

1 **Genetic variation and selection in major histocompatibility complex Class II gene in Guizhou pony**

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8 **ABSTRACT**

9 The Guizhou pony (GZP) is an indigenous species of equid^{??}, and raised in mountain district of Guizhou
10 province, Southwest of China. To assess the diversity of the major histocompatibility complex (MHC) class II
11 gene in GZP, four regions of Equine leukocyte antigen (ELA) involving in *DQA*, *DRA*, *DQB* and *DRB* were
12 chosen to investigate by direct sequencing technology. Compared with other three loci, *DRA* harbored the
13 lowest d_N/d_S ratio (0.560), which indicated that the *DRA* was conserved and might be under a purifying
14 selection. Many codons in four *ELA* regions were screened out to undergo the significant positive selection
15 occurring at the antigen binding sites (ABS), including nine *DQA*, five *DQB*, nine *DRA* and seven *DRB* codons.

16 The selected residues in ABS would play a significant role in the innate immune system of GZP. Furthermore,
17 two alleles of GZP were shared with Przewalski horse, and six older haplotypes of GZP were much close
18 among GZP, European horse, Przewalski horse and wild ass by one or two mutational steps, providing another
19 clue for GZP as a natural ancient variety. The specific diversity of ABS sites and the numbers of unique
20 haplotypes implied to significant evolution process for the species in a better fitness and adaptation to the
21 indigenous hard environment for the pony inhabited.

22 **Keywords**

23 Guizhou pony, MHC, antigen binding sites, evolution process, adaptation

24

25 **INTRODUCTION**

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27 The major histocompatibility complex (MHC) genes play a major role in vertebrate immune systems and have
 28 a high degree of genetic diversity, which is associated with adaptation and evolution (Reed et al., 2003). The
 29 MHC system could be clustered into two classes, including class I and class II, which are key parts of the
 30 immune system (Hughes et al., 1988). The MHC class II are highly polymorphic involved in the immune
 31 response by presenting extracellular antigens to T lymphocyte cells. These molecules are heterodimers
 32 constituted by α and β chains encoded by A and B genes. Most previous studies showed that exon 2 of MHC
 33 class II genes exhibited the greatest polymorphism and encoded the α and β domains that are principally
 34 responsible for peptide binding (O'Connor et al., 2007). Frequently, the polymorphism of MHC loci has been
 35 found to associated with the differences in the susceptibility to infectious diseases (Hill et al., 2001), especially
 36 in sheep (Paterson et al., 1998), mouse (Meyer-Lucht et al., 2005), voles (Kloch et al., 2010) and lemur (Schad
 37 et al., 2005). The equine MHC class II loci may also help to interpret associations between the polymorphism
 38 of equine MHC class II gene region and the encountered pathogens of the horse (Miller et al., 2017).

39 MHC variants play key roles in mate preference, kin recognition and maternal-fetal interactions (Edwards
 40 et al., 1998; Bernatchez et al., 2003; Piertney et al., 2006). These diverse functions and characteristics of MHC
 41 molecules reflected evolutionary relevant and adaptive processes within and between populations (Sommer et
 42 al., 2005). It has been extensively studied that the mechanisms of negative frequency-dependent and over-
 43 dominant selection in MHC genes. The correlative and experimental support for the negative frequency-
 44 dependent selection at MHC genes has been provided in humans (Trachtenberg et al. 2003), reed warbler
 45 population (Westerdahl et al. 2004), mice (Kubinak et al. 2012), stickleback (Eizaguirre et al. 2012; Bolnick
 46 and Stutz 2017) and guppies (Phillips et al. 2018). Examples of asymmetric overdominant selection have been
 47 shown in a number of natural and laboratory populations (Landry et al. 2001; Richman et al. 2001; Lenz et al.
 48 2009; Schwensow et al. 2010; Lenz et al. 2013), and supported by several computer-based binding prediction
 49 studies (Lenz 2011; Lau et al. 2015; Buhler et al. 2016; Pierini and Lenz 2018). At present, there are three
 50 primary sources of evidence to support balancing selection (i) elevated levels of polymorphism, (ii) the rates
 51 of nonsynonymous (d_N) to synonymous (d_S) of nucleotide substitutions (Hughes et al., 1988 & Hughes et al.,
 52 1989), and (iii) trans-species polymorphisms with alleles among species (Klein et al., 1993). The d_N/d_S ratio
 53 has been widely used to measure selective pressure on genes (Yang et al., 2000), and substantially differing

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61 rates of evolution across the MHC genes (Hughes et al., 1995). Furthermore, MHC variation within species
62 and interspecies is proved to be useful to determine selection effect in various mammals (Cutrera et al., 2007).

63 In the family Equidae, the horse MHC class II gene, i.e. Equine leukocyte antigen (ELA) class II, is
64 located on the short arm of chromosome 20q14-q22 (Mäkinen et al., 1989; Ansari et al., 1988), and contains
65 the *DQA*, *DQB*, *DRA* and *DRB*. The *DQA* and *DRA* genes encode for the α -chain of ELA class II molecules,
66 and the polymorphism of *DQA* and *DRA* genes have been determined in European equids (Luis et al., 2005;
67 Janova et al., 2009; Kamath et al., 2011). The *DQB* and *DRB* genes encode the β -chain of ELA class II complex,
68 and high level of *DRB* and *DQB* polymorphism have been reported for Arabian and European horses (Fraser
69 et al., 1996; Mashima et al., 2003). Site-specific methods have found elevated d_N/d_S ratios at these antigen
70 binding sites (ABS), suggesting substantially differing rates of evolution across the MHC. The Exon2 code for
71 a part of the pocket of the MHC molecules, within this sequence some of the amino acid residues are called
72 antigen binding site (ABS), which are much diverse. Previous reports indicated that the exon2 of ELA class II
73 gene is rich in genetic variation among horse populations (Kamath et al., 2011).

74 The Guizhou pony (GZP) is an indigenous species raised in Guizhou province started from the Warring
75 States Period (475-221 B.C.), ancient China. It is one of the five Chinese pony species, and the body height is
76 only 1.1 meters (10-11 hands). Plenty of nucleotide polymorphism have been determined from several pony
77 populations derived from Irish, Canadian and China native breeds by using mtDNA/SSR markers (McGahern
78 et al. 2006; Prystupa et al. 2012). The purpose of present study is to analyze the variation in MHC II exon 2 of
79 *DQA*, *DRA*, *DQB*, and *DRB* regions, and the relationship with selection and evolution of GZP.

80 MATERIAL AND METHODS

81 Animal collection and DNA isolation

82 A total of 50 blood samples of 4 to 8 years old Guizhou pony (GZP) were collected from Ziyun county, Anshun,
83 Guizhou Province, China. All animal procedures were approved by the Institutional Animal Care and Use
84 Committee of Guizhou University (Approval number EAE-GZU-2018-P007). All sampled ponies were
85 randomly selected and well-developed in good health. The heights of GZP were from 102 to 118 cm in height
86 with weight between 210 to 265 kg. Blood samples of pony were collected from jugular vein and kept in

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89 anticoagulants EDTA.Na2. All samples were stored at -20°C until DNA extraction. Genomic DNA was
90 extracted from blood samples using SQ Blood DNA Kit (OMEGA, USA). Nucleic acid concentration of the
91 extracted genomic DNA from GZP was calculated by determination of OD260/OD280, and detected by 0.7%
92 agarose gel electrophoresis.

93 **PCR amplification, cloning and sequencing**

94 The exon 2 regions of the *ELA-DQA*, *DQB*, *DRA* and *DRB* genes were amplified from genomic DNA by PCR
95 method with specific primers. We amplified 246 bp of the *DRA* using equid-specific primers *DRA*-F and *DRA*-
96 R(AlbrightFraser, et al., 1996), 246 bp of the *DQA* using the primer *DQA*-F and *DQA*-R (Fraser et al., 1998),
97 276 bp of the *DRB* using the primer *DRB*-F and *DRB*-R(Fraser et al., 1996), 230 bp of the *DQB* using the
98 primer *DQB*-F and *DQB*-R (Mashima et al., 2003), and synthesized by the Bio-Engineering (Shanghai)
99 company (**Table 1**). The PCR volume was 20 µL, which contained 10 µL of 2 × PCR Mixture (0.1 U Taq Plus
100 Polymerase/µL, 500 µM dNTP each, 20 mM Tris-HCl (pH8.3), 100 mM KCl, 3 mM MgCl₂), 0.4 µL of
101 upstream/downstream primers (10 µmol/L) and 1 µL templates. The PCR amplification was carried out with
102 initial denaturation at 95 °C for 5 min, followed by 30 cycles (95 °C for 30 s, 58 °C for 30 s, and 72 °C for 30
103 s), final extension at 72°C for 10 min. PCR products were extracted and purified with the Gel Extraction Kit
104 (OMEGA, USA), and ligated into pGEM®-T vectors and transformed into *E. coli* competent cells. Twenty
105 positive clones per sample were picked out with a sterile toothpick and detected by Sanger sequencing method
106 (Invitrogen, China). Each allele was confirmed for at least **two observations**, meaning that it could be accepted
107 **more than one homozygous individual or two heterozygous individuals to be included in the following analyses.**

108 **DNA sequence polymorphism analysis**

109 The base composition of **the** *DRA*, *DRB*, *DQA* and *DQB* **genes was counted** by MEGA7 software (Kumar et
110 al., 2016). Standard descriptive diversity indices for each locus within the GZP were calculated using MEGA7
111 software, including the variable sites (V), parsim-info sites (P), singleton sites (S), transition/transversion bias
112 ratio (R). In considering the nature of the variable region, it is of importance to ascertain whether the variability
113 is uniformly distributed or is confined to small segments of the variable regions. Thus, the variation of amino
114 acids calculated by the mutation rate (Variability = The number of different amino acids at a certain

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117 position/Frequency of the most common amino acids at this position) (Wu et al., 1970). Selection was
118 estimated using MEGA7 software in terms of the relative rates of nonsynonymous (d_N) and synonymous (d_S)
119 mutations, according to the Nei and Gojobori's method with the Jukes and Cantor (JC) correction (Nei et al.,
120 1986). Z-Test ($P < 0.05$) of selection were performed over all sites, under the null hypothesis of neutrality (d_N
121 $= d_S$) and the alternative hypotheses of non-neutrality ($d_N \neq d_S$), positive selection ($d_N > d_S$), and purifying
122 selection ($d_N < d_S$).

123 Site-specific selection analyses and protein 3D structure analysis

124 The nonsynonymous and synonymous substitution in the overall domain as well as antigen binding site (ABS)
125 and non-ABS were estimated for *DQA*, *DQB*, *DRA* and *DRB* allele. Positive selection was assessed by CodeML
126 subroutine in PAML program (Yang, 2007). The PAML procedure was considered to more sensitive than other
127 methods for assessing selection at the molecular level (Anisimova et al. 2001). The PAML program used
128 maximum likelihood estimation to examine heterogeneity in rates of $\omega = d_N/d_S$ among codons (Bielawski et
129 al., 2003). Compared to other methods, the PAML program sensitively detected molecular evidence of
130 selection (Anisimova et al., 2003). In this analysis, we assessed heterogeneity in ω ($\omega < 1$: purifying selection,
131 $\omega = 1$: neutral evolution, $\omega > 1$: positive selection) across the four alleles (*DQA*, *DQB*, *DRA* and *DRB*) to
132 identify codons under positive selection. Observed ω value followed six models in PAML: M0 (one ratio,
133 average ω across all sites), M1a (nearly neutral), M2a (positive selection), M3 (discrete), M7 (beta) and M8
134 (beta and omega) (Yang et al., 2000). Predictions of the *DQA*, *DQB*, *DRA* and *DRB* protein structure were
135 performed online using the SWISS-MODEL program (Biasini et al., 2014; Waterhouse et al., 2018)
136 (<https://swissmodel.expasy.org/interactive>).

137 Phylogenetic allele networks

138 We inferred phylogenetic relationships among sequence haplotypes by constructing median-joining haplotype
139 network (Bandelt et al., 1999) using maximum parsimony by Network 4.6.1 ([http://www.fluxus-](http://www.fluxus-engineering.com/sharenet.htm)
140 [engineering.com/sharenet.htm](http://www.fluxus-engineering.com/sharenet.htm)). Allele frequency information and population proportion were incorporated
141 into the visualization of the network. Sequences from the horse (*E. caballus*, *E. przewalskii*, *E. burchellii*, *E.*
142 *asinus* and so on) were incorporated to evaluate the distance from Guizhou pony haplotypes (Table 2).

143 RESULTS

144 Analysis of nucleotide diversity

145 A total of 184 alleles were identified from 1000 sequencing data, and the effective numbers of alleles was 118.
146 It contained 18 novel *DQA* alleles (GenBank accession number: MT304744 - MT304761), 38 new *DQB* alleles
147 (MT304705 - MT304743), 22 new *DRA* alleles (MT304762 - MT304783) and 28 new *DRB* alleles (MT304784
148 - MT304811) (Table S1). The alignment result listed in Table S1 for all the effective alleles from *DQA*, *DQB*,
149 *DRA*, *DRB* and sequences of JQ254059, AF034122, AJ575295, and AF144564. Based on *DQA*, *DQB*, *DRB*
150 alignment results, it revealed considerable sequence diversity within the same genus. The diversity of
151 nucleotides in *DRA* is much lower than that in *DQA/B* and *DRB* in GZP, which, however, is comparable with
152 the level of nucleotide diversity in *DRA* from other species within *Equus* genus (Kamath et al., 2011). Within
153 GZP, genetic diversity was much higher in *DQA*, *DQB* and *DRB* than that in *DRA*, the ratio (Variable
154 sites/Length) was the lowest at *DRA* locus (15.04%), and the highest at *DQB* locus (46.08%).

155 Analysis of Nucleotide compositions

156 The GC content in *DQB* and *DRB* were higher than *DQA* and *DRA* (Table S2). The content of G+C (48.10 %)
157 was slightly less than A+T (51.90 %) at *DQA*, and the content of G+C (48.10 %) was litter lower than A+T
158 (51.90 %) at *DRA*, which revealed that *DQA* and *DRA* possessed lower GC percentages. On the contrary, the
159 base composition of G+C (64.20 %) was higher than A+T (35.80 %) in *DQB* alleles, and the base composition
160 of G+C (61.90 %) was much more than A+T (38.10 %) in *DRB* alleles, which showed that *DQB* and *DRB*
161 contained higher GC content area. The R (Transitions/Transversions) was 1.357 and 2.241 at *DQA* and *DRA*
162 allele, respectively. In contrast, it was opposed with the R of 0.778 and 0.573 at *DQB* and *DRB* allele,
163 respectively. The results revealed that *DRA* locus was much more conservative compared with the other loci.

164 The amino acid composition analyses

165 It was deduced that the exon 2 of *DQA*, *DQB*, *DRA* and *DRB* nucleotide sequence encoded 82, 76, 81, 79
166 amino acid sequence, respectively. The underlined residues in Fig.1 indicated putative ABS based on the HLA
167 equivalents (Brown et al. 1988; Brown et al. 1993), which were thought to be the position to contact with
168 antigens peptide (Fig 1.A-D). Among of the predicted amino acid sites, 38 sites (45.12 %), 51 sites (67.10 %),
169 16 sites (19.75 %), and 49 sites (62.02 %) were variable at the *DQA*, *DQB*, *DRA* and *DRB* of GZP populations,

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171 respectively. For the ABS sites, 17 of 21 sites (80.95 %), 12 of 18 (66.67 %) sites, 5 of 20 (25.00 %) sites, and
 172 13 of 14 (92.85 %) sites were diverse at *DQA*, *DQA*, *DQB*, *DRA* and *DRB* loci. At ABS, the amino acid
 173 composition of *DQA*, *DQB*, *DRA* and *DRB* were calculated by MEGA 7 software (Fig. 2). The polar R-amino
 174 acids at *DQA* locus occupied the highest percentage (46.30 %), which consisted of Gly, Cys, Ser, Tyr, Thr, Asn,
 175 Gln (Fig. 2). The non-polar R-amino acids at *DRA* locus occupied the highest percentage (58.86 %), which
 176 consisted of Ala, Leu, Val, Trp, Ile, Phe, Pro, Met (Fig. 2). The largest proportion (30.70 %) of charged R-
 177 amino acids was located at *DQB*, composed of Arg, Lys, His, Glu, Asp (Fig. 2).

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178 Global selection analyses

179 Not all the variable amino acids were selected based on Wu-Kabat variability index (Wu et al., 1970). The
 180 Wu-Kabat variability index with respect to amino acids at peptide-binding pockets was calculated using the
 181 formula given by Wu and Kabat (Wu et al., 1970). At *DQA* locus, total of fifteen amino acids were strongly
 182 selected at 10, 17, 18, 21, 23, 30, 46, 51, 52, 58, 60, 61, 62, 65 and 72, with residue 60 the highest variability
 183 (Fig.3). At *DQB* locus, many polymorphic sites were observed, with eight high mutation loci at 16, 27, 38, 46,
 184 47, 57, 61 and 65 residues (Fig.3). However, 12 residues were found to be polymorphic at *DRA* locus, including
 185 residues 12, 14, 15, 19, 29, 39, 47, 49, 63, 64, 67 and 69 (Fig.3). At *DRB* locus, amino acid residues at six
 186 different positions had high values (more than 30) of Wu-Kabat variability index, the strongly selected amino
 187 acid regions could be found at residues 1, 2, 4, 5, 6, 7, 8, 12, 19, 28, 36, 47, 48, 50, 56, 58, 61, 62, 65 and 69
 188 (Fig.3). Compare of the d_N/d_S ratio averaged across the whole coding region suggested that positive selection
 189 occurred at loci *DQB* ($d_N/d_S = 1.127$, $p=0.322$) and *DRB* ($d_N/d_S = 1.228$, $p=0.202$), and purifying selection
 190 appeared at *DQA* ($d_N/d_S = 0.779$, $p=0.143$) and *DRA* ($d_N/d_S = 0.560$, $p=0.069$) (Table 3). All codon sites were
 191 not statistically significant by Z-tests ($p > 0.05$, Table 3). The estimates of d_N/d_S suggested that both of *DQA*
 192 and *DRA* was unlikely affected by the positive selection at the level of the entire gene.

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193 Site-specific selection analyses

194 It is unlikely for selection to act uniformly across MHC? genes over evolutionary time, but more probable
 195 for it to occur at specific sites based on their functional role. For the ABS sites, the rate of nonsynonymous
 196 substitutions ($d_N = 0.594 \pm 0.132$) exceeded four times than the number of synonymous substitutions
 197 ($d_S = 0.128 \pm 0.080$) at the *DRB* (Table 3). These results are agreement with that observed in the Argentine Creole

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198 horse, which exhibited rate of nonsynonymous substitutions more than four times the number of synonymous
 199 substitutions at the exon 2 of *ELA-DRB* (Díaz et al., 2001). For the *DQA* and *DQB*, the ABS sites rate of
 200 synonymous substitutions and nonsynonymous substitutions was much close to each other ($d_N=0.330\pm0.088$,
 201 $d_S=0.287\pm0.110$; $d_N=0.206\pm0.079$, $d_S=0.133\pm0.076$, respectively) (**Table 3**). At the *DRA*, ABS sites exhibited
 202 less nonsynonymous substitutions ($d_N=0.017\pm0.008$) than synonymous substitutions ($d_S=0.028\pm0.022$) with
 203 the d_N/d_S ratio of 0.607(**Table 3**). For the *DRB*, Z-tests performed on ABS separately were significant ($p =$
 204 0.001), providing evidence for positive selection at these sites. However, we could not reject the null hypothesis
 205 of neutral evolution at the non-ABS (**Table 3**). At the *DQA*, *DQB* and *DRA*, Z-tests by site type also could not
 206 reject the null hypothesis of neutrality ($p > 0.05$). In contrast, non-ABS sites showed more synonymous
 207 substitutions than nonsynonymous substitutions with the d_N/d_S ratio of 0.581, 0.895, 0.520 and 0.678 at the
 208 *DQA*, *DQB*, *DRA* and *DRB*, respectively (**Table 3**).

209 However, for four loci, results from the selection analyses in PAML revealed different levels of selection
 210 (**Table 4**). The variable evolutionary rates across codon sites (M3) fit the data better than the M0 model, and
 211 models (M2a and M8) had higher log-likelihoods than positive selection (M1a and M7). At the *DQA*, both
 212 M2a and M8 models implied that approximately 2 % of sites were possibly under positive selection ($\omega = 8.583$,
 213 $\omega = 8.425$) (**Table 4**). Posterior means of ω estimated across *DQA* codons under positive selection models
 214 predicted fourteen sites (positions 10, 17, 18, 30, 46, 51, 52, 60, 61, 65, 68, 69, 70, 72) that may be under
 215 selection ($\omega > 1$), nine (10, 30, 46, 51, 52, 61, 65, 68, and 72) of which are also putative ABS based on the
 216 HLA equivalents (**Fig. 4**). However, the discrete model (M3: 3 discrete evolutionary rate classes) had the
 217 highest log-likelihood and estimated that only 6.6 % of codon sites had ω values greater than one ($\omega = 10.031$)
 218 with the remaining 93.4 % of sites being assigned ω values close to 0 (**Table 4**).

219 However, posterior means of ω across *DQB* codon sites estimated by models M2a ($\omega=6.240$) and M8
 220 ($\omega=6.373$), and predicted that only five codons (positions 16, 27, 47, 57, 61) were under significant positive
 221 selection. These five codons were also known as putative ABS based on the HLA equivalents (**Fig. 4**). At the
 222 *DQB*, M3 model estimated that approximately 8 % of codon sites had ω values greater than one (7.3 % with ω
 223 $= 1.283$; 0.6 % with $\omega = 6.935$) with the remaining 92 % of sites being assigned ω values close to 0 ($\omega = 0.054$)
 224 (**Table 4**).

225 For the *DRA*, M3 model estimated that only 6.6 % of codon sites had ω values greater than one ($\omega =$
 226 10.031) with the remaining 93.4 % of sites being assigned ω values close to 0 (**Table 4**). Moreover, posterior
 227 means of ω across *DRA* codon sites estimated by models M2a ($\omega=10.286$) and M8 ($\omega=10.323$), predicted that
 228 nine codons (positions 12, 14, 15, 16, 18, 19, 49, 64, 68) were under significant positive selection. But, only
 229 two sites (19 and 49 sites) were also known as putative ABS based on the HLA equivalents (**Fig. 4**).

230 Furthermore, we found that M3 model estimated that 14.6 % of codon sites had ω values greater than one
 231 ($\omega_1=1.351$, $\omega_2=6.823$) at the *DRB* (**Table 4**), and higher than other MHC calss II *DQA*, *DQB* and *DRA*. The
 232 posterior means of ω across *DRB* codon sites estimated by models M2a ($\omega=5.972$) and M8 ($\omega=5.961$), and
 233 predicted that twelve codons (positions 1, 2, 23, 28, 47, 48, 58, 61, 62, 65, 69, 77) were under significant
 234 positive selection, seven (2, 28, 48, 58, 61, 69, and 77) of which are also putative ABS based on the HLA
 235 equivalents (**Fig. 4**).

236 Evolutionary analysis

237 The haplotype median network of *DQA*, *DQB*, *DRA* and *DRB* between GZP and known horse species (*Eqca*,
 238 *E.callabus*; *Eqpr*, *E.przewalski*; *Eqki*, *E.kiang*; *Eqgr*, *E.grevyi*; *Eqas*, *E.asinus*; *Eqbu*, *E.burchelli*; *Eqze*,
 239 *E.zebra*; *Eqhe*, *E.hemionus*.) in GenBank were plotted by software NetWork 4.6. Owing to the presence of
 240 loops in the network, we could not determine the genealogy of the *DQA*, *DQB*, *DRA* and *DRB* (**Fig 5.A-D**).
 241 Therefore, some alleles seemed more likely to be ancestor based on their internal position in the network and
 242 on their greater numbers of mutational connections. At the *DQA* locus, these alleles seemed more likely to be
 243 ancestor, including *DQA1*, *DQA3*, *Eqca17* and *Eqca18*. Among these, allele *DQA1* appears to be ancestral for
 244 most alleles, namely *DQA12*, *DQA13*, *DQA14*, *DQA15*, *DQA9*, *Eqca10*, *Eqbu6*, *Eqca20*, *Eqas1*, *Eqas2*, *Eqbu5*,
 245 *Eqca19*, *Eqbu4*, *Eqbu12*. Three haplotypes of Przewalski wild horse *Eqpr* 3, *Eqpr4* and *Eqpr2*, were separated
 246 from *DQA3* by two mutational steps and are most closely related to GZP haplotypes. Meanwhile, the *DQA1*
 247 allele was shared among four species (*Eqca15*, *Eqgr1*, *Eqbu2* and *Eqze1*), *DQA2* was shared with *Eqca14*, and
 248 *DQA3* were shared between two species (*Eqca16* and *Eqbu20*). At *DQB* locus, allele *DQB1* appears to be
 249 ancestral for most alleles, including *DQB31*, *DQB23*, *DQB32*, *DQB10*, *DQB30*, *DQB33*, *DQB29*, *DQB3*,
 250 *DQB24*, *DQB34*, *DQB40*, *Eqas7* and *Eqas4*. We found that haplotype *DQB5* and *DQB13* were shared between
 251 *Eqca1* and *Eqca7*, respectively. For *DRA* locus, allele *DRA5* was shared between *Eqbu7* and *Eqca5*, and *DRA1*

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256 was shared with *DRA3*. Interestingly, allele *DRA1* seemed more likely to be ancestral for vast alleles,
257 containing twenty alleles of *DRA21*, *DRA15*, *DRA13*, *DRA8*, *DRA18*, *DRA7*, *DRA14*, *DRA6*, *DRA20*, *DRA19*,
258 *DRA17*, *DRA16*, *DRA2*, *DRA11*, *DRA10*, *DRA12*, *Eqca2*, *Eqca6*, *Eqca7*, and *Eqca8*. Haplotypes *Eqhe* and
259 *DRA9* were separated from *DRA1* by as two mutations step as are most closely related GZP haplotypes. Allele
260 *DRA5* seemed more likely to be ancestral, *Eqbu*, *Eqze*, *Eqgr*, *Egas* were separated from *DRA5* by as one or two
261 mutations step and are most closely related to GZP haplotypes. Most of *DRB* alleles were found to disperse in
262 the whole network, and much more genetic relationship presented between GZP and the other horse species.
263 Wild ass haplotypes *Eqas3*, *Eqas4* and *Eqas6* were separated from *DRB28* by as one mutational step as are
264 most closely related GZP haplotypes. Furthermore, the haplotypes *DRB2* (*Eqpr1*) and *DRB3* (*Eqpr2*) were
265 shared by GZP and Przewalski's horse. The haplotypes *DRB1* (*Eqca5*), *DRB2* (*Eqca12*), *DRB4* (*Eqca7*), *DRB5*
266 (*Eqca1*), *DRB15* (*Eqca2*) and *DRB23* (*Eqca8*), were shared between GZP and European horse.

267 DISCUSSION

268 This study found elevated levels of diversity at four ELA class II gene regions, *DQA*, *DQB*, *DRA* and *DRB*,
269 with the contribution of many novel alleles identified from GZP. The data provided evidence for within-species
270 variation in the numbers of alleles, in which total of 21 *DQA* alleles, 45 *DQB* alleles, 22 *DRA* alleles and 31
271 *DRB* alleles were unequivocally identified from the GZP.

272 In four GZP loci, the *DRA* locus was relatively conservative compared with the other three loci. The
273 alignments of *DQA*, *DQB*, and *DRB* genes revealed considerable sequence diversity, in contrast, *DRA*
274 contained much lower diversity of nucleotide. It is consistent with the level of nucleotide diversity at genus
275 *Equus* *ELA* genes reported by Kamath *et al* (2011). The polymorphism of *DQB* was the highest, the ratio of
276 polymorphic sites was 46.08 %, and followed by *DRB* and *DQA*. The polymorphism of *DRA* was the lowest
277 level, only 15.04 %, which was consistent with the results of *DRA* locus in dogs (Wagner *et al.*, 1999), cats
278 (Yuhki *et al.*, 1995), goats (Takada *et al.*, 1998) and pigs (Chardon *et al.*, 1999). It is reported that the ELA
279 gene diversity was important for immune functions involving the resistance and susceptibility to pathogens
280 (Trowsdale *et al.*, 2004), with a probable mechanism of gene selection in the evolution process of pony (Penn
281 *et al.*, 1999).

282 We detected the balancing selection events by determining the rate of non-synonymous/synonymous

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285 substitutions (d_N/d_S ratio) of nucleotide~~s~~, as well as d_N/d_S test. It revealed a high genetic variability at *DQA*,
 286 *DQB*, *DRA*, and *DRB* loci. Similar to the low polymorphism detected by sequence alignment, d_N/d_S ratio (d_N/d_S
 287 $=0.560$) at the *DRA* locus was the lowest. It is well known that one reason for the number of synonymous
 288 substitutions greater than that of non-synonymous is due to strong function~~al~~ and structural constraints on the
 289 protein (Kamath et al., 2011). The low level of polymorphism observed from locus *DRA* might ~~be~~ attributed to
 290 the high selective pressure during the horse survival (Albright-Fraser et al., 1996).

291 The selection effective of four *ELA* loci were further analyzed by using PAML program. We found that
 292 nine *DQA* codons, five *DQB* codons, nine *DRA* codons and seven *DRB* codons under significant positive
 293 selection, and most of them were predicted to be the ABS of *ELA*. Based on swiss-model prediction (Fig. 4),
 294 these amino acids under site-specific selection located on the protein surface, which consisted of the inner
 295 surface of MHC cleft to bind peptide in the antigen presentation (Madden et al., 1995). Several reports indicated
 296 that the diversity and nonsynonymous mutations at the ABS site could increase the host ability to recognize
 297 pathogens (Hughes et al., 1988; Hughes et al., 1989). Obviously, the different rates of non-synonymous and
 298 synonymous substitutions in *DQA*, *DQB*, *DRA* and *DRB* had a close connection with the ABS changes in the
 299 GZP. In particular, the d_N/d_S ratio in the ABS site was greater than that in the non-ABS region at the *DQA*,
 300 *DQB* and *DRB* loci, and this feature is common in Argentine Creole horse (Díaz et al., 2001). The d_N/d_S ratio
 301 of ABS, higher than the other regions, has been explained by balancing selection (Albright-Fraser et al., 1996),
 302 and the positive selection results in MHC polymorphism (Yang et al., 2000).

303 The diversity of *ELA* genes might harbor the evolutionary relationship of GZP with the other horse. The
 304 haplotype median network of *DQA*, *DQB*, *DRA* and *DRB* between GZP and the reported horses (*Eqca*, *E.*
 305 *callabus*; *Eqpr*, *E. przewalski*; *Eqki*, *E. kiang*; *Eqgr*, *E. grevyi*; *Eqas*, *E. asinus*; *Eqbu*, *E. burchelli*; *Eqze*, *E.*
 306 *zebra*; *Eqhe*, *E. hemionus*) were built by NetWork program. Among these, several wild ass haplotypes were
 307 separated from *DQA1*, *DQB1*, and *DRB28* by as one or two mutational steps and are most closely related to
 308 GZP haplotypes. The divergence time between horse and ass has been estimated to be 0.88–2.3 Ma (Krüger et
 309 al. 2005). One *E. hemionus* haplotype (*Eqhe2*) was separated from *DRA* by as two mutational steps and is most
 310 closely related to GZP haplotypes. It suggested that *DQA1*, *DQA3*, *DQB1*, *DRA1*, *DRA5*, and *DRB28* might
 311 be the oldest alleles. The haplotype *DRB2* and *DRB3* were shared between GZP and Przewalski horse at the

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317 *DRB* locus. Przewalski haplotypes *Eqpr3*, *Eqpr4* and *Eqpr2* were separated from *DQA3* by two mutational
 318 steps. The Przewalski horses were discovered in the Asian steppes in the 1870s, which is the only survived
 319 species of wild horse in the world up to now (Wakefield et al., 2002). It is supposed that the Przewalski horse
 320 and domesticated horse populations separated from each other about 45,000 years ago and maintained a certain
 321 degree of gene-flow for a long time (Der Sarkissian et al., 2015). Meanwhile, some haplotypes were shared
 322 between the GZP and European horses, including *DQA1*, *DQA3*, *DQB5*, *DQB13*, *DRB1*, *DRB2*, *DRB15*,
 323 *DRB23*, *DRB4*, and *DRB5*. Moreover, allele *DQA1* appears to be the ancestor for three alleles contained
 324 *Eqca10*, *Eqca20*, and *Eqca19*. Allele *DRA1* seemed more likely to be ancestral for four alleles included *Eqca2*,
 325 *Eqca6*, *Eqca7*, *Eqca8*. The genes of domesticated Asian horse might also have dispersed into European
 326 populations because of the gene flow (Bjørnstad et al., 2003). Interestingly, haplotype *DQA1*, *DQA3*, *DRA5*
 327 were shared between the GZP and *E. burchelli*, *E. grevyi* and zebra. The divergence time between horses and
 328 zebras based on microsatellite trees is estimated in 0.86–2.3 Ma (Krüger et al. 2005). Based on mtDNA analysis,
 329 the common ancestor of all extant forms could exist about 3.9 Mya, and speciation leading to zebra, ass and
 330 horses might have occurred within the following 0.5 My (George et al., 1986). These data and our results thus
 331 indicate that the GZP is an ancient variety of equid after long-time of adaptive evolution in GZP. Furthermore,
 332 some unique haplotypes of GZP appear gradually in the evolutionary process, and correspond to specific
 333 adaptation under the local environmental pressures such as the unique sets of pathogenic microorganisms
 334 present in the mountainous and humid districts in Guizhou province, China.

335 CONCLUSION

336 Plenty of nucleotide diversity was detected from the exon 2 of *ELA-DQA*, *DQB*, *DRA*, *DRB* genes in the GZP
 337 by direct sequencing technology. Of those four loci, the *DRA* locus was relatively conservative. Many codons
 338 in the antigen binding sites (ABS) underwent positive selection, including nine *DQA* codons, five *DQB* codons,
 339 nine *DRA* codons and seven *DRB* codons. Those amino acids coded by selected codons would stand on the
 340 inner surface of cleft of *ELA* complex to bind to antigen peptides. The selected sites might be related to the
 341 GZP ability to defend against foreign pathogens from the surrounding environment. Furthermore, many ancient
 342 alleles were detected at the *DQA*, *DQB*, *DRA* and *DQB* gene regions of GZP. Two older haplotypes at *DRB*
 343 (*DRB2* and *DRB3*) were shared by the GZP and Przewalski horse, two older haplotypes at *DRA* (*DRA1* and

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359 *DRA5*) seemed separate from *Eqbu*, *Eqze*, *Eqgr*, *Egas* by one or two mutations step, and four older haplotypes
360 of GZP (*DQA1*, *DQA3*, *DQB1*, and *DRB28*) were much close to wild ass, Przewalski horse by only one or two
361 mutational steps. It indicated that the indigenous breed, GZP, retained ancient haplotypes in *ELA* genes.
362 Meanwhile, a fairly large number of unique haplotypes dispersed in GZP crowns might have been acquired in
363 the long process of *ELA* molecule evolution. For a long time, the unique genetic characteristics of GZP are
364 unclear, and thus it is undervalued and frequently confused with other ponies. The genetic uniqueness revealed
365 in this study is helpful to understand its genetic conservation of the ancient variety.

366 **Acknowledgments**

367 The Talents of Guizhou Science and Technology Cooperation Platform (QKHPTRC[2019]-5615), The
368 Guizhou Province Hundred Innovative Talents Project (QKHRC[2016]-4012], and the Guizhou Agriculture
369 Research program (QKHNYZ[2012]3009, QKHNYZ[2009]3068).

370 **Competing interests**

371 The authors declare that they have no competing interests.

372 **Author Contributions**

373 Chang Liu performed the experiments, analyzed the data, contributed reagents/materials/analysis tools,
374 prepared figures and/or tables and wrote drafts of the paper. Hongmei Lei performed the experiments. Xueqin
375 Ran and JiafuWang conceived and designed the experiments, contributed reagents/materials/analysis tools,
376 authored or reviewed drafts of the paper, approved the final draft.

377 **Supplementary Materials**

378 Table S1. The nucleotide of the *DQA*, *DQB*, *DRA*, and *DRB* locus (XLSX)

379 Table S2. Nucleotide composition of *DQA*, *DQB*, *DRA*, and *DRB* in Guizhou pony (XLSX)

380

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