

# Density and diversity of macroinvertebrates in Colombian Andean streams impacted by mining, agriculture and cattle production

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**Background.** Mining, agriculture and cattle production are activities that threaten the quality and quantity of water resources in the Colombian Andean region. However, many drainage basins in region have not been subjected to a simultaneous (same climatic period) evaluation of the impact these activities have on the density, diversity and composition of aquatic macroinvertebrates (AMI). The first two of these ecological variables are expected to decrease drastically from zones with no apparent impact towards areas with anthropogenic activity, among which areas with mining activity will present the most impoverished AMI community.

**Methods.** This study evaluated the AMI density, diversity and composition dissimilarity in streams impacted by gold mining, agriculture and cattle production (sampling zones). Six bimonthly samplings were conducted (February 2014 - February 2015) using a Surber-net. Hydrological, physicochemical and bacteriological parameters (HPCB) were measured in two reference zones and in one zone per impact type. Diversity was evaluated regarding to richness (<sup>0</sup>D), typical diversity (<sup>1</sup>D) and effective number of most abundant morphospecies (<sup>2</sup>D). Compositional dissimilarity was examined through NMDS, ANOSIM tests, and SIMPER.

**Results.** 7525 individuals of 18 orders, 48 families, 53 genera and 86 morphospecies were collected. The prediction about the density and diversity of AMI was partially fulfilled: the agricultural zone presented an AMI community so more impoverished than the gold mining zone. However, these zones had less diversity than the cattle production and reference zones. AMI density only differed significantly between one reference zone and the agricultural zones, and did not differ significantly from the other sampling zones. The AMI composition in the agricultural zone differed considerably from the other zones. Thus, the increased AMI density coincided with the dominance of pollution tolerant taxa such as *Simulium* in the stream surrounded by agricultural activities

**Discussion.** The observation of a more impoverished AMI community in areas with agricultural production compared to those of mining or cattle production may reflect the importance of the remaining riparian vegetation, which was scarce in the agricultural zone. Moreover, the reduced AMI richness in the agricultural zone, coincided with the absence of genus, such as *Anacroneuria*, *Marilia*, and *Camelobaetidius*, which are intolerant to deterioration of the biological and physicochemical conditions of the water.

**Conclusions.** The results suggest that the local impact of agricultural activity may be of equal or greater magnitude than that of mining on AMI density, diversity and composition in a Colombian Andean streams. Future studies should evaluate, over the annual cycle, the effects of the productive activity, the remaining native vegetation cover and the consequent changes in the HPCB parameters of the water on AMI communities in Colombian Andean basins.

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2 **mining, agriculture and cattle production**

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24 **Abstract**

25 **Background.** Mining, agriculture and cattle production are activities that threaten the quality and  
26 quantity of water resources in the Colombian Andes. However, many drainage basins in this  
27 region have not been subjected to a simultaneous evaluation of the impact these activities have  
28 on the density, diversity and composition of aquatic macroinvertebrates (AMI). The first two of  
29 these ecological variables are expected to decrease drastically from zones with no apparent  
30 impact towards areas with anthropogenic activity, among which areas with mining activity will  
31 present the most impoverished AMI community.

32 **Methods.** This study evaluated the density, diversity and composition dissimilarity of AMI in  
33 small streams impacted by gold mining, agriculture and cattle production. Parallely, two  
34 reference small streams (where anthropogenic impact was not obvious) were studied. Six  
35 bimonthly benthic samplings were conducted (February 2014 - February 2015) in each stream  
36 using a Surber-net. Water samples for environmental comparison among afore selected streams,  
37 included hydrological, physicochemical and bacteriological parameters (HPCB). Diversity was  
38 evaluated as the effective number of RTUs - recognizable taxonomic units ( $^qD$ ) by comparing the  
39 richness ( $^0D$ ), typical diversity ( $^1D$ ) and effective number of most abundant RTUs ( $^2D$ ).  
40 Compositional dissimilarity was examined through nMDS analysis, ANOSIM tests, and  
41 SIMPER.

42 **Results.** 7483 organisms were collected, belonging to 14 orders, 42 families and 71 RTUs (57  
43 were at genera and 14 at family levels). Our prediction about density and diversity of AMI  
44 (Reference > Cattle production > Agriculture > Mining) was partially fulfilled, where the  
45 agriculture-dominated stream presented an AMI community more impoverished (both taxa  
46 density and richness) than that of the gold mining stream. However, these streams had less

47 diversity than cattle production and reference streams. Besides, AMI density only differed  
48 significantly between one reference stream and the agriculture stream, and did not differ  
49 significantly from the others sampling zones. The AMI composition in the agriculture-dominated  
50 stream was clearly separated from the other streams. Thus, the increased AMI density coincided  
51 with the dominance of pollution tolerant taxa such as *Simulium* in the stream surrounded by  
52 agricultural activities.

53 **Discussion.** The observation of a more impoverished AMI community in areas with agricultural  
54 production compared to those of mining or cattle production may reflect the importance of the  
55 remaining riparian vegetation, which was scarce in the stream with agricultural activity.  
56 Moreover, the low diversity, and mainly the reduced AMI richness in the agriculture stream,  
57 coincided with the absence of insect genera, such as *Anacroneuria*, *Marilia* and  
58 *Camelobaetidius*, which are intolerant to deterioration of the biological and physicochemical  
59 conditions of the water.

60 **Conclusions.** The results suggest that the local impact of agricultural activities may be of equal  
61 or greater magnitude than that of mining, in terms of AMI density, diversity and composition in  
62 Colombian Andean riverscape. Thus, future studies should systematically evaluate, throughout  
63 the annual cycle, the relative effects of the productive land use, the remaining native vegetation  
64 cover and the consequent changes in the HPCB parameters of the water on AMI communities in  
65 Colombian Andean basins.

66

67 **Keywords:** Aquatic Insects, Hill serie, Biomonitoring, Neotropical region.

68

69 **Introduction**

70 Over the last four decades, pressure on lotic systems has increased in an accelerated manner at  
71 the global level as a consequence of the rapid expansion of areas of anthropogenic exploitation  
72 (Haddeland et al., 2014). Among the main threats to global freshwater diversity are  
73 overexploitation, water pollution, flow modification, habitat destruction or degradation and  
74 invasion of exotic species (Dudgeon et al., 2006; Vörösmarty et al., 2010; Malaj et al., 2014).  
75 Reid et al. (2019) explain that there are twelve threats to diversity, including previous ones, more  
76 intensified, and some new ones that threaten aquatic ecosystems. However, habitat degradation is  
77 one of the main threats to freshwater ecosystems. Continuous overuse increases the deforestation  
78 rate of riparian vegetation and runoff, causing changes in the morphology of the water stream.  
79 These modified the physicochemical parameters of the water, contributing to the impoverishment  
80 of aquatic biodiversity (Etter & Wyngaarden, 2000; Zapata et al., 2007; Larson, Dodds & Veach,  
81 2019).

82

83 In particular, different studies have proven how mining, agricultural and cattle production are a  
84 threat to the quality of, and access to, hydric resources (Lucia et al., 2017; Grudzinski & Daniels,  
85 2018; Mwangi et al., 2018). In Colombia, agriculture, cattle production and mining over the last  
86 decade have put the quality and availability of hydric resources at risk (Chará-Serna et al., 2015;  
87 Villada-Bedoya et al., 2017; Villada-Bedoya, Triana-Moreno & Dias, 2017; Ramírez et al.,  
88 2018). These activities threaten the lotic systems of the Andes, where the human population of  
89 the country presents its highest concentration (Murtinho et al., 2013; Guevara, 2014; Chará-  
90 Serna et al., 2015).

91

92 For the study the impact of human activities on freshwater ecosystems, the aquatic

93 macroinvertebrates (AMI) has been used as bioindicators (e.g., González, Basaguren & Pozo,  
94 2003; Prat et al., 2009; Buss et al., 2017). This is due to the fact that, at both community and  
95 population level, these organisms are highly sensitive to changes in the physicochemical  
96 properties of the water and to habitat quality (Alonso & Camargo, 2005; Carter, Resh &  
97 Hannaford, 2017). Different studies in the Neotropics have evaluated the effect of mining,  
98 agricultural and cattle production activities on AMI (e.g., Villamarín-Flores, 2008; Hepp et al.,  
99 2010; Mesa, 2010; Miserendino & Masi, 2010; Ordóñez, 2011; Egler et al., 2012; Terneus,  
100 Hernández & Racines, 2012; Fierro et al., 2015). In recent years, there has been an increased  
101 study in Colombia of the effect on AMI communities of activities of cattle production (e.g.  
102 Chará & Murgueitio, 2005; Ramírez et al., 2018), agriculture (e.g. Feijoo, Zúñiga & Camargo,  
103 2005; Galindo-Leva et al., 2012; Villada-Bedoya, Triana-Moreno & Dias, 2017) and mining  
104 (Gómez, 2013). These studies have documented changes in the ecological attributes of the AMI  
105 as a consequence of anthropogenic alterations to inland water resources.

106

107 In the case of species richness, greater values have been recorded in reference streams compared  
108 to streams with the influence of mining, agriculture and cattle production (Feijoo, Quintero &  
109 Fragoso, 2006; Egler et al., 2012; Terneus, Hernández & Racines, 2012), mainly as a result of  
110 the reduction in riparian vegetation and use of polluting substances. In terms of abundance (or  
111 density), some studies have recorded greater values in sites with anthropogenic impacts  
112 compared to those with higher surrounding vegetation (Chará & Murgueitio, 2005; Miserendino  
113 & Masi, 2010). This is due to the dominance of certain taxa, as has been observed in agriculture  
114 (Egler et al., 2012) and cattle production-dominated streams (Mesa, 2010; Giraldo et al., 2014).  
115 Likewise, AMI composition has also presented important differences between streams with and

116 without evident anthropogenic impact (Hepp et al., 2010).

117

118 Among the activities that more degrade the aquatic ecosystem, mining activity has been  
119 considered to have strong effects on water quality and quantity due to mining wastes and  
120 ecological impairment of the habitats (Cidu, Biddau & Fanfani, 2009; Wright & Ryan, 2016).

121 The diversion of the channel and the removal of organic matter and sediments affect the  
122 availability of refuge and food for benthic organisms, making it difficult to colonize and recover  
123 long-term communities (Milner & Piorkowsk, 2004). However, few studies have evaluated the  
124 effect of mining, agriculture and cattle production in the Andean streams in a simultaneous way  
125 (see Villada-Bedoya et al., 2017; Villada-Bedoya, Triana-Moreno & Dias, 2017; Ramírez et al.,  
126 2018). It is important to recognize that the Neotropical region presents a wide variety of climatic  
127 conditions and habitat heterogeneity, for which reason, patterns of diversity are dynamic and can  
128 be influenced by many factors (land use, local geography, availability of riparian vegetation,  
129 among others). Hence further knowledge is necessary about patterns of AMI density and  
130 diversity (Guevara, 2014; Buss et al., 2017).

131

132 This study evaluated the density, diversity and compositional dissimilarity of the AMI in  
133 contrasting headwater streams of the Colombian Andes; two near-pristine, and one stream  
134 immersed separately in zones with agricultural, cattle production and gold mining activities, in  
135 the Chinchiná river basin (Caldas, Colombia). According to the assumed impact of each  
136 productive land use, we expected that: 1) AMI density will increase from the two reference  
137 streams to those of agriculture, cattle production and mining, 2) this increase in density will  
138 reflect an increased dominance of taxa that are tolerant to the water pollution, and 3) there will

139 be a maximum impoverishment of AMI diversity in the zone with gold mining activity.

140

## 141 **Materials and Methods**

142

143 **Study area.** The selected streams are located on the western slope of the central cordillera of the  
144 Colombian Andes, in the municipalities of Villamaría and Manizales (Caldas, Colombia). They  
145 are tributaries of the Chinchiná river basin. Five stream length of 100 m per productive activity  
146 (agriculture, cattle production and gold mining) and -two- reference conditions, i.e., streams with  
147 no evident local anthropogenic impacts, were selected (Fig. 1).

148

149 Reference 1 (Ref1): Located in the stream La Elvira, sector Maltería (Manizales: 05°03'10.9"N,  
150 75°24'33.6"W) at 2766 m asl. This area presents riparian vegetation greater than 15 m in width,  
151 which is composed mainly of herbaceous plants, shrubs and trees. The most representative plant  
152 species include *Aiouea* sp., *Clethra revoluta* Ruiz and Pav., *Dunalia solanacea* Kunth, *Miconia*  
153 *superposita* Wurdack and *Verbesina nudipes* S.F. Blake.

154

155 Reference 2 (Ref2): Located in the stream La Floresta (Villamaría: 05°1'42.1"N, 75°31'10.9"W)  
156 at 1720 m asl, close to agricultural zones and used as an area of recreation. Its riparian area is  
157 more than 15 m in width and presents elements characteristic of a conserved forest (Guariguata  
158 & Ostertag, 2002), such as large trees of the families Moraceae (*Ficus* sp., *Coussapoa duquei*  
159 Standley), Lauraceae (*Nectandra* sp.) and Boraginaceae (*Cordia panamensis* L. Riley).

160

161 Cattle production (CP): Located in the stream Cimitarra, sector Maltería (Manizales:

162 05°04'32.0"N, 75°24'0.60"W) at 2550 m asl. It is surrounded by grazing pastures, although the  
163 cattle have no access to the stream due to the presence of a strip of vegetation of approximately 3  
164 m in width on both banks dominated by species of early succession such as: *Baccharis latifolia*  
165 Ruiz and Pavón, *Miconia superposita* Wurdack, *Rubus glaucus* Benth, *Aphelandra acanthus*  
166 Nees, *Solanum phaeophyllum* Werderm and *Tibouchina lepidota* Bonpl. In addition, two  
167 introduced plant species were recorded: *Pennisetum clandestinum* Hochst. ex Chiov (Poaceae),  
168 cultivated as pasture, and *Lachemilla orbiculata* Ruiz & Pav. (Rosaceae), a plant species  
169 abundant in grazing pastures of cold climates (Vargas, 2002).

170

171 Agriculture (Agr): Corresponding to the stream “Don Alonso” (Villamaría: 05°01'50.79"N,  
172 75°31'39.59"W) at 1849 m asl. The riparian vegetation is practically absent (only small shrubs,  
173 grasses, and sparse herbaceous persist). In addition, this area has closer gardens and vegetable  
174 cultivars, alternating with the following species: *Brassica oleracea* var. *capitata* Linnaeus and  
175 *Brassica oleracea* var. *italica* Linnaeus, *Sechium edule*. (Jacq.) Sw., *Musa velutina* H. Wendl.  
176 and Drude, *Guadua angustifolia* Kunth, *Urera baccifera* (L.) Gaudich., *Piper* cf. *crassinervium*  
177 Kunth, *Montanoa quadrangularis* Schultz Bipontianus, *Cecropia angustifolia* Trécul.

178

179 Mining (Mi): Located on the stream La Elvira (Manizales: 05°03'4.4"N, 75°24'33.1"W) at 2725  
180 m asl. Its riparian zone is fragmented by land use change through activities of auriferous mining  
181 extraction using mercury. The stream presents vegetation comprising grazing pastures and  
182 secondary forest with an approximate width of 1 to 2 m, dominated by grasses (*Pennisetum*  
183 *clandestinum* Hochst. ex Chiov), herbaceous plants (*Coniza bonariensis* (L.) Cronquist),  
184 *Hypochaeris radicata* L., *Taraxacum officinale* G. H. Weber ex Wigg, *Lachemilla orbiculata*

185 Ruiz and Pavón, *Plantago major* L., *Gunnera brephogea* Linden & André) and some juvenile  
186 trees (*Baccharis latifolia* Ruiz and Pavón and *Miconia cf. theaezans* Bonpl.).

187

188 **Collection of organisms.** The AMI density (ind/m<sup>2</sup>), diversity and composition of RTUs were  
189 evaluated based on the RBP - Rapid Bioassessment Protocols (Barbour et al., 1999). We used a  
190 Surber net (30 x 30 cm, 250 µm mesh size) with three replicates per substrate (leaf litter, rock  
191 and sediment; Aazami et al., 2015), in six sampling events per stream (between February 2014  
192 and February 2015), totalizing 54 samples per zone. The collected material was fixed in vials  
193 containing 96% alcohol and the AMI identified to the lowest taxonomic practical level (usually  
194 genus) using the taxonomic keys of Merritt & Cummins (1996), Domínguez et al. (2006) and  
195 Domínguez & Fernández (2009). Specimen collection permits were regulated by Resolution  
196 1166 of October 9<sup>th</sup>, 2014, issued by the National Environmental Licenses Authority (ANLA) of  
197 Colombia and by Decree 1376 of June 27<sup>th</sup>, 2013 from the Colombian Ministry of Environment  
198 and Sustainable Development. The material was deposited in the Entomological Collection of  
199 the Programa de Biología of the Universidad de Caldas - CEBUC (certified collection under  
200 register: No 188 by Instituto de Investigación de Recursos Naturales Alexander von Humboldt).

201

202 **Hydrological, physicochemical, and bacteriological parameters.** The environmental  
203 characterization of the sampling streams included five supplementary hydrological parameters,  
204 as well as 27 hydrological, physicochemical and bacteriological parameters (hereafter HPCB),  
205 plus elevation (m asl). Among the hydrological parameters, the water flow volume (m<sup>3</sup>/s) was  
206 measured in each sampling event and mean weekly precipitation per month of sampling was  
207 recorded (mm/week) (IDEAM, 2015). In February, July and November 2014, the following

208 water and stream parameters were measured (*in situ*, Table 1): velocity (m/s), width (m), depth  
209 (cm), temperature (Temp, °C), pH, conductivity (Con, µS/m) and dissolved oxygen (DO, mg/L).  
210 Temperature, pH and conductivity were measured with a multiparameter equipment OAKLON  
211 brand model PH/CON 300, and dissolved oxygen was measured with Lutron brand dissolved  
212 oxygen meter model do-5510. Water samples were taken and transported to the IQ&A certified  
213 laboratory (Ingenieros químicos y asociados S.A., Manizales, Colombia) for determination of the  
214 following parameters (Table 1): chlorides (Ch, mg/L), sulphates (SO<sub>4</sub>, mg/L), nitrites (NO<sub>2</sub>,  
215 mg/L), phosphates (PO<sub>4</sub>, mg/L), fats and oils (FO, mg/L), biochemical oxygen demand (BOD,  
216 mg/L), chemical oxygen demand (COD, mg/L), total dissolved solids (TS, mg/L), total  
217 suspended solids (TSS, mg/L), ammoniacal nitrogen (NH<sub>3</sub>-N, mg/L), aluminum (Al, mg/L),  
218 mercury (Hg, mg/L), total iron (Fe, mg/L), lead (Pb, mg/L), cyanide (Cy, mg/L), boron (B,  
219 mg/L), *Escherichia coli* (Ecoli, CFU/100 mL) and total coliforms (Tc, CFU/100 mL) (Chará,  
220 2003; Sánchez, 2004).

221

222 **Data analysis.** Values of AMI density among sampling zones were analyzed with a non-  
223 parametric repeated measures Friedman test (n = 6 sampling events) and particular differences  
224 among streams were identified with a *post-hoc* Nemenyi test (Zar, 2010). Diversity was  
225 estimated as the effective number of RTUs or diversity order q (<sup>q</sup>D; Jost, 2006):

226

$${}^qD = \left( \sum_{i=1}^S p_i^q \right)^{1/(1-q)}$$

227 Where  $p_i$  is the relative abundance (proportional abundance) of the  $i$ -th RTUs.  $S$  is the number of  
228 RTUs and  $q$ -value is the order of the diversity. When  $q = 0$ , richness is obtained. When  $q \approx 1$ , the  
229 effective number of equally common genera is obtained. This is equivalent to the exponential of  
230 the Shannon index of entropy and does not present bias as a result of the presence of either rare

231 or abundant RTUs in the sampling. Finally, when  $q = 2$ , the value of diversity indicates the  
232 effective number of more abundant RTUs in the sampling and is equivalent to the inverse of the  
233 Simpson index of entropy (Moreno et al., 2011).

234

235 Since the continuous variable of density was used as an abundance measure, estimation of  
236 sample coverage ( $\hat{C}_n$ , see Chao & Jost, 2012) *per* stream was not required prior to making the  
237 diversity comparisons. In each case, we obtained completeness of 100% (absence of singletons),  
238 and the diversity comparison was therefore made directly on the observed values of  ${}^qD$ . The CI  
239 95% of each expression of diversity ( ${}^0D$ ,  ${}^1D$ ,  ${}^2D$ ) was used as a statistical criterion, in which an  
240 absence of overlap between the CI 95% indicated significant differences between the values of  
241 diversity (Cumming, Fidler & Vaux, 2007; Chao et al., 2020). Estimation of  ${}^qD \pm$  CI 95% was  
242 conducted with the package iNEXT of R (Hsieh, Ma & Chao, 2015).

243

244 By expressing diversity as the effective number of RTUs and making comparisons under the  
245 same and maximum sample coverage (100%), the replication principle is met, and it is possible  
246 to calculate the magnitude of the difference in diversity ( $MD = \text{Sampling Site 2} / \text{Sampling Site}$   
247  $1$ ) among communities (Jost, 2006; Moreno et al., 2011). It is thus possible to determine how  
248 many times one zone is more or less diverse than another. In addition, comparison of  ${}^qD \pm$  CI  
249 95% under the effective numbers of RTUs eliminates estimation bias due to the high density of  
250 certain aquatic insect groups, such as the dipterans (e.g., Chironomidae). It would be impossible  
251 to avoid this bias using the classic protocol for the use of rarefaction curves, which relies on a  
252 comparison based on the minimum sample size or minimum abundance.

253

254 The compositional dissimilarity of AMI RTUs was examined with a non-metric  
255 multidimensional scaling (nMDS), based on the Bray–Curtis index (Quinn & Keough, 2002). An  
256 ANOSIM was used to determine whether the compositional dissimilarity was greater between  
257 zones than within them, and the contribution of the RTUs to the dissimilarity was subsequently  
258 established using a SIMPER (Quinn & Keough, 2002). In a complementary manner, the patterns  
259 of density, diversity and compositional dissimilarity were discussed with respect to HPBC  
260 parameters. First, we used a Spearman correlation analysis to examine how changes in AMI  
261 density were related to flow and precipitation (Table S1). Secondly, due the HPBC was  
262 measured only in three sampling moments (i.e., Feb, Jul, Nov 2014), we carry out a CCA  
263 analysis to evaluate the association patterns among AMI's RTUs, sites and HPBC parameters  
264 regarding pair-consecutive sampling events of AMIs: Feb14+Apr14; Jul14+Sept14;  
265 Nov14+Feb15; this temporal grouping of data was also used for compositional dissimilarity  
266 analyzes (see above). To avoid collinearity among HPBC parameters, we applied the Variance  
267 Inflation Factor (VIF); thus HPBC parameters with  $VIF > 10$  were not included in CCA analysis  
268 (Neter, Wassermn & Kutner, 1990). All statistical analysis was performed using R version 3.2.1  
269 (R Core Team, 2015; See R-code and input data in Data S1).

270

## 271 **Results**

272 A total of 7483 organisms were collected, belonging to 14 orders, 42 families and 71  
273 recognizable taxonomic units (RTUs), which 57 were at genera and 14 at family levels (Table  
274 S2). Density was significantly higher only in the zone Reference 2 ( $F_r = 3.10$ ,  $df = 29$ ,  $p =$   
275  $0.0163$ ; Nemenyi *post hoc* test,  $p = 0.0163$ ) (Fig. 2A). The most dominant genus in Reference 1,  
276 Reference 2, and Mining streams were *Baetodes*, with 486 (40%), 371 (21%), and 510 (48%)

277 ind/m<sup>2</sup>, respectively. For Cattle production, the highest densities were presented by *Andesiops*  
278 (215.5 ind/m<sup>2</sup>, 19%) and *Baetodes* (204.9 ind/m<sup>2</sup>, 19%). Agriculture stream presented a high  
279 representation of *Simulium* (555.7 ind/m<sup>2</sup>, 65%). The stream with the greatest AMI density was  
280 Reference 2 with 1808.7 ind/m<sup>2</sup>, followed by Reference 1 with 1219.8 ind/m<sup>2</sup>. These were  
281 followed by Cattle production with 1106.5 ind/m<sup>2</sup>, Mining with 1074 ind/m<sup>2</sup> and, finally,  
282 Agriculture-dominated stream with 852.1 ind/m<sup>2</sup>.

283

284 According to the 95% CI, the agricultural zone presented the lowest significant values for the  
285 three expressions of diversity (qD) (Fig. 2B). In contrast, the other zones sampling were different  
286 according to the diversity expression. In the case of the RTUs observed richness (0D), the zones  
287 were ordered as follows: Reference 2 > Reference 1 > Cattle production ≈ Mining) (Fig. 2B). In  
288 particular, Reference 2 presented an increment in RTUs richness between 1.3 (Ref2 vs. Ref1)  
289 and 4.3 (Ref2 vs Agr) times greater than other sampling zones. Concerning the effective number  
290 of equally common RTUs (1D) was obtained the following patterns: (Reference 2 ≈ Cattle  
291 production) > Reference 1 > Mining. In this case, Reference 2 and Cattle production were  
292 between 1.3 and 3.8 times more diverse than other zones. In relation to the effective number of  
293 the most abundant RTUs, the zones were ordered in a decreasing pattern (2D): Cattle  
294 production > Reference 2 > Reference 1 > Mining (Fig. 2B), where the magnitude of the difference  
295 differed between 1.1 (CP vs Ref2) and 4.3 fold (CP vs. Agr).

296

297 No tendency of significant variation was detected in AMI density with respect to water flow (p-  
298 value: 0.18 - 0.94) and precipitation (p-value: 0.17 - 0.82). The physicochemical parameters of  
299 the water in the studied streams were within the quality thresholds admissible for human and

300 domestic use (articles 38 and 39 of the Colombian Decree 1594 of 1984). The only exceptions  
301 were presented in sampling three (July 2014), which, in the Agriculture stream, evidenced values  
302 of total coliforms and *E. Coli* that exceeded admissible levels (410,600 CFU/100 mL and 2,417  
303 CFU/100 mL, respectively), and also for the Mining stream, which exceeded the admissible  
304 levels for total coliforms (22,470 CFU/100 ml).

305

306 Relative to the composition, eight RTUs were shared by the five sampling zones: *Baetodes*,  
307 *Simulium*, *Anchytarsus*, *Smicridea*, *Tipula*, *Culoptila* and the subfamilies Chironominae and  
308 Orthocladiinae. The nMDS analysis evidenced separation among the different sampling streams  
309 (Fig. 3; Stress = 0.13), which is consistent with that found in the ANOSIM. Both tests showed  
310 that there were differences among all streams in terms of composition (ANOSIM:  $R = 0.673$ ,  $p$ -  
311 value = 0.001). The SIMPER analysis indicated that *Baetodes*, *Simulium* and *Smicridea* were the  
312 taxa that contributed most to the differences found among the studied streams. The CCA  
313 presented an appreciable association between environmental parameters, sites and  
314 macroinvertebrates (Fig. 4: CCA1 + CCA2 = 63.2% of explained variance), where the  
315 Agricultural zone has physicochemical profiles and biotic components differentiated and remains  
316 separated. As well the Agricultural zone includes the high values of TS (Fig. 4) and lowest  
317 values of DO (Table 1), associated with the highest values of density of the taxa *Simulium*,  
318 *Chimarra*, *Dugesia*, *Rhagovelia* and Calopterygidae, while some Ephemeroptera and Coleoptera  
319 (*Anchytarsus* and *Heterelmis*) were practically absent in this stream (Table S2). The Cattle  
320 production and both Reference streams were associated with high values of DO, in addition with  
321 a wide density of the RTUs *Baetodes*, *Mayobaetis*, *Andesiops* and *Anchytarsus* (Fig. 4; Table 1).  
322 On the other hand, the Mining stream was strongly associated with the highest phosphate values

323 and high values of TS as in the Agriculture stream (Fig. 4) and presented a decrease in the  
324 majority of RTUs previously mentioned.

325

## 326 **Discussion**

327 In this study, the Agricultural zone had a greater effect on AMI diversity (lowest values of  
328 richness and density) than the Mining zone, which did not follow the expected pattern in our  
329 study. These results are probably associated with the traditional horticultural practices (e.g., soil  
330 preparation and use of agrochemicals) during the several years in zones of the Chinchiná river  
331 basin (Caldas, Colombia: Meza-S. et al., 2012; Chará-Serna et al., 2015; Llano et al., 2016); a  
332 land use situation traditionally also occurring along the Andes (Mesa, 2010; Guevara, 2014;  
333 Vimos-Lojano et al., 2017). The expansion of agricultural land use strongly reduces the presence  
334 of totally pristine headwater ecosystems in many mountainous countries (Vimos-Lojano et al.,  
335 2017), where several cultivated areas converge toward mainstream channels (Chará et al., 2007;  
336 Chará-Serna et al., 2015).

337

338 The higher AMI values of richness and density registered in the references and cattle production  
339 zones could be linked to the presence of riparian vegetation and its importance in buffering  
340 environmental impacts (e.g., Lenat, 1984; Rivera, 2004; Burdet & Watts, 2009; Egler et al.,  
341 2012). However, Reference 2 stream comparatively presented the highest values, which is  
342 possibly due to the greater differential contribution of leaf litter from speciose riparian  
343 vegetation, producing a greater availability of coarse organic benthic resources in this zone  
344 (Gutiérrez-López, Meza-Salazar & Guevara, 2016). It is important to note that the agricultural  
345 zone did not have riparian vegetation and this can be the reason for the lowest richness and

346 density values, as found in other studies (e.g., Lenat, 1984; Lenat & Crawford, 1994; Hepp et al.,  
347 2010; Egler et al., 2012). Although this study was not aimed at testing the role of the riparian  
348 vegetation, this result partially coincides with the idea that the removal of this can have both  
349 direct and indirect effects on AMI abundance (Lenat, 1984; Egler et al., 2012) due to degradation  
350 of both habitat and water quality (Chará et al., 2007). Indeed, low values of richness in zones of  
351 agriculture with similar circumstances has been previously reported by other authors (e.g., Lenat,  
352 1984; Lenat & Crawford, 1994; Hepp et al., 2010; Egler et al., 2012), who argue that  
353 deterioration in water quality influences the number of taxa of aquatic invertebrates found.

354

355 The diversities  ${}^1D$  and  ${}^2D$  presented a similar pattern, due to the high importance or dominance  
356 of the most abundant RTUs in each of the studied streams. The high diversity in the Reference 2  
357 and Cattle production streams, as well as the significantly greater diversity of Reference 1  
358 compared to Mining and Agriculture, could also be related to the presence of riparian vegetation  
359 since, although the Cattle production zone presents effects related to this activity, the strips (ca. 3  
360 m in width) of vegetation that exists on both sides of the stream may act to diminish these effects  
361 on the AMI community. Niemi & Niemi (1991) indicate that vegetation has a positive effect on  
362 streams immersed in cattle production zones since it acts as a barrier to the animals and traps  
363 sediments that are transported towards the water bodies by surface runoff. Consequently, Mining  
364 and Agriculture streams presented the lowest values of diversity, being significantly minor in the  
365 Agriculture stream. These land use changes, in which the riparian vegetation is replaced by  
366 human activities such as mining and agriculture, lead to constant alteration of the physical  
367 characteristics of the water bodies, which can directly or indirectly influence changes in the  
368 spatial and/or temporal diversity of the AMI (Tomanova & Usseglio-Polatera, 2007; Domínguez

369 & Fernández, 2009).

370

371 To all three diversity expressions (e.i.,  ${}^0D$ ,  ${}^1D$ ,  ${}^2D$ ), the lowest values were presented in the  
372 stream influenced by agricultural activities. Chará-Serna et al. (2015) reported that one of the  
373 most important indirect consequences of agricultural practices for the AMI community is the  
374 increase in the values of ammoniacal nitrogen ( $\text{NH}_3\text{-N}$ ). In the present study, this parameter did  
375 not show values as high as those reported by other authors in Neotropical streams (Mesa, 2010;  
376 Vázquez et al., 2011; Chará-Serna et al., 2015) (see Table 1). However, Gücker et al. (2009)  
377 explain that, even though the values in streams with agriculture may be low, they still exceed  
378 those in zones with no impact. That coincides with our results, in which the values of  $\text{NH}_3\text{-N}$  in  
379 the Agriculture stream (0.323 mg/L) were greater than those of both Reference zones (Reference  
380 1: 0.153 mg/L; Reference 2: 0.175 mg/L).

381

382 The high representativity and contribution of *Baetodes*, *Andesiops*, *Simulium* and *Smicridea*, as  
383 well as the subfamily Orthocladiinae, in the streams evaluated coincide with the results of  
384 González et al. (2012) and Meza-S et al. (2012) in the Chinchiná river basin, in which these taxa  
385 presented a high abundance. *Baetodes*, *Simulium*, *Smicridea* and the subfamily Orthocladiinae  
386 have a wide distribution in Neotropical basins, covering broad elevational ranges (Sganga &  
387 Angrisano, 2005; Sganga & Fontanarrosa, 2006), while *Andesiops* is restricted to zones above  
388 1000 m asl (Gutiérrez & Gomes-Dias, 2015). The nMDS analysis showed a clear separation  
389 between Agriculture and the other sampled zones. It is due to the high dominance of *Simulium*,  
390 which presents lower values than other streams, and to the absence of taxa that are intolerant of  
391 pollution, such as *Anacroneuria*, *Marilia* and *Camelobaetidius* (Zúñiga & Cardona, 2009). This

392 result demonstrates that the presence of heavy agricultural activity in the sampling zones has a  
393 strong effect on the AMI community. Roldán & Ramírez (2008) indicate that a river that has  
394 suffered alterations to its natural conditions through contamination processes will reflect these  
395 effects through changes in the composition and structure of its aquatic biota. Likewise, García &  
396 Rosas (2010) explain that agricultural activities can cause the loss of sensitive taxa, as indeed  
397 was the case in our study. The similarity between the Reference 1 and Mining streams is due to  
398 both conditions are found on the same stream (i.e., La Elvira stream). The spatial proximity  
399 between sampling sites potentially can mask the punctual effect of a disturbance on the AMI  
400 community; an effect that is maximized if the sites are located on the same watercourse (Tolonen  
401 et al., 2017). Therefore, the density and diversity of AMI in the Mining sampling point may be  
402 influenced by the closeness to Reference 1 sampling site. Although our sampling design does not  
403 adequately detect the effect of spatial autocorrelation between sampling stations, the results  
404 indicated that the spatial proximity does not dampen the impact of Mining on the AMI  
405 community and on the water conditions about the HPCB parameters. The compositional  
406 dissimilarity between Mining and Reference 1 sampling sites is given by the presence of the  
407 genera reported in Reference 1, which are relatively less abundant in Mining (e.g., *Smicridea*,  
408 *Andesiops*, and *Nanomis*; Fig. 4). Consequently, the CCA evidenced a clear separation between  
409 Mining and Reference 1, where they represent of tolerant groups to conditions of high water  
410 contamination by mining activity (e.g., some Chironomidae, Tipulidae, and Empididae) (see  
411 Pond et al., 2014 ). These results coincide with the idea that a point scale, the variation in  
412 abundance or the incidence of macroinvertebrate groups can be strongly modulated by the  
413 presence and availability of microhabitats (e.g., Burgazzi, Gaireschi and Laini, 2019).  
414

415 The isolation of the Agriculture zone in the CCA and its high values of TS ( $310.7 \pm 209.8$ ) and the  
416 lowest values of DO ( $2.3 \pm 0.8$ ) reflect the negative impact of this activity on stream and  
417 associated biota. High concentrations of TS on stream were found in both the Agricultural and  
418 Mining streams, avoiding the entrance of the light at the ecosystem, affecting the energy flow of  
419 the system and, consequently, the productivity levels (Vázquez, Aké-Castillo & Favila, 2011).  
420 Furthermore, the increase of the TS is related to the sedimentation rate (Vásquez Zapata, 2009).  
421 In turn, the increase in the fine sediment can be a more significant stressor to macroinvertebrates  
422 assemblage than increased nutrient concentrations in streams around agricultural areas (Ladrera  
423 et al., 2019). Also, this variable can affect to a different group of AMI, for example, taxa adapted  
424 to swim, scrapers, shredders, the species that respiration by plastron, gills and also the species of  
425 Coleoptera dependent on a bubble or plastron to breath (Hauer & Resh, 1996; Rabeni et al.,  
426 2005; Ladrera et al., 2019). On the contrary, the invertebrates living in the mud, burrowers and  
427 filter-collector can be favoured because they are feeding on fine sediment.

428

429 The low DO promotes the decrease of richness, increasing the density of tolerant organisms as  
430 mentioned by Jacobsen & Marín (2008). Both variables (TS and DO) could explain the high  
431 abundance of filter-collector organisms relatively tolerant as *Simulium* and *Chimarra*. Even  
432 though *Simulium* is generally associated with environments with a high concentration of oxygen  
433 (Roldán, 1996; Domínguez & Fernández, 2009; Zúñiga & Cardona, 2009; Villada et al., 2017).  
434 However, some species of the *Simulium* may be more tolerant than others, so it is important to  
435 advance in the taxonomic knowledge of the group for an identification at the species level. On  
436 the other hand, predators such as *Calopterygidae*, *Dugesia* and *Rhagovelia* can be benefited in  
437 these environments due to resource availability, as occur with *Rhagovelia* that move over the

438 surface layer of water, breathe atmospheric oxygen and feed on dead or dying insects. At the  
439 same time, the Calopterygidae are generally associated with substrates at the bottom of streams,  
440 where they tolerate low concentrations of dissolved oxygen in water (Domínguez & Fernández,  
441 2009).

442

443 The Cattle production and Reference 2 zones had associated high values of DO ( $9.3\pm 3.3$  and  
444  $5.4\pm 0.63$ , respectively), suggesting that were the most conserved zones in the study, with the  
445 more richness species sensitive to contamination. Zúñiga & Cardona (2009) classified  
446 *Anchytarsus* as sensitive to the pollution confirming those mentioned above since this genus  
447 presented high density in these zones. Regarding the genera of Ephemeroptera, several authors  
448 indicate that the many genera in the group are sensitive to contamination (e.g., Zedcová et al.,  
449 2014; Akamaqwuna et al., 2019). Buss & Salles (2006), mentioned the importance of including  
450 the species level for the establishment of the sensibility in water quality monitoring programs.  
451 The highest phosphate values ( $1.2\pm 0.62$ ) and TS ( $394.7\pm 210$ ) found in the Mining zones,  
452 indicates the deterioration that this activity can generate in aquatic ecosystems (Wright & Ryan,  
453 2016), hindering the survival of some genera of macroinvertebrates (Ramírez et al., 2018).

454

455 In general, low values of precipitation and water flow volume were associated with high AMI  
456 densities in the studied streams. Concomitant results have been found in other Colombian small  
457 streams (Rodríguez- Barrios et al., 2007; Longo et al., 2010; Tamaris-Turizo et al., 2013);  
458 however, we have no evidence of high variation in density related to either of these  
459 environmental variables. Minshall & Robinson (1998) explain that a constant climate pattern, or  
460 one of little variation, in the riparian environment translates into lower variability in the AMI

461 dispersion dynamic. Moreover, Smith & Lamp (2008) suggest that the abundance and  
462 composition of the AMI community are influenced more by land use than by the seasons of high  
463 and low rains, which is consistent with the results of our study.

464

465 Despite our attempt to continuously evaluate both physicochemical and biological parameters,  
466 the mining and agriculture activities have highly variable management (e.g., frequency and  
467 quantity of chemicals used). It is difficult to control this anthropogenic factor, which occurs  
468 jointly with natural hydrological patterns (see Friberg, 2014), in the selected small streams.

469 Although these are key elements (i.e., the contribution of natural and anthropogenically-induced  
470 changes) for consideration in the patterns of stream macroinvertebrate distribution (e.g.,

471 Domisch et al., 2017; Kakouei et al., 2018), this aspect was beyond the scope of the present  
472 study due to logistic restrictions. Further studies are necessary to adequately evaluate the

473 variability of AMI due to both anthropogenic and natural pressures. It is recommended that

474 future studies employ a larger number of spatial replicates incorporating the effects of each of  
475 the impacts and that a rigorous search of the zones of reference is conducted to ensure the

476 absence of the anthropogenic effects. In addition, evaluation of the heavy metals in the sediment  
477 is recommended, since this is where their concentration is likely to be highest (e.g., Dickson et

478 al., 2019).

479

## 480 **Conclusions**

481 Contrary to our central hypothesis, the results show that the Agricultural zone had the lowest

482 macroinvertebrate density and diversity. In this sense, beyond the environmental diagnosis based

483 on physicochemical and bacteriological variables, the use of diversity measures ( $^qD$ ) can be a

484 useful tool to evaluate the impact of human activity on freshwater in-stream biota, since they  
485 allow an adequate quantification of changes in the structure of AMI communities, using units  
486 with biological sense.

487

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497

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**Table 1** (on next page)

Hydrological, physicochemical, and bacteriological (HPCB) parameters measured in selected streams located on the western slope of the central cordillera of the Colombian Andes, Chinchiná river basin.

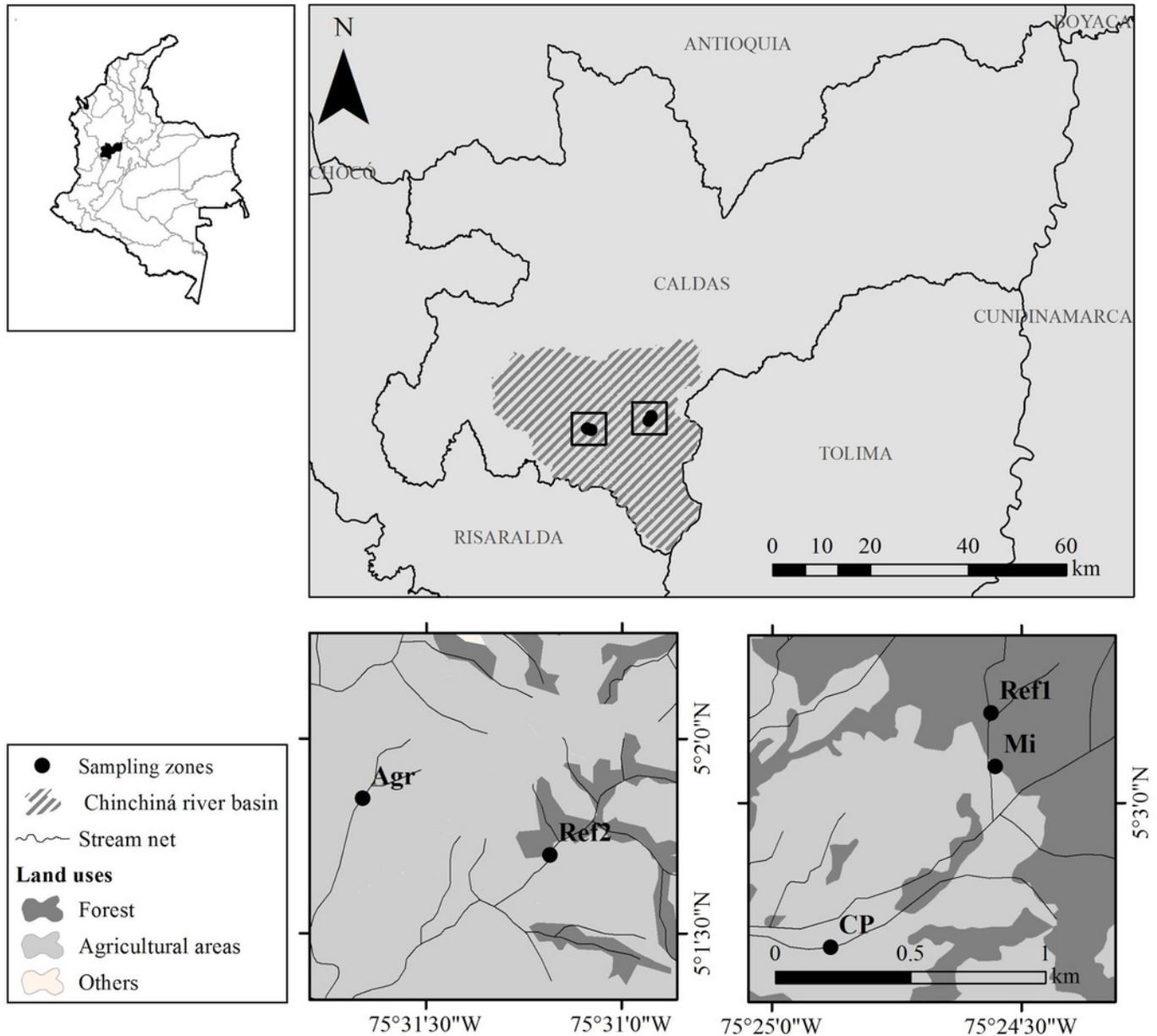
The HPCB parameters were measured between one and three times (n) per sampling zone, thus the value of each parameters per sampling showed as mean  $\pm$  SD.

Parameter	n	Reference 1	Reference 2	Cattle production	Agriculture	Mining
Chemical oxygen demand (mg/L)	3	23.3±14.4	29.67±10.21	25.3±17.9	50.3±58.6	20.3±6.8
Biochemical oxygen demand (mg/L)	3	3.2±0	3.21±5.44	3.21±0	3.2±0	3.2±0
Total dissolved solids (mg/L)	3	110.7±58.6	124±18.33	110.7±32.6	310.7±209.8	394.7±210
Total suspended solids (mg/L)	3	63.2±87.4	15.25±9.73	102.7±167.4	69.7±62	56.4±79.3
Ammoniacal nitrogen (mg/L)	2	0.1±0.08	0.05±0.04	0.1±0.06	0.2±0.2	0.1±0.1
Nitrites (mg/L)	3	0.07±0	0.07±0	0.07±0	0.1±0.03	0.3±0.2
Sulphates (mg/L)	3	21±1	11.33±0.58	6.7±0.6	9.3±3.5	55.7±30.5
Fe (mg/L)	3	0.4±0.4	0.06±0.02	0.2±0.06	0.8±0.9	1.3±0.9
Chlorides (mg/L)	3	2.5±0	2.5±0	2.5±0	3.2±0.8	2.9±0.6
Phosphates (mg/L)	3	0.7±0.62	0.3±0.17	0.4±0.2	0.4±0.3	1.2±0.62
Cyanide (mg/L)	1	*0.001	*0.001	*0.001	*0.001	*0.001
Hg (mg/L)	3	*0.2	*0.2	*0.2	*0.2	*0.2
Al (mg/L)	1	*7.3±0.3	*7.3±0.3	*7.3±0.3	*7.810.3	*7.4±0.3
Pb (mg/L)	2	*0.02±0.01	*0.02±0.01	*0.02±0.01	*0.02±0.01	*0.02±0.01
B (mg/L)	2	*1.1±0.5	*1.1±0.5	*1.1±0.5	*1.1±1.1	*1.1±0.5
Fats and oils (mg/L)	3	0.4±0.2	0.83±0.58	0.8±0.6	0.4±0.2	0.9±0.7
Dissolved oxygen (mg/L)	3	9.3±3.3	4.75±2.64	5.4±0.63	2.3±0.8	5.2±0.6
pH	3	7.6±0.3	8.27±0.08	7.5±0.07	7.7±0.09	7.7±0.2
Temperature (°C)	3	12.1±0.4	18.9±0.48	13.5±1.15	17.9±0.7	13.1±0.05
Conductivity (µS/m)	3	108±9	99.675±4.35	139±86	33.8±25.5	131.5±55.5
Total coliforms (CFU/100mL)	2	2375	2200	806	209650	2933.5
<i>Escherichia coli</i> (CFU/100mL)	2	12.4±9.7	49	65.9	90.95	6.3
Depth (cm)	3	9.2±3.8	18.2±4.9	10.8±4.02	7.2±2.2	9.5±2.7
Width (m)	3	1.8±0.2	1.82±0.49	1.7±0.6	1.6±0.4	1.9±0.6
Flow (m/s)	3	0.4±0.09		0.4±0.05	0.2±0.05	0.4±0.2

1 \*Below the detection limit.

# Figure 1

Study area and sampling zones located on the western slope of the central cordillera of the Colombian Andes, in the Chinchiná river basin (Caldas, Colombia).

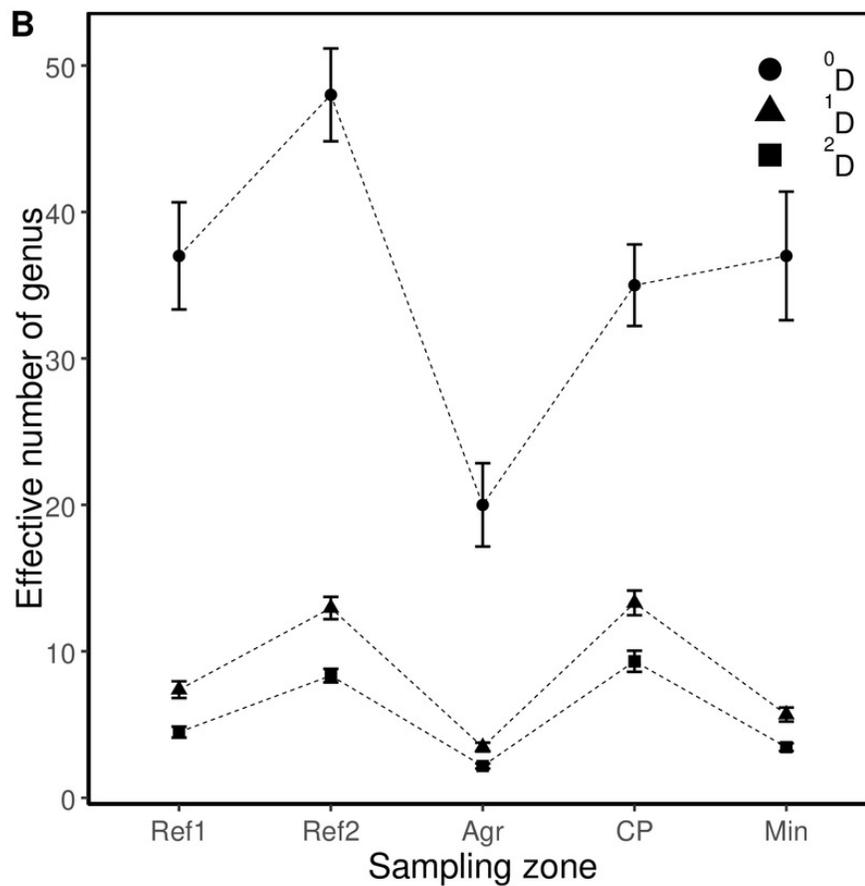
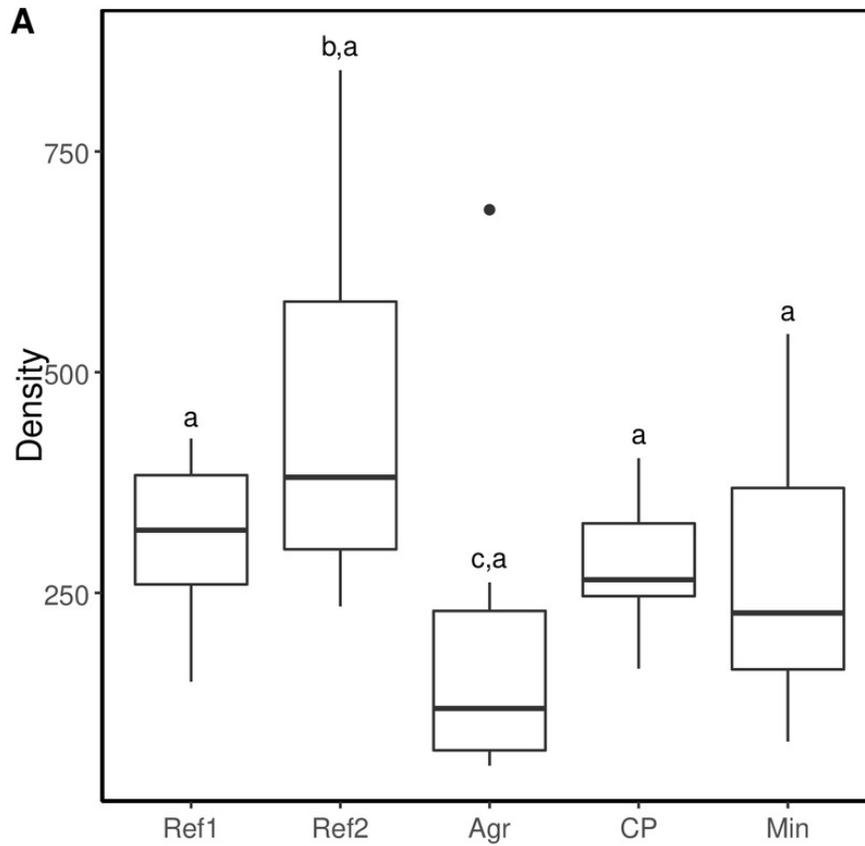


## Figure 2

Comparison of the density and diversity of aquatic macroinvertebrates (AMI) in five sampling zones.

(A) Boxplot showing the median AMI density. (B) Patterns of diversity expressions, richness ( $^0D$ ), typical diversity ( $^1D$ ), and effective number of the most abundant morpho-species ( $^2D$ ).

The vertical line indicates the CI 95% per  $^qD$ . No share letters above boxplot indicate the statistical difference between pairs of the sampling zones. Streams: Ref 1 = Reference 1, Ref 2 = Reference 2, CP = Cattle production, Agr = Agriculture, and Mi = Mining.



## Figure 3

Non-Metric Multidimensional Scaling (NMDS) analysis based on the Bray - Curtis Index considering each sampling event per zone (Stress=0.13).

The names of AMI RTUs are shown (see Table S2). Streams: Ref 1 = Reference 1, Ref 2 = Reference 2, CP = Cattle production, Agr = Agriculture, and Mi = Mining.

