

# Metagenomic next-generation sequencing for the clinical diagnosis and prognosis of acute respiratory distress syndrome caused by severe pneumonia: a retrospective study

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**Background.** Metagenome Next-Generation Sequencing (mNGS) is a valuable diagnostic tool that can be used for the identification of early pathogens of acute respiratory distress syndrome (ARDS) in severe pneumonia. Little is known about the use of this technology in clinical application and the evaluation of the prognostic value of ARDS. **Methods.** We performed a retrospective cohort study of patients with ARDS caused by severe pneumonia. Samples were collected from patients in the intensive care unit (ICU) of Jiangmen Central Hospital from January 2018 to August 2019. The no-NGS group was composed of patients given conventional microbiological tests to examine sputum, blood, or bronchoalveolar lavage fluid (BALF). The NGS group was composed of patients tested using mNGS and conventional microbiological tests. We evaluated the etiological diagnostic effect and clinical prognostic value of mNGS in patients with ARDS caused by severe pneumonia. **Results.** The overall positive rate (91.1%) detected by the mNGS method was significantly higher than that of the culture method (62.2%,  $P = 0.001$ ), and antibody plus polymerase chain reaction (PCR) (28.9%,  $P < 0.001$ ). Following adjustment of the treatment plan based on microbial testing results, the Acute Physiology and Chronic Health Evaluation-II (APACHE II) score of the NGS group was lower than that of the no-NGS group 7 d after treatment ( $P < 0.05$ ). The 28-day mortality rate of the NGS group was significantly lower than that of the no-NGS group ( $P < 0.05$ ). Longer ICU stay, higher APACHE II score and Sequential Organ Failure Assessment (SOFA) score were risk factors for the death of ARDS, and adjusting the medication regimen based on mNGS results was

a protective factor. The detection of mNGS can significantly shorten the ICU stay of immunosuppressed patients ( $P < 0.01$ ), shorten the ventilation time ( $P < 0.01$ ), and reduce the ICU hospitalization cost ( $P < 0.05$ ). Conclusions. mNGS is a valuable tool to determine the etiological value of ARDS caused by severe pneumonia to improve diagnostic accuracy and prognosis for this disease. For immunosuppressed patients, mNGS technology can be used in the early stage to provide more diagnostic evidence and guide medications.

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2 **clinical diagnosis and prognosis of acute respiratory**  
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4 **retrospective study**

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## 38 Abstract

39 **Background.** Metagenome Next-Generation Sequencing (mNGS) is a valuable diagnostic tool  
40 that can be used for the identification of early pathogens of acute respiratory distress syndrome  
41 (ARDS) in severe pneumonia. Little is known about the use of this technology in clinical  
42 application and the evaluation of the prognostic value of ARDS.

43 **Methods.** We performed a retrospective cohort study of patients with ARDS caused by severe  
44 pneumonia. Samples were collected from patients in the intensive care unit (ICU) of Jiangmen  
45 Central Hospital from January 2018 to August 2019. The no-NGS group was composed of  
46 patients given conventional microbiological tests to examine sputum, blood, or bronchoalveolar  
47 lavage fluid (BALF). The NGS group was composed of patients tested using mNGS and  
48 conventional microbiological tests. We evaluated the etiological diagnostic effect and clinical  
49 prognostic value of mNGS in patients with ARDS caused by severe pneumonia.

50 **Results.** The overall positive rate (91.1%) detected by the mNGS method was significantly  
51 higher than that of the culture method (62.2%,  $P = 0.001$ ), and antibody plus polymerase chain  
52 reaction (PCR) (28.9%,  $P < 0.001$ ). Following adjustment of the treatment plan based on  
53 microbial testing results, the Acute Physiology and Chronic Health Evaluation-II (APACHE II)  
54 score of the NGS group was lower than that of the no-NGS group 7 d after treatment ( $P < 0.05$ ).  
55 The 28-day mortality rate of the NGS group was significantly lower than that of the no-NGS  
56 group ( $P < 0.05$ ). Longer ICU stay, higher APACHE II score and Sequential Organ Failure  
57 Assessment (SOFA) score were risk factors for the death of ARDS, and adjusting the medication  
58 regimen based on mNGS results was a protective factor. The detection of mNGS can  
59 significantly shorten the ICU stay of immunosuppressed patients ( $P < 0.01$ ), shorten the  
60 ventilation time ( $P < 0.01$ ), and reduce the ICU hospitalization cost ( $P < 0.05$ ).

61 **Conclusions.** mNGS is a valuable tool to determine the etiological value of ARDS caused by  
62 severe pneumonia to improve diagnostic accuracy and prognosis for this disease. For  
63 immunosuppressed patients, mNGS technology can be used in the early stage to provide more  
64 diagnostic evidence and guide medications.

65

## 66 Introduction

67 Acute respiratory distress syndrome (ARDS) is typically caused by infections, such as  
68 pneumonia (Saguil & Fargo 2012). Failure of timely and effective treatment will lead to multiple  
69 organ failure and death. Approximately, 31% (Griffiths et al. 2019) of patients with ARDS are  
70 admitted to the intensive care unit (ICU), with a mortality rate of 19.7%–57.7% (Bein et al.  
71 2016; Bellani et al. 2016; Griffiths et al. 2019). ARDS survivors are at greater risk of cognitive  
72 decline, depression, post-traumatic stress disorder, and persistent skeletal muscle weakness  
73 (Herridge et al. 2016; Herridge et al. 2011), bringing a great economic burden to families and  
74 society. Early pathogen identification and clinical intervention are critical for ARDS patients to  
75 reduce mortality and improve prognosis (Lee 2017).

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76 Conventional microbiological testing includes bacterial/fungal culture, polymerase chain  
77 reaction (PCR) nucleic acid hybridization, and serological antibody testing. The turn-around time  
78 (TAT) of bacterial/fungal cultures is long (3–5 d), and the positive rate is low (Miao et al. 2018).  
79 PCR nucleic acid hybridization requires pre-screening of microbial pathogens and designing  
80 specific primers/probes, but detection types are limited (Spackman et al. 2002). There is a  
81 window period that cannot be accurately identified by the serological antibody detection  
82 (Rajapaksha et al. 2019). Metagenome Next-Generation Sequencing (mNGS) was first used to  
83 diagnose a central nervous system (CNS) infection of *Leptospira* in 2014 (Wilson et al. 2014).  
84 This emerging diagnostic technology can quickly detect all nucleic acids in specimens of  
85 different sample types in one test, including blood, respiratory tract, CNS, and focal tissue (Guan  
86 et al. 2016; Guo et al. 2019; Long et al. 2016; Miao et al. 2018). Metagenome NGS technology  
87 has been successfully used clinically for rapid identification of pathogens in ARDS patients with  
88 pneumonia (Fischer et al. 2014) and can be used in clinical diagnosis and drug decision-making  
89 of severe pneumonia (Yang et al. 2019).

90 Different physiological indicators are crucial to the development and prognosis of ARDS in  
91 patients. Reduction of platelet count following ICU admission, age, body mass index,  
92 immunocompromised status, prone positioning, days of mechanical ventilation, disease score,  
93 elevated cardiac troponin T, extent of endothelial injury, low PaO<sub>2</sub>/FiO<sub>2</sub> ratio, and different  
94 clinical intervention treatment options (Chen & Ware 2015) affects the prognosis of patients with  
95 ARDS. Prior analysis of the prognosis of patients with ARDS using multiple Cox regression  
96 models found that late-onset moderate to severe ARDS was associated with adverse outcomes  
97 (Zhang et al. 2017). However, the effect of mNGS technology on the prognosis of ARDS  
98 patients is unknown.

99 Currently, the clinical application of mNGS in ARDS appears predominantly in case reports or  
100 small-scale cohort studies. There is an urgent need to review the practical application of mNGS  
101 technology in ARDS patients, and assess its prognostic value. Thus, this study summarizes  
102 clinical information via retrospective analysis, and evaluates the clinical prognosis of ARDS by  
103 mNGS technology application.

104

## 105 **Materials & Methods**

### 106 **Ethical approval and consent**

107 The protocol used in this retrospective study was reviewed and approved by the Ethical  
108 Review Committee of Jiangmen Central Hospital (No: 2019-15). Patient's informed consent was  
109 obtained from patients or their next of kin.

### 110 **Study participants**

111 A retrospective analysis was conducted on all ARDS cases resulting from severe pneumonia  
112 in patients 18 years and older, admitted to the ICU at Jiangmen Central Hospital from January  
113 2018 to August 2019. For our study, ARDS was diagnosed according to the 2012 Berlin  
114 definition of the disease (Ards Definition Task Force et al. 2012). Patients were excluded from

115 the study if their ARDS was not caused by severe pneumonia or if they did not follow through  
116 with their treatment for any reason.

117 All patients were endotracheally intubated, mechanically ventilated, and underwent a  
118 fiberoptic bronchoscopy to obtain clinical specimens for microbial testing. Patients were  
119 included in the NGS group when informed consent was provided for testing; those who were not  
120 tested by mNGS were grouped into the no-NGS group. Owing to the cost of mNGS, only DNA  
121 sequencing was performed. Samples of bronchoalveolar lavage fluid (BALF) were acquired from  
122 patients in the NGS group and sent for pathogen testing at BGI Clinical Laboratories (Shenzhen)  
123 Co., Ltd. Once the laboratory received the samples, nucleic acid extraction, library construction,  
124 high-throughput sequencing, bioinformatics analysis, and pathogen data interpretation were  
125 performed according to previous studies (Miao et al. 2018).

### 126 **Microbiological testing**

127 Both groups were tested using the same conventional method (routine culture + serum  
128 antibody + PCR). The NGS group used mNGS + routine culture + serum antibody +PCR, while  
129 the no-NGS group used routine culture + serum antibody + PCR. Pathogenic microbes that cause  
130 severe pneumonia are typically bacteria, fungi, or viruses. Restricted by inspection conditions of  
131 the hospital, serum antibody and PCR nucleic acid detection could only detect some special  
132 pathogens and viruses that were clinically difficult to culture, as a supplement to routine culture.  
133 The serum antibody included *Mycoplasma pneumoniae*, *Chlamydia pneumoniae*, Coxsackie  
134 virus, cytomegalovirus, influenza A, influenza B, respiratory syncytial virus, and parainfluenza  
135 virus. PCR nucleic acids included *Legionella pneumophila*, *Mycoplasma pneumoniae*,  
136 *Chlamydia pneumoniae*, *Mycobacterium tuberculosis*, influenza A, and influenza B.

### 137 **Clinical treatment**

138 All patients underwent empirical antimicrobial treatment according to the Chinese Adult  
139 Community-Acquired Pneumonia Diagnosis and Treatment Guide (Cao et al. 2018) **Error!**  
140 **Reference source not found.**and the Chinese Adult Hospital-Acquired Pneumonia and  
141 Ventilator-associated Pneumonia Diagnosis Guide (Department of Infectious Diseases Chinese  
142 Medical Association Respiratory Branch 2018), combined with respiratory infection indicators  
143 and imaging. All patients were treated with mechanical ventilation according to the ARDS  
144 ventilation guidelines (Bein et al. 2016; Griffiths et al. 2019). The no-NGS patients were treated  
145 with an antimicrobial regimen based on the results of conventional microbiological tests. The  
146 antimicrobial regimen of NGS patients were adjusted case-by-case according to mNGS results.

### 147 **Information collection and analysis**

148 Patient data included age, gender, basic disease, laboratory test results before treatment,  
149 ventilator parameters, conventional microbiological tests, serum biomarkers, ICU special  
150 treatment data, APACHE II, and SOFA scores. Data were collected and compared between the  
151 two groups. The primary outcome was measured by a 28-day all-cause mortality. Secondary  
152 outcomes were measured as the length of stay in the ICU, duration of mechanical ventilation,  
153 duration of extracorporeal membrane oxygenation (ECMO), duration of prone ventilation  
154 positioning, and ICU treatment costs. Patients that showed signs of immunosuppression were

155 selected from both groups and their prognosis compared using the same aforementioned  
156 outcomes. Cox regression analysis was conducted to analyze risk factors for ARDS prognosis.  
157 The mNGS results were compared with those of conventional microbiological tests in the NGS  
158 group.

### 159 **Statistical Analysis**

160 The t-test was used to determine normal distribution and uniformity of variance. The  
161 Wilcoxon rank test was used to calculate variance of measured data that were not normally  
162 distributed or had variance homogeneity. The chi-square test was used to calculate the difference  
163 between both groups. All statistical analyses were conducted using GraphPad 5.0 and R3.4.4  
164 software.  $P < 0.05$  was considered statistically significant.

165

## 166 **Results**

### 167 **Sample and patient characteristics**

168 A total of 105 patients with ARDS caused by severe pneumonia were screened in this study  
169 and 10 patients were excluded based on exclusion criteria. Fourty two patients were placed into  
170 the NGS group and 53 patients in the no-NGS group. Three patients in the NGS group had two  
171 mNGS tests performed and a total of 45 BALF samples were sent for mNGS.

172 Patient demographics, characteristic baselines, and ICU special treatments in the NGS and no-  
173 NGS groups were shown in Tables 1, 2, and 3, respectively. There were no significant  
174 differences in age, gender, basic disease, laboratory test results before treatment, ventilator  
175 parameters, APACHE II and SOFA scores before treatment, and incidences of special treatment  
176 in the ICU between both groups ( $P > 0.05$ ).

### 177 **Comparison of outcomes between NGS and no-NGS groups**

178 There was a significant difference in the 28-day all-cause mortality between both groups ( $P =$   
179  $0.006$ ) (Table 4). The 28-day survival was significantly higher in the NGS group than in the no-  
180 NGS group (Hazard Ratio = 2.41, 95% CI: 1.21–4.17,  $P = 0.01$ ) (Figure 1). There was no  
181 significant difference in the length of stay in the ICU, duration of mechanical ventilation,  
182 ECMO, prone position ventilation, or the cost of the ICU stay between both groups ( $P > 0.05$ )  
183 (Table 4).

### 184 **Prognosis of ARDS patients**

185 Cox univariate analysis was performed on all factors and Cox multivariate analysis was  
186 performed with variates which were  $P < 0.2$  of the Cox univariate analysis (Supplemental table).  
187 The NGS or no-NGS group, length of stay in ICU, and APACHE II and SOFA scores before  
188 treatment were risk factors in patients with ARDS caused by severe pneumonia. The NGS group  
189 patients had a better prognosis than that of the no-NGS group patients ( $P = 0.005$ ). A shorter stay  
190 in the ICU ( $P = 0.037$ ), and lower APACHE II ( $P = 0.016$ ) and SOFA scores before treatment ( $P$   
191  $= 0.003$ ) had a better prognosis (Table 5).

### 192 **Comparison of mNGS results and culture results in the NGS group**

193 The current research showed that the mNGS test can detect more pathogens than the culture  
194 method. We analyzed the consistency of pathogens identified by both techniques. The test results

195 were considered to be consistent when the pathogens identified by mNGS were the same as the  
196 pathogens obtained from culture. The test results were also considered consistent if mNGS  
197 identified more pathogens than the culture method. The result was partially consistent when  
198 pathogens identified by both methods were partially congruent. The results were considered  
199 inconsistent when pathogens identified by both methods varied completely. Identified pathogens  
200 (31.1%) in the NGS group were consistent, 15.6% were partially consistent, and 53.3% were  
201 completely inconsistent. In the inconsistent ones, 62.5% were negative for the culture method,  
202 while 8.3% were negative for mNGS, and 29.2% were mismatched (Figure 2).

### 203 **Comparison metagenomic of NGS results and conventional microbiological tests**

204 Some special pathogens were difficult to obtain via culture. Therefore, *Legionella*,  
205 *Tuberculosis*, *Mycoplasma/Chlamydia*, parasites, K. spores, *etc.* were defined as such. Severe  
206 pneumonia is not caused by a single pathogen and is typically accompanied by co-infections. A  
207 co-infection is defined as a non-single pathogenic infection, such as bacteria + fungi/bacteria +  
208 virus/fungi + virus/bacteria + fungi + virus.

209 The positive rate of mNGS virus detection was lower than that of serum antibody detection  
210 plus PCR (6.7% vs. 26.7%,  $P = 0.021$ ). In this study, mNGS only performed DNA sequencing  
211 and could only detect DNA viruses, whereas viruses identified by serological antibody detection  
212 and PCR were RNA viruses, such as influenza A and influenza B. mNGS was significantly  
213 better at detecting bacteria than serological antibody testing plus PCR (24.4% vs. 0%,  $P = 0.001$ ).  
214 Further, mNGS was able to detect specific pathogens better than the culture method (22.2% vs.  
215 0%,  $P = 0.001$ ) and serological antibody testing plus PCR (22.2% vs. 2.2%,  $P = 0.007$ ).  
216 Additionally, mNGS was significantly better at the identification of co-infections than  
217 serological antibody tests plus PCR (26.7% vs. 0%,  $P < 0.001$ ). Finally, mNGS proved to be  
218 significantly better at identifying pathogens than the culture method (91.1% VS 62.2%,  $P =$   
219 0.001) and serological antibody testing plus PCR (91.1% vs. 28.9%,  $P < 0.001$ ) (Table 6).

### 220 **Clinical medication guidance between NGS and no-NGS groups**

221 In the NGS group, 30 patients (71.4%) did not cover all the microbial detected by mNGS in the  
222 initial empirical antimicrobial treatment. Thus, antimicrobial regimen needs to be modified  
223 accordingly based on the mNGS results. In the no-NGS group, empirical antimicrobial treatment  
224 that could not cover the detected microbials was found in 23 patients (43.4%), according to the  
225 results of traditional microbiological testing, and they were necessary to adjust the anti-infection  
226 program (Figure 3). Following adjustment of the anti-infective regimen, we continuously  
227 observed APACHE II and SOFA scores for both groups of patients for 7 days and found that the  
228 NGS group had a lower APACHE II score than the no-NGS group, 7 days after treatment ( $P =$   
229 0.041) (Figure 4).

### 230 **Immunosuppressed patients**

231 Clinical features of immunosuppressed patients were complicated. A total of 21  
232 immunosuppressed patients were enrolled in our study, eight were subjected to mNGS pathogen  
233 detection, and 13 did not undergo mNGS. Three cultures were positive in the NGS group,

234 consistent with pathogens identified by mNGS, including five *P. jirovecii*, one *Rhizopus*, one  
235 *Cryptococcus*, and one human herpesvirus; six were co-infections.

236 In the no-NGS group, nine cases were positive for culture, and two *S. maltophilia*, two *A.*  
237 *baumannii*, one *S. aureus*, four *Candida*, and one *Aspergillus* were detected. Four cases had  
238 multi-drug resistant bacteria. There was no significant difference in the 28-day all-cause  
239 mortality between the two groups (37.5% vs. 53.8%,  $P = 0.659$ ). However, there were significant  
240 differences in the length of stay in the ICU ( $P = 0.023$ ), duration of mechanical ventilation ( $P =$   
241  $0.030$ ), and cost of the stay in the ICU ( $P = 0.004$ ) between both groups of immunosuppressed  
242 patients (Figure 5).

243

## 244 Discussion

245 ARDS caused by severe pneumonia is critical and progresses rapidly. Common microbial  
246 infection includes those of bacteria, fungi, and viruses while some are co-infections (Lee 2017).  
247 Patients usually require broad-spectrum anti-infection treatment, and then, further adjust to  
248 targeted anti-infection treatment based on microbial detection results of. Therefore, it is critical  
249 to determine the type of microbial infection for ARDS treatment caused by severe pneumonia.

250 This study compared the effectiveness of mNGS with traditional microbiological testing  
251 methods of the NGS group. Firstly, mNGS was faster, taking an average of 2 days from sending  
252 samples to receiving reports, whereas routine culture requires at least 3-5 days. Secondly, the  
253 overall positive rate (91.1%) of mNGS was significantly higher than that of culture (62.2%,  $P =$   
254  $0.001$ ) and antibody plus PCR (28.9%,  $P < 0.001$ ). As all patients included were diagnosed with  
255 severe pneumonia, the positive rate of mNGS and culture of lower respiratory tract specimens  
256 were higher than that of usual detection. Thirdly, the positive rate of mNGS detection of specific  
257 pathogens (22.2%) was higher than that of culture (0%,  $P = 0.001$ ) and antibody plus PCR  
258 (2.2%,  $P = 0.007$ ). This conclusion was consistent with a previous study by Qi et al. (Qi et al.  
259 2019) in that the positive of mNGS was much higher than that of culture, and rare pathogens  
260 could be detected. In addition, we analyzed the consistency between mNGS and culture, 31.1%  
261 of identified pathogens in the NGS group were consistent, 15.6% were partially consistent, and  
262 53.3% were completely inconsistent. In the inconsistent ones, 62.5% were negative for culture,  
263 while only 8.3% were negative for mNGS. The advantages of mNGS detection compared with  
264 traditional detection were confirmed.

265 By comparing the prognosis of patients between the NGS group and the no-NGS group, it was  
266 found that the 28-day mortality rate of the NGS group was significantly lower than that of the  
267 no-NGS group ( $P < 0.05$ ) (Table 4). There was no difference in ICU hospitalization time,  
268 mechanical ventilation time, ECMO time, prone position ventilation time, and ICU treatment  
269 costs between the two groups (Table 4). This conclusion was consistent with the study of Xie et  
270 al. (Xie et al. 2019). They analyzed 178 patients with severe pneumonia and combined mNGS  
271 results to guide treatment. The 28-day and 90-day survival rates of severe pneumonia patients  
272 were improved. The 90-day survival rate increased from 57.7% to 83.3%.

273 In this study, clinicians assisted clinical diagnosis through comprehensive microbial testing;  
274 the empirical medication of 71.4% of patients in the NGS group did not cover clinically  
275 diagnosed microbial infections, whose anti-infection treatment should be adjusted based on  
276 mNGS results. In the no-NGS group, 43.4% of patients required adjustment of the empirical  
277 anti-infection regimen. Due to faster and more effective adjustment of the anti-infection regimen,  
278 it was found that APACHE II scores in the NGS group were lower than those in the no-NGS  
279 group 7 d after treatment ( $P = 0.041$ , Figure 4). This means that the mNGS test results have a  
280 positive effect on clinical medication guidance. Moreover, a multiple Cox regression analysis  
281 was conducted for assessment of prognostic factors and found that a longer stay in ICU, high  
282 APACHE II score, and high SOFA score were risk factors for ARDS death, and the application  
283 of mNGS for clinical pathogen detection was a protective factor. It was shown that the higher the  
284 APACHE II and SOFA scores of sepsis patients, the worse the prognosis (Innocenti et al. 2014;  
285 Jones et al. 2009), which is consistent with our results.

286 In addition, studies have shown that immunosuppressed patients were prone to co-infection.  
287 Metagenomics NGS technology has distinct advantages in detecting co-infection pathogens  
288 (Parize et al. 2017). In this study, mNGS detected specific pathogens that were difficult to  
289 culture in immunosuppressed patients, including *Pneumocystis*, *Rhizopus*, *Cryptococcus*, and  
290 viruses. Although the mortality rate of the NGS group was lower than that of the no-NGS group,  
291 the difference in the prognostic analysis of immunosuppressed patients was not statistically  
292 significant (37.5% vs 53.8%,  $P = 0.659$ ), and may be related to the small sample size. Moreover,  
293 we found that mNGS technology can significantly shorten the length of stay in the ICU of  
294 immunosuppressed patients, shorten the ventilation time, and reduce the cost in ICU ( $P < 0.05$ ).  
295 From the economics and clinical prognosis, immunosuppressed patients were more suitable for  
296 mNGS technology application in the early clinical stage to assist clinical diagnosis and drug  
297 decision-making.

298 Limitations to the use of mNGS technology exist, despite its widespread use. There is no  
299 authoritative guide to the interpretation of the mNGS report. Detection of a broad spectrum of  
300 pathogens by mNGS has blunted the diagnosis of pathogenicity resulting in the inability to  
301 distinguish between background, colonization and microbial infection, and pollution (Simner et  
302 al. 2018). Better technology needs to be developed for mNGS to be used successfully in clinical  
303 applications. The use of mNGS in clinical applications will: (1) achieve a faster diagnosis of  
304 pathogens and obtain information on drug resistance of related pathogens; (2) identify microbial  
305 colonization or infection through monitoring the patient's immune response, which will  
306 eventually curb bacterial resistance, achieve a rational application of antibiotics, and ultimately  
307 reduce the economic and social burden of infectious diseases; (3) lower the cost of the mNGS  
308 test with the development of technology, so that more patients will benefit.

309 Our research also has certain limitations. Firstly, our mNGS only performed DNA sequencing  
310 and did not perform RNA sequencing; therefore, the information of RNA virus and microbial  
311 transcriptome alterations were missing, resulting in the positive rate of mNGS virus detection  
312 being lower than serum antibody plus PCR (6.7% vs 26.7%,  $P = 0.021$ ). Secondly, restricted by

313 the inspection conditions of the hospital, PCR detection only included some RNA viruses, such  
314 as influenza A and influenza B. Additionally, the prognostic analysis was affected by several  
315 clinical factors and sample size of this study was not large, resulting in some data inconsistency.  
316 For example, the mortality rate between the two groups was significantly different, but that of  
317 the immunosuppressed patients was not. There was no difference in ICU stay, cost, and  
318 ventilation time between the two groups, but there was a difference between the two groups of  
319 immunosuppressed patients.

320

## 321 **Conclusions**

322 mNGS technology is valuable for the diagnosis, treatment and prognosis of ARDS caused by  
323 severe pneumonia. mNGS technology is superior to conventional microbiological tests for the  
324 detection of special pathogens and co-infections. mNGS technology harbors great potential for  
325 clinical infection. Further research should include a larger sample size, involving multi-center,  
326 prospective, and controlled studies, which will help us better understand the clinical experience  
327 summary and prognostic value of mNGS detection in ARDS caused by severe pneumonia.

328

## 329 **Additional Information and Declarations**

330

### 331 **Competing Interests**

332 Yan Chen is an employee of BGI Genomics. The authors declare that they have no competing  
333 interests.

334

### 335 **Author Contributions**

336 All authors had access to the full dataset (including statistical reports and tables) and take  
337 responsibility for the integrity of the data and the accuracy of the data analysis. All authors have  
338 read and approved the final manuscript.

339 Shengming Liu and Yanming Huang conceived and designed the study. They reviewed and  
340 approved the final report.

341 Peng Zhang, Yan Chen, Shuyun Li, Chaoliang Li, Shuang Zhang, Weihao Zheng, Yantang Chen,  
342 Jie Ma, and Xin Zhang were involved in the case and sample collection, analysis, interpretation  
343 of data and wrote the first draft of the manuscript.

344

### 345 **Data Availability**

346 The datasets generated and analyzed during the current study are available in the (Figshare)  
347 repository ([https://figshare.com/articles/data\\_xlsx/10308617](https://figshare.com/articles/data_xlsx/10308617)). The data showed 95 patients with  
348 ARDS caused by severe pneumonia.

349

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463

## 464 **FIGURE LEGENDS**

### 465 **Figure 1. Analysis of 28-day survival curves of patients in the NGS group and no-NGS** 466 **group.**

467 The 28-day survival was significantly higher in the NGS group than in the no-NGS group  
468 (HR=2.41, 95% CI: 1.21-4.17,  $P=0.01$ )

### 469 **Figure 2. The consistent analysis comparing culture and mNGS pathogen detection in the** 470 **NGS group.**

471 Identified pathogens (31.1%) in the NGS group were consistent, 15.6% were partially consistent,  
472 and 53.3% were completely inconsistent. In the inconsistent ones, 62.5% were negative for the  
473 culture method, while 8.3% were negative for mNGS, and 29.2% were mismatched.

### 474 **Figure 3. Coverage spectrum of empirical antimicrobial therapy for pathogen detection** 475 **results in two groups.**

476 **A:** In the NGS group, 30 patients (71.4%) did not cover all the microbial detected by mNGS in  
477 the initial empirical antimicrobial treatment. Thus, antimicrobial regimen needs to be modified  
478 accordingly based on the mNGS results. **B:** In the no-NGS group, empirical antimicrobial  
479 treatment that could not cover the detected microbes was found in 23 patients (43.4%),  
480 according to the results of traditional microbiological testing, and they were necessary to adjust  
481 the anti-infection program.

### 482 **Figure 4. APACHE II and SOFA scores of the two groups.**

483 **A:** The NGS group had a lower APACHE II score than that in the no-NGS group after 7 days of  
484 treatment ( $P=0.041$ ). **B:** There was no significant difference in SOFA score during 7 days  
485 between two groups ( $P>0.05$ ).

486 **Figure 5. Clinical data of 21 immunosuppressed patients with NGS and no-NGS were**  
487 **compared.**

488 The NGS group had shorter length of stay in the ICU (A) ( $P=0.023$ ), shorter ventilation time (B)  
489 ( $P=0.030$ ), and less cost in ICU (C) ( $P=0.004$ ) than those in the no-NGS group of  
490 immunosuppressed patients.

**Table 1** (on next page)

Patient characteristics and baseline of two groups.

There were no any differences in age, sex ratio, basis disease between two groups ( $P > 0.05$ ).

1 **Table 1.** Patient characteristics and baseline of the two groups.

	NGS (n=42)	no-NGS (n=53)	<i>P</i> value
Age (yr)			
≥ 60, n (%)	21 (50.0)	33 (62.3)	0.231
< 60, n (%)	21 (50.0)	20 (37.7)	
Gender			
Male, n (%)	31 (73.8)	38 (71.7)	0.819
Female, n (%)	11 (26.2)	15 (28.3)	
<b>Basis disease</b>			
Hypertension, n (%)	13 (31.0)	17 (32.1)	0.907
Coronary heart disease, n (%)	3 (7.1)	5 (9.4)	0.690
COPD, n (%)	10 (23.8)	17 (32.1)	0.375
Chronic nephrosis, n (%)	7 (16.7)	6 (11.3)	0.452
Diabetes, n (%)	5 (11.9)	9 (17.0)	0.488
Immunosuppression, n (%)	8 (19.0)	13 (24.5)	0.523
Tumor, n (%)	10 (23.8)	11 (20.8)	0.722
Smoking, n (%)	20 (47.6)	17 (32.1)	0.123
Drinking, n (%)	4 (9.5)	5 (9.4)	0.988

2 Note: The chi-square test was utilized to calculate the difference between the two groups.  $P <$   
3 0.05 was considered statistically significant. Abbreviations: COPD: chronic obstructive  
4 pulmonary disease.

**Table 2** (on next page)

Laboratory examination before treatment, Ventilator parameters, APACHE II score and SOFA score before treatment of two groups.

There were no any differences in laboratory examination, ventilator parameters, APACHE II score and SOFA score before treatment between two groups ( $P > 0.05$ ).

1 **Table 2.** Laboratory examination before treatment, Ventilator parameters, APACHE II score and  
 2 SOFA score before treatment of two groups.

	NGS (n=42)	no-NGS (n=53)	<i>P</i> value
<b>Laboratory examination before treatment</b>			
PCT (ug/L)	1.3 (0.5, 8.4)	2.5 (0.3, 10.6)	0.516
WBC (10 <sup>9</sup> /L)	10.5 (6.4, 15.4)	13.1 (7.5, 15.5)	0.189
Hb (g/L)	109 (85, 130)	105 (84, 129)	0.932
PLT (10 <sup>9</sup> /L)	159 (84, 205)	154 (112, 197)	0.780
Scr (μmol/L)	78 (64, 201)	97 (64, 121)	0.515
T.Bil (mmol/L)	11.8 (5.2, 17.2)	14.4 (7.8, 21.1)	0.071
ALT (IU/L)	28 (20, 47)	27 (20, 45)	0.612
Alb (g/L)	28.0 (23.6, 31.6)	28.2 (24.8, 32.6)	0.880
APTT (sec)	35.6 (31.0, 44.7)	34.7 (26.4, 48.1)	0.614
NT-proBNP (pg/ml)	652 (236, 2747)	656 (311, 2066)	0.482
Lac (mmol/L)	1.6 (1.4, 2.9)	1.7 (1.2, 2.5)	0.763
<b>Ventilator parameters</b>			
FiO <sub>2</sub>	0.8 (0.6, 1.0)	0.6 (0.5, 0.8)	0.992
Peep	10 (8, 15)	8 (6, 12)	0.272
OI	124 (76, 177)	156 (108, 194)	0.996
APACHE II score before treatment	22 (18, 26)	21 (17, 26)	0.500
SOFA score before treatment	7 (5, 8)	7 (4, 8)	0.875

3 Note: the measured data of patients' physiological indicators in the above table were shown by  
 4 median (interquartile range).  $P < 0.05$  was considered statistically significant. Abbreviations:  
 5 PCT: Procalcitonin; WBC: White blood cell; Hb: Hemoglobin; PLT: Platelet count; Scr: Serum  
 6 creatinine; T.Bil: Total bilirubin; ALT: Alanine aminotransferase; Alb: Albumin; APTT:  
 7 Activated partial thromboplastin time; NT-proBNP: N-terminal Pro-Brain Natriuretic Peptide;

- 8 Lac: Lactate; FiO<sub>2</sub>: Fraction of inspiration O<sub>2</sub>; Peep: positive end-expiratory pressure; OI:
- 9 Oxygenation Index; APACHE-II: Acute physiology and chronic health evaluation-II; SOFA:
- 10 Sequential Organ Failure Assessment.

**Table 3** (on next page)

ICU special treatment of two groups.

There were no any differences in ICU special treatment between two groups ( $P > 0.05$ ).

1 **Table 3.** ICU special treatment of two groups.

	NGS (n=42)	no-NGS (n=53)	<i>P</i> value
Use of vasoactive agent, n (%)	24 (57.1)	30 (56.6)	0.958
CRRT, n (%)	9 (21.4)	7 (13.2)	0.288
ECMO, n (%)	6 (14.3)	3 (5.7)	0.177
Prone positioning, n (%)	10 (23.8)	11 (20.8)	0.722

2 Note: The chi-square test was utilized to calculate the difference between the two groups.  $P <$   
3 0.05 was considered statistically significant. Abbreviations: ICU: Intensive care unit; CRRT:  
4 continuous renal replacement therapy; ECMO: Extracorporeal membrane oxygenation.

5

**Table 4**(on next page)

Comparison of outcomes between NGS and no-NGS groups.

The primary outcome: There was a significant difference in 28-day all-cause mortality between the two groups ( $P = 0.006$ ). The secondary outcome: There was no significant difference in the length of stay in the ICU, the duration of mechanical ventilation, ECMO, prone position ventilation, or the cost of the ICU stay between the two groups ( $P > 0.05$ ).

1 **Table 4.** Comparison of outcomes between NGS and no-NGS groups.

	NGS (n=42)	no-NGS (n=53)	<i>P</i> value
<b>The primary outcome</b>			
28-day all-cause mortality	9 (21.4%)	26 (49.1%)	<b>0.006*</b>
<b>The secondary outcomes</b>			
Length of stay in ICU (d)	12 (7, 20)	11 (8, 15)	0.719
Duration of mechanical ventilation (h)	240 (144, 353)	216 (134, 311)	0.810
Duration of ECMO (d)	15 (11, 18)	10 (10, 23)	0.500
Duration of prone position ventilation (h)	89 (63, 117)	96 (71, 121)	0.345
Cost in ICU (thousand CNY)	82.3 (55.1, 211.1)	98.9 (68.9, 141.1)	0.297

2 Note: The chi-square test was utilized to calculate the difference between the two groups in  
3 the primary outcome. The t-test was utilized to calculate the difference between the two groups  
4 in the secondary outcome. The measured data of patients' outcomes in the above table were  
5 shown by median (interquartile range).  $P < 0.05$  was considered statistically significant.

**Table 5** (on next page)

Cox multivariate analysis of prognosis of patients with ARDS.

The NGS group had a better prognosis than no-NGS group ( $P = 0.005$ ). Those with a shorter stay in the ICU ( $P = 0.037$ ), and lower APACHE II before treatment ( $P = 0.016$ ) and SOFA scores before treatment ( $P = 0.003$ ) had a better prognosis.

1 **Table 5.** Cox multivariate analysis of prognosis of patients with ARDS.

	HR	Lower .95	Upper .95	<i>P</i> -value
mNGS (yes/no)	0.263	0.105	0.663	<b>0.005*</b>
Age (yr)	1.013	0.988	1.038	0.322
Length of stay in ICU (d)	0.888	0.794	0.993	<b>0.037*</b>
APACHE II score before treatment	1.112	1.020	1.212	<b>0.016*</b>
SOFA score before treatment	1.339	1.105	1.622	<b>0.003*</b>
Coronary heart disease (yes/no)	1.660	0.556	4.958	0.364
Bronchiectasis (yes/no)	1.128	0.331	3.843	0.848
Diabetes (yes/no)	0.324	0.088	1.195	0.091
Hb (g/L)	0.993	0.980	1.006	0.284
T.Bil (mmol/L)	0.999	0.987	1.012	0.882
Be	1.063	0.996	1.133	0.064
Use of vasoactive agent (yes/no)	1.443	0.587	3.548	0.424
ECMO (yes/no)	1.212	0.067	21.764	0.896
Cost in ICU (CNY)	1.000	1.000	1.000	0.477

2 Note:  $P < 0.05$  was considered statistically significant.

**Table 6**(on next page)

Comparison of metagenomic NGS results and conventional microbiological tests.

The positive rate of mNGS virus detection was lower than that of serum antibody detection plus PCR (6.7% vs. 26.7%,  $P = 0.021$ ). mNGS was significantly better at detecting bacteria than serological antibody testing plus PCR (24.4% vs. 0%,  $P = 0.001$ ). Further, mNGS was able to detect specific pathogens better than the culture method (22.2% vs. 0%,  $P = 0.001$ ) and serological antibody testing plus PCR (22.2% vs. 2.2%,  $P = 0.007$ ). Additionally, mNGS was significantly better at the identification of co-infections than serological antibody tests plus PCR (26.7% vs. 0%,  $P < 0.001$ ). Finally, mNGS proved to be significantly better at identifying pathogens than the culture method (91.1% VS 62.2%,  $P = 0.001$ ) and serological antibody testing plus PCR (91.1% vs. 28.9%,  $P < 0.001$ ).

1 **Table 6.** Comparison of metagenomic NGS results and conventional microbiological tests.

□	Method A (n=45)	Method B (n=45)	Method C. (n=45)	<i>P</i> -value, A vs. B	<i>P</i> -value, A vs. C
Only virus, n (%)	3 (6.7)	0 (0.0)	12 (26.7)	0.24	<b>0.021*</b>
Only bacterial, n (%)	11 (24.4)	15 (33.3)	0 (0.0)	0.486	<b>0.001*</b>
Only fungus, n (%)	5 (11.1)	5 (50.0)	0 (0.0)	1	0.056
Special pathogen, n (%)	10 (22.2)	0 (0.0)	1 (2.2)	<b>0.001*</b>	<b>0.007*</b>
Co-infection, n (%)	12 (26.7)	8 (17.8)	0 (0.0)	0.311	<b>&lt;0.001*</b>
Overall Positive, n (%)	41 (91.1)	28 (62.2)	13 (28.9)	<b>0.001*</b>	<b>&lt;0.001*</b>

2 Note: Method A: mNGS; Method B: Culture; Method C: Serological antibody test plus PCR.

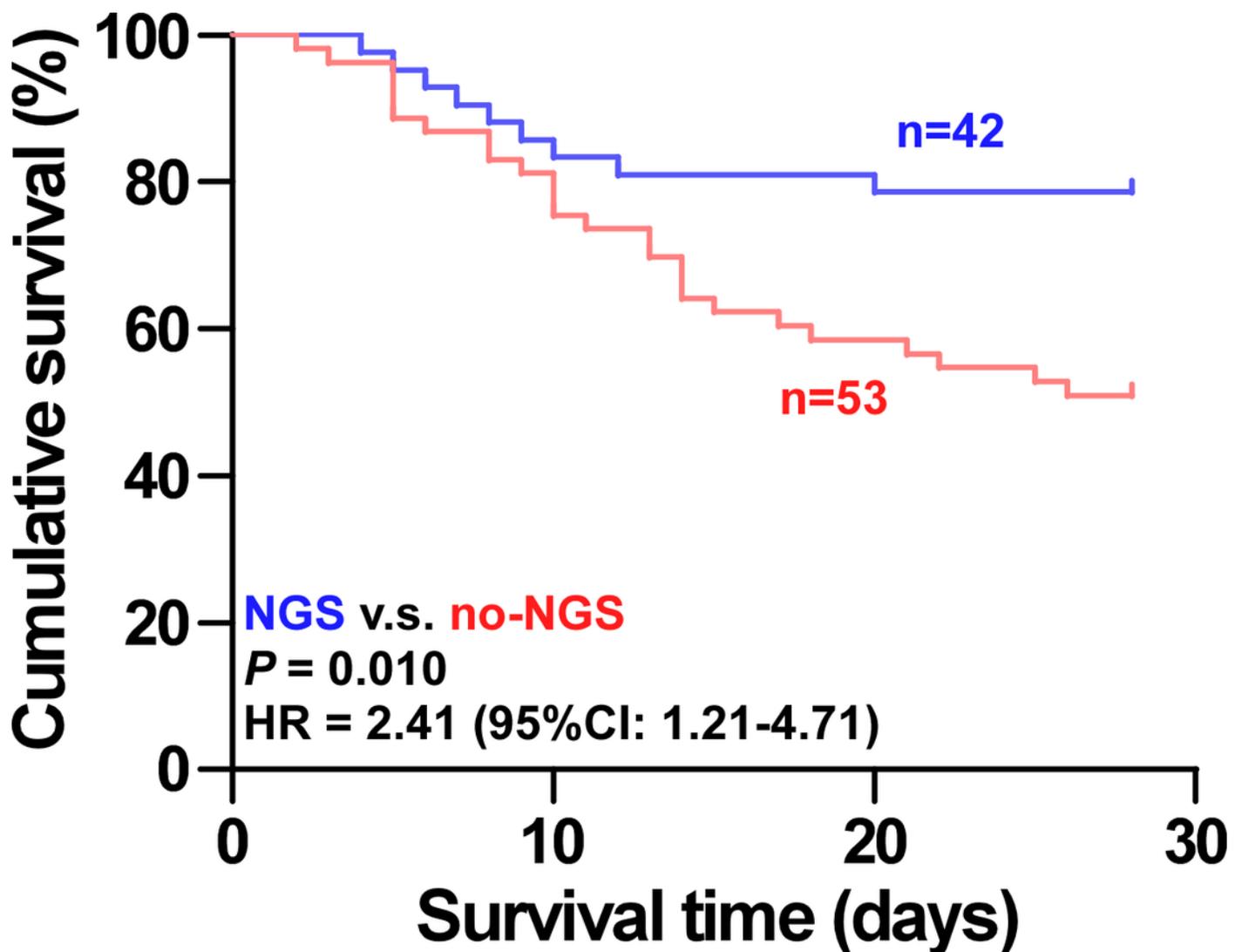
3 The Chi-square test was utilized to calculate the difference between the two groups.  $P < 0.05$  was

4 considered statistically significant.

## Figure 1

Analysis of 28-day survival curves of patients in the NGS group and no-NGS group.

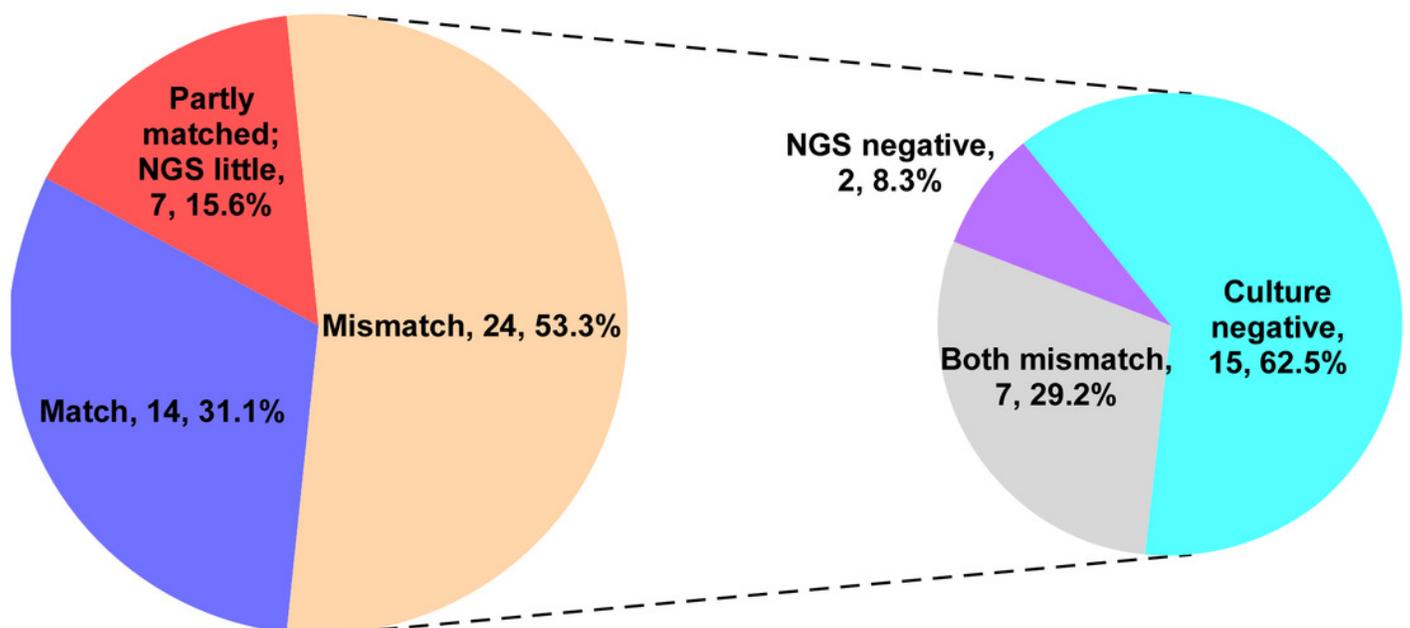
The 28-day survival was significantly higher in the NGS group than in the no-NGS group (HR=2.41, 95% CI: 1.21-4.17,  $P=0.01$ )



## Figure 2

The consistent analysis comparing culture and mNGS pathogen detection in the NGS group.

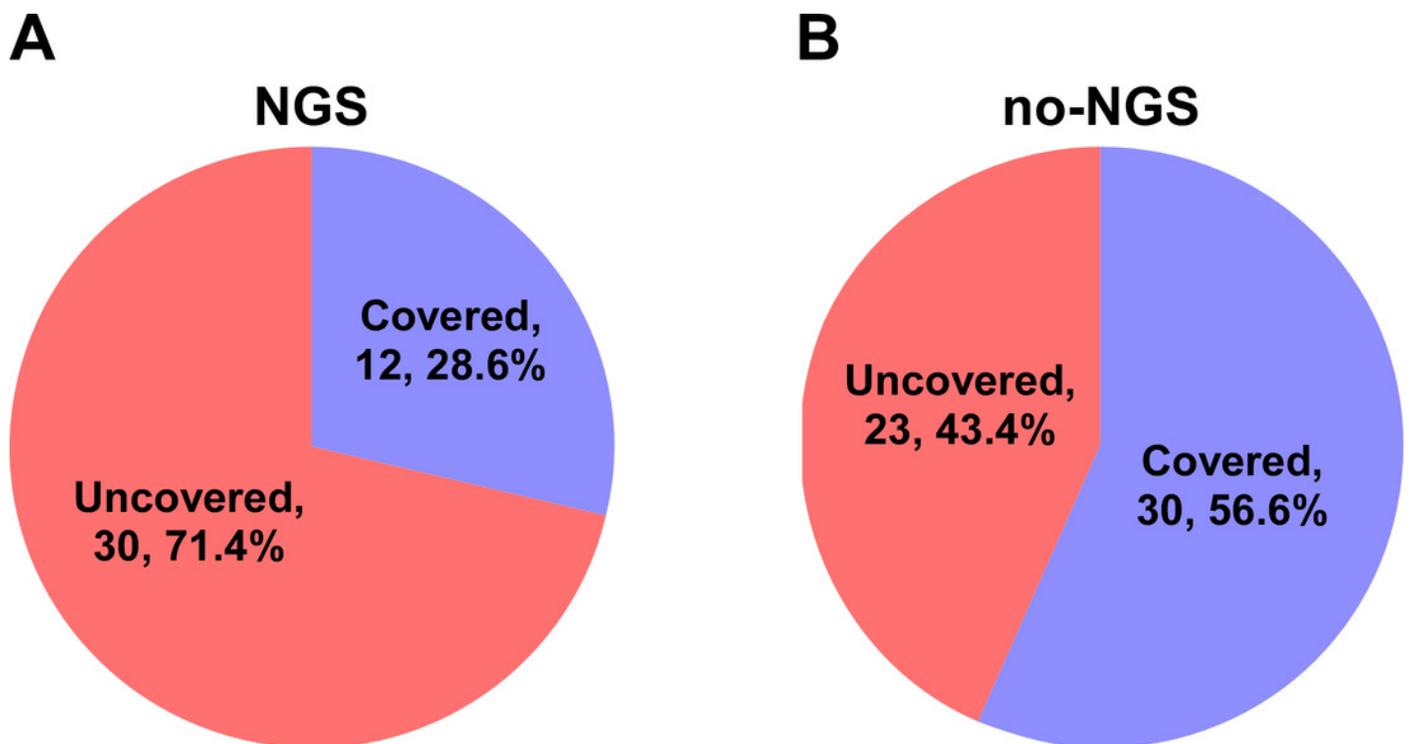
Identified pathogens (31.1%) in the NGS group were consistent, 15.6% were partially consistent, and 53.3% were completely inconsistent. In the inconsistent ones, 62.5% were negative for the culture method, while 8.3% were negative for mNGS, and 29.2% were mismatched.



## Figure 3

Coverage spectrum of empirical antimicrobial therapy for pathogen detection results in two groups.

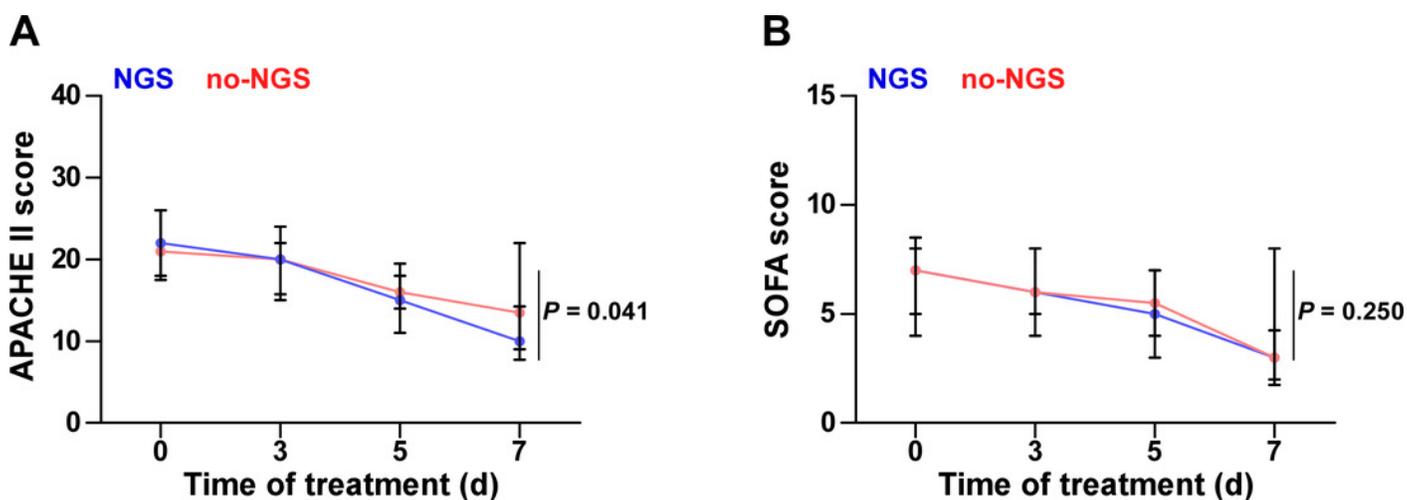
**A:** In the NGS group, 30 patients (71.4%) did not cover all the microbial detected by mNGS in the initial empirical antimicrobial treatment. Thus, antimicrobial regimen needs to be modified accordingly based on the mNGS results. **B:** In the no-NGS group, empirical antimicrobial treatment that could not cover the detected microbials was found in 23 patients (43.4%), according to the results of traditional microbiological testing, and they were necessary to adjust the anti-infection program.



## Figure 4

APACHE II and SOFA scores of the two groups.

**A:** The NGS group had a lower APACHE II score than that in the no-NGS group after 7 days of treatment ( $P=0.041$ ). **B:** There was no significant difference in SOFA score during 7 days between two groups ( $P\geq 0.05$ ).



## Figure 5

Clinical data of 21 immunosuppressed patients with NGS and no-NGS were compared.

The NGS group had shorter length of stay in the ICU (A) ( $P = 0.023$ ), shorter ventilation time (B) ( $P = 0.030$ ), and less cost in ICU (C) ( $P = 0.004$ ) than those in the no-NGS group of immunosuppressed patients.

