

1 **Predicting the potential distribution of the endemic**
2 **seabird *Pelecanus thagus* in the Humboldt Current Large**
3 **Marine Ecosystem under different climate change**
4 **scenarios**

5
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23 **ABSTRACT**

24 **Background.** The effects of global climate change on species inhabiting marine ecosystems are of
25 growing concern, especially for endemic species that are sensitive due to restricted distribution. One
26 method employed for determining the effects of climate change on the distribution of these organisms
27 is species distribution modeling.

28 **Methods.** We generated a model to evaluate the potential geographic distribution of the Peruvian
29 pelican (*Pelecanus thagus*). Based on maximum entropy modeling (MaxEnt), we identified the
30 environmental factors that currently affect its distribution. Then we predicted its future distribution
31 range under two climate change scenarios: moderate (rcp 2.6) and severe (rcp 8.5).

32 **Results.** The most important environmental variables in the model were the range of mean daytime
33 temperature and the marine primary productivity in the summer. Under the future climate change

34 scenarios, the spatial distribution of the pelican is predicted to slightly change. The severe scenario
35 predicts that the habitable surface will be 4.51% higher than that of the current distribution.

36 **Discussion.** The current potential geographic distribution of the pelican is influenced to a large extent
37 by thermal conditions and primary productivity. Under the severe scenario, a slight increase in pelican
38 spatial distribution is predicted. This increase in habitable area is explained by the climatic conditions
39 in southern Chile, and those climatic conditions will likely be similar to the current conditions of the
40 central coast of Chile. We predict that the coasts of southern Chile will constitute an important refuge
41 for the conservation of the Peruvian pelican under future climate change scenarios.

42 **Subjects:** Biogeography, Conservation Biology, Marine Biology, Natural Resource Management

43 **Keywords:** Conservation, MaxEnt, South America.

44

45 Introduction

46 Climate change is of increasing concern for seabirds because it negatively affects their conservation
47 status and has become the third most important threat after exotic invasive species and incidental
48 capture (Croxall et al., 2012). In turn, a great proportion of seabirds feed in a relatively narrow range of
49 trophic levels, mainly on larger zooplankton, small pelagic fish, or squid (Quillfeldt & Masello, 2013).
50 Most of the prey species consumed by seabirds are strongly affected by climate-induced changes on the
51 productivity of phytoplankton, generating changes in both the abundance and fecundity of herbivorous
52 zooplankton (small copepods and euphausiids). Consequently, carnivorous zooplankton and pelagic
53 fish or squid are also affected (Crawford et al., 2008a, Crawford et al., 2008b; Wynn et al., 2007;
54 Luczak et al., 2011). The dynamics of small pelagic fish have been studied intensively in the marine
55 upwelling ecosystems such the Humboldt and Benguela currents, where the collapse of small
56 populations of pelagic fish is often followed by severe decreases in the populations of seabirds
57 (Crawford & Jahncke, 1999; Crawford et al., 2008a). Seabirds face multiple imminent threats
58 (overfishing and incidental death, pollution, introduced species, habitat destruction, and human
59 disturbance) that may seem more urgent than gradual climate change and its associated climate
60 phenomena (Croxall et al., 2012; Quillfeldt & Masello, 2013). However, some of these threats are
61 locally restricted, whereas the climate phenomena have the potential to alter an entire region and
62 increase the cumulative pressures that affect many seabirds, especially endemic species (Quillfeldt &
63 Masello, 2013).

64 The Peruvian pelican *Pelecanus thagus* (hereafter pelican) is a seabird endemic to the Humboldt
65 Current Large Marine Ecosystem (HCLME) of South America. The pelican's home range lies on the
66 Pacific coast from southern Ecuador, through Peru down to southern Chile (BirdLife International,
67 2018). However, its reproductive distribution is more limited, ranging from Santa Clara Island (3°S) in
68 southern Ecuador, to Mocha Island (38°S) in central Chile (Housse, 1945; Vinueza, Sornoza & Yañez,
69 2015). At the global level, the pelican is classified as near threatened (BirdLife International, 2018). In
70 Peru, this species is considered endangered (MINAGRI, 2018). In Chile and Ecuador there is no
71 classification concerning its conservation status, even though the Chilean coastline comprises more

Comentado [GL-J1]: Please specify that this is mostly valid for South American seabirds, and mostly for seabirds inhabiting the Humboldt Current System.

Comentado [GL-J2]: Please adds some relevant references, e.g.:
Jenouvrier, S., Holland, M., Stroeve, J., Serreze, M., Barbraud, C., Weimerskirch, H., & Caswell, H. (2014). Projected continent-wide declines of the emperor penguin under climate change. *Nature Climate Change*, 4(8), 715.
Cristofari, R., Liu, X., Bonadonna, F., Cherel, Y., Pistorius, P., Le Maho, Y., ... & Trucchi, E. (2018). Climate-driven range shifts of the king penguin in a fragmented ecosystem. *Nature Climate Change*, 8(3), 245.

Comentado [GL-J3]: Please clarify that this "geographical breeding range" it is not continuous along the coast, but is very localized in certain coastal islands

72 than 50% of pelican's habitat range (Cursach et al., 2018). Between 2010 and 2015 the abundance of
73 pelicans in Chile decreased significantly on the central coast (Cursach et al., 2018). Currently, this area
74 encompasses the main reproductive population; thus, evaluating its conservation status in Chilean
75 waters is urgent (Cursach et al., 2018).

76 Predicting the response of the biodiversity to climate change has developed into an active field of
77 research (Bellard et al., 2012). Therefore, projections of species distribution models play an important
78 role in alerting scientists and decision makers to assess the potential future risks of climate change
79 (Pereira et al., 2010; Parmesan et al., 2011). The current study aims to generate a model of the potential
80 geographic distribution of the pelican, to identify the environmental factors that affect its current
81 distribution, and to predict its future distribution range under two climate change scenarios (moderate
82 and severe). Our hypothesis was that the spatial distribution of the pelican will decrease and that the
83 main cause of this will be climate change.

84

85 **Materials & Methods**

86 **Species records**

87 Pelican occurrence data were compiled from four main sources: the Neotropical Waterbird Census
88 (<https://lac.wetlands.org/>), eBird (<https://ebird.org/>), the Global Biodiversity Information Facility
89 (<https://www.gbif.org/>), and the literature (Cursach et al., 2018). The geo-coordinates for each data
90 point were referenced from the information in the literature or through the use of coordinates in Google
91 Earth. We excluded duplicate or unclear locations and verified the accuracy of the data. We found a
92 total of 4,818 georeferenced data points referring to pelican sightings, encompassing its entire
93 geographic distribution from 1967 to 2015 (Fig. 1). Although the pelican sightings were registered
94 between 1967 and 2015, approximately 97% of them occurred between 2000 and 2015. Of these
95 records, a subsampling was performed at a distance of 15 km (cell size), obtaining a total of 264
96 records, with which the modeling was performed.

97

98 **Environmental variables**

99 The environmental variables used to characterize the current distribution of the pelican were selected
100 based on climate and oceanography. The climate variables used in this study were downloaded from
101 the EcoClimate database (<http://www.ecoclimate.org>) (Lima-Ribeiro et al., 2015). These variables were
102 represented by maximum, minimum, and mean values of monthly, quarterly, and annual temperatures,
103 and the precipitation values recorded between 1950 and 2000. These parameters provided a
104 combination of means, extremes, and seasonal differences in variables known to influence the
105 distribution of species (Root et al., 2003). With the species distribution modeling toolbox extension
106 implemented in ArcGIS, all bioclimate variables that showed a correlation higher than 0.7 were
107 eliminated (Brown, 2014). Finally, six climate variables were selected: annual mean temperature, mean
108 daytime temperature range, isothermality, seasonality in temperature, annual precipitation, seasonality
109 in precipitation. The oceanographic variables used were sea surface temperature (SST) and marine net

Comentado [GL-J4]: This is more a suggestion for the discussion that an introductory remarks. Here you have to argue why the Peruvian pelican is a suitable species for this study.

Comentado [GL-J5]: I think you have adds more recent references. This one is from 6 years ago, and although is still valid there are new studies on this issue that could help you to reinforce your study.

Eliminado: project

Eliminado: ed

Comentado [GL-J6]: Including the location of their reproductive colonies?

Comentado [GL-J7]: but in the intro nothing is mentioned about the direction of the change caused by climate change.

Comentado [GL-J8]: Please specify what kinds of points are these: reproductive colony, resting place, coves, etc. And also date would be also fine.

Comentado [GL-J9]: Please give details; how did you subsampling the entire data base?

112 primary productivity ($\text{mg C m}^{-2} \text{ day}^{-1}$), as they are considered the main descriptors of the spatial
113 distribution of seabirds (Quillfeldt et al., 2015; Ingenloff, 2017). These variables were obtained from
114 the National Oceanic and Atmospheric Administration (NOAA, <http://www.ngdc.noaa.gov/>). For the
115 analyses, we used mean values per climate season for a period of nine years (2004 to 2013), totaling
116 eight oceanographic variables (Table 2). All environmental variables used in this study were
117 interpolated by the kriging method, with a uniform resolution of $0.5^\circ \times 0.5^\circ$ using the QGIS 3.2.0
118 software (Lima-Ribeiro et al., 2015; Varela, Lima & Terribile, 2015).

119 To evaluate the effects of the different climate change scenarios on the spatial distribution of pelicans,
120 we did not include the oceanographic variables. This was because there are no projections related to
121 oceanographic climate change. The future climate scenarios corresponded to those proposed by the
122 Intergovernmental Panel on Climate Change (IPCC, 2014). These scenarios were obtained from the
123 ecoClimate website (<http://ecoclimate.org/>), which contains climate models available for different
124 temporal intervals. To do this, we used the model developed by the Community Climate System Model
125 version 4 of the National Center for Atmospheric Research (Gent et al., 2011). This is due to the good
126 results for the South-East Pacific (Larson, Pegion & Kirtman, 2018; Zheng et al., 2018).

127 The projections for the six preselected variables and the projected minimum and maximum trajectories
128 of the concentrations of greenhouse gases were obtained. That is 2.6 and 8.5 rcp (representative
129 concentration pathways), respectively. These values indicate increases in the heat absorbed by the
130 planet Earth due to the concentration of greenhouse gases up to 2100, in each trajectory and expressed
131 in watts per square meter. Thus, 2.6 rcp is the moderate projection for the scenario with the least
132 climate change; whereas, 8.5 rcp is a more pessimistic projection and represents a severe scenario with
133 the greatest climate change (Taylor, Stouffer & Meehl, 2012).

134

135 **Modeling of the potential geographic distribution**

136 The MaxEnt software (MaxEnt version 3.3.3k, <http://www.cs.princeton.edu/~schapire/maxent/>) has
137 been frequently used for species distribution models under current and future climate scenarios
138 (Phillips & Dudík, 2008). We used MaxEnt to model the geographic distribution of the pelican,
139 including under two previously described climate change scenarios (Elith et al., 2006; Taylor, Stouffer
140 & Meehl, 2012). The model was elaborated by MaxEnt auto-features (5,000 iterations). Logistic output
141 was used for all analyses. The quality of the model was evaluated using the area under the curve (AUC)
142 and the continuous Boyce index (Hirzel et al., 2006). AUC values can vary from 0 to 1, where a value
143 greater than 0.9 is considered an indicator of “good” discrimination skills (Peterson et al., 2011).
144 Values of the Boyce index vary between -1 and 1 , where positive values indicate a model with
145 predictions that are consistent with the distribution of observed presences in the evaluation dataset
146 (Boyce, 2002). Both analyses were conducted in R using the “biomod2” package (R Development Core
147 Team 2013).

148 For each distribution model, a 30-fold cross-validation was used, with a data proportion of 25% for
149 training and 75% for evaluation. The most important environmental variables were identified by
150 estimating the relative contribution (%) to the model (Phillips, Anderson & Schapire, 2006). Jackknife

151 test was used to evaluate the importance of the environmental variables for predictive modeling
152 (Almalki et al. 2015).

153

154 **Results**

155 **Model yield for potential distribution**

156 The model with the best fit showed a gain of 3.04 and a Boyce Index of 0.99. Also, an $AUC_{training}$ of 0.98
157 and an $AUC_{evaluation}$ of 0.98 and a standard deviation of 0.004. Both AUC values were relatively similar, so
158 the model used is appropriate for predicting the presence of the species. $AUC_{evaluation}$ 0.98 indicates that the
159 pelican has a wide geographic distribution in relation to the area corresponding to the environmental
160 data. The model predicts that the potential geographic distribution of the pelican reaches an
161 approximate surface area of 466,836 km², latitudinally distributed from southern Ecuador (2°13'09"S)
162 to southern Chile (46°59'07"S). Over this extensive marine–coastal surface, the probability of
163 occurrence for this species varied between a 0.16 (minimum) and 0.84 (maximum) (Table 1). Areas
164 with the highest probabilities of occurrence for the pelican are represented with intense red colors in
165 Figure 1. These areas are mainly distributed from northern Peru to central Chile (Figure 1).

166

167 **Importance of environmental variables**

168 Among the six climatic variables and eight oceanographic variables, the mean daytime temperature
169 range (Bio2) and the summer marine primary productivity, contributed the most to the current and
170 potential distribution of the pelican (Table 2). These two factors explained 78.47% of the modeled
171 distribution. The mean daytime temperature responded to the probability of the presence of the pelican,
172 with a high probability of finding the species in areas where the mean daytime temperature ranges
173 between 6 and 8°C. In turn, the summer marine primary productivity also influenced the probability of
174 the presence of the pelican, with a greater probability of finding the species during the summer season
175 in areas with high primary productivity.

176 The other factors such as, spring marine primary productivity, isothermality, and seasonality in
177 temperature, contributed 9.24%, 3.23%, and 1.74%, respectively, to the modeled distribution.

178 Therefore, thermal and primary productivity conditions are more important than other variables for
179 mapping pelican distribution (Table 2).

180

181 **Potential geographic distribution of the pelican as a function of climate change**

182 Based on the six climatic variables selected in the study, the model predicts that the projected pelican
183 distribution currently attains an area of 596,753 km² (Table 3). This area is larger than that initially
184 projected (466,836 km²), where the oceanographic variables were integrated. Regarding the projections
185 of climate change for 2100, under the moderate scenario of 2.6 rcp a slight decrease (−0.68%) in

Comentado [GL-J10]: Yes, it is expected for seabirds in general, but these locations are reproductive colonies? If those zones correspond to colonies, then a possible question would be how will these conditions change in scenarios of climate change?

186 pelican spatial distribution is predicted (Table 3). Under the severe scenario of 8.5 rcp, a slight increase
187 (4.51%) in pelican spatial distribution is predicted (Table 3).

188 The projected habitable surface area under climate change of 2.6 rcp does not presents a major change
189 with respect to the current geographic distribution of the pelican (Table 3). Under the severe scenario,
190 the model predicts that the pelican habitable surface will vary depending on geographic area (Figure 2).
191 For example, in northern Chile its habitable surface would decrease, whereas in central and southern
192 Chile it would increase over time (Figure 2). The projected habitable surface area and the probabilities
193 of occurrence for the pelican are spatially schematized in Figure 2.

194

195 Discussion

196 The potential geographic distribution of the pelican currently attains an approximate area of 466,836
197 km², distributed latitudinally from southern Ecuador (2°13'09"S) to the Taitao Peninsula in southern
198 Chile (46°59'07"S). The mean daytime temperature range and marine primary productivity explain the
199 current potential distribution of the pelican, which is an endemic species closely associated with the
200 oceanographic barriers of the Humboldt Current Ecosystem (Jeyasingham et al., 2013; Kennedy et al.,
201 2013). In South America, the Humboldt Current encompasses the greater part of the Pacific coast.
202 Despite the wide latitudinal gradient, the marine-coastal area exhibits a mean daytime temperature
203 range between 4°C and 8°C. This is consistent with the highest probability of occurrence of the pelican
204 (<https://climatologia.meteochile.gob.cl/application/>). In turn, marine productivity is the main predictor
205 of biodiversity and especially of the presence of top predators such as seabirds (Wakefield, Phillips &
206 Matthiopoulos, 2009). In the case of the pelican, there is an overlap between areas with high summer
207 marine primary productivity and areas with nesting colonies (Simeone et al., 2003).

208 Under the future climate change scenarios, the spatial distribution of the pelican is predicted to slightly
209 change. The severe scenario predicts that the habitable surface will be 4.51% higher than that of the
210 current distribution. This increase in habitable area is explained by the climatic conditions in southern
211 Chile, and those climatic conditions will likely be similar to the current conditions of the central coast
212 of Chile (Falvey & Garreaud, 2009; Garreaud, 2011). Over the last decade, an increase in pelican
213 abundance has been reported along the coast of southern Chile, with observations of large flocks
214 following schools of pelagic fishes in the inner sea (Imberti, 2005; Häusserman, Forsterra & Plotnek,
215 2012; Cursach, Rau & Vilugrón, 2016; Cursach et al., 2018). In this area, there has even been one
216 report of an unsuccessful attempt to nest (Cursach, Rau & Vilugrón, 2016). The occurrence of
217 competitive interactions with other seabirds has also been observed with endemic species from
218 Patagonia (Cursach, Rau & Vilugrón, 2016). In southern Chile, a group of pelicans was observed
219 displacing nesting pairs of Imperial shag (*Phalacrocorax atriceps*), causing the abandonment of the
220 nest (Cursach, Rau & Vilugrón, 2016). Therefore, projecting the population increase of pelicans toward
221 southern Chile requires a better understanding of the potential ecological interactions they may
222 encounter.

223 The present study is one of only a few evaluations of the potential effects of climate change on seabirds
224 on the Pacific coast of South America. To evaluate the different scenarios caused by climate change on

Comentado [GL-J11]: Yes, but unfortunately in your study you do not analyze this aspect. Here you have the opportunity to present a comprehensive analysis of the location of the colonies and the conditions they must have for nesting. There are sites on the Chilean coast that seem appropriate for pelicans to nest, but for some reason they do not and move to other places. This study could shed light on the conditions that pelicans require to install their colonies, which would help to better understand the effects of climate change

Comentado [GL-J12]: Or 'high sea'???

Eliminado: schools

226 the spatial distribution of the pelican, we did not include oceanographic variables. Therefore, further
227 studies are required to assess the effects of climate change on seabird populations. In addition, it is
228 important to recognize that the species spatial distribution models have methodological constraints,
229 including operating based on climatic variables without integrating ecological interactions (Soberón,
230 Osorio-Olvera & Peterson, 2017). In the case of the pelican, ecological interactions associated with the
231 development of fishing activities and El Niño events (i.e., ENSO) can affect pelican population
232 dynamics (Cursach et al., 2018). Fishing activities, particularly discards and bycatch, constitute a
233 significant source of food for a range of different seabirds, leading to changes at the demographic level
234 and affecting the movement patterns of birds at the regional scale (i.e., 10–250 km) (Votier et al., 2004;
235 Bartumeus et al., 2010). The pelican is a recognized consumer of fishing discard and organic waste
236 from fishing, and follows fishing fleets in search of food (Duffy, 1983b; Weichler et al., 2004; Cursach
237 et al., 2018). Therefore, the increased fishing and aquaculture activities in southern Chile over recent
238 years (IFOP, 1997; Torres & Valderrama, 2008; Niklitschek et al., 2013), could also cause the increase
239 in pelican populations across its austral range of distribution. Moreover, the pelican is greatly affected
240 by El Niño because the irruption of warm waters from the equator toward the south displaces their prey
241 (pelagic fish) toward higher latitudes and depths, resulting in a shortage of food and mass migration
242 (mostly to the south) (Tovar & Cabrera, 1985; Jahncke, 1998). Forecasts indicate that both the
243 occurrence and intensity of El Niño along the coast of Chile will increase (Garreaud, 2011). In
244 addition, the co-occurrence of fishing exploitation and El Niño generates synergistic effects that may
245 push the pelican to critical levels of abundance (Duffy, 1983b; Tovar et al., 1987). Therefore, future
246 modeling analyses should include information related to fishing, aquaculture, and El Niño occurrence.

247 In conclusion, the current potential geographic distribution of the pelican is influenced to a large extent
248 by thermal conditions and primary productivity. Under the future climate change scenarios, the spatial
249 distribution of the pelican is predicted to slightly change. Under the severe scenario, a slight increase in
250 pelican spatial distribution is predicted. This increase in habitable area is explained by the climatic
251 conditions in southern Chile, and those climatic conditions will likely be similar to the current
252 conditions of the central coast of Chile. We predict that the coasts of southern Chile will constitute an
253 important refuge for the conservation of the Peruvian pelican under future climate change scenarios. It
254 is necessary that future investigations evaluate in detail the ecological interactions of the pelican and its
255 population increase in southern Chile, considering the different dimensions of the local socio-
256 ecological system.

257

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261

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