

# Global vegetation change is more affected by direct human activity than by climate change (#37648)

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# Global vegetation change is more affected by direct human activity than by climate change

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Human activity and climate change both affect the global vegetation distribution. Few studies were conducted to address their relative contributions on vegetation change. We aim to separate the influences of human activity and climate changes on the vegetation changes in this study. Potential vegetation reflects the vegetation distribution without human influences, while climatic vegetation indicates the vegetation distribution under human influences. The impact of human activity on the vegetation distribution can be identified by comparing the climatic vegetation changes with the potential vegetation changes. The results showed that climate-induced vegetation changes only occurred in a few grid cells for the period 1982-2013. Vegetation changes caused by human activities occurred worldwide from 1982 to 2013, except in the polar and desert regions. About 3% of total vegetation distribution was transformed by human activities during the period 1982-2013. We can conclude that human activities caused more severer damage to global vegetation change than climate change. The regions where vegetation experienced both human activity and climate change are eco-fragile regions, where should be well preserved in the future.

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**Abstract.** Human activity and climate change both affect the global vegetation distribution. Few studies were conducted to address their relative contributions on vegetation change. We aim to separate the influences of human activity and climate changes on the vegetation changes in this study. Potential vegetation reflects the vegetation distribution without human influences, while climatic vegetation indicates the vegetation distribution under human influences. The impact of human activity on the vegetation distribution can be identified by comparing the climatic vegetation changes with the potential vegetation changes. The results showed that climate-induced vegetation changes only occurred in a few grid cells for the period 1982-2013. Vegetation changes caused by human activities occurred worldwide from 1982 to 2013, except in the polar and desert regions. About 3% of total vegetation distribution was transformed by human activities during the period 1982-2013. We can conclude that human activities caused more  damage to global vegetation change than climate change. The regions where vegetation experienced both human activity and climate change are eco-fragile regions, where should be well preserved in the future.

**Keywords:** vegetation types, climatic effects, human activity, Climate change, vegetation change.

# 1 Introduction

Vegetation is the most important component of the global terrestrial ecosystem. However, the global vegetation distribution has shifted from a semi-wild terrestrial biosphere to a mostly anthropogenic biome (Ellis et al., 2010), mainly caused by human activity and climate changes. The land surface the Earth has been modified by human activities (e.g. farming, building and grazing) for centuries, and it has been significantly changed by human activities (Foley et al., 2005; Ellis and Ramankutty, 2008; Defries et al., 2010). More of the land surface is being transformed as the human population continues to increase (Goldewijk et al., 2011; Eills et al., 2013). This new geological epoch has been referred to as the Anthropocene (Lewis and Maslin 2015) and the period in which biomes have been severely transformed by human activity is referred to as an anthrome (Eills and Ramankutty, 2008). As human settlements have developed and expanded, the distribution of vegetation types across the globe has changed markedly compared with the potential distribution of vegetation types which reflect vegetation distribution in the absence of anthropogenic influences.

The anthropogenic transformation of biomes and the terrestrial biosphere has been investigated to reflect vegetation distribution changes by comparing different biomes at century intervals (Ellis et al., 2010, 2011). However, Climate changes also caused shifts in the vegetation distribution (Kelly et al., 2008), which is difficult to be examined in these earlier studies which were only focus on the anthropogenic transformation of the vegetation distribution. Climate change had strong influences on the transformation of the vegetation distribution. Tropical

rainforest and arctic tundra have experienced boundary changes as a result of climatic change (Diaz and Eischeid, 2007; Cook and Vizy, 2010; Zhang and Yan, 2014a). Widespread forest die-off from drought and heat stress increased with climate changes (Allen et al., 2010; Anderegg et al., 2013). Some dying forests were likely to be replaced by other vegetation types. Boreal forests are experiencing strongest warming among forest ecosystems, and large area of boreal forest are expected to be replaced by other biomes (Gauthier et al., 2015). Vegetation distribution change would be severely with continuous climate warming.

Human activities and climate change are the two main factors that determine changes in regional vegetation distribution. However, few studies evaluated the separated contribution of the two factors on vegetation changes. Climate data (1700–2000) used to detect the anthropogenic transformation of biomes was almost 20 years ago (Ellis et al., 2010). In this study, we delimit the influence of human activity and climate on the distribution of vegetation based on updated data. Accordingly, our main goals were to (1) delineate the influence of human activity and climate on the vegetation distribution, and (2) identify those regions most susceptible to human activity in recent period.

## 2 Data and methods

### 2.1 Climate and vegetation data

Global gridded monthly mean temperature and total precipitation data were obtained from the CRU TS 3.40 dataset at  $0.5^{\circ} \times 0.5^{\circ}$  resolution (Harris et al., 2014). This dataset interpolates climate data from meteorological stations distributed throughout the world to the global land area,



grid-by-grid, for the period 1901–2013 and has been used in previous climate classifications (Zhang and Yan, 2014a). We used climate data for the period 1982–2013 as this period reflected the availability of the vegetation data.

The normalized difference vegetation index (NDVI) has been used to indicate the greenness of vegetation in numerous vegetation studies (e.g. Breshears et al., 2005, Tucker et al., 2005, Zhou et al., 2014). It is defined as

$$\text{NDVI} = (\text{NIR} - \text{RED}) / (\text{NIR} + \text{RED}),$$

where NIR and RED are the amounts of radiation in the near-infrared and red regions, respectively. The NIR and RED reflectances should be corrected for atmospheric effects. The NDVI values range from  $-1$  to  $1$ , where negative values correspond to an absence of vegetation and positive values indicate vegetated land.

Monthly mean NDVI data at  $0.0833^\circ \times 0.0833^\circ$  spatial resolution were retrieved from the Advanced Very High Resolution Radiometer (Pinzon and Tucker, 2014) based Global Inventory Modelling and Mapping Studies dataset (<http://ecocast.arc.nasa.gov/data/pub/gimms/3g/>) for the period 1982–2013. The NDVI data were up-scaled by calculating the arithmetic mean of the nearest neighbor grids over a six-by-six window to give the same resolution as for the climate data ( $0.5^\circ \times 0.5^\circ$ ).

## 2.2 Separation of climate- and anthropo- driven vegetation changes

Regional vegetation might succeed to the climax vegetation community without the influence of human activity. The climax vegetation types could be represented by potential



vegetation types, which indicate the vegetation distribution without human influences. The potential vegetation changes are mainly caused by climate changes. Therefore, the climate-driven vegetation changes could be reflected by the changes in potential vegetation types over different periods.



The vegetation changes are influenced by both human activities and climate changes in the real world. The vegetation distribution in the real world could be represented by climatic vegetation types which reflected the vegetation distribution under human influences (Zhang et al., 2017a). Climatic vegetation types include effects of human influences while potential vegetation types exclude these effects. Hence, the anthropo-driven vegetation changes can be identified by the difference between the changes in potential and climatic vegetation. This method is similar to the observation minus reanalysis method reported by Kalnay and Cai (2003) which was used to test the climatic effect of changes in land use. In their method, the observations include the effects of changes in land use on climate, whereas the reanalysis excludes the effects of changes in land use on climate.

### 2.3 Potential vegetation distribution

The potential vegetation was generally defined based on climate variables (Koeppen 1936; Holdridge 1947; Box 1996; Ramankutty and Foley, 1999; Beck et al., 2005; Baker et al., 2010; Ellis et al., 2010; Levavasseur et al., 2012), therefore, the potential vegetation types could be represented by corresponding climate types. Climate types could be objectively classified to different global climate types based on the monthly attributes using the K-means clustering

method (Mahlstein and Knutti, 2010; Zhang and Yan, 2014a, b; Zhang and Yan, 2016; Zhang et al., 2017b). Monthly mean temperature and monthly total precipitation were used as input multivariables that consisted of an  $n \times 24$  matrix  $\mathbf{X}$ :

$$\mathbf{X} = \begin{bmatrix} T_{11} & \cdots & T_{1m} & P_{11} & \cdots & P_{1m} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ T_{n1} & \cdots & T_{nm} & P_{n1} & \cdots & P_{nm} \end{bmatrix}$$

where  $T$  is monthly mean temperature,  $P$  is monthly mean precipitation,  $m$  is 12, and  $n$  is the number of all the grid cells in the global land area, except the Antarctic. The rows in  $\mathbf{X}$  represent the monthly attributes, while the columns represent the number of grid cells. The names of vegetation types were designated by referring to the Koeppen classification (Kottek et al., 2006).

## 2.4 Climatic vegetation distribution

The climatic vegetation types were classified based on climate and NDVI data using the K-means method (Zhang et al., 2017a). An  $n \times 36$  matrix  $\mathbf{X}$  was constituted by monthly mean temperature, monthly total precipitation and monthly mean NDVI:

$$\mathbf{X} = \begin{bmatrix} T_{11} & \cdots & T_{1m} & P_{11} & \cdots & P_{1m} & \text{NDVI}_{11} & \cdots & \text{NDVI}_{1m} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ T_{n1} & \cdots & T_{nm} & P_{n1} & \cdots & P_{nm} & \text{NDVI}_{n1} & \cdots & \text{NDVI}_{nm} \end{bmatrix}$$

where  $T$  is monthly mean temperature,  $P$  is monthly mean precipitation, NDVI is monthly mean NDVI,  $m$  is 12, and  $n$  is the number of all the grid cells in the global land area, except the Antarctic.

## 2.5 Temporal changes in the influences of human activity and climate change on vegetation distribution

Fraedrich et al., (2001) suggested that an interval of at least 15 years is required to detect


temporal changes in the geographical distribution of climate types. Thus, the period from 1982 to 2013 was split into two periods (1982–1996 and 1997–2013), and the climatic and potential vegetation types were classified over the two periods to check the temporal changes in the influences of human activity and climate change on vegetation distribution.

The actual changes in vegetation type could be identified by the differences between the climatic vegetation distributions over two periods. The potential vegetation changes were indicated by the changes in climate types over the two periods. However, the actual vegetation may not be influenced by changes in climate over such a short period. When changes were detected in both the potential and climatic vegetation types, they were identified as the influence of climate changes on vegetation. The impacts of human activity on the vegetation distribution could be identified by the differences between the climatic vegetation changes and the potential vegetation changes.


### 3 Results

Global climatic vegetation types were defined over the period 1982–1996 and 1997–2013 (Fig. 1). Changes in the distribution of climatic vegetation were found worldwide, except in the polar and desert regions, from the period 1982–1996 to the period 1997–2013 (Fig. 1). The largest changes in climate vegetation types were found in central Africa, eastern China, western America and Australia.

Changes in potential vegetation mainly occurred on the boundaries between adjacent types of vegetation from the period 1982–1996 to the period 1997–2013 (Fig. 2). Obvious boundary

148 changes were seen between tropical rainforests and tropical dry forests, between tropical deserts  
 149 and the Sahel, and between temperate deciduous and evergreen forests. However, the impacts of  
 150 climate change on vegetation could not be detected over such a short period of time. After  
 151 compared with the climate vegetation changes, actual changes in potential vegetation caused by  
 152 climate variations were only detected in a limited number of grid cells (Fig. 2D). 

153 The impact of human activity on the vegetation distribution was identified from the period  
 154 1982–1996 to the period 1997–2013 (Fig. 3). The changes in vegetation type caused by human  
 155 activity were not only seen at the boundaries of different vegetation types, but also within the  
 156 regions of vegetation types. About 3% of total vegetation distribution was transformed by human  
 157 activities during the period 1997–2013.

158 Eastern China was selected to verify our results (Fig. 4). The NDVI changed from  $-0.04$  to  
 159  $0.04$  in the grid cells where vegetation changes occurred (Fig. 4B). The changes in vegetation  
 160 type mainly occurred in the regions where the NDVI changed was detected (Fig. 4C).  The actual  
 161 vegetation changes were compared with the land use change between 1990 and 2000 (Liu et al.,  
 162 2002, Fig. 4D). The vegetation changes were mainly concentrated in similar regions. The  
 163 changes in area of certain vegetation types were similar to those obtained using Liu's land use  
 164 data (Table. 1). Larger changed areas of vegetation types were detected in this study than in Liu  
 165 et al., (2002), because more detailed changes in land use could be detected with the land use data  
 166 as a result of higher resolution and more detailed vegetation types (24 types).

## 167 4 Discussion

We separated the relative contributions of human activity and climate change on the vegetation distribution changes. Potential vegetation distribution in our study was similar to potential natural vegetation in 1700 as defined by Ramankutty and Foley (1999) and Ellis et al., (2010). Vegetation types have previously been defined based on NDVI data derived from satellite imagery (DeFries and Townshend 1994; Lu et al., 2003), but the connection of vegetation with climate is weakly reflected by this classification. The climatic vegetation types were therefore classified based on both vegetation and climate data to reflect the connection between vegetation and climate. Potential vegetation types and their corresponding climatic vegetation types were easily compared because they were classified by the same method.

Human activity has influenced vegetation for several centuries. The transformations of vegetation caused by human activities mainly through farming, building and grazing. The vegetation was mainly transformed into cropland, pasture and buildings due to human activities (Ellis et al., 2011). The impacts of human activity on vegetation were seen worldwide over the period 1982–2013, except for the polar and desert regions. The areas that were most affected by human activity were those with the highest population densities, including Europe, western North America, Central Africa, southern South American, eastern Australia and eastern China. Transitions in land use before 1900 mainly occurred in China, India, Europe, North America and Australia (Ellis et al., 2010). Transformations in the distribution of vegetation accelerated after the industrial revolution when rapid growth in the human population increased the pressure to expand the amount of pasture and farmland. The expansion of pasture mainly took place in

central Asia, Australia, southern Africa and in the tropical Sahel and subsequent overgrazing led to transformations in the vegetation cover. The amount of cropland has expanded markedly in North and South America, Europe, southern Australia, northeast China and southern Asia. Grassland has been replaced by farmland in Europe and in North and South America. Cropland has expanded into the shrublands of Australia. The main change in land use in eastern China has been the replacement of forest and grassland with cropland (Zhang et al., 2016; Zhang et al., 2017c). These regions are the key zones with respect to the anthropogenic transformation of vegetation from the no human influence period to the period 1982–2013.

The influence of climate change on vegetation distribution was limited over the period 1982–1996 because vegetation shift caused by climate changes was not viable over short periods, except when there was an abrupt climate shift. In addition, climate warming and hot drought caused structure changes of regional vegetation (Breshear et al., 2005; Allen et al., 2011), not vegetation type change (e.g. forest to grassland). These vegetation changes caused by climate changes could not be visible over a short period.



Nevertheless, the boundaries of some potential vegetation types were altered by climate changes, suggesting that the vegetation in these regions was under pressure and would show further changes over time. Widespread increased tree mortality has been found in some forest ecosystems because of climate warming (Adams et al., 2010; Van Mantgem et al., 2009). The impact of climate change on vegetation would be more visible in these regions over a longer period (e.g. 200 years).

By referring to the potential vegetation types, we can understand which regions will return

to its climax community in the absence of human activity, for instance, abandoned farmland in northeast China can transform back to forest cover. These transformations caused fundamental changes in vegetation, and could be reflected in our results. However, it was not possible to identify all the shifts in vegetation distribution that had been affected by human activity. The dominant species of vegetation did not change in regions with a low intensity of human activities. The potential vegetation type can restore itself over a period with either low intensity or no human interference. Therefore, human disturbances on vegetation which only influenced the vegetation structure could not be reflected by our results because no shift in vegetation types could be detected.

The effects of human activity and climatic change on the distribution of global vegetation types could be separated using the proposed method. Human activity caused server vegetation changes than climate change, which is consistent with a study in northern forest (Danneyrolles et al. 2019). However, the influences of climate changes on vegetation distribution could not be ignored. The regions that were influenced by both human activity and climate change are vulnerable to vegetation changes in the future. The method presented in this study can also be used to identify the influences of human activity and climate changes on the past and future distribution of vegetation.

# **Conflict of Interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.



228 **Author Contributions**

229 X.Z conceived the idea, X.Z performed the analysis, and wrote the original manuscript, X.H, X.Z.  
230 revised and edited the manuscript.

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# Figure and table captions

**Fig. 1.** Geographical distribution of climatic vegetation types for (A) the period 1982–1996 and (B) the period 1997–2013 and (C) the differences between them. The black regions are those that have undergone transformations in vegetation type.

**Fig. 2.** Geographical distribution of potential vegetation types for (A) the period 1982–1996 and (B) the period 1997–2013 and (C) the potential and (D) actual changes between them. The colors used for the potential vegetation types represent the same meanings as in Fig. 2. The black regions are those that have undergone transformations in vegetation type.

**Fig. 3.** Impact of human activity on the distribution of vegetation types over the period 1982–2013. The black regions are those that have undergone transformations in vegetation type as a result of human activity.

**Fig. 4.** (A) The regions in which changes in the climatic vegetation type have occurred, (B) changes in the NDVI in these regions, (C) changes in the NDVI over the whole region, and (D) changes in land use in eastern China detected using the land use data of Liu et al., (2002).

**Table 1.** Comparison of changes in area of vegetation types in eastern China detected in this study to those detected using the land use data of Liu et al., (2002).



# **Table 1**(on next page)

Comparison of changes in area of vegetation types in eastern China detected in this study to those detected using the land use data of Liu et al., (2002).

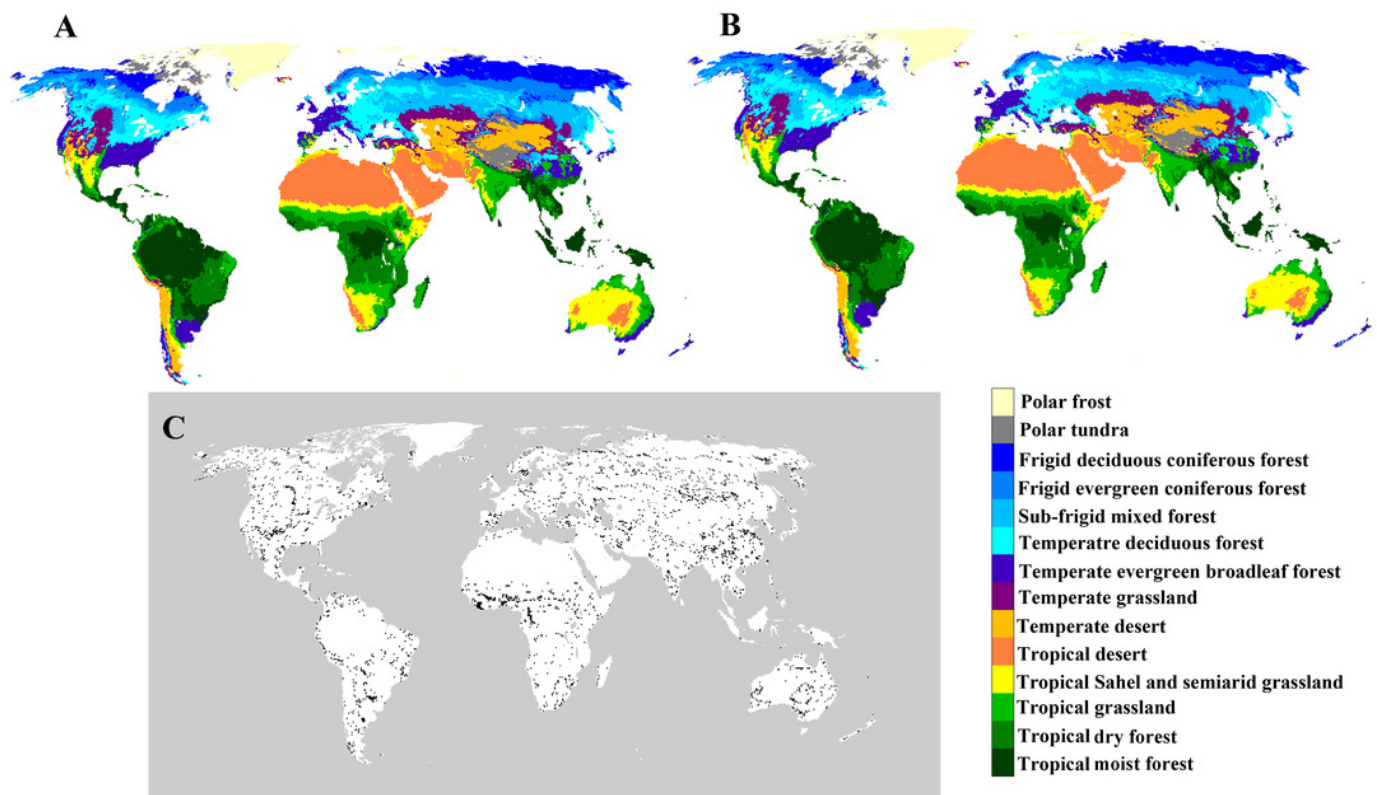
**Table 1.** Comparison of changes in area of vegetation types in eastern China detected in this study to those detected using the land use data of Liu et al., (2002).

	Area of changed vegetation types (10 <sup>4</sup> km <sup>2</sup> )	
	This study	Liu's land use
Temperate grassland	7.5	6.4
Temperate Evergreen broadleaf forest	7.7	6.1
Temperate Deciduous forest	6.7	4.6
Sub-frigid mixed forest	6.4	4.9
Frigid evergreen coniferous forest	3.3	2.5

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# Figure 1

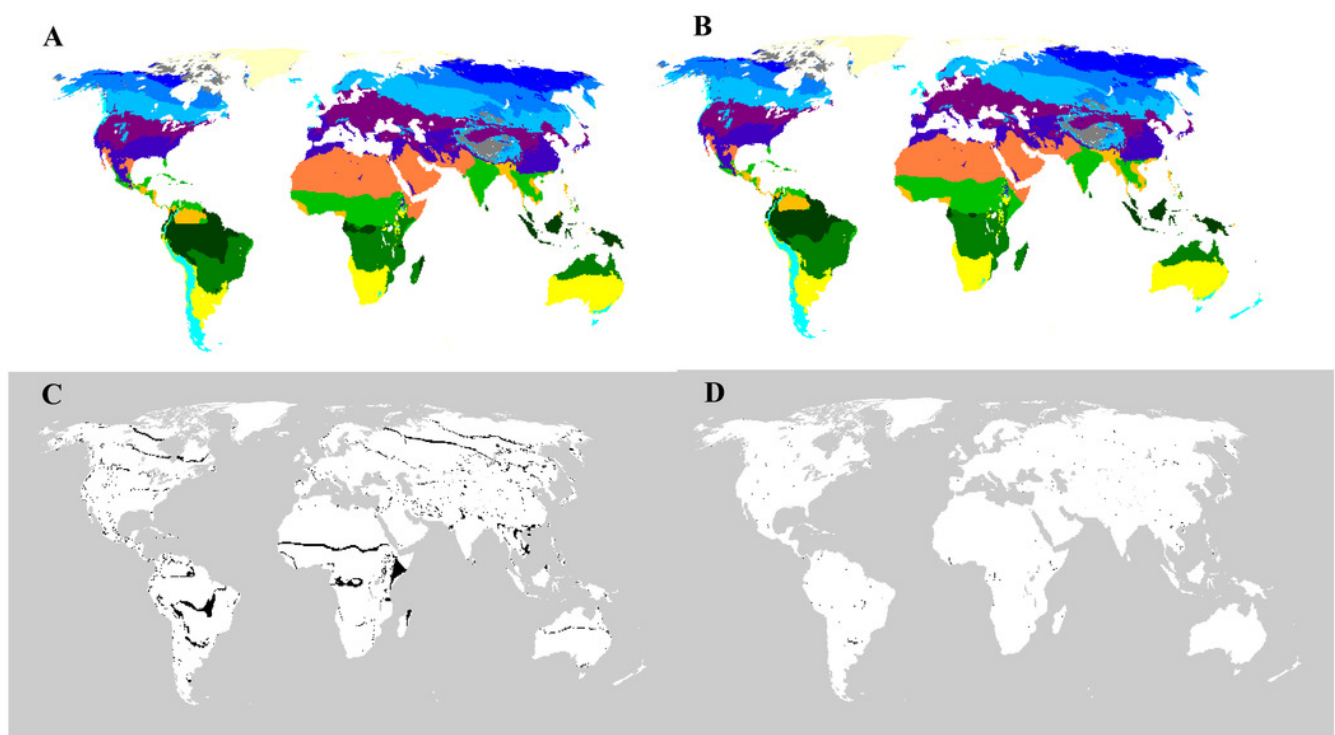
Geographical distribution of climatic vegetation types for (A) the period 1982-1996 and (B) the period 1997-2013 and (C) the differences between them. The black regions are those that have undergone transformations in vegetation type.



# Figure 2

Geographical distribution of potential vegetation types for (A) the period 1982–1996 and (B) the period 1997–2013 and (C) the potential and (D) actual changes between them.

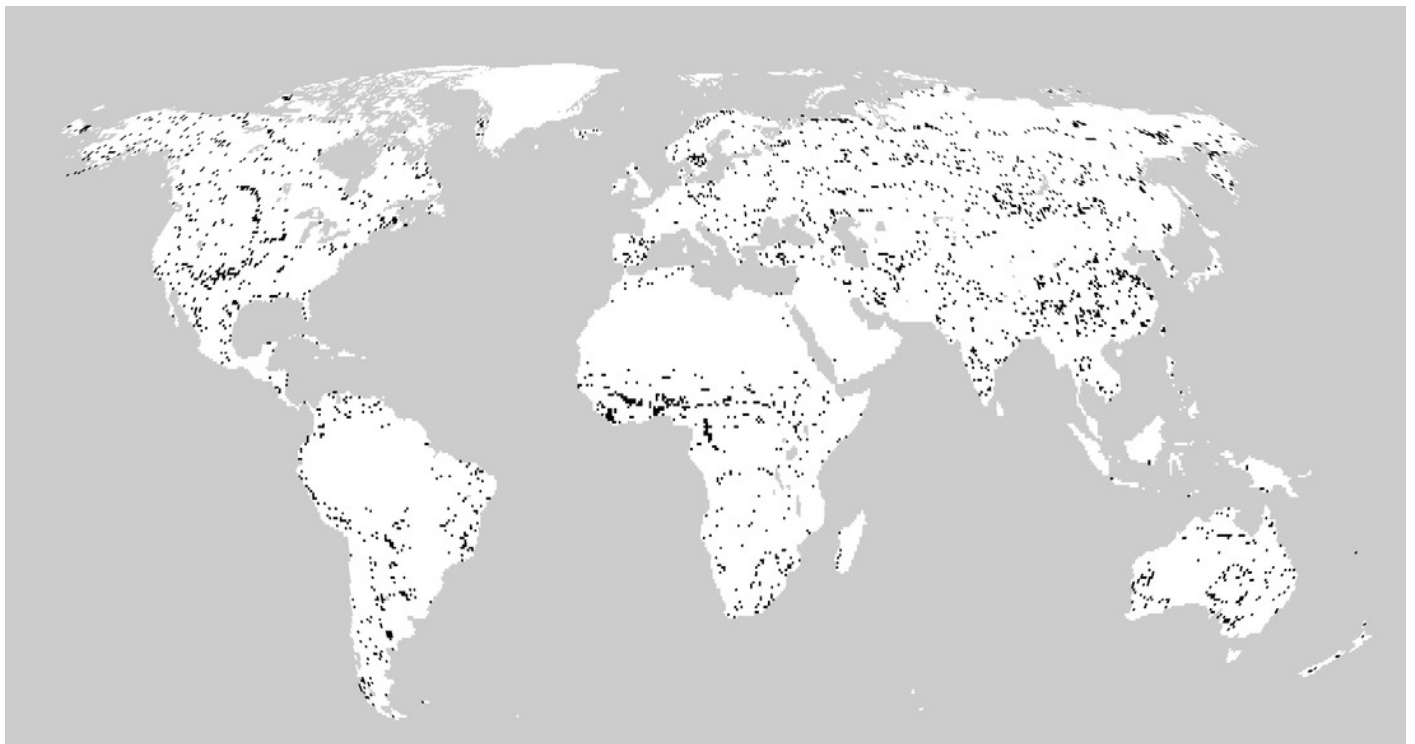
The colors used for the potential vegetation types represent the same meanings as in Fig. 2. The black regions are those that have undergone transformations in vegetation type.



# Figure 3

Impact of human activity on the distribution of vegetation types over the period 1982-2013

The black regions are those that have undergone transformations in vegetation type as a result of human activity.



# Figure 4

(A) The regions in which changes in the climatic vegetation type have occurred, (B) changes in the NDVI in these regions, (C) changes in the NDVI over the whole region, and (D) changes in land use in eastern China detected using the land use data of Liu e

