Growth rate and locomotor performance trade-off is not universal in birds

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Though a trade-off between growth rate and locomotor performance has been proposed, empirical data on this relationship are still limited. Here we statistically analyze the associations of growth rate and flight ability in birds by assessing how growth rate is correlated with three wing parameters of birds: flight muscle ratio, wing aspect ratio, and wing loading. We find that fast-growing birds tended to have higher flight muscle ratios and higher wing loading than slow-growing birds, which suggests that fast-growing birds may have better takeoff performance, but lower efficiency in maneuvering flight. Accordingly, our findings suggest that the relationship between growth rate and flight ability is more complex than a simple tradeoff. Since the hindlimbs also contribute greatly to the locomotion of birds, future investigations on the relationship between growth rate and hindlimb performance will provide more insight into the evolution of birds.

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21 **Abstract**

23 Though a trade-off between growth rate and locomotor performance has been proposed,

24 empirical data on this relationship are still limited. Here we statistically analyze the associations

25 of growth rate and flight ability in birds by assessing how growth rate is correlated with three

26 wing parameters of birds: flight muscle ratio, wing aspect ratio, and wing loading. We find that

27 fast-growing birds tended to have higher flight muscle ratios and higher wing loading than slow-

28 growing birds, which suggests that fast-growing birds may have better takeoff performance, but

29 lower efficiency in maneuvering flight. Accordingly, our findings suggest that the relationship

30 between growth rate and flight ability is more complex than a simple tradeoff. Since the

31 hindlimbs also contribute greatly to the locomotion of birds, future investigations on the

32 relationship between growth rate and hindlimb performance will provide more insight into the

33 evolution of birds.

34 **Key words**: birds, growth rate, flight ability, flight muscles, wing span, wing area, wing aspect

35 ratio

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41   **Introduction**

42

43   Growth rates vary considerably among different taxa and organisms (e.g., Arendt 1997; Case

44   1978; Ricklefs 1973; Ricklefs 1968). It has been suggested that the growth rate for an organism

45   results from a compromise between benefits and costs of rapid growth within physiological

46   constraints (Arendt 1997; Dmitriew 2011). Rapid growth allows organisms to shorten the

47   duration of reaching maturity when they are vulnerable to predators (Case 1978). However, rapid

48   growth may reduce longevity (Gabriela 2018; Metcalfe & Monaghan 2003) and reduce

49   investment in other functions, because overall resources are limited (Arendt 1997; Dmitriew

50   2011; Martin et al. 2011). One of the main functions that are suggested to be negatively affected

51   by rapid growth is locomotor performance (e.g., Billerbeck et al. 2001; Dmitriew 2011; Lee et al.

52   2010). For example, Billerbeck et al. (2001) showed that within the Atlantic silversides (*Menidia*

53   *menida*), the fast-growing fish have lower maximum prolonged and burst swimming speeds than

54   slow-growing ones.

55

56   Birds are ideal for testing factors that are suggested to influence growth rate, because previous

57   studies have accumulated relatively abundant data (Martin 2004; Remeš & Martin 2002; Starck

58   & Ricklefs 1998). By far, the variations of growth rate in birds has been found to be associated

59   with a suite of factors. Growth rate is inversely correlated with body mass, and precocial birds

60   tend to grow faster than altricial birds (Ricklefs 1973). Royle et al. (1999) suggested that growth

61   rate is associated with sibling competition. Several studies on passerines have shown that growth

62   rates increase with nest predation rates (Cheng & Martin 2012; Martin et al. 2011; Remeš &

63   Martin 2002). Sandvig et al. (2019) found that birds from high latitudes tend to grow faster than

64   birds from lower latitudes, and that birds nesting in open nests grow faster than birds nesting in

65   enclosed nests. An inverse relationship between growth rate and locomotor performance at the

66   interspecific level has also been proposed for songbirds (Martin 2015). However, contradictory

67   evidence exists at the intraspecific level. For example, Coslovsky & Richner (2011) found that

68   within great tits (*Parus major*), the nestlings that grow faster tend to have longer wings at

69   maturity. Moreover, statistical tests on the relationship between growth rate and locomotor

70   performance in birds at the interspecific level are still lacking.

71

72   Here we empirically assess how growth rate is associated with three wing parameters of birds:

73   flight muscle ratio, wing aspect ratio, and wing loading. Flight muscles mass is important in

74   determining the power that flight muscles can produce (Pennycuick 2008). Wing loading and

75   aspect ratio are key parameters for the aerodynamics of flight, which can be calculated based on

76   body mass, wing span and wing area (Pennycuick 2008).

77

78   **Materials & Methods**

79

80   **Data collection**

81

82 Two datasets were compiled: one (81 species) to assess the relationship between growth rate and

83 flight muscle ratio (Table S1), and the other (125 species) to assess the relationship between

84 growth rate and wing aspect ratio and wing loading (Table S2). Body mass, development mode

85 (precocial or altricial), nest type (open or enclosed), clutch size, latitude, and migratory status

86 (migratory or not) were included as control variables. Data on growth rate ("K" in the logistic

87 function) were taken from AnAge Database (Tacutu et al. 2013) and Tholon & Queiroz (2007);

88 body mass and mass of flight muscles (m. pectoralis and m. supracoracoideus) from Wright et al.

89 (2016) and Viscor & Fuster (1987); body mass, wing span and wing area from Pennycuick

90 (2008) and Serrano et al. (2016); development mode from Starck & Ricklefs (1998); clutch size

91 from Myhrvold et al. (2015); nest type from Sibly et al. (2012) and Harrison & Greensmith

92 (1993); latitude from BirdLife International and Handbook of the Birds of the World (2018);

93 migratory status from BirdLife International (2019). Data on body mass were taken from

94 different sources for different sets of species. Flight muscles ratio was calculated as mass of

95 flight muscles divided by body mass; aspect ratio as wing span squared divided by wing area;

96 wing loading as body mass divided by wing area.

97

98 **Data analysis**

99

100 Nine models were tested in total. Three models were tested to assess the relationship between

101   growth rate and three wing parameters (flight muscle ratio, wing aspect ratio, and wing loading),

102   respectively, while controlling for body mass, development mode, clutch size, nest type, latitude,

103   and migratory status. Another three models were tested to assess the relationship between

104   latitude and these three wing parameters, respectively, while controlling for body mass,

105   development mode, clutch size, nest type, and migratory status. The last three models were tested

106   to assess the relationship between growth rate and these three wing parameters, respectively,

107   while controlling for body mass, development mode, clutch size, nest type, and migratory status,

108   with latitude dropped.

109

110   All analyses were carried out in R (R Core Team 2019) using the packages "ape" (Paradis et al.

111   2004), "caper" (Orme et al. 2018) and phytools (Revell 2012). To account for phylogeny, we

112   used 1000 time-calibrated phylogenetic trees from birdtree.org (Jetz et al. 2012) for each dataset

113   in our study, from which a majority rule consensus tree was derived using the function

114   "consensus.edges" in the package "phytools". The phylogenetic generalized least squares

115   (PGLS) analyses with Pagel's λ were performed using the function "pgls" in the package

116   "caper". Before analyses, growth rate, body mass, flight muscle ratio, wing aspect ratio, wing

117   loading, and clutch size were log10-transformed, while the absolute values of latitude were used.

118   The effect size "r" (for continuous variables) or "Hedges' d" (for categorical variables) was

119   calculated from t-values obtained from PGLS models (Nakagawa & Cuthill 2007).

120

121 To visualize the relationship between growth rate and wing parameters, residuals of these

122 variables were obtained by regressing them against the control variables.

123

124 **Results**

125

126 In order to assess the relationship between growth rate and wing parameters, we tested 9 models

127 (Tables 1 – 3). There are phylogenetic signals in all these models, indicating that the

128 phylogenetic non-independence should be accounted for in these analyses.

129

130 When controlling for body mass, development mode, clutch size, nest type, latitude, and

131 migratory status, among the three wing parameters, only flight muscle ratio was significantly

132 correlated with growth rate ($P = 0.007$, effect size = 0.31), while wing aspect ratio ($P = 0.593$,

133 effect size = -0.05) and wing loading ($P = 0.090$, effect size = 0.16) were not (Models 1 – 3 in

134 Table 1). Birds with higher flight muscle ratios tended to grow faster than birds with smaller

135 flight muscle ratios (Fig. 1). In all these three models, latitude was significantly correlated with

136 growth rate ($P = 0.032$, effect size = 0.25 in model 1; $P = 0.001$, effect size = 0.29 in model 2; P

137 = 0.002, effect size = 0.28 in model 3); birds from higher latitudes tended to grow faster than

138 birds from lower latitudes.

139

140 Latitude was significantly correlated with wing aspect ratio ($P = 0.010$, effect size = -0.23) and

141   wing loading (P = 0.041, effect size = 0.19), but not with flight muscle ratio (P = 0.315, effect

142   size = 0.12), when controlling for body mass, development, clutch size, nest type, and migratory

143   status (Models 4 – 6 in Table 2).

144

145   After dropping latitude from the models, growth rate was significantly correlated with mass of

146   flight muscle ratio (P = 0.006, effect size = 0.31) and wing loading (P = 0.028, effect size = 0.20,

147   Fig. 1), but not with wing aspect ratio (P = 0.237, effect size = -0.11) (Models 7 – 9 in Table 3).

148   Fast-growing birds tended to have higher flight muscle ratios and higher wing loadings than

149   slow-growing birds.

150

151   **Discussion**

152

153   Our results show that fast-growing birds tended to have larger flight muscle ratios and larger

154   wing loadings than slow-growing birds, which suggests that the relationship between growth rate

155   and flight ability in birds is more complex than a simple tradeoff. It has been shown that the

156   takeoff ability of birds is largely dependent on flight muscle ratio (Hartman 1961; Marden 1987).

157   Birds with higher flight muscle ratios can provide larger mass-specific lift force and take off

158   more steeply than birds with lower flight muscle ratios. The positive correlation between growth

159   rate and flight muscle ratio suggests that fast-growing birds tend to have better escape

160   performance from predators than slow-growing birds. In the fixed-wing model of maneuvering

161   performance, the radius of turn is proportional to wing loading; that is, birds with lower wing

162   loading can make turns of smaller radii (Norberg et al. 1971; Norberg 1990; Pennycuick 2008).

163   However, it has been suggested that birds with high wing loading can also effect turns of small

164   radii, but requiring slowing and flapping, which is energetically more expensive (Warrick et al.

165   1998; Warrick et al. 2002). In other words, wing loading is associated with efficiency of

166   maneuvering flight (Warrick et al. 2002). The positive correlation between growth rate and wing

167   loading suggests fast growth of birds may negatively affect the efficiency of maneuvering flight.

168   Aspect ratio reflects the efficiency of flight; an increase of aspect ratio can increase the lift and

169   reduce the drag (Norberg 1990; Pennycuick 2008). The lack of significant correlation between

170   growth rate and aspect ratio suggests that growth rate and efficiency of flight are likely to be

171   disconnected.

172

173   While the tradeoff caused by limited resources can explain growth rate's negative associations

174   with wing areas (birds with smaller wing areas have higher wing loading), the mechanisms

175   underlying the positive correlation between growth rate and the size of flight muscles remains to

176   be explored. Wright et al. (2016) showed that islands birds tend to evolve smaller flight muscles

177   and found a positive correlation between the size of flight muscles and predation pressure, when

178   using raptorial species richness and the presence of mammalian predators as proxies for

179   predation pressure. Sandvig et al. (2019) showed that among altricial birds, island birds tend to

180   grow slower than continental birds, though the relationship is marginally non-significant. A

181   positive correlation between growth rate and nest predation rate has been demonstrated in

182   previous studies of passerines (Cheng & Martin 2012; Martin et al. 2011; Remeš & Martin

183   2002). These studies suggest that predation pressure can be a potential factor that drives the

184   correlated evolution of growth rate and the size of flight muscles in birds.

185

186   The positive relationship found between growth rate and latitude is consistent with previous

187   studies (e.g., Martin 2015; McCarty 2001; Ricklefs 1968; Ricklefs 1976; Sandvig et al. 2019).

188   Moreover, latitude may confound growth rate's associations with wing loading. Martin (2015)

189   suggested that the slower growth of tropical birds is associated with enhanced flight performance

190   after fledging than temperate birds. The positive association of latitude with wing loading and

191   the negative association of latitude with wing aspect ratio suggest that tropical birds may be

192   more efficient in maneuvering flight and flight in general.

193

194   In extant birds, the sternal keel serves as the attachment of flight muscles (i.e., m.

195   supracoracoideus and m. pectoralis) and the sternal keel length is positively correlated with flight

196   muscle mass (Wright et al. 2016). By contrast, in the earliest fossil birds, for example,

197   *Archaeopteryx*, an ossified sternal keel is absent (Zheng et al. 2014), and an enlargement of the

198   sternal keel along the lineage leading to crown birds has been well documented (O'Connor et al.

199   2015b; Zheng et al. 2014; Zheng et al. 2012). However, how the absence or the small size of the

200   sternal keel in early birds could affect the size of flight muscles remain to be elucidated (Mayr

201 2017; O'Connor et al. 2015a; Olson & Feduccia 1979). Recent prolific studies suggest that

202 growth rates of extinct taxa can be estimated from their bone histology (Cubo et al. 2012;

203 Erickson 2005; Erickson 2014; Erickson et al. 2009; Erickson et al. 2001; Padian et al. 2001).

204 Accordingly, our finding of the positive correlation between growth rate and the size of flight

205 muscles suggests that bone microstructures may also be associated with the size of flight

206 muscles. Further investigations on the relationship between bone histology and the size of flight

207 muscles, and possibly other flight-related parameters, may provide a new avenue to

208 understanding the early evolution of flight and change in growth rate.

209

210 **Conclusions**

211

212 Our study shows that growth rate and flight ability are correlated in avian evolution, and their

213 relationship is more complex than a simple tradeoff as proposed in previous studies. Fast-

214 growing birds tended to have higher flight muscle ratios and lower wing loading, which means

215 that fast-growing birds may have better takeoff performance, but lower efficiency in

216 maneuvering flight. Besides wings, legs contribute greatly to the locomotion of birds and are

217 important for birds to occupy different habitats (Habib & Ruff 2008; Stoessel et al. 2013; Zeffer

218 et al. 2003). Moreover, wings and legs are highly linked during avian evolution (Allen et al.

219 2013; Heers & Dial 2015; Zhao et al. 2017). Further studies on the relationship between growth

220 rate and hindlimb performance will provide more insights into the evolution of birds.

221

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225

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PGLS models of growth rate in relation to flight muscle ratio (Model 1), wing aspect ratio (Model 2), and wing loading (Model 3), while controlling for body mass, development mode, clutch size, nest type, latitude, and migratory status.

Effect size "r" was calculated for continuous variables, while "Hedges' d" for categorical variables.

	β	P	Effect size (r or d)	95% CI of effect size
Model 1 ($R^2_{adjsted}$ = 0.53, Pagel's λ = 0.786)				
(Intercept)	-0.58	<0.001	–	–
Body mass	-0.24	<0.001	-0.66	(-0.77, -0.52)
Development mode: precocial	-0.40	<0.001	-1.52	(-2.06, -0.99)
Clutch size	-0.02	0.818	-0.03	(-0.24, 0.19)
Nest type: open	-4.37×10^{-4}	0.991	-3.31×10^{-03}	(-0.56, 0.56)
Latitude	2.03×10^{-3}	0.032	0.25	(0.03, 0.44)
Migratory status: migratory	0.02	0.530	0.17	(-0.34, 0.69)
Flight muscle ratio	0.41	0.007	0.31	(0.10, 0.49)
Model 2 ($R^2_{adjsted}$ = 0.43, Pagel's λ = 0.643)				
(Intercept)	-0.94	<0.001	–	–
Body mass	-0.19	<0.001	-0.53	(-0.65, -0.39)
Development mode: precocial	-0.29	<0.001	-0.91	(-1.29, -0.53)
Clutch size	0.11	0.147	0.13	(-0.04, 0.30)
Nest type: open	0.05	0.116	0.33	(-0.07, 0.73)
Latitude	2.86×10^{-3}	0.001	0.29	(0.12, 0.44)
Migratory status: migratory	0.06	0.115	0.37	(-0.08, 0.82)
Aspect ratio	-0.11	0.593	-0.05	(-0.22, 0.13)
Model 3 ($R^2_{adjsted}$ = 0.44, Pagel's λ = 0.667)				
(Intercept)	-1.16	<0.001	–	–
Body mass	-0.25	<0.001	-0.47	(-0.59, -0.32)
Development mode: precocial	-0.32	<0.001	-1.00	(-1.38, -0.61)
Clutch size	0.13	0.073	0.16	(-0.01, 0.33)
Nest type: open	0.05	0.122	0.32	(-0.07, 0.72)
Latitude	2.67×10^{-3}	0.002	0.28	(0.11, 0.43)
Migratory status: migratory	0.04	0.202	0.30	(-0.15, 0.75)
Wing loading	0.18	0.090	0.16	(-0.02, 0.32)

PGLS models of latitude in relation to flight muscle ratio (Model 4), wing aspect ratio (Model 5), and wing loading (Model 6), while controlling for body mass, development mode, clutch size, nest type, and migratory status.

	β	P	Effect size (r or d)	95% CI of effect size
Model 4 ($R^2_{adjsted}$ = 0.13, Pagel's λ = 0.418)				
(Intercept)	32.61	0.055	–	–
Body mass	7.82	0.027	0.25	(0.04, 0.45)
Development mode: precocial	7.10	0.257	0.29	(-0.19, 0.77)
Clutch size	8.17	0.353	0.11	(-0.11, 0.32)
Nest type: open	5.97	0.212	0.37	(-0.19, 0.94)
Migratory status: migratory	8.39	0.045	0.55	(0.03, 1.08)
Flight muscle ratio	17.32	0.315	0.12	(-0.10, 0.33)
Model 5 ($R^2_{adjsted}$ = 0.16, Pagel's λ = 0.562)				
(Intercept)	62.39	0.002	–	–
Body mass	8.03	0.005	0.26	(0.08, 0.41)
Development mode: precocial	14.64	0.015	0.47	(0.10, 0.84)
Clutch size	13.18	0.086	0.16	(-0.02, 0.32)
Nest type: open	1.09	0.748	0.07	(-0.33, 0.46)
Migratory status: migratory	11.88	0.001	0.76	(0.31, 1.22)
Aspect ratio	-54.19	0.010	-0.23	(-0.39, -0.06)
Model 6 ($R^2_{adjsted}$ = 0.14, Pagel's λ = 0.56)				
(Intercept)	1.10	0.920	–	–
Body mass	-0.09	0.984	-1.90×10^{-3}	(-0.18, 0.17)
Development mode: precocial	7.79	0.198	0.25	(-0.12, 0.61)
Clutch size	19.47	0.009	0.24	(0.07, 0.40)
Nest type: open	0.34	0.919	0.02	(-0.38, 0.42)
Migratory status: migratory	8.25	0.022	0.54	(0.09, 0.99)
Wing loading	22.73	0.041	0.19	(0.01, 0.35)

PGLS models of latitude in relation to flight muscle ratio (Model 7), wing aspect ratio (Model 8), and wing loading (Model 9), while controlling for body mass, development mode, clutch size, nest type, and migratory status, with latitude dropped.

	β	P	Effect size (r or d)	95% CI of effect size
Model 7 ($R^2_{adjsted}$ = 0.51, Pagel's λ = 0.792)				
(Intercept)	-0.52	0.001	–	–
Body mass	-0.22	<0.001	-0.63	(-0.75, -0.48)
Development mode: precocial	-0.38	<0.001	-1.42	(-1.95, -0.89)
Clutch size	4.84×10^{-4}	0.995	7.36×10^{-4}	(-0.22, 0.22)
Nest type: open	0.01	0.833	0.06	(-0.50, 0.62)
Migratory status: migratory	0.04	0.206	0.35	(-0.17, 0.86)
Flight muscle ratio	0.43	0.006	0.31	(0.10, 0.50)
Model 8 ($R^2_{adjsted}$ = 0.37, Pagel's λ = 0.717)				
(Intercept)	-0.79	<0.001	–	–
Body mass	-0.16	<0.001	-0.46	(-0.59, -0.31)
Development mode: precocial	-0.25	<0.001	-0.71	(-1.09, -0.34)
Clutch size	0.17	0.035	0.19	(0.02, 0.36)
Nest type: open	0.05	0.114	0.33	(-0.07, 0.73)
Migratory status: migratory	0.09	0.017	0.56	(0.11, 1.01)
Aspect ratio	-0.25	0.237	-0.11	(-0.28, 0.07)
Model 9 ($R^2_{adjsted}$ = 0.38, Pagel's λ = 0.733)				
(Intercept)	-1.17	<0.001	–	–
Body mass	-0.24	<0.001	-0.44	(-0.57, -0.29)
Development mode: precocial	-0.30	<0.001	-0.84	(-1.22, -0.46)
Clutch size	0.20	0.009	0.24	(0.06, 0.40)
Nest type: open	0.05	0.127	0.32	(-0.08, 0.72)
Migratory status: migratory	0.06	0.075	0.42	(-0.03, 0.87)
Wing loading	0.24	0.028	0.20	(0.03, 0.36)

Figure 1

Figure 1. Bivariate plots showing the relationships between growth rate and wing parameters in birds.

(A) residual log10(growth rate) vs. residual log10(flight muscle ratio); (B) residual log10(growth rate) vs. residual log10(aspect ratio); (C) residual log10(growth rate) vs. residual log10(wing loading). The lines are simple regressions between the residuals. In (A) the control variables are body mass, development mode, clutch size, nest type, latitude, and migratory status, while in (B) and (C) the control variables are body mass, development mode, clutch size, nest type, and migratory status, with latitude dropped.