



19 **Abstract**

20 The swamp eel (*Monopterus albus*) is a typical sex reversal fish with high  
21 economic value. Several phylogeographic studies have been performed using  
22 various markers but comparative research between mitochondrial and nuclear  
23 markers is rare. Here, a fine-scale study was performed across six sites along  
24 the Yangtze River including three sites on the main stem and three sites from  
25 tributaries. A total of 180 swamp eel individuals were collected. Genetic  
26 structure and demographic history were explored using data from two  
27 mitochondrial genes and eight microsatellite loci. The results revealed the  
28 samples from tributary sites formed three separate clades which contained site-  
29 specific lineages. Geographic isolation and the habitat patchiness caused by  
30 seasonal cutoff were inferred to be the reasons for this differentiation. Strong  
31 gene flow was detected among the sites along the main stem. Rapid flow of the  
32 river main stem may provide the dynamic for the migration of swamp eel.  
33 Interestingly, the comparative analyses between the two marker types was  
34 discordant. Mitochondrial results suggested samples from three tributary sites  
35 were highly differentiated. However, microsatellite analyses indicated the  
36 tributary samples were moderately differentiated. We conclude this discordance  
37 is mainly cause by the unique life history of sex reversal fish. Our study provides  
38 novel insights regarding the population genetics of sex reversal fish.

39 **Keywords:** *Monopterus albus*; Sex reversal; Population genetics;  
40 Mitochondrial and nuclear markers

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43 **Introduction**

44 The swamp eel (*Monopterus albus*) is a typical sex reversal fish, belonging  
45 to the family Synbranchidae, which usually inhabits swamps, ponds and rice  
46 fields (Nelson, Grande & Wilson, 2016). Due to its high nutritional value and  
47 good taste, the swamp eel is used as a significant aquatic food in China. In  
48 2018, 0.32 million tons of swamp eel were produced by Chinese aquaculture  
49 (data from The Ministry of Agriculture of China, 2019).

50 With the development of swamp eel farming, population genetic research  
51 of the wild eel became a hot topic, which has been used to guide the genetic  
52 breeding and swamp eel aquaculture. Several studies have used different  
53 markers including microsatellites, mitochondrial sequences and Inter-Simple  
54 Sequence Repeats (ISSR) to explore swamp eel population genetics (Lei et al.,  
55 2012; Li et al., 2013; Liang et al., 2016). Previous studies suggested a rapid  
56 decrease of wild swamp eel caused by the large use of pesticides and over-  
57 exploitation (Li et al., 2013; Liang et al., 2016). Cultured populations were  
58 genetically less diverse than wild populations. Due to farm escapes, the  
59 populations of wild swamp eel suffer from mix of variety and degeneration of  
60 genetic characters (Li et al., 2013). Thus, further research of population  
61 genetics and dynamics are necessary to protect the wild swamp eel.

62 *Monopterus albus* is a sex reversal fish with a unique life history (Liu, 1944;  
63 Mazzoni et al., 2018; Qu, 2018). It starts the reproductive cycle as a functional  
64 female. During 1 to 1.5 years of age, females obtain well-developed ova. After  
65 spawning, the swamp eel's sex is reversed from female to male. The intersexes  
66 appear in the two-year-old age class. Then it lives as male without sex reversal  
67 (Liem, 1963). Generally, swamp eels can live to 6-8 years. Mitochondrial DNA  
68 is maternally inherited. For this reason, the inheritance patterns of protogynous  
69 hermaphrodites differ to that of species that do not practice sex reversal.  
70 Therefore, it may be important to include both nuclear and mitochondrial  
71 markers to explore the population genetics of sex reversal fish.

72 This fine-scale study was performed across six sites along the Yangtze

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Commented [LR2]: It would be worthwhile reading this paper and potentially including it here: Coscia, I., Chopelet, J., Waples, R. S., Mann, B. Q., & Mariani, S. (2016). Sex change and effective population size: implications for population genetic studies in marine fish. *Heredity*, 117(4), 251.

This demonstrates how the population genetics of protogynous species differ – mostly when estimating effective population size but it also has interesting implications for mtDNA diversity.

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90 River including three sites on the main stem and three sites from tributaries.  
91 Subsequently, the genetic structure of these six sampling sites was explored,  
92 using sequence from two mitochondrial genes and eight microsatellite loci.  
93 Here, we show how different types of molecular markers can provide new  
94 insights regarding the population genetics of sex reversal fish.

## 95 **Materials & Methods**

### 96 **Ethics statement and Sample collection**

97 Procedures involving animals and their care were approved by the Animal  
98 Care and Use Committee of Anhui Academy of Agricultural Sciences under  
99 approval number 201003076. Field experiments were approved by Fisheries  
100 Bureau of Anhui (project number: FB/AH 2017-10).

101 A total of 180 individuals were collected using net from six sites along the  
102 Anhui basin of the Yangtze River (Table S1). Sampling sites from tributaries of  
103 the Yangtze River included Dang Tu (DT), Fan Chang (FC) and Huai Ning (HN);  
104 sampling sites from the main stem of the Yangtze River included Wu Wei (WW),  
105 Gui Chi (GC) and Wang Jiang (WJ) (Fig. 1).

### 106 **DNA extraction and Marker genotyping**

107 Total genomic DNA was extracted from muscle tissue using a standard  
108 phenol/chloroform procedure via proteinase K digestion (Sambrook, Fritsch &  
109 Maniatis, 1989), and then kept at -20°C for PCR amplification.

110 The mitochondrial cytochrome c oxidase subunit I (*COI*) gene and  
111 cytochrome b (*Cyt b*) gene were chosen. Two pairs of primers were designed  
112 here for the amplification (Table S2). PCR were conducted in 50 µL reaction  
113 mixtures containing 200 ng template DNA, 5 µL 10 × buffer (TaKaRa, Dalian,  
114 China), 4 µL MgCl<sub>2</sub> (2.5 mol/L), 3 µL dNTP (2.5 m mol/L), 2 µL of each primer  
115 (5 µmol/L), and 1 U Taq DNA polymerase (5 U/µL, TaKaRa). PCR conditions  
116 were as follows: initial denaturation (95°C, 1 min), then 35 cycles of  
117 denaturation (94°C, 50 s), primer annealing (55°C, 45 s), and elongation (72°C,  
118 1 min) and a final extension (72°C, 10 min). All fragments were sequenced in

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120 both directions with an ABI3730 automated sequencer (Invitrogen  
121 Biotechnology Co., Ltd, USA).

122 Eight unlinked polymorphic microsatellite loci were selected from previous  
123 studies (Table S1) (Lei et al., 2012; Li et al., 2007; Zhuo et al., 2011). PCR were  
124 conducted in 50  $\mu$ L reaction mixtures containing 200 ng template DNA, 5  $\mu$ L 10  
125  $\times$  buffer (TaKaRa, Dalian, China), 4  $\mu$ L  $MgCl_2$  (2.5 mol/L), 2.5  $\mu$ L dNTP (2.5 m  
126 mol/LM), 2  $\mu$ L of each primer (5  $\mu$ mol/L), and 1 U Taq DNA polymerase (25  
127 U/ $\mu$ L, TaKaRa). PCR conditions were as follows: initial denaturation (95°C, 5  
128 min), then 32 cycles of denaturation (94°C, 30 s), primer annealing (57°C, 60  
129 s), and elongation (72°C, 90 s) and a final extension (72°C, 5 min). Genotype  
130 was detected by ABIPRISM 3730.

### 131 **Data analyses**

#### 132 **Mitochondrial sequence**

133 Sequences were assembled by DNASTAR Lasergene package.  
134 Subsequent homologous alignment was performed by Mafft v.7 online program  
135 (<https://mafft.cbrc.jp/alignment/software/>) (Katoh, Rozewicki & Yamada, 2017).

136 Haplotype and nucleotide diversity were estimated using DNAsp V.6  
137 (Rozas et al., 2017). A parsimony network was constructed using Median  
138 Joining (MJ) in NETWORK v.5.0 (Bandelt, Forster & Röhl, 1999). Analysis of  
139 Molecular Variance (AMOVA) was performed using Arlequin v.3.11 (Excoffier,  
140 Laval & Schneider, 2005). Genetic variation within and among sampling sites  
141 was assessed. Pairwise  $F_{st}$  was estimated in order to evaluate the levels of  
142 population differentiation (Slatkin and Barton, 1989) and the  $P$  values were  
143 corrected using multiple testing.

144 The demographic history was explored using three approaches, e.g.,  
145 neutrality tests, mismatch distribution and Bayesian Skyline Plots (BSP)  
146 analyses. Tajima's  $D$  (Tajima, 1989) and Fu's  $F_s$  (Fu, 1997) values were  
147 calculated using DNAsp V.6. Mismatch distribution analyses were performed  
148 using Arlequin v.3.11 (Rogers & Harpending, 1992). The expansion time was  
149 calculated by the  $\tau$  value with the equation  $\tau=2\mu t$ , where  $\mu$  represents the

150 nucleotide mutation rate and  $t$  represents the estimated expansion time. BSP  
151 analysis was performed using Beast v1.10.4 (Suchard et al., 2018) under an  
152 uncorrelated relaxed clock mode for  $5 \times 10^7$  generations.

### 153 **Microsatellites data**

154 The results of 8 microsatellites loci were read using GeneMarker (Holland  
155 & Parson, 2011) and reformatted using Convert v.1.31 (Glaubitz, 2004). Hardy-  
156 Weinberg equilibrium (HWE) tests were performed using Popgene v 1.32 (Yeh  
157 et al., 1999). Expected and observed heterozygosity were calculated with  
158 Arlequin v.3.11 (Rogers & Harpending, 1992). AMOVA was implemented with  
159 Arlequin. Pairwise  $F_{st}$  was computed based on Slatkin's method (Slatkin &  
160 Barton, 1989). The geographical and genetic distance between sample sites  
161 was measured by GPS and Popgene v 1.32, respectively. The correlation  
162 between geographical and genetic distance was analyzed using Pcord v 5  
163 (Grandin, 2006).

164 Population structure was estimated using an MCMC (Markov Chain Monte  
165 Carlo) algorithm as implemented in Structure v.2.3.3 (Hubisz et al., 2009). The  
166 number of clusters ( $K$ ) was calculated under  $1 \times 10^6$  iterations with 10  
167 replications and the optimal number of  $K$  was deduced by Structure Harvester  
168 Web v.0.6.94 (Evanno, Regnaut & Goudet, 2005; Earl, 2012).

## 169 **Results**

### 170 **Mitochondrial genes**

171 A total of 1752 bp of mitochondrial sequence (*COI* 665 bp, Accession  
172 number: MN097948 - MN098127; *Cyt b* 1087 bp, Accession number:  
173 MN098128 - MN098307) were obtained for analyses. The contents of the bases  
174 A, T, G and C were 24.6%, 29.3%, 14.6% and 31.5% respectively, which  
175 showed obvious anti-G bias (Saccone et al., 1999).

176 The 180 mitochondrial sequences corresponded to 86 distinct haplotypes  
177 (Table 1). All haplotypes were divided into four clades based on MJ method (Fig.  
178 2). Clade A was the largest one which contained samples from five sampling  
179 sites. Haplotype 5 (H-5) had the largest number of shared individuals, and its

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182 central placement in the network suggests that this is the ancestral haplotype.  
183 The other three clades were separated by 13, 47, 24 mutational steps,  
184 respectively. Clade B only contained samples from the HN sampling site. Clade  
185 C and D mainly consisted of FC and DT samples, respectively.

186 Haplotype diversity ranged from 0.6620 to 0.9793 and nucleotide diversity  
187 ranged from 0.0017 to 0.0148 based on mitochondrial sequence (Table 1). The  
188 results of AMOVA showed that genetic variation among sampling sites (71.23%,  
189  $P < 0.001$ ) were much higher than the variation within the sampling sites  
190 (28.77%,  $P < 0.001$ ) (Table 2a). Subsequent  $F_{st}$  values further confirmed this  
191 result. Strong gene flow was detected between the main stem sampling sites  
192 ( $F_{st} = 0.0242$  between GC and WW,  $F_{st} = 0.0286$  between WJ and WW,  $F_{st} =$   
193  $0.0305$  between WJ and GC, see Table 3a). And high differentiation was  
194 revealed between the tributary sampling sites ( $F_{st} = 0.3069 - 0.9431$ ) (Table 3a).  
195 Fu's  $F_s$  and Tajima's  $D$  tests of main stem samples were significant ( $P < 0.01$ )  
196 but negative. No explicit expansion or decline were revealed for the tributary  
197 samples and the Fu's  $F_s$  and Tajima's  $D$  values except the Tajima's  $D$  of FC  
198 were not significant (Table 4). Mismatch distribution analysis revealed similar  
199 results. The values of sum of squares deviations (SSD) for samples from main  
200 stem and DT were not significant ( $P > 0.05$ ), indicating that sudden expansion  
201 could not be rejected (Table 4). The BSP analysis suggested the main stem  
202 samples had suffered effective population size decline (Fig. 3). Subsequent  
203 expansion time was indicated roughly as 0.46 MYA.

#### 204 **Microsatellite loci**

205 The eight microsatellite loci amplified unambiguous and repeatable  
206 products in the size range expected. All loci were in Hardy-Weinberg equilibrium  
207 ( $P > 0.05$ ). High genetic diversity was also supported by microsatellite data.  
208 Expected and observed heterozygosity for the six sampling sites were 0.8052  
209 - 0.8749 and 0.5958 - 0.7917, respectively (Table 1).

210 Structure results suggested the highest posterior probability for  $K=4$  (Fig.  
211 S1). The  $\Delta K$  method revealed four potential genetic clusters, aligning with the

212 three tributaries and all the main stem sites together. Samples from main stem  
213 showed high levels of genetic admixture (Fig. 4).

214 AMOVA was performed using the microsatellite data and suggested that  
215 genetic variation was mainly within sampling sites (94.65%,  $P < 0.001$ ),  
216 opposite to the results from mitochondrial data (Table 2b).  $F_{st}$  values suggested  
217 low levels of differentiation ( $F_{st} = 0.0005 - 0.0982$ ) (Table 3b).

218 The correlation between genetic and geographic matrixes was assessed  
219 using Mantel test (Table S3). The results suggested a significant correlation  
220 between them ( $r = 0.8791$ ,  $P = 0.004$ ) (Fig. 5).

## 221 **Discussion**

### 222 **Population genetics of swamp eel**

223 High levels of genetic diversity were found across sampling sites at both  
224 mitochondrial and microsatellite markers. The genetic diversity level of this  
225 study except FC sample site was higher than previous study in the same basin  
226 ( $Hd = 0.708$  and  $Pi = 0.002$  based on mitochondrial D-loop sequences) (Liang  
227 et al., 2016). The genetic diversity of FC samples was the lowest ( $Hd = 0.6620$ ,  
228  $Pi = 0.0017$ ). The significant differentiation of three tributary sampling sites was  
229 revealed by the population genetic analyses ( $F_{st} > 0.25$ ). The haplotype network  
230 and structure results suggested the tributary samples formed three separate  
231 clades which contained site-specific lineages. Significant correlation between  
232 genetic and geographic distance was detected. Interestingly, strong gene flow  
233 was detected among the main stem sampling sites and the expansion of main  
234 stem samples was detected.

235 It is well known that the swamp eel is a burrowing fish whose fins are  
236 vestigial or absent (Nelson, Grande & Wilson, 2016). Compared with most  
237 fishes, the swimming ability of eel is weak. Thus, we were curious about the  
238 reasons for this long-distance gene flow among main stem sampling sites. The  
239 flow rate of main stem in Anhui basin range up to 1.0 m/s (Guo & Xia, 2007).  
240 Rapid flow provides the dynamic for the migration of swamp eel. The eggs and  
241 juvenile fishes can slip downstream to the farther places. However, due to the



242 flat stream gradient and curved channel, the tributary flow becomes slower  
243 (Zhang, Li & Jiang, 2008) and long-distance migration is difficult for swamp eel.  
244 We propose that geographic isolation and the habitat patchiness caused by  
245 seasonal cutoff are the reasons for the differentiation between tributary samples.  
246 The tributary of FC site was much more isolated from the main stem. It  
247 connected to the main stem during the wet season and isolated during the dry  
248 season. Long-term isolation from the main stem may cause the low genetic  
249 diversity of FC samples.

#### 250 **Comparative analyses between mitochondrial and microsatellite data**

251 Analyses of nuclear and mitochondrial markers revealed discordant  
252 population structure in tributary samples. Based on mitochondrial data, genetic  
253 variation was mainly found among sampling sites. Samples between the  
254 tributary sites were highly differentiated ( $F_{st} > 0.25$ ) and represented three  
255 monophyletic clades. However, microsatellite analyses suggest that the  
256 majority of genetic variation is within these sampling sites; samples between  
257 the tributary sites were moderately differentiated ( $0.05 < F_{st} < 0.15$ ). Mean  $F_{st}$   
258 values of tributary samples based on mitochondrial and microsatellite data were  
259 0.6777 and 0.0679, respectively. Considering the different mutation rates of  
260 these two genomes, we corrected the mitochondrial  $F_{st}$  using the equation,  $F_{st}$   
261 (nuc) =  $F_{st}$  (mt) / [4 - 3  $F_{st}$  (mt)] (Brito, 2007). Even so, corrected mitochondrial  $F_{st}$   
262 value (0.3445) was still five times higher than the  $F_{st}$  (0.0679) estimated with  
263 microsatellite data. Conversely, the mean  $F_{st}$  values of main stem samples  
264 based on mitochondrial genes and microsatellite data were 0.0278 and 0.0037,  
265 respectively. After correction, both mitochondrial and microsatellite  $F_{st}$  reflected  
266 no differentiation among sampling sites of main stem.

267 Our study provided an interesting pattern of discordance between markers in  
268 the tributary samples as compared to consistent results between markers when  
269 considering main stem samples. According to previous studies, sex-biased  
270 dispersal, genetic admixture and lineage sorting may be the potential reasons  
271 for the discordance caused by different molecular markers (Funk and Omland,

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272 2003; Qu et al., 2012; Yang et al., 2016; Zarza et al., 2011). Considering the  
273 sex reversal in this species, we inferred the unique life history of the swamp eel  
274 contributed to this discordance, which was different from previous studies.  
275 Initially, the swamp eel is female and provides both mitochondrial and nuclear  
276 DNA to the population genetic pool. After spawning, the swamp eel becomes  
277 male. Due to mitochondrial maternal inheritance, male swamp eels only provide  
278 nuclear DNA to the population genetic pool (Fig. 6). As mentioned above, male  
279 stage is much longer than female stage in the whole life of swamp eel that  
280 cause different genetic frequencies between mitochondrial and nuclear data.  
281 The five-fold difference between mitochondrial and nuclear  $F_{st}$  values in  
282 tributary samples also confirmed this view. Consistent results between markers  
283 in main stem samples indicated extensive gene flow could reduce the effect of  
284 the sex reversal life history on the population genetic structure.

## 285 Conclusions

286 Our study used two data sets, mitochondrial DNA and microsatellites, to  
287 explore the demography, genetic variation and population structure of swamp  
288 eels. Compare with previous studies, high levels of genetic diversity suggest  
289 that swamp eels are an abundant resource in the Anhui basin and have  
290 potential commercial value. Samples from each tributary site in this study  
291 should be treated as an independent genetic unit. The unique sex reversal life  
292 history of the swamp eel may be significant factors affecting the population  
293 genetic structure and may generate the discordance we found between  
294 different molecular markers. Our study provides novel insights regarding the  
295 population genetics of sex reversal fish.

## 297 Acknowledge

298 We thank [Assoc.](#) Prof. Lee Rollins, Adomas Ragauskas and Joana Robalo  
299 for their constructive comments.

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**Commented [LR4]:** Are you saying your result was different from other studies of different species or of the same species? Please clarify and if the latter is true, then I don't think this really makes sense...

**Commented [LR5]:** Is there actually support for this idea or is it just a potential explanation? Please see my comments in "major issues" and include that discussion here. If your statement is supported by previous literature or data, please cite. If not, please make it clear that this is a possible hypothesis.

**Commented [LR6]:** after females spawn?

**Commented [LR7]:** I really don't understand the point you are making here – results are consistent in tributaries as well when you compare marker types...

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