P. pylonium, Stylodictya multispina, and E. furcata
- 270 (Table 2, Fig. 8). These species taxa are reported as typical indicators of the Kuroshio Current
- 271 (Chang et al., 2003; Gallagher et al., 2015; Liu et al., 2017a; Matsuzaki et al., 2016). The
- 272 relatively high abundance of these taxa in the study area reflected the influence of the warm
- 273 Kuroshio and TWC waters. Moreover, moderate percentage (0.91%) of *Pterocorys campanula*
- Haeckel was detected in the ECSSR group, in contrast with the ECSMR group (0.14%) and
- 275 ECSNR group (0.06%). Members of *Pterocorys* are shallow-water dwellers, as reported by
- 276 Matsuzaki, Itaki & Sugisaki (2019). *Pterocorys campanula* frequently occurs and dominates in
- 277 the South China Sea, whereas there are no reports of the dominance of *P. campanula* in the
- sediment samples of the ECS (Chen & Tan, 1996; Chen et al., 2008; Hu et al., 2015; Liu et al.,
- 279 2017a). The high abundance of this taxon in the ECSSR group further demonstrates our
- 280 conclusion that radiolarian assemblages of the ECSSR group were brought by the Kuroshio
- 281 Current and TWC with the TWC playing the main role.
- 282 The ECSMR group was influenced by the Kuroshio Current, TWC, and Changjiang Diluted
- 283 Water. The dominant species in the ECSMR included *T. octacantha*, *Didymocyrtis*
- 284 tetrathalamus, Dictyocoryne group, Stylodictya multispina and Spongodiscus resurgens (Table
- 285 2). The dominant species of the ECSMR group showed great overlap with the ECSSR group,
- 286 which, in some degrees, suggests a similarity between the two groups, as both are influenced by
- 287 the Kuroshio Current and TWC. On the other hand, the lower percentages of *Didymocyrtis*
- 288 tetrathalamus, Dictyocoryne group, and Stylodictya multispina indicated part of the impact by
- 289 the Changiang Diluted Water, which is characterized by lower SST (Fig. 8).
- 290 Tetrapyle octacantha, Didymocyrtis tetrathalamus, and Spongodiscus resurgens were dominant
- 291 species of the ECSNR group, which was primarily impacted by the Changiang Diluted Water
- and Kuroshio Current. Compared to the ECSMR and ECSSR group, the ECSNR group occupied
- 293 higher latitude which means a lower SST, while the large input of Changjiang Diluted Water
- 294 decreased SSS (Fig. 1). This combination of lower SST and SSS probably hindered the
- 295 radiolarian diversity of the ECSNR (Table 1).
- 296 The radiolarian assemblages in the shallower sea, i.e., the shelf sea area of the ECS, displayed
- 297 distinctly different patterns from those in the open ocean. *Tetrapyle octacantha* occurred in the
- extraordinarily high proportion of 59% in the study area (Fig. 8), much higher than ever reported



- in adjacent areas with deeper waters (Chang et al., 2003; Cheng & Ju, 1998; Liu et al., 2017a;
- Wang & Chen, 1996). Tetrapyle octacantha, as the most abundant taxon in the subtropical area
- 301 (Boltovskoy, 1989), shows a high tolerance to temperature (Ishitani et al., 2008). This taxon has
- been reported to be associated with water from the ECS shelf area (Chang et al., 2003; Itaki,
- Kimoto & Hasegawa, 2010). Zhang et al. (2009) found that *T. octacantha* frequency was
- 304 negatively correlated with SST, and Welling & Pisias (1998) concluded that *T. octacantha*
- 305 dominated during the cold tongue period. In our study, *T. octacantha* was negatively related to
- 306 SST according to the results of the RDA (Fig. 7B), tending to confirm the previous studies. We
- 307 thus infer that *T. octacantha* is possibly more resistant to local severe temperature and, so,
- reaches comparatively high abundance in the shelf area. Therefore, *T. octacantha* ean serve as an
- 309 indicator that depicts the degree of mixture between the colder shelf water and warm Kuroshio
- 310 water. The response of *T. octacantha* to SSS was unclear, though it showed positive relationship
- with SSS in the RDA plot (Fig. 7B). Here a special station with the highest Shannon-Wiener's
- 312 index (3.2 in both original sample and subsample) was noticed, namely the station 3000-1 (Fig.
- 313 3), which is located at the Changiang estuary. In our study, it had the lowest value of salinity
- 314 (26.6psu) and the lowest percentage of T. octacantha (14.8%). After removed 3000-1, no
- significant correlation existed between SSS and the relative abundance of T. octacantha (n = 22,
- 316 r = -0.027, p = 0.906). Spongodiscus resurgens, with an upper sub-surface maximum, was
- 317 generally considered to be cold water species (Suzuki & Not, 2015) and related to productive
- 318 nutrient-rich water (Itaki, Minoshima & Kawahata, 2009; Matsuzaki & Itaki, 2017). The ECSNR
- 319 group was primarily controlled by the colder Changiang Diluted Water, and thus had the highest
- 320 percentage of *T. octacantha* and *Spongodiscus resurgens* among three regions.

321 Conclusions

- We analyzed radiolarian assemblages collected from the YS and ECS shelf area, where the
- 323 Kuroshio Current and its derivative branches, including the TWC and Yellow Sea Warm
- 324 Current, exerts great effect.
- 325 (1) The radiolarian abundance in the YS was quite low, and no radiolarians were detected in 15
- 326 of 25 YS sites.
- 327 (2) The radiolarian abundance and diversity in the ECS, which is controlled by the Kuroshio
- warm water, was much higher. Based on the cluster analysis, the radiolarian assemblages in the
- 329 ECS could be divided into three regional groups, namely the ECSNR group, ECSMR group and
- 330 ECSSR group.
- a. The ECSNR group was chiefly impacted by the Changjiang Diluted Water and Kuroshio
- 332 Current, with dominant species of *T. octacantha*, *Didymocyrtis tetrathalamus*, and *Spongodiscus*
- 333 resurgens.
- b. The ECSMR group was controlled by the Kuroshio Current, TWC and Changjiang Diluted
- Water. Species contributed most to this group included *T. octacantha*, *Didymocyrtis*
- 336 tetrathalamus, Dictyocoryne group, Stylodictya multispina, and Spongodiscus resurgens.
- 337 c. The ECSSR group was affected by the Kuroshio Current and TWC, in which the TWC
- occupies major status. The dominant species in this group were composed of *T. octacantha*,



- 339 Didymocyrtis tetrathalamus, Dictyocoryne group, Spongaster tetras, Z. piscicaudatus, P.
- 340 pylonium, Stylodictya multispina, and Euchitonia furcata.
- 341 (3) The RDA results showed that SST and SSS were main environmental variables that
- influenced the radiolarian composition in the ECS shelf.

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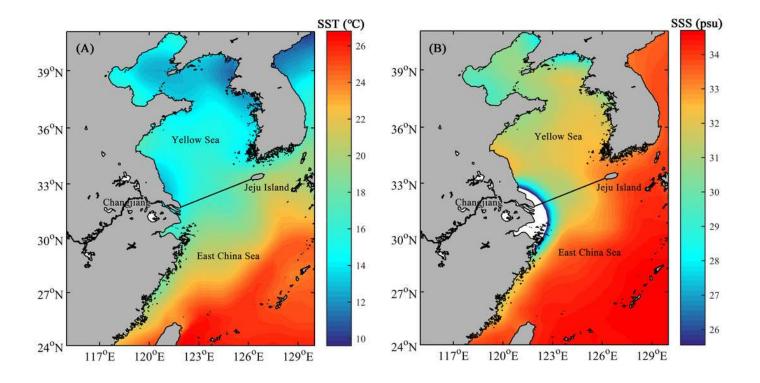
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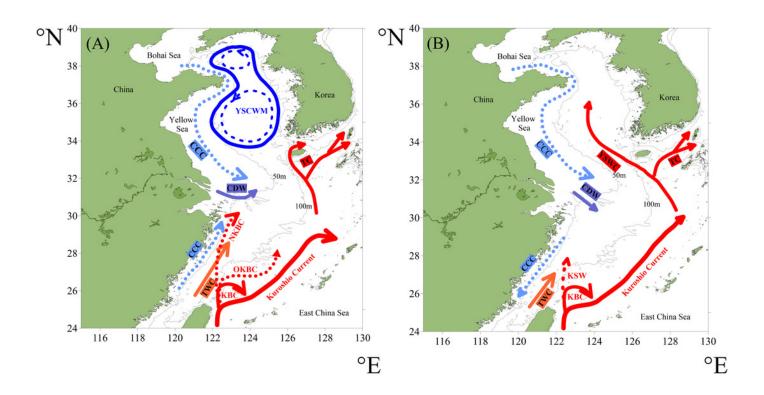
The mean annual sea surface temperature (SST, A) and sea surface salinity (SSS, B) in the shelf area of the ECS and YS.

Solid line indicates the boundary between the ECS and YS.



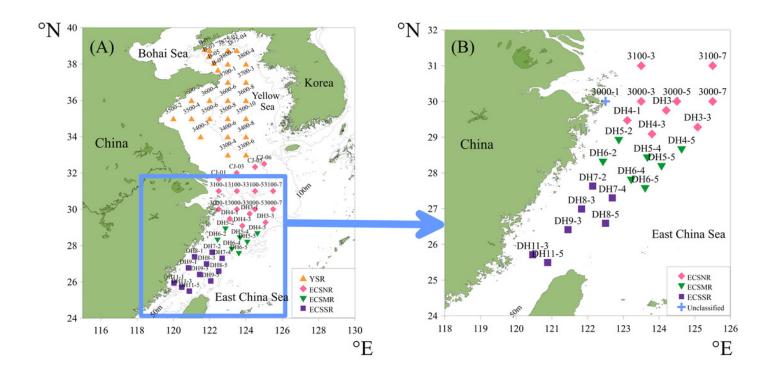
The circulation system of the study area in summer (A) and winter (B) (redrawn after Yang et al. (2012) and Pi (2016)).

Abbreviations: KBC - Kuroshio Branch Current, OKBC - Offshore Kuroshio Branch Current, NKBC - Nearshore Kuroshio Branch Current, KSW - Kuroshio Surface Water, TWC - Taiwan Warm Current, CCC - China Coastal Current, CDW - Changjiang Diluted Water, YSCWM - Yellow Sea Cold Water Mass, YSWC - Yellow Sea Warm Current, TC - Tsushima Current.





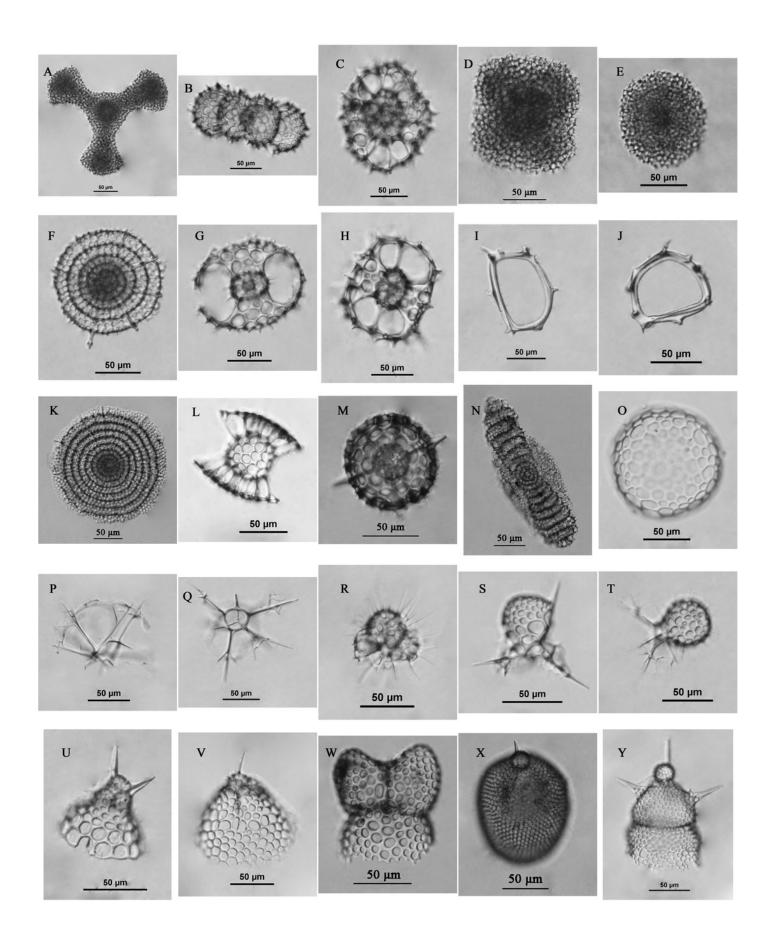
The location of the total surface sediment samples in the ECS and YS shelf area (A), and simplified 24 samples with a threshold of 100 tests (B).





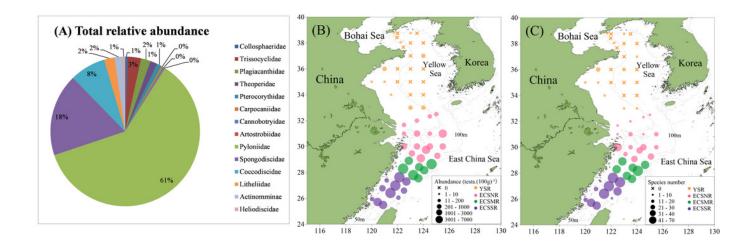
Some radiolarians encountered in this study.

A. Dictyocoryne group; B. Didymocyrtis tetrathalamus (Haeckel); C. Phorticium pylonium Haeckel; D. Spongaster tetras Ehrenberg; E. Spongodiscus resurgens Ehrenberg; F. Stylodictya multispina Haeckel; G-H, Tetrapyle octacantha group Mueller; I-J, Zygocircus piscicaudatus Popofsky; K. Flustrella polygonia (Popofsky); L. Sethodiscus macrococcus Haeckel; M. Hexacontium pachydermum Jorgensen; N, Amphibrachium sponguroides Haeckel; O, Collosphaera sp.; P-Q, Pseudocubus obeliscus Haeckel; R, Acanthocorys castanoides Tan & Tchang; S, Peromelissa spinosissima Tan & Tchang; T, Peridium sp.; U. Cycladophora bicornis (Popofsky); V. Helotholus histricosa Jorgensen; W. Phormospyris stabilis stabilis (Goll); X. Lithopera bacca Ehrenberg; Y. Lipmanella dictyoceras (Haeckel). Scale bar = 50 µm.





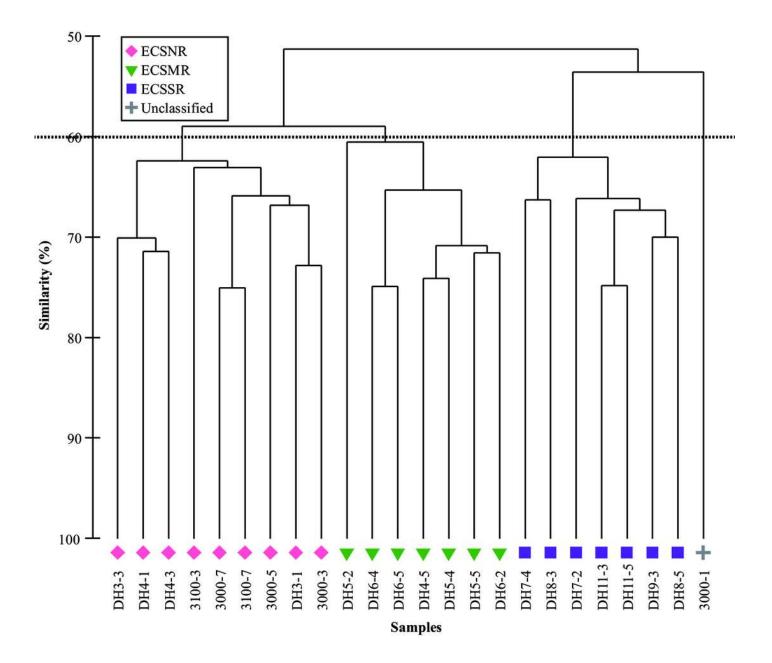
Total relative abundance (A), absolute abundance (B), and species number (C) of the radiolarians in the surface sediments.





Cluster analysis of radiolarian assemblages in the ECSNR, ECSMR and ECSSR.

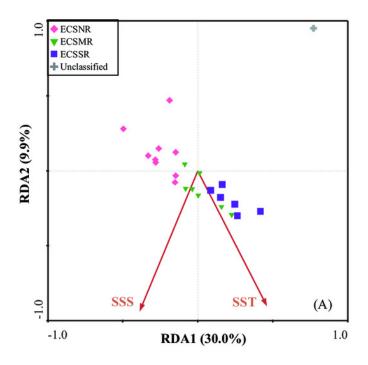
The dotted line represents 60% similarity level.

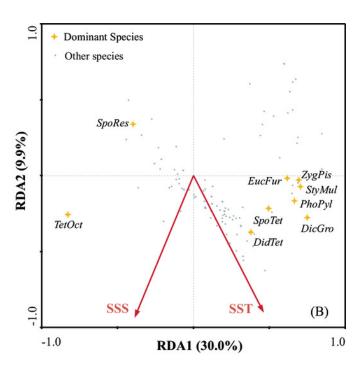




The redundancy analysis (RDA) ordination: (A) samples, (B) species.

Species codes: DicGro – Dictyocoryne group, DidTet – Didymocyrtis tetrathalamus, EucFur –Euchitonia furcata, PhoPyl – Phorticium pylonium, SpoTet – Spongaster tetras, SpoRes – Spongodiscus resurgens, StyMul – Stylodictya multispina, TetOct – Tetrapyle octacantha, ZygPis – Zygocircus piscicaudatus (in alphabetical order).







Distribution of the dominant radiolarian species, SST, and SSS in the ECSNR, ECSMR, ECSSR.

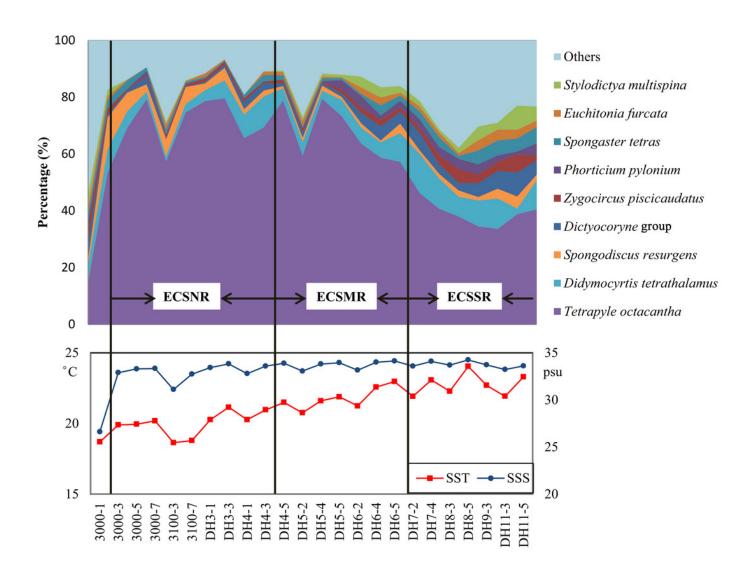




Table 1(on next page)

The average values and standard errors (mean \pm SE) of abundance and diversity indices in different regions (ECSNR, ECSNR, ECSSR).

Different lowercase a, b and c indicate significant differences among regional groups.

Abbreviations: N, Abundance (tests.(100g)⁻¹); S, species number; H' (log_e), Shannon-Wiener's index; S_{sub} , species number of subsamples; H'_{sub} (log_e), Shannon-Wiener's index of subsamples.



Diversity index	ECSNR $(n = 9)$	ECSMR $(n = 7)$	ECSSR $(n = 7)$
\overline{N}	811 ± 121^{a}	2776 ± 463^b	2729 ± 770^{c}
S	21 ± 1^a	38 ± 1^b	48 ± 5^{b}
H'	1.35 ± 0.10^{a}	1.61 ± 0.13^{b}	2.65 ± 0.08^c
$S_{ m sub}$	11 ± 1^{a}	16 ± 1^b	26 ± 2^c
$H'_{ m sub}$	1.22 ± 0.11^{a}	1.35 ± 0.13^{b}	2.43 ± 0.10^{c}

1



Table 2(on next page)

Average relative abundance, contribution (%) and cumulative contribution (%) of the radiolarian fauna contributing to the similarity within each group. A cut-off at 50% similarity was employed.



Species	Av.Abund.	Contrib %	Cum %	
ECSNR group		Average similarity: 64.65%		
Tetrapyle octacantha group Mueller	69.67	41.70	41.70	
Didymocyrtis tetrathalamus (Haeckel)	5.65	9.79	51.49	
Spongodiscus resurgens Ehrenberg	4.81	8.89	60.38	
ECSMR group		Average similarity: 66.17%		
Tetrapyle octacantha group Mueller	67.24	31.00	31.00	
Didymocyrtis tetrathalamus (Haeckel)	5.54	8.11	39.11	
Dictyocoryne group	2.53	4.62	43.73	
Stylodictya multispina Haeckel	1.95	4.05	47.78	
Spongodiscus resurgens Ehrenberg	1.55	3.96	51.74	
ECSSR group		Average similarity: 65.02%		
Tetrapyle octacantha group Mueller	38.91	1 17.85 17.85		
Didymocyrtis tetrathalamus (Haeckel)	9.06	7.41	25.25	
Dictyocoryne group	5.26	5.95	31.20	
Spongaster tetras Ehrenberg	3.98	5.01	36.21	
Zygocircus piscicaudatus Popofsky	3.42	4.56	40.77	
Phorticium pylonium Haeckel	2.98	4.43	45.20	
Stylodictya multispina Haeckel	3.67	4.28	49.48	
Euchitonia furcata Ehrenberg	2.44	3.87	53.35	



Table 3(on next page)

□A□ Results of the RDA for the radiolarian assemblages and environmental variables. □B□ Conditional effects of the total environmental variables in the RDA with the significant variables in bold.



	Axes				
					Total
	1	2	3	4	Inertia
Eigenvalues	0.300	0.099	0.039	0.024	1
Species-environment correlations	0.955	0.971	0.816	0.772	
Cumulative percentage variance of					
species data	30.0	39.9	43.8	46.2	
Cumulative percentage variance of					
species-environment relation	65.1	86.5	94.8	100	
Sum of all eigenvalues					1
Sum of all canonical eigenvalues					0.462

1

Conditional Effects					
	Effects				
Variable	VIF	LambdaA	% contribution to canonical eigenvalues	p	F
SST	1.86	0.14	30%	0.004	3.34
SSS	2.76	0.24	52%	0.001	8.01
Clay%	1.02	0.05	11%	0.086	1.43
Phospate	2.69	0.03	6%	0.301	1.16

3



Table 4(on next page)

The Spearman correlation between diversity indices and the environmental variables. Values of significant correlations are in bold.

Different lowercase a, b and c indicate significant differences among regional groups.

Abbreviations: N, Abundance (tests.(100g)⁻¹); S, species number; H' (log_e), Shannon-Wiener's index; S_{sub} , species number of subsamples; H'_{sub} (log_e), Shannon-Wiener's index of subsamples.

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Diversity index	n -	SST		SSS		
Diversity illuex	n –	r	p	r	p	
\overline{N}	24	0.60	0.00	0.47	0.02	
S	24	0.69	0.00	0.55	0.01	
H'	24	0.50	0.01	0.11	0.62	
$S_{ m sub}$	24	0.60	0.00	0.28	0.19	
H'_{sub}	24	0.41	0.04	0.02	0.92	

1