

PHACTR1 is associated with disease progression in Chinese Moyamoya disease

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Moyamoya disease (MMD) is a progressive stenosis at the terminal portion of internal carotid artery and frequently occurs in East Asian countries. The etiology of MMD is still largely unknown. We performed a case-control design with whole-exome sequencing analysis on 31 sporadic MMD patients and 10 normal controls with matched age and gender. Patients clinically diagnosed with MMD was determined by digital subtraction angiography (DSA). Twelve predisposing mutations on 7 genes associated with the sporadic MMD patients of Chinese ancestry (*CCER2*, *HLA-DRB1*, *NSD-1*, *PDGFRB*, *PHACTR1*, *POGLUT1*, and *RNF213*) were identified, of which 8 single nucleotide variants (SNVs) were deleterious with CADD PHRED scaled score >15. Sanger sequencing of 9 cases with disease progression and 22 stable MMD cases validated that SNV (c.13185159G>T, p.V265L) on *PHACTR1* was highly associated with the disease progression of MMD. Finally, we knocked down the expression of *PHACTR1* by transfection with siRNA and measured the cell survival of human coronary artery endothelial cell (HCAEC) cells. *PHACTR1* silence reduced the cell survival of HCAEC cells under serum starvation cultural condition. Together, these data identify novel predisposing mutations associated with MMD and reveal a requirement for *PHACTR1* in mediating cell survival of endothelial cells.

1 **PHACTR1 is associated with disease progression in Chinese**
2 **Moyamoya disease**

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25 **Short title:** PHACTR1 mediates MMD

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- 40

41 **Abstract**

42 Moyamoya disease (MMD) is a progressive stenosis at the terminal portion of internal carotid
43 artery and frequently occurs in East Asian countries. The etiology of MMD is still largely
44 unknown. We performed a case-control design with whole-exome sequencing analysis on 31
45 sporadic MMD patients and 10 normal controls with matched age and gender. Patients clinically
46 diagnosed with MMD was determined by digital subtraction angiography (DSA). Twelve
47 predisposing mutations on 7 genes associated with the sporadic MMD patients of Chinese ancestry
48 (*CCER2*, *HLA-DRB1*, *NSD-1*, *PDGFRB*, *PHACTR1*, *POGLUT1*, and *RNF213*) were identified, of
49 which 8 single nucleotide variants (SNVs) were deleterious with CADD PHRED scaled score >15.
50 Sanger sequencing of 9 cases with disease progression and 22 stable MMD cases validated that
51 SNV (c.13185159G>T, p.V265L) on *PHACTR1* was highly associated with the disease
52 progression of MMD. Finally, we knocked down the expression of PHACTR1 by transfection with
53 siRNA and measured the cell survival of human coronary artery endothelial cell (HCAEC) cells.
54 PHACTR1 silence reduced the cell survival of HCAEC cells under serum starvation cultural
55 condition. Together, these data identify novel predisposing mutations associated with MMD and
56 reveal a requirement for PHACTR1 in mediating cell survival of endothelial cells.

57 **Keywords:** Moyamoya disease; Whole-exome sequencing; Mutation; PHACTR1

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59

60 Introduction

61 Moyamoya disease (MMD) is a progressive stenosis at the terminal portion of internal carotid
62 artery (ICA) with compensatory development of a hazy network of basal collaterals called
63 Moyamoya vessels (Scott & Smith 2009; Suzuki & Takaku 1969). The prevalence of MMD is the
64 highest in East Asian countries, including Japan, Korea and China (Kuroda & Houkin 2008; Miao
65 et al. 2010). The annual incidences of MMD in China and Japan are 0.43 and 0.54 per 100,000,
66 which are significantly higher than that in the USA (0.086 per 100,000) and Europe (0.3 per
67 100,000) (Kraemer et al. 2008; Kuriyama et al. 2008; Miao et al. 2010; Uchino et al. 2005).
68 Epidemiology studies had revealed several risk factors associated with MMD, such as Asian
69 ethnicity, female gender and family history (Ganesan & Smith 2015). Although the heritability of
70 MMD is unknown, the genetic components may play an important role in the etiology of MMD
71 (Inayama et al. 2018).

72 Previous studies have explored and revealed several genetic loci associated with MMD, such
73 as 3q24-p26, 6q25, 8q23, 17q25 (Ikeda et al. 1999; Inoue et al. 2000; Sakurai et al. 2004;
74 Yamauchi et al. 2000). A polymorphism of c.14576G>A in the *RNF213* gene (*RNF213*) on the
75 17q25-ter region was identified as a novel susceptibility gene for MMD in Japanese and Chinese
76 populations with a founder effect (Liu et al. 2011). *RNF213* is correlated with early onset and
77 severe forms of MMD. Recently, rare variants on the C-terminal of *RNF213* were found correlated
78 with MMD arteriopathy in patients of European ancestry (Guey et al. 2017). While a genome-wide
79 association study involving a large case-control study among Chinese ancestry revealed 10 novel
80 loci could be responsible for MMD, which extended our knowledge of MMD (Duan et al. 2018).
81 However, the etiology of MMD is far more complicated than we expected, and further study on
82 genome wide association is necessary.

83 Disease progression is among the most frequent reason for clinical symptomatic events. The
84 clinical characteristics has been increasingly recognized as a prevalent cause of disease
85 progression in those patients with natural course, such as early age onset, autoimmune factors and
86 family heritability, but the evaluation is mostly focused on clinical characteristics (Dlamini et al.

87 2019; Grangeon et al. 2019; Jiang et al. 2018; Kim & Jeon 2014). The relationship between genetic
88 risk factor and disease progression in MMD patients remains unknown.

89 In this study, we performed a case-control design with whole-exome sequencing analysis on
90 31 sporadic MMD patients (9 cases with disease progression and 22 stable MMD cases) and 10
91 normal controls with matched age and gender. Predisposing mutations were discovered and then
92 validated by Sanger sequencing. We identified 12 predisposing mutations on 7 genes associated
93 with the sporadic MMD patients of Chinese ancestry and further validated that SNV
94 (c.13185159G>T, p.V265L) on *PHACTR1* was highly associated with the disease progression of
95 MMD. Finally, we evaluated the effect of PHACTR1 on cell survival of endothelial cells.

96

97 **Material and methods**

98 **Subjects**

99 We enrolled 31 MMD subjects and 10 non-related healthy controls with matched age and gender
100 during 2018 to 2019 at Department of Neurosurgery, The Affiliated Drum Tower Hospital of
101 Nanjing University Medical School, Nanjing, China. Subjects recruited into this study were all
102 Chinese ancestry. The study was reviewed and approved by the research ethics committee, Nanjing
103 Drum Tower Hospital of Nanjing University Medical School (2018-173-01). Written informed
104 consent was taken from each participant.

105 Patients with MMD determined by digital subtraction angiography (DSA) were based on the
106 guidelines established by the Japanese Research Committee on Moyamoya disease of the Ministry
107 of Health, Welfare and Labor, Japan (RCMJ) (Fukui 1997). Information on family histories,
108 gender, age, onset symptoms were obtained by interview. Cases with additional evidence of
109 atherosclerosis, meningitis, autoimmune diseases, brain neoplasm, Down syndrome,
110 Recklinghausen disease, irradiation or other obvious specific etiologies were excluded. All
111 included MMD subjects all first time came to the hospital and diagnosed with the MMD. All these
112 MMD subjects did not have any drug treatment for MMD or other conditions.

113

114 **Treatment and clinical follow-up**

115 Through the use of single-photon emission computed tomography (SPECT), cerebral blood flow
116 (CBF) was semi-quantitatively measured for all MMD patients 1 month after the initial onset.
117 After preoperative imaging evaluation, surgical revascularization, including superficial temporal
118 artery to middle cerebral artery anastomosis combined with encephalo-duroarterio-synangiosis
119 (EDAS), was conducted in the hemisphere with intracranial hemorrhage or with more severe
120 ischemia. If a patient elected not to undergo surgery, conservative management was used instead.
121 After baseline investigation and surgical intervention, all patients underwent clinical follow-up.
122 Brain MRI and MRA were performed every 6 to 12 months. During the follow-up period, if major
123 intracranial artery stenosis progression was suspected on MRA or cerebrovascular events occurred,
124 cerebrovascular DSA was performed within 1 week. The presence or absence of disease
125 progression was evaluated at the final follow-up visit which defined as the progression of any
126 major intracranial artery stenosis >50% in the ICA and/or the posterior cerebral artery (PCA).
127 Representative cases with disease progression are shown in Figure 1.

128

129 **Blood sample extraction and storage**

130 Three tubes of 15 mL peripheral blood samples were collected and subjected to DNA extraction
131 (Qiagen). DNA extraction method is seen in the exome sequencing analysis section. DNA samples
132 were used immediately to next experiments, or store at -80°C for later usage.

133

134 **Whole-exome sequencing and Sanger sequencing**

135 We conducted exome sequencing analysis on 31 sporadic MMD subjects and 10 non-related
136 healthy control subjects. Peripheral blood samples were collected from the subjects, and DNA was
137 extracted using the QIAamp™ DNA and Blood Mini kit (Qiagen™, Munich, Germany), and
138 sheared using acoustic fragmentation (Covaris) and purified using a QIAquick PCR Purification
139 Kit (Qiagen). The whole-exome DNA library was sequenced on an Illumina HiSeq X Ten platform
140 and performed as previously described (Stachler et al. 2015).

141 The Sanger sequencing was performed in Genscript Ltd. (Nanjing, China).

142

143 **Bioinformatics analysis**

144 Raw sequencing reads and all qualified reads were processed with an in-house bioinformatics
145 pipeline, which followed as previously described (Stachler et al. 2015). Duplicated fragments were
146 marked by Picard v1.141. After converting the data into bam format, GATK BaseRecalibration
147 module was used to improve the base quality and then HaplotypeCaller module was used to
148 discover genetic variants (SNV/INDEL). SnpEff 4.3i and Gemini v0.18.0 were used for functional
149 annotation with Online Mendelian Inheritance in Man (OMIM), the Exome Aggregation
150 Consortium (ExAC) Browser, MutationTaster2 and the Combined Annotation Dependent
151 Depletion (CADD).

152

153 **Variants filtering and selection**

154 Variants were excluded if they had a call rate <98%, major allele frequency <1%, abnormal
155 heterozygosity > (mean±3SD), or significant deviation from Hardy–Weinberg equilibrium among
156 controls ($P_{hwe} < 1 \times 10^{-4}$). The non-coding, synonymous, impact_severity=low, MAF (minor allele
157 frequency) >0.01 variants were excluded from the raw data. SO_IMPACT=HIGH was referenced
158 using MAF database including ESP, 1KG, ExAC database. The definition of
159 “SO_IMPACT=HIGH” is based on the Gemini
160 ([https://gemini.readthedocs.io/en/latest/content/database_schema.html#details-of-the-impact-](https://gemini.readthedocs.io/en/latest/content/database_schema.html#details-of-the-impact-and-impact-severity-columns)
161 [and-impact-severity-columns](https://gemini.readthedocs.io/en/latest/content/database_schema.html#details-of-the-impact-and-impact-severity-columns)). The filtered variants was compared with known MMD related
162 genes, such as *RNF213*, *CCER2*, *HLA-DRB1*. SNVs with CADD PHRED scaled score >15 were
163 regarded as deleterious.

164

165 **siRNA transfection and Western blotting**

166 Human coronary artery endothelial cell (HCAEC) was kindly gifted from Dr. Shengnan Li
167 (Nanjing Medical University) and cultured in DMEM plus 10% fetal bovine serum (FBS, Hyclone,

168 Waltham, MA). SiRNA targeting *PHACTR1* (sc-95456, Santa Cruz Biotechnology, Santa Cruz,
169 CA) and scrambled siRNA were transiently transfected into HCAECs using Lipofectamine 2000
170 Reagent (Invitrogen, Carlsbad, CA). HCAEC cells 48 h after transfection were lysed with ice-cold
171 RIPA lysis buffer. The SDS-PAGE was performed as the standard procedure. Anti-PHACTR1 (sc-
172 514800, Santa Cruz Biotechnology) and anti- β -actin (Sigma, St. Louis, MO) were used. Protein
173 bands were visualized with ECL reagent (Thermo Scientific, Rockford, IL) and recorded by Tanon
174 5200 Multi Imaging Workstation (Tanon, Shanghai, China).

175

176 **Cell survival assay**

177 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) was used for the assessment
178 of HCAEC cell survival and performed as previously described (Zhu et al. 2012).

179

180 **Statistical analysis**

181 Continuous variables were described as mean \pm SD, and categorical variables were presented as
182 number and percentage. An independent *t*-test, and a chi-square or Fisher exact test were used to
183 compare patients with and without disease progression. A *P* value < 0.05 was considered
184 significant. All statistical analyses were performed using SPSS 23.0 (IBM, Chicago, Illinois).

185

186 **Results**

187 **Characteristic of subjects and variants quantity**

188 The mean age (years \pm SD) of all enrolled cases was 33 \pm 8.46, and sex ratio of male: female was
189 0.34. The mean age and sex ratio of these healthy controls were 35.1 \pm 6.58 and 0.3, which matched
190 the disease group (Table 1). Of the 31 patients, 14 (45.2%) presented as intracranial hemorrhage
191 and 17 (54.8%) presented as ischemic stroke at baseline. Among these 31 subjects, 9 of them
192 suffered from disease progression in 10 hemispheres during the follow-up periods including 8 in
193 the anterior circulation and 2 in the posterior circulation, and the other 22 cases were diagnosed as
194 stable MMD disease.

195 By comparing with whole-exome sequencing results of the 31 sporadic MMD subjects with
196 the human genome GRCh37.75, total 15,206 genes were successfully picked out. The main
197 sequencing quality and depth were $85.42 \text{ M} \pm 11.32 \text{ M}$ reads, 99.69 ± 0.16 map (%), 111.87 ± 12.42
198 depth, suggesting a high quality of whole-exome sequencing. Total 196,365 variants were found
199 including 176,582 site nucleotide polymorphisms (SNP), 8,906 insertions (INS) and 10,877
200 deletions (DEL) (Table 2). Total 117,748 (51.359%) variants were mis-senses, 1,525 (0.665%)
201 variants were non-senses, and 109,992 (47.976%) variants were silent types. By comparing with
202 the healthy subjects, 10,241 variants in exons were discovered.

203

204 **Significant predisposing mutations associated with disease progression**

205 By comparing with the known MMD-related genes, 12 predisposing mutations on 7 gene exons
206 had from medium to high impact on gene modification (Table 3). These genes includes *RNF213*,
207 *CCER2*, *HLA-DRB1*, *NSD-1*, *PDGFRB*, *PHACTR1* and *POGLUT1*, 8 of these SNVs were
208 deleterious with CADD PHRED scaled score >15 . Next, we performed Sanger sequencing to
209 validate the association between predisposing mutations and MMD disease progression. SNV
210 (c.13185159G>T, p.V265L) on *PHACTR1* was found in 3 cases with disease progression and
211 shown significantly associated with its progression.

212

213 **The effect of PHACTR1 on cell survival**

214 We hypothesized that PHACTR1, one of 7 genes mentioned above, may mediate cell survival of
215 human endothelial cells. We knocked down the expression of PHACTR1 by transfection with
216 siRNA targeting PHACTR1 (Figure 2A), and then measured the cell survival of HCAEC cells
217 (Figure 2B). Western blotting revealed that siRNA targeting PHACTR1 largely downregulated the
218 expression of PHACTR1 in HCAEC cells, insulting in a ~80% decrease (Figure 2A). After the
219 successive 96 h measurement of cell survival rate, we found that PHACTR1 silence reduced the
220 cell survival of HCAEC cells under serum starvation cultural condition for 96 h, but not for 24~72
221 h (Figure 2B).

222

223 **Discussion**

224 All of the recruited sporadic MMD patients are all first-time being diagnosed with this
225 disease, and did not receive any clinical treatment or drugs administration for any other medical
226 conditions. *RNF213* was identified as a novel susceptible gene for MMD in East Asian population.
227 This study identified 4 novel susceptibility loci and confirmed the previous reported susceptibility
228 gene *RNF213*. Furthermore, we identified *HLA-DRB1* variants are found in the sporadic MMD
229 patients. *HLA-DRB1* play a central role in the immune system by presenting peptides derived from
230 extracellular proteins (Ji et al. 2018; Lauterbach et al. 2014). Although MMD patients with auto-
231 immune diseases were excluded from this study, immune system dysfunction is still associated
232 with the MMD pathophysiological process. *CCER2* was reported as a biomarker for MMD by
233 Japanese colleagues (Mukawa et al. 2017). *NSD-1* is a transcriptional factor (Jo et al. 2016). In
234 this study, we found a variant on *CCER2* locus and two mutations on *NSD-1*.

235 Our results suggest that cytoskeleton system and cardiovascular development are involved
236 with the MMD pathological process. *PDGFRB* and *PHACTR1* work on the actin cytoskeleton
237 system, and *PDGFRB* regulates cardiovascular development (Onel et al. 2018; Perez-Hernandez
238 et al. 2016). *POGLUT1* works on the NOTCH signaling pathway to regulate developments (Wu
239 et al. 2017). According to Sanger sequencing, we found that SNV (c.13185159G>T, p.V265L) on
240 *PHACTR1* was significantly associated with MMD disease progression. Owing to the lack of
241 appropriate animal model for MMD, we provisionally checked the effect of genes associated with
242 MMD susceptibility on immortal endothelial cells. We found PHACTR1 mediates the cell survival
243 of endothelial cells under serum starvation cultural condition. Nevertheless, the precise
244 mechanisms of MMD needs to be further studied on molecular and cellular levels.

245 The main limitation of the study is the small size of samples. The subjects are difficult to
246 recruit, we have attempted to perform a meta-analysis to strengthen or our conclusions. We have
247 gone through three databases and obtained 76 articles about MMD (Pubmed, n=23; Embase, n=33;
248 Web of science, n=20). The duplicated articles (n= 42) were removed. Then, 29 articles were

249 excluded through screening title or/and abstract, full text, due to review, animal studies, case report
250 and/or unrelated outcomes, moyamoya syndrome or other diseases. After reading the full text of
251 13 screened articles, we have not found these 8 SNVs mentioned in Table 3. So, we are
252 unfortunately unable to perform a meta-analysis in the present research status.

253

254 **Conclusions**

255 We perform whole-exome sequencing on 31 sporadic MMD subjects and 10 healthy volunteers,
256 and identify 12 predisposing mutations of 7 genes (*RNF213*, *CCER2*, *HLA-DRB1*, *NSD-1*,
257 *PDGFRB*, *PHACTR1* and *POGLUT1*) associated with MMD and SNV (c.13185159G>T,
258 p.V265L) on *PHACTR1* associated with its progression. We preliminarily provide the rational
259 evidence of the effect of PHACTR1 on endothelial cell survival and indicate its involvement in
260 the pathophysiological process of MMD.

261

262 **Abbreviations**

263 MMD, Moyamoya disease; HCAEC, human coronary artery endothelial cell; DSA, digital
264 subtraction angiography; MCA, middle cerebral artery; SNV, single nucleotide variant; MAF,
265 minor allele frequency.

266

267 **ADDITIONAL INFORMATION AND DECLARATIONS**

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274

275 **Competing Interests**

276 The authors declare there are no competing interests.

277

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365

366 **Figure Legends**

367

368 **Figure 1. Representative cases of disease progression in MMD.**

369 (A) right internal carotid angiograms of a 48 y/r man, showing artery stenosis progression in the
370 proximal portion of right MCA (arrows). (B) right posterior cerebral angiograms of a 51 y/r
371 woman, showing artery stenosis progression in the proximal portion of right PCA (arrows).

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373 **Figure 2. PHACTR1 silence reduces the cell survival of HCAEC cells.**

374 (A) HCAEC cells were transiently transfected with siRNA targeting PHACTR1 or scrambled
375 siRNA. Western blotting verified the knockdown efficiency of siRNA targeting PHACTR1. β -
376 actin as the loading control. (B) HCAEC cells transfected with siRNA targeting PHACTR1 or
377 scrambled siRNA were cultured under serum starvation cultural condition for successive 96 h. Cell
378 survival rate was assessed by cell survival assays. PHACTR1 silence reduced the cell survival of
379 HCAEC cells under serum starvation cultural condition for 96 h.

Table 1 (on next page)

Table 1. Demographic and clinical data of MMD cases and healthy controls

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2

Characteristics	MMD Group	Healthy Group
Age (Mean±SD)	33±8.46	35.10±6.58
Gender (Male/Female)	0.34	0.30
Ages<18y (%)	7 (22.58%)	2 (20%)
Ages≥18y (%)	24 (77.42%)	8 (80%)
Family history	No	No
Disease progression (%)	9 (29.03%)	---
Stable disease (%)	22 (70.97%)	---
Other combined severe conditions	No	No

3

Table 2 (on next page)

Table 2. Total amount of variants found on every chromosome

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2

Chromosome	Length	Variants
1	249,250,621	19,250
2	243,199,373	13,079
3	198,022,430	10,188
4	191,154,276	7,014
5	180,915,260	8,074
6	171,115,067	12,066
7	159,138,663	10,358
8	146,364,022	6,694
9	141,213,431	8,301
10	135,534,747	8,454
11	135,006,516	11,547
12	133,851,895	9,960
13	115,169,878	3,211
14	107,349,540	6,748
15	102,531,392	7,578
16	90,354,753	9,221
17	81,195,210	10,799
18	78,077,248	3,263
19	59,128,983	13,925
20	63,025,520	4,873
21	48,129,895	2,394
22	51,304,566	5,289
X	155,270,560	3,838
Y	59,373,566	241
Total	3,095,677,412	196,365

Table 3 (on next page)

Table 3. Associations between predisposing mutations and disease progression in MMD.

1 Missence variant.

2 According to Sanger sequencing.

3 P value for χ^2 test.

4 CADD PHRED-like scaled C-scores = $-10 \cdot \log_{10}(\text{rank}/\text{total})$, the recommended deleterious threshold was >15 for scaled C-scores.

1 **Table 3. Associations between predisposing mutations and disease progression in MMD.**

Locus	Gene	Position	Ref	Alt ¹	Codon change	Amino acid change	Qual	Depth	Impact	CADD raw score	CADD PHRED scaled score ⁴	Progression /Stable	wildtype ²	Mutant ²	P value ³
19q13.2	<i>CCER2</i>	39401715	C	T	c.39401715C>T	p.G67R	1763.4	3451	Medium	1.187	14.37	Progression	9	0	0.71
												Stable	21	1	
6p21.32	<i>HLA-DRB1</i>	32549352	G	C	c.32549352G>C	p.P212A	372.77	6450	Medium	1.940	18.63	Progression	8	1	0.71
												Stable	22	0	
		32551942	T	C	c.32551942T>C	p.D105G	2688.4	16207	Medium	2.289	21.90	Progression	8	1	0.71
												Stable	22	0	
5q35.3	<i>NSDI</i>	176562643	T	C	c.176562643T>C	p.I180T	1044.4	2736	Medium	2.862	23.30	Progression	9	0	0.71
												Stable	21	1	
		176638711	A	G	c.176638711A>G	p.H1104R	1108.4	2199	Medium	0.003	2.68	Progression	9	0	0.71
												Stable	21	1	
5q32	<i>PDGFRB</i>	149513304	G	A	c.149513304G>A	p.P260L	2883.4	4330	Medium	2.866	23.30	Progression	9	0	0.71
												Stable	21	1	
6p24.1	<i>PHACTR1</i>	13185159	G	T	c.13185159G>T	p.V265L	4277.88	4183	Medium	2.622	22.80	Progression	6	3	0.019
												Stable	22	0	
3q13.33	<i>POGLUTI</i>	119211265	A	T	c.119211265A>T	p.M387L	1367.4	2013	Medium	0.846	12.20	Progression	9	0	0.71

													Stable	21	1	
17q25.3	RNF213	78291014	A	T	c.78291014A>T	p.Q995H	736.4	3008	Medium	0.677	10.91	Progression	9	0	0.71	
													Stable	21	1	
		78319385	T	G	c.78319385T>G	p.I2466S	3066.4	3554	Medium	3.499	25.10	Progression	9	0	0.71	
													Stable	21	1	
		78320960	T	C	c.78320960T>C	p.V2991A	2086.4	3562	Medium	1.924	18.48	Progression	9	0	0.71	
													Stable	21	1	
		78321631	A	G	c.78321631A>G	p.I3215V	1814.4	3802	Medium	2.739	23.10	Progression	9	0	0.71	
													Stable	21	1	

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- 3 2 According to Sanger sequencing.
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Figure 1

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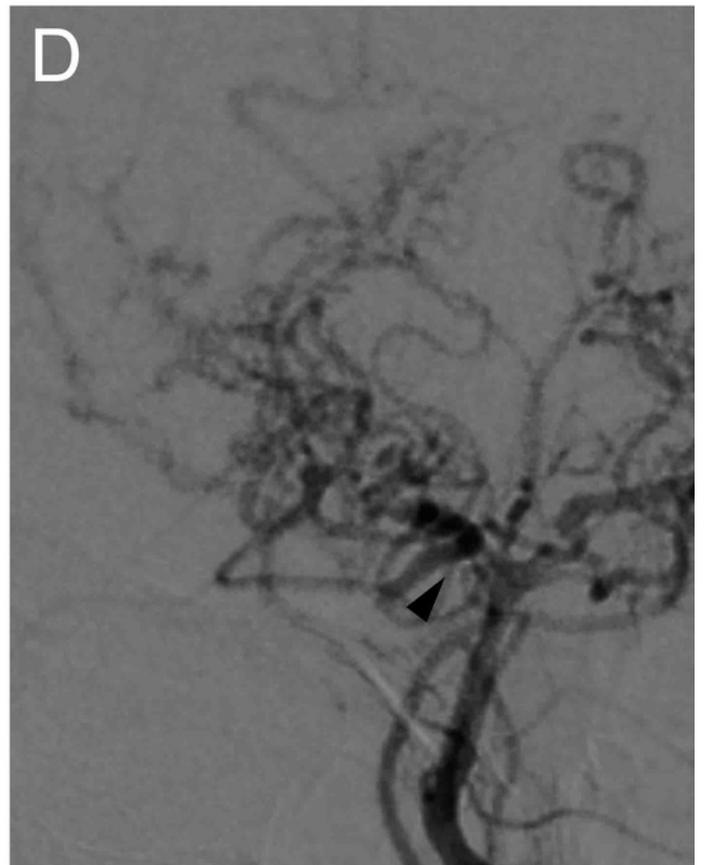
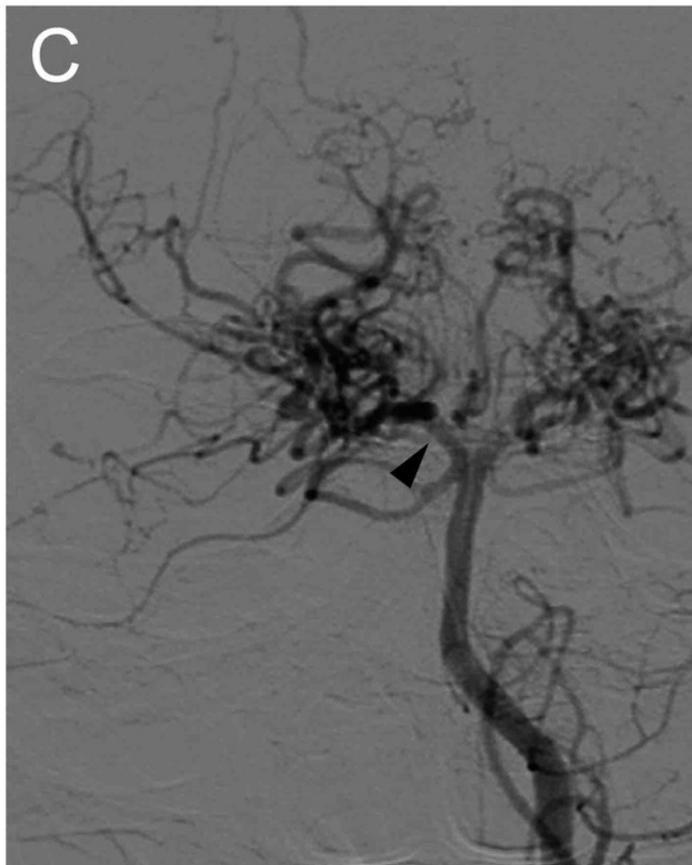
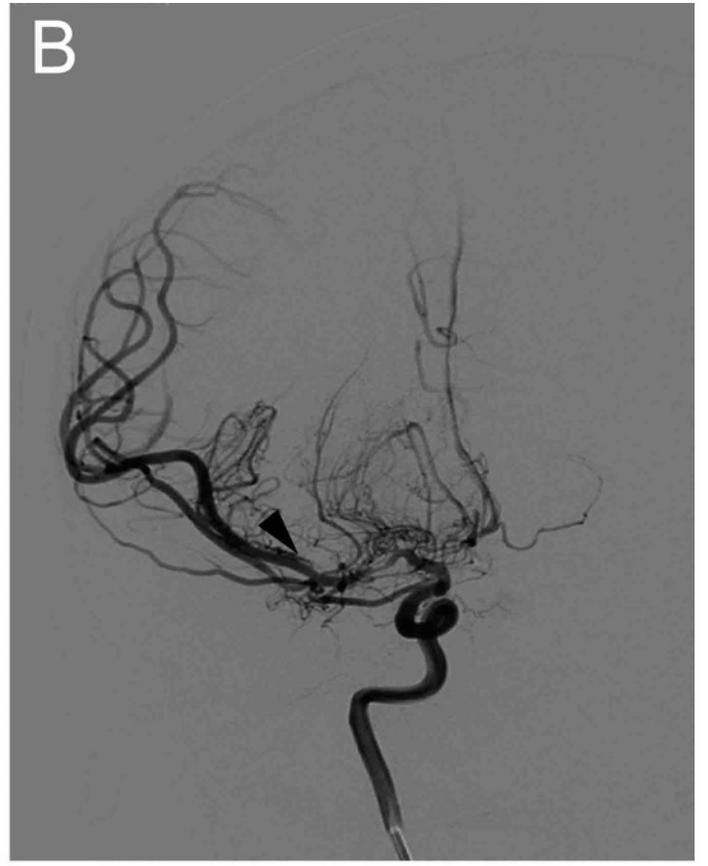


Figure 2

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