

Identification and characterization of circRNAs as competing endogenous RNAs for miRNA-mRNA in colorectal cancer

Wenliang Yuan^{1,2,3,4}, Sihua Peng^{1,2,3}, Jingyu Wang⁵, Cai Wei^{1,2,3}, Zhen Ye⁶, Ye Wang⁶, Meiliang Wang⁶, Hao Xu⁶, Shouwen Jiang^{1,2,3}, Dan Sun^{1,2,3}, Chaoxu Dai^{1,2,3}, Linhua Jiang⁴, Xiaobo Li^{Corresp. 6}

¹ Key Laboratory of Exploration and Utilization of Aquatic Genetic Resources, Shanghai Ocean University, Shanghai, China

² National Pathogen Collection Center for Aquatic Animals, Ministry of Agriculture of China, Shanghai, China

³ International Research Center for Marine Biosciences at Shanghai Ocean University, Ministry of Science and Technology, Shanghai, China

⁴ School of Optical-Electric and Computer Engineering, University of Shanghai for Science and Technology, Shanghai, China

⁵ Department of Pathology, The First Affiliated Hospital of Jiaxing University, Jiaxing, China

⁶ College of Engineering, Lishui University, Lishui, China

Corresponding Author: Xiaobo Li
Email address: oboaixil@126.com

Background: Recent studies showed that circRNAs are involved in the biological process of some human cancers. However, little is known about their functions in colorectal cancer (CRC).

Methods: Here we first revealed the expression profiles of circRNAs in the CRC tissues and the adjacent non-tumorous tissues using high-throughput sequencing. The sequence feature, chromosome location, alternative splicing and other characteristics of the circRNAs were also explored. The miRNA and mRNA expression profiles were then obtained by analyzing relevant CRC data retrieved from the TCGA database. We obtained and analyzed the competing endogenous RNA (ceRNA) network of the top 3 pairs of the largest up-regulated and down-regulated circRNAs.

Results: In this study, we obtained 50,410 circRNAs in the CRC tissue and the adjacent non-tumor tissues, of which 33.7% (16,975) were new, and revealed differential changes in circRNA expression during colorectal carcinogenesis. We have identified six potential key circRNAs (circPIEZO1-3, hsa_circ_0067163, hsa_circ_0140188, hsa_circ_0002632, hsa_circ_0001998 and hsa_circ_0023990) associated with CRC, which play important roles in carcinogenesis as ceRNA for regulation of miRNA-mRNA network. In the subsequent KEGG analysis, several CRC-related pathways were found.

Conclusions: Our findings advance the understanding of the pathogenesis of CRC from the perspective of circRNAs and provide some circRNAs as candidate diagnostic biomarkers or potential therapeutic targets.

1
2 **Identification and characterization of circRNAs as**
3 **competing endogenous RNAs for miRNA-mRNA in**
4 **colorectal cancer**
5

6 Wenliang Yuan^{1,2,3,4‡}, Sihua Peng^{2,3,4‡}, Jingyu Wang^{5‡}, Cai Wei^{2,3,4}, Zhen Ye⁶, Ye Wang⁶,
7 Meiliang Wang⁶, Hao Xu⁶, Shouwen Jiang^{2,3,4}, Dan Sun^{2,3,4}, Chaoxu Dai^{2,3,4}, Linhua Jiang⁴, and
8 Xiaobo Li^{6*}
9

10 ¹ Key Laboratory of Exploration and Utilization of Aquatic Genetic Resources (Shanghai Ocean
11 University), Ministry of Education, Shanghai 201306, China

12 ² National Pathogen Collection Center for Aquatic Animals, Ministry of Agriculture, Shanghai 201306,
13 China

14 ³ International Research Center for Marine Biosciences at Shanghai Ocean University, Ministry of
15 Science and Technology, Shanghai 201306, China

16 ⁴ School of Optical-Electric and Computer Engineering, University of Shanghai for Science and
17 Technology, Shanghai 200093, China

18 ⁵ Department of Pathology, The First Hospital of Jiaxing/The First Affiliated Hospital of Jiaxing
19 University, Jiaxing 314001, China

20 ⁶ College of Engineering, Lishui University, Lishui 323000, China
21

22 ‡These authors contribute equally to this work.

23 *Corresponding authors:

24 Xiaobo Li, PhD, Professor; Email: oboaixil@126.com
25

26 **Abstract**

27 **Background:** Recent studies showed that circRNAs are involved in the biological process of some
28 human cancers. However, little is known about their functions in colorectal cancer (CRC).

29 **Methods:** Here we first revealed the expression profiles of circRNAs in the CRC tissues and the adjacent
30 non-tumorous tissues using high-throughput sequencing. The sequence feature, chromosome location,
31 alternative splicing and other characteristics of the circRNAs were also explored. The miRNA and mRNA
32 expression profiles were then obtained by analyzing relevant CRC data retrieved from the TCGA database.
33 We obtained and analyzed the competing endogenous RNA (ceRNA) network of the top 3 pairs of the
34 largest up-regulated and down-regulated circRNAs.

35 **Results:** In this study, we obtained 50,410 circRNAs in the CRC tissue and the adjacent non-tumor
36 tissues, of which 33.7% (16,975) were new, and revealed differential changes in circRNA expression
37 during colorectal carcinogenesis. We have identified six potential key circRNAs (circPIEZO1-3,
38 hsa_circ_0067163, hsa_circ_0140188, hsa_circ_0002632, hsa_circ_0001998 and hsa_circ_0023990)
39 associated with CRC, which play important roles in carcinogenesis as ceRNA for regulation of miRNA-
40 mRNA network. In the subsequent KEGG analysis, several CRC-related pathways were found.

41 **Conclusions:** Our findings advance the understanding of the pathogenesis of CRC from the perspective
42 of circRNAs and provide some circRNAs as candidate diagnostic biomarkers or potential therapeutic
43 targets.

44

45 Introduction

46 Colorectal cancer (CRC) is a common malignant tumor of the digestive system in the world (1.4
47 million in 2012) [1], and more than 50% of the patients eventually die from this disease. Chemotherapy
48 is still an indispensable treatment for CRC [2], however, with the advance of molecular biology and cell
49 biology, targeted therapy has become a hotspot in cancer chemotherapy.

50 Circular RNAs (circRNAs) are a class of non coding RNAs featuring stable structure, often showing
51 tissue/developmental-phase specific expression [3]. Compared with other non-coding RNA molecules,
52 such as miRNAs and lncRNAs, circRNAs have more desirable biomarker features, such as the stable ring
53 structure, that can be used for disease diagnosis, for example atherosclerosis [4] and gastric cancer [5]. In
54 CRC research, two recent studies demonstrated that circRNA_001569 and circular BANP modulate cell
55 proliferation in colorectal cancer [6, 7]. Recently, it was reported that hsa_circ_0020397 regulates CRC
56 cell viability, apoptosis, and invasion [8]. Kuei-Yang Hsiao and colleagues also reported that circular
57 RNA CCDC66 promotes colon cancer growth and metastasis [9].

58 In this study, we obtained the circRNA expression profiles of the CRC tissues and adjacent non-
59 tumor tissues by high-throughput sequencing, and identified a small number of circRNAs with
60 differential expression; then we analyzed the miRNA and mRNA data for CRC downloaded from the
61 TCGA database; finally, we selected six circRNAs with the most significant differential expressions to
62 analyze their circRNA-miRNA-mRNA network. In addition, Kyoto Gene and Genomic Encyclopedia
63 (KEGG) analyses were performed.

64

65 Materials & Methods

66 ***Patients information***

67 The CRC tissue specimens and the paired normal mucosa for circRNA detection were available
68 from three CRC patients (two males and one female aged 58–66 years, mean age \pm standard deviation
69 (SD) 61.3 ± 4.2 years) who underwent surgery between May and October 2015 at the First Hospital of
70 Jiaxing, China. The First Hospital of Jiaxing (Jiaxing, Zhejiang, China) granted Ethical approval to carry
71 out the study within its facilities (Ethical Application Ref: FCFHJ-2017023). All the tissues were frozen
72 in liquid nitrogen immediately after the surgery and then stored at -80°C until RNA extraction. All cases
73 were newly diagnosed, histologically confirmed colorectal cancer patients, and had not received any
74 chemotherapy or radiotherapy prior to recruitment. Written informed consent were received from all the
75 patients.

76 ***RNA Sample quality testing***

77 We used 1% agarose gel electrophoresis to analyze the purity and integrity of the RNA. The RNA
78 integrity number (RIN) was measured using Agilent RNA 6000 Pico Reagents (Agilent, CA, USA) to
79 assess the RNA quality. Sequencing was performed if the samples RIN values were greater than
80 eight. The Qubit 2.0 instrument was used to accurately measure the RNA concentration.

81 ***Sequencing Library Preparation and circRNA Sequencing***

82 A total amount of 1.5 μg RNA per sample was used as input material for the RNA sample
83 preparations. Sequencing libraries were generated using NEBNext[®] Ultra[™] RNA Library Prep Kit for
84 Illumina[®] (NEB, USA) following manufacturer's instructions. Then 3 μl USER Enzyme (NEB, USA) was
85 used with size-selected, adaptor-ligated cDNA at 37°C for 15 min followed by 5 min at 95°C before PCR.
86 Then PCR was performed with Phusion High-Fidelity DNA polymerase, Universal PCR primers and
87 Index (X) Primer. Finally, products were purified (AMPure XP system) and library quality was assessed
88 on the Agilent Bioanalyzer 2100 system.

89 After cluster generation, the prepared libraries were sequenced on an Illumina Hiseq 4000 platform
90 and 150 bp paired-end reads were generated.

91 ***Screening and identification of colorectal cancer-associated circRNAs***

92 To identify the circRNAs in the RNA-Seq data, the sequence reads were firstly mapped to the
93 human reference genome (GRCh37/hg19, Feb., 2009) using TopHat2 (v2.1.0) [10]; Then, back-spliced
94 ordering reads were extracted for circRNA prediction using CIRCexplorer [11]. These circRNAs were
95 annotated by searching the circBase database [12] and the deepBase database [13]. Finally, differentially

96 expressed circRNAs were identified using edgeR [14], according to the criteria of a $|\log_2FC| > 1.5$ and p-
97 value < 0.05 .

98 ***Prediction of the potential coding ability of circRNAs***

99 It took two steps to predict the potential coding ability of differentially expressed circRNAs
100 through bioinformatics method. Firstly, an online tool getorf ([http://emboss.bioinformatics.nl/cgi-](http://emboss.bioinformatics.nl/cgi-bin/emboss/getorf)
101 [bin/emboss/getorf](http://emboss.bioinformatics.nl/cgi-bin/emboss/getorf)) was used to determine whether a circRNA has a open reading frame (ORF). Then, we
102 blasted the circRNA sequences against all Internal Ribosome Entry Site (IRES) sequences using IRESite
103 tool [15], and the circRNAs with E Value < 0.05 were considered to have potential encoding capability.

104 ***Identification of differentially expressed miRNAs and mRNAs***

105 To verify that circRNAs function as sponges or inhibitors of their interacting miRNAs,
106 transcriptome profiling datasets were downloaded from TCGA. A data of 41 normal and 480 tumor
107 samples for mRNA analysis were obtained. Similarly, the data of eight normal and 457 tumor samples
108 were obtained for the miRNAs analysis by the same method. Finally, the differentially expressed
109 miRNAs and mRNAs were identified using edgeR, according to the criteria of a fold change > 2.0 and
110 false discovery rate (FDR) < 0.01 .

111 ***miRNA prediction, co-expression network and function analysis***

112 The putative circRNA/miRNA interactions were investigated by miRanda [16] using the miRNA
113 list from miRBase release 20.0 [17]. The putative target genes of the miRNAs were predicted using the
114 intersection of miRTarBase [18] and miRDB [19]. The information on the circRNAs of interest was
115 obtained by CSCD [20].

116 The circRNA-miRNA-mRNA interaction network was constructed by Cytoscape. Cytoscape two
117 plugins : ClueGO and CluePedia were used for KEGG analysis, showing only pathway with p Value $<$
118 0.05.

119 **Results**

120 ***Sequencing data***

121 The sequencing yielded a total of 79.024 G of Raw data, and the filtered clean data totaled 72.874
122 G. The quality of the sequencing data was detailed in supplementary file 1.

123 ***General characteristics of circRNAs in CRC***

124 A total of 50,410 circRNAs derived from 9,620 host genes were identified in the human CRC
125 tissues and the adjacent non-tumorous tissues. Among them, 28,032 were found in circBase, 5,403 were

126 included in deepBase, and remaining 16,975 accounting for 33.7% of the total circRNAs were observed
127 for the first time in this study.

128 According to their host gene location, the 50,410 circRNAs were widely distributed on all the
129 chromosomes (Fig. 1A). Specifically, only chromosome 1 and chromosome 2 produced more than 4,000
130 circRNAs. Most other chromosomes generated more than one thousand circRNAs, except chromosome
131 21, Y and chrUn (with 542, 81 and 3 circRNAs, respectively). Our data showed that 49,801 (98.8%)
132 circRNAs were excluded from the first or last exons of their host genes (Fig. 1B). In addition, we found
133 that about 66.5% of the host genes produced multiple circRNA isoforms (Fig. 1C). We found that the
134 BIRC6 host gene produces the highest numbers of circRNAs isoforms. Interestingly, it was described in
135 other studies that BIRC6 overexpression is a predictor of poor prognosis in CRC [21]. Most exonic
136 circRNAs consisted of multiple exons, with the most circRNAs containing two or three exons, and the
137 maximum number of exons in a circRNA was 48 (Fig. 1D).

138

139

Insert Fig. 1 here.

140 **Fig. 1** General characteristics of circRNAs in CRC. (A) Genomic features of circRNAs expressed
141 in human CRC. Chromosomal distribution of the circRNAs. (B) Distribution of the back-spliced exons in
142 circRNAs. (C) Distribution of the number of different types of circRNA transcripts from each circRNA
143 host gene. (D) Distribution of the number of back-spliced exons in each circRNA.

144 ***Screening of the differentially expressed circRNA***

145 The differentially expressed circRNAs between the CRC tissues and the adjacent non-tumorous
146 tissues were identified. Finally, 98 circRNAs were identified, of which 49 were up-regulated and 49 were
147 down-regulated (Supplementary file 2). The hierarchical clustering (Fig. 2A) and volcano plots (Fig. 2B)
148 showed the variation of circRNA expression between the normal and the CRC samples. Additionally, the
149 host genes of these differentially expressed circRNAs were derived from exonic regions (94), intronic
150 regions (1, circMYO7B-3) (Fig. 2C), etc.

151 To predict the potential coding ability of the differentially expressed circRNAs, we found that 69
152 circRNAs (70%) contained at least one ORF, but only eight circRNAs had IRESs (Fig. 2D). To
153 investigate the functional association of the host genes of the differentially expressed circRNAs in
154 CRC, we analyzed the genes using the GeneMANIA plugin in the Cytoscape software (Fig. 2E). Most
155 of the network interactions were co-expression, physical interactions and genetic interactions. The
156 complex interaction between host genes suggests that this correlation may also exist between
157 differentially expressed circRNAs.

158

159 **Insert Fig. 2 here.**

160 **Fig. 2** Differential expression of circRNAs in CRC tissues. (A) Hierarchical clustering analysis of
161 the circRNAs. CRC-D-A, CRC-D-B and CRC-D-C are adjacent normal tissue samples. The remaining
162 three are cancer tissue samples. (B) Volcano plots are constructed using the fold-change values and q-
163 values. The red dots in the figure represent statistically significant differentially expressed circRNAs. (C)
164 Distribution of genomic regions that differentially expressed circRNAs derived from: exonic, intronic
165 regions, etc. (D) Potentially encoded protein analysis of differentially expressed circRNAs. (E)
166 GeneMANIA network of host genes of differentially expressed circRNAs.

167 ***Screening of differentially expressed miRNAs and mRNAs***

168 According to the criteria of $|\log_2FC| > 2$ and $q\text{-value} < 0.01$, 245 pre-miRNAs (DE_pmiRNA)
169 and 2,083 mRNAs (DE_mRNA) were identified as aberrantly expressed in the CRC tissues compared
170 with the adjacent non-tumorous tissues (Supplementary file 3 and Supplementary file 4). It was founded
171 that many miRNAs and mRNAs were up-regulated or down-regulated more than 100-fold (Fig. 3A-B).

172

173 **Insert Fig. 3 here.**

174 **Fig. 3** Differential expression analysis and interaction analysis of miRNAs and mRNAs. Volcano
175 plots showing expression profile of pre-miRNAs (A) and mRNAs (B). (C) The intersection of the
176 differentially expressed pre-miRNAs (DE_pmiRNA) and 1,666 pre-miRNAs. (D) The intersection of the
177 differentially expressed mRNA (DE_mRNA) and 3707 miRNA target genes. (E) Comparison of data
178 sizes before and after data processing. Purple indicates retained data and blue indicates discarded
179 data.

180 ***Interaction between differentially expressed circRNAs, miRNAs and mRNAs***

181 Evidence showed that circRNAs function as sponges or inhibitors of their interacting miRNAs to
182 terminate regulation of their target genes [8, 9]. We obtained 1,666 pre-miRNAs including binding sites
183 of the differentially expressed circRNAs, and 3,707 target genes of these pre-miRNAs by searching the
184 three databases. Further analysis of DE_pmiRNA and these pre-miRNA, we found that 192
185 DE_pmiRNAs could interact with circRNAs (Fig. 3C). Similarly, we obtained 225 DE_RNAs related to
186 the differentially expressed circRNA (Fig. 3D), and in this process, 40 DE_pmiRNAs were discarded
187 because their target genes did not appear in this set (Fig. 3E). Interestingly, even if only 123-
188 DE_pmiRNA s were retained, all of the differentially expressed circRNAs were still retained.

189 *Networks Regulated by circRNAs*

190 We selected the top 3 top down-regulated (circPIEZO1-3, hsa_circ_0067163, and
191 hsa_circ_0140188) and up-regulated circRNAs (hsa_circ_0002632, hsa_circ_0001998 and
192 hsa_circ_0023990) as the hub components referring to recent studies [22]. As shown in Fig. 4A, we found
193 that all the six circRNAs belong to the exonic circRNA and are all cyclized by multiple exons.

194 To investigate the potential mechanisms of circRNA in the development and progression of CRC,
195 we constructed the circRNA-miRNA-mRNA interaction network for these six circRNAs. The ceRNA
196 interaction network consists of 6 circRNAs, 35 DE_miRNAs and 64 DE_mRNAs (Fig. 4B). By querying
197 the clinical data in the TCGA database, we found that the expression level of six ceRNAs related mRNAs
198 was significantly correlated with the survival time of CRC patients (Fig. 4C), suggesting that circRNAs-
199 selected may have prognostic value. We found that high expression of hsa_circ_0023990 significantly
200 improved survival time in patients with CRC due to high expression of SOX1, AQP6 and ITGBL1.
201 Similarly, low expression of hsa_circ_0067163 associated with a poor survival due to a low expression of
202 TPM2.

203 **Insert Fig. 4 here.**

204 **Fig. 4** Information on six hub circRNAs. (A) The top three up-regulated and down-regulated
205 circRNAs. The outer loop represents the exon that constitutes the circRNA, the innermost green ring
206 represents the ORF, the middle red triangle represents the microRNA response element, and the blue
207 cross point represents the RNA binding protein. (B) CircRNAs-miRNAs-mRNAs network. The red circle
208 denotes the down-regulated circRNAs, the green circle denotes the up-regulated circRNAs, the blue
209 inverted triangle denotes miRNAs, and the purple rectangle denotes the mRNAs. (C) Survival analysis of
210 ceRNA - associated mRNA. (D) The KEGG pathway analysis of the top three circRNA pairs in the up-
211 regulation and down-regulation circRNAs.

212 *Functional enrichment analysis of circRNAs*

213 The functional role of the most circRNAs has not been characterized, however, it would be
214 beneficial to predict signaling pathways involving the circRNAs by bioinformatics methods. Therefore,
215 according to the obtained ceRNA network and the target genes of the miRNA in the network, the KEGG
216 pathway analysis of the six circRNAs was performed (Fig. 4D). There were 17 KEGG pathways
217 significantly enriched in our study ($p < 0.01$). Among these pathways, some were directly linked to cancer
218 pathogenesis, such as colorectal cancer, p53 signaling pathway [23], TGF- β signaling pathways [24] and
219 microRNAs in cancer. Interestingly, although other pathways, such as cellular senescence [25] and Foxo
220 signaling pathway [26], seemed to be not directly related to CRC, they were also found associated with
221 multiple diseases development.

222 Discussion

223 Up to now, many circRNAs have been found in various human normal or diseased tissues.
224 Researchers have identified 8,045 in heart, 3,982 in liver [27], 15,996 in testis [28] and 65,731 in normal
225 human brain [29]. In our study, we predicted 50,410 circRNAs in the normal and diseased human
226 colorectal tissues. Compared with other organs (for example heart, liver and testis), the expressions of the
227 circRNAs in the human colorectal tissues are the most abundant. Our data showed that most circRNAs
228 are excluded from the first or last exons of their host genes, which is consistent with previous research
229 that back-spliced events are generally difficult to occur in the first or last exons of the host genes [30]. In
230 the present study, we found that 66.5% of the 9,620 host genes produce multiple circRNA isoforms,
231 suggesting that there are other factors contributing to the occurrence of back-spliced events, for example,
232 non-repetitive sequences are largely included in these "hot-spot" genes [25].

233 Research showed that most circRNAs are derived from exonic regions and 5' UTR sequences
234 [29]. Data analysis of our differentially expressed circRNAs also supports this view. Recent studies
235 showed that circRNAs directly translate proteins and participate in various physiological processes [31,
236 32]. We analyzed circRNAs with differential expression and found that most of them contained ORF and
237 IRES, indicating that these circRNAs have potential coding ability.

238 As is known, some oncogenes, such as RNA binding protein, ribosomal protein S5 (RPS5) and 5-
239 hydroxytryptamine receptor 4 (HTR4), are differentially expressed in CRC compared with adjacent
240 normal tissues [33, 34]. In our differentially expressed circRNA we found, the host genes, including
241 hsa_circ_0128314 and hsa_circ_0005598, are HTR4 and RPS5, respectively. Therefore, we believe that
242 some oncogenes will not affect their carcinogenic properties even if they are cyclized during
243 transcription.

244 CeRNA hypothesis describes the mechanism for a class of RNAs with miRNA binding sites that
245 competitively bind to miRNAs to inhibit their regulation of the target genes [35, 36]. The carcinogenic
246 mechanism of circRNAs may occur through their miRNA-mediated effects on the gene expression, as
247 circRNAs have more miRNA binding sites and are highly stable [37, 38]. In our study, based on the
248 ceRNA hypothesis, we utilized paired circRNA, miRNA and mRNA expression profiles of the CRC
249 patients combined with experimentally validated miRNA-target interactions to reconstruct circRNA-
250 associated ceRNA network for the progression of CRC. However, this study has some limitations because
251 functional analysis of circular RNA is based on bioinformatics analysis. As for our future experimental
252 validation plan, we will focus on the in vitro validation of differential expression of hsa_circ_0023990 to
253 verify its correlation with differentially expressed multiple mRNAs, such as SOX1, AQP6 and ITGBL1.

254 In the ceRNA network of the selected "hot-spot" circRNAs, we found that some miRNAs have
255 been confirmed for their expression promoting colorectal cancer pathogenesis by other studies, such as

256 hsa-miR-29c-3p [39], suggesting that circRNA plays a role in the development of cancer by absorbing
257 functional miRNAs to regulate the expression of corresponding genes.

258 The occurrence of colorectal cancer is not simply caused by a single signal pathway. Its
259 occurrence and development are the result of the accumulation of multiple signal pathways, which are
260 regulated by the network interlaced downstream of the pathway. Abnormalities in each pathway may
261 cause disorder and/or cause colorectal cancer. The TGF- β signaling pathway regulates cell proliferation,
262 differentiation, migration, apoptosis, and regulates stem cell repair [40]. The transcriptional co-activator
263 with PDZ-binding motif and Yes-associated protein integrates with Wnt and TGF- β signaling in several
264 cells and may have a significant effect on intestinal cell proliferation, differentiation and other functions
265 [24].

266 Conclusions

267 In summary, in this study, we obtained 50,410 circRNAs in the CRC tissue and the adjacent non-
268 tumor tissues, of which 33.7% (16,975) were new, and revealed differential changes in the circRNA
269 expression during colorectal carcinogenesis. We have identified six potential key circRNAs associated
270 with CRC, which play important roles in carcinogenesis as ceRNAs for regulation of miRNA-mRNA
271 network. Our findings advance the understanding of the pathogenesis of CRC from the perspective of
272 circRNAs and provide some circRNAs as candidate diagnostic biomarkers or potential therapeutic
273 targets.

274 Acknowledgements

275 We thank Dr. Xiaoning Peng and Dr. Zuozhou Chen for their helpful suggestions.

276 Additional files

277 Additional file1: Summary of the quality of the raw sequencing data

278 Additional file2: Differentially expressed circRNAs in the CRC

279 Additional file3: Differentially expressed miRNAs in the CRC

280 Additional file4: Differentially expressed mRNAs in the CRC

281 Availability of data and materials

282 The RNA-seq data are deposited under NCBI BioProject (ID: PRJNA521856).

283 References

- 284 1. McGuire S: **World Cancer Report 2014**. Geneva, Switzerland: World Health Organization,
285 International Agency for Research on Cancer, WHO Press, 2015. *Advances in Nutrition* 2016,
286 7(2):418-419.
- 287 2. Gill S: **Adjuvant therapy for resected high-risk colon cancer: Current standards and controversies**.
288 *Indian Journal of Medical and Paediatric Oncology : Official Journal of Indian Society of Medical &*
289 *Paediatric Oncology* 2014, **35**(3):197-202.
- 290 3. Memczak S, Jens M, Elefsinioti A, Torti F, Krueger J, Rybak A, Maier L, Mackowiak SD, Gregersen LH,
291 Munschauer M: **Circular RNAs are a large class of animal RNAs with regulatory potency**. *Nature*
292 2013, **495**(7441):333.
- 293 4. Burd CE, Jeck WR, Liu Y, Sanoff HK, Wang Z, Sharpless NE: **Expression of linear and novel circular**
294 **forms of an INK4/ARF-associated non-coding RNA correlates with atherosclerosis risk**. *PLoS*

- 295 *genetics* 2010, **6**(12):e1001233.
- 296 5. Li P, Chen S, Chen H, Mo X, Li T, Shao Y, Xiao B, Guo J: **Using circular RNA as a novel type of**
- 297 **biomarker in the screening of gastric cancer.** *Clin Chim Acta* 2015, **444**:132-136.
- 298 6. Zhu M, Xu Y, Chen Y, Yan F: **Circular BANP, an upregulated circular RNA that modulates cell**
- 299 **proliferation in colorectal cancer.** *Biomed Pharmacother* 2017, **88**:138-144.
- 300 7. Xie H, Ren X, Xin S, Lan X, Lu G, Lin Y, Yang S, Zeng Z, Liao W, Ding Y-Q: **Emerging roles of**
- 301 **circRNA_001569 targeting miR-145 in the proliferation and invasion of colorectal cancer.** *Oncotarget*
- 302 2016, **7**(18):26680.
- 303 8. Zhang XI, Xu LI, Wang F: **Hsa_circ_0020397 regulates colorectal cancer cell viability, apoptosis and**
- 304 **invasion by promoting the expression of the miR - 138 targets TERT and PD - L1.** *Cell Biol Int* 2017,
- 305 **41**(9):1056-1064.
- 306 9. Hsiao K-Y, Lin Y-C, Gupta SK, Chang N, Yen L, Sun HS, Tsai S-J: **Non-coding effects of circular RNA**
- 307 **CD promote colon cancer growth and metastasis.** *Cancer research* 2017;**77** (79) :2339.
- 308 10. Trapnell C, Roberts A, Goff L, Pertea G, Kim D, Kelley DR, Pimentel H, Salzberg SL, Rinn JL, Pachter L:
- 309 **Differential gene and transcript expression analysis of RNA-seq experiments with TopHat and**
- 310 **Cufflinks.** *Nat Protoc* 2012, **7**(3):562.
- 311 11. Zhang XO, Wang HB, Zhang Y, Lu X, Chen LL, Yang L: **Complementary sequence-mediated exon**
- 312 **circularization.** *Cell* 2014, **159**(1):134-147.
- 313 12. Glažar P, Papavasileiou P, Rajewsky N: **circBase: a database for circular RNAs.** *Rna* 2014, **20**(11):1666-
- 314 1670.
- 315 13. Yang J-H, Shao P, Zhou H, Chen Y-Q, Qu L-H: **deepBase: a database for deeply annotating and mining**
- 316 **deep sequencing data.** *Nucleic Acids Res* 2009, **38**(suppl_1):D123-D130.
- 317 14. Robinson MD, McCarthy DJ, Smyth GK: **edgeR: a Bioconductor package for differential expression**
- 318 **analysis of digital gene expression data.** *Bioinformatics* 2010, **26**(1):139-140.
- 319 15. Mokrejš M, Mašek T, Vopálenký V, Hlubuček P, Delbos P, Pospíšek M: **IRESite—a tool for the**
- 320 **examination of viral and cellular internal ribosome entry sites.** *Nucleic Acids Res* 2009,
- 321 **38**(suppl_1):D131-D136.
- 322 16. Betel D, Wilson M, Gabow A, Marks DS, Sander C: **The microRNA. org resource: targets and**
- 323 **expression.** *Nucleic Acids Res* 2008, **36**(suppl_1):D149-D153.
- 324 17. Griffiths-Jones S, Grocock RJ, Van Dongen S, Bateman A, Enright AJ: **miRBase: microRNA sequences,**
- 325 **targets and gene nomenclature.** *Nucleic Acids Res* 2006, **34**(suppl_1):D140-D144.
- 326 18. Friedman RC, Farh KK-H, Burge CB, Bartel DP: **Most mammalian mRNAs are conserved targets of**
- 327 **microRNAs.** *Genome research* 2009, **19**(1):92-105.
- 328 19. Wong N, Wang X: **miRDB: an online resource for microRNA target prediction and functional**
- 329 **annotations.** *Nucleic Acids Res* 2014, **43**(D1):D146-D152.
- 330 20. Xia S, Feng J, Chen K, Ma Y, Gong J, Cai F, Jin Y, Gao Y, Xia L, Chang H: **CSCD: a database for**
- 331 **cancer-specific circular RNAs.** *Nucleic Acids Res* 2018, **46**(Database issue):D925-D929.
- 332 21. Hu T, Weng S, Tang W, Xue R, Chen S, Cai G, Cai Y, Shen X, Zhang S, Dong L: **Overexpression of**
- 333 **BIRC6 is a predictor of prognosis for colorectal cancer.** *PLoS One* 2015, **10**(5):e0125281.
- 334 22. Gargouri M, Park J-J, Holguin FO, Kim M-J, Wang H, Deshpande RR, Shachar-Hill Y, Hicks LM, Gang
- 335 **DR: Identification of regulatory network hubs that control lipid metabolism in Chlamydomonas**
- 336 **reinhardtii.** *J Exp Bot* 2015, **66**(15):4551-4566.
- 337 23. Slattery ML, Mullany LE, Wolff RK, Sakoda LC, Samowitz WS, Herrick JS: **The p53-signaling pathway**
- 338 **and colorectal cancer: Interactions between downstream p53 target genes and miRNAs.** *Genomics*
- 339 2018.
- 340 24. Xu Y, Pasche B: **TGF-β signaling alterations and susceptibility to colorectal cancer.** *Human molecular*
- 341 *genetics* 2007, **16**(R1):R14-R20.
- 342 25. Schmitt CA: **Cellular senescence and cancer treatment.** *Biochimica et Biophysica Acta (BBA)-Reviews*
- 343 *on Cancer* 2007, **1775**(1):5-20.
- 344 26. Liang Z, Wang X, Xu X, Xie B, Ji A, Meng S, Li S, Zhu Y, Wu J, Hu Z: **MicroRNA-608 inhibits**
- 345 **proliferation of bladder cancer via AKT/FOXO3a signaling pathway.** *Molecular cancer* 2017, **16**(1):96.
- 346 27. Zheng Q, Bao C, Guo W, Li S, Chen J, Chen B, Luo Y, Lyu D, Li Y, Shi G: **Circular RNA profiling**
- 347 **reveals an abundant circHIPK3 that regulates cell growth by sponging multiple miRNAs.** *Nature*
- 348 *communications* 2016, **7**:11215.
- 349 28. Dong W-W, Li H-M, Qing X-R, Huang D-H, Li H-G: **Identification and characterization of human**
- 350 **testis derived circular RNAs and their existence in seminal plasma.** *Scientific reports* 2016, **6**:39080.

- 351 29. Rybak-Wolf A, Stottmeister C, Glažar P, Jens M, Pino N, Giusti S, Hanan M, Behm M, Bartok O, Ashwal-
352 Fluss R: **Circular RNAs in the mammalian brain are highly abundant, conserved, and dynamically**
353 **expressed**. *Molecular cell* 2015, **58**(5):870-885.
- 354 30. Zhang X-O, Wang H-B, Zhang Y, Lu X, Chen L-L, Yang L: **Complementary sequence-mediated exon**
355 **circularization**. *Cell* 2014, **159**(1):134-147.
- 356 31. Yang Y, Fan X, Mao M, Song X, Wu P, Zhang Y, Jin Y, Yang Y, Chen L-L, Wang Y: **Extensive**
357 **translation of circular RNAs driven by N 6-methyladenosine**. *Cell research* 2017, **27**(5):626.
- 358 32. Legnini I, Di Timoteo G, Rossi F, Morlando M, Briganti F, Sthandier O, Fatica A, Santini T, Andronache
359 A, Wade M: **Circ-ZNF609 is a circular RNA that can be translated and functions in myogenesis**.
360 *Molecular cell* 2017, **66**(1):22-37. e29.
- 361 33. Shimoyama M, Laulederkind SJ, De Pons J, Nigam R, Smith JR, Tutaj M, Petri V, Hayman GT, Wang S-J,
362 Ghiasvand O: **Exploring human disease using the Rat Genome Database**. *Disease models &*
363 *mechanisms* 2016, **9**(10):1089-1095.
- 364 34. Hou N, Guo Z, Zhao G, Jia G, Luo B, Shen X, Bai Y: **Inhibition of micro RNA - 21 - 3p suppresses**
365 **proliferation as well as invasion and induces apoptosis by targeting RNA - binding protein with**
366 **multiple splicing through Smad4/extra cellular signal - regulated protein kinase signalling pathway**
367 **in human colorectal cancer HCT 116 cells**. *Clinical and Experimental Pharmacology and Physiology*
368 2018.
- 369 35. Tay Y, Rinn J, Pandolfi PP: **The multilayered complexity of ceRNA crosstalk and competition**. *Nature*
370 2014, **505**(7483):344.
- 371 36. Salmena L, Poliseno L, Tay Y, Kats L, Pandolfi PP: **A ceRNA hypothesis: the Rosetta Stone of a hidden**
372 **RNA language?** *Cell* 2011, **146**(3):353-358.
- 373 37. Wilusz JE, Sharp PA: **A circuitous route to noncoding RNA**. *Science* 2013, **340**(6131):440-441.
- 374 38. Guo JU, Agarwal V, Guo H, Bartel DP: **Expanded identification and characterization of mammalian**
375 **circular RNAs**. *Genome biology* 2014, **15**(7):409.
- 376 39. Chen G, Zhou T, Li Y, Yu Z, Sun L: **p53 target miR-29c-3p suppresses colon cancer cell invasion and**
377 **migration through inhibition of PHLDB2**. *Biochem Biophys Res Commun* 2017, **487**(1):90-95.
- 378 40. Fleming NI, Jorissen RN, Mouradov D, Christie M, Sakthianandeswaren A, Palmieri M, Day F, Li S, Tsui
379 C, Lipton L, Desai J, Jones IT, McLaughlin S, Ward RL, Hawkins NJ, Ruzkiewicz AR, Moore J, Zhu HJ,
380 Mariadason JM, Burgess AW, Busam D, Zhao Q, Strausberg RL, Gibbs P, Sieber OM.: **SMAD2, SMAD3**
381 **and SMAD4 mutations in colorectal cancer**. *Cancer Research* 2012, **73**(2):725-35.
- 382

Figure 1

General characteristics of circRNAs in CRC

(A) Genomic features of circRNAs expressed in human CRC. Chromosomal distribution of the circRNAs. (B) Distribution of the back-spliced exons in circRNAs. (C) Distribution of the number of different types of circRNA transcripts from each circRNA host gene. (D) Distribution of the number of back-spliced exons in each circRNA.

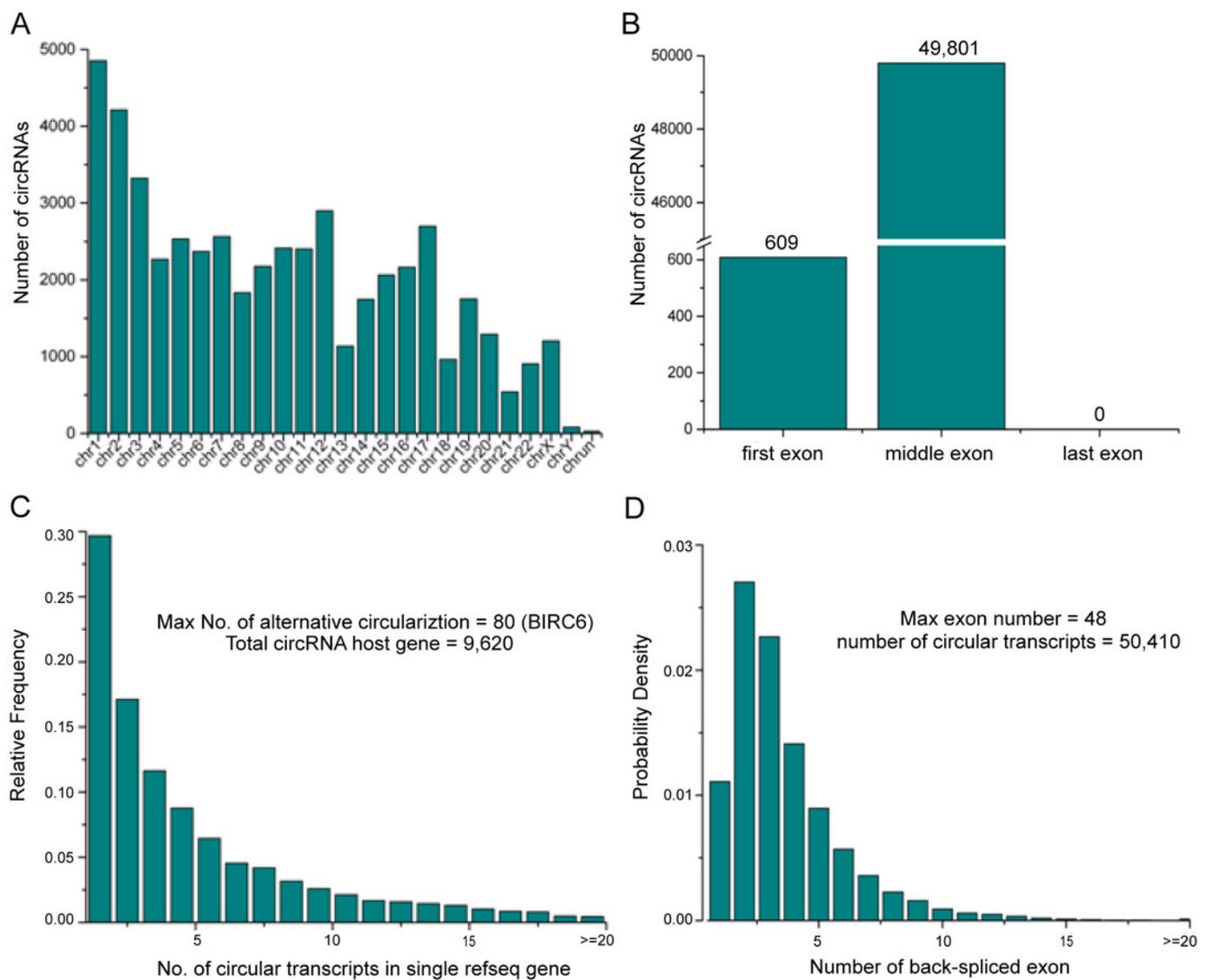


Figure 2

Differential expression of circRNAs in CRC tissues.

(A) Hierarchical clustering analysis of the circRNAs. CRC-D-A, CRC-D-B and CRC-D-C are adjacent normal tissue samples. The remaining three are cancer tissue samples. (B) Volcano plots are constructed using the fold-change values and q-values. The red dots in the figure represent statistically significant differentially expressed circRNAs. (C) Distribution of genomic regions that differentially expressed circRNAs derived from: exonic, intronic regions, etc. (D) Potentially encoded protein analysis of differentially expressed circRNAs. (E) GeneMANIA network of host genes of differentially expressed circRNAs.

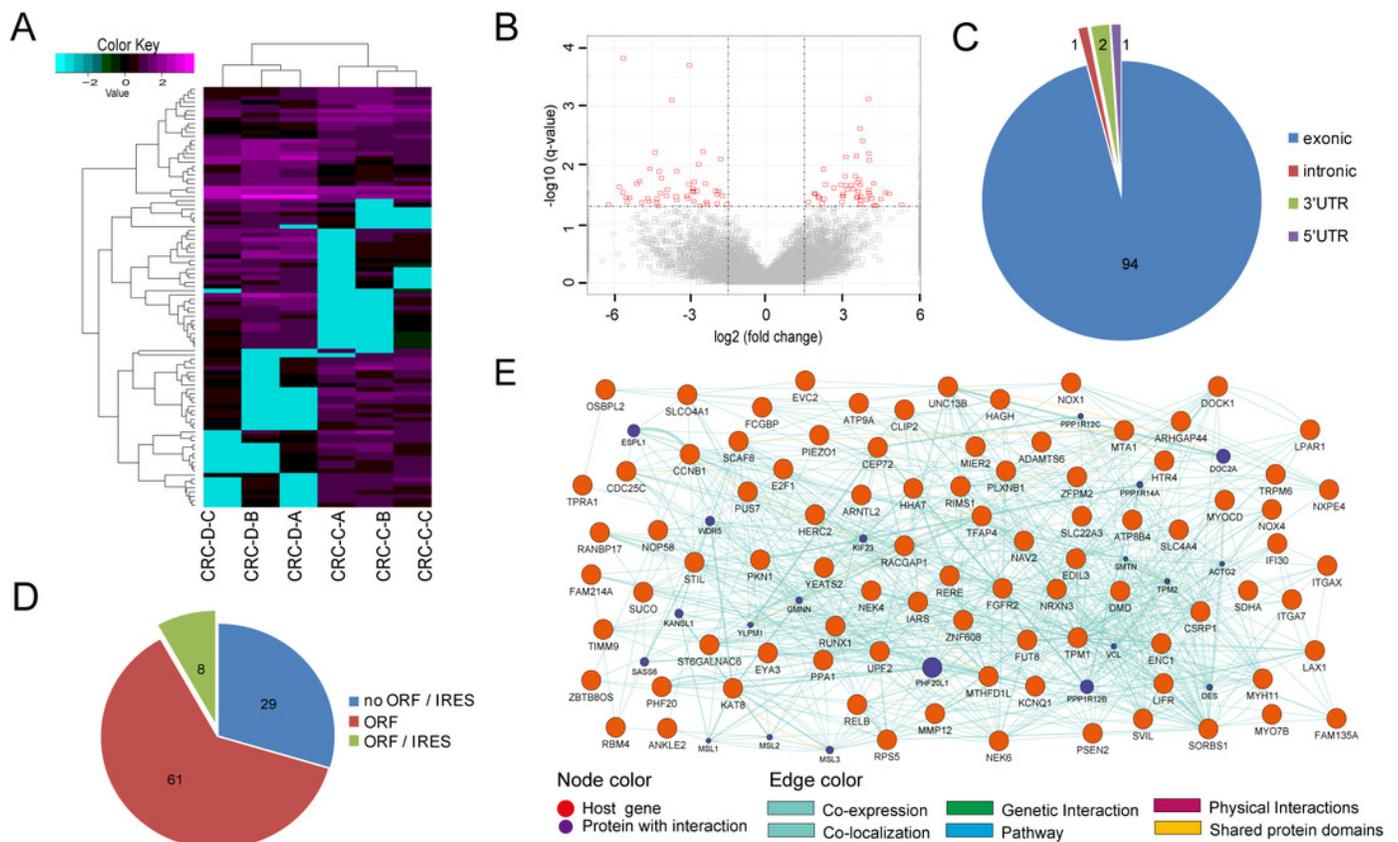


Figure 3

Differential expression analysis and interaction analysis of miRNAs and mRNAs

Volcano plots showing expression profile of pre-miRNAs (A) and mRNAs (B). (C) The intersection of the differentially expressed pre-miRNAs (DE_pmiRNA) and 1,666 pre-miRNAs. (D) The intersection of the differentially expressed mRNA (DE_mRNA) and 3707 miRNA target genes. (E) Comparison of data sizes before and after data processing. Purple indicates retained data and blue indicates discarded data.

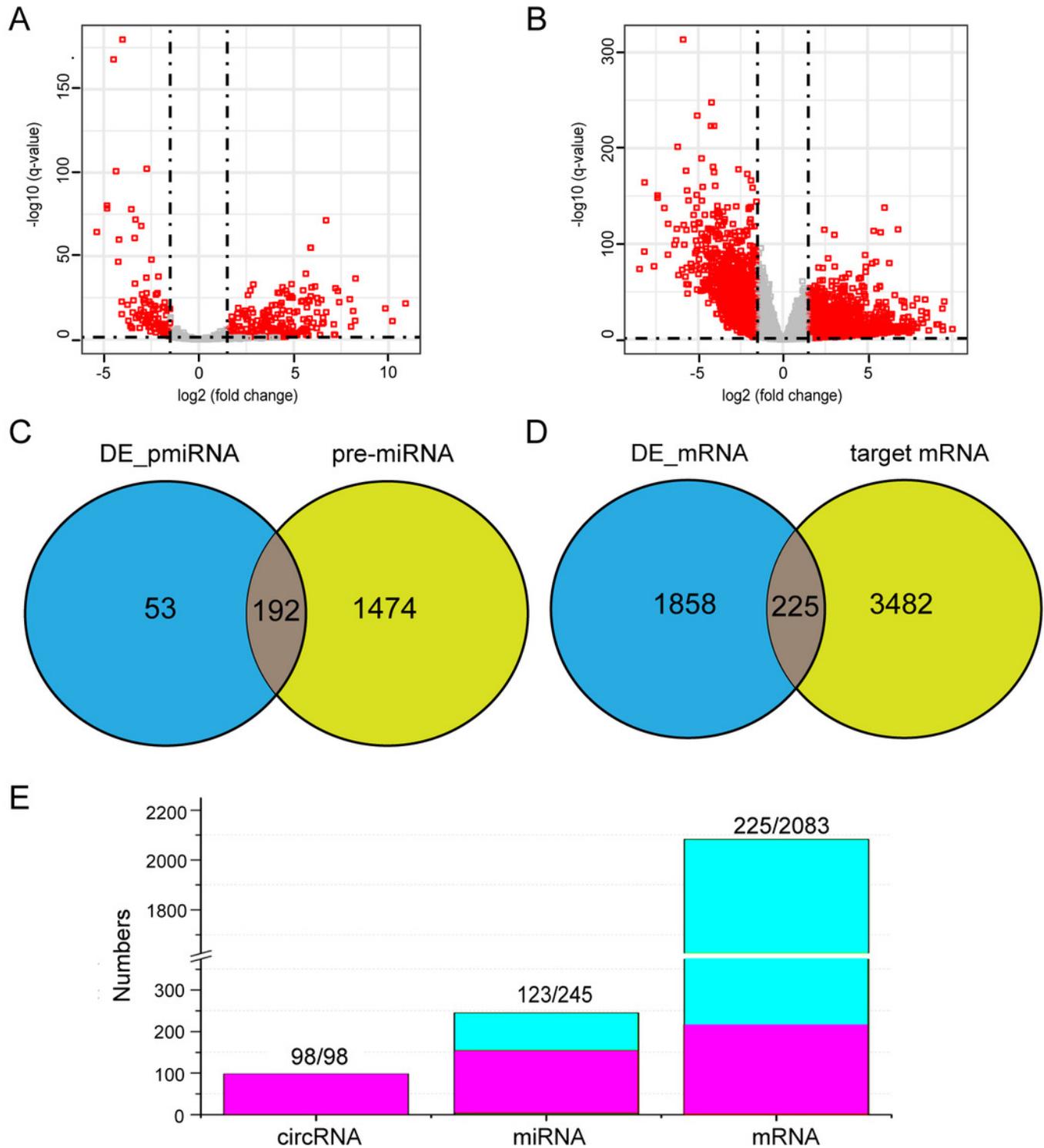


Figure 4

Information on six hub circRNAs

(A) The top three up-regulated and down-regulated circRNAs. The outer loop represents the exon that constitutes the circRNA, the innermost green ring represents the ORF, the middle red triangle represents the microRNA response element, and the blue cross point represents the RNA binding protein. (B) CircRNAs-miRNAs-mRNAs network. The red circle denotes the down-regulated circRNAs, the green circle denotes the up-regulated circRNAs, the blue inverted triangle denotes miRNAs, and the purple rectangle denotes the mRNAs. (C) Survival analysis of ceRNA - associated mRNA. (D) The KEGG pathway analysis of the top three circRNA pairs in the up-regulation and down-regulation circRNAs.

