

The effect of personality measurement conditions on spontaneous swimming behavior in the pale chub *Zacco platypus* (Cyprinidae)

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The aim of the present study was to test whether spontaneous movement traits used in fish personality measurement are correlated or vary among different contexts in a common Chinese cyprinid fish, the pale chub (Zacco platypus, Cyprinidae). The median swimming speed, percent time spent moving, median turning rate and distance to the center of an arena were measured under boldness context (with a shelter available) and then exploration context (with a novel object nearby) and under the control context (i.e., with no shelter and novel object). The median swimming speed, percent time spent moving, and median turning rate all showed a positive correlation between the control and the other two contexts, which suggests that the personality of pale chubs is quite conserved and that future studies might use spontaneous swimming variables measured during exploration or boldness measurements to avoid the need to carry out a separate activity test. Further analysis comparing the distance and time latency to explore the novel object between the exploration condition (with the novel object present) and control condition (with an imaginary object at the same position) showed that only the amount of time it took for the fish to first reach the object for exploration significantly differed between the two contexts and hence might be a potential variable indicating exploration in the pale chub in the future.





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17	Running title: spontaneous activity and personality



ABSTRACT

The aim of the present study was to test whether spontaneous movement traits used in fish personality measurement are correlated or vary among different contexts in a common Chinese cyprinid fish, the pale chub (*Zacco platypus*, Cyprinidae). The median swimming speed, percent time spent moving, median turning rate and distance to the center of an arena were measured under boldness context (with a shelter available) and ther ploration context (with a novel object nearby) and under the control context (i.e., with no shelter and novel object). The median swimming speed, percent time spent moving, and median turning rate all showed a positive correlation between the control and the other two contexts, which suggests that the personality of pale chubs is quite conserved and that future studies might use spontaneous swimming variables measured during exploration or boldness measurements to avoid the need to carry out a separate activity test. Further analysis comparing the distance and time attency to explore the novel object between the exploration condition (with the novel object present) and control condition (with an imaginary object at the same position) showed that only the amount of time it took for the fish to first reach the object for exploration significantly differed between the two contexts and hence might be a potential variable indicating exploration in the pale chub in the future.

- **Keywords** personality measurement context, temperament, behavioral syndrome, activity, boldness,
- 35 exploration

36 INTRODUCTION

The personality of an animal is a consistent difference among individuals in behaviors such as boldness, exploration and activity (Bell et al., 2009; Réale et al., 2010; Mazué & Godin, 2015; Jolles et al., 2019). Personality has been assumed to have large fitness consequences and a wide range of ecological and evolutionary implications (Smith and Blumstein, 2008; Réale et al., 2010; Sih et al., 2012); hence, it has attracted much attention recently (Jolles et al., 2017; Tang and Fu, 2019). The tendency to leave a refuge and search an open environment is traditionally referred to as boldness (Jolles et al., 2017), and studies have used latency to leaving shelter and percentage of time (or activity) outside shelter as indicators of this characteristic (Brown & Irving, 2014; Tang, Wu & Fu, 2018). The novel object test has been widely used in personality research to assess exploratory



behavior (i.e., curiosity towards novelty) and neophobia (i.e., fear of novelty) (Galhardo, Vitorino & 46 Oliveira, 2012). Exploration is usually evaluated in terms of the distance or time latency associated 47 48 with the inspection of a novel object (Liu & Fu, 2017). For activity measurements, fish biologists usually 49 use the characteristics of spontaneous activities (movements without external stimulus) in an arena, 50 submas the median swimming speed and percent time moving during a given period (Brown & Irving, 2014; Liu & Fu, 2017). It has been long recognized that many animals exhibit called 'behavior 51 52 syndrome' as the bold individuals are typically more exploratory and active than other individuals (Sih, 53 Bell & Johnson, 2004; Sih et al., 2012; Martins & Bhat, 2014), i.e., personalities are highly correlated, 54 at least with regard to boldness, exploration and activity. A study involving principal component 55 analysis also suggested that most variables can be reduced to the so-called 'activity component', 56 which is distinct from the 'sociability component' (Tang, 2019). If the variables are correlated across 57 different personality contexts, researchers may be able to acquire data for variables related to different 58 personality traits from one measurement. For example, if correlated, one could measure the activity 59 variables mentioned above from a spontaneous movement trajectory of fish under boldness or exploration contexts. Thus, the present study aimed to determine whether trains of swimming behavior 60 61 are correlated within individuals across different personality contexts. The context condition was as 62 follows: boldness context with a shelter available, exploration context with a novel object nearby and 63 activity context with no novel object or shelter. 64 To achieve our yoals, I selected the pale chub (Zacco platypus), a common small cyprinid fish species 65 distributed across east Asia, as an experimental model. This omnivorous fish species usually occupies fast-riow streams and prefers to shoal in open water but it under or behind plants and stones against 66 67 water flow to forage or avoid predators. We recorded videos of the experimental fish individuals under 68 different personality contexts with a webcam. The variables we selected for comparison are median 69 swimming speed, percent time spent moving, median turning rate of body centroid (which usually 70 serves as an indicator of exploration tendency but is also closely correlated with activity), and the 71 distance to the center of the arena (which has frequently been used as an indicator of boldness) 72 (Couzin et al., 2011; Ioannou, Singh & Couzin, 2015; Sumpter et al., 2018).



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The results found that most variables between exploration context and control context are highly correlated and not significantly different, which is unexpected. This might suggest that the novel object used in the present study was reca sufficient stimulus for exploration in pale chub. Thus, I conducted an additional analysis comparing the distance to the novel object and the latency to explore the novel object in the exploration context between those to a virtual object in the activity context. The aim of this additional analysis is test whether the novel object is a sufficient stimulus, and the correlation between variables of activity and exploration is an artifact of the measurement protocols, i.e., he model. More active fish (individuals who spend more time moving and with a faster speed) might by chance spend less time reaching a novel object independent of their exploration tendency. Because no investigation has been conducted to test this issue before, it might provide a useful result for a study of exploration measurement in the future.

MATERIAL AND METHODS

Source of the fish and their care

- A total of 80 juvenile pale chubs (body mass: 6.42 ± 0.14 g; mean $\pm 5.E$.) were captured by local 86 fishers from a stream adjacent to the Wujiang River (29°24'37"N, 107°31'55"E, Wulong County, 87 Chongging City). All fish were reared in a 250 L recirculating system with aerated water. Twenty 88 percent of the water was exchanged daily with fresh water. The water temperature was maintained at 89 25 ± 1 °C. The photoperiod was 12 h light. 12 h dark. The pale chub were hand-fed to satiation once 90 91 daily (at 8:00 am) for 4 w with tubifex. The feces and uneaten food were removed with a siphon at 9:00 am. The dissolved oxygen level was kept above 90% saturation. After 4 w of acclimation, 92 experimental fish were tagged intraperitoneally with passive integrated transponder (PIT) tags under 93 anesthesia by neutralized tricaine methane sulfonate (MS222, 50 mg L-, Then, experimental fish 94 95 recovered for 2 w. All individuals were used to measure personality.
- The present study was authorized by the Animal Care and Use Committee of the Key Laboratory of
- 97 Animal Biology of Chongqing (permit number Zhao-20161122-04).

Experimental setup

The experimental setup was similar to those which described in Tang & Fu (2019). Specifically, we



used a rectangular tank (length × width × height: 70 × 20 × 20 cm) to measure spontaneous swimming behavior under different personality contexts, such as boldness, exploration and activity, as in previous studies (Liu & Fu, 2017; Fig. 1). The arena was surrounded with an opaque canvas to stimulus from the observers during the experiments. The arena was separated into two subareas by an opaque plastic partition that was used to separate the open area (length × width × height: 55 × 20 × 20 cm) from a shelter area (length × width × height: 15 × 20 × 20 cm), which provided a refuge with artificial plants. There was a small door (width × height: 10 × 10 cm) on the partition that allowed fish to move freely from the letter area to be a under boldness context, but it was closed under exploration and control contexts. The water depth was maintained at 10 cm during the experiment. The behaviors of the test fish were recorded using a webcam (Logitech Pro 9000; Logitech Company, Suzhou, China) placed 1.5 m directly above the aquanum and connected to a remote monitor, and the experimental tank was illuminated by fluorescent lights.

Experimental protocol

- Two weeks after the tagging of PIT, the swimming behaviors of all fish under boldness, exploration and control contexts were recorded. The recordings were conducted in a quariums at the same time from 8:00 am to 17:00 pm. The fish were first recorded under the boldness context, then the exploration context, and then the control context (see detail below). The aquariums were cleaned after the measurement of each fish individual.
- 118 Measurement of spontaneous swimming activities under different conte
- Spontaneous movements were recorded for all 80 individuals in the three contexts. However, the number of replicates for each test differed because some files could not be read by the software due
- to technical problems.
- degrees context A lice, was transferred into the shelter area and acclimated for 30 min; then, the
 degrees gently opened by use linking threat manipulated remotely, and the movement of the fish (only
 those in the open area) was recorded for 30 min (at 15 frames per second). Pale chub individuals
 showed large variation in terms of the use latency to enter the open area (ranging from nearly zero to
 a min) and the percentage of time spent in the open area (varying from 18 to 97%). Thus, the total



- duration of moving in the open area varied greatly among different individuals.
- 128 **Exploration context** After recoding under the boldness context, the fish that stayed in the shelter area
- were gently chased he open area of the aquarium and acclimated for 10 min with the small door
- between open and hidden areas closed. Then, a novel object (a black, round, plastic ball with a
- diameter of approximately 2 cm, Liu & Fu., 2017) was carefully transferred to a place that faced 40 cm
- directly away from the small door (Fig. 1), and the movements of the fish were recorded by the webcam
- 133 for 10 min.

- 134 Context After recording the exploration context, the novel object was removed, and the fish
- 135 was again acclimated for 10 min to eliminate the effect of the exploration context. Then, the
- movements of the fish were recorded by the webcam for 10 min.

Data calculation and analysis

- The videos were imported into an automated tracking program, i.e., EtnoVision XT9 (EthoVision XT
- 139 9, Nodus, Netherlands) after format conversion, which automatically calculated the x and y coordinates
- 140 from pixels to cm. The trajectories were therefore smoothed using a weighted moving average with a
- 141 window width of 0.5 s (Miller & Gerlai, 2012). Then, the median speed while fish swimming (swimming
- speed above 1.75 cm s⁻¹), percent time moving, median turning rate while fish swimming and mean
- distance to the center of the open area were calculated for all three contexts.
- 144 The swimming speed (v, cm s⁻¹) was calculated as follows:

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$$v(t) = \sqrt{(x(t) - x(t-1))^2 + (y(t) - y(t-1))^2}/d$$
 (1)

- where x(t) and y(t-1) and y(t-1) are the x and y coordinates, respectively, of the measured fish
- at time t and the time of the previous frame (t-1), and d is the length of the time interval (i.e., 0.5 s,
- 148 Miller & Gerlai, 2012).
- The median speed is among those when the swimming speed is above 1.75 cm s⁻¹.
- 150 percent time moving was calculated as the percentage of time when the swimming speed was
- above 1.75 cm s⁻¹ (Tang et al., 2017; Tang & Fu, 2019). The turning rate (ω_t , rad s⁻¹) of an individual
- was calculated using the absolute change in centroid (see details in Herbert-Read et al., 2013). This
- measure can be used to quantify exploratory as opposed to goal-directed behavior in fish, which is



154 calculated as follows (Sumpter et al., 2018).

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$$\omega_t = \frac{\cos^{-1}(c_t \cdot c_{t+1})}{\Delta t}$$
 (2)

- brief, θ_t is the orientation of fish at time step i measured relative to the positive x axis of the
- coordinate system. Let $\mathbf{c}_t = \cos \theta_t + \sin \theta_t$ be the unit vector pointing in the direction of θ at time step
- 158 *i.* Then, the change in the orientation of fish from time step t to time step t+1 was given by
- $cos^{-1}(c_t \cdot c_{t+1})$. Again, we calculated the median turning speed of each individual when the swimming
- 160 speed was above 1.75 cm s⁻¹.
- 161 he distance from the center of the arena (cm) was calculated as

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$$D_i = \sqrt{(x_i - x_0)^2 + (y_i - y_0)^2}$$
 (3)

- where x_i and y_i denote the coordinates of the fish, and x_0 and y_0 denote the coordinates of the arena
- 164 center. In open fields, a large distance from the center indicates low boldness in individual fish
- 165 (Sumpter et al., 2018).
- 166 the exploration trials, we also calculated two variables that are commonly used to test for
- differences in exploratory tendency in fish, i.e., the distance to the object and latency to explore the
- novel object under the exploration context (Adriaenssens & Johnsson, 2011; Mazué & Godin, 2015).
- The distance (cm) was calculated as $D_i = \sqrt{(x_i x_n)^2 + (y_i y_n)^2}$ (4)
- where x_i and y_i denote the coordinates of the fish, and x_n and y_n denote the coordinates of the object.
- 171 The latency to explore the novel object is defined as the amount of time it took for the fish to first
- swim within 7 cm (approximately 1 body length) of the object.
- assess whether the fish were responding to the novel object, we measured the same two variables
- 174 as the control treatment in relation to a virtual object in the same location The calculation assumed
- that the coordinates of both the novel and the virtual objects were located at the center of the object.
- 176 The dimension of the novel object, i.e., a 1 cm radius, might have little effect on the distance to the
- 177 coordinates and the latency to explore the object.
- One-sample Kolmogorov–Smirnov test results indicated that median swimming speed, median



turning rate, distance to the center and distance to the novel object were normally distributed, whereas percent time moving and latency to the novel (or virtual) object were not normally distributed. Thus, the relationship of each of the behavioral variables between the control and the two other contexts and the relationship of two variables associated with exploration between exploration and control contexts were tested with either Pearson or Spearmen correlation analysis based on whether the variables were normally distributed. If significantly correlated, the relationships were also examined using linear regressions. The effect of measurement context on median swimming speed, median turning rate and distance to the center was tested by a linear mixed model (LMM) using fish ID as a random factor. The paired t-test was used to compare the difference in median swimming speed between any two contexts. The difference in percent time moving was compared by a nonparameter Wilcoxon matched-pairs test. The distance associated with exploration under the exploration and control contexts with real or virtual novel object was compared with paired t-tests, whereas the latency to object was compared with a nonparameter Wilcoxon matched-pairs test. The program SPSS 17 was used for data analysis. P values < 0.05 were considered statistically significant, and all the data are presented as the mean ± S.E.

RESULTS

Effect of measurement context on spontaneous movement traits

The median swimming speed (Pearson correlation, P < 0.001), percent time moving (Spearman correlation, P < 0.001) and median turning rate (Pearson correlation, P < 0.001) measured under the control context were positively correlated with those measured under the other two contexts (Table 1; Fig. 2). The distance to the center measured under the control context was not correlated with that measured either under the boldness context (Pearson correlation, P = 0.650) or under the exploration context (Pearson correlation, P = 0.424).

The measurement context had a significant effect on median swimming speed (LMM, $F_{2, 150.29}$ = 70.637, P < 0.001), but it showed no effect on median turning rate (LMM, $F_{2, 149.17}$ = 0.263, P = 0.796) or distance to the center (LMM, $F_{2, 152.56}$ = 2.667, P = 0.073) (Fig. 3). The median swimming speed of fish measured under the boldness context was significantly higher than that of those measured under



both exploration (paired t-test, t_{73} = 9.723, P < 0.001) and control (paired t-test, t_{73} = 10.398, P < 0.001) 206 207 contexts. The percent time spent moving measured under the boldness context was significantly 208 higher than that measured under the exploration context (nonparameter Wilcoxon test, z = -4.873, P 209 < 0.001), whereas the latter was significantly higher than that measured under the control context 210 (nonparameter Wilcoxon test, z = -5.083, P < 0.001). 211 Difference in exploration variables between the exploration context and control context with a 212 virtual object 213 The distance to the novel (or) virtual object (Pearson correlation, R = 0.435, N = 79, P < 0.001) and the latency to reach the novel (or) virtual object (Spearman correlation, R = 0.329, N = 78, P < 0.001) 214 215 were positively correlated (Fig. 4). 216 The distance to the novel object in the exploration context showed no significant difference from that 217 to the virtual object in the control context (paired t-test, t_{78} = -0.838, P = 0.405) (Fig. 5a). However, it 218 took a much shorter amount of time for the fish to first reach the novel object under the exploration context than for the fish to reach the virtual object under the control context (paired t-test, $t_{78} = -5.772$, 219 P < 0.001) (Fig. 5b). 220 221 DISCUSSION 222 The correlation of spontaneous swimming traits between the control and other two contexts 223 The values of activity variables, such as the median swimming speed, median turning rate and percent 224 time moving, measured under the control context were closely correlated with those measured under 225 the boldness and exploration contexts. This agrees with the results of a previous study that showed 226 that variables such as median swimming speed and median turning rate were guite constant across 227 different measurements or contexts in mosquito fish (Gambusia affinis) (Herbert-Read et al., 2013) and in zebrafish (Danio Rerio) (Toms Combine Land). These results suggest that researchers can 228 229 evaluate personality traits associated with activity using only boldness or exploration contexts and do 230 not need to perform activity measurements separately. However, the distance to the center of the 231 aquarium showed no relationship between the control and the other two contexts, and the values were

quite similar across contexts. It might be possible that the size (relatively small) and shape (rectangle

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rather than round) of the aquarium greatly constrained this measure so that the values have little meaning compared to a larger arena.

The comparison of spontaneous swimming traits across contexts

All variables measured under the exploration context were almost the same as those under the control context, except for a 27% difference in percent time moving compared to those measured under the control context. Fish under the boldness context showed a 33% higher median swimming speed and 35% higher percent time moving compared to those measured under the control context. The difference in percent time moving might suggest that pale chub pursue more exploration- and boldness-related activities, such as inspecting novel environments or searching for food or potential predators. However, it might have little biological significance given the large difference among individuals. Furthermore, the difference in swimming activity between boldness and control contexts might be because some of the higher movement rates result from fish fleeing quickly back to shelter after entering the arena. Nevertheless, the distinctly different swimming patterns might suggest that variables that have been frequently used in previous studies, such as the percentage of time spent outside of a shelter, might be reliable indicators of boldness in fish species such as the pale chub (Alain et al., 2015; Mazué and Godin, 2015).

The relationship of exploration variables between exploration and control contexts

All variables measured under the exploration context were almost the same as those under the control context. Thus, I assumed that the presence of so called novel objects might not be a stimulus for exploration in pale chubs in the present study. It is worth noting that the size and shape of the arena and novel object are similar to those in previous studies. For example, a previous study in blue gourami (*Trichogaster trichopterus*) used a 40 × 60 × 40 cm aquarium (Bisazza, Lippolis & Vallortigara, 2001), a 90 × 30 × 45 aquarium and a 1.3 cm novel object for guppy (*Poecilia reticulata*) (De Serrana, Fong & Rodd, 2016). A 50 × 20 × 36 aquarium and 1.8 cm diameter novel object were used for zebrafish (Lucon-Xiccato & Dadda, 2014), and identical conditions were adopted in crucian carp (Liu & Fu, 2017). Thus, the fact that previous studies as well as the present study found a positive relationship between activity variables such as speed (or percent time moving) and exploration variables (distance



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and latency to the novel object) might have occurred because more active fish spend more time swimming at higher speed and hence show a shorter distance to the object and exploration latency in comparison to less active individuals (Liu & Fu, 2017; Tang & Fu, 2019). This is reinforced by the results indicating that the fish showed almost identical values in terms of the distance to novel objects in the same location when measured under the control context (i.e., a virtual object). However, this might be because the pale chub in the present study did not need to change their swimming behavior or position in the aquarium to explore. This might also be due to the separate stress from their conspecifics, which resulted in a pattern of swimming that was slightly affected by other test contexts, as this fish species preferred the group level in the field. Recent studies in cyprinid fish species such as gingbo (Spinibarbus sinensis) found that the spontaneous activity pattern varied profoundly when tested in singleton compared to those tested in dyads or in groups (Wang et al., 2019; Xu et al. 2019). However, since we measured the swimming activity under the boldness context first, it was unlikely because the aguarium is a novel environment for the fish, so adding another element of novelty may not change the situation from the fish's perspective. It was interesting to find that the time it took for the fish to first reach the object was much shorter than that under the control context, i.e., the fish encountered the object earlier under exploration. This suggests that at least time latency can be used as an exploration indicator in fish species such as the pale chub under the situation in the present study. The reason might be that the novel object is too simple and that the pale chub only performed superficial inspection or contact with the object and then exhibited a similar spontaneous swimming pattern as those in the control context. Nevertheless, this study suggests that fish behaviorists should be cautious regarding explanations pertaining to exploration indicators previously used in other personality studies, such as the distance to an object (Wang et al., 2019; Wang, 2019). Researchers at least need to test the reliability of such measurements, for example, by comparing spontaneous movement trajectories in fish between exploration and control contexts, as in the present study. The testing of other variables under different experimental setups, such as the number of times individual guppies (Poecina reticulata) traveled



- between containers connected by pipes (Brown & Irving, 2014), might be more appropriate for the measurement of exploration tendency.
 - CONCLUSIONS
- In conclusion, the pale chubs showed a highly positive correlation in median swimming speed, median turning rate and percent time moving between the control and the other two contexts, which suggests that fish behaviorists can use such traits from either boldness or exploration measurement tests rather
- 292 than conduct additional activity personality measurements. Further analysis revealed that the latency
- 293 to an object might be a reliable indicator of exploration, whereas a traditionally used variable such as
- 294 distance to a novel object might not be an appropriate indicator, at least for the pale chub in the present
- 295 study.

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Table 1(on next page)

The statistical results of correlation between the control and other two contexts

Pearson or Spearman (percent time moving) correlations between measurements conducted under the control context and those conducted under either boldness or exploration contexts.



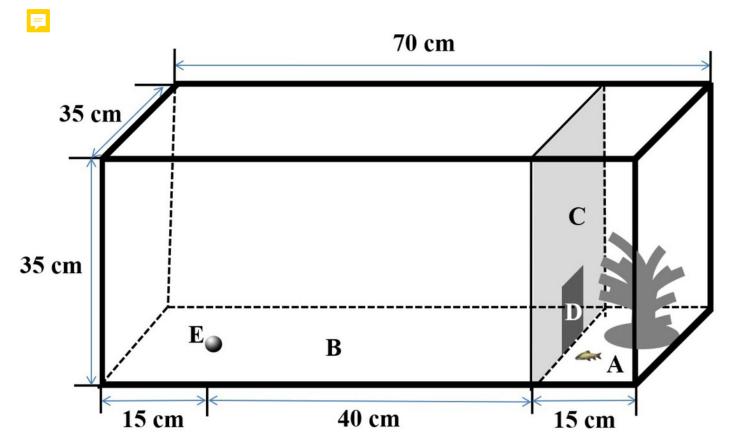
- 1 Table 1 Pearson or Spearman (percent time moving) correlations between measurements
- 2 conducted under the control context and those conducted under either boldness or exploration
- 3 contexts.

		Context		
		Boldness	Exploration	
Median swimming speed				
	N	74	78	
	R	0.644	0.656	
	P-value	<0.001	<0.001	
Percent time moving				
	N	72	67	
	R	0.450	0.619	
	P-value	<0.001	<0.001	
Median turning rate				
	N	74	78	
	R	0.660	0.664	
	P-value	<0.001	<0.001	
Distance to center				
	N	74	78	
	R	-0.054	0.092	
	<i>P</i> -value	0.650	0.424	

F

Design of the experimental aquarium used for the measurement of activity, exploration and boldness in the study.

A) higher area (length \times width \times height: 15 \times 35 \times 35 cm); B) open area (length \times width \times height: 55 \times 35 \times 35 cm); C) removable opaque PVC divider; and D) small door (10 \times 10 cm)

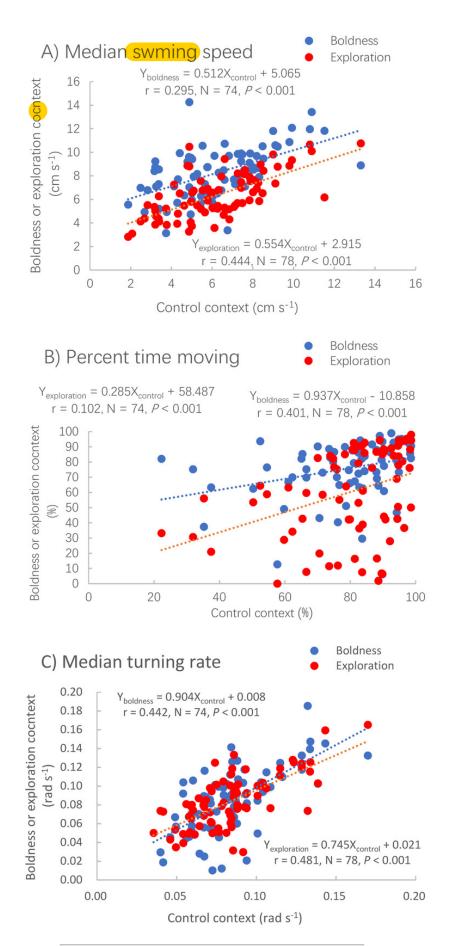




Correlations between the control context and two other contexts, boldness (red dots) and exploration (blue dots) for three measures of activity

[median swimming speed (A), percent time moving (B) and median turning rate (C)] in pale chubs. Dotted lines represent the relationships between two different contexts using linear regressions.





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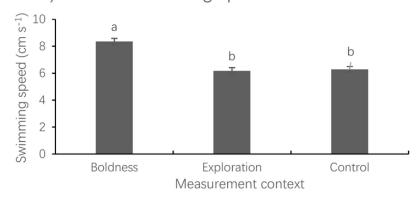


Mean \pm S.E. of four measures of spontaneous swimming activity

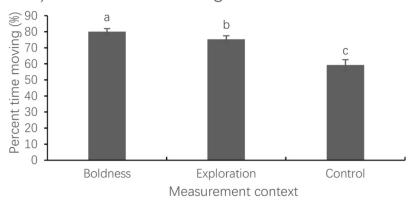
[median swimming speed (A), percent time moving (B), median turning rate (C) and distance to center of the aquarium (D)] in pale chubs in the boldness (N = 74), exploration (N = 78) and control (N = 79), contexts. Bars with different letters are significantly different (P < 0.05).

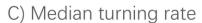
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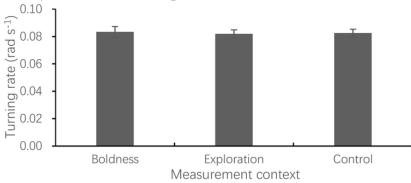
A) Median swimming speed



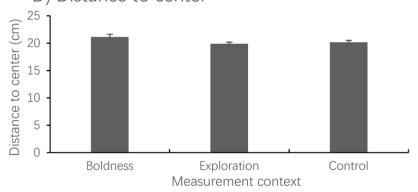
B) Percent time moving







D) Distance to center

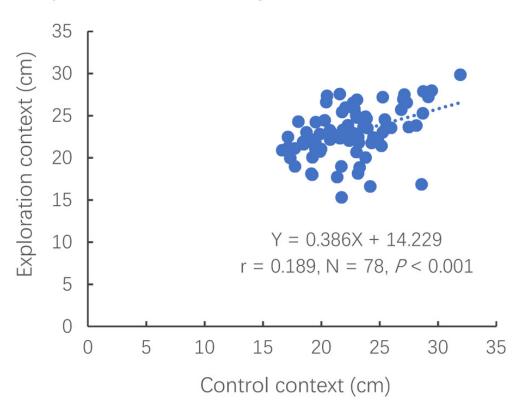




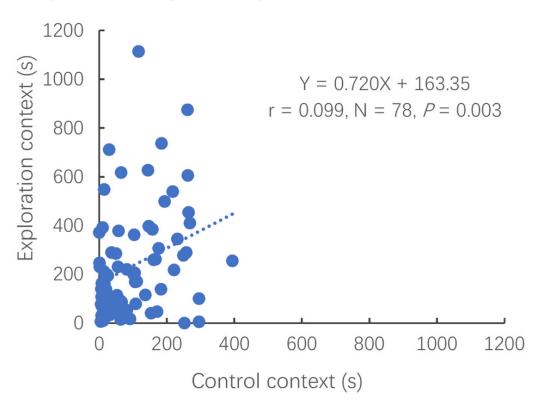
Correlations between the exploration and control contexts

Correlations between the exploration and control contexts for measures of distance to the novel object (A) and latency to the object (B). Dotted lines represent the relationships between two different contexts using linear regressions.

A) Distance to object



B) Lantency to exploration





Mean distance (A) and latency to exploration (B) under the exploration context and the virtual object under the activity context

(mean \pm S.E., N = 78). Bars with different letters are significantly different (P < 0.05).

