

Comparison of prediction power of three multivariate calibrations for estimation of leaf anthocyanin content with visible spectroscopy in *Prunus cerasifera*

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The anthocyanin content in leaves can provide valuable information about a plant's physiological status and its responses to stress. Therefore, it is of great value to determine anthocyanin content in leaves accurately and efficiently. Meanwhile the selection of calibration method is one of the main factors which influence the measurement accuracy with visible and near infrared (NIR) spectroscopy. Three multivariate calibrations including principal component regression (PCR), partial least squares regression (PLSR), and back propagation neural network (BPNN) were adopted for development of determination models of leaf anthocyanin content from reflectance spectra (450-600 nm) in *Prunus cerasifera* and compared the performance of three multivariate calibrations. Certain principal components (PCs) and latent variables (LVs) were used as the input for back propagation neural network (BPNN) models. The results showed that the best PCR and PLSR models were obtained by standard normal variate (SNV) and the BPNN models outperformed the PCR and PLSR models. The coefficient of determination (R^2) and the root mean square error of prediction (RMSEP), and the residual prediction deviation (RPD) in the validation set by BPNN-PCs and BPNN-LVs were 0.952, 0.205, 4.591 and 0.956, 0.197, 4.778, respectively. The visible spectroscopy combined with BPNN could be successfully applied for the determination of leaf anthocyanin content in *P. cerasifera* and the performance of the BPNN-LVs model was the best. It can be concluded that the prediction power of BPNN-LVs model was the best and visible spectroscopy has significant potential in the nondestructive determination of leaf anthocyanin content in plant.

1 **Comparison of prediction power of three multivariate calibrations for estimation of leaf**
2 **anthocyanin content with visible spectrascopy in *Prunus cerasifera***

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21 Abstract

22 The anthocyanin content in leaves can provide valuable information about a plant's
23 physiological status and its responses to stress. Therefore, it is of great value to determine
24 anthocyanin content in leaves accurately and efficiently. Meanwhile the selection of calibration
25 method is one of the main factors which influence the measurement accuracy with visible and
26 near infrared (NIR) spectroscopy. Three multivariate calibrations including principal component
27 regression (PCR), partial least squares regression (PLSR), and back propagation neural network
28 (BPNN) were adopted for development of determination models of leaf anthocyanin content
29 from reflectance spectra (450-600 nm) in *Prunus cerasifera* and compared the performance of
30 three multivariate calibrations. Certain principal components (PCs) and latent variables (LVs)
31 were used as the input for back propagation neural network (BPNN) models. The results showed
32 that the best PCR and PLSR models were obtained by standard normal variate (SNV) and the
33 BPNN models outperformed the PCR and PLSR models. The coefficient of determination (R^2)
34 and the root mean square error of prediction (RMSEP), and the residual prediction deviation
35 (RPD) in the validation set by BPNN-PCs and BPNN-LVs were 0.952, 0.205, 4.591 and 0.956,
36 0.197, 4.778, respectively. The visible spectroscopy combined with BPNN could be successfully
37 applied for the determination of leaf anthocyanin content in *P. cerasifera* and the performance of
38 the BPNN-LVs model was the best. It can be concluded that the prediction power of BPNN-LVs
39 model was the best and visible spectroscopy has significant potential in the nondestructive
40 determination of leaf anthocyanin content in plant.

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42 **Keywords** Anthocyanin content, Reflectance spectra; Back-propagation neural network, Partial

43 least squares analysis, Principal component analysis

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46 INTRODUCTION

47 Anthocyanins are a specific and large group of water soluble flavonoid pigments (*Strack,*
48 *1997; Iwashina, 2000*), the common pigments, that occur in all tissues of higher plants, including
49 the leaves, stems, roots, flowers, and fruits. They are responsible for a wide range of plant colors,
50 such as blue, purple, violet, magenta, red and orange (*Fennema, 1998; Lai, 2019*), but they often
51 appear red (*Gould et al., 1995; Van den Berg & Perkins, 2005; Gitelson et al., 2009*). Moreover,
52 anthocyanins serve many functions: in pollinator attraction, as protectants (*Gould et al., 2009*),
53 antioxidants (*Gould et al., 2002, Yang et al., 2017*) and osmoprotectants (*Chalker-Scott, 1999*).
54 They also play a photo-protective role (*Liakopoulos et al., 2006*), and act as optical barriers
55 (*Close & Beadle, 2003; Solovchenko & Merzlyak, 2008*). A number of environmental stresses,
56 such as strong light, low temperature, UV-B irradiation, wounding, drought, bacterial and fungal
57 infections, deficiencies in nitrogen, phosphorus and potassium, and certain herbicides and
58 pollutants can result in the significant accumulation of anthocyanins (*Saure, 1990; Garriga et al.,*
59 *2014; Zhang et al., 2018*), which are thus often referred to as “stress pigments” (*Chalker-Scott,*
60 *1999*). In addition, anthocyanins accumulate transiently in juvenile and senescing leaves of many
61 plant species under unfavorable conditions (*Karageorgou & Manetas, 2006; Merzlyak et al.,*
62 *2008; Zeliou et al., 2009; Garriga et al., 2014*). Thus, anthocyanin content can serve as an
63 indicator of leaf senescence and environmental stresses in many plant species (*Neill & Gould,*
64 *1999; Gitelson & Merzlyak, 2004*), and its detection and quantitative assessment can provide
65 important and valuable information about physiological response and adaptation of plants to
66 environmental stresses (*Gamon & Surfus, 1999; Gitelson et al., 2009; Ustin et al., 2009*).

67 The traditional method for determining anthocyanin content has been the wet-chemical
68 method (*Gitelson & Merzlyak, 2004; Gitelson et al., 2001; Steele et al., 2009*). This method has
69 the shortcoming of being laborious, time-consuming, expensive, and destructive to leaves
70 (*Solovchenko et al., 2001; Merzlyak et al., 2003; Steel et al., 2009*). In addition, this way of
71 measuring does not allow measurement of changes in pigments over time in a single leaf
72 (*Garriga et al., 2014*). As anthocyanins can readily be estimated with absorption and reflectance
73 spectroscopy, spectral reflectance measurements have been developed which provide a non-
74 destructive, rapid, and inexpensive technique for assessing anthocyanin content (*Gitelson et al.,*
75 *2001; Sims and Gamon, 2002; Merzlyak et al., 2003*). Moreover, this technique can be used at
76 different spatial scales and in a large number of samples (*Viña & Gitelson, 2005; Lobos et al.,*
77 *2014*). Various models (vegetation indices) have been developed for determining anthocyanin
78 content of leaves in various plants (e.g. *Gitelson et al., 2001; Gitelson & Merzlyak, 2004;*
79 *Gitelson et al., 2006; Gitelson et al., 2009; Van den Berg & Perkins, 2005; Merzlyak et al., 2008;*
80 *Steele et al., 2009; Garriga et al., 2014; Liu et al., 2015; Manjunath et al., 2016*).

81 *Prunus cerasifera* (*P. cerasifera*) is *Prunus* deciduous small trees, natives to western Asia
82 and the Caucasus, commonly called cherry plum. Its leaves contain rich anthocyanins which
83 make them appear purple. It has become a very popular ornamental landscape tree in large part
84 because its showy purple foliage retains excellent color throughout the growing season. The
85 leaves of *P. cerasifera* have a wide range of anthocyanin contents, so *P. cerasifera* is a good
86 object to study leaf anthocyanins content of plant. To the best of our knowledge, there is at
87 present no research in the literature that explores the combination of PLSR or PCR with ANN for

88 the analysis of leaf anthocyanin content of *P. cerasifera* using visible spectroscopy (450–600
89 nm).

90 In this paper, the leaf anthocyanin content of *P. cerasifera* is investigated with visible
91 spectroscopy based on three multivariate calibrations. The objectives of the present work are: (1)
92 to investigate the feasibility of using visible spectroscopy to determine anthocyanin content in *P.*
93 *cerasifera* leaves; (2) to determine the optimal spectral pretreatments after the comparison of
94 Savitzky-Golay (SG) smoothing, standard normal variate (SNV), multiplicative scattering
95 correction (MSC), first derivative(1-Der), standard normal variate in combination with
96 transformed baseline (SNV+TB), Savitzky-Golay smoothing in combination with first derivative
97 (SG+1-Der), and multiplicative scattering correction in combination with first derivative
98 (MSC+1-Der); (3) to develop the best calibration models for estimation the leaf anthocyanin
99 content in *P. cerasifera* after the comparing prediction power of principal component regression
100 (PCR), partial least squares regression (PLSR), and back-propagation neural network (BPNN).
101 The present study was a preliminary step to monitor the growing status and biological parameters
102 of the plants using spectroscopic techniques in the field.

103 **Materials and methods**

104 **Leaf samples**

105 In total, 456 pieces of *P. cerasifera* leaves were collected from the Northwest Agriculture &
106 Forestry University campus between March and May of 2015. These leaves, ranging in color
107 from dark green with little red to completely red, were detached from the *P. cerasifera* of
108 different ages and different directions from the stem. After detachment, the leaves were
109 immediately sealed in plastic bags with a small amount of water, labeled as different samples,
110 and then placed in an ice box. Healthy and homogeneously colored leaves without visible
111 symptoms of damage were used in the experiments.

112 **Laboratory analyses of anthocyanin content**

113 The anthocyanin content was quantitatively determined from the same leaf samples used for
114 reflectance measurement. Several pieces were cut from the leaves and weighed, and then
115 anthocyanin extracted with 0.1 mol L⁻¹ hydrochloric acid methanol solution by the soaking
116 extraction method. The resulting extracts were immediately assayed spectrophotometrically.
117 Anthocyanin content was expressed as a function of leaf quality (i.e., $\mu\text{mol g}^{-1}$). The methods
118 we used are described in detail in the literature (*Xiong et al., 2003*).

119 **Spectrum measurement and pretreatment**

120 The reflectance spectra of the leaves were measured with a SVC HR-1024i
121 spectrophotometer (Spectra Vista Corporation, USA) equipped with a SVC reflectance probe and
122 interfaced to a personal computer. During measuring, artificial illumination was provided by an
123 internal tungsten halogen lamp. The HR-1024i spectrophotometer measures radiance with a

124 spectral resolution of 3.5 nm in a wavelength range of 350 to 1000 nm. Before the reflectance
125 spectra of the leaves were measured, reference measurements were made by rotating the sample
126 holder plate so that the white reference panel was facing the probe window. Target
127 measurements were then taken by inserting a leaf between the sample holder plate and window.
128 For accurate representation of the reflectance of the leaves, three reflectance measurements were
129 acquired for each leaf; each sample included four leaves of same color. Thus, the average of
130 twelve spectra per sample was calculated to establish a single representative reflectance spectrum.

131

132 The anthocyanin absorption peaks in situ were around 540–550 nm in the visible/near-
133 infrared (Vis/NIR) band (*Gitelson et al., 2001; Merzlyaket et al., 2008*). Furthermore, the
134 results of correlation analysis showed that a high correlation between total anthocyanin content
135 and reflectance spectra presented between 350 and 600 nm, and relative low correlation at the
136 other wavebands. The first 100 nm were removed to avoid a low signal-to-noise ratio. Finally,
137 only the wavelength bands between 450 and 600 nm, which avoided the effect of leaf structure
138 and the strongest absorption of chlorophyll and water, were employed for the calculations.

139 It was determined that, to remove system noises and external disturbances and to select the
140 best pretreatment method, some of the aforementioned pretreatments should be performed on the
141 spectra (*Liu et al., 2008; Liu & Liu, 2013*). First, the reflectance spectra were imported into the
142 SVC HR-1024i software (Spectra Vista Corporation, USA). The overlapping detector data were
143 removed, and then resampling in 1nm intervals was performed. Second, for this study seven
144 types of pretreatments were applied and compared, namely, standard normal variate (SNV),

145 multiplicative scattering correction (MSC), Savitzky-Golay smoothing (SG), first derivative (1-
146 Der), standard normal variate combined with transformed baseline (SNV+TB), multiplicative
147 scattering correction combined with first derivative(MSC+1-Der), and Savitzky-Golay
148 smoothing combined with first derivative (SG+1-Der). SNV, MSC, and SG smoothing were
149 applied to remove the multiplicative effects of scattering, random noise, and spectral baseline
150 shift (*Chu et al., 2004; Zhao, Qu & Cheng 2004; Liu et al., 2008; Bao et al., 2012*). The first
151 derivative pretreatment method was used to decrease the baseline shift (*Liu et al., 2008*). The raw
152 reflectance spectra and preprocessed spectra of *P. cerasifera* leaves are shown in Figures 1a–h.
153 All pre-processing steps were implemented using the Unscrambler 9.7 (Camo Inc., Oslo,
154 Norway).

155 **Establishment of calibration models**

156 Three different chemometric techniques (PCR, PLSR and BPNN) were used to compare the
157 prediction of anthocyanin content in *P. cerasifera* leaves. The optimal number of principal
158 components (PCs) of PCR and latent variables (LVs) of PLSR for a model was determined by
159 examining a plot of leave-one-out cross-validation residual variance against the number of
160 loadings, or latent variables, obtained from PCR and PLSR, respectively (*Mouazen et al., 2010*).
161 For example, the number of latent variables of the first minimum value of residual variance was
162 selected (*Brown et al., 2005*). Hence, the selected PCs and LVs represented the most information
163 about the spectra and were used as the inputs of the artificial neural network (ANN).

164 The most popular neural network is BPNN, which is a type of nonlinear neural network
165 used to solve several types of classification and regression problems. It usually leads to a better

166 result than traditional statistical methods. BPNN analyses are based on LVs obtained from PLSR
167 (BPNN-LVs) and PCs obtained from PCA (BPNN-PCs). A standard three-layer feed-forward
168 network composed of one input layer (PCs or LVs), one hidden layer (initially ten nodes) and
169 one output layer (one node) was used (*Mouazen et al., 2010*). All calculations of the BPNN were
170 implemented based on JMP 10 (SAS Institute Inc., USA).

171 In order to ensure that the calibration or validation set included samples that covered the
172 whole range of each chemical parameter, the 114 sample data (456 pieces of leaves, four leaves
173 per sample) were arranged in ascending order according to anthocyanin content. From the lowest
174 to the highest, two of every three samples were selected for inclusion in the calibration set. As a
175 result, two-thirds of the samples were assigned to the calibration set (76), and the remaining
176 samples served as the validation set (38). No single sample was used in the calibration and
177 validation sets at the same time. In order to compare the performances of different calibration
178 models, the samples in the calibration and validation sets were unchanged for all of the models,
179 and this was set as a basic condition in this paper. The performance of a model was evaluated by
180 the following indices: the coefficient of determination of calibration (R^2_{cal}) and validation (R^2_{val}),
181 the root mean square error of calibration (RMSEC) and validation (RMSEP), the residual
182 prediction deviation of calibration (RPD_{cal}) and validation (RPD_{val}). The detailed formulas of
183 these indices can be found in the literature (*Hu, 2013*). Based on experience and previous reports
184 (*Viscarra Rossel et al., 2006; Saeys et al., 2005*), the R^2 and RPD values are classified as follows:
185 $R^2 < 0.5$ with $1.0 \leq \text{RPD} < 1.4$ indicates poor models/predictions where only high and low values are
186 distinguishable; $0.5 \leq R^2 < 0.65$, $1.4 \leq \text{RPD} < 1.8$ indicates fair models/predictions which can be used

187 for assessment and correlation; $0.65 \leq R^2 < 0.80$, $1.8 \leq RPD < 2.0$ indicates good models/predictions
188 where quantitative predictions are possible; $0.80 \leq R^2 < 0.90$, $2.0 \leq RPD < 2.5$ indicates very good
189 quantitative models/predictions, and $R^2 \geq 0.90$, $RPD \geq 2.5$ indicates excellent models/predictions.
190 In all, a good model should have higher R^2 and RPD, and lower RMSE values.

191 **Results**

192 **Features of spectra**

193 The raw reflectance spectra of *P. cerasifera* leaves are shown in Figure 1a. The processed
194 spectra, SG, SNV, MSC, and 1-Der, SNV+TB, SG+1-Der, and MSC+1-Der are shown in
195 Figures 1b-h, respectively. That the raw spectra are homogeneous is seen by visual inspection in
196 Figure 1a. As shown in Figure 1a, between 450 and 500 nm the spectral curves are relatively flat,
197 however, the raw spectra between 500 and 600 nm show largely different features and notably
198 decrease in the green range around 550 nm with the increase of anthocyanin content.

199 **Statistical values of properties of interest**

200 The basic statistics of anthocyanin content for the 114 *P. cerasifera* leaf samples used in
201 this study are listed in Table 1. Thus, the minimum, maximum, mean, standard deviation (S.D.)
202 and number of samples for the different data sets are summarized in the table. The reference
203 values of anthocyanin content had a broad range of variation, a result which was helpful for the
204 calibrations.

205 **PCR models**

206 PCR analysis was applied to the calibration and prediction of anthocyanin content. Eight
207 different models with different spectra were developed for anthocyanin content. Different PCs

208 were applied to build the optimal calibration models. The prediction results of the calibration and
209 validation sets are shown in Table 2. With a comparison of these models, the spectra
210 preprocessed by SNV displayed the best performance for prediction of the anthocyanin content.
211 The values of R^2_{val} , RMSEP, RPD_{val} in the validation set from the optimal PCR model were
212 0.888, 0.315, and 2.988, respectively. This prediction accuracy was therefore classified as very
213 good. The performances using SG and Raw were poor, the R^2_{val} and RPD_{val} for both were lower
214 than 0.80 and 2.0, respectively. According to the aforementioned criteria, we can only say that
215 these two models might be of some value in quantitatively predicting anthocyanin content. But
216 the RPD_{val} values above 2.5 and the R^2_{val} values below 0.9 for the other 5 PCR models indicated
217 that very good quantitative predictions could be made for leaf anthocyanin content by using them.
218 Figure 2a shows the reference versus predicted value plots for anthocyanin content for the
219 optimal PCR model. The closer the sample plots were to this solid line, the better was the
220 predicted result. As indicated in Figure 2a, the sample plots in the calibration and validation sets
221 were distributed near, but not tightly close to the ideal line. Also, there are several dots far from
222 the ideal line, which shows a large predictive error.

223 **PLSR models**

224 Partial least squares regression (PLSR) models using the pretreatment spectra are shown in
225 Table 3. According to the results, the optimal preprocessing for anthocyanin content also was
226 SNV, based on the prediction performance evaluation indices (including R^2 , RMSEP and RPD).
227 The values of the optimal determination coefficients R^2_{val} , RMSEP, and RPD_{val} for the validation
228 set were respectively 0.901, 0.259 and 3.191. This prediction accuracy was classified as excellent.

229 The performance using MSC+1-Der was worst relatively, the predicted R^2_{val} and RPD_{val} was the
230 smallest and RMSEP was the largest of all the models. In all, the RPD_{val} values above 2.0 and
231 the R^2_{val} values above 0.8 for all of PLSR models indicated that very good quantitative
232 predictions could be made for leaf anthocyanin content by using them. The reference versus
233 predicted values plots for anthocyanin content by the optimal PLSR model is shown in Figure 2b.
234 The sample plots in the calibration and validation sets are distributed much close to the ideal line
235 in Figures. But there was still big error between the predicted values and the actual value in the
236 PLSR models. According to the evaluation criteria, the optimal PLSR model was an excellent
237 model/predictor in theory, but would not be ideal for use in practical analysis.

238 **BPNN models**

239 PCs or LVs were selected as inputs for BPNN in order to reduce computational resources
240 and improve the robustness of ANN calibration (*Janik et al., 2007*). The first five PCs (spectra
241 preprocessed by SNV) were considered as input in this study, since they could explain nearly 95%
242 of the variance. The first five LVs (spectra preprocessed by SNV) also were applied as the input
243 variables of the BPNN model, as the residual variance was the first minimum value (*Brown et al.,*
244 *2005*). In order to compare the performances of different calibration models, the validation
245 method selected “excluded rows” (the excluded rows were validation samples which were the
246 same with the PCR and PLSR method). The optimal number of nodes of the hidden layer was
247 determined based on experience and previous reports (*Shao et al., 2007*). In the process of
248 training, the number of nodes in the hidden layer was constantly readjusted. When the number of
249 nodes of the hidden layer was set at 5, a very good result was achieved. Thus, the BPNN model

250 for anthocyanin content was obtained; the structure was one input layer with 5 nodes, and the
251 hidden layer with 5 nodes and one output node.

252 The performance of BPNN models was validated by the samples in the validation sets. The
253 prediction results are shown in Table 4 and Figure 3. As shown in Table 4, the values of R^2_{val} ,
254 RMSEP and RPD_{val} in the validation set for the BPNN-LVs model and the BPNN-PCs model
255 were 0.956, 0.197, 4.778 and 0.952, 0.205, 4.591, respectively. The prediction accuracy of both
256 models was classified as excellent. The very small differences in R^2 , RMSEP and RPD values
257 were observed between the BPNN-LVs model and the BPNN-PCs model. The performance of
258 the BPNN-LVs was a little better than that of BPNN-PCs model. The reference versus predicted
259 values plots for anthocyanin content by the BPNN models are shown in Figure 3. The sample
260 plots were tighter about the ideal line than those obtained by the PCR and PLSR models (see in
261 Figure 2). The results show that BPNN models outperformed the PCR and PLSR models. The
262 fact indicated that there was a very good agreement between the predicted values and the actual
263 value in the BPNN models. The prediction precision could satisfy the accuracy standards for
264 practical applications. These results should be supportive of further research of in-field detection
265 of anthocyanin content of plant leaves.

266 Discussion

267 The raw spectra of *P. cerasifera* leaves between 500 and 600 nm show notably decrease in
268 the green range around 550 nm with the increase of anthocyanin content. The reason for this
269 might be that the main spectral feature of anthocyanin absorption in vivo was a peak around 550
270 nm; this result is consistent with the result of Gitelson *et al.* (2001) that the peak magnitude was

271 closely related to anthocyanin content. In the present study, three calibration methods used all of
272 the spectral reflectance of the selected wavebands to build models. So the selected wavebands
273 must be sensitive to the anthocyanin, and insensitive to chlorophyll and water and the effects of
274 leaf structure. The wavebands between 450 and 600 nm just comply with the requirement. The
275 study results also proved that spectral reflectance between 450 and 600 nm showed a significant
276 contribution in predicting leaf anthocyanin content in *P. cerasifera*. Other studies have also used
277 the visible bands to predict leaf anthocyanin content (e.g. *Gitelson et al., 2001; Gitelson et al.,*
278 *2006; Steele et al., 2009; Garriga et al., 2014*).

279 In addition, as shown in Tables 2 and 3, the results for the calibration set and predicted set
280 of PCR and PLSR models were significant different and the results for the calibration set were
281 better, which indicates that the calibration model was not very stable. The sample plots in the
282 calibration and validation sets of the PLSR model are distributed much closer to the ideal line
283 than those of the PCR model (Figures 2a and 2b). This indicates that the PLSR model
284 outperformed the PCR model. Comparing to the prediction results of PCR and PLSR models, it
285 also indicates that the performance of PLSR models was better than that of the PCR models,
286 which is consistent with the results of another study (*Vasques et al., 2008*). The reason for the
287 difference might be that PLSR can consider simultaneously the spectral data matrix (X) and the
288 target chemical properties matrix (Y) (Liu and Liu, 2013). Among the BPNN models, the
289 performance of the BPNN-LVs was a little better than that of BPNN-PCs model. *Mouazen et al.*
290 (2010) reported similar results for the prediction of selected soil properties using Vis/NIR
291 spectroscopy.

292 Both the leave-one-out cross-validation and predictive results showed that the BPNN model
293 outperformed the PCR and PLSR models (Tables 2, 3 and 4, and Figures 2 and 3). The result is
294 in conformity with the results in other study of VNIRS of predictions for total anthocyanin
295 content in new-season red-grape homogenates with PLSR and ANN (*Janik et al., 2007*). Liu *et al.*
296 (2008) reported similar results for the determination of acetolactate synthase activity and protein
297 content of oilseed rape (*Brassica napus L.*) leaves using Vis/NIR spectroscopy. *Janik et al. (2009)*
298 and *Mouazen et al. (2010)* also reported similar results for the prediction of selected soil
299 chemical and physical properties using mid-infrared or Vis/NIR spectroscopy. The reason for the
300 BPNN model's outperformance might be that it can express the nonlinear relationship that
301 usually exists in spectrum analysis, while PLSR and PCR, which are built upon a linear
302 algorithm, cannot handle certain latent nonlinear information in the spectral data (*Li and He,*
303 *2010*). Moreover, the performance of the BPNN-LVs was a little better than that of BPNN-PCs
304 model according to the R^2 , RMSEP and RPD values. *Mouazen et al. (2010)* reported similar
305 results for the prediction of selected soil properties using Vis/NIR spectroscopy. Otherwise, we
306 have demonstrated the feasibility of using spectral reflectance between 450 and 600 nm to
307 estimate leaf anthocyanin content in *P. cerasifera* under laboratory conditions. However, the
308 canopy architecture of plants is very complex under field conditions. In future work, more and
309 different species samples should be prepared for calibration based on laboratory and field
310 condition, so that the BPNN-LVs model can be expanded, and thus also be more stable, as a way
311 toward future practical applications. Moreover, chlorophyll's interference should be taken into
312 account for samples with low to moderate anthocyanin content (*Gitelson et al., 2009*). More

313 work could be done to discover the useful information or effective wavelength or wavebands for
314 the non-destructive determination of anthocyanin content of plants.

315 **Conclusions**

316 The determination of anthocyanin content was successfully performed by spectral
317 reflectance between 450 and 600 nm combined with chemometric methods. In the PCR and PLS
318 models, the preprocessed spectra by way of SNV achieved the best performance for the
319 prediction of anthocyanin content. An acceptable prediction accuracy was achieved by the PCR
320 and PLS models but it may be not satisfactory for practical applications. BPNN models were
321 developed for comparison. The performance of the PLSR models was better than that of the PCR
322 models, but the BPNN models showed a greatly improved predictive capacity. The BPNN
323 models were developed for the prediction of anthocyanin content, and the two BPNN models
324 outperformed the PCR and PLSR models. The R^2_{val} , RMSEP and RPD_{val} in the validation set by
325 the BPNN-LVs model and the BPNN-PCs model were 0.956, 0.197, 4.778 and 0.952, 0.205,
326 4.591, respectively. The performance of the BPNN-LVs model was best. The results indicate that
327 visible spectroscopy combined with BPNN calibrations can successfully detect and measure the
328 leaf anthocyanin content in *P. cerasifera*. Based on the results achieved in this study, it is
329 recommended to adopt BPNN-LVs analysis as the best modeling method for predicting leaf
330 anthocyanin content of plant. Moreover, spectral reflectance between 450 and 600 nm here has
331 made a significant contribution in the nondestructive determination of leaf total anthocyanin
332 content in plant.

333

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337

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Figure 1(on next page)

Spectra of *P. cerasifera* leaves.

A: the raw spectra of *P. cerasifera* leaves; B: SNV; C: MSC; D: SG; E: 1-Der; F: MSC+1-Der; G: SNV+TB; H: SG+1-Der.

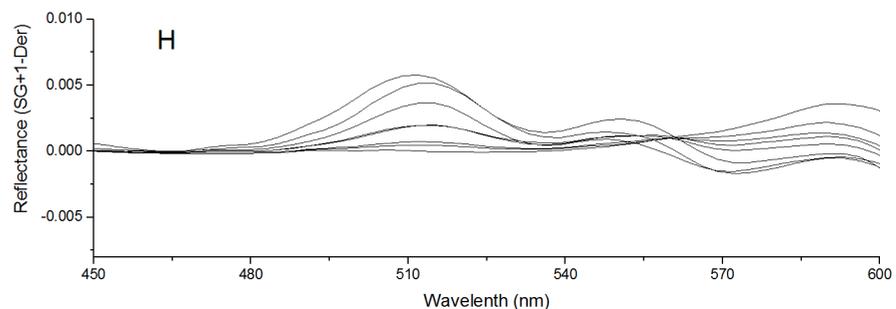
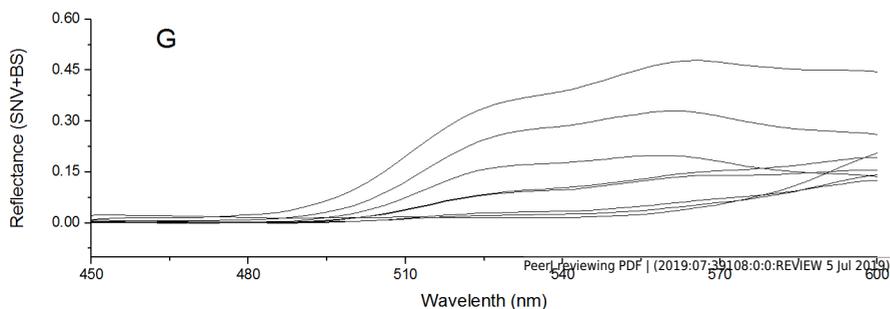
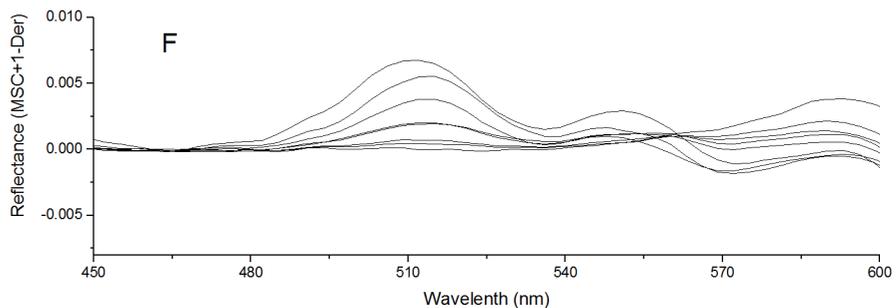
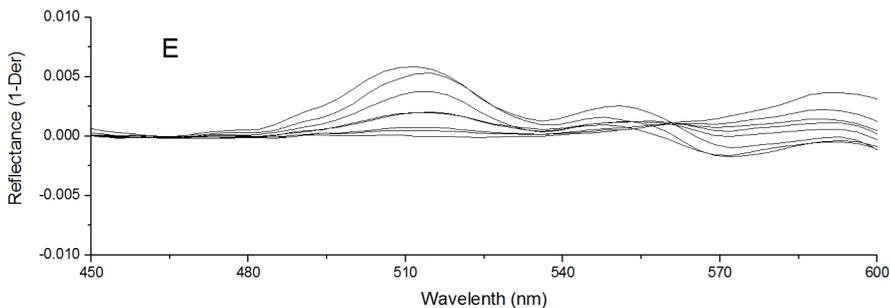
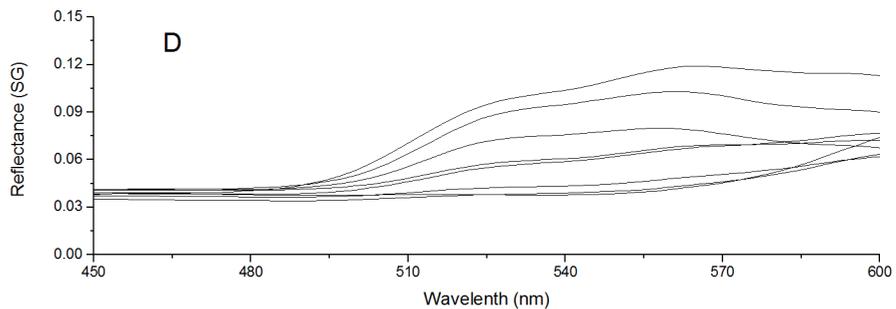
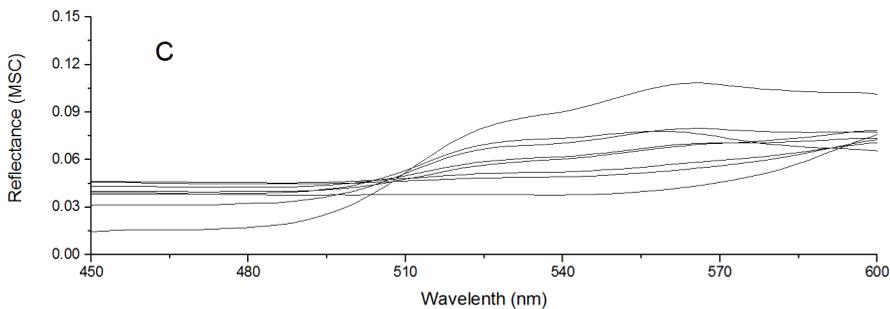
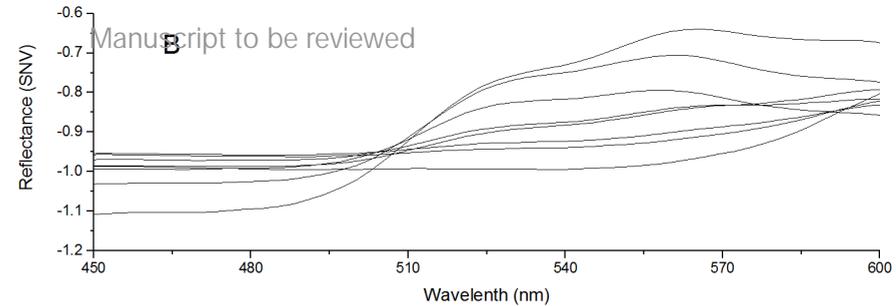
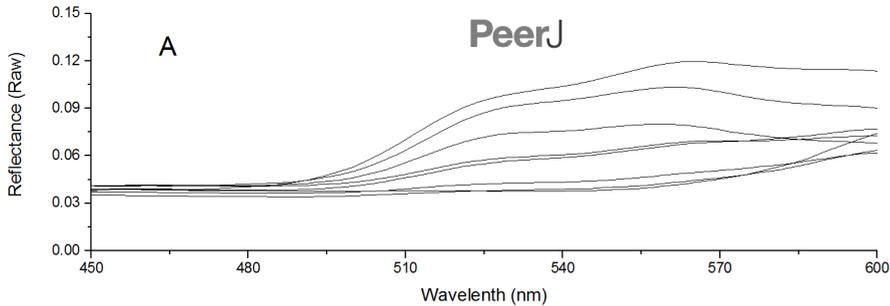


Figure 2(on next page)

Measured vs. predicted values for anthocyanin content obtained by the best PCR model (A) and PLSR model (B).

Black open circles represent calibration samples and solid circles represent validation samples. The solid lines correspond to the ideal results which meant the predicted values were equal to the reference values.

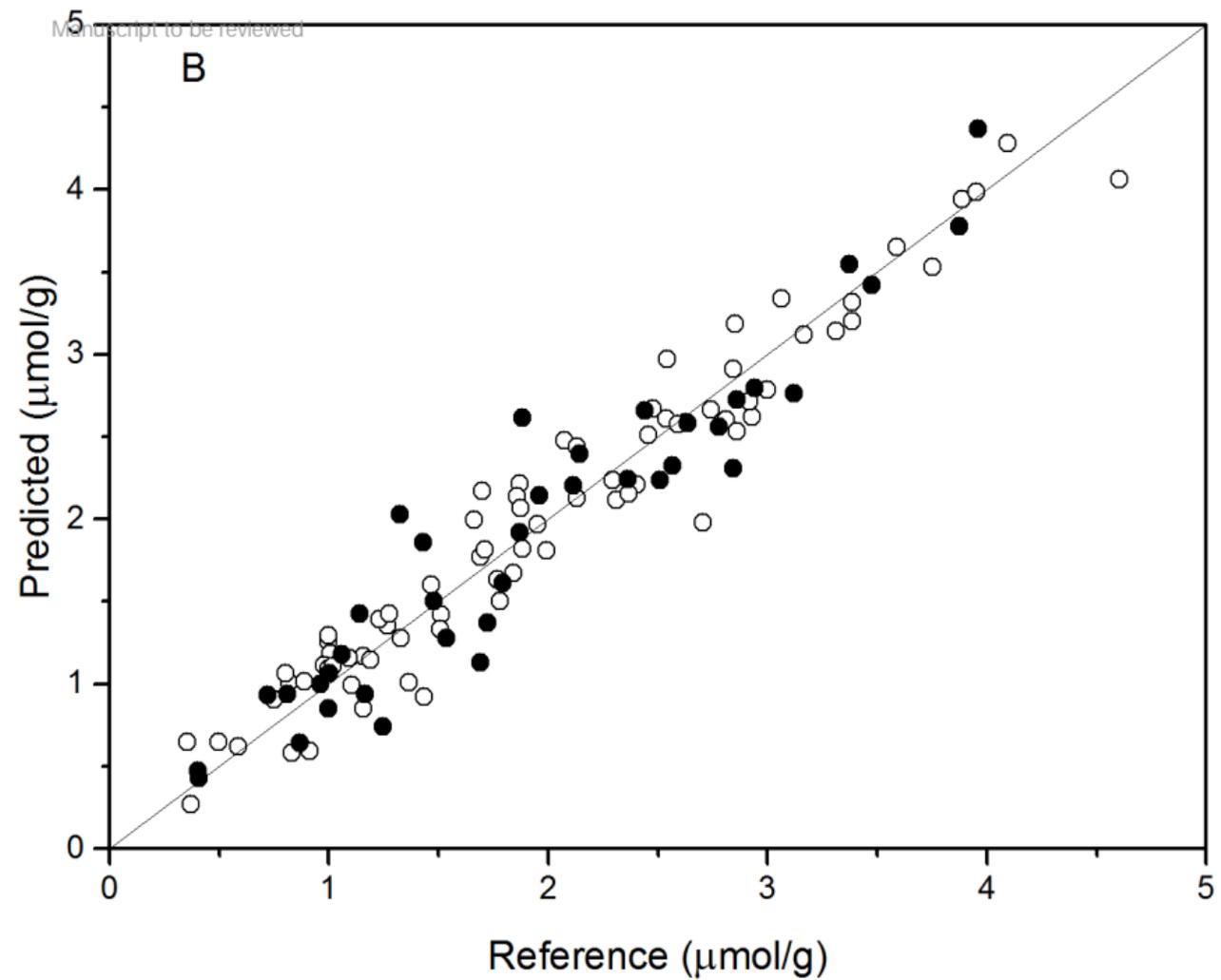
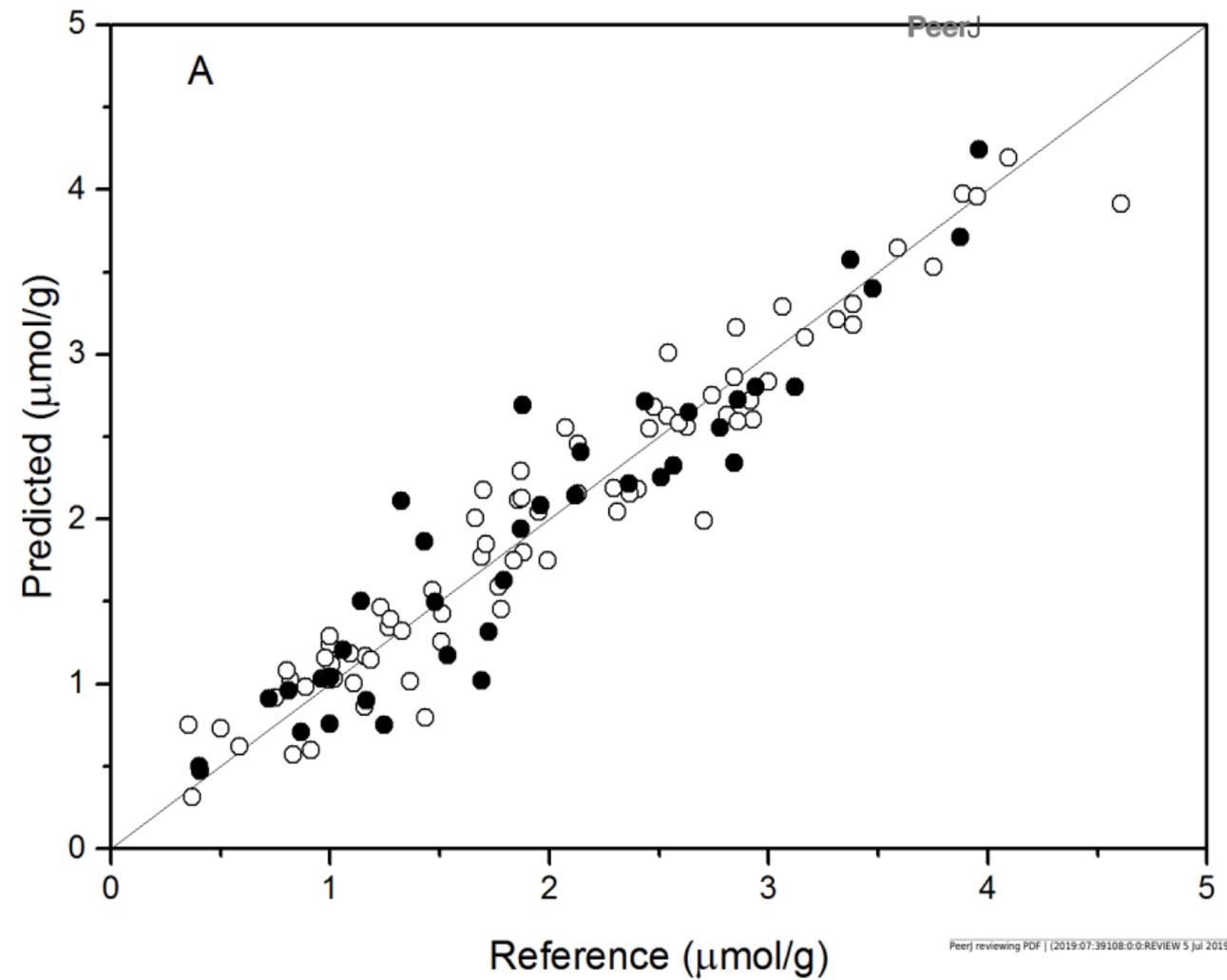


Figure 3(on next page)

Measured vs. predicted values for anthocyanin content obtained by BPNN-PCs model (A) and BPNN-LVs model (B).

Black open circles represent calibration samples and solid circles represent validation samples. The solid lines correspond to the ideal results which meant the predicted values were equal to the reference values.

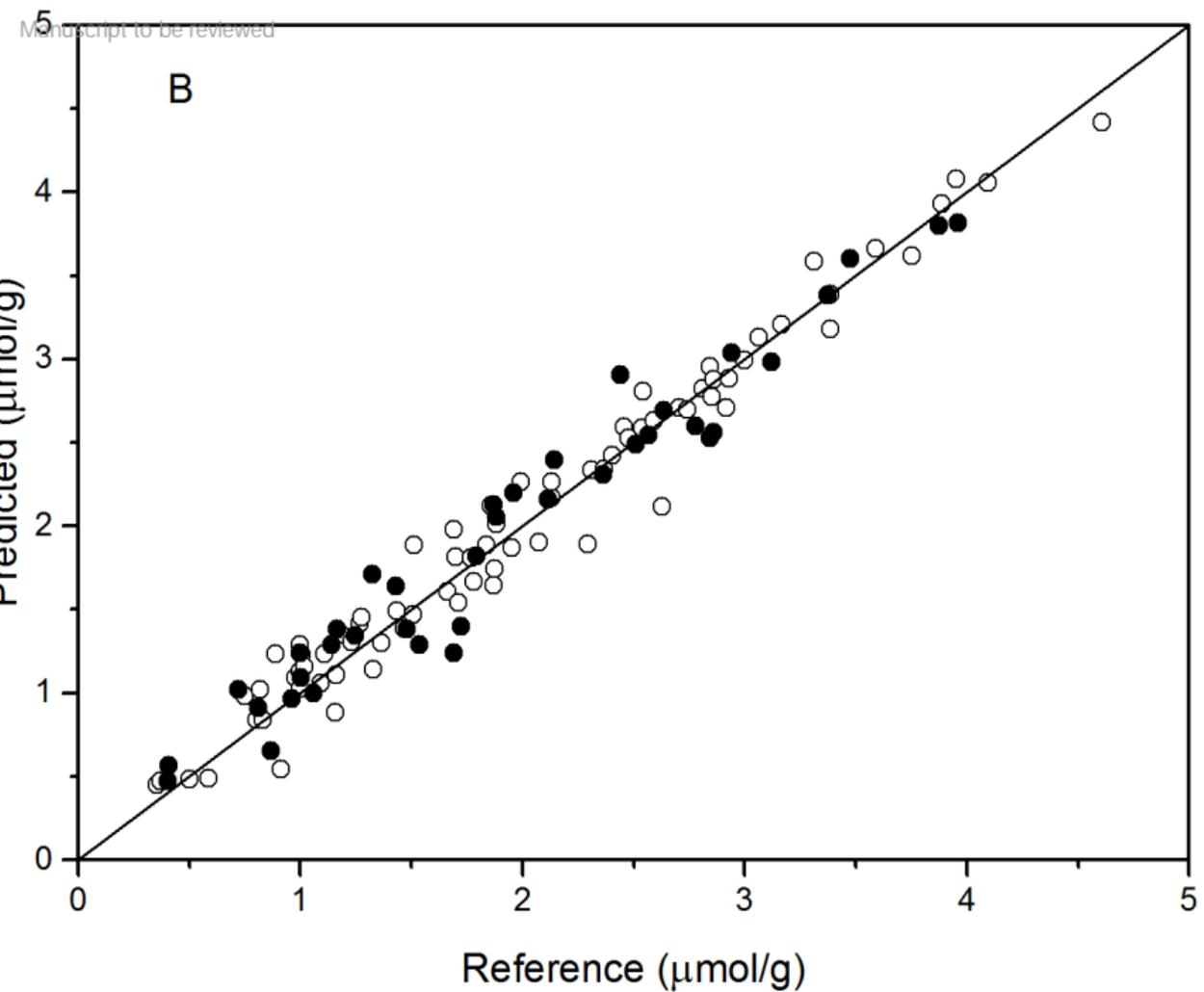
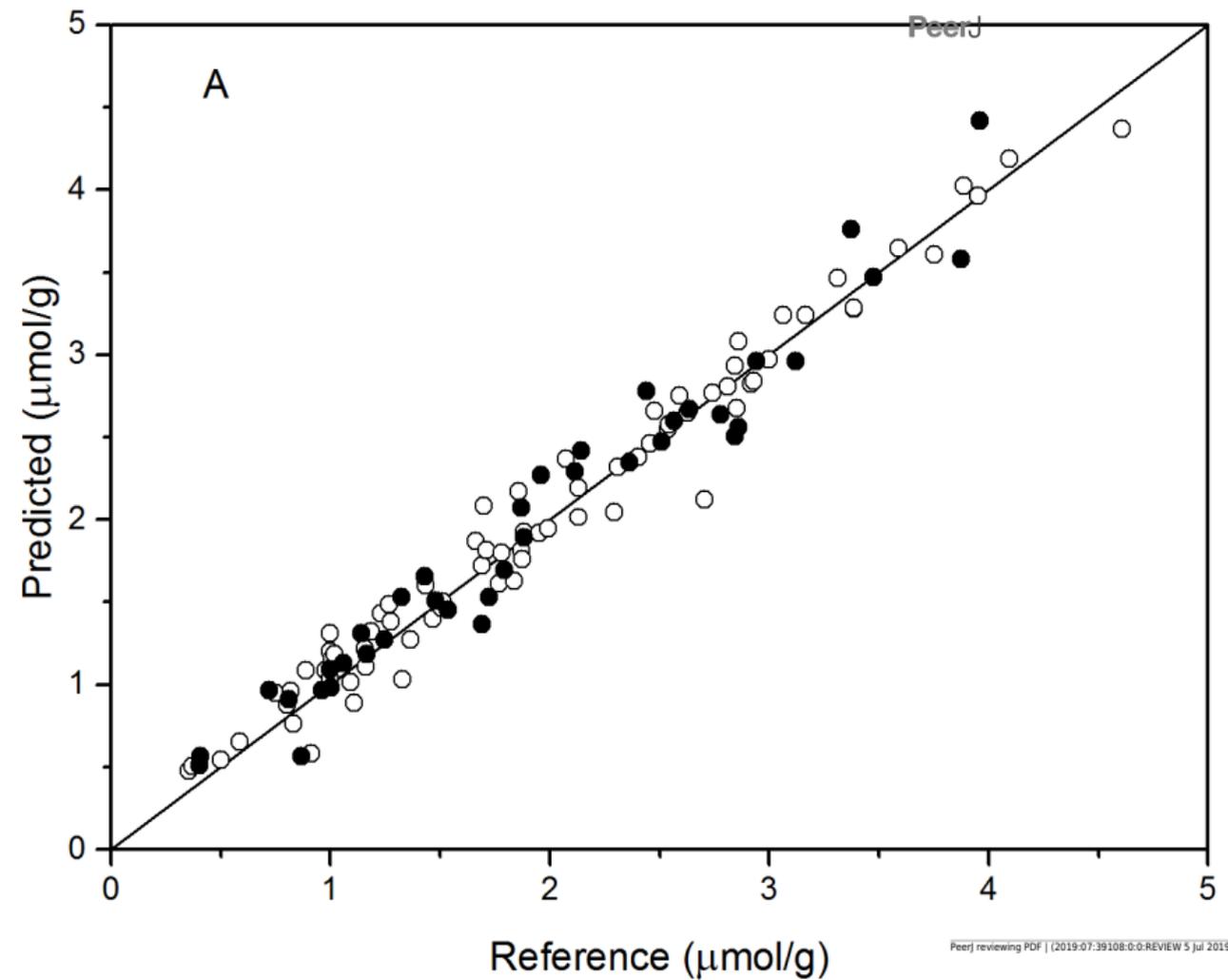


Table 1 (on next page)

The statistical values of anthocyanin content.

Data sets	Sample number	Minimum	Maximum	Mean	Standard deviation
Calibration	76	0.36	4.61	1.99	0.98
Valibration	38	0.41	3.96	1.93	0.95
All samples	114	0.37	4.61	1.97	0.97

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Table 2 (on next page)

Prediction results of anthocyanin content by PCR with different preprocessing in calibration and validation sets.

Pretreatment	PCs	Calibration			Validation		
		R^2_{cal}	RMSEC	RPD_{cal}	R^2_{val}	RMSEP	RPD_{val}
Raw	5	0.777	0.462	2.117	0.743	0.477	1.973
SNV	5	0.934	0.250	3.911	0.888	0.315	2.988
MSC	7	0.915	0.286	3.419	0.844	0.372	2.530
SG	5	0.776	0.463	2.112	0.741	0.479	1.965
1-Der	6	0.810	0.427	2.290	0.843	0.373	2.523
MSC+1-Der	8	0.881	0.337	2.902	0.881	0.337	2.793
SNV+BS	5	0.933	0.253	3.865	0.864	0.347	2.712
SG+1-Der	8	0.857	0.370	2.643	0.864	0.348	2.705

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Table 3 (on next page)

Prediction results of anthocyanin content by PLSR with different preprocessing in calibration and validation sets.

Pretreatment	LVs	Calibration			Validation		
		R^2_{cal}	RMSEC	RPD_{cal}	R^2_{val}	RMSEP	RPD_{val}
Raw	9	0.933	0.254	3.850	0.873	0.336	2.801
SNV	5	0.943	0.233	4.197	0.901	0.295	3.191
MSC	4	0.894	0.318	3.075	0.847	0.368	2.558
SG	9	0.928	0.262	3.732	0.878	0.329	2.861
1-Der	5	0.886	0.330	2.963	0.882	0.323	2.914
MSC+1-Der	5	0.921	0.274	3.569	0.802	0.419	2.246
SNV+BS	5	0.943	0.234	4.179	0.891	0.311	3.026
SG+ 1-Der	5	0.884	0.332	2.945	0.883	0.323	2.914

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Table 4 (on next page)

Prediction results of anthocyanin content by BPNN models in calibration and validation sets.

Model	Calibration			Validation		
	R^2_{cal}	RMSEC	RPD_{cal}	R^2_{val}	RMSEP	RPD_{val}
BPNN-PCs	0.971	0.167	5.816	0.952	0.205	4.591
BPNN-LVs	0.972	0.163	5.959	0.956	0.197	4.778

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