

# Value of metagenomic next-generation sequencing for the clinical diagnosis and prognosis of acute respiratory distress syndrome caused by severe pneumonia

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**Background** Metagenomic next-generation sequencing (mNGS) is a valuable diagnostic tool used to detect a broad range of pathogens in respiratory infections and severe pneumonia resulting in an earlier diagnosis of these diseases. However, little is known about the value of mNGS for the diagnosis and prognosis of acute respiratory distress syndrome (ARDS) caused by severe pneumonia. **Methods** We performed a retrospective cohort study of patients with ARDS caused by severe pneumonia. Samples were collected from patients in the intensive care unit (ICU) of Jiangmen Central Hospital from January 2018 to August 2019. The control group (no-NGS group) was composed of patients given conventional microbiological tests to examine sputum, blood, or bronchoalveolar lavage fluid (BALF). The case group (NGS group) was composed of patients tested using mNGS. We evaluated the etiological diagnostic effect and clinical prognostic value of mNGS in patients with ARDS caused by severe pneumonia. **Results** 42 (44.2%) NGS and 53 (55.8%) no-NGS patients were evaluated. The mortality rate of the NGS group was significantly lower than that of the no-NGS group (21.4% VS 49.1%,  $P < 0.05$ ). The metagenomics NGS positivity rate was higher than that of the serological antibody test plus polymerase chain reaction (PCR) and sputum culture (91.1%, 28.9%, and 62.2% respectively). The pathogens detected by mNGS in the NGS group correlated with those detected by the sputum cultures with a consistency of 31.1%. The majority (62.5%) of the inconsistencies in detecting the pathogen were caused by a negative sputum culture. We compared the clinical data of immunosuppressive patients in the two groups and found that the length of stay in the ICU ( $P < 0.01$ ), the duration of mechanical ventilation ( $P < 0.05$ ), and the cost

of the ICU stay ( $P < 0.01$ ) in the NGS group were significantly lower than those in the no-NGS group. Conclusion mNGS is valuable tool to determine the etiological value of ARDS caused by severe pneumonia to improve the diagnostic accuracy and prognosis for this disease. For patients with severe disease, immunosuppression, or cases that cannot be diagnosed by routine methods, mNGS technology can be used to provide more diagnostic evidence and guide the use of medications.

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25

## 26 **Abstract**

## 27 **Background**

28 Metagenomic next-generation sequencing (mNGS) is a valuable diagnostic tool used to detect  
29 a broad range of pathogens in respiratory infections and severe pneumonia resulting in an earlier  
30 diagnosis of these diseases. However, little is known about the value of mNGS for the diagnosis  
31 and prognosis of acute respiratory distress syndrome (ARDS) caused by severe pneumonia.

## 32 **Methods**

33 We performed a retrospective cohort study of patients with ARDS caused by severe  
34 pneumonia. Samples were collected from patients in the intensive care unit (ICU) of Jiangmen  
35 Central Hospital from January 2018 to August 2019. The control group (no-NGS group) was  
36 composed of patients given conventional microbiological tests to examine sputum, blood, or  
37 bronchoalveolar lavage fluid (BALF). The case group (NGS group) was composed of patients  
38 tested using mNGS. We evaluated the etiological diagnostic effect and clinical prognostic value  
39 of mNGS in patients with ARDS caused by severe pneumonia.

## 40 **Results**

41 42 (44.2%) NGS and 53 (55.8%) no-NGS patients were evaluated. The mortality rate of the  
42 NGS group was significantly lower than that of the no-NGS group (21.4% VS 49.1%,  $P < 0.05$ ).  
43 The metagenomics NGS positivity rate was higher than that of the serological antibody test plus  
44 polymerase chain reaction (PCR) and sputum culture (91.1%, 28.9%, and 62.2% respectively).  
45 The pathogens detected by mNGS in the NGS group correlated with those detected by the  
46 sputum cultures with a consistency of 31.1%. The majority (62.5%) of the inconsistencies in  
47 detecting the pathogen were caused by a negative sputum culture. We compared the clinical data  
48 of immunosuppressive patients in the two groups and found that the length of stay in the ICU ( $P$   
49  $< 0.01$ ), the duration of mechanical ventilation ( $P < 0.05$ ), and the cost of the ICU stay ( $P < 0.01$ )

50 in the NGS group were significantly lower than those in the no-NGS group.

51 **Conclusion**

52 mNGS is valuable tool to determine the etiological value of ARDS caused by severe  
53 pneumonia to improve the diagnostic accuracy and prognosis for this disease. For patients with  
54 severe disease, immunosuppression, or cases that cannot be diagnosed by routine methods,  
55 mNGS technology can be used to provide more diagnostic evidence and guide the use of  
56 medications.

57

## 58 Introduction

59 Acute respiratory distress syndrome (ARDS) is typically caused by an infection, such as  
60 pneumonia, and is one of the main causes of death in critically ill patients. Approximately 31%<sup>[1]</sup>  
61 of patients with ARDS were admitted to the intensive care unit (ICU) with a mortality rate of  
62 19.7%-57.7%<sup>[2]</sup>. 10% of patients in the ICU and 23% of patients on mechanical ventilation had  
63 ARDS<sup>[3]</sup>. The mortality rate of patients with severe ARDS was 46%<sup>[3]</sup>. ARDS survivors are at  
64 greater risk of cognitive decline, depression, post-traumatic stress disorder, and persistent  
65 skeletal muscle weakness<sup>[4, 5]</sup>. Pneumonia brought about by various pathogens<sup>[6]</sup> may develop  
66 into ARDS, leading to multiple organ failure and death. Early pathogen detection is important for  
67 the treatment of ARDS caused by severe pneumonia.

68 The treatment guidelines<sup>[7]</sup> for ARDS focus on controlling the primary disease, initiating  
69 respiratory support therapy, and managing drug therapy. Respiratory support therapy includes  
70 sedation and analgesia, protective ventilation, lung reactivation, high positive end-expiratory  
71 pressure (PEEP), prone ventilation, high frequency oscillating ventilation, and extracorporeal  
72 membrane oxygenation (ECMO). The primary goal of respiratory support therapy is to minimize  
73 damage to the lung cells and to avoid the release of additional inflammatory mediators to provide  
74 sufficient time for treatment and lung recovery. Early pathogen detection and treatment is critical  
75 for patients with ARDS to prevent pathogen-induced pneumonia<sup>[6]</sup>.

76 Pathogens from bacteria and fungi are typically detected using cultures<sup>[8]</sup>. This method is not  
77 restricted by the pathogen content and can be used to identify and test for drug susceptibility<sup>[9]</sup>,  
78 however, there is only a 15-20% detection rate with a long turnover time for the cultures (3-5  
79 days). Nucleic acid hybridization and PCR are highly sensitive, specific tests used to detect  
80 pathogenic nucleic acid fragments in viruses, mycoplasma pneumonia, chlamydia, legionella,  
81 and other pathogens that are difficult to culture. However, primers should be designed for  
82 pathogens and detection types are limited. There is a limited sensitivity in the serological  
83 antibody test and there is a specific period during which antibody detection may be successful.

84 Metagenomics next generation sequencing was first used to diagnose a central nervous system  
85 infection of leptospira in 2014<sup>[10]</sup>. This emerging diagnostic method can quickly detect all  
86 nucleic acids in the samples<sup>[11, 12]</sup> and specimen types from different infection sites including the  
87 blood, respiratory tract, central nervous system (CNS), and abscesses. The pathogenic infection  
88 characteristics of ARDS caused by severe pneumonia are relatively unknown. The prognostic  
89 value of next-generation sequencing in severe pneumonia-induced ARDS has not been well  
90 studied. The purpose of this study was to retrospectively evaluate the value of mNGS technology  
91 in the diagnosis and clinical prognosis of ARDS caused by severe pneumonia.

## 92 **Materials & Methods**

### 93 **Ethics approval and consent to participate**

94 The protocol used in this retrospective study was reviewed and approved by the Ethical  
95 Review Committee of Jiangmen Central Hospital (No: 2019-15). Formal consent was obtained  
96 from patients or their next of kin.

### 97 **Study Patients**

98 A retrospective analysis was conducted on all cases of ARDS resulting from severe  
99 pneumonia in patients 18 years and older who were admitted to the ICU at Jiangmen Central  
100 Hospital from January 2018 to August 2019. For the purposes of our study, ARDS needed to be  
101 caused by severe pneumonia and was diagnosed according to the 2012 Berlin definition of the  
102 disease<sup>[13]</sup>. Patients were excluded from the study if their ARDS was not caused by severe  
103 pneumonia or they did not follow through with treatment for any reason.

104 All patients were endotracheally intubated and mechanically ventilated, and fiberoptic  
105 bronchoscopy was used to obtain microbial specimens. The mNGS test is typically seen as an  
106 optional test with a high cost, despite its use in our study hospital since 2018. Patients were  
107 included in the NGS group when relatives signed the informed consent and were willing to test;  
108 those who were not tested were grouped in the no-NGS group. Specimens from the two groups

109 were collected using bronchoscopy and were tested using conventional microbiological tests  
110 following a diagnosis of ARDS caused by severe pneumonia. Samples of bronchoalveolar lavage  
111 fluid (BALF) were taken from patients in the NGS group for pathogen testing at the BGI Clinical  
112 Laboratories (Shenzhen) Co., Ltd.

### 113 **Clinical treatment**

114 All patients used empirical antimicrobial treatment according to the Chinese Adult  
115 Community Acquired Pneumonia Diagnosis and Treatment Guide<sup>[14]</sup> and the Chinese Adult  
116 Hospital Acquired Pneumonia and Ventilator-associated Pneumonia Diagnosis Guide<sup>[15]</sup>, and  
117 combined with infection indicators and imaging information. All patients were treated with  
118 mechanical ventilation according to the ARDS ventilation guidelines<sup>[11]</sup>. The no-NGS patients  
119 were treated with an antibacterial regimen based on the results of conventional microbiological  
120 tests. The NGS patients adjusted the antibacterial regimen according to the NGS results.

### 121 **Information collection and analysis**

122 Patient data including age, gender, disease status, laboratory test results before treatment,  
123 ventilator parameters, conventional microbiological tests, serum biomarkers, ICU special  
124 treatment data, APACHE II and SOFA scores. Data were collected and compared between the  
125 two groups. The primary outcome was measured by a 28-day all-cause mortality. The secondary  
126 outcome was measured as length of stay in the ICU, duration of mechanical ventilation, duration  
127 of ECMO, duration of prone position ventilation, and ICU treatment costs. Patients showing  
128 signs of immunosuppression were selected from both groups and their prognosis was compared  
129 using the same aforementioned outcomes. Cox regression analysis was conducted to analyze the  
130 risk factors for the prognosis of ARDS. The mNGS results were compared with the results from  
131 conventional microbiological tests in the NGS group.

## 132 **Statistical Analysis**

133 The t-test was used to determine normal distribution and uniformity of the variance. The  
134 Wilcoxon rank test was used to calculate the variance of the measured data that was not normally  
135 distributed or had homogeneity of variance. The chi-square test was used to calculate the  
136 difference between the two groups. All statistical analyses were conducted using GraphPad 5.0  
137 and R3.4.4 software.  $P < 0.05$  was considered statistically significant.

## 138 **Results**

### 139 **Sample and patient characteristics**

140 105 patients with ARDS caused by severe pneumonia were screened in this study and 10  
141 patients were excluded based on exclusion criteria. 42 patients were placed in the NGS group  
142 and 53 patients were placed in the no-NGS group. 3 patients in the NGS group had two mNGS  
143 tests and a total of 45 BALF samples were sent for mNGS.

144 Patients demographics, characteristic baselines, and ICU special treatment in the NGS and no-  
145 NGS groups are shown in Tables 1, 2, and 3, respectively. There were no significant differences  
146 in age, sex ratio, disease status, laboratory examination, ventilator parameters, APACHE II and  
147 SOFA scores before treatment, and incidences of special treatment in the ICU between the two  
148 groups ( $P > 0.05$ ).

### 149 **Comparison outcomes between NGS and no-NGS groups**

150 There was a significant difference in 28-day all-cause mortality between the two groups  
151 ( $P=0.006$ ) (Table 4). The 28-day survival was significantly higher in the NGS group than in the  
152 no-NGS group (HR=2.41, 95% CI: 1.21-4.17,  $P=0.01$ ) (Fig. 1). There was no significant  
153 difference in the length of stay in the ICU, the duration of mechanical ventilation, ECMO, prone  
154 position ventilation, or the cost of the ICU stay between the two groups ( $P > 0.05$ ) (Table 4).

### 155 **Prognosis of ARDS patients**

156 Cox univariate analysis was performed on all factors and cox multivariate analysis was  
157 performed on the indexes when  $P < 0.2$  of the cox univariate analysis. The NGS or no-NGS group,  
158 length of stay in ICU, and APACHE II and SOFA scores before treatment were the risk factors  
159 in patients with ARDS caused by severe pneumonia. The NGS group had a better prognosis than  
160 no-NGS group ( $P = 0.005$ ). Those with a shorter stay in the ICU ( $P = 0.037$ ), and lower APACHE  
161 II before treatment ( $P = 0.016$ ) and SOFA scores before treatment ( $P = 0.003$ ) had a better  
162 prognosis (Table 5).

### 163 **Comparison of mNGS results and culture results in the NGS group**

164 Current research shows that mNGS testing is able to detect more pathogens than cultures. We  
165 analyzed the consistency of pathogens identified by both techniques. The test results were  
166 considered to be consistent when the pathogens identified by mNGS were the same as the  
167 pathogens obtained from sputum culture. The test results were also considered to be consistent if  
168 mNGS identified more pathogens than the culture method. The result was partially consistent  
169 when the pathogens identified by two methods were partially congruent. The result was  
170 considered to be inconsistent when the pathogens identified by the two methods varied  
171 completely. 31.1% of the identified pathogens in the NGS group were consistent, 15.6% were  
172 partially consistent, and 53.3% were completely inconsistent. In the inconsistent samples, 62.5%  
173 were negative for sputum culture, while 8.3% were negative for the mNGS results, and 29.2%  
174 were mismatched (Figure 2).

### 175 **Comparison metagenomics of NGS results and conventional microbiological tests**

176 The pathogenic microorganisms that cause severe pneumonia are typically bacteria, fungi, or  
177 viruses. Some special pathogens are difficult to obtain through culture, so we defined special  
178 pathogens as: Legionella, Tuberculosis, Mycoplasma / Chlamydia, Parasites, K. spores, *etc.*  
179 Severe pneumonia is not caused by a single pathogen and is typically accompanied by co-

180 infections. A co-infection is defined as a non-single pathogenic infection, such as bacteria +  
181 fungi / bacteria + virus / fungi + virus / bacteria + fungi + virus.

182 mNGS was significantly less reliable in detecting viruses than serological antibody tests plus  
183 PCR (6.7% vs. 26.7%,  $P=0.021$ ). mNGS in this study only detected DNA viruses from samples,  
184 however, the viruses identified by serological antibody tests plus PCR were RNA viruses, such  
185 as influenza A and influenza B. mNGS was significantly better at detecting bacteria than  
186 serological antibody testing plus PCR (24.4% vs. 0%,  $P=0.001$ ). mNGS was able to detect  
187 specific pathogens better than sputum culture (22.2% vs. 0%,  $P=0.001$ ) and serological antibody  
188 testing plus PCR (22.2% vs. 2.2%,  $P=0.007$ ). mNGS was significantly better at the identification  
189 of co-infections than serological antibody tests plus PCR (26.7% vs. 0%,  $P < 0.001$ ). mNGS  
190 proved to be significantly better at identifying pathogens than sputum culture (91.1% VS 62.2%,  
191  $P=0.001$ ) and serological antibody testing plus PCR (91.1% vs. 28.9%,  $P < 0.001$ ) (Table 6).

## 192 **Clinical medication guidance based on mNGS results**

193 In the NGS group, based on mNGS results, thirty patients (71.4%) were treated with empirical  
194 antibiotics that did not cover the whole pathogen. These patients adjusted the antibacterial  
195 regimen according to the mNGS results (Figure 3).

## 196 **Immunosuppressive patients**

197 The clinical features of the immunosuppressed patients were complicated. 21  
198 immunosuppressed patients were enrolled in our study, 8 were subjected to metagenomic NGS  
199 pathogen detection, and 13 did not undergo mNGS. 3 sputum cultures were positive in the NGS  
200 group, consistent with the pathogens identified by mNGS, including 5 *P. jirovecii*, 1 *Rhizopus*, 1  
201 *Cryptococcus*, and 1 human herpesvirus. 5 *P. jirovecii* is an opportunistic pathogen causing  
202 pneumonia that leads to death in patients, especially in those with low immune function, such as  
203 HIV-infected patients, those with tissue organ transplants, or those undergoing cancer  
204 radiotherapy and chemotherapy<sup>[16]</sup>. Five patients with *P. jirovecii* were found to have nephrotic

205 syndrome, dermatomyositis, multiple myeloma, and lymphoma. In the no-NGS group, 9 cases  
206 were positive for sputum culture, and 2 *S. maltophilia*, 2 *A. baumannii*, 1 *S. aureus*, 4 *Candida*,  
207 and 1 *Aspergillus* were detected. 4 cases had multi-drug resistant bacteria. There was no  
208 significant difference in the 28-day all-cause mortality between the two groups ( $P > 0.05$ ).  
209 However, there were significant differences in the length of stay in the ICU ( $P = 0.023$ ), the  
210 duration of mechanical ventilation ( $P = 0.030$ ), and the cost of stay in the ICU ( $P = 0.004$ )  
211 between the two groups of immunosuppressed patients (Figure 4).

## 212 Discussion

213 We explored the value of using mNGS for the etiological diagnosis and prognosis of ARDS  
214 caused by severe pneumonia and found that there were significant physiological indicators  
215 between the NGS and no-NGS groups. The mortality of the NGS group was significantly lower  
216 than that of the no-NGS group ( $P > 0.05$ ), and the 28-day survival rate was significantly higher  
217 than that of the no-NGS group ( $P < 0.05$ ). There were no differences between the two groups in  
218 the length of stay in the ICU, duration of mechanical ventilation, duration of ECMO, duration of  
219 prolonged ventilation time, and cost in of treatment in the ICU. Our results were consistent with  
220 previous studies by Wang<sup>[17]</sup>, who analyzed 178 patients with severe pneumonia and confirmed  
221 their diagnosis using mNGS, which increased the 90-day survival rate from 57.7% to 83.3%.  
222 This study showed that there was no increase in the ICU cost and that the cost of  
223 immunosuppressed patients with mNGS detection in the ICU was lower than that of patients  
224 without mNGS detection.

225 Compared with conventional microbiological tests, the mNGS method in this study had no  
226 obvious advantages for identifying simple bacteria, fungi and viruses, but was incredibly reliable  
227 for detecting special pathogens and patients with co-infections. mNGS quickly detected  
228 pathogenic microorganisms and improved treatment accuracy. Immunocompromised patients or  
229 those in critical condition were prone to co-infections and the mNGS method had obvious  
230 advantages in detecting pathogens in such patients. mNGS detected pathogenic bacteria in

231 immunosuppressed patients that was difficult to culture, including *P. jejeuni*, *Rhizopus*,  
232 *Cryptococcus*, and human herpesvirus 5. The NGS group had a lower mortality rate than the no-  
233 NGS group, however, this difference was not statistically significant (3/8 vs. 7/13,  $P=0.659$ ),  
234 which was likely due to the small sample size. The mNGS method could significantly reduce the  
235 length of stay in ICU, the duration of ventilation, and the cost of stay in the ICU for  
236 immunosuppressed patients ( $P<0.05$ ).

237 ARDS caused by severe viral pneumonia is a serious condition with a rapid onset. It is easy to  
238 develop from a virus infection to co-infection, and immunosuppressed patients are prone to  
239 concurrent viral infections. In the NGS group of patients diagnosed with viral pneumonia, there  
240 were 17 patients with bacterial or fungal, or bacterial and fungal infections. It is important to  
241 adjust the treatment regimen according to mNGS results and clinical indicators. All 6 cases of  
242 severe viral pneumonia in the NGS group were successfully treated with ECMO. mNGS is  
243 successful at detecting specific pathogens and a large-scale retrospective study conducted by  
244 Hu<sup>[18]</sup> found that mNGS sensitivity was greater than routine cultures and was better at detecting  
245 TB, fungi, viruses, and anaerobic bacteria. The effect of prior antibiotic used on mNGS was  
246 smaller than that of routine culture. Parize<sup>[19]</sup> found that mNGS was valuable for detecting  
247 pathogens in immunosuppressed patients. The positive rate virus and bacterial identification by  
248 mNGS was 3 times greater than routine methods. mNGS had a greater negative predictive value  
249 than routine methods.

250 There are limitations to the use of mNGS technology, despite its widespread use. There is no  
251 authoritative guide to the interpretation of the results of mNGS. The detection of a broad  
252 spectrum of pathogens by mNGS has caused problems in the diagnosis of pathogenicity of  
253 clinical pathogens with an inability to distinguish between background, colonization and  
254 pathogenic bacteria, and pollution. Better technology needs to be developed for mNGS to be  
255 used successfully in clinical applications.

256 mNGS lacks standardized technology, databases, and interpretation of results. mNGS

257 technology is not a substitute for conventional microbiological tests, however, in patients with  
258 severe disease, rapid disease progression, immunosuppression, or cases that cannot be diagnosed  
259 by conventional methods, it can be used to provide more evidence for clinical diagnoses and to  
260 guide the use of medications. The use of mNGS in clinical applications will: (1) achieve a faster  
261 diagnosis of pathogens and obtain information on the drug resistance of related pathogens; (2)  
262 identify microbial colonization or infection through monitoring the patient's immune response,  
263 which will eventually curb bacterial resistance, achieve a rational application of antibiotics, and  
264 ultimately reduce the economic and social burden of infectious diseases; (3) lower the cost of the  
265 mNGS test with the development of technology so that more patients benefit.

266 Our study was limited and the clinical prognosis was affected by many factors. The single-  
267 factor and multi-factor analysis of the clinical prognosis of ARDS caused by severe pneumonia  
268 found that a long ICU stay, high APACHE II score, and high SOFA score are risk factors for  
269 death related to ARDS. The use of mNGS to detect pathogens was protective against death from  
270 ARDS. Further studies should include a larger sample size involving a multi-center clinical,  
271 prospective, controlled study, which will help us better understand the prognostic value of NGS  
272 testing for ARDS caused by severe pneumonia.

## 273 **Conclusion**

274 mNGS technology is valuable for the treatment and prognosis of ARDS caused by severe  
275 pneumonia. mNGS technology is superior to conventional microbiological tests for the detection  
276 of special pathogens and co-infections. For patients with severe disease, immunosuppression, or  
277 cases that cannot be diagnosed by routine methods, mNGS technology can be used to provide  
278 more diagnostic evidence for an accurate diagnosis and to guide proper treatment.

## 279 **Declarations**

## 280 **Availability of data and material**

281 The datasets generated and/or analyzed during the current study are available in the (Figshare)

282 repository([https://figshare.com/articles/data\\_xlsx/10308617](https://figshare.com/articles/data_xlsx/10308617)). The data showed 95 patients with  
283 ARDS caused by severe pneumonia.

#### 284 **Competing interests**

285 Yan Chen is an employee of BGI Genomics. All authors declare that they have no competing  
286 interests.

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294 analysis, and interpretation of data and in writing the manuscript.

#### 295 **Authors' contributions**

296 All authors had accessed to the full dataset (including the statistical reports and tables) and  
297 take responsibility for the integrity of the data and the accuracy of the data analysis. All authors  
298 have read and approved the final manuscript.

299 Shengming Liu and Yanming Huang conceived and designed the study. They reviewed and  
300 approved the final report.

301 Peng Zhang, Yan Chen, Shuyun Li, Chaoliang Li, Shuang Zhang, Weihao Zheng, Yantang  
302 Chen, Jie Ma, Xin Zhang were involved in the case and sample collection, analysis,  
303 interpretation of the data and wrote the first draft of the paper.

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## 360 FIGURE LEGENDS

### 361 **Figure 1. Analysis of 28-day survival curves of patients in the NGS group and no-NGS**

362 **group.** The 28-day survival was significantly higher in the NGS group than in the no-NGS group  
363 (HR=2.41, 95% CI: 1.21-4.17,  $P=0.01$ )

### 364 **Figure 2. The consistency of sputum culture and mNGS pathogen detection in NGS group.**

365 31.1% of the identified pathogens in the NGS group were consistent, 15.6% were partially  
366 consistent, and 53.3% were completely inconsistent. In the inconsistent samples, 62.5% were  
367 negative for sputum culture, while 8.3% were negative for the mNGS results, and 29.2% were  
368 mismatched.

### 369 **Figure 3. Pathogen coverage of empirical antibiotic therapy in the NGS group.**

370 In the NGS group, based on mNGS results, thirty patients (71.4%) were treated with empirical  
371 antibiotics that did not cover the whole pathogen. These patients adjusted the antibacterial  
372 regimen according to the mNGS results.

### 373 **Figure 4. Clinical data of 21 immunosuppressive patients with NGS and no-NGS were**

374 **compared.** There were significant differences in the length of stay in the ICU ( $P=0.023$ ), the

375 duration of mechanical ventilation ( $P = 0.030$ ), and the cost of stay in the ICU ( $P = 0.004$ )  
376 between the two groups of immunosuppressed patients.

**Table 1** (on next page)

Patient characteristics and baseline of two groups.

There were no any differences in age, sex ratio, basis disease between two groups ( $P > 0.05$ ).

1 **Table 1.** Patient characteristics and baseline of two groups.

	NGS (n=42)	no-NGS (n=53)	<i>P</i> value
Age (yr)			
≥ 60, n (%)	21 (50.0)	33 (62.3)	0.231
< 60, n (%)	21 (50.0)	20 (37.7)	
Gender			
Male, n (%)	31 (73.8)	38 (71.7)	0.819
Female, n (%)	11 (26.2)	15 (28.3)	
<b>Basis disease</b>			
Hypertension, n (%)	13 (31.0)	17 (32.1)	0.907
Coronary heart disease, n (%)	3 (7.1)	5 (9.4)	0.690
COPD, n (%)	10 (23.8)	17 (32.1)	0.375
Chronic nephrosis, n (%)	7 (16.7)	6 (11.3)	0.452
Diabetes, n (%)	5 (11.9)	9 (17.0)	0.488
Immunosuppression, n (%)	8 (19.0)	13 (24.5)	0.523
Tumor, n (%)	10 (23.8)	11 (20.8)	0.722
Smoking, n (%)	20 (47.6)	17 (32.1)	0.123
Drinking, n (%)	4 (9.5)	5 (9.4)	0.988

2 Note: chi-square test was utilized to calculate the difference between two groups.  $P < 0.05$  was  
3 considered statistically significant. Abbreviations: COPD: chronic obstructive pulmonary  
4 disease.

**Table 2** (on next page)

Laboratory examination, Ventilator parameters, APACHE II score and SOFA score before treatment of two groups.

There were no any differences in laboratory examination, ventilator parameters, APACHE II score and SOFA score before treatment between two groups ( $P > 0.05$ ).

- 1 **Table 2.** Laboratory examination before treatment, Ventilator parameters, APACHE II score and  
 2 SOFA score before treatment of two groups.

	NGS (n=42)	no-NGS (n=53)	<i>P</i> value
<b>Laboratory examination before treatment</b>			
PCT (ug/L)	1.3 (0.5, 8.4)	2.5 (0.3, 10.6)	0.516
WBC (10 <sup>9</sup> /L)	10.5 (6.4, 15.4)	13.1 (7.5, 15.5)	0.189
Hb (g/L)	109 (85, 130)	105 (84, 129)	0.932
PLT (10 <sup>9</sup> /L)	159 (84, 205)	154 (112, 197)	0.780
Scr (μmol/L)	78 (64, 201)	97 (64, 121)	0.515
T.Bil (mmol/L)	11.8 (5.2, 17.2)	14.4 (7.8, 21.1)	0.071
ALT (IU/L)	28 (20, 47)	27 (20, 45)	0.612
Alb (g/L)	28.0 (23.6, 31.6)	28.2 (24.8, 32.6)	0.880
APTT (sec)	35.6 (31.0, 44.7)	34.7 (26.4, 48.1)	0.614
NT-proBNP (pg/ml)	652 (236, 2747)	656 (311, 2066)	0.482
Lac (mmol/L)	1.6 (1.4, 2.9)	1.7 (1.2, 2.5)	0.763
<b>Ventilator parameters</b>			
FiO <sub>2</sub>	0.8 (0.6, 1.0)	0.6 (0.5, 0.8)	0.992
Peep	10 (8, 15)	8 (6, 12)	0.272
OI	124 (76, 177)	156 (108, 194)	0.996
APACHE II score before treatment	22 (18, 26)	21 (17, 26)	0.500
SOFA score before treatment	7 (5, 8)	7 (4, 8)	0.875

- 3 Note: the measured data of patients' physiological indicators in the above table were shown by:  
 4 median (interquartile range). *P* < 0.05 was considered statistically significant. Abbreviations:  
 5 PCT: Procalcitonin; WBC: White blood cell; Hb: Hemoglobin; PLT: Platelet count; Scr: Serum  
 6 creatinine; T.Bil: Total bilirubin; ALT : Alanine aminotransferase; Alb: Albumin; APTT:  
 7 Activated partial thromboplastin time; NT-proBNP: N-terminal Pro-Brain Natriuretic Peptide;

- 8 Lac: Lactate; FiO<sub>2</sub>: Fraction of inspiration O<sub>2</sub>; Peep: positive end expiratory pressure; OI:
- 9 Oxygenation Index; APACHE-II: Acute physiology and chronic health evaluation-II; SOFA:
- 10 Sequential Organ Failure Assessment.

**Table 3** (on next page)

ICU special treatment of two groups.

There were no any differences in ICU special treatment between two groups ( $P > 0.05$ ).

1 **Table 3.** ICU special treatment of two groups.

	NGS (n=42)	no-NGS (n=53)	<i>P</i> value
Use of vasoactive agent, n (%)	24 (57.1)	30 (56.6)	0.958
CRRT, n (%)	9 (21.4)	7 (13.2)	0.288
ECMO, n (%)	6 (14.3)	3 (5.7)	0.177
Prone positioning, n (%)	10 (23.8)	11 (20.8)	0.722

2 Note: chi-square test was utilized to calculate the difference between two groups.  $P < 0.05$  was  
3 considered statistically significant. Abbreviations: ICU: Intensive care unit; CRRT: continuous  
4 renal replacement therapy; ECMO: Extracorporeal membrane oxygenation.

5

**Table 4**(on next page)

Outcome of two groups.

The primary outcome: There was a significant difference in 28-day all-cause mortality between the two groups ( $P = 0.006$ ). The second outcome: There was no significant difference in the length of stay in the ICU, the duration of mechanical ventilation, ECMO, prone position ventilation, or the cost of the ICU stay between the two groups ( $P > 0.05$ ).

1 **Table 4.** Outcome of two groups.

	NGS (n=42)	no-NGS (n=53)	<i>P</i> value
<b>Primary outcome</b>			
28-day all-cause mortality	9 (21.4%)	26 (49.1%)	<b>0.006*</b>
<b>The second outcome</b>			
Length of stay in ICU (d)	12 (7, 20)	11 (8, 15)	0.719
Duration of mechanical ventilation (h)	240 (144, 353)	216 (134, 311)	0.810
Duration of ECMO (d)	15 (11, 18)	10 (10, 23)	0.500
Duration of prone position ventilation (h)	89 (63, 117)	96 (71, 121)	0.345
Cost in ICU (thousand CNY)	82.3 (55.1, 211.1)	98.9 (68.9, 141.1)	0.297

2 Note: chi-square test was utilized to calculate the difference between two groups. The  
3 measured data of patients' outcomes in the above table were shown by: median (interquartile  
4 range).  $P < 0.05$  was considered statistically significant.

**Table 5** (on next page)

Cox multivariate analysis of two groups of patients.

The NGS group had a better prognosis than no-NGS group ( $P = 0.005$ ). Those with a shorter stay in the ICU ( $P = 0.037$ ), and lower APACHE II before treatment ( $P = 0.016$ ) and SOFA scores before treatment ( $P = 0.003$ ) had a better prognosis.

1 **Table 5.** Cox multivariate analysis of two groups of patients.

	HR	Lower .95	Upper .95	<i>P</i> value
mNGS (yes/no)	0.263	0.105	0.663	<b>0.005*</b>
Age (yr)	1.013	0.988	1.038	0.322
Length of stay in ICU (d)	0.888	0.794	0.993	<b>0.037*</b>
APACHE II score before treatment	1.112	1.020	1.212	<b>0.016*</b>
SOFA score before treatment	1.339	1.105	1.622	<b>0.003*</b>
Coronary heart disease (yes/no)	1.660	0.556	4.958	0.364
Bronchiectasis (yes/no)	1.128	0.331	3.843	0.848
Diabetes (yes/no)	0.324	0.088	1.195	0.091
Hb (g/L)	0.993	0.980	1.006	0.284
T.Bil (mmol/L)	0.999	0.987	1.012	0.882
Be	1.063	0.996	1.133	0.064
Use of vasoactive agent (yes/no)	1.443	0.587	3.548	0.424
ECMO (yes/no)	1.212	0.067	21.764	0.896
Cost in ICU (CNY)	1.000	1.000	1.000	0.477

2 Note:  $P < 0.05$  was considered statistically significant.

**Table 6**(on next page)

Compare the efficiency of three methods for detecting different types of microorganisms

mNGS was significantly less reliable in detecting viruses than serological antibody tests plus PCR (6.7% vs. 26.7%,  $P = 0.021$ ). mNGS was significantly better at detecting bacteria than serological antibody testing plus PCR (24.4% vs. 0%,  $P = 0.001$ ). mNGS was able to detect specific pathogens better than sputum culture (22.2% vs. 0%,  $P = 0.001$ ) and serological antibody testing plus PCR (22.2% vs. 2.2%,  $P = 0.007$ ). mNGS was significantly better at the identification of co-infections than serological antibody tests plus PCR (26.7% vs. 0%,  $P < 0.001$ ). mNGS proved to be significantly better at identifying pathogens than sputum culture (91.1% VS 62.2%,  $P = 0.001$  ) and serological antibody testing plus PCR (91.1% vs. 28.9%,  $P < 0.001$ )

1 **Table 6.** Compare the efficiency of three methods for detecting different types of  
 2 microorganisms

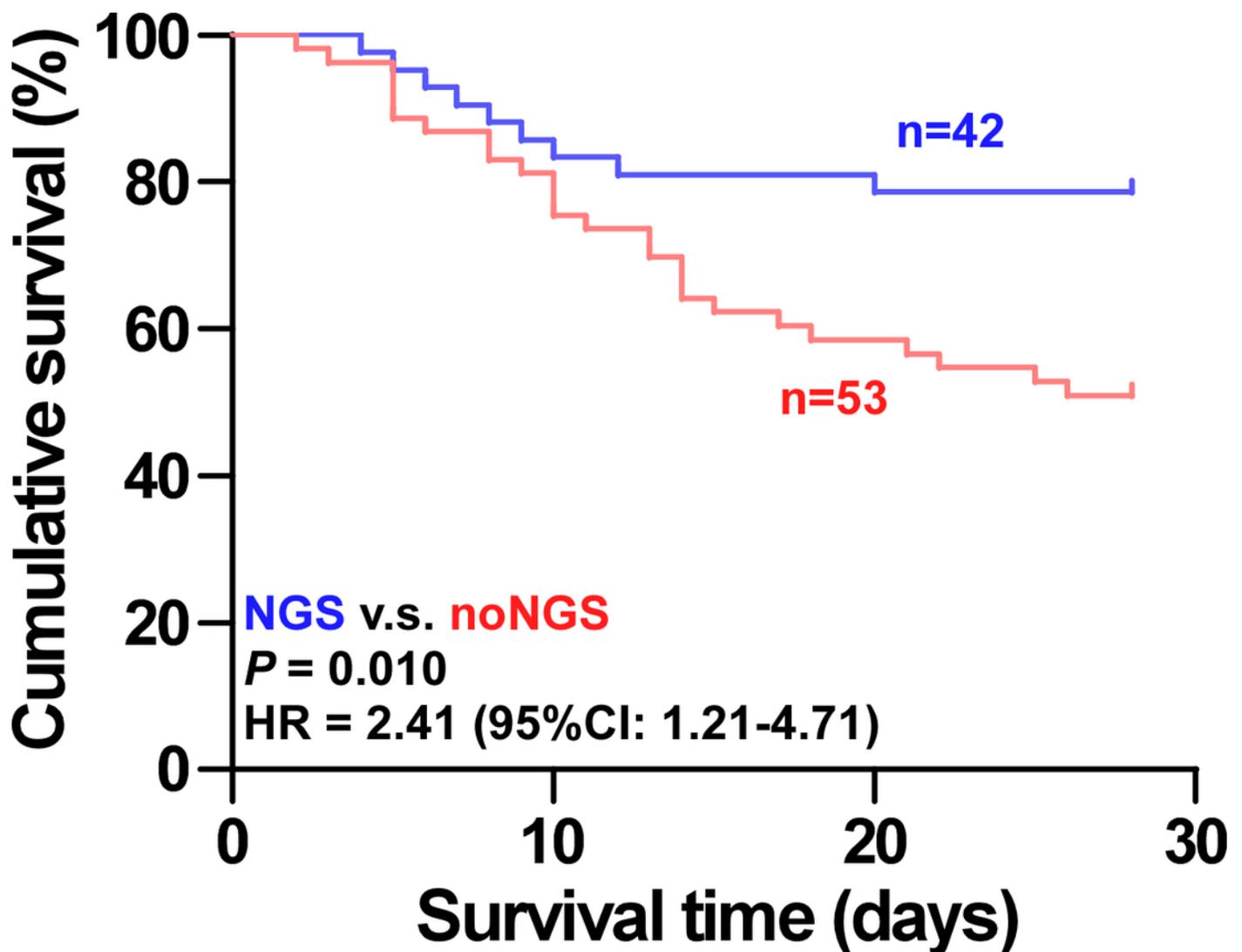
□	Method A (n=45)	Method B (n=45)	Method C. (n=45)	<i>P</i> value, A vs. B	<i>P</i> value, A vs. C
Only virus, n (%)	3 (6.7)	0 (0.0)	12 (26.7)	0.24	<b>0.021*</b>
Only bacterial, n (%)	11 (24.4)	15 (33.3)	0 (0.0)	0.486	<b>0.001*</b>
Only fungus, n (%)	5 (11.1)	5 (50.0)	0 (0.0)	1	0.056
Special pathogen, n (%)	10 (22.2)	0 (0.0)	1 (2.2)	<b>0.001*</b>	<b>0.007*</b>
Co-infection, n (%)	12 (26.7)	8 (17.8)	0 (0.0)	0.311	<b>&lt;0.001*</b>
Overall Positive, n (%)	41 (91.1)	28 (62.2)	13 (28.9)	<b>0.001*</b>	<b>&lt;0.001*</b>

3 Note: Method A: mNGS; Method B: Sputum culture; Method C: Serological antibody test  
 4 plus PCR. Chi-square test was utilized to calculate the difference between two groups.  $P < 0.05$   
 5 was considered statistically significant.

## Figure 1

Analysis of 28-day survival curves of patients in the NGS group and no-NGS group.

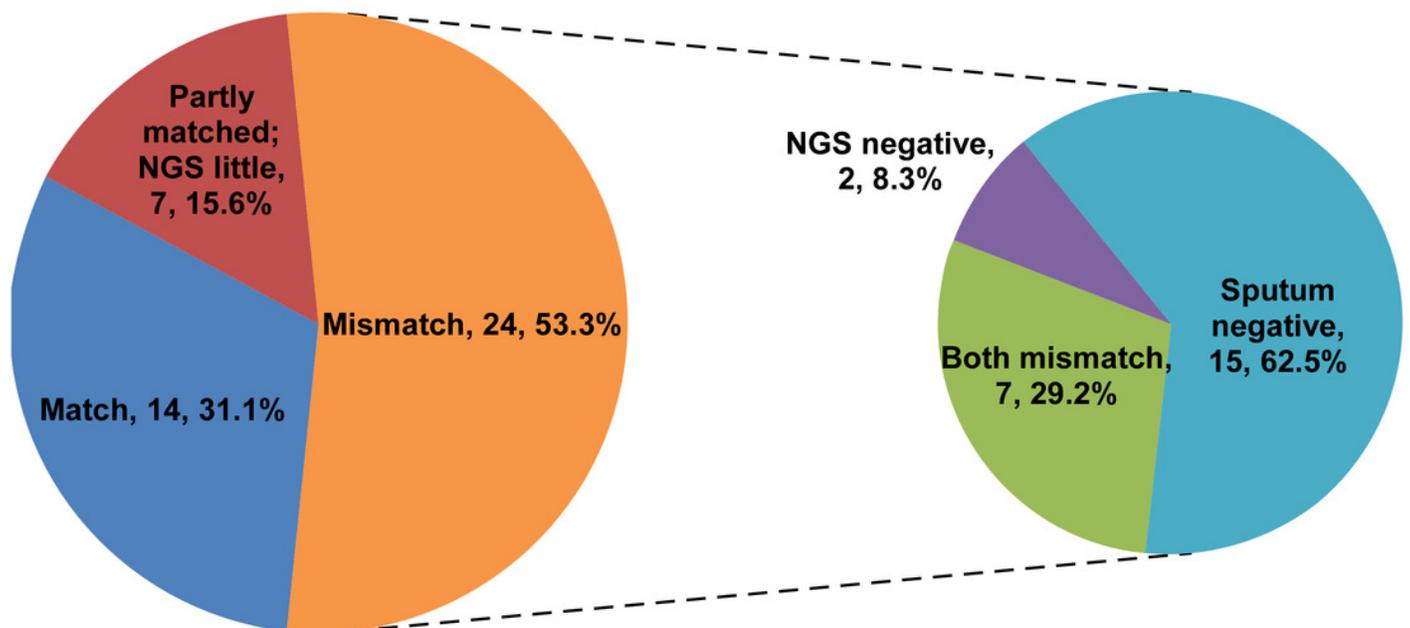
The 28-day survival was significantly higher in the NGS group than in the no-NGS group (HR=2.41, 95% CI: 1.21-4.17,  $P=0.01$ )



## Figure 2

The consistency of sputum culture and mNGS pathogen detection in NGS group.

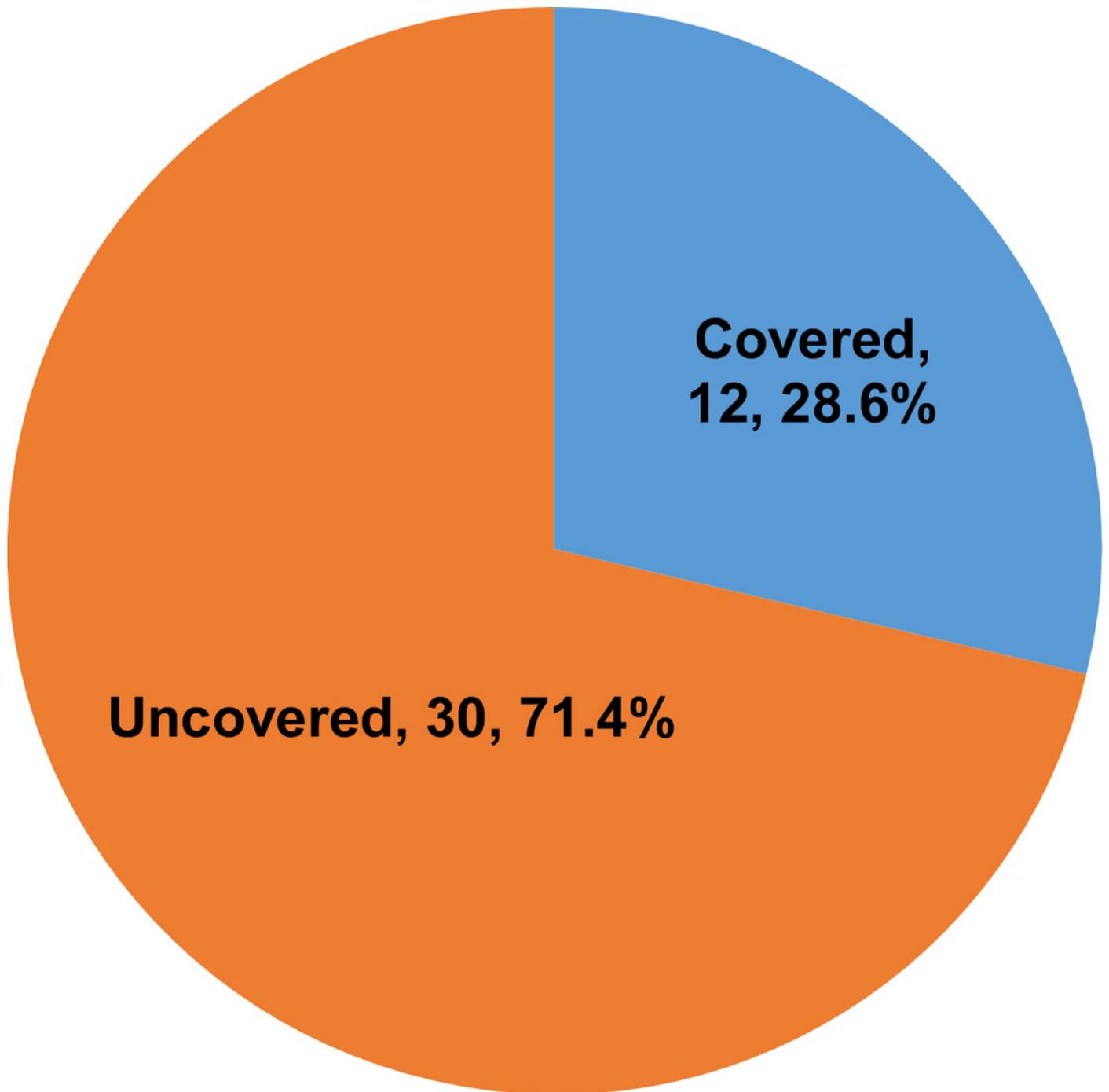
31.1% of the identified pathogens in the NGS group were consistent, 15.6% were partially consistent, and 53.3% were completely inconsistent. In the inconsistent samples, 62.5% were negative for sputum culture, while 8.3% were negative for the mNGS results, and 29.2% were mismatched



## Figure 3

Pathogen coverage of empirical antibiotic therapy in the NGS group.

In the NGS group, based on mNGS results, thirty patients (71.4%) were treated with empirical antibiotics that did not cover the whole pathogen. These patients adjusted the antibacterial regimen according to the mNGS results.



## Figure 4

Clinical data of 21 immunosuppressive patients with NGS and no-NGS were compared.

There were significant differences in the length of stay in the ICU ( $P = 0.023$ ), the duration of mechanical ventilation ( $P = 0.030$ ), and the cost of stay in the ICU ( $P = 0.004$ ) between the two groups of immunosuppressed patients

