

A potential role for Galectin-3 inhibitors in the treatment of COVID-19

John L Caniglia¹, Maheedhara R Guda¹, Swapna Asuthkar¹, Andrew J Tsung¹, Kiran K Velpula^{Corresp. 1}

¹ Department of Cancer Biology and Pharmacology, University of Illinois at Peoria, Peoria, Illinois, United States

Corresponding Author: Kiran K Velpula
Email address: velpula@uic.edu

The outbreak of severe acute respiratory syndrome coronavirus 2 (SARS-CoV2), the causative agent of coronavirus disease 2019 (COVID-19), has been declared a global pandemic by the World Health Organization. With no standard of care for the treatment of COVID-19, there is an urgent need to identify therapies that may be effective in treatment. Recent evidence has implicated the development of cytokine release syndrome (CRS) as the major cause of fatality in COVID-19 patients, with elevated levels of IL-6 and TNF- α observed in patients. Galectin-3 (Gal-3) is an animal lectin that has been implicated in the disease process of a variety of inflammatory conditions. Inhibitors of the small molecule Gal-3 have been shown to reduce the levels of both IL-6 and TNF- α *in vitro* and have shown anti-inflammatory effects *in vivo*. Additionally, a key domain in the spike protein of β -coronaviridae, a genus which includes SARS-CoV2, is nearly identical in morphology to human Gal-3. These spike proteins are critical for the virus' entry into host cells. Here we provide a systematic review of the available literature and an impetus for further research on the use of Gal-3 inhibitors in the treatment of COVID-19. Further, we propose a dual mechanism by which Gal-3 inhibition may be beneficial in the treatment of COVID-19, both suppressing the host inflammatory response and impeding viral attachment to host cells.

1 **A Potential Role for Galectin-3 Inhibitors in the Treatment of COVID-19**

2 John L. Caniglia¹, Maheedhara R. Guda¹, Swapna Asuthkar¹, Andrew J. Tsung^{1,2,4}, and Kiran K.
3 Velpula^{1,2,3}

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5 Departments of Cancer Biology and Pharmacology¹, Neurosurgery², Pediatrics³, University of
6 Illinois College of Medicine at Peoria; Illinois Neurological Institute⁴, Peoria, IL

7 8 **Abstract**

9
10 The outbreak of severe acute respiratory syndrome coronavirus 2 (SARS-CoV2), the
11 causative agent of coronavirus disease 2019 (COVID-19), has been declared a global pandemic
12 by the World Health Organization. With no standard of care for the treatment of COVID-19,
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14 has implicated the development of cytokine release syndrome (CRS) as the major cause of
15 fatality in COVID-19 patients, with elevated levels of IL-6 and TNF- α observed in patients.
16 Galectin-3 (Gal-3) is an animal lectin that has been implicated in the disease process of a variety
17 of inflammatory conditions. Inhibitors of the small molecule Gal-3 have been shown to reduce
18 the levels of both IL-6 and TNF- α *in vitro* and have shown anti-inflammatory effects *in vivo*.
19 Additionally, a key domain in the spike protein of β -coronaviridae, a genus which includes
20 SARS-CoV2, is nearly identical in morphology to human Gal-3. These spike proteins are critical
21 for the virus' entry into host cells. Here we provide a systematic review of the available literature
22 and an impetus for further research on the use of Gal-3 inhibitors in the treatment of COVID-19.
23 Further, we propose a dual mechanism by which Gal-3 inhibition may be beneficial in the
24 treatment of COVID-19, both suppressing the host inflammatory response and impeding viral
25 attachment to host cells.

26 27 **Introduction**

28
29 With the ongoing pandemic attributed to the pathogenic SARS-CoV2, there is an urgent
30 need to identify effective treatment options (Zhou et al., 2020). Numerous treatments, most
31 notably the antiviral agent remdesivir and the antiparasitic drug chloroquine, have been
32 extensively studied with inconclusive results (Zhai et al., 2020; Ko et al., 2020). The current
33 absence of a proven, effective anti-viral therapy has resulted in anti-inflammatory agents such as
34 interleukin-6 (IL-6) and tumor necrosis factor alpha (TNF- α) inhibitors being proposed to
35 mitigate symptoms (Perricone et al., 2020). The rationale is largely based on the finding that

36 patients requiring intensive care hospitalization showed highly elevated levels of these pro-
37 inflammatory cytokines (Perricone et al., 2020). Cytokine inhibitors are currently in clinical
38 trials and have not yet been proven effective.

39 The lack of an effective standard of care and rate at which COVID-19 is spreading has
40 accelerated the need to identify novel treatment options. Though prior research has elucidated the
41 structural homology between the spike proteins of β -coronaviruses and human Gal-3, there is no
42 published literature to date referencing this information in the context of SARS-CoV2.
43 Furthermore, there are no articles to date proposing Gal-3 inhibition as a potentially viable
44 treatment to mitigate the entry of SARS-CoV2 and the inflammatory response associated with
45 infection. As such, the authors see a need to spread awareness of the promising indications for
46 targeting Gal-3 in the treatment of COVID-19. This article is intended for all researchers
47 studying galectins and/or SARS-CoV2 but may be particularly beneficial to those focused on
48 drug discovery and development.

49 **Survey Methodology**

50 *Eligibility Criteria*

51 The following is comprised of original studies that provided information about β -
52 coronaviridae, Gal-3, or Gal-3 inhibitors. This article includes results from both *in vivo* and *in*
53 *vitro* studies and this information specified where applicable to ensure clarity. The following
54 types of studies were excluded: (1) studies with only an abstract or no full-text available; (2)
55 books, conference papers, and theses.

56 *Search Methodology*

57 To retrieve primary literature, electronic searches were performed on PubMed and
58 Google Scholar. A list of search terms can be seen in Table S1. Gal-3 expression was obtained
59 from The Human Protein Atlas with the following query: ‘Galectin-3’. The structures of the
60 SARS-CoV2 S1-NTD and Gal-3 were obtained from the RCSB Protein Data Bank (PDB) using
61 the following queries: ‘SARS-CoV2 spike protein;’ ‘Galectin-3.’

62 *Risk of Bias*

63 To minimize the risk of erroneous conclusions, all authors assessed the cited studies for
64 quality. To address key claims in the article including but not limited to: coronavirus
65 mechanisms of entry, Gal-3 suppression inhibiting IL-6 and TNF- α release, the side effects of
66 Gal-3 inhibition in humans, and the structural similarities of Gal-3 with the S1-NTD of β -
67 coronaviruses, multiple sources were cited throughout to mitigate error. Additionally, the
68 systematic use of open-ended search queries ensured that a comprehensive profile of results was
69 yielded on the subject matter.

70 **Galectins and the SARS-CoV2 Spike Protein**

71 Galectins, a structurally similar family of animal lectins with chemokinetic properties,
72 have been implicated extensively in the immune response (Elola et al., 2018). Gal-3, arguably
73 the most well studied of the galectins, has been shown to activate the pro-inflammatory
74 transcription factor NF- κ B and induce the release of both IL-6 and TNF- α (Filer et al., 2009;
75 Uchino et al., 2018). Additionally, data obtained from The Human Protein Atlas shows baseline
76 Gal-3 protein expression in healthy tissues is highest in the lungs, followed by the
77 gastrointestinal tract (stomach, duodenum, small intestine, colon, and rectum) and brain (cortex
78 and hippocampus). This is particularly noteworthy as an increasing number of patients infected
79 with SARS-CoV2 have reported gastrointestinal symptoms such as diarrhea, nausea, vomiting,
80 and abdominal pain (Patel et al., 2020). Additionally, a Mao et. al study assessed 214 cases of
81 COVID-19 in Wuhan, China and concluded that 36.4% of patients in this cohort exhibited
82 neurological symptoms (Mao et al., 2020).

83 The spike proteins found in the β -genus of coronaviridae share unique structural
84 similarities with human Gal-3 (Li, F., 2016). Structural analysis of the N-terminal domain (NTD)
85 of the spike protein subunit S1 in murine hepatitis virus (MHV) showed a nearly identical
86 topology to human Gal-3, with the only difference being two additional β -strands in one of the β -
87 sheet layers of MHV S1-NTD (Li, F., 2015). Pertinent to this finding is the high degree of
88 structural conservation in the S1-NTD observed amongst the β -genus of coronaviridae, which
89 now includes SARS-CoV2 (Li, F., 2015). Given this structural similarity, it may be possible that
90 inhibitors against human galectins also have the capability to bind the S1-NTD of β -
91 coronaviridae. The gross structure of the SARS-CoV2 S1-NTD is shown in Fig. 1 below.

92

93 **Coronavirus Attachment: Significance of the Galectin-like S1-NTD**

94

95 The recognition and binding of membrane-bound cell receptors is the first step in viral
96 infection and a necessary event prior to cell invasion (Blaas, 2016). In β -coronaviridae such as
97 SARS-CoV2, this function is mediated entirely by the S1 subunit of the spike protein (Li, F.,
98 2016). The S1 subunit can be further divided into two distinct domains: the NTD and the C-
99 terminal domain (CTD) (Wang, Q. et al., 2020). Though both domains play a role in the
100 adhesion process, the receptor binding mechanisms amongst these viruses can be thought of as
101 predominantly CTD or NTD mediated. A general rule is that the CTD mainly binds peptides
102 while the NTD mainly binds extracellular sugars, though there are exceptions such as that seen in
103 the entry mechanism of MHV via carcinoembryonic antigen cell adhesion molecule 1
104 (CEACAM1) (Li, F., 2015). A pivotal study by Wang et. al has shown structural evidence that
105 SARS-CoV2 binds to host angiotensin-converting-enzyme-2 (ACE2) receptors in a CTD
106 mediated fashion (Li, F., 2016). The main binding receptors utilized by each of the β -
107 coronaviridae can be seen in Table 1 below.

108

109 As can be seen above, the strongly related virus BCoV attaches to sialic acids on host
110 cells, specifically 9-*O*-acetyl-sialic acid (9-*O*-Ac-Sia), in an NTD mediated mechanism (Peng et
111 al., 2012). Specifically, members of this species known to infect humans such as human
112 coronavirus OC43 (HCoV-OC43) and HKU1 (HCoVHKU1) are among the viruses that bind 9-
113 *O*-Ac-Sia (Tortorici et al., 2019). In humans, sialic acids including 9-*O*-Ac-Sia are most present
114 within the body at mucosal surfaces such as the nasopharynx, lungs, and gastrointestinal tract
(Barnard et al., 2019).

115

116 More pertinent than these findings, however, is the evidence that coronaviruses which
117 bind receptors in a CTD-mediated fashion still are reliant upon their galectin-like NTD for
118 functioning. In a study by Wi et. al, it was shown that MERS-CoV, in addition to binding
119 dipeptidyl peptidase 4 (DPP4) through its CTD domain, selectively binds to sialic acids at the
120 NTD domain (Li, W. et al., 2017). Additionally, the depletion of sialic acids through treatment
121 with neuraminidase inhibitors inhibited MERS-CoV entry into Calu-3 human airway cells,
122 demonstrating that sialoconjugate binding by the galectin-like NTD is an essential component of
123 MERS-CoV infection (Li, W. et al., 2017).

123

124 To date, there are no studies investigating whether sialic acid binding is an essential
125 component of SARS-CoV2 infection [20]. However, a recent study by Fantini et. al has

125 elucidated the crystalline structure of the SARS-CoV2 S1-NTD (Ou et al., 2020). Molecular
126 dynamic simulations of the tip of the S1-NTD (amino acids 100-175) reveal a strong interaction
127 with GM1 ganglioside, a molecule commonly found on cell surfaces (Fantini et al., 2020). This
128 data strongly supports a dual attachment model for SARS-CoV2 similar to the mechanism
129 observed in MERS-CoV, where the CTD domain is involved in ACE-2 receptor recognition and
130 the NTD region binds gangliosides on the cell surface to stabilize viral adhesion (Fantini et al.,
131 2020). Human galectins have also been shown to bind GM1 ganglioside with high affinity (Wu
132 et al., 2016). The proposed mechanism by which Gal-3 inhibitors may disrupt SARS-CoV2
133 attachment is shown in Fig. 2 below.

134 **Galectin-3 Inhibitors in Inflammation**

135
136 In addition to Gal-3 inhibition potentially being able to bind and disrupt the NTD of β -
137 coronaviridae, inhibiting Gal-3 has shown numerous anti-inflammatory effects that may be
138 beneficial in the treatment of COVID-19 (Stegmayr et al., 2019). Retrospective studies of the
139 MERS-CoV and SARS-CoV outbreaks have provided evidence that CRS-induced pneumonia
140 was the major cause of fatality in affected patients (Channappanavar, Perlman, 2017). In SARS-
141 CoV, the virus efficiently invades monocytes and dendritic cells, inducing the release of pro-
142 inflammatory cytokines such as interleukin 1 (IL-1), IL-6, and TNF- α (Law et al., 2005). Recent
143 evidence has implicated the CRS as a major cause of fatality in COVID-19 patients as well, and
144 this process is likely dendritic cell mediated (Moore, June, 2020). A study by Chen et. al has
145 shown that Gal-3 inhibition simultaneously reduces the production of inflammatory cytokines
146 such as IL-1 and IL-6 while also increasing the levels of the anti-inflammatory interleukin 10
147 (IL-10) in human dendritic cells (Chen et al., 2015). A reduction in IL-1, IL-6, and TNF- α levels
148 upon treatment with the Gal-3 inhibitor GB1107 was also seen in an inflammatory model of
149 spinal cord injury (Ren et al., 2019). Treatment with Gal-3 inhibitors shows promise in reducing
150 the incidence of CRS in SARS-CoV2 patients through directly suppressing the release of pro-
151 inflammatory cytokines by dendritic cells. Additionally, as increased Gal-3 levels have been
152 shown in virally infected cells, these inhibitors may preferentially bind in highly affected regions
153 of the body (Wang, W. H. et al., 2019). The proposed mechanism of Gal-3 inhibitors in reducing
154 inflammatory cytokine release can be seen in Fig. 3 below.

155 There are several Gal-3 inhibitors that have been developed to date, with some currently
156 in clinical trials (Blanchard et al., 2014). The use of the Gal-3 inhibitor TD139 has completed

157 phase I/IIa trials in the treatment of idiopathic pulmonary fibrosis (IPF), which showed the drug
158 to be safe and well tolerated (Saito et al., 2019). A phase IIb trial of the drug is ongoing (Saito et
159 al., 2019). Phase II trials of another Gal-3 inhibitor, belapectin (also known as GR-MD-02),
160 showed a significant reduction in portal hypertension and the development of new esophageal
161 varices (EV) in patients with nonalcoholic steatohepatitis (NASH) complicated by EV
162 (Chalasani et al., 2020). Phase III trials of this drug in the treatment of NASH are currently
163 ongoing. It is worth noting that biweekly infusions of belapectin (2 mg/kg) over the course of a
164 year did not result in any serious adverse effects (Chalasani et al., 2020). However, given the role
165 of Gal-3 in immunomodulation, more studies will be needed to fully evaluate the safety of
166 belapectin, as to date it has been administered to just over 3,000 patients.

167 **Conclusions**

168
169 In summary, Gal-3 is a lectin secreted by many types of cells that exhibits potent pro-
170 inflammatory effects. This includes inducing the production of IL-6 and TNF- α , cytokines which
171 have been shown to play a critical role in the development of CRS (Filer et al., 2009; Uchino et
172 al., 2018; Stegmayr et al., 2019). Importantly, the spike proteins utilized by β -coronaviridae
173 show strikingly similar morphology to Gal-3 and exhibit similar sugar-binding capabilities (Li,
174 F., 2016). Taken together, the strong correlation of organs showing high Gal-3 expression and
175 symptoms of SARS-CoV2, anti-inflammatory effects of Gal-3 inhibition, and theorized ability of
176 galectin inhibitors to impair NTD-mediated viral attachment make Gal-3 an attractive potential
177 target in the treatment of COVID-19 (Li, W. et al., 2017; Moore, June, 2020). This treatment
178 may exhibit a dual benefit in both inhibiting viral attachment and reducing the host inflammatory
179 response (Ou et al., 2020; Moore, June, 2020). Further research into the role of extracellular
180 sialic acids in SARS-CoV2 attachment is necessary to fully clarify the role of Gal-3 inhibition as
181 antiviral therapy.

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- 277

Table 1 (on next page)

Receptors used for entry amongst β -coronaviridae

Virus	Receptor	NTD / CTD Mediated
MHV	CEACAM1	NTD
Bovine coronavirus (BCoV)	Sialic Acids	NTD
MERS-CoV	DPP4	CTD
SARS-CoV	ACE2	CTD
SARS-CoV2	ACE2	CTD

Figure 1

Structural similarities of SARS-CoV2 S1-NTD and human Gal-3.

The structural topologies of the (A) SARS-CoV2 S1-NTD (PDB ID: 6VXX) and (B) human Gal-3 (PDB ID: 1A3K) are shown as schematic illustrations, where β -strands are depicted as arrows and α -helices as cylinders.

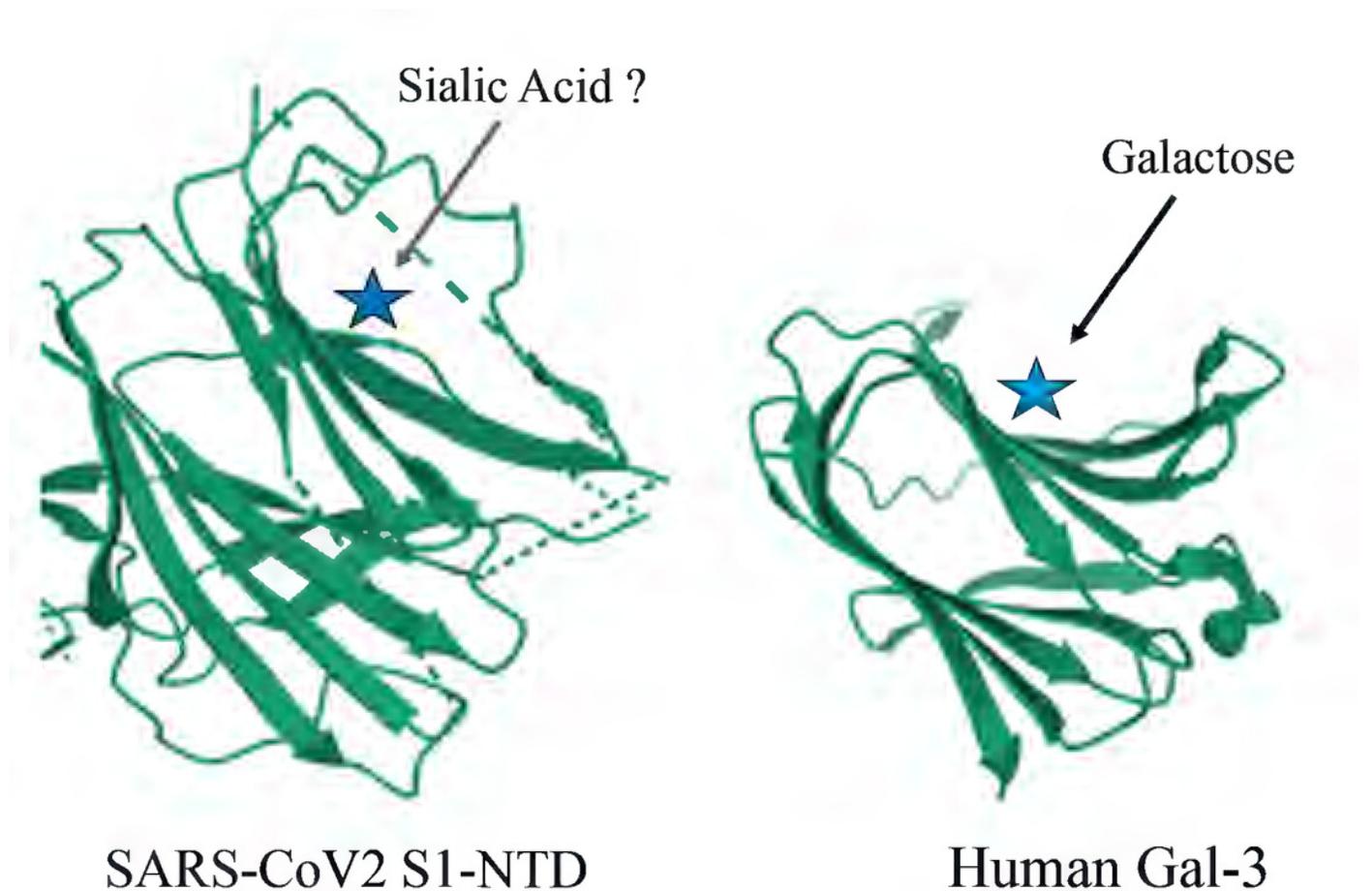


Figure 2

Gal-3 inhibition may disrupt the attachment of SARS-CoV2 S1-NTD to GM1 gangliosides on the cell surface.

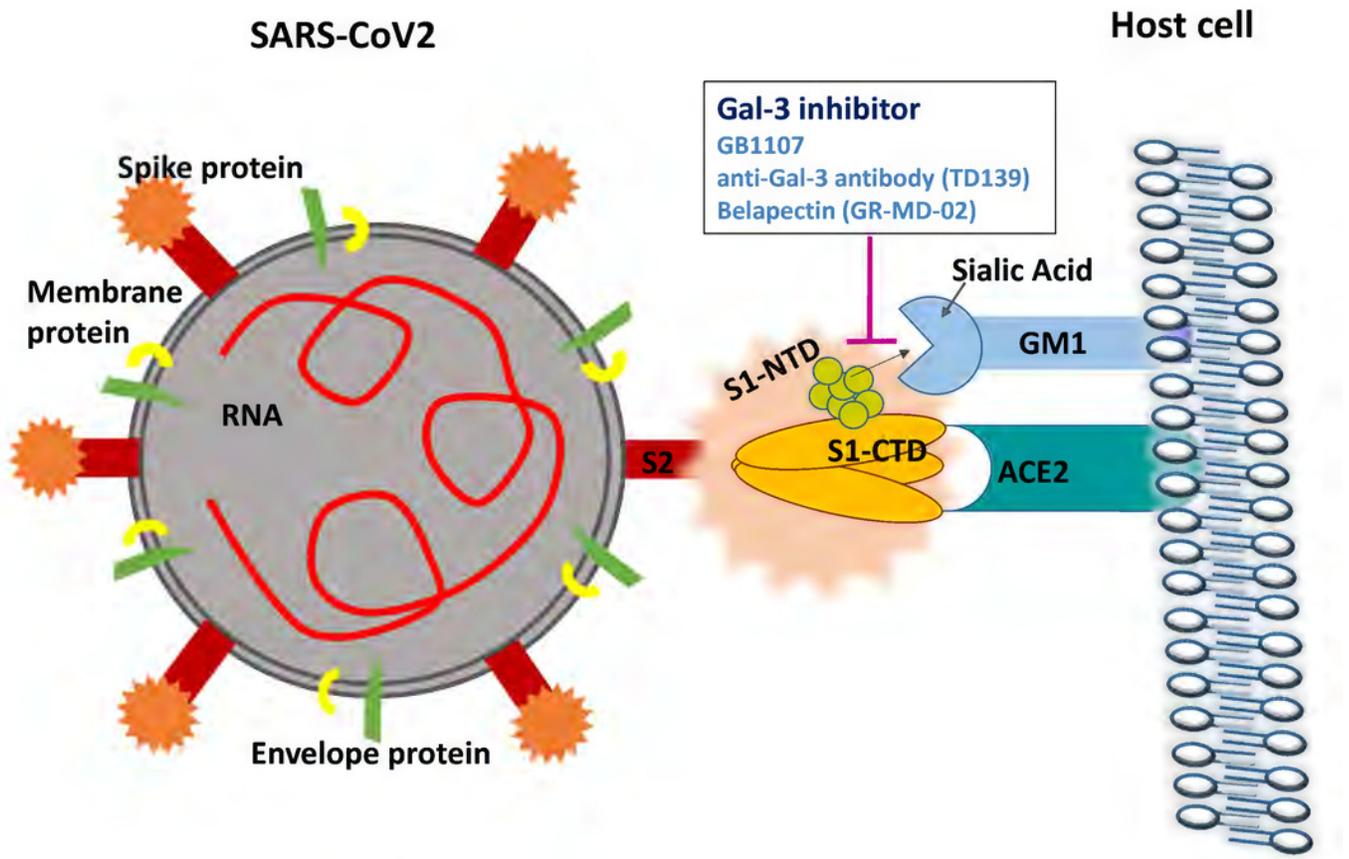


Figure 3

Gal-3 inhibition suppresses the release of IL-6 and TNF- α from dendritic cells.

